

Thermal management optimization of Battery Electric Vehicles via hierarchical NMPC with CMO-trained Neural Models

Original

Thermal management optimization of Battery Electric Vehicles via hierarchical NMPC with CMO-trained Neural Models / Ripa, F., Regruto, D., Ceres, P., Strano, C.. - (2026), pp. 201-206. (IEEE Conference on Control Technology and Applications (CCTA 2026) Vancouver (CAN) 12-14 August 2026) [10.1109/ccta62090.2026.11684180].

Availability:

This version is available at: 11583/3016140 since: 2026-09-30T09:13:28Z

Publisher:

IEEE

Published

DOI:10.1109/ccta62090.2026.11684180

Terms of use:

This article is made available under terms and conditions as specified in the corresponding bibliographic description in the repository

Publisher copyright

IEEE postprint/Author's Accepted Manuscript

©2026 IEEE. Personal use of this material is permitted. Permission from IEEE must be obtained for all other uses, in any current or future media, including reprinting/republishing this material for advertising or promotional purposes, creating new collecting works, for resale or lists, or reuse of any copyrighted component of this work in other works.

(Article begins on next page)

Thermal management optimization of Battery Electric Vehicles via hierarchical NMPC with CMO-trained Neural Models

Francesco Ripa^a, Diego Regruto^a, Pasquale Ceres^b, Christopher Strano^b

Abstract—This paper addresses the problem of energy-efficient thermal management in Battery Electric Vehicles (BEVs), with the goal of extending driving range while ensuring passenger comfort and battery thermal safety. A hierarchical Nonlinear Model Predictive Control (NMPC) framework is proposed, in which the supervisory layer explicitly balances energy consumption and cabin temperature reference tracking over a long horizon and translates this trade-off into optimal actuator command sequences for the integrated heating, ventilation, and air conditioning (HVAC) system and the battery thermal management (BTM) system. These command sequences are then enforced by a lower-layer NMPC, which introduces local corrections to compensate disturbances and modeling uncertainty while tracking an externally specified cabin temperature reference trajectory. To enable predictive control of the highly nonlinear and strongly coupled thermal dynamics, data-driven neural network models are employed as prediction models within both control layers. The proposed approach is developed and validated using a high-fidelity BEV thermal simulator developed at Politecnico di Torino in collaboration with Stellantis N.V., and benchmarked against an industrial rule-based (RB) control strategy over the WLTC driving cycle. Simulation results demonstrate a significant reduction in energy consumption compared to the baseline strategy, while satisfying comfort and battery temperature constraints.

I. INTRODUCTION

In the last decade, increasing awareness of climate change, air pollution, and the progressive depletion of fossil fuels has significantly accelerated the transition toward electric vehicles (EVs). This shift is largely driven by the promise of reduced environmental impact, together with lower maintenance and operating costs compared to conventional internal combustion engine vehicles [1], [2]. Despite the progress, driving range remains a key barrier, strongly affected by ambient temperature through the combined impact on battery performance, powertrain efficiency, and cabin climate control demand. Recent chassis-dynamometer tests show that at -18°C , usable battery energy decreases by 4–8% compared to 22°C and the overall driving range can drop by up to 60%, with HVAC energy consumption being one of the most relevant contributing factors [3]. These results strongly motivate the need for energy-efficient thermal management strategies, particularly under winter and summer operating conditions.

Thermal management in EVs serves a dual purpose: ensuring battery performance, safety, and longevity, while maintaining acceptable passenger comfort through cabin thermal regulation. State-of-practice solutions rely on rule-based

(RB) supervisory control logic, where cooling and heating actions are triggered based on predefined temperature thresholds [4], [5]. Beyond rule-based solutions, optimization-based methods have been extensively investigated. MPC-based approaches have been proposed for cabin HVAC control [6] and battery thermal management [7]–[11], and joint cabin–battery thermal management has been studied in hierarchical MPC settings [12]. Despite these advances, predictive control of integrated systems remains challenging when actions are applied directly at the actuator level, as strong coupling among heat pump dynamics, refrigerant circuits, and routing valves often necessitates simplifications that limit modeling accuracy over wide operating conditions. Large thermal inertia and slow dynamics further require long prediction horizons, while predictive information introduces uncertainty that must be balanced against real-time constraints. These challenges naturally motivate hierarchical control architectures separating long-term planning from short-term regulation [13], [14].

