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# Global stability of the Atlantic overturning circulation: edge state, long transients and boundary crisis under CO<sub>2</sub> forcing

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The Atlantic Meridional Overturning Circulation (AMOC), a major ocean current system, could transition to a weak state. Despite severe associated climate impacts, assessing the AMOC’s response under global warming and its proximity to possible critical thresholds remains difficult. To understand future Earth system stability, a global dynamical view is needed beyond the local stability analysis associated with classical early-warning methods. Using an intermediate-complexity climate model, we explore the stability landscape of the AMOC for different atmospheric CO<sub>2</sub> concentrations. We explicitly compute the edge state (or Melancholia state), a chaotic saddle on the basin boundary separating the strong and weak AMOC attractors found in the model. Despite being unstable, the edge state can govern the transient climate for centuries, supporting centennial AMOC oscillations driven by atmosphere–ice–ocean interactions in the

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North Atlantic. At increased CO<sub>2</sub> levels projected for the near future, we reveal a boundary crisis where the current AMOC attractor disappears by colliding with the edge state. Under crisis overshoot, long chaotic transients owing to a ‘ghost state’ lead to ensemble splitting under time-varying forcing. Rooted in dynamical systems theory, our results offer an explanation of large ensemble variance and apparent ‘stochastic bifurcations’ observed in earth system models under intermediate forcing scenarios.

This article is part of the theme issue ‘Critical transitions and intelligent control in complex systems’.

## 1. Introduction

Earth’s climate is a metastable complex system [1]: on various scales, the variability of paleoclimate records is characterized by relatively abrupt transitions between distinct long-lived climatic regimes [2–4]. From a dynamical systems perspective, we may interpret the observed metastability by regarding the Earth system as a forced multistable system featuring a hierarchy of competing attracting states [5,6]. The stability landscape of the underlying time-frozen system is thereby described by a global quasipotential based on Graham’s field theory [7,8], with local minima of the landscape corresponding to attractors. The quasipotential landscape of the Earth system has been explored in the context of the Cenozoic Era [9] and for our planet’s multistable extent of glaciation (‘Snowball Earth’) [6,10,11].

Here, we close in on a key feature of the present-day climate thought to be multistable: the Atlantic Meridional Overturning Circulation (AMOC), a widely studied system of large-scale ocean currents [12–14]. The AMOC plays a vital role in climate by transporting heat northwards, supporting northern Europe’s relatively mild climate [15]. A suspected driver of past climate metastability [12], the AMOC is one of the proposed climate tipping elements [16,17]. In light of anthropogenic climate change [18], there is growing concern that one or more tipping elements could cross a *tipping point* and transition to a qualitatively different state, with severe consequences for humanity and nature [19,20]. The possibility of tipping events complicates climate prediction, contributing large uncertainty to risk management and adaptation strategies.

How the AMOC will respond to global warming is an urgent open question. Climate models forced with greenhouse gas emissions scenarios until the year 2100 consistently project a decline of the AMOC, though its magnitude is model-dependent [18,21]. While some studies infer a recent weakening from reconstructions [22], direct measurements are short and noisy [23]. An AMOC shutdown would have severe global impacts, including a relative cooling of the northern hemisphere, reduction in precipitation and increased storminess in Europe, shifts of rainfall patterns globally, and regional accelerations in sea-level rise [24,25]. Even without a full shutdown, a partial collapse of the circulation could result from the shutdown of deep convection zones in the North Atlantic Subpolar Gyre (SPG) [26,27]. Such a transition could cause qualitatively similar impacts within decades [28].

A hierarchy of climate models—from box models [29] to intermediate-complexity [30,31] and comprehensive earth system models [32,33]—indicates that the AMOC can be multistable [34–36]. In a certain regime of atmospheric heat and freshwater forcing, a vigorous flow state resembling today’s circulation (ON state) coexists with a much weaker or collapsed overturning state (OFF state). While there could be additional competing states [37], this bistability underlies the classical view of the AMOC as a tipping element. The bistability stems from the positive salt-advection feedback, describing the interdependence between the AMOC flow strength and northward salt transport [15,38], which could be triggered by surface buoyancy changes in the North Atlantic.

To address the risk of an AMOC transition, research has aimed at detecting early warning signs (EWS), determining critical forcing levels and estimating tipping times. A series of recent studies [39–41] has applied statistical EWS to time series of observed AMOC reconstructions, suggesting that the AMOC is approaching a tipping point that could be reached this century [41].

These methods rely on the concept of critical slowing-down as the system approaches a bifurcation. An indicator based on the salt import into the Atlantic supported these findings when applied to reanalysis data [42]. However, these methods have serious limitations that make the robust prediction of a transition difficult in practice, if not prohibitive [43]. A crucial underlying assumption is that the system remains close to an equilibrium state, which may not hold given the current rate of anthropogenic forcing. Instead, the scenario of nonautonomous or rate-dependent tipping [17,44] is more appropriate, for which an EWS theory is missing and indicators based on critical slowing-down fail [45].

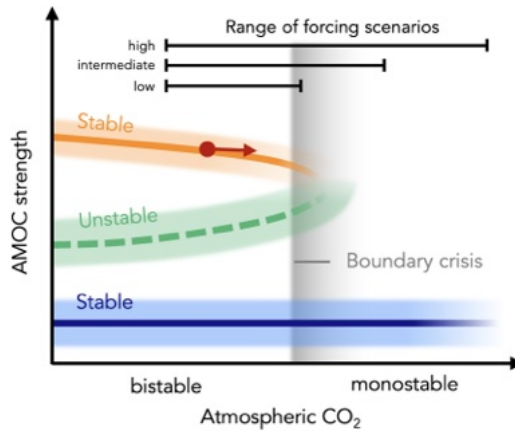
The trajectory of the Earth system constitutes one realization of a chaotic complex system [46]. This implies limits to predictability intrinsically linked with chaos [47,48]. Near critical thresholds of multistable systems, the sensitive dependence on the initial condition (predictability of the first kind [47]) can strongly inhibit the predictability of the asymptotic state (second kind) [49,50]. Particularly, an ensemble of trajectories may partially tip under identical time-dependent forcing, simply owing to internal variability: some ensemble members transition, while others do not. Ensemble splitting has been found in climate models of intermediate and high complexity [50–53]. In the NASA GISS-E2-1-G Earth system model (hereafter GISS model) simulations included in the Coupled Model Intercomparison Project Phase 6 (CMIP6), an ensemble sampled from internal variability showed divergent AMOC behaviour described as a ‘stochastic bifurcation’ [52]. By construction, critical slowing-down indicators cannot discern between trajectories that tip and those that do not [45]. To understand this behaviour and predict tipping in chaotic nonautonomous systems, a *global* stability view beyond stable equilibria is needed.

While studies often emphasize the binary question of tipping or not tipping, the transient behaviour can be equally important [54,55]. Long transients and metastable dynamics are often governed by unstable states (non-attracting invariant sets), which are underexplored in climate models [56]. A particular class of unstable states are *edge states*, also called *Melancholia states* [10,57]: saddles embedded in the basin boundaries that partition the state space between the competing stable states. Edge states may be defined as attractors of the dynamics restricted to the basin boundary—pictorially, ‘mountain passes’ between valleys of the global quasipotential landscape [58]. Thus, edge states often act as gateways of critical transitions (with caveats [59]). Numerically, edge states can be found using an edge tracking algorithm originally proposed in the context of turbulent flows [60–62] and recently applied to climate models [10,48,57,58].

In complex systems, the basin boundaries may exhibit a highly complicated geometry [10,48,63]. Rather than marking a sharp threshold, the boundary can be a grey zone in state space of fractal dimension where the system is virtually unpredictable. In forcing space, this leads to a tipping window, as opposed to a sharp tipping point, initiated when an attracting state collides with the boundary in a so-called boundary crisis [55,64]. In the tipping window, the system may undergo long transients, such that a transition might occur thousands of years after a loss of stability [65]. These aspects highlight that determining a precise tipping threshold or timing may not be possible in finite time, requiring a more holistic assessment of stability.

In this work, we take a global view on the state space of the climate system. We explore the stability landscape of PlaSim-LSG, an Earth system model of intermediate complexity featuring a bistable AMOC under present-day conditions. Instead of focusing on the climates of the stable AMOC states, we investigate the edge state that lies in between and its role for transient dynamics. Building on recent studies using a conceptual climate model [48] and a global ocean circulation model [57], the key novelty here is that we use a fully coupled modelling setup with a simplified yet Earth-like description of the ocean, atmosphere, cryosphere, hydrosphere and their interactions.

We perform edge tracking for three different CO<sub>2</sub> concentrations representing the preindustrial, present-day and possible future climate. In the bistable regime (see figure 1), the edge state found in the model exhibits strong AMOC oscillations on centennial timescales, revealing a much richer dynamics than seen in [57], driven by atmosphere–ice–ocean interactions. Combining simulations under autonomous and nonautonomous forcing, we demonstrate that in our model, the AMOC



**Figure 1.** Schematic of the stability setting proposed in this study. We investigate the global stability of the AMOC at three CO<sub>2</sub> levels: two in a bistable regime, where an unstable edge state (green) separates the stable AMOC states, and one in a proposed monostable regime, near a boundary crisis. We then use the results to understand the AMOC behaviour under time-dependent CO<sub>2</sub> forcing scenarios (black ranges). The grey region indicates the tipping window in which long transients and ensemble splitting may occur.

undergoes a boundary crisis at CO<sub>2</sub> levels exceeded even under intermediate emission scenarios proposed by the Intergovernmental Panel on Climate Change (IPCC). At the critical forcing level, the ON state merges with the edge state giving rise to a so-called ghost state, a long-lived yet unstable chaotic set [48,67,68]. In a forcing window near but beyond the crisis, we observe centennial to millennial transient behaviour which alternates between modes of variability reminiscent of the ON and edge states, before the circulation ultimately approaches the OFF state. Our findings help explain the key aspects of the divergent AMOC behaviour observed in more comprehensive earth system models [52].

Our paper is structured as follows: after introducing the model, we describe its AMOC bistability for the present-day climate and assess the AMOC response to transient CO<sub>2</sub> forcing until the year 3000 CE (§2). In §3, we implement the edge tracking algorithm to construct an edge state of the AMOC and characterize its dynamical and physical properties (§4). We further explore how the stability landscape changes as a function of CO<sub>2</sub> level, revealing a boundary crisis (§5). Relating this to transient simulations allows interpreting the dynamics observed under time-dependent CO<sub>2</sub> forcing (§6, where we directly compare with the GISS simulations [52]).

