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# Node-dependent kinematics approach for damage analysis of reinforced concrete structures

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**Abstract:** Modeling damage behavior in engineering structures is vital, but balancing computational efficiency and accuracy presents a significant challenge. This study introduces an advanced higher-order beam model incorporating a node-dependent kinematics approach, enhancing the efficiency of damage analysis in reinforced concrete structures. The proposed beam model is built in the framework of Carrera Unified Formulation, enabling a three-dimensional displacement field from a one-dimensional beam model via variable cross-sectional

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26 expansion functions. The node-dependent kinematics approach allows diverse cross-sectional  
27 kinematics at different nodes on the same beam element. Therefore, a customized approach  
28 can be applied where critical areas susceptible to localized damage utilize Lagrange poly-  
29 nomials and Component-Wise approach for detailed analysis, while non-critical zones apply  
30 lower-order Taylor polynomials to reduce computational resources. The model incorporates  
31 a modified Mazars damage model for concrete and Von Mises plasticity for steel. Four nu-  
32 merical assessments show that the proposed beam model with node-dependent kinematics  
33 can maintain accuracy while reducing degrees of freedom by 35% – 60% compared to fully  
34 refined models with Lagrange polynomials. Moreover, the node-dependent kinematics only  
35 require simple adjustments to the cross-sectional kinematics as necessary without extensive  
36 mesh refinement. This scalability significantly simplifies the tuning process of beam models  
37 for practical applications.

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## 39 **1 Introduction**

40 Over the past decades, numerous numerical models have been developed for the structural  
41 analysis of Reinforced Concrete (RC) structures. Experimental studies (MacGregor et al.,  
42 1997) have revealed that RC structures exhibit complex behavior, including concrete crack-  
43 ing and crushing, steel plasticity, and the bond-slip interaction between steel rebars and  
44 concrete. Capturing these phenomena in a numerical method demands significant compu-  
45 tational resources. Despite the challenges posed by computational requirements, numerical  
46 models are still essential in specialized engineering and for conducting parametric studies  
47 (Kadhim et al., 2020), which provides a cost-efficient alternative to experimental testing. The  
48 crucial aspect of simulating RC structures is choosing appropriate material representations  
49 for concrete and steel according to specific application scenarios. Additionally, accurately  
50 modeling the interaction between concrete and steel reinforcement remains a significant and  
51 ongoing challenge (Ogura et al., 2008).

52 Currently, two principal approaches (Maekawa et al., 2003) are widely utilized in the  
53 simulation of RC structures: the continuum method employing three-dimensional (3D) Finite  
54 Element Analysis (FEA) and the frame modeling method. The FEA with continuum method

55 employs 3D solid elements to model concrete, whereas steel rebars are simulated using one-  
56 dimensional (1D) beam or truss elements (Earij et al., 2017; Sinaei et al., 2012). Various  
57 plasticity theories are applied to capture the behavior of steel rebars (de Souza Neto et al.,  
58 2011). Continuum damage models, both isotropic (Mazars, 1984) and anisotropic (Halm and  
59 Dragon, 1996), have been proposed and validated for concrete damage behavior. The bond-  
60 slip interaction between concrete and steel is addressed by incorporating specialized elements  
61 like contact or interface elements (Murcia-Delso and Benson Shing, 2015; Casanova et al.,  
62 2012). Although this method achieves reliable accuracy, it demands substantial computational  
63 resources, particularly for large-scale structures such as RC bridges (Babazadeh et al., 2015)

64 Unlike the continuum method, frame elements, including lumped and distributed plasticity  
65 methods, are more attractive due to their reduced computational requirements. Among these,  
66 the fiber beam element is the most preferred for studying the seismic behavior of RC struc-  
67 tures (Taucer et al., 1991; Spacone et al., 1996). In this approach, the section is discretized  
68 into multiple fibers, and uniaxial constitutive relations govern each fiber according to materi-  
69 als. Such a method allows for the independent modeling of the nonlinear behavior of concrete  
70 and steel. The longitudinal behavior is commonly integrated with the Euler-Bernoulli beam  
71 theory, where the shear deformations are neglected (Spacone et al., 1996). Then, the behav-  
72 ior of the entire RC member can be approximated by a limited number of beam elements  
73 through standard FE approaches. Notably, it has been reported that a single force-based  
74 element can effectively simulate the nonlinear response of a frame member (Neuenhofer and  
75 Filippou, 1997). Several approaches have been proposed to include the flexure-shear interac-  
76 tion in the beam-column elements, reviewed in (Ceresa et al., 2007). The first way involves  
77 the introduction of shear springs at the end of the element, which is simple and easy for im-  
78 plementation but involves calibrating parameters (D’Ambrisi and Filippou, 1999; Marini and  
79 Spacone, 2006). The second method consists of integrating Timoshenko beam theory into the  
80 frame element and combined with multi-dimensional material constitutive laws, which ensure  
81 the capture of flexure-shear interaction at both material and section levels. Different Timo-  
82 shenko fiber beam elements have been proposed so far, including displacement-based (Ceresa  
83 et al., 2009; Feng et al., 2017), force-based (Petrangeli et al., 1999; Mullapudi and Ayoub,

84 2013), and mixed formulation-based (Saritas and Filippou, 2009, 2013; Cheng and Shing,  
85 2022). However, classical Timoshenko beam elements often have difficulties accurately cap-  
86 turing nonlinear shear deformation due to the assumption of uniform shear stress or capturing  
87 localized phenomena (Urmson and Mander, 2012; Girgin et al., 2018).

88 The trade-off between accuracy and computational demands is challenging. A potential  
89 solution is represented by the higher-order beam theory based on Carrera Unified Formulation  
90 (CUF) (Carrera et al., 2014). Within the framework of CUF, 1D beam models are employed to  
91 describe the 3D displacement field by using various cross-sectional expansion functions. This  
92 CUF-based beam theory provides a robust framework for overcoming limitations encountered  
93 in classical beam models without relying on additional assumptions. These Euler-Bernoulli  
94 and Timoshenko beam theories are considered particular cases by adjusting kinematics in  
95 the CUF framework (Carrera et al., 2011). Compared to the previously mentioned frame  
96 elements, a more accurate nonlinear shear effect can be taken into account by this CUF-based  
97 beam model. Importantly, it provides 3D results comparable to those of 3D solid elements  
98 while maintaining the efficiency of the 1D model, which makes it applicable to complex  
99 structures such as these owning discontinuities. This capability is typically beyond the reach  
100 of both lumped and distributed plasticity models. Moreover, 3D material constitutive laws are  
101 directly employed for structural analysis rather than the requirement for the inelastic element  
102 parameters, such as bending moment-curvature relationships in the lumped plasticity model.

103 The application of CUF-based higher-order beam theories has shown success in static  
104 and dynamic analyses across various engineering structures (Carrera et al., 2022; Shen et al.,  
105 2022). The Component-Wise (CW) approach, a recent development of CUF, considers the  
106 exact geometry and material properties within the calculations. These models incorporating  
107 the CW approach, referred to as refined CW models, are particularly beneficial for analyzing  
108 composite structures such as RC structures (Carrera et al., 2022; Nagaraj and Maiaru, 2023).  
109 In (Shen et al., 2023a), the CW models have demonstrated the capability to predict overall  
110 softening behavior in RC beams without stirrups and to capture flexural failure in RC beams  
111 with stirrups. However, some small beam elements, whose lengths are equal to the diameter of  
112 the stirrups, are periodically employed to represent the stirrups' actual geometry accurately.

113 This exact representation of stirrups in RC structures demands increased beam element dis-  
114 cretization, leading to a significant rise in Degrees of Freedom (DoFs) of refined CW models.  
115 Furthermore, when dealing with areas of high-stress gradients, there is an increase in compu-  
116 tational expense due to the need for more refined models. In the past, refined models were  
117 employed across entire domains (Shen et al., 2023a), which is often unnecessary and leads to  
118 computational inefficiencies, mainly when local effects are confined to small regions.

119 A more effective strategy involves applying the refined models only in the required regions,  
120 whereas lower-order or classical models are employed in less critical areas. The challenge of  
121 joining two different structural models with incompatible kinematics has been extensively ex-  
122 plored, with numerous solutions detailed in (Carrera et al., 2018). In the present study, a new  
123 Node-Dependent Kinematics (NDK) approach (Carrera and Zappino, 2017) is introduced in  
124 the framework of CUF. This method allows the assignment of distinct kinematic assumptions  
125 to different nodes within a single 1D beam element, enhancing accuracy in targeted regions  
126 through refined kinematics. A transition element can connect the elements with different  
127 kinematics, ensuring displacement continuity via the inherent shape functions in the Finite  
128 Element (FE) formulation. The advantage of this approach is that the calculation is still  
129 within the CUF framework, and no extra formulations are added, which is beneficial for com-  
130 putational efficiency. Furthermore, the notable scalability of this method can avoid further  
131 mesh refinement in FE models for global-local analysis (Carrera et al., 2019; Nagaraj et al.,  
132 2023). Although the NDK approach has been previously applied to RC structures (Augello  
133 et al., 2023), its use has been limited to linear analysis.

134 In this context, the current study aims to extend the NDK approach to the damage analysis  
135 of RC structures in the framework of the 1D-CUF model. This study employs validated  
136 material models, such as the modified Mazars damage model for concrete and Von Mises  
137 plasticity for steel. These have demonstrated mesh-independent results in previous researches  
138 (Shen et al., 2023b,c). The scope of investigation in this work is not only limited to RC beams  
139 but also includes more complex engineering structures. To the authors' knowledge, it is the  
140 first time to apply global-local strategies like the NDK approach to the nonlinear damage  
141 analysis of RC structures. By employing the NDK approach, regions susceptible to critical

142 damage are modeled with higher refinement. In comparison, the lower class is applied to the  
 143 rest, thereby saving computational costs while ensuring a relatively high accuracy. Hence, the  
 144 proposed approach shows considerable potential for real-world engineering applications due  
 145 to its scalability and the optimal balance between accuracy and computational efficiency.

## 146 **2 Unified higher-order beam models**

147 In the framework of CUF (Carrera et al., 2014), the 3D displacement field of 1D beam models  
 148 can be derived using the cross-sectional expansion functions. In this work, the axial direction  
 149 of a 1D beam model is denoted by  $y$ , and  $x$  and  $z$  represent the coordinates in the cross-  
 150 sectional plane. Hence, the 3D displacement field of a beam-like structure is expressed as  
 151 follows:

$$\mathbf{u}(x, y, z) = F_\tau(x, z)\mathbf{u}_\tau(y), \quad \tau = 1, 2, \dots, M \quad (1)$$

152 where  $F_\tau(x, z)$  is the cross-sectional expansion function,  $\mathbf{u}_\tau(y)$  denotes the vector of unknown  
 153 displacements along the beam axis,  $\tau$  is a summation notation, and  $M$  signifies the number  
 154 of terms in the expansion functions. The selection of a particular expansion characterizes  
 155 the capabilities of a structural model. Various options for  $F_\tau(x, z)$  are thoroughly discussed  
 156 in (Carrera et al., 2014). For the current analysis, both Taylor and Lagrange polynomial  
 157 expansions are considered, and their details are provided in the subsequent sections.

### 158 **2.1 Taylor expansion models**

159 Taylor Expansions (TE) employ the Taylor-like polynomials, with base terms of the form  
 160  $x^m z^n$ , where  $m$  and  $n$  are positive integers. In general, kinematics based on TE can be named  
 161 TE $n$ , in which  $n$  indicates the order of Taylor-like polynomials. For instance, a second-  
 162 order TE model (TE2) exploits a parabolic expansion of the Taylor-like polynomials, and the  
 163 corresponding expansion expansions  $F_\tau$  are:

$$F_1 = 1, \quad F_2 = x, \quad F_3 = z, \quad F_4 = x^2, \quad F_5 = xz, \quad F_6 = z^2 \quad (2)$$

164 Then, the 3D displacement field of the second-order TE model can be expressed explicitly:

$$\begin{aligned}
u_x(x, y, z) &= u_{x_1} + xu_{x_2} + zu_{x_3} + x^2u_{x_4} + xzu_{x_5} + z^2u_{x_6} \\
u_y(x, y, z) &= u_{y_1} + xu_{y_2} + zu_{y_3} + x^2u_{y_4} + xzu_{y_5} + z^2u_{y_6} \\
u_z(x, y, z) &= u_{z_1} + xu_{z_2} + zu_{z_3} + x^2u_{z_4} + xzu_{z_5} + z^2u_{z_6}
\end{aligned} \tag{3}$$

165 where  $u_{x_1} \dots u_{z_6}$  are unknown displacement variables of the problem.

