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Observation of the $\Omega(2012)$ baryon at the LHC

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A signal consistent with the $\Omega(2012)$ baryon has been observed with a significance of 15σ in pp collisions at $\sqrt{s} = 13$ TeV at the LHC. In this paper, the analysis technique is described and measurements of the mass and width of the $\Omega(2012)$ are reported, along with the first measurement of its transverse-momentum spectrum and yield. This paper corroborates the observation by the Belle Collaboration of this excited Ω state and the observation that the $\Omega(2012)$ has a rather narrow width for a strongly decaying resonance. The yield measurement is combined with a statistical thermal model calculation of strange baryon yield ratios to obtain estimates of the $\Omega(2012)^- \rightarrow \Xi \bar{K}$ branching ratios. These results will improve our understanding of the internal structure and mass spectrum of excited baryon states and serve as a baseline for searches regarding modifications of these properties in high-temperature media.

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I. INTRODUCTION

In 2018, the Belle Collaboration reported the first observation of an excited Ω baryon state, the $\Omega(2012)$, with a significance of 7.2σ [1]. Belle discovered the particle via the decay channels $\Omega(2012)^- \rightarrow \Xi^0 K^-$, $\Omega(2012)^- \rightarrow \Xi^- K_S^0$, and their charge conjugates. The particle was reported to have a mass of $[2012.4 \pm 0.7 \text{ (stat)} \pm 0.6 \text{ (sys)}] \text{ MeV}/c^2$ and a width of $[6.4_{-2.0}^{+2.5} \text{ (stat)} \pm 1.6 \text{ (sys)}] \text{ MeV}/c^2$. In a later study, Belle reported a mass of $[2012.5 \pm 0.7 \text{ (stat)} \pm 0.5 \text{ (sys)}] \text{ MeV}/c^2$ [2]. Belle concluded that the $\Omega(2012)^-$ is most likely to have a spin-parity configuration of $J^P = \frac{3}{2}^-$. This was partly based on theoretical studies of excited Ω baryon states [3–8]. Also, the measured width of about 6 MeV favors a d -wave decay of a $J = \frac{3}{2}$ state, rather than a significantly broader s -wave decay of a $J = \frac{1}{2}$ state. Subsequently, multiple theoretical studies have supported a $J^P = \frac{3}{2}^-$ configuration [9–20].

Measurements of such excited hadronic states provide a wealth of information relevant to several topics of interest in high-energy particle and nuclear physics. Studies of the basic properties of excited hadrons, including their masses, widths, quantum numbers, and decay branching ratios, provide important tests and inputs for theoretical models of hadron structure. Prior to the discovery of the $\Omega(2012)$, predictions of excited Ω states were obtained using various

theoretical approaches, including lattice gauge theory [3,21], the quark model [4–7,22–26], and the Skyrme model [8]. Following the discovery, multiple theoretical studies have been published that explore possible explanations of the structure of the $\Omega(2012)$ [9–19,27–35]. Some studies support the conclusion that the $\Omega(2012)$ is a regular baryon [9–16,27,28], possibly a P -wave excitation. Several works note that the mass of the $\Omega(2012)$ is just below the combined mass of the kaon and the $\Xi(1530)$ and explore the possibility that the $\Omega(2012)$ has a hadronic molecule component [17–19,29–35]. Configurations explored in these studies include $\bar{K}\Xi(1530)$ and couplings of that state to other states such as $\bar{K}\Xi$, $\Omega\eta$, and the three-quark configuration. The molecular interpretation is consistent with the recent Belle measurement of the resonant three-body decay $\Omega(2012)^- \rightarrow \Xi(1530)\bar{K} \rightarrow \Xi\pi\bar{K}$ [2].

A full understanding of the hadron chemistry of the matter produced in hadronic or nuclear collisions requires measurements of the abundances of common hadrons as well as rare, excited hadrons. To have an accurate description of hadron yields, it is necessary to understand the spectrum of excited hadronic states that decay (feed down) into lower-mass states. This includes measurements of the yields of the excited states as well as their decay modes and branching ratios into lower-mass hadrons. Complete and up-to-date lists of hadronic states are needed for implementations of the hadron resonance gas model in the framework of statistical thermal models [36–39], as well as for hadronic transport models [40–42] and hydrodynamical simulations [43,44]. Better agreement with experimental results and lattice QCD is achieved by calculations that use hadron lists with all states catalogued by the Particle Data Group (PDG), as opposed to lists that are restricted to well-established hadrons [45–47]. On the other

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hand, simple quark models often overestimate the number of excited states [4,48]. Thus, experimental confirmation of rare strange resonant states such as the $\Omega(2012)$ is crucial. Accurate measurements of the properties of this state will help to improve the understanding of the spectra of excited strange baryonic states that should be included in such calculations. Furthermore, measurements of the yields of excited Ω states in nucleus-nucleus, proton-nucleus, or high-multiplicity proton-proton collisions could provide another way to explore the details of strangeness enhancement, the increase in strangeness production with increasing system size or event activity [49–54]. In addition, central heavy-ion collisions appear to produce a hadron gas phase with a lifetime of several fm/c [55,56], during which rescattering and regeneration processes can modify the yields of short-lived hadronic resonances [57–60]. Studies of how $\Omega(2012)$ yields evolve relative to the yields of the ground-state Ω could provide a new observable to improve our knowledge of the interactions in the hadronic phase and its lifetime. The number of $\Omega(2012)$ candidates found for this analysis does not allow further subdivision of the measured sample into multiplicity classes. The ongoing runs at the LHC promise to increase the available data sample by a factor of at least 50 [61]. In the future, this may enable a multiplicity-dependent study of $\Omega(2012)$ production in pp collisions, as well as measurements in p–Pb and Pb–Pb collisions, allowing the $\Omega(2012)$ to be used in hadron chemistry studies.

Furthermore, recent lattice QCD calculations of baryonic resonance properties near the pseudocritical temperature indicate that chiral symmetry restoration in the quark-gluon plasma might be verifiable through medium modification of the mass and width of parity partners [62,63]. In particular, it was shown in Ref. [64] that although the mass of the positive-parity partner stays unchanged in the crossover region, the mass of the heavier negative-parity partner is modified towards the on-shell mass of the positive-parity partner. The negative-parity baryonic states can be difficult to measure, but if the $\Omega(2012)$ is indeed the $J^P = \frac{3}{2}^-$ partner of the $\frac{3}{2}^+$ ground-state Ω , the postulated mass shift might be measurable for the $\Omega(2012)$. Since chiral symmetry restoration can manifest itself either in a mass shift or a width broadening [65,66], the requirement in both cases is to measure mass and width across a wide range of system sizes, centralities, or final-state particle multiplicities. The first mass and width measurements of the $\Omega(2012)$ [1] are for particles produced in $e^-e^+ \rightarrow \Upsilon$ annihilation events, a low-multiplicity environment. This paper presents measurements of the $\Omega(2012)$ mass and width in pp collisions with a high-multiplicity (HM) trigger. The mean charged-particle multiplicity at mid-rapidity for this data set is approximately six times larger than for inelastic pp collisions at the same energy [67]. However, far higher multiplicity values (hundreds of times larger than in inelastic pp collisions) can be reached in

nucleus-nucleus collisions [68], which produce larger and longer-lived volumes of matter in which the signatures of chiral symmetry restoration might be observed. The upgraded performance of the ALICE detector, mentioned above, may therefore also enable searches for mass and width modifications of the $\Omega(2012)$ in large collision systems.