## 2. AMOC stability in PlaSim-LSG

PlaSim-LSG,<sup>1</sup> the climate model used in this study, is a coupled general circulation model of intermediate complexity, comprising a dynamic ocean, atmosphere, sea ice component and hydrological cycle [69–71]. Ice sheets and vegetation are prescribed in our setup. With around 10<sup>5</sup> degrees of freedom, the model offers a middle ground between reduced-order models and earth system models [72], producing around 700 model simulation years per day on a single CPU.

Versions of the model have previously been used to study its climate variability [73], optimal fingerprinting of climate change [74], the Snowball Earth transition [6,51] and extremes [75], particularly using rare event simulations [76–79]. PlaSim-LSG has also been employed for investigating the multicentennial variability [80] and spontaneous tipping [79] of the AMOC.

<sup>1</sup>The PlaSim-LSG model is available as open-source code at <https://github.com/jhardenberg/PLASIM>.

## (a) Model configuration

The atmosphere component of the Planet Simulator (PlaSim) [69] solves the moist primitive equations, describing the conservation of mass and momentum as well as basic thermodynamics, using simplified parameterizations of radiation, convection, precipitation and cloud processes. The prognostic equations are formulated in a spectral representation truncated at T21 resolution horizontally (roughly giving a  $5.6^\circ \times 5.6^\circ$  grid) with 10 vertical levels. The atmosphere is coupled to the Large Scale Geostrophic (LSG) ocean model [70], whereby the 50-m-thick uppermost vertical layer of LSG is used to compute air–sea fluxes. Assuming that the nonlinear terms of the Navier–Stokes equations can be neglected for large-scale ocean flows [81], the model solves the equations for momentum, temperature and salinity based on hydrostatic balance and the Boussinesq approximation. Convection is not explicitly resolved but accounted for via a convective adjustment scheme. At each time step, the scheme mixes vertically adjacent grid boxes whenever they are unstably stratified, starting from the top and iterating through the water column. Discretized on an E grid [82], LSG has an effective horizontal resolution of  $3.5^\circ \times 3.5^\circ$  and 22 vertical layers on a stretched grid with thicknesses ranging from 50 m at the surface to 1000 m in the deep ocean. The thermodynamic sea ice module is based on a zero-layer model [83] that computes the ice thickness from the thermodynamic balance at the ice–air and ice–ocean interface, accounting for snowfall. Sea ice transport is neglected.

We configure the model to roughly reflect present-day climatic conditions (with orbital parameters corresponding to around 2000 CE). Its climate sensitivity lies just above  $4^\circ\text{C}$  [71], consistent with the CMIP6 range [84]. At the baseline atmospheric  $\text{CO}_2$  concentration of 360 ppm (a level recorded in 1995), the default initialization of the model produces an AMOC with a volume transport of around 16 Sv at  $26^\circ\text{N}$  ( $1\text{ Sv} = 10^6\text{ m}^3\text{ s}^{-1}$ ), close to today’s observed value of  $16.9 \pm 1.2\text{ Sv}$  [85]. Here, the AMOC strength is defined as the maximum of the Atlantic meridional streamfunction  $\Psi$  at a given latitude  $\phi$ , taken over the depth  $z$  (below sea level), with

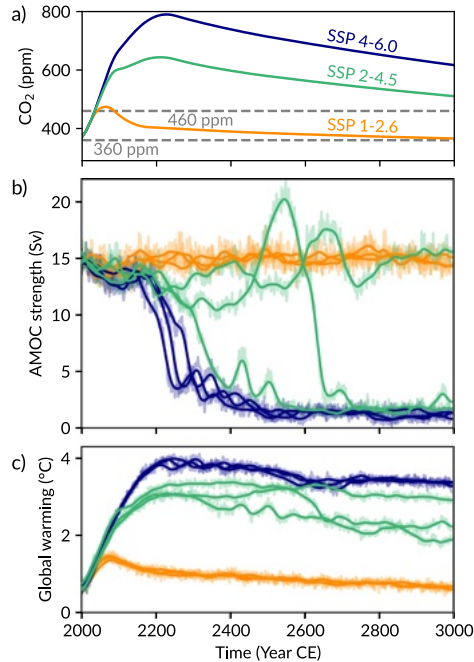
$$\Psi(\phi, z, t) = - \int_{z_0}^z \int_{\phi_W}^{\phi_E} v(\phi, \phi', z', t) r_o \cos \phi d\phi' dz', \quad (2.1)$$

where  $v$  is the meridional velocity field;  $\phi$  the longitude (ranging from the western to the eastern boundary of the Atlantic basin,  $\phi_W$  and  $\phi_E$ , respectively);  $z_0 \geq z$  is the depth of the sea floor and  $r_o$  denotes Earth’s radius. In this study, unless specified otherwise, we take the streamfunction maximum in the latitude band  $46\text{--}66^\circ\text{N}$ , following [79,80].

## (b) Transient $\text{CO}_2$ forcing experiments

As a motivating experiment, we force the model with  $\text{CO}_2$  projections of Shared Socioeconomic Pathways (SSPs), standardized scenarios for greenhouse gas concentrations until 2500 [86] (figure 2a). For each SSP, we launch an ensemble of simulations (10 members) starting in the forcing year 1995, with initial conditions branched off from a 2000-year control run at 360 ppm. We compare low emissions (SSP1–2.6), intermediate emissions (SSP2–4.5) and high emissions (SSP4–6.0). Beyond 2500, we assume that the  $\text{CO}_2$  concentration decays exponentially to 330 ppm at the rate reached in the decade before 2500.

The AMOC shows qualitatively different behaviour under the three climate change scenarios (figure 2b). For SSP1–2.6, the vigorous AMOC state is maintained over the 1000-year simulation, as exemplified for three ensemble members. In the SSP4–6.0 scenario, the AMOC collapses in the North Atlantic for all ensemble members. The abrupt decline starts after 2100 and happens within a century. Strikingly, in the intermediate SSP2–4.5 scenario, the ensemble splits, with the AMOC at  $46\text{--}66^\circ\text{N}$  sometimes persisting and sometimes collapsing after strongly varying transients. Even though all ensemble members experience an identical time-dependent forcing, the internal variability leads to a qualitatively differing AMOC response. This difference imprints itself on the global climate, including the global mean surface temperature (figure 2c). Global warming under



**Figure 2.** Simulated evolution of the AMOC and global warming in PlaSim-LSG under three extended SSP scenarios from 2000 to 3000 CE. (a) Atmospheric CO<sub>2</sub> concentration for each scenario, indicating 360 and 460 ppm as dashed lines. (b) AMOC strength (10-year smoothed, with annual variability shown as faint lines) for simulations (three ensemble members each) forced by the corresponding SSP scenario as colour-coded. (c) as (b) but showing global mean surface temperature change relative to the 1850–1900 reference.

SSP2–4.5 can differ by up to 1°C depending on the state of the AMOC. Generally, AMOC weakening reduces the global mean surface temperature, in line with expectations [87].

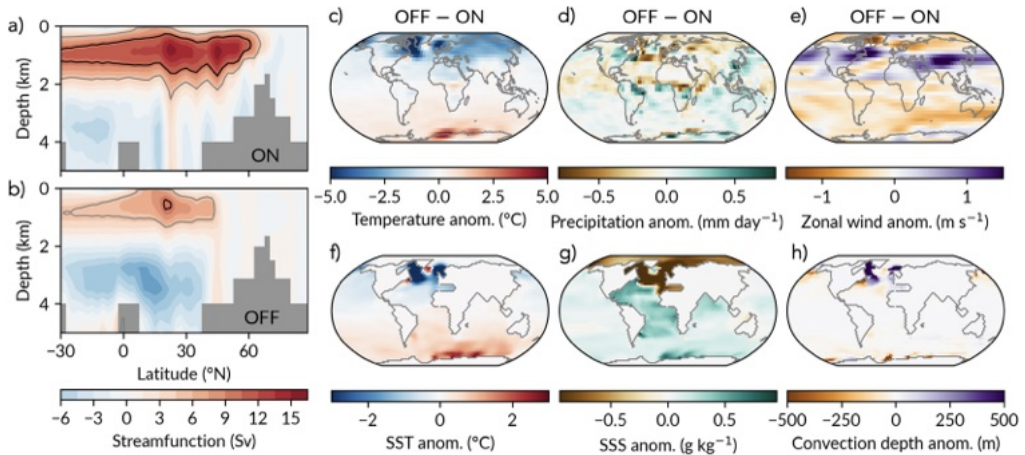
The results shown in figure 2 should not be taken as reliable future climate projections, given the reduced complexity of the model, biases [71], and the fact that we neglect other climate-relevant forcings besides CO<sub>2</sub>, such as methane and aerosol emissions or land-use change. Nonetheless, the AMOC behaviour under SSP2–4.5 is reminiscent of the ensemble splitting found in the more comprehensive GISS model [52] under the same scenario, as we discuss in §6(b).

### (c) Bistability of the AMOC

At 360 ppm CO<sub>2</sub>, the model features (at least) two distinct stable AMOC states: a strong overturning cell with an average strength of 16 Sv (ON state) and a much weaker and shallower overturning circulation that shuts down to less than about 2 Sv north of 46°N (OFF, figure 3a,b). Their stability has been verified via 4000-year long unforced simulations. Determining the precise CO<sub>2</sub> range of the bistable regime is challenging owing to the occurrence of long transients, as we discuss below.

The ON state resembles the present-day climate and large-scale ocean circulation [88]. In the OFF state, the Atlantic meridional streamfunction collapses in the region of the SPG, while a weakened overturning remains at lower latitudes ( $\approx 8$  Sv at 26°N, see figure 3b). Thus, the OFF state in PlaSim-LSG represents a weak, rather than fully collapsed, AMOC. A weak stable AMOC state is found in some models [52,89], while in other models, the OFF state corresponds to a full collapse of the streamfunction (e.g. [33,37]).

Still, the OFF state is characterized by the typical climate signal associated with an AMOC collapse, including a reduction of mean surface air temperature in the northern hemisphere (locally exceeding 10°C), a drying of North Atlantic regions including northern Europe, and a southward



**Figure 3.** AMOC bistability in PlaSim-LSG at  $\text{CO}_2$ . (a) and (b) show the Atlantic meridional overturning streamfunction for ON and OFF, respectively. (c)–(h) show anomalies of OFF relative to ON for (c) surface air temperature, (d) precipitation, (e) zonal wind speed in the mid-troposphere (around 300–800 hPa), (f) sea surface temperature, (g) sea surface salinity and (h) oceanic convection depth. All panels are computed from 1000-year time averages.

shift of the tropical rain belt (Intertropical Convergence Zone) (figure 3) [24,25]. We also find a strengthened polar jet stream in the northern hemisphere, combined with a large-scale reduction of zonal winds in other regions.