## 166 2.2 Lagrange expansion models

167 Another class utilizes the Lagrange polynomial as the expansion functions  $F_\tau$ , named the  
168 Lagrange Expansion (LE) model. Within these models, the cross-section can be divided into  
169 sub-domains, which are connected with Lagrange Points (LPs). The Lagrange polynomials are  
170 usually given in terms of normalized coordinates, and any arbitrary cross-sectional geometry  
171 can be described with the help of isoparametric formulation. The simplest quadrilateral  
172 Lagrange polynomial is the four-point (L4) set, and the corresponding functions are:

$$F_\tau = \frac{1}{4}(1 + r r_\tau)(1 + s s_\tau), \quad \tau = 1, 2, 3, 4 \tag{4}$$

173 where  $r$  and  $s$  are the normalized coordinates in the natural reference system, and  $(r_\tau, s_\tau)$  are  
174 the coordinates of point  $\tau$ .

175 Therefore, the 3D displacement of LE model with four-point Lagrange polynomials can  
176 be given as:

$$\begin{aligned}
u_x(x, y, z) &= F_1u_{x_1} + F_2u_{x_2} + F_3u_{x_3} + F_4u_{x_4} \\
u_y(x, y, z) &= F_1u_{y_1} + F_2u_{y_2} + F_3u_{y_3} + F_4u_{y_4} \\
u_z(x, y, z) &= F_1u_{z_1} + F_2u_{z_2} + F_3u_{z_3} + F_4u_{z_4}
\end{aligned} \tag{5}$$

177 where  $u_{x_1} \dots u_{z_4}$  are unknown variables that are physical translational displacements of each  
178 LP.

179 Eq. (5) results from the interpolation of the displacements derived at LPs. Moreover, in  
180 practical applications, one can select from quadratic Lagrange polynomials incorporating nine  
181 points (L9) or cubic LEs with sixteen points (L16). The physical translational displacements

182 at the LPs within the cross-section correspond to the model's DoFs. Therefore, higher-order  
 183 Lagrange polynomials provide enhanced accuracy by offering a more significant number of  
 184 DoFs through additional LPs.

## 185 **2.3 Finite element approach**

186 The FEM is employed to solve for axial displacement vectors as described in Eq. (1). Ac-  
 187 cordingly, the displacement field can be rewritten as:

$$\mathbf{u}(x, y, z) = F_\tau(x, z)N_i(y)\mathbf{u}_{\tau i}, \quad i = 1, \dots, N_{NE} \quad (6)$$

188 where  $N_i$  denotes the shape function associated with beam node  $i$ ,  $u_{\tau i}$  is the nodal displace-  
 189 ment vector, and  $N_{NE}$  represents the number of nodes within each beam element. Similarly,  
 190 the virtual variation of the displacement can be expressed as:

$$\delta\mathbf{u}(x, y, z) = \delta\mathbf{u}_{sj}F_s(x, z)N_j(y), \quad j = 1, \dots, N_{NE} \quad (7)$$

191 The detailed information on beam shape functions can be found in (Carrera et al., 2014).  
 192 Commonly adopted beam elements include two-node linear (B2), three-node quadratic (B3),  
 193 and four-node cubic (B4) configurations. Notably, the selection of beam elements is inde-  
 194 pendent of the choice of expansion functions, which is a significant flexibility for 1D CUF  
 195 models.

196 The 3D strain field,  $\boldsymbol{\varepsilon} = \{\varepsilon_{xx}, \varepsilon_{yy}, \varepsilon_{zz}, \varepsilon_{xy}, \varepsilon_{xz}, \varepsilon_{yz}\}^T$ , can be obtained through the strain-  
 197 displacement relation, which can be expressed in a vectorial notation:

$$\boldsymbol{\varepsilon} = \mathbf{B}\mathbf{u} \quad (8)$$

198 where  $\mathbf{B}$  is the linear differential operator with two contributions:

$$\mathbf{B} = \mathbf{B}_\Omega + \mathbf{B}_y = \begin{bmatrix} \frac{\partial}{\partial x} & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & \frac{\partial}{\partial z} \\ 0 & \frac{\partial}{\partial x} & 0 \\ \frac{\partial}{\partial z} & 0 & \frac{\partial}{\partial x} \\ 0 & \frac{\partial}{\partial z} & 0 \end{bmatrix} + \begin{bmatrix} 0 & 0 & 0 \\ 0 & \frac{\partial}{\partial y} & 0 \\ 0 & 0 & 0 \\ \frac{\partial}{\partial y} & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & \frac{\partial}{\partial y} \end{bmatrix} \quad (9)$$

199 The 3D stress field,  $\boldsymbol{\sigma} = \{\sigma_{xx}, \sigma_{yy}, \sigma_{zz}, \sigma_{xy}, \sigma_{xz}, \sigma_{yz}\}^T$ , can be calculated through the con-  
 200 stitutive relation, which is expressed as:

$$\boldsymbol{\sigma} = \mathbf{C}_d \boldsymbol{\varepsilon} \quad (10)$$

201 where  $\mathbf{C}_d$  is a  $6 \times 6$  represents the  $6 \times 6$  stiffness matrix of the damaged material. This study  
 202 addresses material nonlinearities resulting from damage, requiring a Newton–Raphson scheme.  
 203 The secant stiffness matrix is used in the incremental process for its simpler implementation  
 204 than the tangent stiffness matrix, though this may result in slower convergence. More details  
 205 on the damage model will be introduced later.

## 206 2.4 Component-Wise approach

207 Due to the previously mentioned physical meaning of Lagrange polynomials, the Component-  
 208 Wise approach, an extension of 1D-CUF models, has been developed to accurately represent  
 209 composite structures made of diverse materials (Nagaraj et al., 2022; Kaleel et al., 2018).  
 210 This approach is also effective for RC structures made of steel and concrete. As depicted  
 211 in Fig. 1, the cross-section of such structure is discretized into multiple elements according  
 212 to the material. Here, steel is denoted by the red elements, while concrete is indicated in  
 213 grey. Lagrange points are assigned at their boundaries to maintain displacement continuity  
 214 at the interfaces of steel and concrete components. The behavior of RC structures is then  
 215 modeled with the LEs over the discretized beam elements. This CW approach allows for the  
 216 independent and simultaneous consideration of actual material and geometric properties of  
 217 each component, leading to a more accurate representation of the structural behavior.

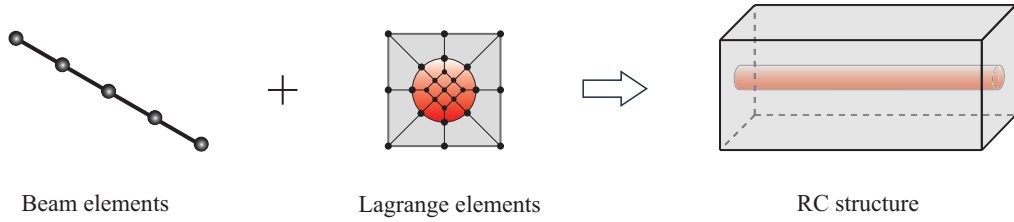


Figure 1: An illustration of the beam model with CW approach for modeling RC structures

### 3 Node-dependent kinematics approach

According to Section 2, the 3D displacement field of beam elements is first approximated by the cross-sectional expansion  $F_\tau(x, z)$  at node  $i$ , which is subsequently interpolated along the beam axis using the FE shape functions  $N_i(y)$ . Typically, uniform cross-sectional expansions are applied across all FE beam nodes. Classical beam theories can be realized in the framework of CUF by designing appropriate cross-sectional expansion. The aforementioned advanced TE and LE can overcome some limitations of these classical theories, particularly in complex scenarios, albeit at an increased computational cost.

In most cases, refined or higher-order models are only required in critical regions of the structure, such as areas with constraints or loadings and zones with concentrated stresses. In contrast, classical or lower-order models are sufficient for the rest of the non-critical regions. This strategy can reduce computational costs under the premise of high accuracy. For the connection between non-critical and critical zones, a node-dependent kinematics approach is proposed for transition. The NDK approach was first introduced in (Carrera and Zappino, 2017) and has since found widespread uses such as (Nagaraj et al., 2023; Scano et al., 2023).

#### 3.1 Beam element with Node-dependent kinematics

An example of a beam structure is illustrated in Fig. 2, where the critical and non-critical regions are denoted by grey and white, respectively. In the NDK framework, the cross-sectional mechanical description  $F_\tau(x, z)$  on each node is not uniform anymore, and it is further related to the node  $i$ , leading to node-dependent cross-sectional kinematics  $F_\tau^i(x, z)$ . Consequently, the number of terms in the expansion,  $M$ , differs at each node and is replaced with the notation  $M^i$ . The displacement fields are smeared through the same FE beam shape functions to ensure displacement continuity across all points. The displacement representation

241 for NDK beam elements, therefore, adopts the following form:

$$\mathbf{u}(x, y, z) = F_\tau^i(x, z)N_i(y)\mathbf{u}_{\tau i}, \quad i = 1, \dots, N_{NE}; \quad \tau = 1, \dots, M^i \quad (11)$$

242 Besides, the variation of the corresponding displacement can be written as:

$$\delta\mathbf{u}(x, y, z) = \delta\mathbf{u}_{sj}F_s^j(x, z)N_j(y), \quad j = 1, \dots, N_{NE}; \quad s = 1, \dots, M^j \quad (12)$$

243 Figure 2 selects a two-node beam element within the transition zone for demonstration.  
 244 Assuming the left node, marked in red, adopts a first-order TE while the right node, depicted  
 245 in black, utilizes a linear LE with four nodes, the displacement field of Eq. (11) can be  
 246 expressed as:

$$\mathbf{u}(x, y, z) = N_1(y)[\mathbf{u}_{11} + \mathbf{u}_{21}x + \mathbf{u}_{31}z] + N_2(y)[\mathbf{u}_{12}L_1 + \mathbf{u}_{22}L_2 + \mathbf{u}_{32}L_3 + \mathbf{u}_{42}L_4] \quad (13)$$

where  $L_1, \dots, L_4$  are Lagrange expression from Eq. (4).

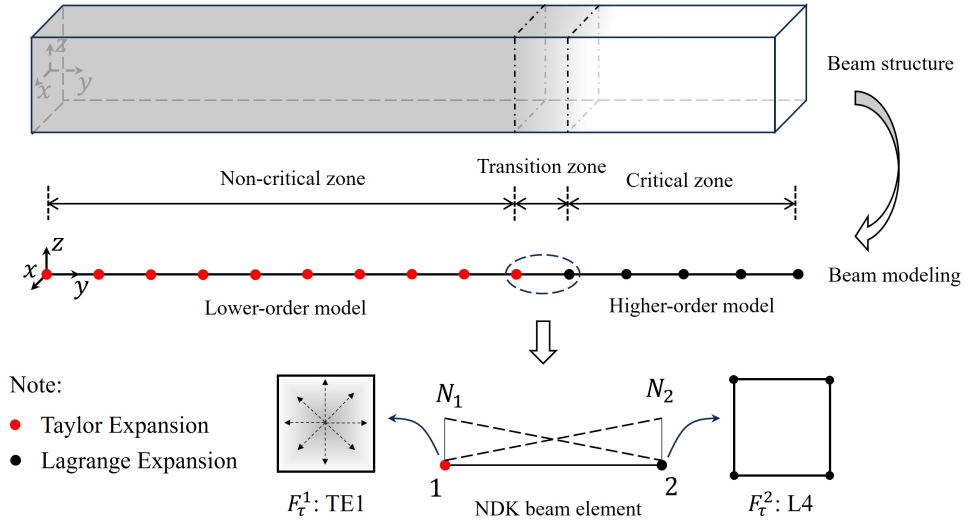


Figure 2: A CUF-based 1D model with NDK approach

247

### 248 3.2 FE governing equations

249 The governing equation for static problems is derived from the principle of virtual displacements,  
 250 which states the equality of the external virtual work ( $\delta L_{\text{ext}}$ ) and internal virtual work

251  $(\delta L_{\text{int}})$ . For simplicity, they are expressed as:

$$\delta L_{\text{int}} = \int_V \delta \boldsymbol{\varepsilon}^T \boldsymbol{\sigma} dV = \delta \mathbf{u}_{sj}^T \mathbf{K}^{\tau sij} \mathbf{u}_{\tau i} \quad (14)$$

252

$$\delta L_{\text{ext}} = \int_V \delta \mathbf{u}^T \mathbf{F} dV = \delta \mathbf{u}_{sj}^T \int_V N_j F_s^j \mathbf{F} dV = \delta \mathbf{u}_{sj}^T \mathbf{F}_{sj} \quad (15)$$

253 with

$$\mathbf{K}^{\tau sij} = \int_l \int_{\Omega} [\mathbf{B}^T(N_j(y)F_s^j(x, z))\mathbf{C}_d\mathbf{B}(F_{\tau}^i(x, z)N_i(y))]d\Omega dl \quad (16)$$

254 where  $\mathbf{K}^{\tau sij}$  is the fundamental nucleus in the form of  $3 \times 3$  stiffness matrix;  $l$  and  $\Omega$  represent  
 255 the length of beam element and area of cross-section respectively;  $i, j$ , and  $\tau, s$  are indexes  
 256 related to beam shape function and cross-sectional expansion function respectively.  $\mathbf{F}$  is the  
 257 external load and  $\mathbf{F}_{sj}$  is the load vector in a  $3 \times 1$  array. Thus, the governing equation can  
 258 be expressed as:

$$\mathbf{K}^{\tau sij} \mathbf{u}_{\tau i} = \mathbf{F}_{sj} \quad (17)$$

259 The matrix  $\mathbf{K}^{\tau sij}$  is the basic block from which the stiffness matrix of the whole structure  
 260 can be constructed automatically. It can be expressed as:

$$\mathbf{K}^{\tau sij} = \begin{bmatrix} k_{xx}^{\tau sij} & k_{xy}^{\tau sij} & k_{xz}^{\tau sij} \\ k_{yx}^{\tau sij} & k_{yy}^{\tau sij} & k_{yz}^{\tau sij} \\ k_{zx}^{\tau sij} & k_{zy}^{\tau sij} & k_{zz}^{\tau sij} \end{bmatrix} \quad (18)$$

261 Extended forms for each component in Eq. (18) are detailed in (Carrera and Zappino,  
 262 2017). For brevity, the explicit expression of the first component  $k_{xx}^{\tau sij}$ , for an undamaged  
 263 isotropic material, is given as follows:

$$k_{xx}^{\tau sij} = C_{22} \int_{\Omega} F_{\tau,x}^i F_{s,x}^j d\Omega \int_l N_i N_j dy + C_{66} \int_{\Omega} F_{\tau,z}^i F_{s,z}^j d\Omega \int_l N_i N_j dy + C_{44} \int_{\Omega} F_{\tau}^i F_s^j d\Omega \int_l N_{i,y} N_{j,y} dy \quad (19)$$

264 where  $C_{22}$ ,  $C_{44}$ , and  $C_{66}$  are components of the material stiffness matrix, typically determined  
 265 by the material's modulus of elasticity and Poisson's ratio.