In this work, we propose a two-level hierarchical NMPC strategy for the integrated management of cabin HVAC and battery thermal systems under both summer and winter operating conditions, with three main contributions relative to the existing literature. First, unlike conventional hierarchical MPC schemes that generate thermal state references at the supervisory level, the proposed upper layer explicitly optimizes actuator command trajectories over a long horizon exploiting speed profile prediction, while the lower-layer NMPC tracks these trajectories with local corrections for disturbance rejection and cabin temperature regulation. This input-scheduling paradigm enables tighter coordination between long-horizon energy planning and real-time control. Second, compact recurrent neural network (RNN) models trained via the Controller Multiplier Optimization (CMO) approach of [15] are consistently embedded in both control layers as prediction models: the CMO training mitigates vanishing and exploding gradient issues and yields accurate control-oriented models without requiring access to the internal equations of the high-fidelity GT-SUITE simulator. Third, the proposed strategy is validated against a Stellantis rule-based industrial benchmark over the WLTC driving cycle, demonstrating energy savings exceeding 12% under both cold and hot ambient conditions while satisfying all thermal and actuator constraints.

The remainder of the paper is organized as follows: Section II describes the system and its modeling; Section III presents the hierarchical NMPC framework and the neural network identification procedure; Section IV reports simula-

^a F. Ripa and D. Regruto are with Department of Control and Computer Engineering, Politecnico di Torino, Italy; ^b P. Ceres and C. Strano are with Fiat Research Center (CRF), Stellantis N.V., Turin, Italy

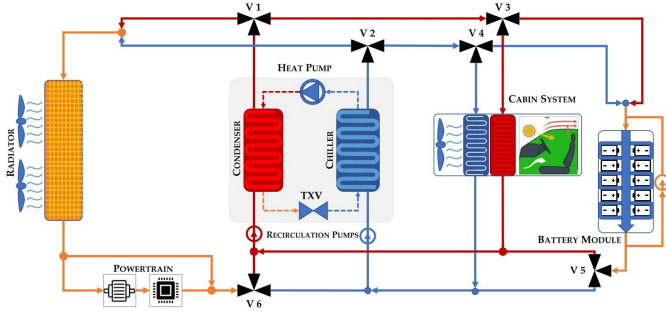


Fig. 1: Thermal management system layout considered in this work [16].

tion results; Section V draws conclusions.

II. SYSTEM DESCRIPTION

The control strategy developed in this work targets the thermal system architecture defined within the OPTEMUS framework. In the context of this paper, OPTEMUS refers to a specific integrated thermal management architecture conceived to simultaneously regulate cabin air conditioning and battery temperature, exploiting thermal synergies among vehicle subsystems to improve energy efficiency.

At the core of the thermal management system lies the Compact Refrigeration Unit (CRU), a heat-pump-based unit responsible for generating and distributing heating or cooling capacity according to the thermal requirements of the vehicle components. When operating conditions permit, the CRU transfers heat extracted from electrified powertrain components, including the battery, to support cabin heating. In scenarios where the cabin and battery simultaneously require heating or cooling, the CRU activates an appropriate combination of heat sources and sinks to deliver the required thermal power. Refrigerant routing is managed through multiple three-way valves, which distribute hot and cold refrigerant flows between the cabin HVAC circuit and the battery thermal loop depending on instantaneous operating conditions.

A detailed schematic of the thermal management system is shown in Fig. 1, developed in the GT-SUITE software environment and presented in [16]. The coupling between cabin and battery thermal dynamics motivates a coordinated control strategy accounting for energy efficiency and operational constraints. The system behavior is described, in discrete time, by the state vector

$$x(k) = [T_{\text{bat}}(k) \quad SOC(k) \quad \Delta T(k) \quad T_{\text{cab}}(k)]^T, \quad (1)$$

where T_{bat} is the battery temperature, SOC is the battery state of charge, T_{cab} is the cabin temperature, and ΔT is the battery temperature differential, defined as the thermal excursion between coolant inlet temperature and battery temperature, included among the constrained states as persistently large values indicate limited thermal regulation effectiveness and may accelerate battery degradation. Under the assumption of full state availability, the measured output

is

$$y(k) = g(x(k)) = x(k). \quad (2)$$

All state variables are considered measurable in practice: T_{bat} and SOC are standard battery management system (BMS) outputs, T_{cab} is measured by the climate control unit, and ΔT is derived from coolant inlet and battery temperature sensors. The development of a state observer, aimed at reducing the number of required sensors, is left for future investigations.

