POLITECNICO DI TORINO Repository ISTITUZIONALE

Wearable-based kinematic analysis of upper-limb movements during daily activities could provide insights into stroke survivors' motor ability

Original

Wearable-based kinematic analysis of upper-limb movements during daily activities could provide insights into stroke survivors' motor ability / Lee, Sunghoon Ivan; Liu, Yunda; Vergara-Díaz, Gloria; Pugliese, Benito Lorenzo; Black-Schaffer, Randie; Stoykov, Mary Ellen; Bonato, Paolo. - In: NEUROREHABILITATION AND NEURAL REPAIR. - ISSN 1545-9683. - ELETTRONICO. - 38:9(2024). [10.1177/15459683241270066]

Availability:

This version is available at: 11583/2991803 since: 2024-10-02T08:14:48Z

Publisher:

SAGE Publications Inc.

Published

DOI:10.1177/15459683241270066

Terms of use:

This article is made available under terms and conditions as specified in the corresponding bibliographic description in the repository

Publisher copyright

GENERICO -- per es. EPJ (European Physical Journal) : quando richiesto un rinvio generico specifico per

This is a post-peer-review, pre-copyedit version of an article published in NEUROREHABILITATION AND NEURAL REPAIR. The final authenticated version is available online at: http://dx.doi.org/10.1177/15459683241270066

(Article begins on next page)

Wearable-Based Kinematic Analysis of Upper-Limb Movements During Daily Activities Could Provide Insights into Stroke Survivors' Motor Ability

Authors: Sunghoon Ivan Lee, PhD¹; Yunda Liu, MS¹; Gloria Vergara-Díaz, MD, PhD²; Benito Lorenzo Pugliese, MS^{2,3}; Randie Black-Schaffer MD²; Mary Ellen Stoykov, PhD^{4,5}; Paolo Bonato, PhD²

¹College of Information and Computer Sciences, University of Massachusetts Amherst, Amherst, MA, USA

²Department of Physical Medicine and Rehabilitation, Harvard Medical School, at Spaulding Rehabilitation Hospital, Boston, MA, USA

³ Department of Electronics and Telecommunications, Politecnico di Torino, Turin, Italy

⁴Arm & Hands Lab, Shirley Ryan AbilityLab, Chicago, IL, USA

⁵Department of Physical Medicine and Rehabilitation, Feinberg School of Medicine, Northwestern University, Chicago, IL, USA

Corresponding author:

Sunghoon Ivan Lee, 140 Governors Dr, Amherst, MA; silee@cs.umass.edu; 413-545-3968

Running head: Improving the Assessment of Stroke Motor Performance

Submission type: Full-Length Original Research Article

Total word count:

Word Count (Abstract): 248 (out of 250). Word Count (Main text): 4411 (out of 4500).

Number of references: 5 (out of 60).

Number of tables and figures: 6 (out of 6).

Keywords: Stroke; Rehabilitation; Upper-Limb; Digital Marker; Digital Phenotype; Wearable Inertial Devices:

Abstract

Background: Frequent and objective monitoring of motor recovery progression holds significant importance in stroke rehabilitation. Despite extensive studies on wearable solutions in this context, the focus has been predominantly on evaluating limb activity. This study aims to address this limitation by delving into a novel measure of wrist kinematics more intricately related to patients' motor capacity.

Objective: To explore a new wearable-based approach for objectively and reliably assessing upper-limb motor ability in stroke survivors using a single inertial sensor placed on the stroke-affected wrist.

Methods: Seventeen stroke survivors performed a series of daily activities within a simulated home setting while wearing a six-axis inertial measurement unit on the wrist affected by stroke. Inertial data during point-to-point upper-limb movements were decomposed into movement segments, from which various kinematic variables were derived. A data-driven approach was then employed to identify a kinematic variable demonstrating robust internal reliability, construct validity, and convergent validity.

Results: We have identified a key kinematic variable, namely the 90th percentile of movement segment distance during point-to-point movements. This variable exhibited robust reliability (intra-class correlation coefficient of 0.93) and strong correlations with established clinical measures of motor capacity (Pearson's correlation coefficients of 0.81 with the Fugl-Meyer Assessment for Upper-Extremity; 0.77 with the Functional Ability component of the Wolf Motor Function Test; and -0.68 with the Performance Time component of the Wolf Motor Function Test). **Conclusions**: The findings underscore the potential for continuous, objective, and convenient monitoring of stroke survivors' motor progression throughout rehabilitation.

Introduction

Stroke is a major cause of impairments, affecting nearly 800,000 individuals in the United States each year. Following a stroke, about 80% of survivors experience some degree of upper-limb paresis, which typically affects the limb contralateral to the lesioned brain hemisphere. Poststroke motor impairments are characterized by weakness with limited capacity to perform functional movements necessary for activities of daily living (ADLs), which diminishes independence and health-related quality of life.