## 4 Modified Mazars damage models

In this section, the isotropic concrete damage model proposed by Mazars (Mazars, 1984) is presented to capture the damage behavior of concrete. The model is modified with the fracture energy regularization technique to alleviate the mesh dependence based on the tensile and compressive constitutive laws (Arruda et al., 2022). The modified Mazars damage model does not consider permanent strains compared to other models. However, it is adequate for the damage analysis of RC structures subjected to quasi-static loads due to the role of steel reinforcement in governing the plastic behavior of such structures. To this end, the Von Mises yield criterion is employed to describe the plasticity of steel reinforcements.

According to (Mazars, 1984), the evolution of isotropic material stiffness is directly controlled by one scalar damage variable  $d$ , which ranges from 0 to 1.0. The formulation of the original Mazars damage model is given as follows:

$$\boldsymbol{\sigma} = \mathbf{C}_d \boldsymbol{\varepsilon} = (1 - d) \mathbf{C} \boldsymbol{\varepsilon} \quad (20)$$

where  $\mathbf{C}$  represents the material stiffness matrix without damage.

In the Mazars damage model, damage initiation and evolution are governed exclusively by positive strains in the principal directions. Therefore, Mazars introduced an equivalent strain, denoted as  $\varepsilon_{eq}$ , to cover this behavior. This equivalent strain is calculated according to the following expression:

$$\varepsilon_{eq}(\boldsymbol{\varepsilon}) = \sqrt{\sum_{i=1}^3 \langle \varepsilon_i \rangle_+^2} \quad (21)$$

in which  $\langle \cdot \rangle_+$  is the Macauley bracket for picking out the positive value, and  $\varepsilon_i$  are the principle strains.

Subsequently, the loading function, denoted as  $f(\boldsymbol{\varepsilon}, \kappa) = \varepsilon_{eq}(\boldsymbol{\varepsilon}) - \kappa$ , depends on the equivalent strain and a damage threshold  $\kappa$ . Before damage occurrence,  $\kappa$  is a material constant that equals the ultimate tensile strain. After damage initiation, it is updated to the current value of  $\varepsilon_{eq}$ . If  $f(\boldsymbol{\varepsilon}, \kappa) = 0$  occurs, it indicates the onset of damage, requiring an update to the damage variable. This update is governed by a linear combination of tensile

290 and compressive damage variables  $d_t$  and  $d_c$ , and the formulation is expressed as:

$$d = \alpha_t d_t + \alpha_c d_c \quad (22)$$

291 where  $\alpha_t$  and  $\alpha_c$  are weights factors for the tensile and compressive damage variables,  $d_t$  and  
 292 compression  $d_c$ , respectively. The explicit calculation of  $\alpha_t$  and  $\alpha_c$  can be found in (Arruda  
 293 et al., 2022).

294 Rather than using original damage propagation laws for  $d_t$  and  $d_c$  from (Mazars, 1984), this  
 295 work adopts modified damage evolution laws based on concrete stress-strain relations from  
 296 fib Model Code 2010 (MC2010) (fib special activity group, 2013), which are more practical.  
 297 Additionally, a fracture energy regularization technique based on the crack band model is  
 298 employed to regularize the softening behavior and address the mesh dependency issues.

299 For tensile responses, the bilinear softening constitutive law from MC2010 may lead to  
 300 numerical convergence issues when the stress diminishes to zero and the damage ascends to  
 301 one. To circumvent this, a classical constitutive law with an exponential softening curve is  
 302 employed. The explicit formulation of tensile damage evolution is derived and expressed as:

$$d_t = g_t(\kappa_t) = 1 - \frac{\varepsilon_{d0}}{\kappa_t} \exp\left(\frac{\varepsilon_{d0} - \kappa_t}{\varepsilon_{tu} - \varepsilon_{d0}}\right) \quad (23)$$

303 where  $\varepsilon_{d0}$  is the threshold elastic strain corresponding to the peak stress, also known as the  
 304 mean uniaxial tensile strength  $f_{ctm}$ ;  $\varepsilon_{tu}$  signifies the equivalent ultimate strain for bilinear  
 305 softening and is associated with the volumetric fracture energy  $g_{ft}$  and crack bandwidth  $l_c$ .

306 Similarly, the damage evolution law for compression is derived from the compressive stress-  
 307 strain relationship, which can be expressed as follows:

$$d_c = g_c(\kappa_c) = \begin{cases} 1 - \frac{(k \times \eta - \eta^2) f_{cm}}{(1 + (k-2) \times \eta) E_{cm} \kappa_c} & \text{if } \kappa_c \leq \varepsilon_{c1} \\ 1 - \frac{f_{cm}}{E_{cm} \kappa_c} & \text{if } \varepsilon_{c1} < \kappa_c \leq \varepsilon_{c2} \\ 1 + \frac{k_1}{E_{cm}} - \frac{k_2}{E_{cm} \kappa_c} & \text{if } \varepsilon_{c2} < \kappa_c \leq \varepsilon_{cres} \\ 1 - \frac{\sigma_{cres}}{E_{cm} \kappa_c} & \text{if } \varepsilon_{cres} < \kappa_c \end{cases} \quad (24)$$

with

$$\kappa_c = \frac{\kappa_t}{\nu\sqrt{2}}; \quad \eta = \frac{\kappa_c}{\varepsilon_{c1}}; \quad k = \frac{1.05E_{cm}\varepsilon_{c1}}{f_{cm}}; \quad k_1 = \frac{f_{cm}}{(\varepsilon_{cu} - \varepsilon_{c2})}; \quad k_2 = f_{cm} + k_1 \times \varepsilon_{c2};$$

308 where  $f_{cm}$  is the mean compressive strength of the concrete;  $E_{cm}$  denotes the secant Young's  
309 modulus;  $k$ ,  $k_1$  and  $k_2$  are parameters from (EN, 2005) to describe the softening part of consti-  
310 tutive laws;  $\varepsilon_{c1}$  and  $\varepsilon_{c2}$  are strain parameters adopted from (EN, 2005);  $\eta$  is a unidimensional  
311 strain ratio provided in (fib special activity group, 2013).  $\sigma_{cres}$  is residual compressive stress  
312 which ensures stress never reaches 0.0 to avoid convergence issues;  $\varepsilon_{cres}$  is the corresponding  
313 residual compressive strain;  $\varepsilon_{cu}$  is the equivalent ultimate compressive strain when neglecting  
314 the residual stress, and is associated with the compressive volumetric fracture energy  $g_{fc}$  and  
315 crack bandwidth  $l_c$ .

316 One key issue in fracture energy regularization is determining the correct value of  $l_c$  for  
317 obtaining objective numerical results. It is recognized that various factors, including element  
318 shape, element order, and element size, can influence the value of  $l_c$ . In this work, the  
319 estimation method of  $l_c$  from (Shen et al., 2023c) has been adopted. More details of this  
320 method can be explored in (Shen et al., 2023c).

## 321 5 Numerical examples

322 This section presents four illustrative examples of reinforced concrete structures selected from  
323 existing literature to demonstrate the proposed approach's numerical performance. The first  
324 three examples, which focus on RC beams, are based on experimental campaigns and employ  
325 a displacement-control method for the numerical simulations. The last example is a numerical  
326 model of an RC frame structure.

### 327 5.1 Notched reinforced concrete beam under tension

328 The first example is a direct tension test on a notched RC beam, which was conducted  
329 experimentally in (Ouyang et al., 1997). Though the CUF-CW solution has been previously

330 applied to this case (Nagaraj and Maiaru, 2023), further investigation is now placed on NDK  
 331 models using this simple example. The concrete beam is reinforced with three evenly spaced  
 332 longitudinal bars. Two notches, each 10 mm deep and 12.7 mm wide, are prefabricated in the  
 333 middle to initiate the first crack. Fig. 3 depicts the overall load layout and geometries. The  
 334 left end is fixed in all directions, while a displacement-controlled pressure is applied to the  
 335 right end. The material properties are adopted from (Nagaraj and Maiaru, 2023) and listed  
 336 in Table 1.

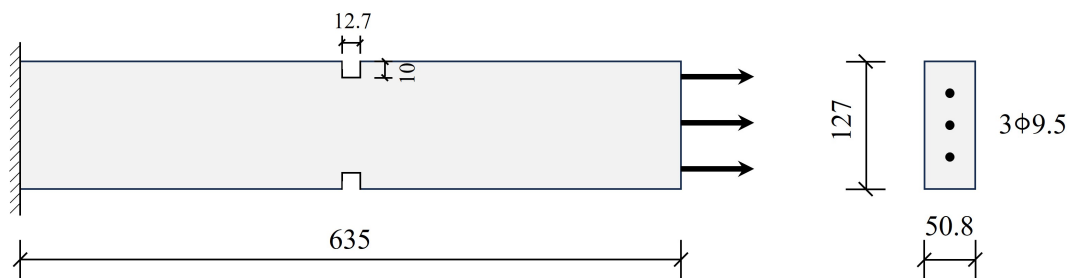


Figure 3: Load layout and geometry of notched RC beams under direct tension (Unit: mm)

Table 1: Material properties of the notched RC beams

Material type	$E$ (GPa)	$f_{ctm}$ (MPa)	$f_{cm}$ (MPa)	$G_{ft}$ (N/m)	$G_{fc}$ (N/m)	$\nu$	$f_y$ (MPa)
Concrete	27.349	3.19	44	144	22150	0.175	-
Steel	191.584	-	-	-	-	0.28	508

337 Beam element discretization is conducted along the longitudinal axis of the structure,  
 338 with Fig. 4 (a) illustrating that black dots stand for the nodes of beam elements. More beam  
 339 elements are assigned around the middle notches as suggested from (Nagaraj and Maiaru,  
 340 2023). This refinement may be critical for accurately capturing the stress concentration and  
 341 subsequent damage initiation. Cross-sectional discretizations are visualized in Fig. 4 (b),  
 342 where red circles represent the steel component within the beam. The steel is integrated into  
 343 the concrete matrix using LPs so that displacement continuity can be satisfied, which is also  
 344 the strategy of the CW approach.

345 In (Nagaraj and Maiaru, 2023), only 5 and 7 quadratic beam elements with quadratic  
 346 Lagrange elements were employed. Although these models presented satisfactory correlations  
 347 with experimental load-displacement curves, the limited number of beam elements constrained  
 348 the prediction of accurate damage distribution. The current analysis employs a finer mesh  
 349 with 17 quadratic beam elements coupled with the same quadratic Lagrange elements for

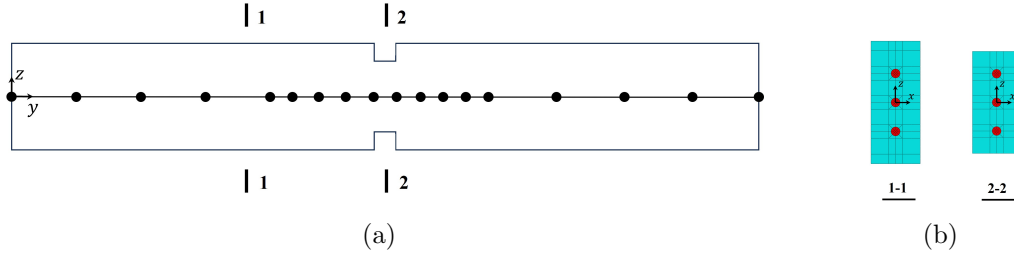


Figure 4: (a) FE discretization of beam elements and (b) cross-sectional discretization for the notched RC beam

350 cross-sectional expansion. The beam model in which all nodes are expanded via LEs is  
 351 named the refined CW model, as depicted in Fig. 5 (a). This model can enhance the  
 352 numerical accuracy, but the required DoFs are relatively high. For the investigation of the  
 353 NDK approach, two models are presented in Fig. 5 (b) and (c). Notably, both NDK models  
 354 adopt an identical mesh discretization to the refined CW model. The difference lies in the  
 355 expansion functions assigned to specific beam nodes as needed. For instance, TEs are utilized  
 356 for the beam element nodes with cyan in Fig. 5 (b) and (c), and LEs are employed for  
 357 the remaining nodes. Compared to the NDK1 model, the NDK2 model tries to increase  
 358 the number of beam nodes using TEs, further reducing computational expenses. Moreover,  
 359 the influence of TE order on the structural analysis is also investigated in this part. All  
 360 information of different models is listed in Table 2.