A signal consistent with the $\Omega(2012)$ baryon has been observed by the ALICE Collaboration at the LHC. This paper describes the measurement of the $\Omega(2012)^- \rightarrow \Xi^- K_S^0$ decay and its charge conjugate in pp collisions at $\sqrt{s} = 13$ TeV. Approximately 7200 candidates are observed. Results reported here include measurements of the mass and width of the $\Omega(2012)$ and the significance of the measured signal. The first measurement of the transverse-momentum (p_T) spectrum and p_T -integrated yield of the $\Omega(2012)$ are also reported. Finally, the measured yields are used along with a statistical model calculation to estimate the branching ratios of the $\Omega(2012)^- \rightarrow \Xi \bar{K}$ decay channels. In the discussion that follows, the symbols Ω and $\Omega(2012)$ (i.e., without superscripts indicating charges or a bar to denote antibaryons) refer to the sums of particles and antiparticles. The symbol Ω without any mass indicates the weakly decaying ground-state Ω baryon with a mass around 1672 MeV/ c^2 [69].

II. ANALYSIS PROCEDURE

A. Apparatus

A full description of the ALICE detector is provided in Refs. [70,71]. The subdetectors that are relevant to this study of the $\Omega(2012)$ are the V0 detectors [72], the Inner Tracking System (ITS) [73], the Time Projection Chamber (TPC) [74], and the Time-of-Flight (TOF) detector [75]. The V0 detectors, which provide triggering, are scintillator arrays that are located on either side of the center of the ALICE detector near the beamline; the V0A array covers the pseudorapidity range $2.8 < \eta < 5.1$, and the V0C array covers $-3.7 < \eta < -1.7$. During the data-taking period for this study, the ITS consisted of six cylindrical layers of silicon detectors that were located between 3.9 and 43 cm from the beamline. The two innermost layers of the ITS are called the Silicon Pixel Detector (SPD). The TPC is a large-volume gas detector that encloses the region with a radius from about 85 to 250 cm from the beamline. Together, the TPC and ITS span the pseudorapidity range $|\eta| < 0.9$. The ITS and TPC are used for the reconstruction of charged-particle trajectories and finding the primary collision vertex (PV). The SPD plays a role in rejecting pileup events. In addition, the TPC is used for particle identification via measurements of the specific energy loss dE/dx in the TPC gas. The TOF is a cylindrical array of multigap resistive plate chambers located beyond the outer wall of the TPC. For this study, the TOF is used in the rejection of pileup, as discussed below.

B. Dataset

The $\Omega(2012)$ baryons are reconstructed in a set of HM-triggered pp collisions at $\sqrt{s} = 13$ TeV that were recorded over 2016–2018, during Run 2 of the LHC. The trigger for inelastic pp collisions requires a coincidence of signals in the V0A and the V0C arrays. The HM trigger selects collisions which produced large signals in the V0 detectors, preferentially recording events with the highest charged-particle multiplicities, representing approximately 0%–0.1% of the visible cross section. The $\Omega(2012)$ signal is extracted from 1.04×10^9 HM-triggered events, representing an integrated luminosity of 36.6 ± 2.0 pb $^{-1}$ [76,77]. This data set has a mean charged-particle multiplicity density at midrapidity of $\langle dN_{\text{ch}}/d\eta \rangle = 31.53 \pm 0.28$ [78].

Protons circulate around the LHC in groups called “bunches.” Pileup may arise both from multiple collisions in the same bunch crossing (“in-bunch pileup”) or from collisions in other bunch crossings that did not fire the trigger, but are still within the readout time interval for some components of the ALICE detector (“out-of-bunch pileup”). The procedure for removing background and pileup collisions is adopted from Ref. [79]. Beam-induced background events are removed through use of timing information in the V0 detectors, and a selection on the correlation between clusters and tracklets in the SPD; see Ref. [71] for a detailed discussion. In-bunch pileup is removed by discarding events with multiple PVs reconstructed in the SPD. Out-of-bunch pileup events are removed through selection on multiplicity correlations in detectors that have different readout windows. Residual contributions to the $\Omega(2012)$ signal from out-of-bunch pileup are removed by requiring that at least one of the five decay product tracks of each $\Omega(2012)$ candidate is matched to a signal in the ITS or the TOF. This takes advantage of the short time resolutions for those detectors in comparison to the TPC, ensuring that the $\Omega(2012)$ candidates come from events that fired the trigger.

C. Decay reconstruction

The $\Omega(2012)$ baryon is reconstructed via the $\Omega(2012)^- \rightarrow \Xi^- K_S^0$ decay channel and its charge conjugate. The decay products are reconstructed via the decays $\Xi^- \rightarrow \pi^- \Lambda \rightarrow \pi^- (p\pi^-)$, $\bar{\Xi}^+ \rightarrow \pi^+ \bar{\Lambda} \rightarrow \pi^+ (\bar{p}\pi^+)$, and $K_S^0 \rightarrow \pi^+ \pi^-$ using the topological reconstruction techniques described in Ref. [79]. The decay product tracks are required to pass the selection criteria in the first section of Table I to ensure good track reconstruction quality and that tracks do not originate from the PV. These include selections on the track pseudorapidity, the number and fraction of readout pad rows in the ALICE TPC that were used to reconstruct the track, and the distance of closest approach (DCA) of the track to the PV. The final-state $\pi^\pm$ and (anti)protons are identified via measurements in the

TABLE I. Criteria used to select V^0 and Ξ candidates. DCA stands for distance of closest approach and PV denotes the primary collision vertex. The selection criteria for $\Lambda/\bar{\Lambda}$ are given in parentheses if different from the K_S^0 selection criteria.

Decay product track selection	Selection criterion
Pseudorapidity	$ \eta < 0.8$
Number of crossed rows in TPC	≥ 70
$N_{\text{crossed}}/N_{\text{findable}}$	≥ 0.8
DCA to PV	> 0.06 cm
Pions: $(dE/dx)_{\text{TPC}} - (dE/dx)_{\text{expected}}$	$< 5\sigma_{\text{TPC}}$
$p/\bar{p}$: $(dE/dx)_{\text{TPC}} - (dE/dx)_{\text{expected}}$	$< 3\sigma_{\text{TPC}}$
V^0 selection	K_S^0 ($\Lambda/\bar{\Lambda}$) selection criterion
Pseudorapidity	$ \eta < 0.8$ (no selection)
Transverse decay radius	> 0.9 cm
Proper lifetime (mcL/p)	< 20 cm (< 40 cm)
DCA between decay products	< 1 (< 1.6) cm
DCA to PV	< 0.3 cm (> 0.07 cm)
Cosine of pointing angle	> 0.97
Mass tolerance	< 30 MeV/ c^2 (< 6 MeV/ c^2)
Competing V^0 rejection	< 4 MeV/ c^2 (no selection)
Ξ selection	Selection criterion
Pseudorapidity	$ \eta < 0.8$
Transverse decay radius	$0.5 < r < 100$ cm
DCA between π and Λ	< 1.4 cm
Cosine of pointing angle	> 0.97
Mass tolerance	< 7 MeV/ c^2

TPC of their specific energy loss dE/dx . It is required that $\pi^\pm$ have a dE/dx within $5\sigma_{\text{TPC}}$ of the expected value, while (anti)protons must have a dE/dx within $3\sigma_{\text{TPC}}$ of the expected value, where σ_{TPC} is the TPC dE/dx resolution. The expected dE/dx values for each particle species are calculated from the Bethe-Bloch formula.