In conventional clinical settings, monitoring the recovery of motor ability is often achieved by assessing motor impairment and/or functional limitations using validated tools, such as the Fugl-Meyer Assessment of the Upper Extremity (FMA-UE) and Wolf Motor Function Test (WMFT). However, these assessments have been largely criticized for evaluating patients' motor ability within the structured clinical setting, without incorporating observations of their motor skills in naturalistic environments. Additionally, the ordinal scales of these standardized tools often suffer from ceiling and floor effects, making it challenging to study individuals with mild or severe impairments. More importantly, these tools are impractical to administer on a regular basis, as they are time-consuming (e.g., may take an entire clinical visit covered by payers) and clinically burdensome (e.g., requiring the presence of trained clinicians to administer these assessments, with ongoing training necessary to maintain accuracy). As a result, particularly in the United States, these outcome measures are too often collected only at the beginning and end of an intervention covered by third-party payers. This presents a critical problem as rehabilitation specialists obtain only a significantly under-sampled view of their patients' recovery trajectories, 11 impeding their

ability to evaluate patients' responses to treatments^{12,13} and thus, hindering the support of more personalized interventions to each patient's unique circumstances.^{8,14}

To reconcile these challenges, researchers have investigated various technology-driven approaches to facilitate more frequent and objective assessments of motor ability outside the clinical setting. For example, prior in-laboratory studies have demonstrated that kinematic information extracted from video cameras or inertial measurement units (IMUs) during patients' performance of a predefined set of motor tasks exhibits robust correlations with validated clinical measures, such as the FMA-UE^{11,15} and the Functional Ability component of WMFT (WMFT-FA). These investigations have envisioned scenarios where patients could perform these motor tasks in their home settings, allowing for more frequent and objective assessment of motor capacity throughout the rehabilitation process. However, while these studies demonstrate technical feasibility, their application in real-world situations, especially in the absence of trained personnel, may be impractical and could result in inaccurate assessments. Even minor deviations from the prescribed methods of performing motor tasks, such as misconfiguring the distance or direction of the target during point-to-point reaching movements (e.g., a task pertaining to WMFT), could impact the precision of kinematic variables and, consequently, the accuracy of the assessment. Hence, researchers have been advocating for methods supporting task-free evaluations of motor ability, utilizing continuous inertial data collected during patients' naturalistic engagement in ADLs.14

Unfortunately, much of the research on wearable-based, task-free motor assessment has predominantly centered on evaluating limb activity, quantifying the intensity of stroke-affected limb movement, often in comparison to the less-affected limb, using wrist-worn accelerometers. ^{16–19} However, these measures exhibit only moderate correlations with and limited responsiveness to

standardized assessments of motor capacity.²⁰ Researchers have explained this disparity by highlighting that measures of limb activity solely represent a facet of patients' *motor performance* (i.e., how much patients actually move their arms), whereas standardized clinical assessments evaluate patients' *motor capacity/ability* (i.e., what patients are capable of doing).⁵ To overcome this limitation, a few studies have attempted to explore kinematic variables obtained from an array of wearable IMUs during real-world ADLs that may be more closely linked to patients' motor capacity.^{13,21–23} Unfortunately, the majority of these studies involved a large number of wearable sensors on the body, typically ranging from 12 to 17 IMUs, making the application of these studies rather impractical in a clinical context. Additionally, these studies have primarily focused on relatively simple, high-level upper-limb kinematic characteristics, such as the range of motion²¹ and the distribution of the hand position,²⁴ for processing the complex movements involved in ADLs. Consequently, these variables exhibited only modest correlations with standardized measures of motor capacity.

In this study, we investigate a novel method to objectively and reliably assess upper-limb motor ability in stroke survivors by analyzing the kinematics of upper-limb movements performed during ADLs using a single IMU on the stroke-affected wrist. Analyzing upper-limb kinematics from continuous inertial data during uncontrolled and naturalistic upper-limb movements poses a significant challenge due to the diverse and variable ways in which patients perform their ADLs. ^{25,26} To address this challenge, we focus our analysis on three-dimensional (3D) linear point-to-point upper-limb movements occurring during ADLs, a fundamental building block of goal-directed upper-limb activities that are common across various types of ADLs. ^{27,28} To validate our approach, we collected data from 17 stroke survivors, who performed a set of unscripted ADLs naturalistically and continuously within a simulated home setting. Our primary hypothesis is that

kinematic analysis of point-to-point movements (i.e., a form of *motor performance*) offers insights closely linked to patients' *motor capacity*. We further hypothesize that the extracted kinematic variables would demonstrate strong internal reliability, construct validity, and convergent validity with respect to established clinical assessments of motor capacity.

Methods

Participants

Participants were recruited from Spaulding Rehabilitation Hospital in Boston, USA. The study inclusion criteria were as follows: 1) age between 18 and 80 years, 2) experienced a stroke more than 6 months prior to the visit, and 3) exhibits moderate-to-mild impairment in the upper limb affected by the stroke (i.e., FMA-UE score > 35). This cut-off point for FMA-UE was selected because the conventional cut-off points for moderate impairment, as suggested by Woodbury et al. (i.e., 33 out of 66),²⁹ might inadvertently include individuals who would likely encounter difficulties with many ADLs involving distal functionality (see Experimental Protocols for details). Therefore, we referenced the midpoint of the moderate range identified by Woodbury et al., while assuming full points for two reflex items (i.e., biceps and triceps reflexes), as we anticipated that most within this range would achieve maximum scores, resulting in a total of 35 points. The study excluded individuals who 1) were incapable of raising the upper limb against gravity (i.e., > 30 degrees of flexion and abduction), 2) exhibited severe upper-limb spasticity hindering passive finger movement (i.e., Modified Ashworth Scale > 3), 3) were unable to don and doff wearable sensors, either independently or with the assistance of a caregiver, and 4) showed significant cognitive impairments (Mini-Mental Status Examination < 23). All participants provided written informed consent, which was approved by the Institutional Review Board of Mass General and Brigham Hospital (Protocol # 2019P002612).