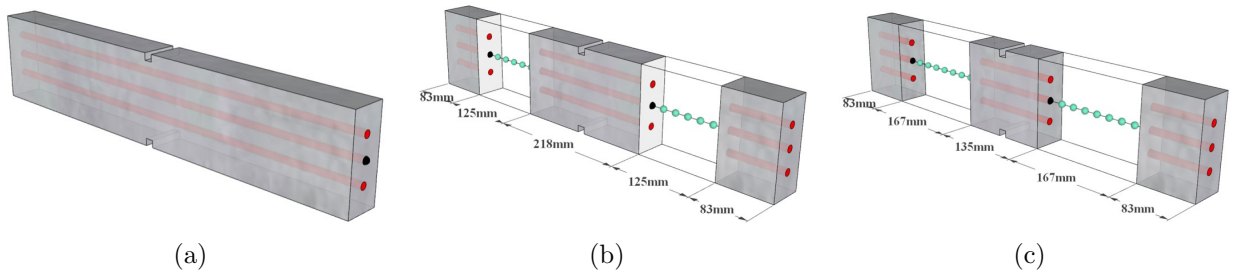


Figure 5: Different models adopted for the analysis of the notched RC beam: (a) Refined CW model; (b) NDK1 model; (c) NDK2 model

Table 2: Model information of the notched RC beams

Model No.	Model 1	Model 2	Model 3	Model 4	Model 5	Model 6	Model 7
Model type	Refined	NDK1	NDK1	NDK1	NDK2	NDK2	NDK2
TE Order	-	TE1	TE2	TE3	TE1	TE2	TE3
DoFs	43,677	31,257	31,347	31,467	26,289	26,415	26,583

361 Figure 6 presents the load-deflection responses of the notched RC beam according to the

362 models above, alongside the corresponding experimental results for comparison. The curve of  
 363 Model 1 agrees well with the experimental data, demonstrating the strong capability of the  
 364 CW approach in addressing this type of composite structure. Therefore, Model 1 should be  
 365 regarded as a refined CW model whose performance can be considered a benchmark for NDK  
 366 models.

367 When the NDK approach is applied, as illustrated in Figures 6, all NDK models initially  
 368 exhibit linear behavior identical to that of Model 1. Upon the onset of damage, all NDK  
 369 models present a consistent structural response. However, the hardening curves of all NDK  
 370 models are marginally higher than those observed in the experimental data and simulated by  
 371 Model 1. This slight discrepancy may be attributed to the reduced DoFs in some beam areas.  
 372 Comparison between Fig. 6 (a) and (b) reveals that the NDK2 model predicts marginally  
 373 steeper hardening curves, suggesting that the reduction in DoFs has a slight impact on the  
 374 structural response during hardening.

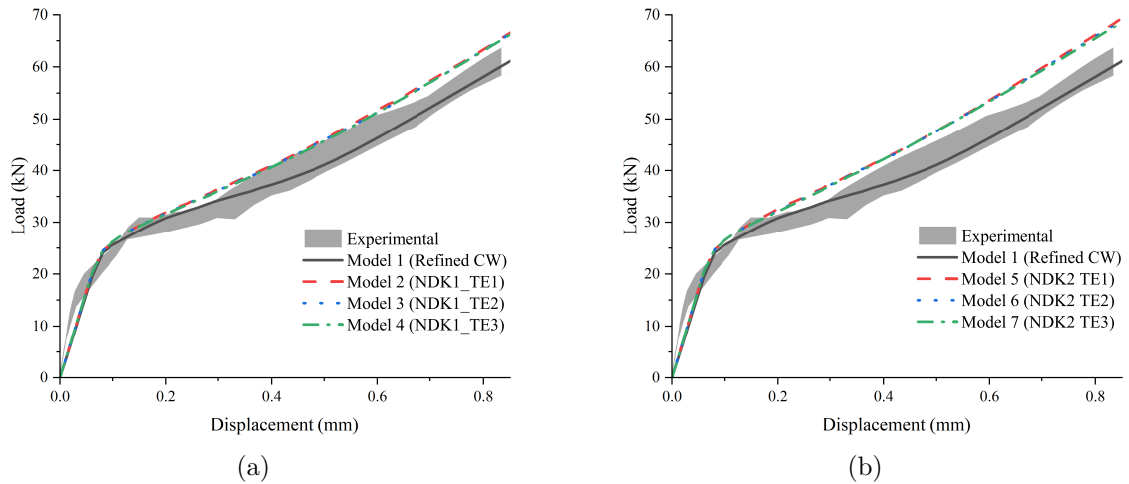


Figure 6: Load-deflection curves from experimental test, refined CW model, (a) NDK1 models, and (b) NDK2 models for the notched RC beam

375 To better understand the previously described discrepancy, Fig. 7 presents the final dam-  
 376 age distribution for each model. According to Fig. 7 (a), the expected pattern is observed:  
 377 The entire beam exhibits damage to varying degrees, with a fully damaged zone appearing  
 378 distinctly and periodically along the beam. The concrete between these zones shows signs  
 379 of unloading, indicating strain increments are localized in some narrow bands while the rest  
 380 undergo unloading.

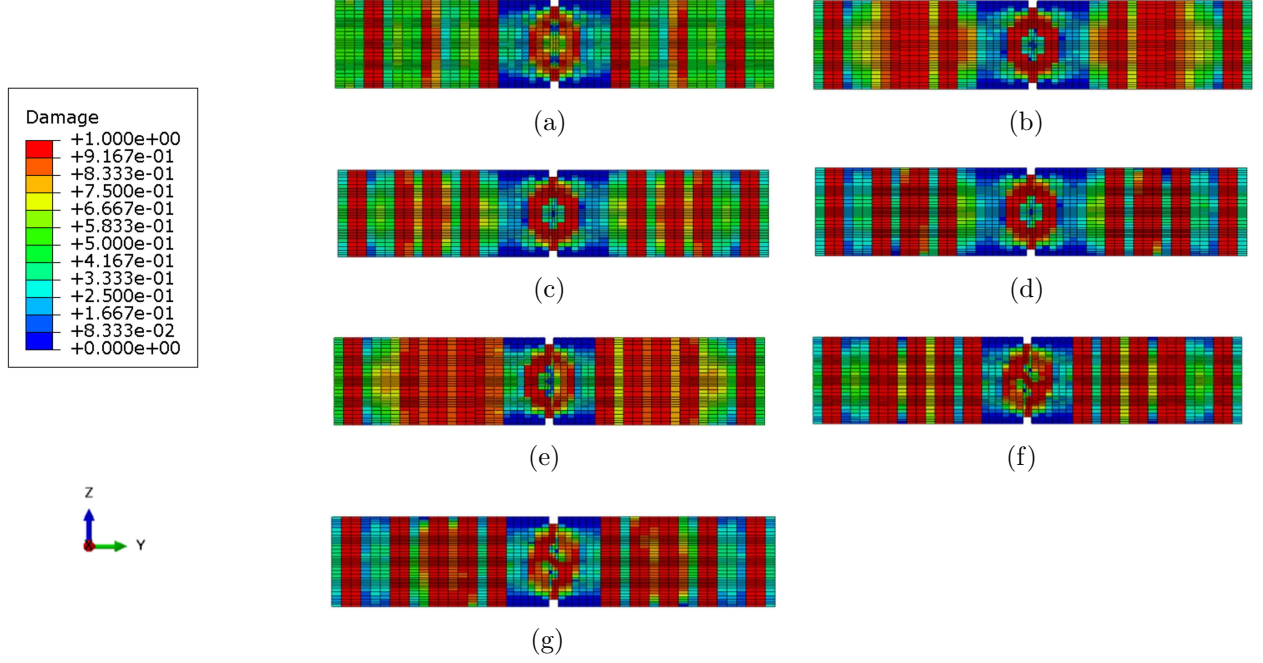


Figure 7: Final damage distributions of the notched RC beam from: (a) Model 1 (Refined CW); (b) Model 2 (NDK1 TE1); (c) Model 3 (NDK1 TE2); (d) Model 4 (NDK1 TE3); (e) Model 5 (NDK2 TE1); (f) Model 6 (NDK2 TE2); (g) Model 7 (NDK2 TE3)

381 In contrast, Fig. 7 (b) indicates that areas using linear TE are depicted as fully damaged,  
 382 which may not be reasonable. Applying second-order and third-order TEs to the same areas  
 383 results in a recurrence of the distributed damage zones, as shown in Figs. 7 (c) and (d), but  
 384 they are denser than those in Fig. 7 (a). This phenomenon is also observable from Figs. 7  
 385 (e) to g.

386 Therefore, it can be inferred that the NDK models tend to overestimate damage compared  
 387 to the refined CW model due to the limited DoFs, which further leads to a relatively higher  
 388 hardening structural behavior. The NDK2 models amplify this phenomenon compared to the  
 389 NDK1 models due to the increased number of beam elements using TE, which can account  
 390 for the slightly higher hardening curves observed in Fig. 7 (b) relative to those from NDK1  
 391 models in Fig. 7 (a). Nonetheless, the divergence between the results from NDK models and  
 392 experimental data or refined CW model is considered acceptable given the computational  
 393 efficiency benefits. Specifically, the NDK2 model demonstrates an approximate 40% reduction  
 394 in DoFs compared to the refined CW model, rendering them preferable to the NDK1 model,  
 395 which offers about 28.4% reduction in DoFs.

## 5.2 Four-point bending reinforced concrete beam

RC beams under a four-point bending test are commonly seen in experimental campaigns because they reflect typical working conditions of RC beams. A benchmark experiment, as reported in (Firmo et al., 2018), was simulated using a CUF-based higher-order beam model detailed in (Shen et al., 2023a). Although this model in (Shen et al., 2023a) produced mesh-independent results, it still required a relatively large number of DoFs. Therefore, this study reviews the same benchmark. Fig. 8 shows the load layout and geometric details. The concrete beam is reinforced with stirrups every 60 mm throughout the length. A displacement control method with a maximum value of 25 mm is employed for numerical simulations. The material properties are listed in Table. 3.

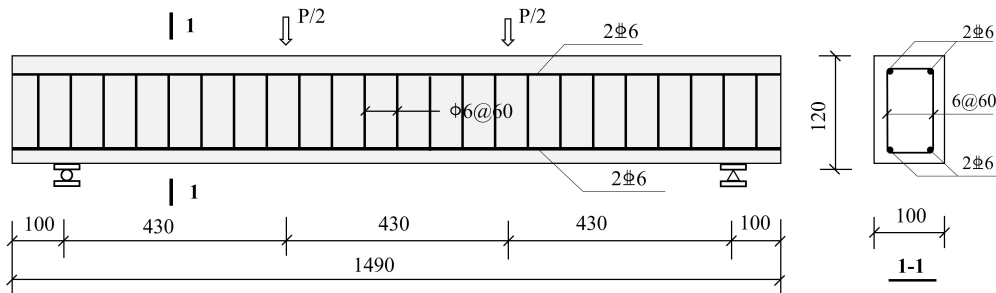


Figure 8: Load layout and geometry of RC beams under four-point bending (Unit: mm)

Table 3: Material properties of the four-point bending RC beam

Material	$E$ (GPa)	$f_{ctm}$ (MPa)	$f_{cm}$ (MPa)	$G_{ft}$ (N/m)	$G_{fc}$ (N/m)	$\nu$	$f_y$ (MPa)	$f_{yu}$ (MPa)
Concrete	31.0	2.8	37	140.0	21000	0.2	-	-
Steel	193	-	-	-	-	0.3	546	691

A half-structure model, as depicted in Fig. 9, was utilized for numerical simulation owing to the symmetry. The nodes on the mid-span symmetry plane were restrained against displacement along the axial coordinate. Fig. 9 (a) illustrates the discretization of beam elements, with black dots representing nodes of beam elements. It should be noted that the node positions and quantities are only for illustration rather than for actual numerical calculation. Fig. 9 (b) presents four cross-sectional discretizations, with gray areas representing the elastic plates for loading and support and the red regions denoting steel components. Nodes at the steel-concrete interface are shared to ensure displacement continuity between steel and concrete components.