The CRU controls the thermal response through compressor actuation and refrigerant routing. The proposed controller directly regulates low-level actuators, namely the compressor speed and a main routing valve, rather than high-level thermal power requests. Accordingly, the manipulated input vector is

$$u(k) = [v_{\text{comp}}(k) \quad \% \text{valve}(k)]^T, \quad (3)$$

where v_{comp} is the compressor speed and $\% \text{valve}$ denotes the opening ratio of the three-way valve in $[0, 1]$, where 1 directs flow entirely toward the cabin HVAC circuit, 0 toward the battery thermal management circuit, and intermediate values split the flow proportionally. The controller commands V_3 in cooling mode and V_4 in heating mode; the remaining valves (V_1 – V_2 – V_5 – V_6) and the cabin fan are managed by internal low-level logic that configures the refrigerant path according to the selected operating mode.

Two distinct prediction models are derived for cooling and heating operation respectively. A data-driven approach based on recurrent neural networks is adopted rather than a first-principles model, as the complexity of the refrigerant circuit dynamics and the proprietary nature of the GT-SUITE simulator make physics-based modeling impractical at the required level of detail. RNN models allow compact, control-oriented predictors to be extracted directly from simulation data, capturing the strongly nonlinear and coupled thermal behavior across both operating regimes, as described in Section III-B.

III. PROBLEM STATEMENT AND PROPOSED CONTROL STRATEGIES

The integrated regulation of the cabin system and the battery cooling and heating circuit gives rise to a multi-objective control problem characterized by competing performance requirements and strong internal couplings. Passenger comfort requires the cabin temperature T_{cab} to accurately track a prescribed reference $T_{\text{cab,ref}}$, which is generated based on the ambient temperature, the user-selected setpoint, and comfort-oriented constraints on the rate of temperature variation. More specifically, $T_{\text{cab,ref}}(k)$ is generated by filtering the step between the initial cabin temperature and the desired setpoint, so as to obtain a smooth profile with prescribed transient characteristics (e.g., rise/settling time) consistent with passenger comfort requirements. At the same time, battery thermal safety and operability require that the battery temperature T_{bat} and the associated thermal excursion ΔT remain within admissible bounds. These objectives must

be pursued while minimizing the energy consumption of the thermal management system, which directly impacts the driving range of the vehicle.

The overall performance index to be optimized is defined as

$$J_s = J_1 + J_2, \quad (4)$$

where

$$J_1 = \sum_{i=0}^{p-1} \|T_{\text{cab}}(k+i|k) - T_{\text{cab,ref}}(k+i)\|_Q^2 \quad (5)$$

penalizes deviations from the desired cabin-temperature reference trajectory, and the term J_2 captures the energy consumption of the thermal management system. Since the thermal power demand can be expressed through the absorbed current i_{abs} which, in turn, depends on the compressor speed v_{comp} and the valve activation, the energy consumption objective J_2 is defined as the weighted energy of the command input defined in equation (3), i.e.:

$$J_2 = \sum_{i=0}^{p-1} \|u(k+i|k)\|_R^2. \quad (6)$$

The weighted quadratic norms in equation (5) and (6) are defined as $\|z\|_Q^2 := Qz^2$ for scalar z and $\|w\|_R^2 := w^\top R w$ for vector w , with $Q > 0$ and $R \succ 0$. From both an optimization and an implementation perspective, the solution of this control problem is challenging. The presence of large thermal inertia and slow temperature dynamics requires long prediction horizons in order to appropriately shape the thermal response and exploit energy-efficient operating conditions. Moreover, traction and thermal loads are intrinsically coupled, as the battery represents the sole energy source for both propulsion and auxiliary systems, and variations in thermal demand directly affect the available energy for driving. Additional complexity arises from the nonlinearities introduced by the refrigerant routing logic of the compact refrigeration unit, which couples valve configurations with power demand and heat-flow distribution. These considerations motivate the adoption of a hierarchical MPC architecture, in which long-horizon planning and short-horizon regulation are explicitly separated. In this framework, preview information such as speed profiles is exploited to compute energy-efficient thermal actions over an extended horizon, while a lower-level controller ensures real-time feasibility and constraint satisfaction.

A. Proposed hierarchical NMPC strategy

The proposed control strategy is structured as a two-layer hierarchical nonlinear model predictive control (NMPC) scheme, designed to address the different time scales and objectives characterizing integrated cabin HVAC and battery thermal management. The two control layers operate at different sampling rates and fulfill complementary roles within the overall architecture.