Clinical Assessment of Upper Limb Motor Capacity

The primary clinical measures of this study were the FMA-UE and WMFT scales. The FMA-UE assesses the overall motor impairment severity in the stroke-affected upper limb.³⁰ The WMFT encompasses two components: Performance Time (PT) and Functional Ability (FA), which evaluate the extent of functional capacity and quality of movement, respectively.³¹ As secondary measures, participants' self-reported motor performance was collected using the Motor Activity Log (MAL),³² consisting of two items: the Amount of Use (MAL-AoU) and Quality of Movement (MAL-QoM).

Experimental Protocol

Research staff helped study participants wearing two IMUs on their wrists (Shimmer3, Shimmer Research Inc., USA). We opted to collect data from both wrists to calculate benchmark limb activity measures for comparative analysis (see Wearable-Based Measures of Upper-Limb Activities for details). However, it is important to note that we only utilized the data acquired from the stroke-affected limb for our analysis aiming to identify kinematic variables closely linked to motor capacity. This decision was made because the clinical measures of motor capacity (i.e., FMA-UE and WFMT) are also primarily conducted on the stroke-affected limb. Each IMU sampled three-axis acceleration and three-axis angular velocity at 50 Hz. Participants performed up to 11 unscripted ADLs within a simulated home environment at Spaulding Rehabilitation Hospital, as summarized in Table 1. The layout of the simulated home is depicted in Figure S1. The selection of these ADLs was informed by prior studies that have also explored the validation of wearable devices for analyzing upper-limb performance within controlled laboratory settings. Specifically, these ADLs were chosen to encompass a broad range of upper-limb movements required during motor tasks, including both bimanual and unimanual activities, as well

as gross-arm and fine-hand movements. Participants were not obligated to perform ADLs that they did not regularly undertake in their real-world scenarios. They received instructions on how to find the necessary materials to perform these ADLs within the simulated home (e.g., locating a grocery bag in the kitchen, finding a toothbrush in the bathroom, etc.). Each participant received a sheet of paper listing the 11 ADLs they were expected to complete. Participants were directed to perform the ADLs naturally and independently, mirroring their behaviors in their own homes. The research staff intentionally refrained from providing any guidance on how to perform the ADLs to avoid influencing their inherent motor behavior. The participants' motor performance was also recorded using a set of four wide-angle cameras, which included two egocentric and two exocentric views. The positions of the two exocentric cameras within the simulated apartment are illustrated in Figure S1.

Table 1: Motor tasks resembling ADLs performed by study participants.

#	Motor Task				
1	Unloading a grocery bag				
2	Placing a tablecloth on a table				
3	Assembling a sandwich				
4	Drinking water from a cup				
5	Transferring the plat from the kitchen counter to a washing machine				
6	Mopping the floor				
7	Cleaning the table				
8	Folding bath towels				
9	Brushing teeth				
10	Writing on paper				

Analyzing Kinematics of Real-World Upper-Limb Movements

In this study, we utilize *point-to-point movements* performed during naturalistic ADLs to analyze the upper-limb kinematics. Point-to-point upper-limb movements refer to a multi-joint 3D movement that involves linear motion of the wrist from one position to another.^{27,28,33} It is one of the most frequently executed actions of the arm^{34,35} and serves as a fundamental building block of daily activities involving *goal-directed* interactions with the environments (e.g., reaching to grab an object, transferring the object, and repositioning the hand back to the body after the interaction).^{27,28} More importantly, point-to-point movements possess kinematic characteristics that remain consistent across different ADLs in neurologically intact humans and even in other species.^{36,37} This implies that these movements could be detected irrespective of the specific type of ADL being performed or individual variations in their execution.^{27,28}

Point-to-point movements are also an ideal candidate for wearable-based kinematic analysis in the context of stroke rehabilitation. The *wrist kinematics* of point-to-point movements, which can be captured using wrist-worn inertial sensors, have revealed distinct motor phenotypes related to stroke motor impairment, although the studies have been confined to laboratory settings.^{38–40} This high information density in wrist movements is because the hand can considered as the end effector that the central nervous system aims to control.⁴¹ As a result, abnormal movements of proximal body parts and joints, such as the trunk, shoulder, and elbow, have a direct effect on the kinematics of the wrist.¹¹

Our analysis encompassed the complete and uninterrupted sensor data, capturing not only the patients' execution of motor tasks but also their organic movements during transitions between

these tasks. These transitional movements, such as walking from the kitchen to the bathroom or performing sit-to-stand movements from a chair, were included as they naturally occur in real-world scenarios. Two researchers reviewed the video recordings of study participants performing the ADLs and annotated the occurrences of point-to-point movements. To analyze the kinematics of point-to-point movements, we derived three-axis, gravity-free acceleration data in a global coordinate system using a Kalman filter-based sensor orientation tracking algorithm that fuses the local-coordinate acceleration and gyroscope data.⁴² To minimize the effect of orientation drifts over time, we rotated the coordinate system for each point-to-point movement, aligning the *x*-axis with the direction of the motion. This direction was computed by applying Principal Component Analysis on the 3D velocity time-series and selecting the first principal component, which encapsulates the most significant variation in movements (i.e., the point-to-point dimension).^{43,44} The global-coordinate acceleration time-series along the selected dimension was numerically integrated to yield the 1D velocity time-series.