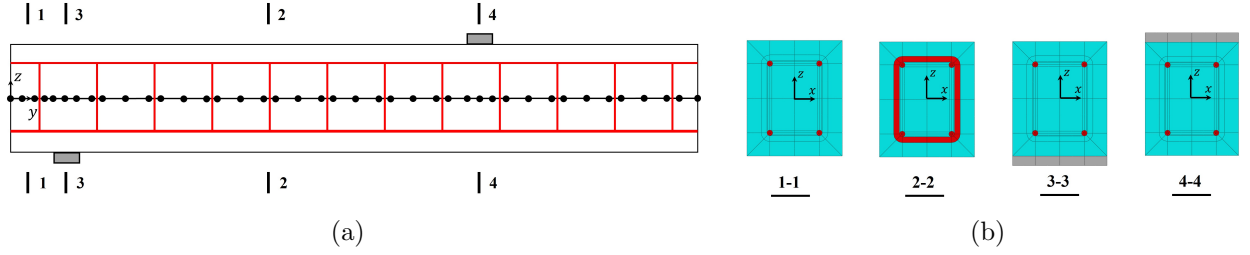


Figure 9: (a) FE discretization of beam elements and (b) cross-sectional discretization for the four-point bending RC beam

415 To evaluate the efficiency of the NDK solution, Table 4 lists six models where model 1  
 416 and model 5 employ LEs for all beam nodes, and the rest adopts the NDK approach. Model  
 417 1 and model 5 are acknowledged as refined CW solutions because their accuracies have been  
 418 confirmed in (Shen et al., 2023a).

Table 4: Model information of the four-point bending RC beam

Model No.	Model 1	Model 2	Model 3	Model 4	Model 5	Model 6
Model type	Refined CW	NDK1	NDK2	NDK3	Refined CW	NDK1
Beam elements	40B2	40B2	40B2	40B2	40B4	40B4
DoFs	55,551	19,263	4,491	4,848	163,743	59,163

419 Based on the refined CW model, three different NDK models are introduced in Fig. 10,  
 420 where black nodes are associated with LEs, cyan nodes correspond to TE1, and red nodes  
 421 indicate TE3. Since the mid-span of bending RC beams is susceptible to damage and failure,  
 422 NDK1 employs LEs in the mid-span and support zones, while the remaining uses TE1. This  
 423 strategy ensures detailed damage capture in the critical mid-span region while optimizing  
 424 computational resources elsewhere. For comparison, NDK2 and NDK3 apply a greedy ap-  
 425 proach in which only the supporting and loading parts are assigned with LEs and employ  
 426 TEs elsewhere to highlight the importance of mid-span. It should be noted that all models  
 427 adhere to the same cross-sectional discretizations as depicted in Fig. 9 (b).

428 Figure 11 plots the load-deflection response of various models, including the experimental  
 429 curve for comparison. Initially, there is an excellent agreement between the experimental data  
 430 and the numerical predictions across all models during the elastic phase. The variance between  
 431 the numerical and experimental crack loads has been previously discussed in (Shen et al.,  
 432 2023a). After the elastic step, Models 3 and 4 start to diverge from the expected behavior,

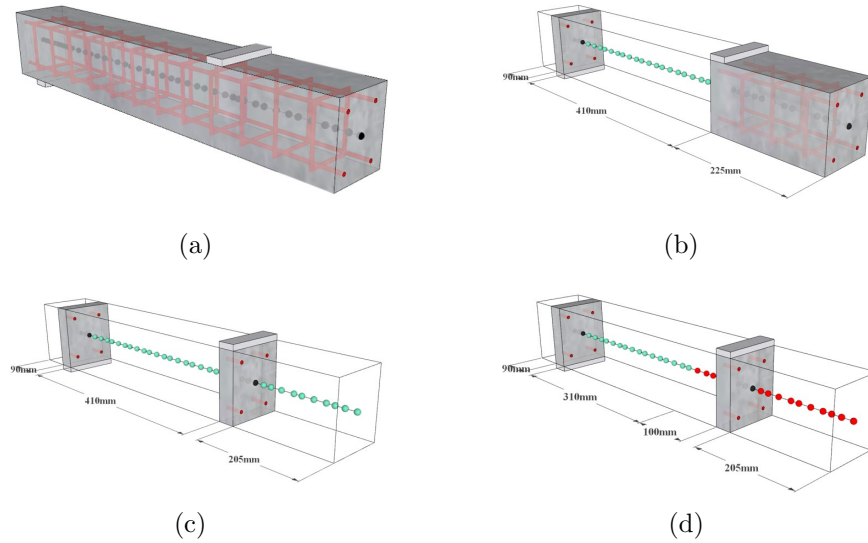


Figure 10: Different models adopted for the analysis of the four-point bending RC beam: (a) Refined CW model; (b) NDK1; (c) NDK2; (d) NDK3. (Cyan stands for TE1 and red represents TE3)

433 failing to simulate the structural response, particularly after steel yielding accurately. The  
 434 reason can be found in Figs. 10 (c) and (d), where both models exhibit unreasonable damage  
 435 compared to Model 1. This inaccuracy results from the inability of TE to provide sufficient  
 436 DoFs despite the significant reduction in computational demands provided by Models 3 and  
 437 4. Consequently, while NDK2 and NDK3 models are applicable for the elastic range, they  
 438 are too rough to model the correct hardening behavior.

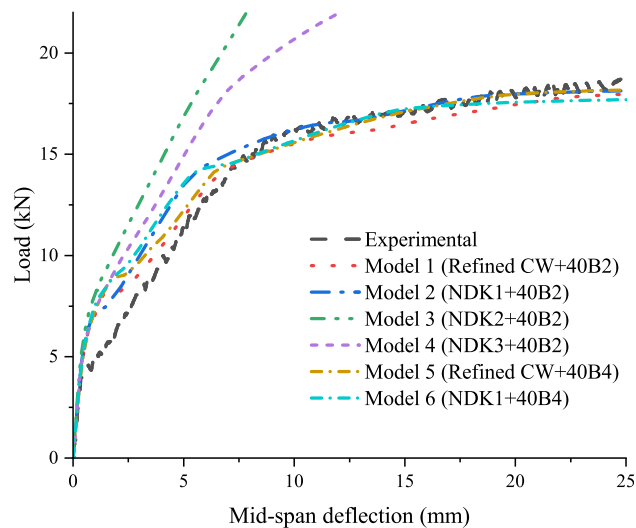


Figure 11: Load-deflection curves for four-point bending RC beams

439 When comparing the responses from Model 1 and Model 2, as depicted in Fig. 11, the  
 440 load-deflection curve for the NDK1 model is marginally higher than that of the refined CW

441 model. This variation can be attributed to the reduced DoFs caused by the employment of  
 442 TE in the shear regions in Model 2, resulting in a stiffer response. As illustrated in Fig.  
 443 12, the damage distribution of Model 2 in the shear area is akin to that of Models 3 and  
 444 4. However, the damage captured in the mid-span of Model 2 is similar to that of Model  
 445 1. Both models capture vertical damage in the tensile zones and compressive damage at the  
 446 top in the mid-span, which agrees with the experimental crack distribution shown in Fig.  
 447 13. Hence, Model 2 can still accurately reflect the load-deflection response, highlighting the  
 448 greater significance of the structural behavior at the mid-span compared to the shear regions  
 449 in this structure. A similar observation on load-displacement curves and damage distributions  
 450 is noted when comparing Models 5 and 6, reinforcing the accuracy of the NDK1 model.

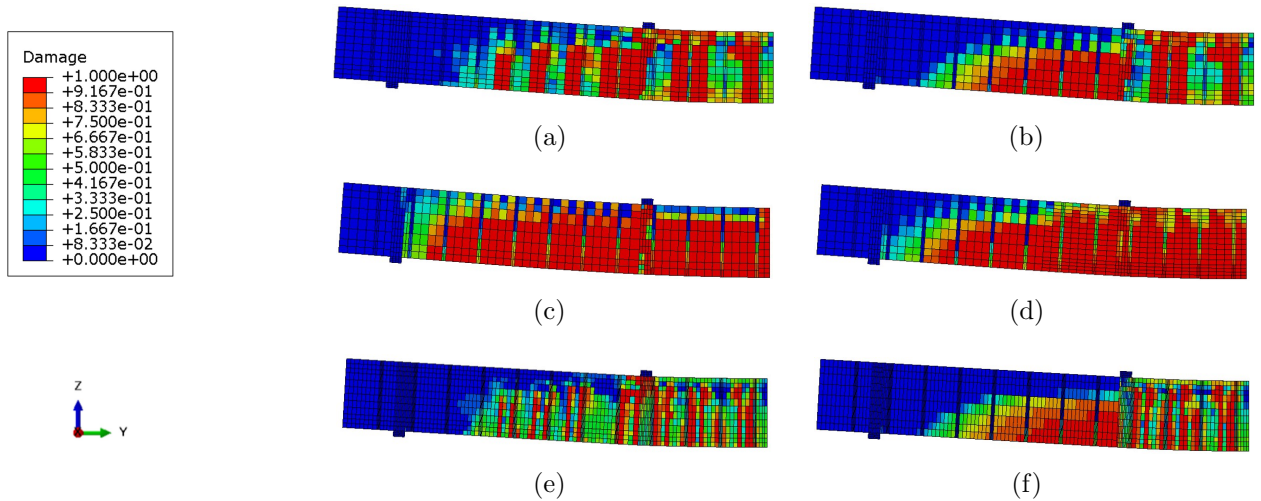


Figure 12: Final damage distribution of four-point bending RC beams from: (a) Model 1 (Refined CW+40B2); (b) Model 2 (NDK1+40B2); (c) Model 3 (NDK2+40B2); (d) Model 4 (NDK3+40B2); (e) Model 5 (Refined CW+40B4); (f) Model 6 (NDK1+40B4)

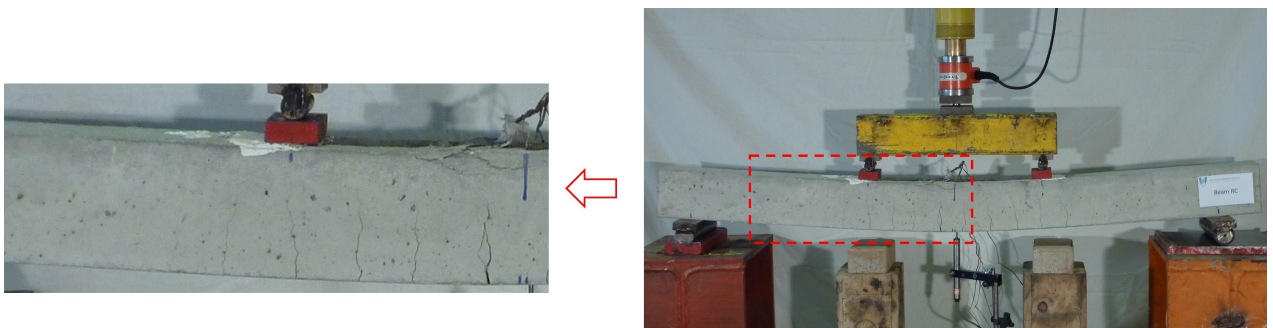


Figure 13: Experimental cracks of four-point bending RC beam (Firmo, 2015)

451 Additionally, the Von Mises stress distributions of concrete and steel for Models 5 and  
 452 6 at the final step are depicted in Fig. 14 and Fig. 15, respectively. The Von Mises stress

453 distributions in the middle span of both models are similar. The Von Mises stresses of  
 454 concrete in the tensile zones are nearly zero, resulting from tensile strain softening or unloading  
 455 due to tensile damage. Meanwhile, the Von Mises stresses in the compressive zones reach  
 456 or approximate the compressive strength, indicating the occurrence of compressive damage.  
 457 Furthermore, both models demonstrate that the Von Mises stresses in the longitudinal rebars  
 458 of the bottom layer reach yield stress, as illustrated in Fig. 15. A discrepancy in the stress  
 459 within the shear zones between the two models can be attributed to the limited DoFs in  
 460 the shear zones of the NDK models. However, this limitation does not affect the structural  
 461 performance of the NDK1 model because the investigated RC beam is flexure-dominant, with  
 462 the mid-span being particularly critical and susceptible to damage. NDK1 model employs  
 463 higher-order models for the mid-span zone, ensuring its accuracy.

464 Regarding computational costs, Model 2 exhibits an approximately 65% reduction in DoFs  
 465 compared to Model 1, while Model 6 offers a similar reduction relative to Model 5. These  
 466 findings underscore the advantage of the NDK1 model in balancing computational efficiency  
 467 with high fidelity in structural response simulation.