The supervisory layer is responsible for long-horizon planning of the thermal management actions. At each supervisory update, a finite-horizon optimal control problem

is solved using preview information provided by the navigation system (and/or vehicle-to-vehicle (V2V) and vehicle-to-infrastructure (V2I) sources), in particular the predicted vehicle speed profile, which affects the thermal dynamics. The supervisory optimization explicitly balances energy consumption and cabin temperature tracking over a long prediction horizon, while accounting for battery thermal constraints. The outcome of this optimization is a scheduled actuation policy expressed in terms of optimal command input trajectories for the thermal actuators. The supervisory problem is solved at a slower time scale and is periodically updated in a receding-horizon fashion, generating updated command trajectories that are supplied as references to the lower control layer.

The lower-layer NMPC operates at a faster time scale and is tasked with enforcing the scheduled actuation policy. Specifically, the lower layer tracks the command input trajectories provided by the supervisory layer while introducing local corrections to compensate for disturbances, modeling uncertainty, and unmodeled dynamics. In addition, an output-tracking term is included in the lower-layer cost function to ensure tracking of an externally specified cabin temperature reference trajectory. In this way, the lower layer does not re-optimize the long-horizon energy/comfort trade-off determined by the supervisory layer, but rather enforces the planned actuation policy and locally corrects it to maintain the desired thermal behavior.

Both control layers employ the same neural-network-based model (discussed in Section III.B) to describe the complex nonlinear system dynamics and to perform state prediction, ensuring consistency between long-horizon planning and short-horizon regulation. The high-fidelity GT-SUITE vehicle thermal model is used exclusively for closed-loop simulation and validation of the proposed control strategy and is not embedded within the predictive controllers.

1) Supervisory layer (long-horizon optimization with preview): Let p_s denote the scheduling horizon and define the stacked decision vector

$$U_s(k) = [u_s(k|k)^\top \ u_s(k+1|k)^\top \ \cdots \ u_s(k+p_s-1|k)^\top]^\top \quad (7)$$

The optimal control problem is formulated as

$$U_s^*(k) = \arg \min_{U_s(k)} J_s(x(k), U_s(k)) \quad (8)$$

$$\text{s.t. } x(k+i+1|k) = f_{\text{NN}}(x(k+i|k), u_s(k+i|k), v(k+i|k)), \quad i = 0, \dots, p_s-1, \quad (9)$$

$$x_{\min} \leq x(k+i|k) \leq x_{\max}, \quad i = 0, \dots, p_s, \quad (10)$$

$$u_{\min} \leq u_s(k+i|k) \leq u_{\max}, \quad i = 0, \dots, p_s-1. \quad (11)$$

where f_{NN} in equation (9) is the neural network model describing the system dynamics. The cost J_s encodes the long-horizon energy objective and the cabin comfort requirement. The speed profile preview $v(k+i|k)$, provided by the navigation system, is assumed a known exogenous input to the function f_{NN} . The resulting optimal sequence

defines the scheduled actuator trajectory provided to the lower piloting layer.

2) *Piloting layer (short-horizon tracking NMPC)*: Let p_p denote the piloting horizon, with $p_p \ll p_s$, and define

$$U_p(k) = \{u_p(k|k), u_p(k+1|k), \dots, u_p(k+p_p-1|k)\}. \quad (12)$$

The optimal control problem solved by the piloting layer is

$$U_p^*(k) = \arg \min_{U_p(k)} J_p(x(k), U_p(k)) \quad (13)$$

$$\text{s.t. } x(k+i+1|k) = f_{NN}(x(k+i|k), u_p(k+i|k), v(k+i|k)), \quad i = 0, \dots, p_p - 1, \quad (14)$$

$$x_{\min} \leq x(k+i|k) \leq x_{\max}, \quad i = 0, \dots, p_p, \quad (15)$$

$$u_{\min} \leq u_p(k+i|k) \leq u_{\max}, \quad i = 0, \dots, p_p - 1. \quad (16)$$

where the cost function to be minimized

$$J_p = \sum_{i=0}^{p_p-1} \left(\|e_T(k+i|k)\|_Q^2 + \|e_u(k+i|k)\|_R^2 \right), \quad (17)$$

is a weighted combination of the tracking errors defined as follows:

$$e_T(k+i|k) \triangleq T_{\text{cab}}(k+i|k) - T_{\text{cab,ref}}(k+i), \quad (18)$$

$$e_u(k+i|k) \triangleq u_p(k+i|k) - u_s^*(k+i|k). \quad (19)$$

The weighted quadratic norm in (17) are defined as $\|z\|_Q^2 := Qz^2$ for scalar z and $\|w\|_R^2 := w^\top R w$ for vector w . Here, $Q > 0$ weights cabin-temperature tracking and $R \succ 0$ weights the deviation from the command inputs trajectories scheduled by the supervisory layer. Also in the piloting layer the speed preview $v(k+i|k)$ is a known exogenous input to f_{NN} .

The piloting layer operates online with a short prediction horizon $p_p \ll p_s$ and is responsible for real-time regulation, constraint enforcement, and disturbance rejection. At each sampling instant k , the controller solves the NMPC problem in (15) and (16), where the scheduled input trajectory $u_s^*(\cdot|k)$ generated by the supervisory layer acts as a reference signal for the optimization. Differently from standard hierarchical MPC formulations based on output/states reference tracking, the hierarchical reference enters the piloting-layer problem through the quadratic input-tracking term $\|u_p - u_s\|_R^2$, which provides the primary grounding of the optimization to the scheduled actuator trajectory. Output variables are still included in the cost to ensure cabin temperature regulation and constraint satisfaction, but they do not act as the main driver of the optimization. In the piloting layer the two cost terms play distinct roles: the input-tracking term $\|e_u\|_Q^2$ defines the nominal actuation policy, while the output-tracking term $\|e_T\|_R^2$ introduces the local input corrections required to track the externally specified cabin temperature reference.

At each sampling instant k , the NMPC problem returns an optimal input sequence $U_p(k) = \{u_p(k|k), \dots, u_p(k+p_p-1|k)\}$; only the first element is applied to the plant

and the optimization is repeated at the next sampling instant, according to standard receding-horizon strategy.

The scheduled input trajectory computed by the upper layer thus acts as a reference signal for the online tracking problem, ensuring consistency between long-term planning and short-term regulation. At each sampling instant k , the NMPC problem returns an optimal input sequence $U_p^*(k) = \{u_p^*(k|k), \dots, u_p^*(k+p_p-1|k)\}$; only the first element is applied to the plant and the optimization is repeated at $k+1$.

B. Recurrent Neural Network based modeling of the thermal dynamics

A core element of the proposed hierarchical NMPC framework is the prediction model, which must capture the nonlinear and coupled thermal dynamics of the integrated system. In this work, the nonlinear dynamical model is learned via black-box system identification using recurrent neural networks (RNNs), trained on simulation data obtained by running the high-fidelity GT-SUIT model. Two separate models are identified to account for the different thermal behaviors observed in cooling (hot summer) and heating (cold winter) operating conditions, yielding $f_{NN,HOT}$ and $f_{NN,COLD}$, respectively.

The identification problem is formulated by applying the approach proposed in Sec. 6.2 of [17]. More precisely, the following state-space structure is considered

$$x_{t+1} = f_{NN}(x_t, u_t, v_t, \theta), \quad y_t = x_t, \quad (20)$$

where f_{NN} is a recurrent neural network of suitable structure (see [17] for details), θ is the vector of model parameters to be trained, and v_t denotes the (measured/previewed) vehicle speed provided by the navigation system and treated as a known exogenous input to the nonlinear dynamics. Following the approach proposed in [17], the problem of estimating the parameter θ can be recast as the constrained optimization problem

$$\begin{aligned} \min_{\theta, x_1, \dots, x_N} \quad & \sum_{t=1}^N \|x_t - \tilde{y}_t\|_2^2 + \rho(\theta) \\ \text{s.t. } \quad & x_{t+1} = f_{NN}(x_t, u_t, v_t, \theta), \quad t = 1, \dots, N-1, \end{aligned} \quad (21)$$

which can be efficiently solved using CMO approach proposed in [15] which overcome common issues affecting the training of recurrent networks (vanishing/exploding gradient problems). We point the interested reader to the work [17] for a detailed discussion.