The time-averaged sea surface temperature (SST) is more than  $2^\circ\text{C}$  (up to  $9^\circ\text{C}$ ) colder compared to the ON state in large parts of the North Atlantic, while the Southern Ocean is up to  $3^\circ\text{C}$  warmer. The Atlantic subtropical gyre, southern Atlantic, Indian Ocean and Southern Ocean are saltier in the OFF state, whereas the North Atlantic and Arctic Ocean are substantially fresher (except the Irminger Sea). This is a clear signature of the salt-advection feedback and meridional ocean heat transport: the weakened AMOC transports less salt and heat from the tropics to the north.

The AMOC is closely connected with sites of deep convection in the North Atlantic, which are important for deep water formation. In models and observations, major deep convection sites are located in the Labrador Sea (LabS), Irminger Sea (IrmS) and Norwegian Sea (NorS; see supplementary material, figure S1, for a map). In PlaSim-LSG, the transition from the ON to the OFF state is characterized by a shutdown of deep convection in the LabS and NorS, while the convection depth (as defined in the supplementary material) increases in several other locations (figure 3f).

In summary, the AMOC ON and OFF states have a qualitatively different climate on a global scale. To understand the transition behaviour between these states, we now investigate the global stability of the AMOC beyond the steady states.

### 3. Beyond stable states: a global view

Generally, a climate model may be viewed as a nonautonomous dynamical system, where the climate state  $x(t) \in \mathbb{R}^D$  evolves over time  $t \geq 0$  according to

$$\frac{dx}{dt} = F(x, \Lambda(t)), \quad x(0) = x_0. \quad (3.1)$$

Here,  $F : \mathbb{R}^D \times \mathbb{R}^K \rightarrow \mathbb{R}^D$  may depend explicitly on time via the  $K$ -dimensional external forcing input  $\Lambda : \mathbb{R} \rightarrow \mathbb{R}^K$  [44]. For fixed external forcing  $\Lambda(t) = \lambda$ , the dynamics is given by the so-called frozen system  $\dot{x} = F(x, \lambda)$  [44]. Multistability is defined by the coexistence of multiple attractors for given  $\lambda$ . In our case, with  $\lambda = \lambda_{\text{CO}_2} = 360$  ppm, there are two chaotic attractors corresponding to the

ON and OFF states. Each attractor possesses a basin of attraction, that is, a set of initial conditions  $\{x_0\}$  that evolve towards it as  $t \rightarrow \infty$ . Since PlaSim-LSG is fully deterministic, the asymptotic state is thus uniquely determined by the initial condition in the absence of perturbations.<sup>2</sup>

The two basins of attraction must be separated by a basin boundary of dimension  $D - 1 \leq D_b < D$  with respect to the dimension  $D$  (number of degrees of freedom) of the system. The basin boundary between the two AMOC states in PlaSim-LSG is thus a high-dimensional set in the model state space. As was shown for conceptual [48] and intermediate-complexity [10] climate models, the basin boundary can be fractal with almost full state space dimension ( $D - D_b \ll 1$ ). The basin boundary is crucial in the context of critical transitions because it marks the threshold in state space where the dominant feedback changes from a stabilizing (negative) feedback that exerts a restoring force towards the original attractor to a destabilizing (positive) feedback that drives a self-perpetuating transition to a competing attractor.

Even if the ‘curse of dimensionality’ [90] currently prevents computing the quasipotential of high-dimensional systems, we can learn much about its structure by analysing edge states as important landmarks therein. Since edge states are unstable, they generally cannot be found by direct simulation or basic continuation. However, since they are unstable in only one direction (transversal to the basin boundary), edge states can nonetheless be computed purely based on forward integration of the model, as described in the following.

### (a) Finding the basin boundary

How can we locate the basin boundary between two competing AMOC states in a high-dimensional climate model? We need a pair of initial conditions  $x_a$  and  $x_b$  that are attracted by the ON and OFF states, respectively. Interpolating along a straight line in state space between  $x_a$  and  $x_b$  necessarily implies crossing a segment of the basin boundary lying somewhere in between—provided that the interpolation is performed in the full state space including all degrees of freedom (prognostic variables).

Here, we take two model restart files from previous PlaSim-LSG simulations [80] as initial conditions  $x_a$  and  $x_b$ . The simulations were performed at 285 ppm CO<sub>2</sub> with differing vertical diffusivity profiles, which strongly affected the AMOC strength [80]. In our model configuration (at 360 ppm, see supplementary material for details), these two initial conditions are located near the ON and OFF states, respectively, and evolve to these.

Now, interpolating along all variables  $x_i$  with  $i = 1, \dots, D$  in the model restart files ( $D \approx 10^5$ ), we compute new initial conditions  $x_{j,i} = x_{a,i} + 0.1j(x_{b,i} - x_{a,i})$  for  $j = 1, 2, \dots, 9$ . Computing the meridional streamfunctions (equation (2.1)) for these initial conditions shows that the states  $x_j$  are monotonically decreasing in AMOC strength with increasing  $j$  (figure 5a). The differences in AMOC strength between adjacent states are not equidistant, reflecting that the AMOC strength is a non-linear mapping of the full state space.

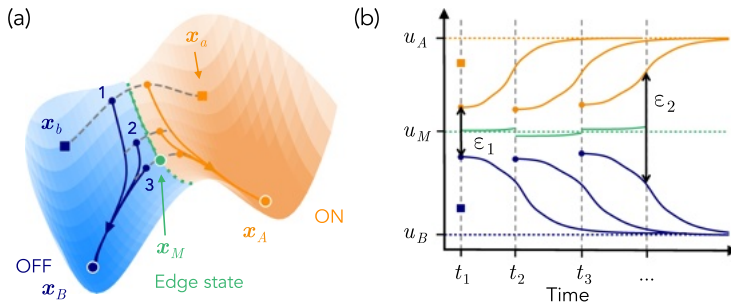
From each initial condition  $x_j$ , we run a simulation for 200 years. While the trajectory initialized at  $x_1$  remains close to the ON state in AMOC strength, all other trajectories lead to the OFF state. This implies that a part of the basin boundary is located between  $x_1$  and  $x_2$  in state space. This pair of initial conditions constitutes the starting points of our edge tracking procedure.

### (b) Edge tracking algorithm

The edge tracking algorithm, as originally proposed in R [60,61] and adopted in [10,48,57,58], consists of an iterative loop with two steps:

1. *Bisection*. Between two initial conditions converging to attractors  $A$  and  $B$ , respectively, bisect repeatedly along a straight line in state space to obtain two new initial conditions that are

<sup>2</sup>More generally, stochastic parameterizations of unresolved processes change this picture and require a stochastic description.



**Figure 4.** Edge tracking method, illustrated (a) in the schematic quasipotential landscape of a bistable AMOC and (b) as idealized timeseries projected onto the coordinate  $u$  (in our context, the AMOC strength). The landscape shows the basins of attraction of the attractors  $x_A$  (orange shading) and  $x_B$  (blue shading), separated by the basin boundary (green dashed). Starting from  $x_a$  and  $x_b$ , three exemplary iterations (as numbered) yield a pseudotrajectory (green solid line) that leads close to the edge state  $x_M$ . Grey dashed lines indicate the bisections.

less than a distance  $\varepsilon_1$  apart while still converging to different attractors (one to  $A$  and the other to  $B$ ).

2. *Tracking.* From each of the two new initial conditions, run a simulation in parallel. Stop the simulations when the two trajectories diverge by more than a distance  $\varepsilon_2$ , and use the end points of these simulations as initial conditions for the next iteration. Repeat 1.

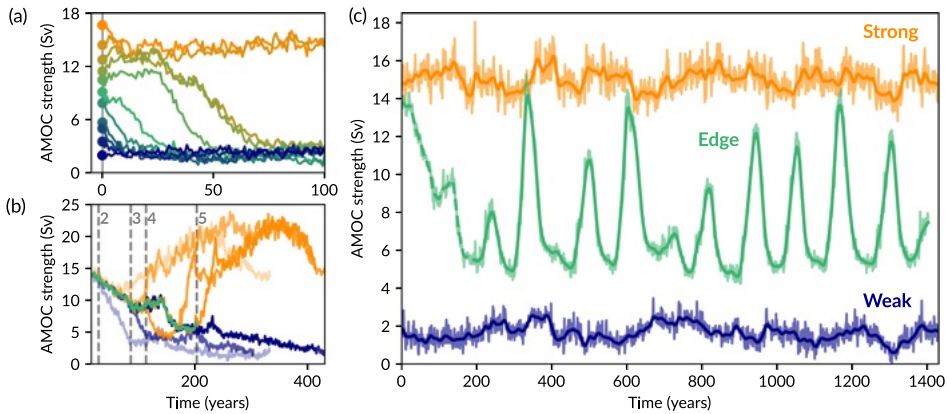
Here, the distance measures  $\varepsilon_{1,2}$  could be the Euclidean distance in a normalized state space or any other appropriate measure of separation between the two states. We simply measure the difference in 10-year smoothed AMOC strength.

Running the algorithm yields two series of trajectory segments that shadow the basin boundary on either side of it. By concatenating the segments and averaging over both series at each time point, we obtain a pseudotrajectory that approximates a trajectory on the basin boundary. The repeated rebisection of initial conditions thereby counteracts the instability that causes any trajectory initialized near the boundary to eventually diverge from it. Based on the property of edge states as attracting sets when restricted to the boundary, the pseudotrajectory is expected to converge to an edge state. If this state supports chaos, the algorithm converges to this chaotic set and successively populates its invariant measure.

The algorithm is computationally expensive in complex models, especially when trajectories converge slowly to the attractors. This is because the asymptotic state of each new initial condition must be determined by simulation, which can take hundreds of model years for the AMOC. With PlaSim-LSG, however, we can exploit the fact that multiple simulations can be run in parallel. Thus, instead of successive bisections as described in step 1 above (and implemented by, e.g., [48,57]), we compute nine equidistant initial conditions  $x_i^{(k)}$  at once by linear interpolation and run parallel simulations from them. That way, we can reduce the distance  $\varepsilon_1$  between initial conditions by a factor 10 in one interpolation step  $k$ . A pseudocode detailing our implementation is provided in the supplementary material.

### (c) Converging to an edge state

For the first few iterations of edge tracking, spanning about 200 years, the resulting pseudotrajectory (hereafter called *edge trajectory*) decreases in AMOC strength from 14 Sv to about 5 Sv. Subsequently, the edge trajectory begins a series of large AMOC oscillations (figure 5c). The quasiperiodic oscillations vary in amplitude from 3 to 10 Sv, with a mean period of  $118 \pm 7$  years (estimated from 10 peaks). This behaviour persists until the edge tracking was stopped after around 1400 years (39 iterations).