Using these $\pi^\pm$ and (anti)proton tracks, displaced decay vertices are reconstructed and K_S^0 and Ξ candidates are identified by their decay topologies. K_S^0 , Λ , and $\bar{\Lambda}$ are reconstructed based on their “ V^0 ” decay topology: two oppositely charged tracks originating from the same secondary vertex, which is displaced from the PV. Ξ^- ($\bar{\Xi}^+$) are reconstructed based on their “cascade” decay topology: a Λ ($\bar{\Lambda}$) and a π^- (π^+) originating from a single decay vertex, displaced from the PV. Selections based on the decay topology are applied to improve the purity of the Ξ and K_S^0 candidates, and reduce contributions from random combinations of decay products (see Table I and discussions in Refs. [79,80]). These include selections on the DCA between the trajectories of various particles in the decay chain, the DCA between particle trajectories and the PV, the radial position of the displaced decay vertex, the particle lifetime (computed from the momentum, distance traveled, and mass hypothesis), and the difference between the

TABLE II. Contributions to the systematic uncertainties (in percent) of the results of this study. $(dN/dy)_{\text{measured}}$ refers to the p_T -integrated $\Omega(2012)$ yield over the measured region $2.2 < p_T < 10$ GeV/ c only, without p_T extrapolation. The total systematic uncertainty is the sum in quadrature of the uncertainties from the separate sources. For $d^2N/(dp_T dy)$, the percent uncertainties for the four measured p_T intervals are averaged to obtain the quoted values for each source. An empty cell indicates that an uncertainty does not apply to a given result. Negl. indicates that the contribution is negligible.

Source	Mass	Width	$d^2N/(dp_T dy)$	$(dN/dy)_{\text{measured}}$	dN/dy	$\langle p_T \rangle$
Decay product selection	0.012	13.6	6.9	3.8	3.5	0.4
Combinatorial background	Negl.	Negl.	3.4	1.5	0.7	0.5
Signal extraction	0.003	30.0	9.3	5.3	3.0	0.2
Mass shift	0.005					
Material budget			2.1	3.0	2.9	0.1
Hadronic int. cross section			0.1	0.2	0.1	Negl.
Acceptance $\times$ efficiency			4.0	4.0	4.0	Negl.
Spectrum fit variations			1.7	0.7	+36.1 -11.5	+6.3 -12.0
Correlated between p_T intervals			5.1			
Total	0.013	32.9	13.5	8.7	+36.7 -13.4	+6.3 -12.0

measured invariant mass and the expected mass value from the PDG [69]. Selections are also applied on the cosine of the “pointing angle,” the angle between the momentum vector of the particle and its displacement vector (the vector connecting its production and decay points). Selection of K_S^0 candidates also employs “competing V^0 rejection:” the mass hypothesis for either K_S^0 decay product is changed from pion to (anti)proton; if this results in a V^0 mass within 4 MeV/ c^2 of the Λ mass, the V^0 is rejected as a K_S^0 candidate. The aforementioned selection criteria were varied during the evaluation of the systematic uncertainties; their contribution to the systematic uncertainties is listed as “decay product selection” in Table II. That table also lists the other systematic uncertainties which will be described in the following discussion. For each observable, the total systematic uncertainty is the sum in quadrature of the sources listed in Table II.

Each Ξ candidate is then paired with each K_S^0 candidate to obtain an invariant-mass distribution for ΞK_S^0 pairs. The Ξ and K_S^0 are assigned their respective average mass values from the PDG [69]. Each pair is required to have a rapidity within the range $|y| < 0.8$. Figure 1(a) shows the ΞK_S^0 invariant-mass distributions used for the mass and width measurements; the signal-to-background ratio is 0.033. Monte Carlo simulations indicate that the product of the acceptance and efficiency for the $\Omega(2012)$ decreases with decreasing p_T and falls below 1% for $p_T < 2$ GeV/ c (see Fig. 2 and the discussion below). The $\Omega(2012)$ yield is measured in four p_T intervals covering the range $2.2 < p_T < 10$ GeV/ c , with the low- p_T region excluded due to the lack of a significant signal. For the measurements of the mass and width of the $\Omega(2012)$, the transverse momentum is taken to be $2.5 < p_T < 10$ GeV/ c ; the larger minimum p_T value for the mass/width study is chosen to obtain a larger signal-to-background ratio. The number of ΞK_S^0 pairs that satisfy all selection criteria and

have an invariant mass $M_{\Xi K}$ in the range $2.00 < M_{\Xi K} < 2.03$ GeV/ c^2 is small compared to the number of collisions: on average, $\sim 2 \times 10^{-4}$ such $\Omega(2012)$ candidates are reconstructed per event. It is unlikely that a single event will have more than one reconstructed $\Omega(2012)$ candidate. However, if multiple candidates are identified in an event, all are kept and contribute to the invariant-mass distribution.

Two complementary methods are used to describe the background distribution. First, the event-mixing technique is employed. Each K_S^0 candidate is paired with Ξ candidates from 10 other events from the same data sample. For each pair of events that are mixed, the positions of the PVs along the beam axis are required to differ by less than 1 cm. The mixed-event background is scaled so that its integral is equal to the integral of the same-event distribution in the invariant-mass range $2.10 < M_{\Xi K} < 2.13$ GeV/ c^2 . The normalization region is varied during the evaluation of systematic uncertainties. As can be seen in Fig. 1(a), this mixed-event background can roughly describe the shape of the combinatorial background, but a residual background remains. This background includes contributions from jets, decays of other particles in which one or more products are misidentified or missed, and uncorrelated combinations of particles that mimic the V^0 or cascade topology. The mixed-event background distribution is subtracted from the same-event distribution and the result is fitted. The fit function consists of a function that describes the peak (described below), added to a second-order polynomial, which parametrizes the residual background.

In the second method used to describe the combinatorial background, the same-event invariant-mass distribution is fitted directly (without subtracting the mixed-event background). The fit function consists of a function that describes the peak (described below), added to a background function of the form