The 1D velocity time-series was then segmented at zero crossings to generate movement segments. 11,45 The velocity profiles of these movement segments exhibit varied morphology and temporal patterns in stroke survivors 38 due to impaired motor planning and control (e.g., feedforward and feedback control). 35,46 We extracted kinematic variables relevant to stroke motor impairments from each point-to-point movement. These variables include peak, average, root mean square, variance, and coefficient of variation of traveled distance, absolute velocity, acceleration, and jerk. 11,38 We also investigated the ratio between average and peak velocity, skewness of velocity distribution, number of peaks of velocity, duration of movement segments, and number of movement segments. 11,38 Subsequently, these variables from point-to-point movements were aggregated for each patient using statistical functions, such as mean, standard

deviation, interquartile range, median, minimum, maximum, and 10th and 90th percentiles.¹¹ This comprehensive process yielded a total of 110 variables.

Investigation of the Reliability of Kinematic Variables

To analyze the reliability of the extracted kinematic variables, the performed reaching movements were divided into two groups, alternating their occurrence during the motor tasks. For instance, one group encompassed odd repetitions of the performed reaching movements (e.g., first, third, fifth reaches, etc.), while the other comprised even repetitions (e.g., second, fourth, sixth reaches, etc.). This data division approach was chosen over the conventional splitting of data into the first and second halves of the time duration in order to mitigate the impact of patients' fatigue on kinematic variables. Each kinematic variable was derived from the two groups and subsequently analyzed for reliability using the two-way mixed intra-class correlation coefficient (ICC (3,1)). We used a threshold of 0.90 for ICC to identify kinematic variables demonstrating excellent reliability.⁴⁷

Statistical Analysis for Convergent Validity

Within this pool of reliable variables, we sought to identify those consistently demonstrating strong correlations with the clinical measures (i.e., convergent validity). The primary analysis was to evaluate the associations using Pearson's correlation analysis with standardized clinical measures of motor impairment (i.e., FMA-UE), movement quality (WMFT-FA), and functional capacity (WMFT-PT). As a secondary objective, we examined the associations with the measures of motor performance (i.e., MAL and sensor-based limb activity measures). The overall data analytic pipeline is depicted in Supplementary Figure S2.

Wearable-Based Measures of Upper-Limb Activities

We derived the established measures of limb activity, namely the intensity ratio and use ratio, ^{16–19} using the continuous accelerometer data collected throughout the experiment. Detailed computational derivations for these measures are provided in the Supplementary Materials. These measures are designed to assess the level of engagement of the stroke-affected limb during ADLs, reflecting a distinct construct from our primary focus on motor ability. Although our primary objective differs from what these measures are intended to capture, their inclusion allows for a more comprehensive analysis of the kinematic variables.

Results

Initially, 19 stroke survivors were enrolled in the study, a sample size chosen for convenience, drawing from prior research that similarly explored correlations between upper-limb kinematics and motor capacity. However, data collected from two participants were compromised due to technical complications. Consequently, the final analysis included data gathered from 17 subjects. Table 2 summarizes the demographic and clinical characteristics of the study participants. Study participants performed the motor tasks for an average duration of 49.7 ± 10.4 minutes (mean \pm standard deviation). Throughout the experiment, each participant performed 374.2 ± 235.6 point-to-point movements using the stroke-affected limb. The average duration of these movements was 0.48 ± 0.09 seconds.

Table 2. The demographic and clinical characteristics of the study participants.

ID	Age (yrs)	Sex	Chronicity (months)	Dominant Side	Affected Side	FMA-UE	WMFT- FA	WMFT-PT
1	69	Male	171	Right	Left	43	3.7	2.88
2	63	Male	49	Right	Left	55	4.4	1.59

3	61	Female	113	Left	Left	57	4.1	4.19
4	76	Male	53	Right	Left	36	3.0	4.68
5	66	Male	75	Right	Right	44	3.7	2.88
6	74	Female	34	Right	Left	55	4.6	1.88
7	67	Male	42	Right	Left	64	4.3	1.47
8	38	Male	22	Right	Right	53	4.3	3.69
9	55	Male	10	Right	Right	65	5.0	1.56
10	58	Male	18	Right	Left	37	2.9	3.81
11	64	Female	33	Right	Right	61	4.7	1.87
12	74	Male	50	Right	Left	44	3.7	6.50
13	45	Male	4	Right	Right	59	4.5	1.40
14	48	Female	26	Right	Right	64	4.7	2.03
15	67	Male	41	Right	Right	61	4.7	1.85
16	61	Male	6	Left	Right	65	5.0	1.84
17	32	Female	6	Right	Left	60	4.7	1.75

Kinematic Analysis of Point-to-Point Movements

Among the 110 kinematic variables considered in this study, 25 variables exhibited excellent testretest reliability (i.e., ICC > 0.90). 47 Within this pool of reliable variables, we identified a particular
variable that consistently demonstrated strong correlations with the primary and secondary clinical
measures. The variable identified, denoted as $P_{90}(|d_{aff}|)$, represents the 90th percentile of the
traveled distance of movement segments during point-to-point motions of the stroke-affected limb.
It is derived by first calculating the absolute traveled distance of movement segments obtained by
double-integrating the gravity-free acceleration along the ponit-to-point direction: $|d_{aff}[i]|$, where i represents the movement segment index. Subsequently, we determined the 90th percentile of the

distance across all movement segments (i.e., $P_{90}(|d_{aff}|)$). Therefore, $P_{90}(|d_{aff}|)$ signifies the subject's functional capacity, representing the near-maximum distance of real-world point-to-point movements (see Discussion section for detailed interpretations). Figure S3 illustrates the effects of the percentile threshold on the correlation coefficients with the primary measures of motor capacity.