**Figure 5.** Edge tracking and AMOC states at 360ppm  $\text{CO}_2$ . (a) Interpolating initial conditions between the ON (orange) and OFF (blue) AMOC state allows locating the basin boundary. (b) Iterations 2–5 of the edge tracking algorithm, showing the trajectories that converge to ON (orange) and OFF (blue), respectively. The edge pseudotrajectory (green) is constructed from segments of these trajectories. (c) Edge trajectory (green) and trajectories on the ON (orange) and OFF (blue) attractors. The AMOC strength is measured between  $46^\circ\text{N}$  and  $66^\circ\text{N}$ .

The recurrent pattern of centennial AMOC cycles suggests that the edge trajectory has converged to an edge state and thereafter evolves on this unstable set. This claim is supported by the fact that the specific potential energy of the global ocean is relatively constant after convergence (figure 7b) and that the salinity in the deep Pacific, Indian and Southern Oceans has equilibrated (not shown). Since the oscillations are neither perfectly periodic nor constant in amplitude, the edge state appears to be a chaotic saddle with a more complex geometry compared to an unstable limit cycle. This nonattracting invariant set is approximated by the edge trajectory after removing the initial transient of 200 years.

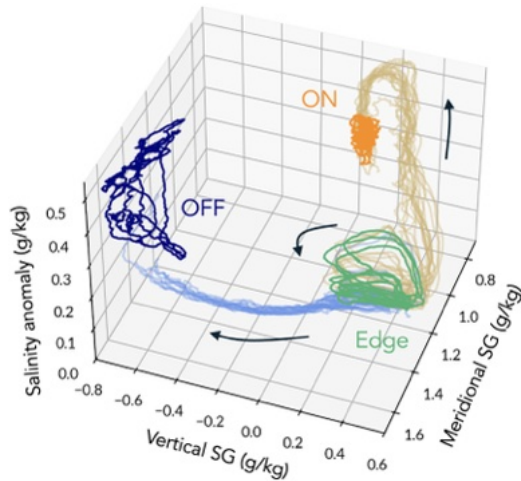
We emphasize that the edge trajectory varying in time does not mean the edge state itself is time-dependent: since we fix the external forcing, the edge state is invariant in time, and the edge trajectory reflects the dynamics *on* the edge state.

#### (d) Reduced state space

Looking at the one-dimensional AMOC timeseries (figure 5c) gives the impression that the edge trajectory oscillates back and forth between the ON and OFF states. However, visualizing the dynamics in a three-dimensional reduced state space clarifies that the edge state is separated from the attractors (figure 6).

Determining a suitable low-dimensional projection of the  $10^5$ -dimensional dynamics is challenging owing to the countless possible combinations of variables. Based on our physical understanding of the AMOC, we consider the zonally averaged salinity field in the Atlantic basin. An empirical orthogonal function (EOF) analysis [91] combining 20 000 years of edge tracking simulations shows that this field contains sufficient information to disentangle the dynamics (figures S4 and S5 in the supplementary material). Specifically, the two leading EOFs reveal that most of the variance is explained by a meridional salinity dipole in the upper 1000 m and a vertical dipole in the North Atlantic. From this, we derive a reduced state space spanned by the following three variables:

- The *meridional* salinity gradient (SG) in the Atlantic, measured as the mean salinity difference between  $0\text{--}20^\circ\text{N}$  and  $40\text{--}80^\circ\text{N}$  in the top 1000 m (omitting the top 100 m),
- The *vertical* SG in the North Atlantic, defined as the mean salinity difference between the depths  $100\text{--}1000\text{ m}$  and  $1000\text{--}3000\text{ m}$  at  $46\text{--}66^\circ\text{N}$ ,
- The *deep North Atlantic salinity anomaly*, defined as the mean salinity anomaly relative to  $35\text{ g kg}^{-1}$  in the Atlantic basin north of  $50^\circ\text{N}$  and below 1000 m depth.



**Figure 6.** State space projection onto the meridional SG, vertical SG, and deep North Atlantic salinity anomaly (below 1000 m, north of  $50^{\circ}\text{S}$ ). Faint orange (blue) lines show trajectories relaxing from near the edge state to the ON (OFF) state. Arrows indicate the time direction.

The benefit of using these variables, instead of directly using the principal components of the EOFs, is that they can easily be computed for any spatially resolved ocean model, permitting inter-model comparisons. The meridional SG is negatively correlated with the AMOC strength, since a stronger AMOC transports more salt to the North Atlantic, reducing the salinity difference between low and high latitudes. The vertical SG and deep salinity anomaly are related to deep convection and the stability of the water column in the North Atlantic.

Viewing the trajectories of figure 5c in the reduced state space, we see that each of the ON, OFF, and edge states occupies a distinct region (figure 6). The edge state has a higher vertical SG and fresher deep North Atlantic than both the ON and OFF states. The OFF state has the saltiest deep North Atlantic and largest meridional SG. While the ON state covers a relatively small volume of the reduced state space, the AMOC oscillations of the edge state are clearly seen as loops in the meridional-vertical SG plane. Also the OFF state exhibits relatively large internal variability that is captured in this projection but not in the AMOC strength. This low-frequency variability on multi-centennial timescales is caused by global inter-basin salt exchanges (not shown).

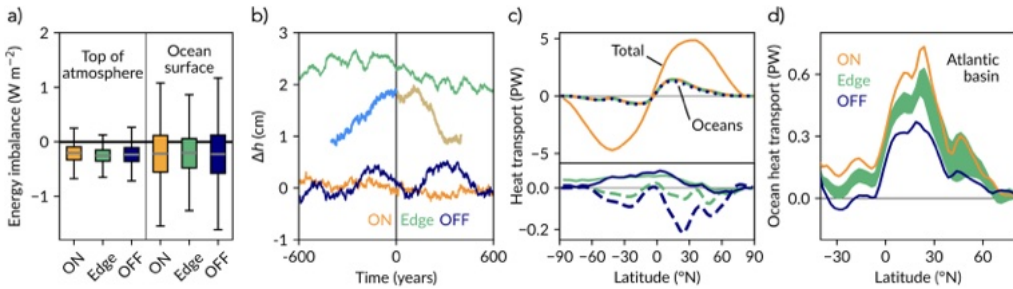
The simulations generated during edge tracking also reveal the transition pathways from the edge state to each of the ON and OFF states. The trajectories of the final 20 edge tracking iterations reveal clear characteristic pathways to either attractor (figure 6), which trace the unstable manifold of the chaotic edge state.

## 4. Climate of the edge state

The pseudotrajectory on the edge state is constructed from segments of actual model trajectories, meaning that we can explore its weather and climate as with any other model simulation. This provides insight into what the world looks like near the edge state and into the processes involved in the instability of the AMOC.

### (a) Energetics

The first question is whether the edge state energetically fulfils steady state conditions, requiring an approximately vanishing global energy budget for the coupled climate system and its subcomponents [92,93]. Indeed, both the radiative balance at the top of the atmosphere and the globally integrated net surface heat flux between the ocean and atmosphere are close to zero (comparable to the ON and OFF states; figure 7a).



**Figure 7.** Energetics of the climate states. (a) Imbalance of top of the atmosphere radiation (left) and heat flux at the sea surface (right), integrated over the globe for ON, OFF and Edge (negative imbalance means the Earth/ocean is losing energy). (b) Oceanic centre of mass anomaly  $\Delta h$  (relative to 1970.3126 m below sea level) for years 200–1400 of the edge trajectory and corresponding time intervals for ON and OFF. The 400-year long relaxation paths from Edge→ON (beige) and Edge→OFF (light blue, plotted in reverse time) are shown for one of the edge tracking iterations. (c) Northward meridional heat transport, showing the total from atmosphere and oceans (for ON, orange) and the oceanic contribution (all states, dotted). Bottom inset: difference in total (solid) and oceanic (dashed) heat transport for OFF (blue) and Edge (green) relative to ON. (d) Oceanic heat transport in the Atlantic basin only, showing the variability of the AMOC oscillation on the Edge state (green band).

The meridional heat transport of the ocean and atmosphere combined is nearly identical for the ON, OFF and edge states, despite differences in the ocean circulation (figure 7c). This means that the atmosphere largely compensates for changes in oceanic heat transport [94], manifesting the Bjerknes compensation [95,96] also reported in previous studies on the AMOC variability and collapse [87,97]. Because of the AMOC, the Atlantic Ocean is the only ocean basin with a northward oceanic heat transport on both hemispheres, causing an asymmetry of the oceanic meridional heat transport. A reduced AMOC thus decreases this asymmetry, as we observe for the OFF state (figure 7c, lower panel). Interestingly, the change in the atmospheric transport slightly overcompensates the reduction in the oceanic transport (figure 7c, upper panel). The time-averaged Atlantic meridional heat transport of the edge state lies in between that of the ON and OFF states, though the AMOC oscillations cause temporal variations of more than 0.1 PW, especially in the northern mid-latitudes (figure 7d).

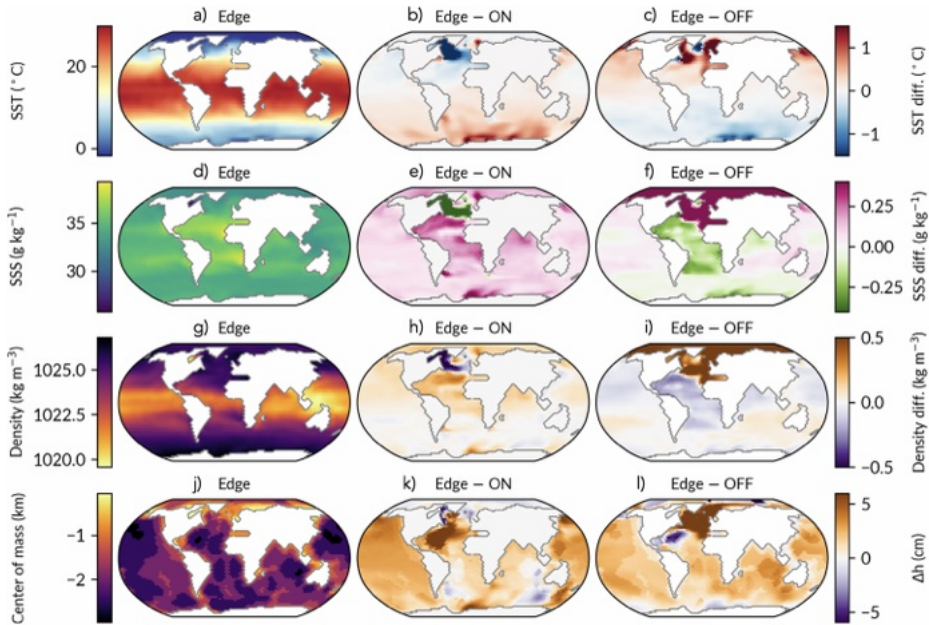
Based on the picture of a double-well stability landscape of the bistable AMOC (see figure 4), we expect that the edge state has a higher potential energy than the ON and OFF states. While a full account of potential energy in the coupled climate system requires considering energy exchanges with all subcomponents, we here propose the oceanic centre of mass,

$$h = z_0 - \frac{\int_0^{z_0} z \bar{\rho}(z) dz}{\int_0^{z_0} \bar{\rho}(z) dz}, \quad (4.1)$$

as an approximate energy measure to compare the oceanic specific potential energy among the different AMOC states [98]. Here,  $z_0 = 6000$  m is the maximum depth of the sea floor,  $z$  is the depth coordinate (positive downwards), and  $\bar{\rho}$  is the horizontally integrated density across the ocean ( $\bar{\rho} = 0$  below the sea floor).