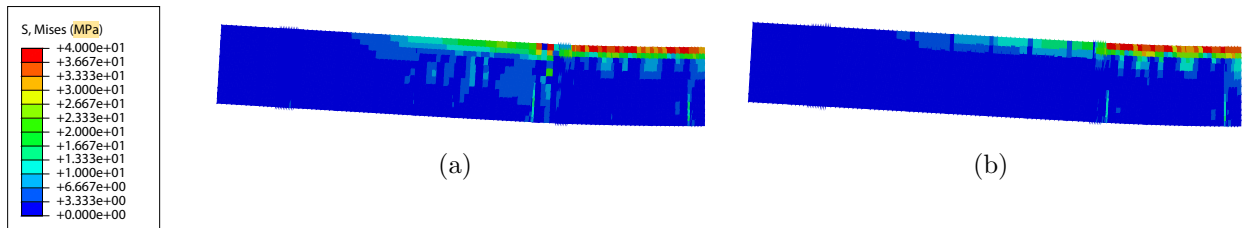


Figure 14: Von Mises stress distribution of concrete in four-point bending RC beam from: (a) Model 5 (Refined CW+40B4); (b) Model 6 (NDK1+40B4) at displacement of 25mm

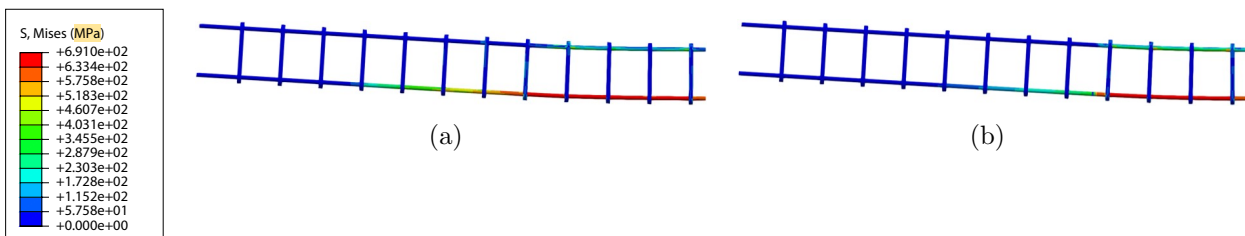


Figure 15: Von Mises stress distribution of steel reinforcement in four-point bending RC beam from: (a) Model 5 (Refined CW+40B4); (b) Model 6 (NDK1+40B4) at displacement of 25mm

### 468 5.3 Reinforced concrete beam with web openings

469 RC beams with web openings are commonly implemented where passing ducts to accommo-  
470 date vital utilities are necessary. These openings inevitably result in a decrease in stiffness  
471 and localized damage, weakening the overall structural performance. A detailed investigation  
472 of web openings and their surroundings is important. For this reason, an RC beam with web  
473 openings, ideal for applying the NDK approach, is examined as the third case study. A group  
474 of experimental campaigns for RC beam with web opening was reported in (Elsanadedy et al.,  
475 2019). One selected specimen from (Elsanadedy et al., 2019) is illustrated in Fig. 16, where  
476 two rectangular web openings with  $450 \text{ mm} \times 225 \text{ mm}$  are created in the shear zone of the RC  
477 beam. The experiment was specifically designed to investigate the response of an RC beam  
478 after introducing post-construction openings without any additional strengthening methods.  
479 The rest of the experimental conditions are the same as those from the second case. Accord-  
480 ing to (Elsanadedy et al., 2019), the compressive strength of concrete was 50 MPa, measured  
481 from cube tests. A strength of 40 MPa is estimated from (fib special activity group, 2013) to  
482 align this with cylinder test results. The concrete Young's modulus was unknown; however,  
483 preliminary numerical analysis were conducted to approximate the modulus by fitting the  
484 experimental linear response. As a result, the concrete's Young's modulus is estimated at 24  
485 GPa. Additional material properties are listed in Table 5.

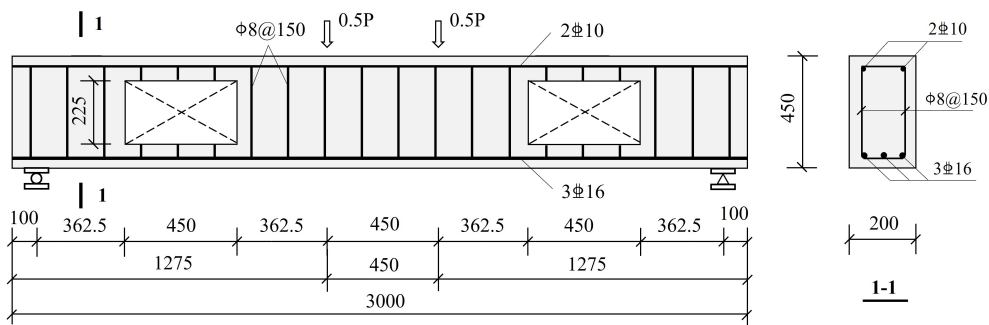


Figure 16: Load layout and geometry of the RC beam with web openings (Unit:mm)

Table 5: Material properties of the RC beam with web openings

Material	$E$ (GPa)	$f_{ctm}$ (MPa)	$f_{cm}$ (MPa)	$G_{ft}$ (N/m)	$G_{fc}$ (N/m)	$\nu$	$f_y$ (MPa)
Concrete	24.0	2.3	40	70.0	21627	0.2	-
Steel	200	-	-	-	-	0.3	575

486 Similarly, only half-structures are modeled for numerical analysis due to the benefit of  
 487 symmetry. Fig. 17 (a) illustrates the assignment of beam elements, with six distinct cross-  
 488 sections identified to accommodate stirrups, loading plates, and web openings. Fig. 17 (b)  
 489 depicts the respective cross-sectional discretizations.

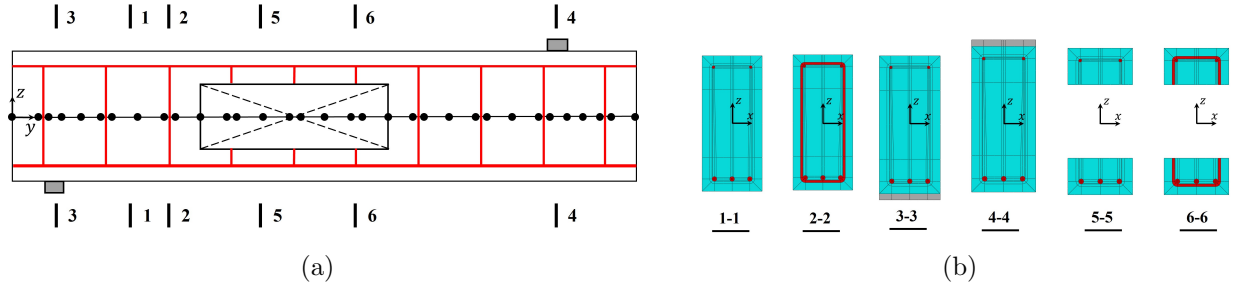


Figure 17: (a) FE discretization of beam elements and (b) cross-sectional discretization for the RC beam with web openings

490 Four numerical models are designed for the damage analysis, and the corresponding model  
 491 information is detailed in Table 6. All models employ consistent cross-sectional discretizations  
 492 and utilize L9 elements when implementing LEs. Model 1 and Model 2 adopt more beam  
 493 elements than Model 3 and Model 4, ensuring sufficient DoFs for simulations. It is expected  
 494 that most damage will manifest near the web openings, and some will occur at the bottom  
 495 of the midspan. Then, one designed NDK model illustrated in Fig. 18 (b) is applied to  
 496 Models 2 and 4 to demonstrate the effectiveness of the NDK approach. From the previous  
 497 two examples, the order of TE plays a less important role compared to the length of TE.  
 498 Therefore, only linear TE is employed where necessary in Models 2 and 4.

Table 6: Model information of the RC beam with web openings

Model No.	Model 1	Model 2	Model 3	Model 4
Model type	Refined CW	NDK	Refined CW	NDK
Beam order	79B2	79B2	42B4	42B4
DoFs	145,641	94,215	231,558	146,820

499 The load-midspan displacement curves are shown in Fig. 19, comparing numerical sim-  
 500 ulations and experimental data. Up to a displacement of 3 mm, numerical results closely  
 501 agree with the experimental data, effectively capturing the linear behavior and initial damage  
 502 stage. As displacement increases, numerical curves start to deviate from the experimental  
 503 benchmark. Despite this divergence, the hardening parts of the numerical curves are still

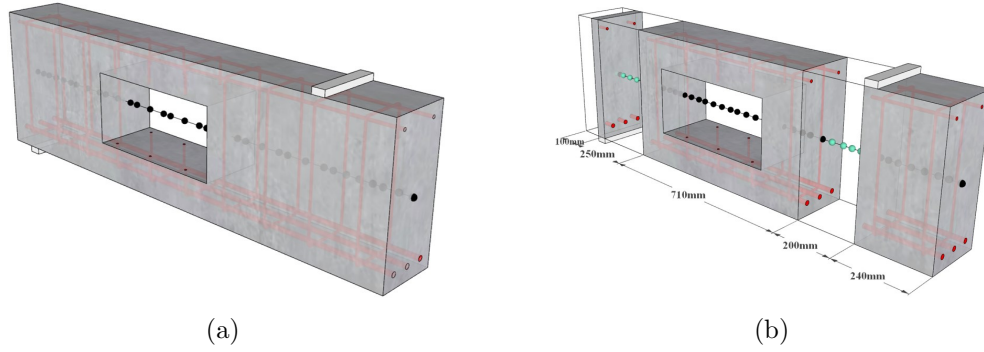


Figure 18: (a) Refined CW model and (b) NDK model for the analysis of the RC beam with web openings

504 parallel to the experimental hardening curves. The experimental curve then reaches the peak  
 505 load and exhibits a sharp drop, whereas the numerical curves do not display a significant  
 506 decrease. This discrepancy will be explained in the subsequent discussion.

507 When comparing Model 1 to Model 3, their curves coincide closely until reaching the peak  
 508 load. The fluctuation in peak loads between these two models is likely due to the difference in  
 509 employed element approximation, with cubic beam elements providing better precision over  
 510 linear ones. A similar phenomenon is observed between Model 2 and Model 4. Variations  
 511 in the results between refined CW models and NDK models are within acceptable ranges  
 512 because of the utilization of lower-order TE at some beam element nodes, leading to slightly  
 513 softer numerical models. Nevertheless, the NDK model achieves a significant reduction in the  
 514 DoFs, approximately 35.3% for B2 elements and 36.6% for B4 elements,

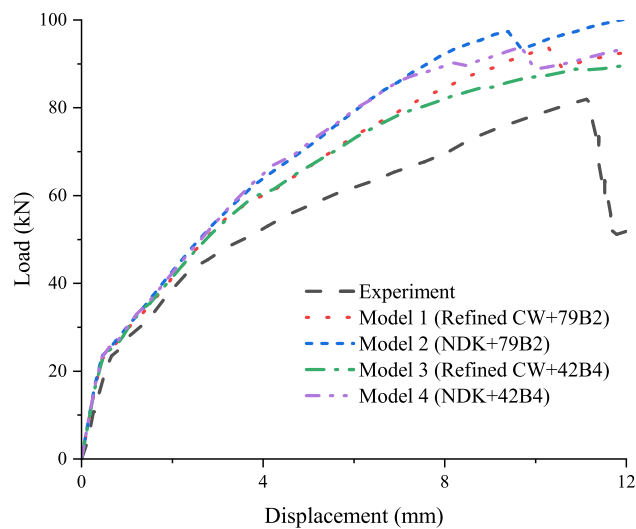


Figure 19: Load-displacement curves for RC beam with web openings

515 The experimental campaign revealed shear failures in the top and bottom chords, as

516 depicted in Fig. 20, which can explain the sharp drop in reaction load observed in the  
517 experimental results shown in Fig. 19. This phenomenon can be attributed to the use of  
518 U-shaped stirrups, which reduced bonding effectiveness and caused the concrete in the top  
519 and bottom chords to behave similarly to plain concrete, thereby leading to the pronounced  
520 diagonal shear cracks observed during the experiment.

521 However, the numerical damage patterns shown in Fig. 21 fail to replicate these distinct  
522 diagonal cracks, which further explains why the numerical models do not exhibit a similar  
523 drop in reaction load as observed in the experimental results in Fig. 19. There are two  
524 primary reasons for this discrepancy. Firstly, the assumption of a perfect bond between  
525 rebars and surrounding concrete in the proposed numerical models allows the stirrups in the  
526 chords to continue resisting shear forces, resulting in vertical damage distributions in the  
527 opening zones. Secondly, the damage model used in this work only considers Mode 1 failure,  
528 making it difficult to capture Mode 2 shear failure accurately.

529 Although the experimental study detailed in (Elsanadedy et al., 2019) did not report  
530 midspan cracks, the numerical models show some damage at the midspan. This discrepancy  
531 may be attributed to the damage criterion of the Mazars damage model, which is governed  
532 by positive tensile strain and is, therefore, sensitive to tensile damage at the bottom of the  
533 midspan. Moreover, some oblique damage distributions are observed close to the opening  
534 zones in Fig. 21, which resemble the oblique cracks observed in the same positions in the  
535 experimental beam shown in Fig. 20.