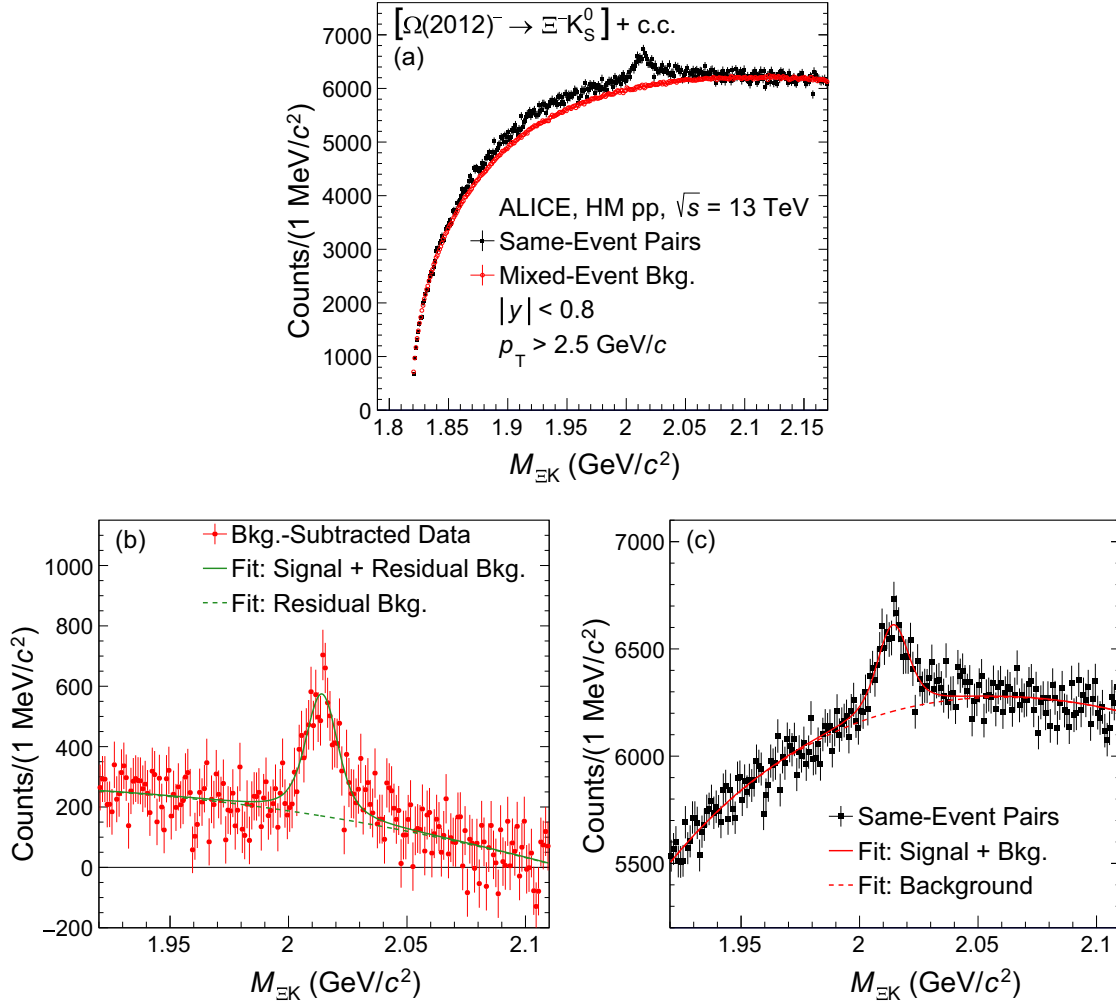


FIG. 1. Invariant-mass distributions of ΞK_S^0 pairs with $p_T > 2.5$ GeV/c and $|y| < 0.8$ in HM-triggered pp collisions at $\sqrt{s} = 13$ TeV. (a) Same-event distribution plotted with normalized mixed-event combinatorial background. (b) Background-subtracted distribution fitted with residual background polynomial and Voigt peak. (c) Same-event distribution fitted with summed background function and Voigt peak.

$$B(M_{\Xi K}) = b_0 + b_1 M_{\Xi K} + b_2 M_{\Xi K}^2 + b_3 \sqrt{M_{\Xi K} - M_{\Xi} - M_K}, \quad (1)$$

where b_0 , b_1 , b_2 , and b_3 are free parameters. M_{Ξ} and M_K are the average mass values of the Ξ^- and K^0 from the PDG [69]. The addition of the square-root term assists in describing the greater curvature on the low-mass side of the $\Omega(2012)$ peak; a similar term was used in the Φ -meson studies described in Refs. [81,82] for the same reason. The fitting procedure for these two methods is illustrated in Figs. 1(b) and 1(c). The results obtained using the two methods are compatible within several percent. They are compared during the calculation of the systematic uncertainties (listed as “combinatorial background” in Table II), and their arithmetic mean is used to calculate the mass, width, and yield values.

In all cases, a Voigt function is used to parametrize the signal component. This function has the form given in

Eq. (2) below. It is the convolution of a Breit-Wigner distribution and a Gaussian (which describes the mass resolution), as follows:

$$P(M_{\Xi K}) = \frac{A\Gamma}{(2\pi)^{3/2}\sigma} \int_{-\infty}^{\infty} \exp\left[-\frac{(M_{\Xi K} - m')^2}{2\sigma^2}\right] \times \frac{1}{(m' - \mu)^2 + \Gamma^2/4} dm'. \quad (2)$$

Here, A is a scale factor, Γ is the Breit-Wigner width parameter, σ is the Gaussian resolution parameter, μ is the most probable value of the distribution, and m' is the variable of integration. Monte Carlo simulations (described below) indicate that the σ parameter of the Voigt peak is approximately 6 MeV/c², increasing with the transverse momentum of the decaying $\Omega(2012)$. This is of the same order of magnitude as the width Γ of the resonance, which

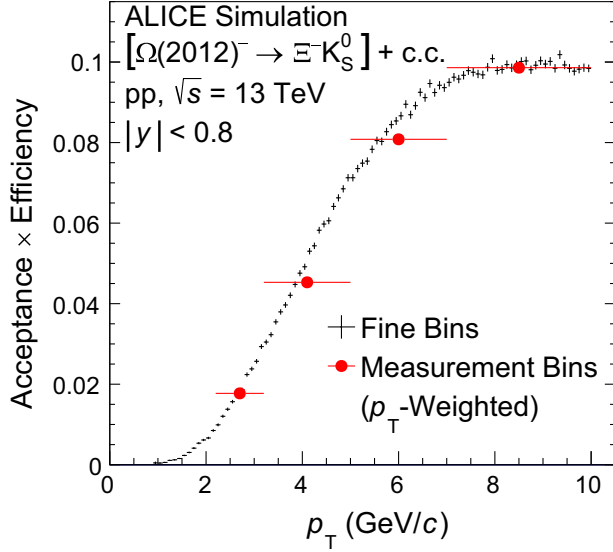


FIG. 2. Product of the acceptance and efficiency ($A \times \epsilon$) for $\Omega(2012)$ baryons within the rapidity range $|y| < 0.8$ in pp collisions at $\sqrt{s} = 13$ TeV. The value of $A \times \epsilon$ is shown in the same wide p_T bins as used in the measurement of the p_T spectrum in the real data (after the p_T -weighting procedure) and also in fine p_T bins. Only statistical uncertainties are shown.

motivates the choice of the Voigt function to describe the peak. The resolution parameter σ is fixed to the value extracted from the aforementioned simulations for each p_T bin. In the evaluation of the systematic uncertainties, σ is fixed to the lowest and highest simulation values (described below), and alternatively, the Breit-Wigner width parameter Γ of the Voigt peak is fixed to the Belle value [1]. The invariant-mass distribution is fitted over the range $1.92 < M_{\Xi K} < 2.11$ GeV/ c^2 , with several alternate intervals used during the evaluation of the systematic uncertainties. The mass and width are extracted from these fits. The simulations indicate that, due to detector effects in the $\Omega(2012)$ reconstruction procedure, the reconstructed $\Omega(2012)$ mass is 0.48 ± 0.11 MeV/ c^2 larger than the generated (initial) mass. The measured $\Omega(2012)$ mass is corrected to account for this, and the associated uncertainty is listed as “mass shift” in Table II.