The edge state has a significantly higher centre of mass—and thus specific potential energy—compared to the two attractors. Along relaxation paths from the edge state to either attractor, the centre of mass decreases fairly steadily (figure 7b). This aligns with the situation in a global ocean model, where the dynamic enthalpy of the edge state was shown to be elevated [57]. In our case, the ON and OFF states have a comparable centre of mass, with the OFF state exhibiting multi-centennial variability in  $h$  owing to global salt exchanges, as also observed in figure 6.

To understand which geographical regions contribute most to the higher centre of mass, we calculate the time average of  $h$  for the water column at each horizontal grid point. Mapping out the difference  $\Delta h$  between the edge state and each of the attractors shows that the edge state has a higher specific potential energy in most of the global ocean, particularly in regions of the North



**Figure 8.** Ocean properties of the edge state (at 360 ppm  $\text{CO}_2$ ), displayed as time averages over the final 640 years of the edge trajectory in absolute values (first row) and as differences relative to the ON (second) and OFF (third row) states: (a)–(c) sea surface temperature (upper 100 m), (d)–(f) sea surface salinity (upper 100 m), (g)–(i) surface density (upper 100 m), and (j)–(l) water column centre of mass. See supplementary material, figure S3, for deep sea properties (below 1000 m).

Atlantic (figures 8k–l). Yet, some regions also have a negative  $\Delta h$ , for example, in parts of the LabS relative to the ON state and the Atlantic subtropical gyre when compared to the OFF state.

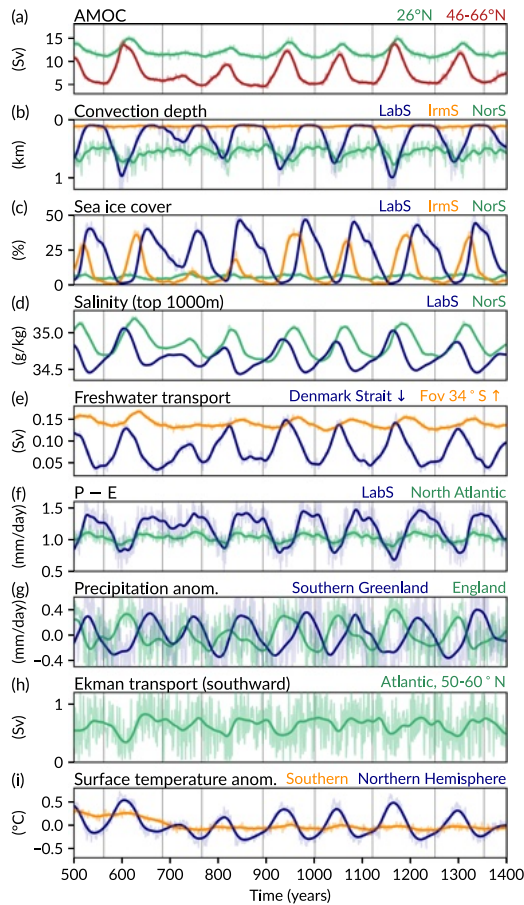
## (b) Excursive observables

Since the edge state lies on the basin boundary between the ON and OFF states, one might expect that its climate lies somewhere in between that of the ON and OFF states, too. For example, in terms of AMOC strength and Atlantic meridional heat transport the edge state oscillates between the ON and OFF states. At the same time, the edge state features a fresher deep North Atlantic than both attractors, and a higher centre of mass. In a high-dimensional system like our climate model, there may be many directions—which we term excursive observables—in which the edge state lies outside of the interval bounded by the two attractors. These directions in state space could be particularly relevant for detecting EWS [99] and for evaluating transition probabilities via rare event techniques relying on a score function [100]. Along transition paths (provided that they pass via the vicinity of the edge state), we expect excursive observables to undergo non-monotonic excursions. Thus, the signal of a transition could initially have the opposite sign of the anticipated change.

In most ocean regions, the time-averaged sea surface salinity (SSS) and SST of the edge state lie in between that of ON and OFF states (figure 8). However, almost the entire Arctic Ocean is saltier and denser in the upper ocean relative to the attractors. Parts of the NorS are warmer than both attractors, and the northwestern Pacific Ocean is warmer and saltier on the edge state. Other excursive observables include the sea ice cover in the IrmS and the surface freshwater flux in the NorS.

## (c) Drivers of the unstable oscillations

The most prominent dynamical feature of the edge state is the large AMOC oscillations with a period of around 120 years and an amplitude of up to 10 Sv between 46°N and 66°N (figure 5c).



**Figure 9.** Oscillations on the edge state captured in (a) the AMOC strength at 46–66°N (red) and 26°N (green), (b) the annual-mean maximum convection depth in regions as labelled, (c) sea ice cover fraction in regions as labelled, (d) mean salinity (averaged over the top 1000 m) in the LabS (blue) and across the Atlantic north of 50°N (green), (e) freshwater transport through the Denmark Strait (southward, blue) and by the overturning component  $F_{OVS}$  at 34°S (northward, orange), (f) LabS (blue) and North Atlantic (green) precipitation minus evaporation ( $P - E$ ), (g) precipitation anomaly over southern Greenland (blue) and northern Europe (green), (h) southward Ekman transport in the Atlantic, averaged zonally and over 50–60°N, and (i) mean surface air temperature anomalies in the northern (blue) and southern (orange) hemispheres. Thick lines are smoothed with a 5-year Gaussian filter (10 years for precipitation and Ekman transport). Gray vertical lines indicate AMOC strength minima. See supplementary material, figure S2, for a lead-lag analysis of these signals.

At 26°N, the AMOC oscillations are qualitatively similar but have a smaller amplitude (figure 9a). Together with the overturning strength, many other climate observables oscillate at this frequency (figure 9). What drives the unstable oscillations?

In PlaSim-LSG, the transition from ON to OFF is characterized by a shutdown of all deep convection sites in the LabS, IrmS and NorS (figure 3). On the edge state, deep convection persists in the NorS, with some variation linked to the AMOC (figure 9b). In the LabS, deep convection undergoes large oscillations, switching ON and OFF in close correspondence with the AMOC strength. Convection is inactive in the IrmS.

To relate different oscillating variables in time, we compute lag correlations between the AMOC strength at 46–66°N and other variables, considering time lags between –120 and 120 years. We select variables whose 3-year smoothed timeseries has a maximum lag correlation above 0.8 (in absolute value). For these variables, we compute correlation values also for the unfiltered timeseries

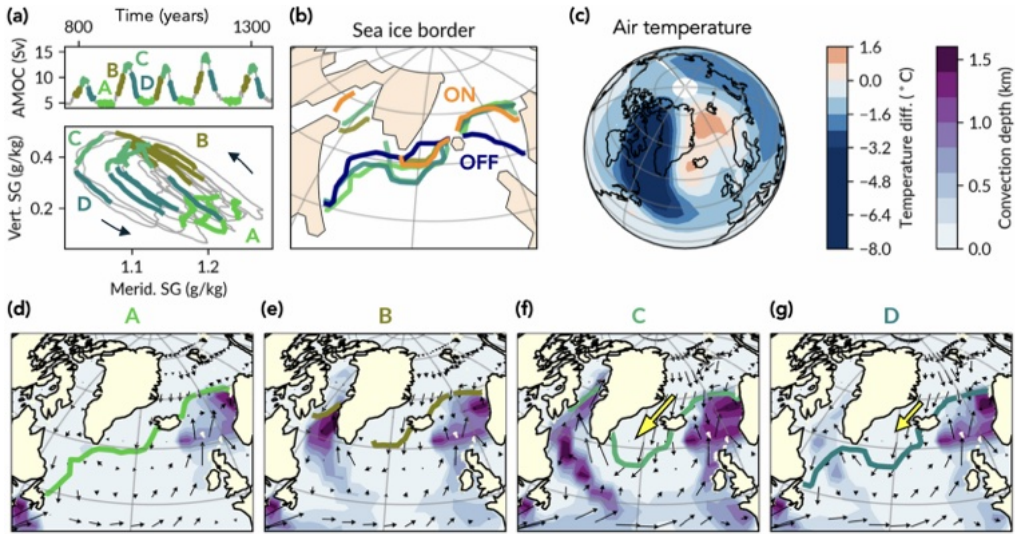
(annual resolution); the latter values are reported in the following and in figure S2 (supplementary material).

The sea ice cover fraction oscillates strongly in the LabS and, with a phase shift of around  $\pi/2$ , in the IrmS (figure 9c). In both regions, the sea ice retreats almost entirely during the respective minimum. In the NorS, there is little sea ice on the edge state at all times. A clear phase shift is also seen between the mean upper ocean salinity (top 1000 m) of the LabS and NorS (figure 9d). Generally, the salinity changes could be caused by horizontal advection, vertical mixing or surface freshwater fluxes. We find a strong southward freshwater transport through the Denmark Strait between Greenland and Iceland, oscillating in anti-phase with the AMOC (figure 9e), as well as a large amplitude in precipitation minus evaporation (P–E) over the LabS (figure 9f). Furthermore, the magnitude of the wind-driven southward Ekman transport in the North Atlantic (50–60°N) is negatively correlated with the AMOC strength at a lead time of four years (figure 9h and figure S2, supplementary material). Around the edge state AMOC minimum, the Ekman transport is stronger compared to both the ON and OFF states, indicating a potential role of the wind stress in triggering an overturning decline [79] (see figure S6 in the supplementary material). In the atmosphere, temperature, precipitation and winds likewise display variability on the 120-year timescale (figure 9g–i), though correlations with the AMOC strength are lower owing to the much higher inter-annual variability in the atmosphere compared to the ocean.