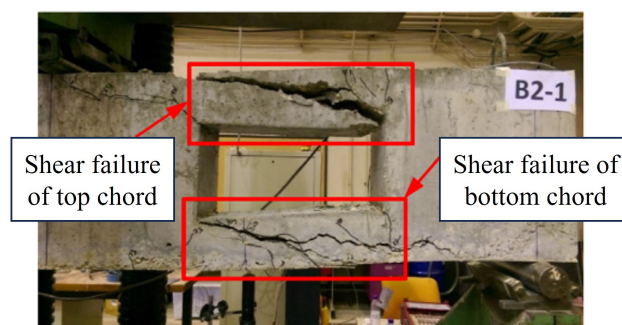


Figure 20: Failures observed from experiments (Elsanadedy et al., 2019)

536 Implementing the NDK approach results in slight variances in damage distributions for  
537 NDK models compared to refined CW models, as shown in Fig. 21. Considering that the  
538 web openings are large relative to the whole length of the beam, the damage is evident across

539 most beam regions. Thus, the potential for model improvement is limited, and the employed  
 540 NDK model likely represents the optimal approach for capturing the damage distribution of  
 541 this beam.

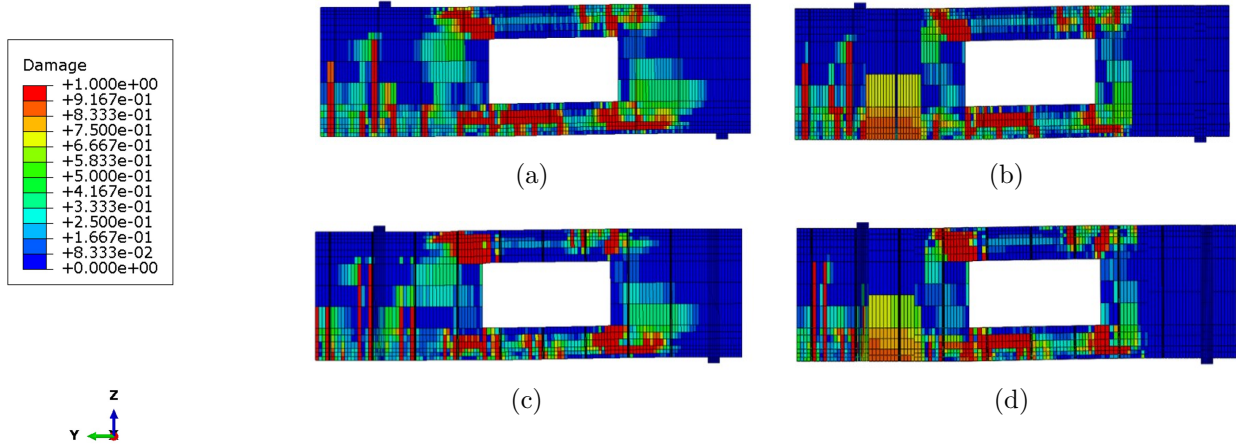


Figure 21: Damage distributions of the RC beam with web openings from: (a) Model 1 (Refined CW+79B2); (b) Model 2 (NDK+79B2); (c) Model 3 (Refined CW+42B4); (d) Model 4 (NDK+42B4) at the displacement of 11 mm

## 542 5.4 Reinforced concrete frame

543 The last example involves a full-scale RC frame to assess the capability of the proposed  
 544 approach in practical engineering scenarios. The experimental campaign, reported in (Baghi  
 545 et al., 2018), consisted of three loading phases designed to explore the influence of infill wall  
 546 on the behavior of RC frame subjected to a column failure. The initial phase involved elastic  
 547 loading of the RC frame without infill walls, followed by a second phase where the frame  
 548 infilled with brick walls was loaded until the failure of brick walls. This study specifically  
 549 considers the third phase, where the infilled wall was removed, and the bare frame was loaded  
 550 until failure. The RC frame was designed to have strong columns and weak beams according  
 551 to (EN, 2005). Since the frame was overdesigned and no plastic strains were observed in the  
 552 longitudinal reinforcement during the first two loading phases, it was assumed to be as new  
 553 before the third loading phase. The height and width of this frame are around 2.55 m and 5 m,  
 554 respectively. Fig. 22 depicts the geometry and reinforcement details. A displacement-control  
 555 method with a maximum value of 150 mm is employed. The material properties are listed in  
 556 Table. 7.

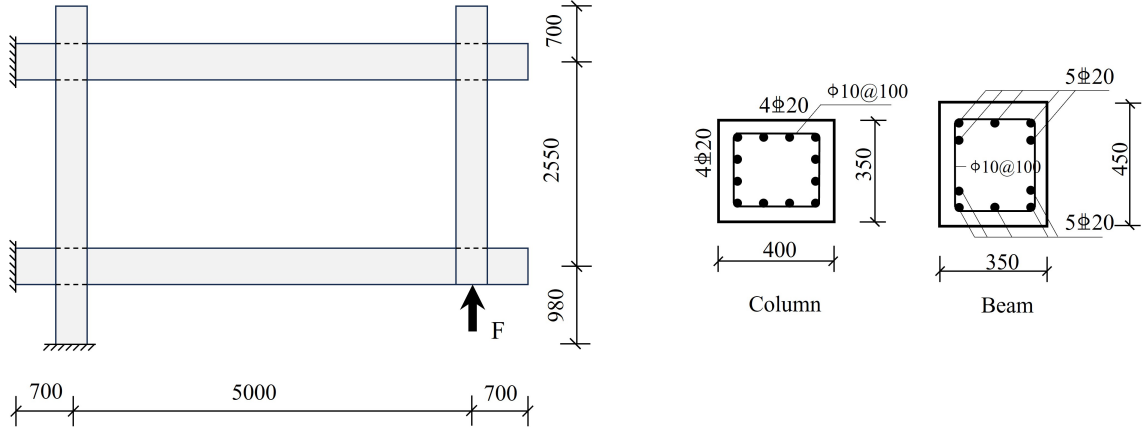


Figure 22: Load layout and geometry of the studied RC frame (Unit: mm)

Table 7: Material properties of the RC frame

Material	$E$ (GPa)	$f_{ctm}$ (MPa)	$f_{cm}$ (MPa)	$G_{ft}$ (N/m)	$G_{fc}$ (N/m)	$\nu$	$f_y$ (MPa)	$f_{yu}$ (MPa)
Concrete	36.858	2.7	43	144.0	22000	0.2	-	-
Steel	200	-	-	-	-	0.3	618	720

557 The structural discretization is detailed in Fig. 23, where beam elements extend along the  
558 beam and columns. The black nodes indicating beam element nodes in Fig. 23 (a) are only  
559 for illustrative purposes and do not represent their precise locations for calculation. The steel  
560 reinforcement within the concrete has been simplified into a square profile while maintaining  
561 the same cross-sectional area as its original circular form. This modification not only simplifies  
562 the process of cross-sectional discretization but also reduces computational demands. Four  
563 distinct cross-sectional discretizations are depicted in Fig. 23 (b) to account for the inclusion  
564 of stirrups and the longitudinal rebars from the beam extending into the column. In Fig.  
565 23 (b), the steel is highlighted in red, and the surrounding concrete is designated in cyan.  
566 The discretization on the beam cross-section is designed based on the assignment of beam  
567 elements along the column, enabling node sharing at the beam-column junction. This design  
568 can ensure the displacement continuity at the beam-column connection, especially accounting  
569 for the horizontal extension of steel rebars from the beam into the column.

570 In total, 416 beam elements are required for the entire structure since the periodic place-  
571 ment of stirrups. Four models, detailed in Table 8, are investigated with identical discretiza-  
572 tions. However, Model 3 and Model 4 employ quadratic beam elements, and Model 1 and  
573 Model 2 adopt linear beam elements. Model 2 and Model 4 apply the NDK approach as de-  
574 picted in Fig. 24 (b). LEs are mainly used for nodes at beam-column connections, and nodes

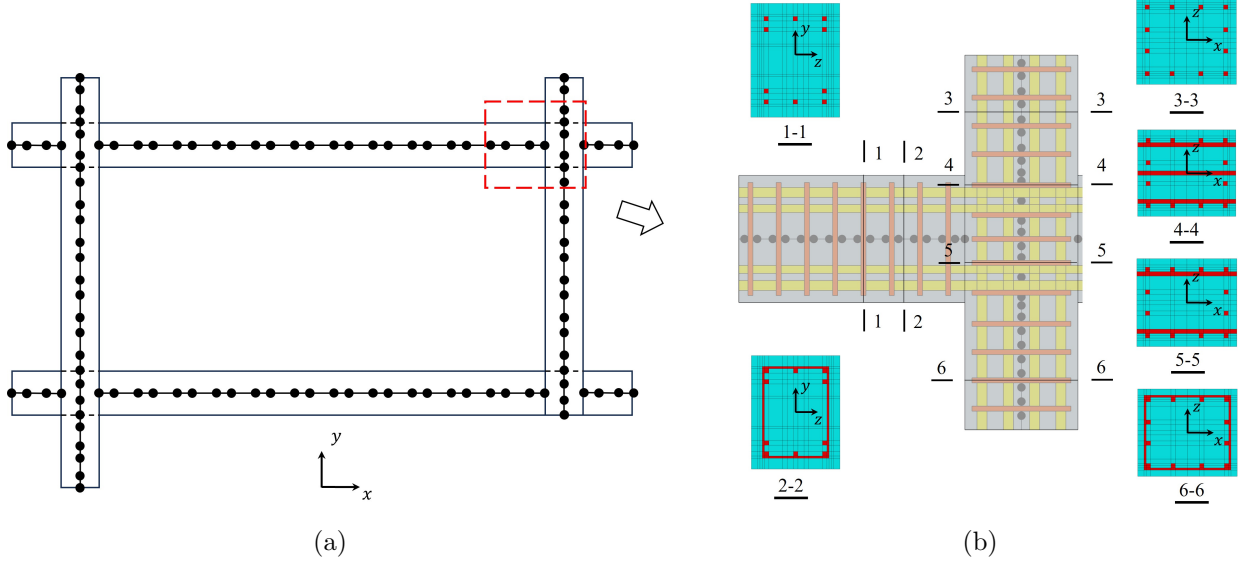


Figure 23: (a) FE discretization of beam elements and (b) cross-sectional discretization for the RC frame

575 in the remaining middle sections are defined using linear TE. Moreover, an ABAQUS model  
 576 is also considered for comparison in which C3D8 solid elements are employed for concrete,  
 577 and B31 beam elements are adopted for steel. The approximate element size is 40 mm, and  
 578 200,598 DOFs are required for this ABAQUS model. Meanwhile, the same modified Mazars  
 579 damage model is implemented for the ABAQUS model through the UMAT subroutine.

Table 8: Model information of the RC frame

Model No.	Model 1	Model 2	Model 3	Model 4
Model type	Refined CW	NDK	Refined CW	NDK
Beam order	Linear(B2)	Linear(B2)	Quadratic(B3)	Quadratic(B3)
DoFs	328,968	189,684	1,029,240	545,076

580 The load-deflection diagrams of this RC frame from different numerical models are pre-  
 581 sented in Fig. 25, in which experimental and numerical results from (Baghi et al., 2018) are  
 582 included for comparison. All numerical models exhibit apparent deviations in initial stiffness  
 583 compared to experimental results. However, all numerical models show similar initial stiff-  
 584 ness. The discrepancy may be attributed to the formulation of microcracks at the top and  
 585 bottom beams when the frame was tested in the first phase (Baghi et al., 2018).