Two RNN models $f_{NN,HOT}$ and $f_{NN,COLD}$ are trained on data obtained by simulating the high-fidelity GT-SUIT model under cold winter (heating) and hot summer (cooling) conditions respectively. The selection between the two models is determined by the current operating mode of the thermal system: $f_{NN,COLD}$ is active during heating operation and $f_{NN,HOT}$ during cooling operation, consistently with the low-level valve logic that configures the refrigerant circuit. Both predictors adopt compact architectures to preserve control-oriented simplicity: $f_{NN,COLD}$ employs one recurrent layer with 4 neurons, while $f_{NN,HOT}$ employs one recurrent layer

TABLE I: RMSE of the identified RNN predictors in normalized domain.

State	Cold case		Hot case	
	Train	Val	Train	Val
T_{bat}	0.043	0.100	0.003	0.019
T_{cab}	0.110	0.170	0.042	0.140
ΔT	0.067	0.072	0.032	0.091
SOC	0.014	0.018	0.008	0.044

with 6 neurons. Model performance is assessed through the Root Mean Square Error (RMSE),

$$RMSE = \sqrt{\frac{1}{n} \sum_{j=1}^n (y_j - \hat{y}_j)^2}. \quad (22)$$

Table I reports the RMSE values obtained for each state component in both operating modes. The *Train* columns refer to the dataset used for parameter identification, whereas the *Val* columns are computed on an independent validation dataset not used in the identification step. The relatively small gap between training and validation errors support the use of these compact models in the NMPC scheme as sufficiently accurate prediction models.

IV. SIMULATION RESULTS

The proposed hierarchical NMPC strategy was validated in MATLAB/Simulink using the high-fidelity thermal plant model developed at the Politecnico di Torino in collaboration with Stellantis N.V. (presented in [16]), and benchmarked against the Stellantis rule-based (RB) controller. The RNN prediction models described in Sec. III-B are used as control-oriented models within the hierarchical NMPC optimization scheme.

Two representative operating conditions are considered. In the *cold winter case*, the external ambient temperature is set to -10°C and the objective is to heat the cabin to the final target set point of 22°C by tracking the reference trajectory $T_{cab,ref}$ depicted as a black line in Fig. 4 (a). In the *hot summer case*, the external ambient temperature is set to 35°C and the goal is to cool the cabin to the same target set point of 22°C by tracking the reference trajectory $T_{cab,ref}$ depicted as a black line in Fig. 6 (a). In both scenarios the vehicle follows the WLTC driving cycle. The energy metric used for comparison is the electrical energy associated with the thermal subsystem only, computed by integrating the power absorbed by all the devices involved in the thermal management system. State and input constraints are enforced over the prediction horizon. For $x(k) = [T_{bat}(k), SOC(k), \Delta T(k), T_{cab}(k)]^\top$, the state bounds are

$$\begin{aligned} -10^\circ\text{C} &\leq T_{bat}(k+i|k) \leq 36^\circ\text{C}, \\ -20^\circ\text{C} &\leq \Delta T(k+i|k) \leq 20^\circ\text{C}, \end{aligned} \quad i = 1, \dots, p, \quad (23)$$

while actuator saturation is modeled as

$$\begin{aligned} 0 &\leq v_{comp}(k+i|k) \leq 8600 \text{ rpm}, \\ 0.001 &\leq \%valve(k+i|k) \leq 1, \end{aligned} \quad i = 1, \dots, p. \quad (24)$$

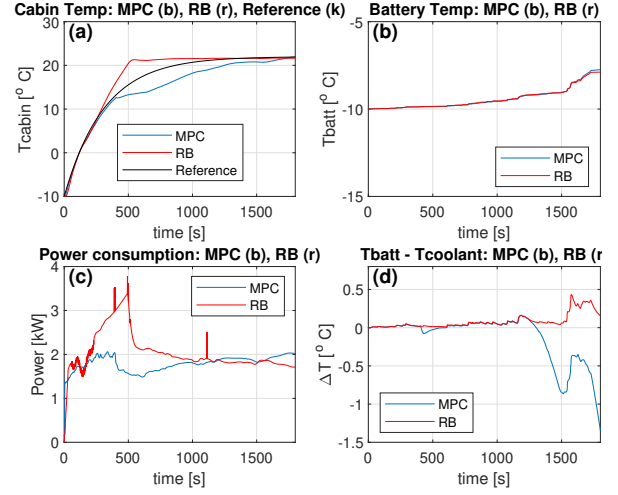


Fig. 2: Cold case: state comparison between MPC (blue) and RB (red): T_{cab} , T_{bat} , $Power\ consumption$, and ΔT .