The yield is calculated by subtracting the integral of the background function from integral of the same-event invariant-mass distribution over the range $2.00 < M_{\Xi K} < 2.03$ GeV/ c^2 . The yield outside this integration range is computed by integrating the tails of the peak fit function. This accounts for about 15% of the peak area and is added to the yield as a correction. Alternatively, the yield can be extracted directly from the fit function, but the resulting variations in the yields are well within the systematic uncertainties. The systematic uncertainty due to variations in how the Voigt function σ and Γ parameters are fixed, fitting range, and invariant-mass bin width is listed as “signal extraction” in Table II.

D. Parametrized spectrum

Several components of this analysis (discussed in subsequent sections) require a realistic estimate of the shape of the $\Omega(2012)p_T$ spectrum for HM pp collisions at $\sqrt{s} = 13$ TeV. In particular, the spectral shape is needed to properly weight the calculations of the acceptance and efficiency, mass resolution, and mean mass shift. A realistic p_T spectral shape is also needed to extrapolate the $\Omega(2012)$ yield to the unmeasured p_T region. However, since this paper reports the first measurement of the $\Omega(2012)p_T$ spectrum, an independent estimate of the spectral shape is needed.

To derive such a spectrum, the following procedure is used. First, the measured Ωp_T spectra in pp collisions at $\sqrt{s} = 13$ TeV [79] in different charged-particle multiplicity classes are fitted with a Lévy-Tsallis function [83–85], as follows:

$$\frac{d^2N}{dp_T dy} = p_T \times \frac{A(n-1)(n-2)}{nC[nC + M(n-2)]} \left[1 + \frac{\sqrt{p_T^2 + M^2} - M}{nC} \right]^{-n}. \quad (3)$$

Here, A , C , and n are free parameters, while M is fixed to the Ω mass. The parameters C and n are observed to increase approximately linearly with increasing $\langle dN_{ch}/d\eta \rangle$, the mean charged-particle multiplicity density at midrapidity. Next, linear functions are used to extrapolate the parameters C and n to $\langle dN_{ch}/d\eta \rangle = 31.53$, the mean multiplicity for the data sample in which this $\Omega(2012)$ study is performed [78]. Then an m_T -scaling procedure is used to convert the ground-state Ωp_T spectrum to an estimated p_T spectrum for the $\Omega(2012)$. The m_T -scaling hypothesis assumes that the invariant cross section

$$E \frac{d^3\sigma}{d^3p} = \frac{d^3\sigma}{d\phi dy p_T dp_T} = \frac{d^3\sigma}{d\phi dy m_T dm_T}$$

has the same shape as a function of $m_T \equiv \sqrt{p_T^2 + M^2}$ for all baryons. In Refs. [81,85], baryon spectra measured at STAR and ALICE in inelastic pp collisions appear to follow common trends as functions of m_T , consistent with this hypothesis. The $\Omega(2012)p_T$ spectrum is taken to have the same form as Eq. (3) with the substitution $\sqrt{p_T^2 + M^2} \rightarrow \sqrt{p_T^2 + M_{\Omega(2012)}^2}$. The remaining instances of the mass parameter M are fixed to the ground-state Ω mass, and the parameters C and n are fixed to the values derived from the ground-state Ωp_T spectra as discussed above. It has been verified that the ground-state Ωp_T spectrum in the highest measured multiplicity class in pp collisions at $\sqrt{s} = 13$ TeV can be reproduced within its uncertainties through a similar m_T -scaling procedure, starting from the $\Xi^- p_T$ spectrum. The parametrized $\Omega(2012)$ spectrum is shown in Fig. 4, along with the

measured p_T spectrum (discussed below). Alternate parametrized p_T spectra are obtained through m_T scaling of other baryon p_T spectra, using an m_T -scaled Ω spectrum without multiplicity extrapolation, and extrapolating the Lévy-Tsallis parameters to a larger multiplicity value, $\langle dN_{ch}/d\eta \rangle = 35.82$ (corresponding approximately to the 0–0.01% of the visible cross section with the highest multiplicities) [78]. The results of this study are found to be largely insensitive to the choice of the p_T spectral shape. As discussed below, the alternate p_T spectra are used to calculate systematic uncertainties for the affected observables.

E. Quantities derived from simulations

To evaluate the resolution parameter of the Voigt function, the mass shift, and the product of the acceptance and reconstruction efficiency for the $\Omega(212)$, simulations of $\Omega(212)$ baryons embedded into PYTHIA 8 [86,87] events were produced. The masses of the embedded $\Omega(212)$ baryons followed a Breit-Wigner distribution with the width and most probable value set to the values measured by Belle [1]. The simulated final-state particles were propagated through a GEANT 4 [88] simulation of the ALICE detector and the same reconstruction procedures that were used for the real data.

The product of the acceptance and the efficiency, denoted $A \times \epsilon$, is the fraction of the $\Omega(212)$ originally generated in the simulation that go on to be successfully reconstructed, with all decay products satisfying the selection criteria. This quantity is shown in Fig. 2 as a function of p_T for the rapidity range $|y| < 0.8$. It is observed that $A \times \epsilon$ for the $\Omega(212)$ is independent of the particle's mass, allowing for the use of an unmodified Voigt function to describe the peak. The $\Omega(212)$ yield in each p_T interval is corrected by $A \times \epsilon$ to obtain the p_T spectrum shown in Fig. 4. In order to account for possible multiplicity mismatches between the real data and the simulation, a constant 4% systematic uncertainty is assumed to be associated with $A \times \epsilon$, contributing to the systematic uncertainties for the p_T -differential and p_T -integrated $\Omega(212)$ yields. This value is derived from Refs. [79,89,90], in which a constant 2% systematic uncertainty is applied to K_S^0 , Λ , Ξ , and Ω yield measurements in pp and p–Pb collisions to account for possible multiplicity dependence of the efficiencies. The $\Omega(212)$ decays to a K_S^0 and a Ξ , so the linear sum of the two $A \times \epsilon$ uncertainties for those decay products is assumed for this study. This uncertainty is listed as “acceptance $\times$ efficiency” in Table II. The statistical uncertainty of $A \times \epsilon$ is negligible for the four wide p_T bins in which the yield is measured. The simulated $\Omega(212)$ were uniformly distributed in transverse momentum over the range $1 < p_T < 10$ GeV/ c . Therefore, during the $A \times \epsilon$ calculation, the simulated $\Omega(212)$ are weighted based on their p_T , using the parametrized p_T spectrum described in Sec. II D. The $A \times \epsilon$, and therefore the $\Omega(212)$ yield, has only a small

dependence on the parametrized p_T spectrum. The “spectrum fit variations” systematic uncertainty listed in Table II is estimated from the variations in the p_T -differential $\Omega(212)$ yield when alternate parametrized p_T spectra are used to calculate $A \times \epsilon$.

The mass resolution and mean mass shift (average difference between the generated and reconstructed masses) are also extracted from these simulations. The resolution is used in the Voigt fits described above, while the mass shift is used to correct the measured mass. Multiple techniques are used to extract these quantities, which are used to obtain their systematic uncertainties. First, the reconstructed mass distribution is fitted with a Voigt function. Second, the difference $\Delta M \equiv M_{\text{reconstructed}} - M_{\text{generated}}$ is calculated for each simulated $\Omega(212)$, and the resolution and mean mass shift are extracted from the ΔM distribution. The extracted parameters are weighted to account for the expected p_T distribution for reconstructed $\Omega(212)$ baryons, which is the product of the parametrized p_T spectrum, the acceptance, and the efficiency (more details on these factors are presented below). The systematic uncertainties of the resolution and mass shift also account for the use of alternate parametrized p_T spectra in this weighting procedure.