Table 3. Pearson's correlation coefficients between the clinical assessments (i.e., FMA-UE, WMFT, and MAL) and the identified kinematic measure $(P_{90}(|d_{aff}|))$, alongside the representative measures of limb activity. Bold numbers indicate the maximum correlation attained for each clinical measure.

	Clinical Assessments						r-based sures
	Motor Capacity			Motor Activity		Motor Activity	
Sensor-based Measures	FMA- UE	WMFT -FA	WMFT -PT	MAL- AoU	MAL- QoM	Intensity Ratio	Use Ratio
$P_{90}(d_{aff})$	0.81*	0.77*	-0.68*	0.64*	0.71*	0.46	0.47
Intensity Ratio	0.62*	0.61*	-0.41	0.61*	0.62*	-	-
Use Ratio	0.67*	0.58	-0.37	0.56	0.52	-	-

^{*}Statistical significance with a confidence range of 0.01 (i.e., *p*-value < 0.01).

Table 3 presents a summary of correlations observed between $P_{90}(|d_{aff}|)$ and the established clinical measures of motor ability: FMA-UE for motor impairment, WMFT-FA for movement quality, and WMFT-FA for functional capacity. The inter-correlations among the clinical assessments are detailed in Supplementary Table S1. Figure 1 illustrates the scatter plots depicting

the relationships between $P_{90}(|d_{aff}|)$ and the primary clinical measures. The red data points indicate patients whose dominant limb was affected by stroke, while the blue data points represent those whose non-dominant limb was affected. A comprehensive set of scatter plots, encompassing all sensor-based measures and clinical assessments explored in this study, is available in Supplementary Figure S4.

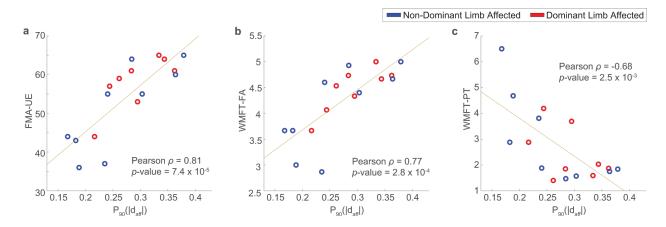


Figure 1. Correlations between $P_{90}(|d_{aff}|)$ and the primary clinical outcome measure: a) FMA-UE, b) WMFT-FA, and c) WMFT-PT.

In Figure 2, the reliability of $P_{90}(|d_{aff}|)$ is evident, displaying an ICC(3,1) of 0.93, which signifies excellent reliability (i.e., ICC > 0.90).⁴⁷ The Bland-Altman plot reveals a minimal bias in the mean of the two measurements (i.e., 6.5×10^{-3}), an insignificant 95% limit of agreement (i.e., 0.021), and no discernible trend in their relationships (i.e., $\rho = -0.32$ and p-value = 0.20; Pearson correlation analysis). These findings collectively affirm the consistency and reliability of the kinematic variable.

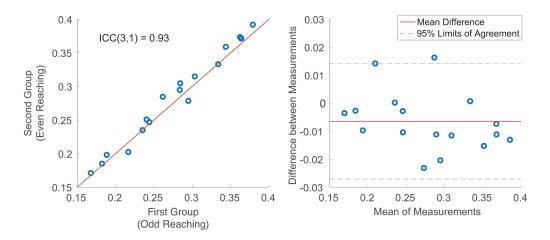


Figure 2. a) A scatter plot to show the reliability of $P_{90}(|d_{aff}|)$ with an ICC(3,1) of 0.93. b) The corresponding Bland-Altman plot.

Figure 3 illustrates the distributions of the traveled distance of movement segments (i.e., $|d_{aff}|$), extracted from the upper limbs of two participants: one moderately impaired participant (Figure 3a; FMA-UE score of 37) and one mildly impaired study participant (Figure 3b; FMA-UE score of 65). In Figure 3a, which pertains to a moderately impaired subject, there is a disparity in the distribution between the stroke-affected and less-affected limbs. Specifically, the distribution of the stroke-affected limb shows lower values compared to the less-affected limb, indicating that the stroke-affected limb yielded shorter movement segments during point-to-point movements. This results in a reduced value of $P_{90}(|d_{aff}|)$ in individuals with more severe impairments, as also apparent in Figure 1a. The effect size between the two distributions, measured using Cohen's d_3 was -0.16 with a confidence interval (CI) of -0.26 and 0.06.