A key observation is that the upper ocean salinity, deep convection, sea ice and P–E in the LabS all lead the AMOC by 6–8 years, with lag correlations ranging between 0.78 and 0.92 (in absolute value, see supplementary material, figure S2). P–E averaged across the entire North Atlantic (between 50°N and 80°N) has an even larger lead time of 11 years, though the correlation is less strong. The AMOC strength measured at 26°N follows the AMOC at 46–66°N by 6 years, and the overturning component  $F_{\text{ovs}}$  of the freshwater export at the Atlantic southern border (34°S) [42] lags behind by 26 years. Our analysis thus shows that the LabS is a key region in driving the AMOC oscillations on the edge state. The fact that the salt and volume transport in the tropical Atlantic lags the AMOC strength further north suggests that the salt-advection feedback does not initiate the oscillations, though it likely plays an important role in amplifying them. Rather, ocean–ice–atmosphere interactions in the North Atlantic and Arctic appear crucial for causing the AMOC cycles.

To gain further process understanding, we now divide each AMOC cycle into four phases (A–minimum, B–rise, C–maximum, D–decline; see figure 10) and consider time averages for each phase over the final five oscillations of the edge trajectory. In phase A, the LabS is ice-covered, preventing deep convection and thus maintaining a weak AMOC. Deep water formation in the NorS ensures that the AMOC is not as weak as in the OFF state. In phase B, sea ice retreats in the LabS, allowing the ocean to release heat to the atmosphere and consequently deep convection to be activated. The salt-advection feedback kicks in, supplying warm and salty water to the LabS, enhancing sea ice retreat and convection up to the AMOC maximum in phase C. Then, however, the salinity and convection in the LabS start to decrease again, along with sea ice expansion. One possible reason for this reversal could be the strong freshwater influx from the Arctic Ocean through the Denmark Strait, which peaks in phase C and reaches to the LabS. The freshwater flow is concentrated in the upper ocean, implying that it can disrupt convection by freshening the upper water column. Another explanation could involve surface fluxes of heat and freshwater. In phase D, sea ice rapidly expands to cover the entire LabS and convection shuts down, causing the AMOC decline (figures 10d–g).

Fully deciphering the oscillation mechanism goes beyond the scope of this study. Nonetheless, we can identify multiple competing processes that could produce cyclic behaviour: a competition between sea ice and convection in the LabS, a competition between salt advection by the AMOC and freshwater advection from the Arctic Ocean, as well as a competition of deep water formation sites between the LabS and NorS. The latter could explain the anti-phase pattern observed in precipitation between Greenland and the United Kingdom (figure 9g) as well as in surface air temperatures between the Greenland-Iceland-Norwegian (GIN) Seas and the rest of the high northern



**Figure 10.** Phases of the AMOC oscillations. (a) Segmentation of the final five oscillations into phases A–D as labelled, shown as a timeseries (top panel) and projected onto the reduced state space of meridional and vertical SG (bottom panel). (b) Annual mean sea ice border for all phases compared with ON and OFF. (c) Surface air temperature difference for A minus C. (d)–(g) Maps of convection depth (shading), surface currents (black arrows) and the sea ice border (thick line) in the North Atlantic for phases A–D, respectively. The yellow arrow represents the strength of the freshwater flux through the Denmark Strait.

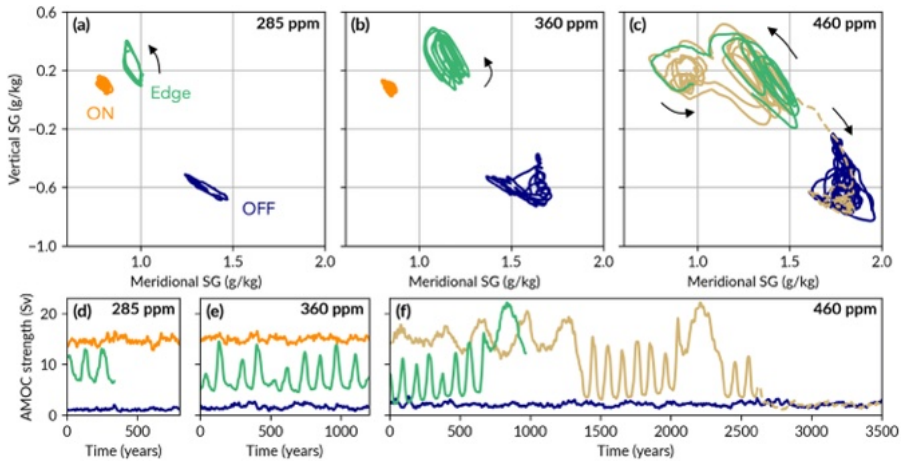
latitudes (figure 10c). During phase A, at the AMOC minimum, air temperatures are warmer over the GIN Seas than during phase C, in contrast with the surrounding areas, especially the LabS. We hypothesize that this is because the NorS is the only deep convection zone in the North Atlantic during phase A, directing the meridional heat transport to that region and reducing cold inflows from the Arctic Ocean.

Exploring the dynamics on the edge state thus reveals distinct modes of climate variability that are absent in the ON and OFF attractors—but become highly relevant near criticality, as we show below. The edge tracking method allowed us to capture the centennial climate oscillations even though they are asymptotically unstable.

## 5. Boundary crisis: from edge state to ghost state

So far, we have investigated the global stability of the AMOC in PlaSim-LSG at constant external forcing  $\lambda$ , with the  $\text{CO}_2$  concentration set to 360 ppm. However, the radiative forcing of the Earth is currently undergoing rapid change as  $\text{CO}_2$  concentrations are increasing at a rate of around 0.6% per year [18]. Consequently, the stability landscape of the Earth system is continuously evolving as a function of  $\Lambda(t)$  (see equation (3.1)). In this nonautonomous context, attractors and edge states must be viewed in a pullback or snapshot sense as they are moving in state space subject to the change of the control  $\Lambda$  [44,101].

From bifurcation theory, it is well known that there may be critical forcing levels  $\lambda_c$  at which the global stability landscape changes qualitatively. For example, new attractors may emerge, existing ones may disappear, or attractors may switch between periodic and non-periodic behaviour [55]. An important case is the *boundary crisis*, where an attractor is annihilated by colliding with an edge state embedded in a basin boundary [102,103]. It has been proposed that their union after the boundary crisis forms a *ghost state*—a state reminiscent of the dynamics on the former attractor and edge state that has a long mean lifetime yet is asymptotically unstable [48,66–68]. Any trajectory initialized on the ghost state will eventually diverge from it and approach an attractor, possibly



**Figure 11.** AMOC stability landscape as function of  $\text{CO}_2$  concentration for 285 ppm (a,d), 360 ppm (b,e) and 460 ppm (c,f). Reduced state space projections (a–c) onto the meridional and vertical SG show the ON (orange), OFF (blue) and edge trajectory (green). For 460 ppm, the transient trajectory initialized from the former ON state (beige) and the edge trajectory (green) trace the ghost state. Arrows indicate the time direction. (d–f) AMOC timeseries at  $46\text{--}66^\circ\text{N}$  corresponding to (a–c), respectively.

after an ultralong transient. A boundary crisis involving a chaotic attractor and saddle may be viewed as the analogue of a saddle-node bifurcation in non-chaotic systems.

### (a) AMOC stability landscape as a function of $\text{CO}_2$ level

To explore how the stability landscape of the AMOC changes as a function of  $\text{CO}_2$ , we now consider the frozen system (i.e. fixed external forcing) at two additional  $\text{CO}_2$  levels: 285 ppm (preindustrial conditions) and 460 ppm (figure 11). Analogously to our investigation at 360 ppm, we run long simulations (up to 4000 years), initialized from the ON and OFF states obtained at 360 ppm, respectively. Additionally, we run the edge tracking algorithm (§3(b)).

In the case of 285 ppm, the stability landscape qualitatively resembles the situation at 360 ppm: the ON and OFF states, largely unchanged in AMOC strength, are clearly separated from an oscillatory edge state (figure 11a,d). The edge state oscillations have a similar period but a slightly smaller amplitude compared to 360 ppm. Interestingly, we found that edge tracking (initialized from the ON and OFF states at 285 ppm) is considerably more time-consuming at lower  $\text{CO}_2$ , since trajectories tend to diverge more quickly from the basin boundary (40 iterations yielded about 400 years of edge trajectory instead of 1400 years obtained for 360 ppm). This suggests that the edge state is more repelling at 285 ppm.

At a higher  $\text{CO}_2$  level of 460 ppm, the OFF state persists for the 4000 years of simulation and resembles the OFF state at lower  $\text{CO}_2$  in terms of AMOC strength (figure 11f). By contrast, the simulation initialized from the former ON state eventually collapses to the OFF state after a 2700-year long transient. During the first 1300 years, this trajectory (beige line in figure 11f) maintains a relatively strong AMOC with multi-centennial oscillations reminiscent of those in [80], growing up to 10 Sv in amplitude. Then, the AMOC abruptly declines to less than 5 Sv and enters a period of large oscillations that resemble those of the edge state in period and amplitude. After six cycles, the AMOC suddenly recovers and overshoots to 22 Sv, thereafter steeply declines again, and eventually collapses to the OFF state where the trajectory remains for the final 1200 years of simulation.

Dynamically, two possible situations could explain this behaviour. The basin boundary at 460 ppm could have moved in the state space such that initial conditions on the ON state now lie in the basin of attraction of the OFF state. Alternatively, the ON state could have disappeared

entirely at 460 ppm, implying a monostable regime with the OFF state being the only asymptotically stable attractor. In the following, we argue for the latter possibility.

## (b) Collision of ON and edge states

Despite the ON state supposedly having lost its stability at 460 ppm, edge tracking between the ON and OFF states is still possible for a while. This is because the former ON state is transiently stable for a few hundred years. We can thus find pairs of initial conditions that converge to a weak and, temporarily, a strong AMOC state, respectively. Running the edge tracking algorithm at 460 ppm (initialized from the ON and OFF states) produces several large AMOC oscillations that resemble the edge state dynamics at 360 ppm, though the AMOC minimum is initially lower and the period ( $\sim 100$  years) is slightly shorter than at 360 ppm.

After about 750 years of edge tracking, the edge trajectory interrupts its oscillatory behaviour and follows a course that is characteristic for relaxation paths from the edge state to the former ON state. Seemingly, the edge tracking algorithm loses track of the edge state and instead approaches the ON state. However, we have seen that this ‘ON state’ is not an attractor anymore. Rather, the former ON and edge states are now an intertwined chaotic object—a ghost state [67,68].