III. RESULTS

A. Mass and width

The mass and width of the $\Omega(212)$ are observed to be

$$M_{\Omega(212)} = [2013.35 \pm 0.57(\text{stat}) \pm 0.27(\text{sys})] \text{ MeV}/c^2, \quad (4)$$

$$\Gamma_{\Omega(212)} = [6.2 \pm 2.1(\text{stat}) \pm 2.0(\text{sys})] \text{ MeV}/c^2. \quad (5)$$

Figure 3 shows comparisons of these values to the measurements by Belle [1,2]. The ALICE mass value has smaller uncertainties than the Belle measurements and is consistent with both of them. The ALICE measurement of the $\Omega(212)$ width is consistent with the Belle measurement and the two have similar uncertainties.

The significance of the observed $\Omega(212)$ signal for $p_T > 2.5$ GeV/ c is 15σ . This is evaluated as $S/\sqrt{S+B}$, where S is the number of signal counts measured in the range $2.00 < M_{\Xi K} < 2.03$ GeV/ c^2 and $S+B$ is the combined number of signal and background counts in the same range (without subtraction of the mixed-event background). The first observation of the $\Omega(212)$, reported by the Belle Collaboration [1], had a significance of 7.2σ .

B. Yields

The $\Omega(212)$ transverse-momentum spectrum, not corrected for the unmeasured $\Omega(212) \rightarrow \Xi K_S^0$ branching ratio, is shown in Fig. 4. Small additional systematic

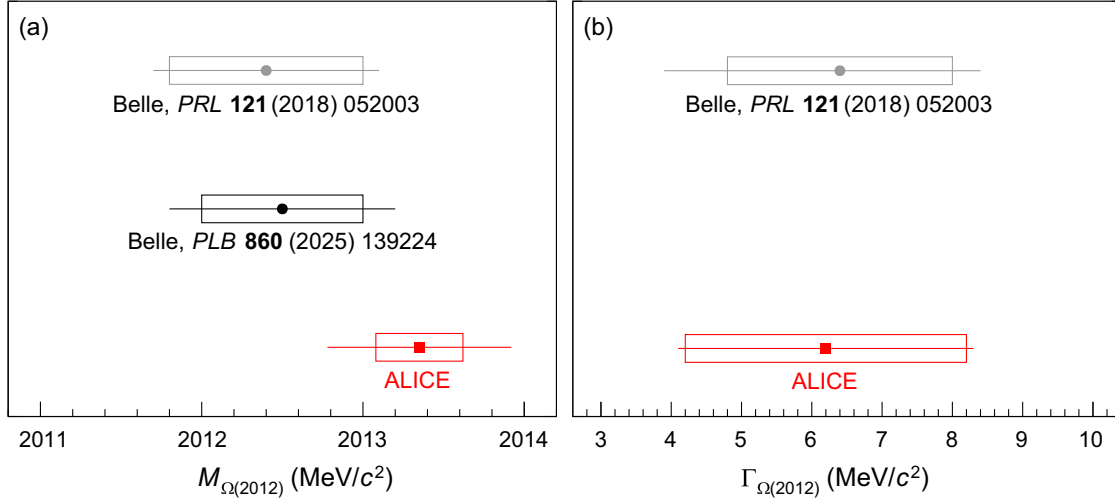


FIG. 3. Comparison of mass (a) and width (b) measurements of the $\Omega(2012)$. The plotted results are from Belle [1,2] and ALICE (this work). Statistical uncertainties are shown as bars, and systematic uncertainties are shown as boxes.

uncertainties arise from imperfect knowledge of the ALICE material budget and the hadronic interaction cross sections for the decay products; these uncertainties were evaluated as in Ref. [79] and are listed in Table II. The integrated $\Omega(2012)$ yield for $2.2 < p_T < 10$ GeV/c (not corrected for the unmeasured branching ratio) is found to be

$$\begin{aligned} \mathcal{B}[\Omega(2012)^- \rightarrow \Xi^- K_S^0] \times (dN/dy)_{\text{measured}} \\ = [1.75 \pm 0.20(\text{stat}) \pm 0.15(\text{sys})] \times 10^{-4}. \end{aligned} \quad (6)$$

For the p_T spectrum, the “material budget,” “hadronic interaction cross section,” and “acceptance $\times$ efficiency” systematic uncertainties are assumed to be fully correlated between p_T intervals. The “decay product selection,” “combinatorial background,” and “signal extraction” uncertainties may have both p_T -correlated and p_T -uncorrelated components. To determine the p_T -correlated component, the parameters of the analysis (decay product selections, combinatorial background, fit range, etc.) are varied and the resulting variations in $(dN/dy)_{\text{measured}}$ are compared to the variations of the yields in the individual p_T intervals. From this comparison, it is found that the total p_T -correlated component of these uncertainties is 2.3% of the yield; the combination of this contribution and the three fully p_T -correlated uncertainties is 5.1% on average.

The spectrum is fitted with the aforementioned parameterized p_T spectrum function, with only the overall scale factor allowed to vary. The full p_T -integrated $\Omega(2012)$ yield is the sum of the yields measured in each of the four p_T bins, added to the extrapolated yields (derived from the fit function) for the unmeasured low- and high- p_T regions. The mean transverse momentum $\langle p_T \rangle$ is calculated as follows:

$$\langle p_T \rangle = \frac{\sum_{j=0}^5 (\langle p_T \rangle_j \times Y_j)}{\sum_{j=0}^5 Y_j}. \quad (7)$$

In the sums, bins 1–4 are the measured p_T bins, while bins 0 and 5 are the unmeasured low- and high- p_T regions, respectively. The Y_j values are the $\Omega(2012)$ yields (dN/dy) in each bin. The yields Y_0 and Y_5 are calculated by integrating the fit function, while the other Y_j values are the measured values shown in Fig. 4 (scaled by the p_T bin width). The mean transverse momentum in each bin is denoted $\langle p_T \rangle_j$; all six values are extracted from the fit function.