Conversely, Figure 3b, derived from a mildly impaired subject, displays comparable distributions between the two limbs, with an effect size of -0.022 (CI: -0.11 to 0.062), although the distribution of the limb affected by the stroke appears marginally lower than that of the less-affected limb. This suggests that the kinematic characteristics of the two limbs, as represented by the distribution of movement segment distance, become more alike with milder motor

impairments. Interestingly, the disparity between the less-affected limbs of the moderately impaired and mildly impaired individuals was also small, with an effect size of -0.043 (CI: -0.12 to 0.034). This is intuitive as the kinematic characteristics of the less-affected limbs of the two subjects, resembling almost normal characteristics, should exhibit similar distribution profiles. Conversely, as expected, the effect size between the affected limbs of the two subjects was considerably large, measuring at -0.18 (CI: -0.29 to -0.077). Taken together, these results significantly bolster the evidence supporting the strong construct validity of $P_{90}(|d_{aff}|)$.

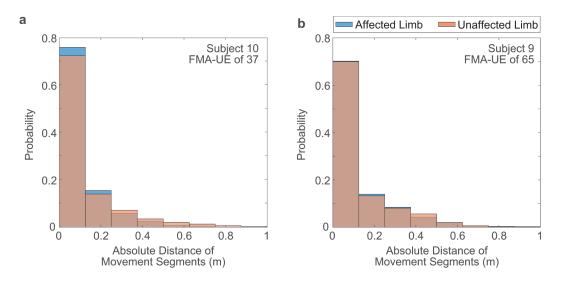


Figure 3. Distributions of movement segment distance extracted from the upper limbs of two participants: a) one moderately impaired participant with an FMA-UE score of 37 and b) one mildly impaired participant with an FMA-UE score of 65.

Discussion

This study introduces a new approach with potential application to assessing upper-limb motor ability in stroke survivors using an ecologically valid kinematic measure of real-world upper-limb movements. Notably, the identified kinematic variable, $P_{90}(|d_{aff}|)$, exhibited a valid construct,

excellent reliability, and strong correlations with established clinical assessments of motor capacity, which underscores its potential as an effective assessment tool for stroke rehabilitation.

In Table 2, we observe that $P_{90}(|d_{aff}|)$ shows strong agreements with the measures of motor capacity, which substantiate its construct validity: FMA-UE for motor impairments ($\rho = 0.81$ and p-value = 7.4×10^{-5}) and WMFT-FA for movement quality ($\rho = 0.77$ and p-value = 2.8×10^{-4}). As previously discussed, we posit that these associations exist because $P_{90}(|d_{aff}|)$ represents performance capacity (e.g., what patients actually do to their maximum extent), which captures a construct similar to the measures of motor capacity (e.g., what patients are capable of doing). The robust agreement of $P_{90}(|d_{aff}|)$ with both FMA-UE and WMFT-FA can be explained by the acknowledgment that movement quality—reflecting the proficiency of movements in terms of appropriate joint coordination, speed, smoothness, and accuracy^{7,38}—directly represents motor impairment, ⁴⁸ supported by evidence of strong correlations between FMA-UE and WMFT-FA. ^{49,50} This is also corroborated by a considerably strong correlation between $P_{90}(|d_{aff}|)$ and MAL-QoM, which quantifies the self-perceived quality of movements performed.

Table 2 also highlights an interesting finding that $P_{90}(|d_{aff}|)$ shows a comparatively weaker correlation with WMFT-PT. This observation is consistent with earlier research that reported notably weaker correlations of WMFT-PT with both WMFT-FA and FMA-UE.^{49,50} The discrepancy is attributed to the distinct underlying constructs⁵⁰: WMFT-PT measures task completion speed (i.e., functional capacity), differing from comprehensive assessments of motor impairment (FMA-UE) and movement quality (WMFT-FA).⁵⁰ Moreover, the two widely accepted sensor-based measures of limb activity (i.e., intensity and use ratios) showed notably weak

correlations to FMA-UE and WMFT, as well as $P_{90}(|d_{aff}|)$. This disparity also likely arises from the fact that the limb activity measures address a distinct construct from inherent motor capacity.⁵

The findings presented herein also align with prior studies on kinematic characteristics of point-to-point motions in stroke survivors, although these studies were constrained to analyzing 2D point-to-point movements in the transverse plane within controlled laboratory settings, rather than examining naturalistic 3D motions occurring during ADLs. A pivotal kinematic indicator of motor impairment following a stroke is the smoothness—or conversely, jerkiness—of movements.^{38–40} Stroke survivors with more severe motor impairment tend to execute upper-limb movements with spasticity,⁵¹ which is characterized by restrictions in range of motion and speed of limb movements.⁵² Consequently, the resulting upper-limb movements become fragmented, with smaller movement segments with reduced traveled distance, as shown in Figure 3a, demonstrating jerkiness in the performed movements. Conversely, as motor impairment improves, stroke survivors tend to produce movement segments with larger distances, as observed in Figure 3b. This leads to smoother point-to-point motions with fewer movement segments and a greater range of motion and speed. In fact, we have noted that the traveled distance of movement segments (i.e., $|d_{aff}|$) shows a strong correlation with their peak velocity, with a Pearson's correlation coefficient of 0.82 (p-value < 0.01).