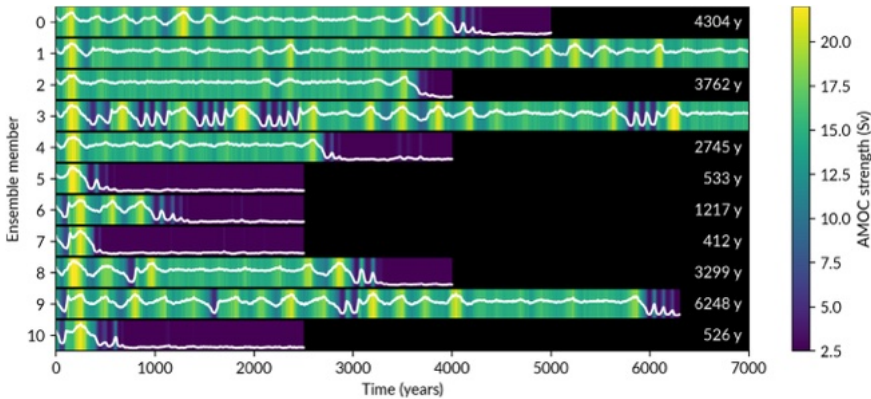
To see this, let us project the dynamics onto the reduced state space spanned by the meridional and vertical SG (see §3(d)). As  $\text{CO}_2$  increases, all states shift slightly towards larger meridional SG values (figure 11). The OFF and edge states display higher variability, taking up an increasing volume in the reduced state space. Strikingly, at 460 ppm, the former ON state and edge state now extend so much that they ‘touch’ and are not separated anymore (figure 11c). Oscillations of the edge trajectory extend further to higher meridional and lower vertical SG values compared to the edge state at 360 ppm. Eventually, the edge trajectory transitions to the region of the former ON state; the trajectory initialized from the former ON state circles around the ON state region and then transitions to the edge state region, where it undergoes similar oscillations to the edge trajectory, before moving to the OFF state.

Based on the state space view taken here, we believe we have identified a ghost state that embodies the union of two interconnected state space regions with rotational dynamics: multi-centennial oscillations near the former ON state and centennial oscillations near the former edge state. Since both regions are not separated in state space, trajectories can chaotically switch back and forth between both oscillatory modes until they necessarily escape the ghost state and converge to the OFF state, the only attractor.

## (c) Chaotic transients

To further explore the transient dynamics in the monostable regime, we exploit simulations produced as part of the edge tracking procedure at 460 ppm. Specifically, we consider the ensemble of 11 simulations used for the last iteration of edge tracking before the edge trajectory jumps to the ON state region (iteration 13). These simulations are run from nearby initial conditions interpolated between one that collapsed (member 10) and another that maintained a strong AMOC (member 0) within 500 years during the previous edge tracking iteration.

The ensemble reveals a rich transient behaviour (figure 12). Initially, all trajectories undergo a spike in AMOC strength corresponding to an excursion to the former ON state region. Thereafter, the AMOC evolution varies greatly between ensemble members. While some members collapse after around 400–500 years, others take over 6000 years before collapsing. In fact, two members do not collapse within 7000 years of simulation. Nonetheless, we expect them to eventually collapse if the simulation would be extended. As seen from members 2 and 9, for example, the collapse can happen relatively abruptly without apparent pre-warning. During the transients, ensemble trajectories exhibit the different patterns of variability associated with the ghost state: slower, less regular oscillations of a stronger AMOC associated with the former ON state, and episodes of more rapid edge state-like oscillations.



**Figure 12.** Chaotic transients in an 11-member ensemble of simulations under constant forcing in the monostable regime (460 ppm  $\text{CO}_2$ ), initialized near the ghost state. Each row represents one trajectory coloured by the AMOC strength at 46–66°N, stating the duration of the transient (number of years until the AMOC strength first drops below 3 Sv).

This demonstrates that the transient dynamics near the ghost state are essentially unpredictable and can last for thousands of years. The long lifetime of the ghost state suggests that at 460 ppm, our model is close to the boundary crisis where the ON and edge state merged, which occurs somewhere between 360 and 460 ppm. Further away from the critical  $\text{CO}_2$  value, the ghost state is expected to have a shorter lifetime (see [48]). Indeed, attempting to perform edge tracking at 500 and 540 ppm proved unsuccessful because the model quickly diverged from the ON state, as a result of the enhanced instability of the system.

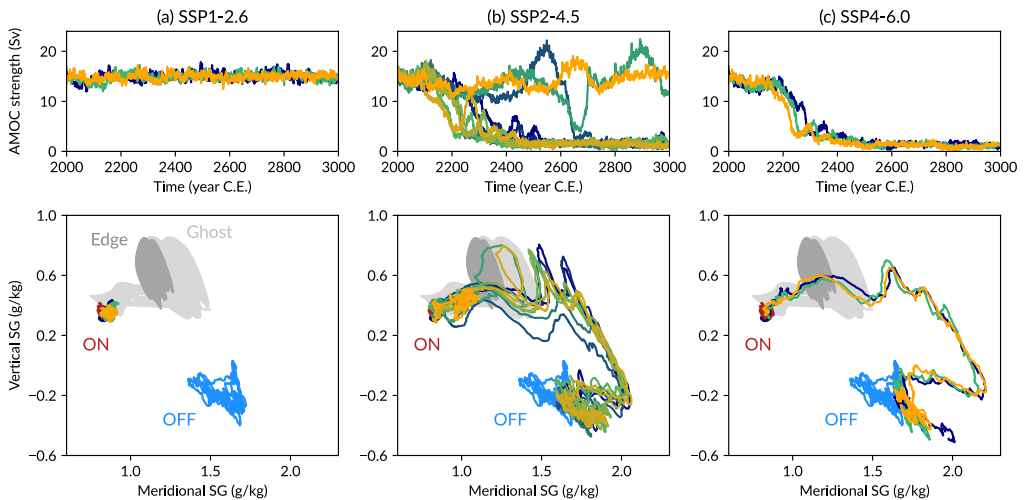
## 6. Role of the edge state under nonautonomous climate forcing

Our study has been focusing on snapshots of the stability landscape of PlaSim-LSG at fixed external forcing: we investigated the model as an autonomous dynamical system at different  $\text{CO}_2$  concentrations. What can our results tell us about the transition behaviour of the AMOC in a nonautonomous context?

### (a) State space trajectories under future SSP scenarios

Let us return to the  $\text{CO}_2$  forcing experiments introduced at the beginning of this paper (figure 2), where we forced PlaSim-LSG with the  $\text{CO}_2$  projections of low, intermediate and high emission SSP scenarios. Recall that the AMOC persists under the low emissions scenario, transitions to the OFF state at high emissions and exhibits ensemble splitting at intermediate emissions.

We now inspect these simulations in the reduced state space projection to see how their trajectories relate to the model's stability landscape, particularly the edge state and ghost state (figure 13). For SSP1–2.6, the ensemble members remain in the region of the ON state. In the SSP4–6.0 scenario, the trajectories pass straight through the ghost state region, as if the oscillatory regime of the ghost state would be 'invisible' to them. For SSP2–4.5, over the 1000-year simulation period, one ensemble member remains to the left of the edge state region, maintaining a strong AMOC; some trajectories travel through the lower part of the edge state region (where the AMOC is weakest, see figure 10a) and collapse to the OFF state; yet other ensemble members perform one or more cycles of an oscillatory motion before converging to the OFF state. These oscillations occur in the region of the edge and ghost states or to the right of it. Since the states tend to move to higher meridional SG values with increasing  $\text{CO}_2$  (figure 11), it is likely that the ghost state likewise expands to higher meridional SG values above 460 ppm.



**Figure 13.** Trajectories of PlaSim-LSG under future  $\text{CO}_2$  emission scenarios for ensemble members run under the (a) SSP1–2.6, (b) SSP2–4.5 and (c) SSP4–6.0 scenario. Top panels show the AMOC timeseries, bottom panels show their projection onto the reduced state space spanned by the meridional and vertical SG. Dark and light grey shaded areas indicate the region of the edge state at 360 ppm and ghost state at 460 ppm, respectively. The ON (red) and OFF (blue) states at 360 ppm are shown for reference.

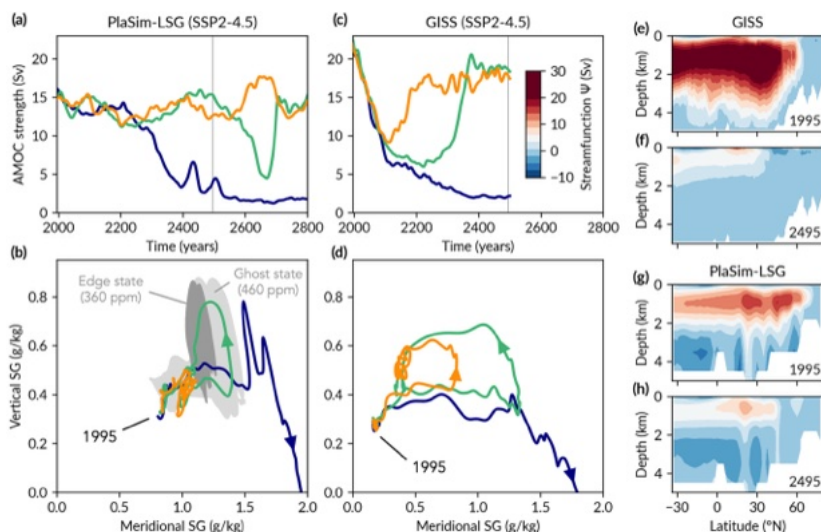
These results indicate that the edge state and, beyond the boundary crisis, the corresponding ghost state play a key role in the ensemble splitting with respect to the AMOC strength, observed under the intermediate  $\text{CO}_2$  forcing scenario. Under the low emissions scenario, the trajectories do not travel to the edge state region, while under the high emissions scenario, the forcing rate is so high that the dynamical structure of the frozen system is masked. Indeed, the SSP1–2.6 scenario remains largely below 460 ppm (besides a short overshoot, see figure 2a), such that the ON state continues to exist. By contrast, the SSP2–4.5 and SSP4–6.0 scenarios stay above 460 ppm after the year 2050, such that we assume the model is in the monostable regime from then onwards.

## (b) Stochastic bifurcation in the GISS model

The diverging AMOC behaviour of ensemble members observed in PlaSim-LSG under the SSP2–4.5 scenario is intriguingly reminiscent of the so-called ‘stochastic bifurcation’ found under the same forcing scenario in the CMIP6 model GISS-E2-1-G [52]. Can the global stability perspective presented here help explain the dynamics in that more comprehensive model?