TABLE II: Thermal energy saving of MPC with respect to the Stellantis RB controller (WLTC).

Scenario	MPC vs. RB energy saving [%]	Ambient T_{amb} [$^\circ\text{C}$]
Cold case (heating)	12.02	-10
Hot case (cooling)	12.71	35

The supervisory and piloting layers operate with a common sampling time of $T_s = 1\text{ s}$, with prediction horizons of $p_s = 50$ and $p_p = 2$ steps respectively, reflecting the separation between long-horizon energy planning and short-horizon regulation. The cost function weights are set to $Q = 10^8$ and $R = [10^{15}, 10^{10}]$. Figs. 2–3 report the results obtained for the *cold winter case*, and Figs. 4–5 report the results obtained for the *hot summer case*. In both conditions, the proposed control scheme (denoted as MPC) achieves improved tracking of $T_{cab,ref}$ and maintains T_{bat} and ΔT within the admissible ranges. Compared to RB, the proposed strategy shapes compressor speed and valve routing more smoothly and anticipatively over the WLTC, reducing unnecessary actuation and the associated thermal energy demand, as clearly stated by comparison in terms of power consumption reported in Fig 4 (c) and Fig. 6 (c). The resulting energy savings with respect to the Stellantis RB strategy, computed by numerically integrating the power profile in Fig. 4 and 6., are summarized in Table II: the proposed control approach (denoted as MPC) yields a reduction of 12.02% in the cold winter case and 12.71% in the hot summer case.

V. CONCLUSION

This paper presented a hierarchical NMPC strategy for integrated cabin HVAC and battery thermal management in BEVs. Neural-network-based prediction models for heating and cooling operation were identified using a CMO-based framework and embedded in a two-layer MPC architecture that combines long-horizon energy-oriented planning with

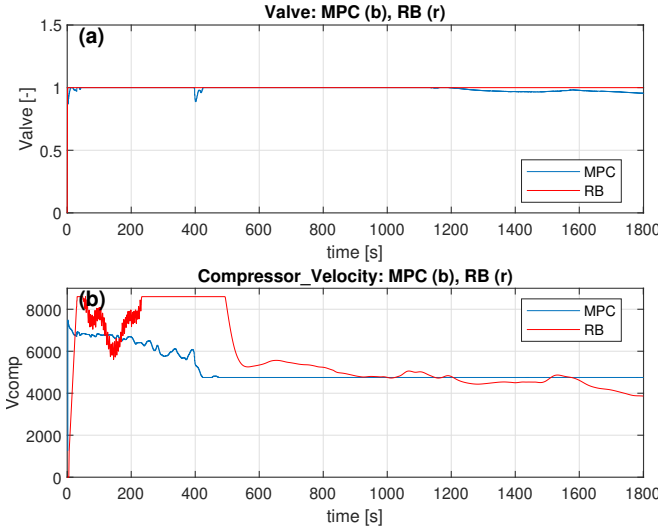


Fig. 3: Cold case: input comparison between MPC (blue) and RB (red): valve command and compressor speed.

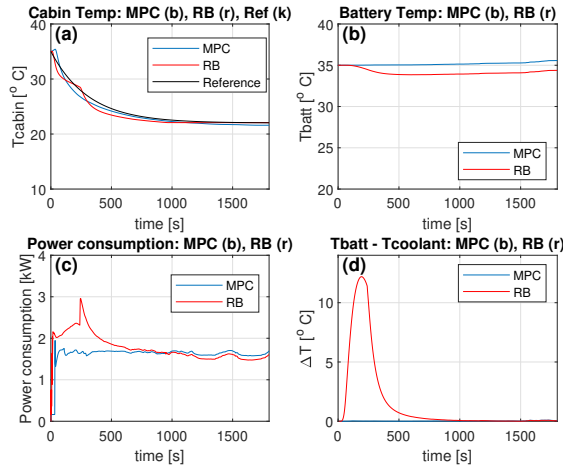


Fig. 4: Hot case: state comparison between MPC (blue) and RB (red): T_{cab} , T_{bat} , Power consumption, and ΔT .

short-horizon command tracking and corrective regulation. WLTC simulations under cold and hot ambient conditions show improved cabin temperature reference tracking and reduced thermal energy consumption, with energy savings of more than 12% compared to an industrial rule-based benchmark, while also guaranteeing satisfaction of all operational constraints. Future extensions include the investigation of robust MPC formulations to explicitly account for uncertainties in the previewed vehicle speed profile, as well as its inclusion as an additional decision variable within the optimization problem.