Leveraging kinematic variables of performed upper-limb movements to aid in the assessment of motor recovery offers a clear advantage. Firstly, due to its continuous nature, $P_{90}(|d_{aff}|)$ helps mitigate ceiling and floor effects. Moreover, leveraging statistical bootstrapping from a large volume of data, wearable-based kinematic measures could offer reduced assessment variance and improved sensitivity to detect subtle changes (i.e., responsiveness) compared to standardized

measures reliant on clinicians' observations. 53,54 Therefore, rehabilitation specialists particularly, occupational therapists—could employ wearable-based assessment for continuous monitoring of patient responses under prescribed treatment regimens and opportunistically administer the standardized assessments for a more in-depth evaluation of patients' motor ability when necessary (e.g. when patients are not responding and adjustments to treatment strategies are needed). Thus, we envision that this newly proposed assessment approach could enable more frequent monitoring of stroke recovery while alleviating the clinical workload, offering a valuable complement to traditional assessment methods. Another significant benefit of the proposed assessment tool is that it is not susceptible to the learned non-use phenomenon or limb dominance, as shown in Figure 1, because its values are not contingent on the amount of limb activity or type of ADLs performed. For instance, the scatter plots correlating sensor-based limb activity measures with clinical measures in Figure S3b and c highlight that certain individuals rely more on their less-affected limb during motor tasks, resulting in significantly lower intensity ratio values, even when their overall motor capacity (e.g., FMA-UE and WMFT-FA) is similar to others. In contrast, the scatter plots of $P_{90}(|d_{aff}|)$ in Figure 1 show a more cohesive association with clinical measures without such discrepancies. However, relying solely on kinematic variables to monitor recovery progress might not fully describe a patient's motor recovery. For example, if a patient makes minimal movement with the stroke-affected limb, the resulting kinematic variable—even if it appears within a healthy range—could misinterpret the patient's recovery process. Therefore, the kinematic analysis of performed movements should be complemented with comprehensive assessments of limb activity in order to provide a more comprehensive understanding of the patient's rehabilitation progress. Lastly, it is important to highlight that since the proposed method can extract information regarding patients' motor ability during naturalistic ADLs in a task-free

manner, we anticipate its potential extension to other neurological conditions and diseases characterized by motor impairment.

This study presents several limitations, which also highlight important directions for future research. Firstly, it is important to acknowledge that our study had a relatively small sample size and did not examine the responsiveness of the proposed upper-limb kinematic measure to changes in patients' motor ability over time. To ensure generalizability and responsiveness, future investigations should encompass a longitudinal study with larger and more diverse cohorts. Moreover, with such an expanded dataset, a future study could explore the use of machine learning algorithms to integrate multi-dimensional kinematic variables and other relevant clinical variables to improve the validity of the wearable-based measure. Secondly, while the study participants performed the ADLs in a naturalistic manner, it is essential to recognize that the collected data might not fully reflect their real-world motor performance. This underscores the necessity to gather data within naturalistic environments. Thirdly, the point-to-point movements used for the kinematic analysis were manually annotated by research staff using video recordings. However, future investigations require the development of an algorithm capable of automatically detecting point-to-point movements using inertial data for practical application. A promising direction for this lies in the application of deep learning-based methodologies, which have demonstrated significant potential in accurately detecting specific movement patterns, such as 3D point-to-point movements, within unstructured inertial data streams gathered during real-world ADLs.⁵⁵ Finally, the proposed method does not offer detailed information about the quality of performed movements, such as inter-joint coordination or compensatory movements, which could be clinically important. Inferring these aspects based on the kinematics of wrist movements remains an important research area.

Conclusion

This study investigated a reliable, objective, and continuous measure of upper-limb kinematics to aid in monitoring the stroke motor recovery process. To achieve this, we examined the kinematic characteristics of point-to-point upper-limb movements performed during naturalistic ADLs using a six-axis IMU on the wrist affected by stroke. The study highlights the potential of ecologically valid kinematic analysis to offer additional insights into evaluating patients' impairment, thereby enhancing our understanding of their response to prescribed treatment regimens. The findings of this research could open new clinical and research opportunities for a more comprehensive and multi-dimensional perspective on stroke survivors' motor progression through rehabilitation.

Acknowledgments

The authors would like to thank the research staff in the Motion Analysis Laboratory at Spaulding Rehabilitation Hospital for their invaluable assistance in conducting data collection among stroke survivors.

Conflicts of Interest

The Authors declare that there is no conflict of interest.

Funding

The author(s) disclosed receipt of the following financial support for the research, authorship, and/or publication of this article: This work was supported in part by the National Institute of Biomedical Imaging and Bioengineering of the National Institutes of Health [grant number R01EB027777].