We select three ensemble members of the GISS model simulations under SSP2–4.5 (members r1i1p1f2, r7i1p1f2 and r10i1p1f2). The simulations extend until the year 2500 and show divergent AMOC behaviour (figure 14c). Following an initial AMOC weakening in all members, the first member starts to recover around the year 2100, the second recovers after 2200, whereas the third remains in a weak AMOC state until 2500 (but eventually recovers, see [52]). Similar to PlaSim-LSG, the weak AMOC state is characterized by a collapse of the overturning cell north of  $45^\circ\text{N}$ , while a weak overturning circulation is maintained south of  $45^\circ\text{N}$  (figure 14f,h). Note that in the year 1995, when  $\text{CO}_2$  levels are at 360 ppm and the AMOC is in the ON state, the AMOC is around 30% stronger in GISS than in PlaSim-LSG, though the meridional streamfunctions have a qualitatively similar shape (figure 14e,g).

To relate these simulations to our results, we likewise select three ensemble members from the SSP2–4.5 simulations with PlaSim-LSG, based on their qualitative similarity with each of the GISS ensemble members (figure 14a). The first member maintains a strong AMOC, the second undergoes a weakening to about 5 Sv followed by a recovery and the third collapses to the OFF



**Figure 14.** Comparison between GISS and PlaSim-LSG simulations under the SSP2-4.5 scenario. (a, c) AMOC strength at 46–66°N for the three selected ensemble members in each model, coloured by similarity. (b, d) State space projection of the trajectories in (a) and (c), respectively, with arrows indicating the time direction. Dark (light) grey shaded areas indicate the region of the edge state at 360 ppm (ghost state at 460 ppm) found in PlaSim-LSG. (e)–(h) Five-year averages of the Atlantic meridional streamfunctions along the collapsing (dark blue) trajectories of each model, starting in the years 1995 and 2495 CE as labelled.

state. Note that the evolution of the trajectories is delayed in PlaSim-LSG compared to the GISS model, and the initial AMOC weakening is less pronounced.

We now compare the reduced state space trajectories of these simulations between the two models. Using the same definitions as for PlaSim-LSG, we compute the meridional and vertical SG in GISS based on the Atlantic zonally averaged salinity field. Owing to the complexity of the GISS model, its AMOC stability landscape with respect to CO<sub>2</sub> and the properties of potential edge states or ghost states are not known. However, we can study how the trajectories relate to the edge state and ghost state found in PlaSim-LSG.

Remarkably, the reduced state space dynamics are qualitatively similar between the two models (figure 14b,d). The GISS trajectories start off from a lower meridional SG than the PlaSim-LSG trajectories, which we can explain by the significantly stronger present-day AMOC in the GISS model. The vertical SG values are in good agreement between the models. As CO<sub>2</sub> forcing increases, all trajectories initially move towards larger meridional and slightly larger vertical SG values. The AMOC recovery in GISS is characterized by a counter-clockwise rotation, where the loop performs a larger excursion for the trajectory that recovers from lower AMOC values. Interestingly, the reversal of the GISS trajectory with a late recovery occurs directly in the state space region where the edge state is located in PlaSim-LSG, following a path that resembles that of the recovering PlaSim-LSG trajectory. The collapsing trajectory in GISS skims the bottom end of the ghost state region before travelling to high meridional and low vertical SG values. This path is qualitatively similar to the collapsing PlaSim-LSG trajectory. Although the collapsing GISS simulation does not display any AMOC oscillations seen in the collapsing PlaSim-LSG simulation, there are still upward spikes in the state space trajectory that might hint at similar, yet dampened dynamics.

To summarize, we find that the splitting of the GISS ensemble occurs in the same region of the reduced state space in which the edge state is located in PlaSim-LSG. This supports the proposition that the ‘stochastic bifurcation’ (or ensemble splitting) could indeed be a signature of a chaotic AMOC edge state undergoing a boundary crisis.

## 7. Discussion and conclusion

This paper presents a global view of the stability landscape of the AMOC in a coupled climate model. While mapping out the full quasipotential landscape seems out of reach for a  $10^5$ -dimensional system, we present a proof of concept that analysing edge states gives key insights into the global stability properties, transient dynamics and instability mechanisms of high-dimensional climate models.

Traditionally, studies of climate tipping points often focus on the local dynamics near stable equilibria. Particularly, statistical EWS based on critical slowing-down measure changes in the local stability of an attractor under a quasi-adiabatic parameter drift: as the system approaches a bifurcation, the quasipotential flattens around the attractor, implying a reduction in restoring forces. Another way to look at this is that the barrier imposed by the edge state diminishes towards the bifurcation, and that the quasipotential flattens around the edge state (with an opposite sign of the curvature). This fits to our observation that edge tracking was more expensive at lower  $\text{CO}_2$  concentrations, further from the boundary crisis. Closer to the crisis, the edge state becomes 'stickier' [54,104] in the sense that trajectories tend to spend longer times in its vicinity, suggesting an alternative, non-local angle on critical slowing-down.<sup>3</sup> It seems clear that the current rate of anthropogenic greenhouse gas emissions is forcing the climate system out of a steady-state. Our results indicate that the edge state dynamics can become relevant under plausible future emissions scenarios: the boundary crisis in PlaSim-LSG occurs at  $\text{CO}_2$  levels that could be reached within two decades. Nonetheless, whether this boundary crisis is a feature of the real climate system remains unknown.

This study was conducted with a climate model of intermediate complexity that inevitably relies on simplifications and neglects numerous processes of potential relevance. Therefore, the results of our investigation may be highly model-dependent and not representative of reality. Even though the AMOC edge state found in PlaSim-LSG is a physically sensible steady-state, its non-linear dynamics might be exaggerated effects of the highly simplified parameterizations of, for example, sea ice and oceanic convection. On the other hand, we believe that PlaSim-LSG is to date the most complex climate model in which an edge state has been explicitly computed. Our results thus add a significant step towards realism to recent studies investigating edge states of the AMOC in a conceptual climate model [48] and a global ocean-only circulation model [57]. Furthermore, the similarity in the dynamics between PlaSim-LSG and the more complex GISS model suggests that the global stability view established here could provide key insights into the behaviour of state-of-the-art earth system models. As is increasingly clear, AMOC metastability and tipping behaviour is not restricted to simple climate models but occurs across the model hierarchy [33,52,79,105]. The state space and parameter space of large models are just more challenging to explore.

The key limitation for applying the edge tracking algorithm in even higher-dimensional systems is the computational cost of running long simulations. In PlaSim-LSG, producing one year of edge trajectory required on average 50 (90) years of simulation at 360 ppm (460 ppm). Thus, the 1400 year-long edge trajectory in the 360 ppm case consumed around 70 000 simulation years or 3000 CPU hours. Of course, this number depends strongly on the system and could be optimized by tuning the settings of the edge tracking procedure.

The edge tracking algorithm converges to an edge state despite the complex geometry of the basin boundary, which is typically fractal [10,48,63]. In our study, we observed that the basin boundary is folded along transects of interpolated initial conditions, hinting at fractality. Owing to the long lifetime of the ghost state, edge tracking appears to work for multiple iterations even beyond the boundary crisis (in the monostable regime). This permits probing ghost states while also demonstrating the difficulty of precisely determining critical forcing thresholds [48]. From a modelling perspective, it is not obvious that the interpolation between initial conditions in all dynamical variables yields new initial conditions that generate numerically stable and physical

<sup>3</sup>Y-C Lai, personal communication.

trajectories. We argue that convexity of the equations governing the climate dynamics ensures that trajectories quickly relax to a physical state.

Using an ocean-only model, Lohmann & Lucarini [57] found that the AMOC edge state features a less ‘spicy’ (i.e. colder and fresher) deep North Atlantic than the attractors, as well as a higher dynamic enthalpy. Our results corroborate this in a coupled climate model while also revealing a much richer dynamics owing to the ice–ocean–atmosphere coupling, in which the upper ocean plays a more active role. Although Lohmann & Lucarini [57] concluded that the most relevant regions for anticipating AMOC transitions are located in the deep sea, our results suggest that many excursive observables are also found in the surface ocean. This could potentially be exploited for improved early warning systems of AMOC changes [99,106].

AMOC oscillations have received wide interest owing to their occurrence in various climate models and potential for explaining past abrupt climate change. In the context of Dansgaard–Oeschger events [3], previous work has determined ‘sweet spots’ for oscillations in parameter space [107]. We demonstrate a sweet spot in state space: while the ON and OFF states do not exhibit oscillations, the region near the edge state does. The drivers of these unstable oscillations involve similar processes previously identified in stable oscillation mechanisms in other models [108–110]. Dynamically, the presence of unstable oscillations near the crisis might hint at the existence of a subcritical Hopf bifurcation with respect to CO<sub>2</sub>. Oscillations in the AMOC strength and other popular observables such as the overturning freshwater transport into the Atlantic [42] further suggest that such observables may be poor indicators of AMOC stability in out-of-equilibrium conditions.

The limited predictability of the AMOC near an instability has already been suggested by Knutti & Stocker [49]. Lohmann *et al.* [50] and Romanou *et al.* [52] have recently reiterated this idea by demonstrating an ensemble splitting caused by internal variability under identical time-dependent forcing. Additionally, the presence of long transients might pose a formidable barrier towards accurately anticipating critical transitions [48,67]. Our findings allow us to understand this behaviour in terms of an edge state and, beyond the boundary crisis, a ghost state. We can thus directly link the dynamics of earth system models to fundamental concepts of dynamical systems theory that are often only explored in low-dimensional systems.

**Data accessibility.** Processed simulation data from the PlaSim-LSG model are available at [doi:10.5281/zenodo.17053348](https://doi.org/10.5281/zenodo.17053348) [111], also including code from the edge tracking implementation and for data analysis. The full 3D fields of ocean temperature, salinity and meridional velocity (annual resolution) are stored at [doi:10.5281/zenodo.20270588](https://doi.org/10.5281/zenodo.20270588) [112] for selected simulations. GISS model data are available from the Earth System Grid Federation (ESGF, <https://esgf-node.llnl.gov/search/cmip6/>, [doi:10.22033/ESGF/CMIP6.7415](https://doi.org/10.22033/ESGF/CMIP6.7415)) or NASA Center for Climate Simulations (NCCS, [https://portal.nccs.nasa.gov/datashare/giss\\_cmip6/](https://portal.nccs.nasa.gov/datashare/giss_cmip6/)).

**Declaration of AI use.** We have not used AI-assisted technologies in creating this article, apart from figure layout source code.

**Authors' contributions.** R.B.: conceptualization, formal analysis, investigation, methodology, software, visualization, writing—original draft, writing—review and editing; O.M.: conceptualization, methodology, software, writing—review and editing; J.v.H.: funding acquisition, methodology, resources, supervision, writing—review and editing; V.L.: conceptualization, funding acquisition, methodology, supervision, writing—review and editing.

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