REFERENCES

- [1] R. R. Kumar and K. Alok, "Adoption of electric vehicle: A literature review and prospects for sustainability," *Journal of Cleaner Production*, vol. 253, p. 119911, 2020.
- [2] H. Choi, J. Shin, and J. Woo, "Effect of electricity generation mix on battery electric vehicle adoption and its environmental impact," *Energy Policy*, vol. 121, pp. 13–24, 2018.
- [3] J. Seo, R. Vijayagopal, N. Kim, A. Rousseau, and K. Stutenberg, "Effects of ambient temperature on electric vehicle range considering battery performance, powertrain efficiency, and hvac load," *Energy Conversion and Management*, vol. 326, p. 119493, 2025.
- [4] S. Basu, K. S. Hariharan, N. Ganesan, and T. J. Yeo, "Battery thermal management method and system," Apr. 9 2019, uS Patent 10,256,515.
- [5] A. Kummer, S. Wojtkowicz, B. Gillespie, J. R. Grimes, K. King, and N. R. Burrows, "Method and system for thermal management of a high voltage battery for a vehicle," Dec. 31 2013, uS Patent 8,620,506.
- [6] H. Wang, Y. Meng, Q. Zhang, M. R. Amini, I. Kolmanovsky, J. Sun, and M. Jennings, "Mpc-based precision cooling strategy (pcs) for efficient thermal management of automotive air conditioning system," in *2019 IEEE conference on control technology and applications (CCTA)*. IEEE, 2019, pp. 573–578.
- [7] S. Bauer, A. Suchanek, and F. P. León, "Thermal and energy battery management optimization in electric vehicles using pontryagin's maximum principle," *Journal of Power Sources*, vol. 246, 2014.
- [8] Y. Masoudi, A. Mozaffari, and N. L. Azad, "Battery thermal management of electric vehicles: An optimal control approach," in *Dynamic Systems and Control Conference*, vol. 57243. American Society of Mechanical Engineers, 2015, p. V001T13A003.
- [9] Y. Masoudi and N. L. Azad, "Mpc-based battery thermal management controller for plug-in hybrid electric vehicles," in *2017 American control conference (ACC)*. IEEE, 2017, pp. 4365–4370.
- [10] X. Tao and J. Wagner, "Cooling air temperature and mass flow rate control for hybrid electric vehicle battery thermal management," in *Dynamic Systems and Control Conference*, vol. 46193. American Society of Mechanical Engineers, 2014, p. V002T34A002.
- [11] X. Tao, K. Zhou, A. Ivanco, J. R. Wagner, H. Hofmann, and Z. Filipi, "A hybrid electric vehicle thermal management system-nonlinear controller design," SAE Technical Paper, Tech. Rep., 2015.
- [12] M. R. Amini, H. Wang, X. Gong, D. Liao-McPherson, I. Kolmanovsky, and J. Sun, "Cabin and battery thermal management of connected and automated hevs for improved energy efficiency using hierarchical model predictive control," *IEEE Trans. Contr. Sys. Tech.*, vol. 28, no. 5, pp. 1711–1726, 2019.
- [13] R. Scattolini, "Architectures for distributed and hierarchical model predictive control—a review," *J. of process control*, vol. 19, no. 5, 2009.
- [14] M. R. Amini, I. Kolmanovsky, and J. Sun, "Hierarchical MPC for robust eco-cooling of connected and automated vehicles and its application to electric vehicle battery thermal management," *IEEE Trans. Contr. Syst. Tech.*, vol. 29, no. 1, pp. 316–328, 2020.
- [15] V. Cerone, S. M. Fosson, S. Pirrera, and D. Regruto, "A new framework for constrained optimization via feedback control of lagrange multipliers," *IEEE Transactions on Automatic Control*, 2025.
- [16] L. Rolando, F. Milla, A. Vinogradov, B. P. Paradisi, A. Allocco, P. Ceres, R. Marco, and M. M. Rostagno, "A virtual test rig for performance and efficiency assessment of heat pump thermal management system for battery electric vehicles," *Applied Thermal Engineering*, vol. 247, p. 123055, 2024.
- [17] V. Cerone, S. M. Fosson, S. Pirrera, and D. Regruto, "A constrained optimization approach to nonlinear system identification through simulation error minimization," *arXiv preprint arXiv:2509.01461*, 2025.