586 Subsequently, the models using modified Mazars damage models in this work, including  
 587 CUF models and the ABAQUS model, show slight divergences in the crack load compared to

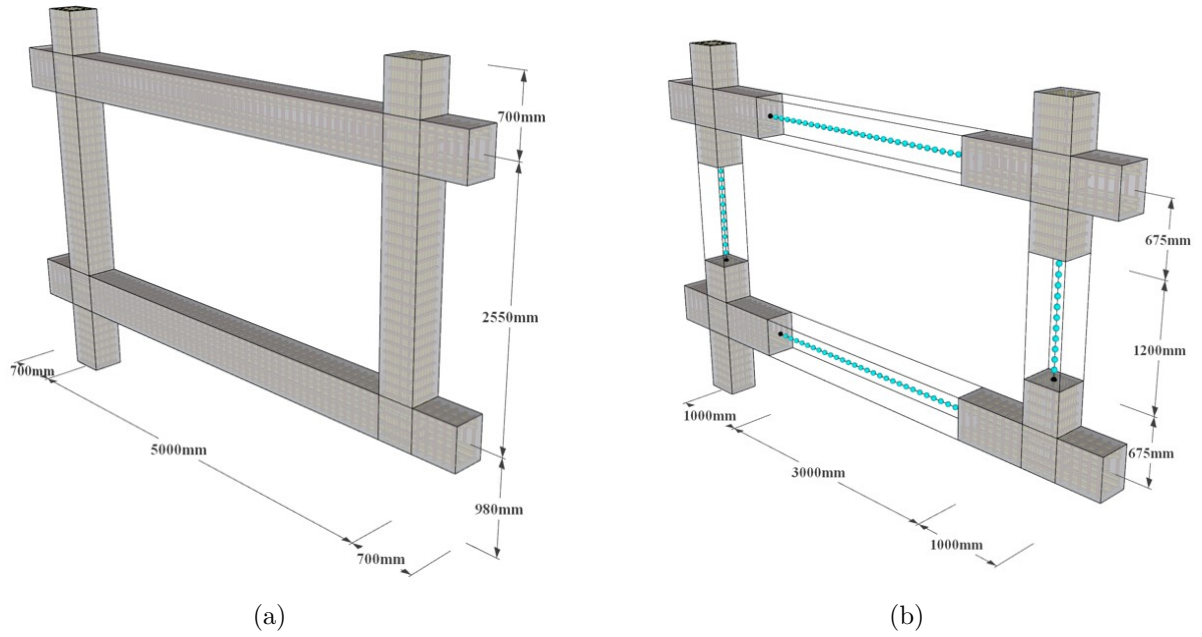


Figure 24: (a) Refined CW model and (b) NDK model for the analysis of the RC frame

588 the OpenSees model from (Baghi et al., 2018), which can be attributed to the use of different  
 589 models. Afterward, all numerical models gradually match the experimental results around  
 590 the period of steel yielding, when the structural stiffness is further reduced, and the load-  
 591 displacement curve begins to flatten. In general, the proximity of the CUF models to the  
 592 ABAQUS and OpenSees models throughout the entire loading process and their alignment  
 593 with the experimental results in the latter part of the loading process indicates their accuracy.

594 Minor discrepancies are also observed among different CUF models in Fig. 25. Model 1  
 595 and Model 2, which employ the linear beam elements, demonstrate slightly lower curves than  
 596 Model 3 and Model 4, which adopt the quadratic beam elements. These slight deviations can  
 597 be attributed to the fact that the characteristic element length might be underestimated for  
 598 quadratic beam elements, as reported in (Shen et al., 2023c), thus leading to a slightly higher  
 599 dissipated fracture energy than linear beam elements. Although this sensitivity to element  
 600 order presents a challenge, the dependency on mesh size can be mitigated through the method  
 601 from (Shen et al., 2023c). Moreover, Model 2 and Model 4 show marginally higher curves  
 602 than Model 1 and Model 3, respectively. These observations are consistent with the findings  
 603 from prior examples due to the lower-order kinematics employed in NDK models.

604 It was reported that the experimental failure of this bare frame was governed by the  
 605 formation of plastic hinges from (Baghi et al., 2018), allowing for plastic rotation of beams

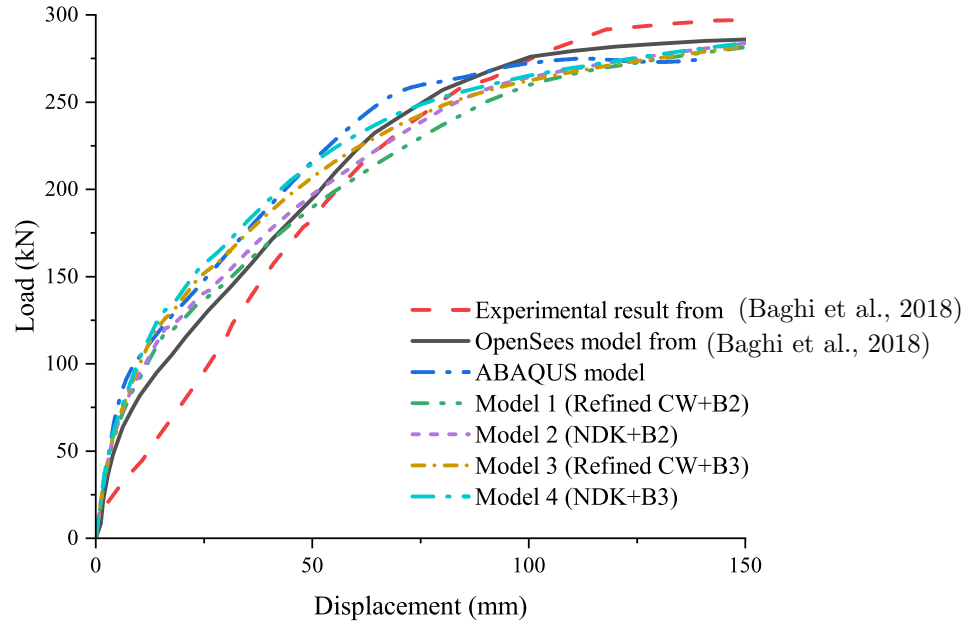


Figure 25: Load-displacement curves for the RC frame

606 at these critical connection points. Fig 26 depicts the deformation and damage distribution  
 607 patterns of four CUF models subjected to a displacement of 140 mm, demonstrating similar  
 608 plastic rotation of beams along their ends.

609 As experimental cracks were not documented in (Baghi et al., 2018), the corresponding  
 610 damage distribution of the ABAQUS model, depicted as Fig. 26 (e), is presented as a ref-  
 611 erence. Damage in beams from Model 1 and Model 2 is continuous and uniform, whereas  
 612 the ABAQUS model exhibits periodically spaced strip damage. This discrepancy arises from  
 613 differences in element size between the models. The beam element length in the CUF models  
 614 for unconfined concrete is approximately 100 mm, while the element size in the ABAQUS  
 615 model is around 40 mm. Models 3 and 4 with quadratic beam elements display strip damage  
 616 distributions in the beams similar to those observed in the ABAQUS model. The distinction  
 617 between Models 1 and 3 is attributed to the different orders of beam elements. In continuum  
 618 damage models, strain-softening behavior resulting from damage tends to be uniform across  
 619 the entire linear beam element. In contrast, only part of the quadratic beam element under-  
 620 goes strain-softening, while the remainder experiences unloading, as reported in (Jirásek and  
 621 Bauer, 2012).

622 A minor discrepancy in damage distribution in the middle span of beams between Mod-  
 623 els 3 and 4 can be observed, attributed to the use of different expansion functions. This

624 phenomenon has similarly been reported in previous comparisons between refined CW and  
 625 NDK models. Overall, the damage distributions of CUF models align with those from the  
 626 ABAQUS model, enhancing the accuracy of the proposed method in this work.

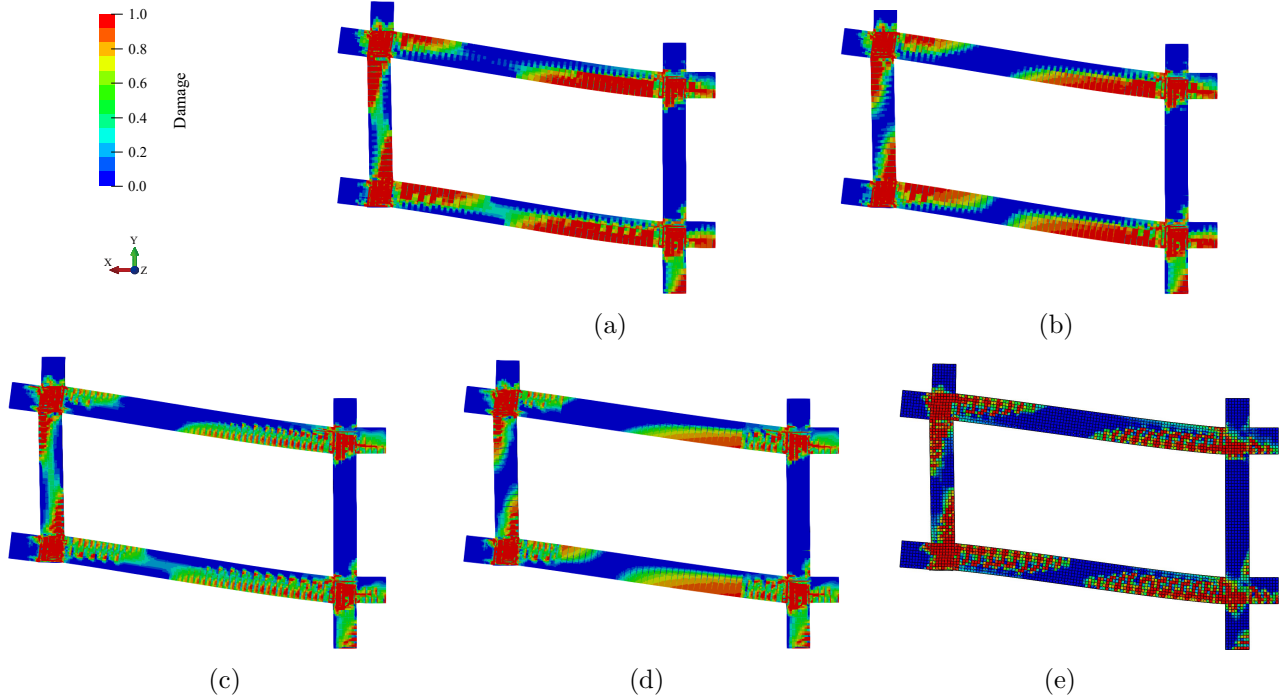


Figure 26: Damage distributions of the frame from: (a) Model 1 (Refined CW+B2); (b) Model 2 (NDK TE1+B2); (c) Model 3 (Refined CW+B3); (d) Model 4 (NDK TE1+B3); (e) ABAQUS model at the displacement of 140 mm (Scale factor is 5)

627 Regarding computational costs, Model 1 with linear elements requires more DoFs than the  
 628 ABAQUS model due to the different numerical approaches utilized for reinforcements. Model  
 629 1 adopts CUF models that accurately represent the actual geometry of steel components,  
 630 whereas the ABAQUS model simplifies these components as 1D beam elements. Adopting  
 631 similar simplifications or lower-order models for steel components may offer further reduc-  
 632 tions in DoFs, enhancing the efficiency of CUF models in future developments. Nonetheless,  
 633 incorporating the NDK approach saves significant computational resources, enabling Model  
 634 2 to achieve a 42.3% reduction in DoFs compared to Model 1. Additionally, the DoFs needed  
 635 for Model 2 are about 5% lower than those required by the ABAQUS model. While this 5%  
 636 reduction may appear modest, the CUF models offer the additional advantage of providing  
 637 a realistic 3D stress distribution of steel components, enhancing the models' high fidelity.  
 638 Models 3 and 4 demand a considerable number of DoFs due to the use of higher-order beam

639 elements. However, applying the NDK approach in Model 4 results in approximately a 47%  
640 saving in DoFs compared to Model 3. This reduction is significant given the large baseline  
641 number of DoFs required by higher-order models.

## 642 **6 Conclusions**

643 This study presents an NDK approach for 3D global-local damage analysis of reinforced  
644 concrete structures, utilizing CUF-based advanced beam models. This approach allows for  
645 the application of different kinematic models at each node of the beam element. Specifically,  
646 enhanced models can be generated in critical areas using Lagrange polynomials in a CW  
647 manner. At the same time, lower-order refinement can be employed for the remaining regions  
648 using Taylor polynomials.

649 For the validation of the proposed NDK models, four complex reinforced concrete struc-  
650 tures from existing literature were selected. A modified Mazars damage model for concrete  
651 and Von Mises plasticity for steel were applied to these numerical examples. The numer-  
652 ical results have been compared with reference results from the literature, yielding several  
653 meaningful conclusions:

- 654 1) The NDK approach optimizes beam models by combining the accuracy of local enhance-  
655 ment models with the efficiency of global models, maintaining displacement continuity  
656 without special coupling methods.
- 657 2) The refined CW model can accurately capture the damage behavior in RC structures,  
658 even in complex scenarios such as structures with web openings or full-frame designs.  
659 The NDK model demonstrates comparable performance.
- 660 3) Regarding computational resources, the NDK models reduce the DoFs by approximately  
661 35% – 60% compared to the refined CW model for the previous simple cases, signifying  
662 a substantial increase in computational efficiency. Notably, when the NDK models are  
663 applied to the larger engineering structures, a further significant reduction in computa-  
664 tional costs is anticipated.

665 4) The strong scalability of the NDK models can ensure the realization of diverse NDK  
666 models by adjusting the cross-sectional kinematics at the beam element nodes as needed  
667 without additional mesh discretization.

668 Reducing the refined areas in the NDK model can save computational costs at the expense  
669 of model accuracy. However, defining these refined areas requires prior knowledge. Excessively  
670 large refined regions can lead to insufficient savings in computing resources, whereas refined  
671 areas that are too small will result in reduced model accuracy. Therefore, future work will  
672 aim to find an automatic model optimization strategy based on the proposed NDK approach.  
673 Furthermore, simplifying the representation of stirrups can decrease the total number of  
674 required beam elements, enabling constructing a model with computational demands similar  
675 to those of widely used fiber models.

## 676 **Data Availability Statement**

677 Some or all data, models, or code that support the findings of this study are available from  
678 the corresponding author upon reasonable request.

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