Additional systematic uncertainties on these quantities are estimated by using alternate fits to extrapolate the yield; this uncertainty is listed as “spectrum fit variations” in Table II. The considered variations include the use of different fitting ranges, the alternate parameterized p_T spectra (obtained from measurements of other baryons as described above), m_T -exponential, Boltzmann, and Fermi-Dirac distributions, and an unconstrained Lévy-Tsallis function. The full p_T -integrated $\Omega(2012)$ yield (not corrected for the unmeasured branching ratio) and the mean transverse momentum are observed to be

$$\begin{aligned} \mathcal{B}[\Omega(2012)^- \rightarrow \Xi^- K_S^0] \times dN/dy \\ = [4.2 \pm 0.3(\text{stat})_{-0.6}^{+1.5}(\text{sys})] \times 10^{-4}, \end{aligned} \quad (8)$$

$$\langle p_T \rangle = [2.15 \pm 0.08(\text{stat})_{-0.26}^{+0.14}(\text{sys})] \text{ GeV}/c. \quad (9)$$

C. Branching ratios

Experimental $\Omega(2012)$ and Ω yields can be used together with a statistical model calculation of the $\Omega(2012)/\Omega$ yield ratio to obtain an estimate of the $\Omega(2012)^- \rightarrow \Xi \bar{K}$ branching ratios. This measurement was obtained for HM pp

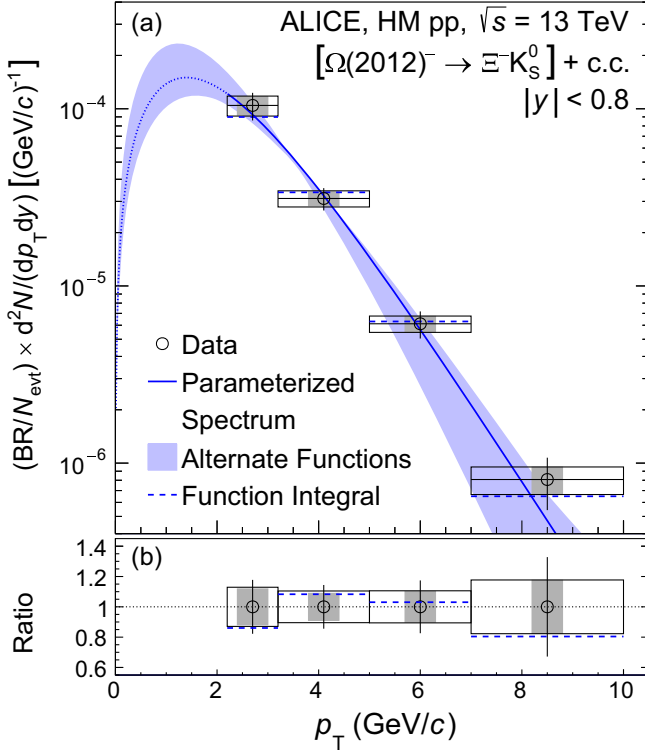


FIG. 4. (a) p_T spectrum of the $\Omega(2012)$ in HM pp collisions at $\sqrt{s} = 13$ TeV (not corrected for the unmeasured branching ratio (BR) for the studied decay channel). Vertical bars represent statistical uncertainties, empty boxes represent the total systematic uncertainties, and shaded boxes represent the portion of the systematic uncertainties that is uncorrelated between p_T intervals (only slightly smaller than the total systematic uncertainties). The data points are plotted at the center of each p_T interval and the horizontal bars and boxes span the entire width of each p_T interval. The curve is the parameterized spectrum for the $\Omega(2012)$ baryon. The shaded band surrounding the curve indicates the region spanned by the envelope of alternate functions used to describe the spectrum, which affect the extrapolation of the yield to low p_T . The horizontal dashed lines labeled “Function Integral” show the integral of the parameterized spectrum over each of the four measured p_T intervals. (b) The horizontal dashed lines show the ratio of the parameterized yield to the measured $\Omega(2012)$ yield in each of the four p_T intervals. The bars and boxes indicate the fractional uncertainties (statistical, total systematic, and p_T -uncorrelated systematic) of the measured data.

collisions, but a measurement of the corresponding ground-state Ω yield has not yet been published. Therefore, the Ω yield is estimated by interpolation of previous ALICE measurements in pp collisions at $\sqrt{s} = 13$ TeV at lower multiplicities [79] and in p–Pb collisions at $\sqrt{s_{NN}} = 5.02$ TeV [89]. The Ω yields appear to follow the same trend as a function of $\langle dN_{ch}/d\eta \rangle$, independent of collision system or energy. The interpolation is performed using a function of the form

$$dN_{\Omega}/dy = A \langle dN_{ch}/d\eta \rangle^n, \quad (10)$$

where A and n are free parameters. The interpolated Ω yield at $\langle dN_{ch}/d\eta \rangle = 31.53$ is estimated to be 0.0179 ± 0.0023 . The uncertainty of the interpolated Ω yield originates from the uncertainties of the measured yields, including statistical, multiplicity-uncorrelated systematic, and multiplicity-correlated systematic contributions.

The observed $\langle dN_{ch}/d\eta \rangle$ dependence of the Ω yields in pp and p–Pb collisions is well described by statistical thermal models formulated in the canonical ensemble with charge conservation [91–95]. In Ref. [93], analytic parametrizations were obtained to find statistical thermal model parameters as functions of $\langle dN_{ch}/d\eta \rangle$. For $\langle dN_{ch}/d\eta \rangle = 31.53$, these parametrizations give a chemical freeze-out temperature of $T = 167$ MeV, a system volume per unit rapidity of $dV/dy = 75.7$ fm³, and a strangeness suppression factor of $\gamma_S = 0.853$. Since the Ω and $\Omega(2012)$ have the same minimal quark content, their yields should evolve similarly as a function of $\langle dN_{ch}/d\eta \rangle$, and any dependence on dV/dy or γ_S will cancel. The ratio of the Ω and $\Omega(2012)$ yields should depend only on the chemical freeze-out temperature of the system, and the masses and spins of the Ω and $\Omega(2012)$. The $\Omega(2012)/\Omega$ yield ratio reduces to the thermal density ratio in the grand canonical ensemble [50], as follows:

$$\frac{\Omega(2012)_{SM}}{\Omega_{SM}} = \frac{2J_{\Omega(2012)} + 1}{2J_{\Omega} + 1} \times \frac{M_{\Omega(2012)}^2}{M_{\Omega}^2} \times \frac{K_2(M_{\Omega(2012)}/T)}{K_2(M_{\Omega}/T)}. \quad (11)$$

Here, M and J denote the masses and spins of the particles, T denotes the chemical freeze-out temperature, and K_2 is the modified Bessel function of the second type with order 2. For the sake of brevity, here and below, the particle symbols Ω and $\Omega(2012)$ are used to represent the particle yields dN_{Ω}/dy and $dN_{\Omega(2012)}/dy$. Using $T = 167$ MeV, the value for $M_{\Omega(2012)}$ reported in this paper, and $J_{\Omega(2012)} = \frac{3}{2}$, it is found that $\Omega(2012)_{SM}/\Omega_{SM} = 0.1666$. Assuming $J_{\Omega(2012)} = \frac{1}{2}$ scales the yield ratio by a factor of 0.5, while assuming $J_{\Omega(2012)} = \frac{5}{2}$ scales the yield ratio by a factor of 1.5. The following calculations assume $J_{\Omega(2012)} = \frac{3}{2}$ for the reasons discussed in the introduction. It has been verified that other thermal-model implementations reproduce the analytic result described above. Using the T , dV/dy , and γ_S values extracted from Ref. [93], the Thermal-FIST framework [96] reproduces the $\Omega(2012)/\Omega$ yield ratio found using Eq. (11). A calculation following Ref. [95] with $T = 156.5$ MeV also reproduces the analytic result. A ± 11 MeV uncertainty is assigned to the chemical freeze-out temperature. This covers the range of variation in the extracted temperature from recent thermal-model fits of light-flavor hadron yields for collision systems with $\langle dN_{ch}/d\eta \rangle \approx 30$ [91–95]. This translates into a $\pm 13\%$

uncertainty in the $\Omega(2012)/\Omega$ yield ratio. Using the mass values reported by Belle [1,2] results in a negligible change in this yield ratio.

Combining these results, an estimate of the $\Omega(2012)^- \rightarrow \Xi^- \bar{K}^0$ branching ratio is obtained:

$$\begin{aligned} \mathcal{B}[\Omega(2012)^- \rightarrow \Xi^- \bar{K}^0] \\ = \frac{2 \times \mathcal{B}[\Omega(2012)^- \rightarrow \Xi^- K_S^0] \times \Omega(2012)_{\text{ALICE}}}{\Omega_{\text{ALICE}}} \\ \times \frac{\Omega_{\text{SM}}}{\Omega(2012)_{\text{SM}}} = 0.28_{-0.07}^{+0.12}. \end{aligned} \quad (12)$$

The factor of 2 accounts for the fact that only half of the produced K^0 and $\bar{K}^0$ mesons decay as K_S^0 . The largest contribution to the uncertainty comes from the ALICE $\Omega(2012)$ yield, with other independent contributions coming from the uncertainties in the interpolated ALICE Ω yield and the ± 10 MeV variation in the temperature, which affects the thermal-model calculation of the $\Omega(2012)/\Omega$ yield ratio.

Belle reported [1] that the ratio of the two-body branching ratios is

$$\frac{\mathcal{B}[\Omega(2012)^- \rightarrow \Xi^0 K^-]}{\mathcal{B}[\Omega(2012)^- \rightarrow \Xi^- \bar{K}^0]} = 1.2 \pm 0.3. \quad (13)$$

From this, the branching ratio for $\Omega(2012)^- \rightarrow \Xi^0 K^-$ and the combined two-body branching ratio for $\Omega(2012)^- \rightarrow \Xi \bar{K}$ are estimated, as follows:

$$\mathcal{B}[\Omega(2012)^- \rightarrow \Xi^0 K^-] = 0.34_{-0.12}^{+0.16}, \quad (14)$$

$$\mathcal{B}[\Omega(2012)^- \rightarrow \Xi \bar{K}] = 0.62_{-0.17}^{+0.27}. \quad (15)$$

The Belle Collaboration has measured the three-body $\Omega(2012) \rightarrow \Xi \pi \bar{K}$ decays [2]. It was observed that these occur predominantly through the resonant channel $\Omega(2012) \rightarrow \Xi(1530) \bar{K} \rightarrow \Xi \pi \bar{K}$, with no significant non-resonant contribution seen. It was found that the ratio of the three-body branching fraction to the two-body branching fraction is $\mathcal{R}_{\Xi \bar{K}}^{\Xi \pi \bar{K}} = 0.99 \pm 0.26 \pm 0.06$. Under the assumption that all $\Omega(2012)$ decays are either $\Omega(2012)^- \rightarrow \Xi \bar{K}$ or $\Omega(2012)^- \rightarrow \Xi \pi \bar{K}$, the Belle measurement of $\mathcal{R}_{\Xi \bar{K}}^{\Xi \pi \bar{K}}$ would imply a two-body branching ratio $\mathcal{B}[\Omega(2012)^- \rightarrow \Xi \bar{K}] = 0.50_{-0.06}^{+0.08}$; the ALICE estimate is consistent with this value within uncertainties. Thus, the measured branching ratios reported in Eqs. (12), (14), and (15), as well as the Belle measurement of $\mathcal{R}_{\Xi \bar{K}}^{\Xi \pi \bar{K}}$, disfavor models of the $\Omega(2012)$ structure that require large branching ratios (close to unity) for the three-body decays.

The preceding calculations assumed $J_{\Omega(2012)} = \frac{3}{2}$. If it is assumed $J_{\Omega(2012)} = \frac{1}{2}$, then the combined two-body branching ratio would have a lower limit of 0.9, which is incompatible with the Belle measurement of $\mathcal{R}_{\Xi \bar{K}}^{\Xi \pi \bar{K}}$. This

reinforces the arguments discussed earlier that the $\Omega(2012)$ is most likely to have a spin of $\frac{3}{2}$.

A cross-check of this branching-ratio study has been performed using measurements and model calculations of the $\Xi(1530)^0/\Xi^-$ yield ratio (where the particle symbols should be interpreted as also representing the antiparticles). In the ALICE Collaboration's measurement of $\Xi(1530)^0$ yields as a function of $\langle dN_{\text{ch}}/d\eta \rangle$ in pp collisions at $\sqrt{s} = 13$ TeV, the measured $\Xi(1530)^0$ yield was corrected for the $\Xi(1530)^0 \rightarrow \Xi^- \pi^+$ branching ratio [97]. That branching ratio was assumed to be exactly $\frac{2}{3}$, based on isospin considerations and value of the total $\Xi(1530)^0 \rightarrow \Xi \pi$ branching ratio, which is 100% [69]. Under this assumption, the $\Xi(1530)^0/\Xi^-$ yield ratio was reported to be $0.332 \pm 0.012(\text{stat.}) \pm 0.051(\text{sys.})$ for the highest multiplicity interval reported, with $\langle dN_{\text{ch}}/d\eta \rangle = 18.67 \pm 0.20$. Statistical models also assume that the $\Xi(1530)^0 \rightarrow \Xi^- \pi^+$ branching ratio is $\frac{2}{3}$, which is relevant for the calculation of the feed-down of $\Xi(1530)^0$ to Ξ^- . A calculation following Ref. [95] gives $\Xi(1530)^0/\Xi^- = 0.36$. If model parameters are extracted based on the parametrizations in Ref. [93], then used with the Thermal-FIST framework [96], the yield ratio is found to be $\Xi(1530)^0/\Xi^- = 0.38$. The model calculations of the $\Xi(1530)^0/\Xi^-$ yield ratio, the ALICE measurement of that ratio, and the branching ratio value are all consistent with each other. This indicates that model and experimental yield-ratio values can be employed to estimate unknown branching ratios for other multistrange baryons, as was done above for the $\Omega(2012)$.

IV. CONCLUSION

In summary, the study reported in this paper corroborates the discovery of the $\Omega(2012)$ baryon. A signal with a significance of 15σ has been observed in high-multiplicity-triggered pp collisions at $\sqrt{s} = 13$ TeV. The mass and width of the particle are measured to be $[2013.35 \pm 0.57(\text{stat}) \pm 0.27(\text{sys})] \text{ MeV}/c^2$ and $[6.2 \pm 2.1(\text{stat}) \pm 2.0(\text{sys})] \text{ MeV}/c^2$, respectively; these values are consistent with the previous measurements by Belle [1,2]. The first measurement of the p_T -dependent and p_T -integrated production yield of the $\Omega(2012)$ baryon has also been reported, along with a measurement of its mean transverse momentum. Furthermore, based on a comparison to the statistical thermal model expectation, the total branching ratio for the $\Omega(2012)^- \rightarrow \Xi \bar{K}$ decays is estimated to be $0.62_{-0.17}^{+0.27}$. These results may provide useful information for studies of the structure of the $\Omega(2012)$ and the chemistry of the matter produced in high-energy hadronic and nuclear collisions. The LHC is in its third running period and the ALICE detector has been upgraded, significantly increasing the number of events it can record. This advancement, combined with implementation of

machine-learning techniques to identify $\Omega(2012)$ candidates, may enable further, more precise measurements of $\Omega(2012)$ production and properties and may allow for a search for the signatures of chiral symmetry restoration using excited Ω baryons.

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DATA AVAILABILITY

The data that support the findings of this article are openly available [98].

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