References

- Tsao CW, Aday AW, Almarzooq ZI, et al. Heart Disease and Stroke Statistics-2023 Update: A Report From the American Heart Association. *Circulation*. 2023;147(8):e93-e621. doi:10.1161/CIR.000000000001123
- 2. Kwakkel G, Kollen BJ, van der Grond J, Prevo AJH. Probability of regaining dexterity in the flaccid upper limb: impact of severity of paresis and time since onset in acute stroke. *Stroke*. 2003;34(9):2181-2186. doi:10.1161/01.STR.0000087172.16305.CD
- 3. Veerbeek JM, Kwakkel G, van Wegen EEH, Ket JCF, Heymans MW. Early Prediction of Outcome of Activities of Daily Living After Stroke. *Stroke*. 2011;42(5):1482-1488. doi:10.1161/STROKEAHA.110.604090
- 4. Faria-Fortini I, Michaelsen SM, Cassiano JG, Teixeira-Salmela LF. Upper extremity function in stroke subjects: relationships between the international classification of functioning, disability, and health domains. *J Hand Ther Off J Am Soc Hand Ther*. 2011;24(3):257-264; quiz 265. doi:10.1016/j.jht.2011.01.002
- 5. Lang CE, Holleran CL, Strube MJ, et al. Improvement in the Capacity for Activity Versus Improvement in Performance of Activity in Daily Life During Outpatient Rehabilitation. *J Neurol Phys Ther JNPT*. 2023;47(1):16-25. doi:10.1097/NPT.0000000000000413
- 6. Lin JH, Hsu MJ, Sheu CF, et al. Psychometric comparisons of 4 measures for assessing upper-extremity function in people with stroke. *Phys Ther*. 2009;89(8):840-850. doi:10.2522/ptj.20080285
- 7. Lee SI, Adans-Dester CP, OBrien AT, et al. Predicting and Monitoring Upper-Limb Rehabilitation Outcomes Using Clinical and Wearable Sensor Data in Brain Injury Survivors. *IEEE Trans Biomed Eng.* 2021;68(6):1871-1881. doi:10.1109/TBME.2020.3027853
- 8. Adans-Dester C, Hankov N, O'Brien A, et al. Enabling precision rehabilitation interventions using wearable sensors and machine learning to track motor recovery. *Npj Digit Med*. 2020;3(1):121. doi:10.1038/s41746-020-00328-w
- 9. See J, Dodakian L, Chou C, et al. A standardized approach to the Fugl-Meyer assessment and its implications for clinical trials. *Neurorehabil Neural Repair*. 2013;27(8):732-741. doi:10.1177/1545968313491000
- 10. Jung HT, Kim Y, Lee J, Lee SI, Choe EK. Envisioning the use of in-situ arm movement data in stroke rehabilitation: Stroke survivors' and occupational therapists' perspectives. *PloS One*. 2022;17(10):e0274142. doi:10.1371/journal.pone.0274142
- 11. Oubre B, Daneault JF, Jung HT, et al. Estimating Upper-Limb Impairment Level in Stroke Survivors Using Wearable Inertial Sensors and a Minimally-Burdensome Motor Task. *IEEE Trans Neural Syst Rehabil Eng Publ IEEE Eng Med Biol Soc.* 2020;28(3):601-611. doi:10.1109/TNSRE.2020.2966950
- 12. Kollen B, Kwakkel G, Lindeman E. Functional recovery after stroke: a review of current developments in stroke rehabilitation research. *Rev Recent Clin Trials*. 2006;1(1):75-80. doi:10.2174/157488706775246111
- 13. van Meulen FB, Reenalda J, Buurke JH, Veltink PH. Assessment of daily-life reaching performance after stroke. *Ann Biomed Eng.* 2015;43(2):478-486. doi:10.1007/s10439-014-1198-y

- 14. Kim GJ, Parnandi A, Eva S, Schambra H. The use of wearable sensors to assess and treat the upper extremity after stroke: a scoping review. *Disabil Rehabil*. 2022;44(20):6119-6138. doi:10.1080/09638288.2021.1957027
- 15. Lee S, Lee YS, Kim J. Automated Evaluation of Upper-Limb Motor Function Impairment Using Fugl-Meyer Assessment. *IEEE Trans Neural Syst Rehabil Eng Publ IEEE Eng Med Biol Soc.* 2018;26(1):125-134. doi:10.1109/TNSRE.2017.2755667
- 16. van der Pas SC, Verbunt JA, Breukelaar DE, van Woerden R, Seelen HA. Assessment of arm activity using triaxial accelerometry in patients with a stroke. *Arch Phys Med Rehabil*. 2011;92(9):1437-1442. doi:10.1016/j.apmr.2011.02.021
- 17. Thrane G, Emaus N, Askim T, Anke A. Arm use in patients with subacute stroke monitored by accelerometry: association with motor impairment and influence on self-dependence. *J Rehabil Med*. 2011;43(4):299-304. doi:10.2340/16501977-0676
- 18. Kim Y, Jung HT, Choe EK, Ramasarma N, Bonato P, Lee SI. Towards the Design of a Ring Sensor-based mHealth System to Achieve Optimal Motor Function in Stroke Survivors. *Proc ACM Interact Mob Wearable Ubiquitous Technol*.
- 19. Bailey RR, Klaesner JW, Lang CE. An accelerometry-based methodology for assessment of real-world bilateral upper extremity activity. *PloS One*. 2014;9(7):e103135. doi:10.1371/journal.pone.0103135
- 20. Noorkõiv M, Rodgers H, Price CI. Accelerometer measurement of upper extremity movement after stroke: a systematic review of clinical studies. *J Neuroengineering Rehabil*. 2014;11:144. doi:10.1186/1743-0003-11-144
- 21. van Meulen FB, Klaassen B, Held J, et al. Objective Evaluation of the Quality of Movement in Daily Life after Stroke. *Front Bioeng Biotechnol*. 2015;3:210. doi:10.3389/fbioe.2015.00210
- 22. Held JPO, Klaassen B, Eenhoorn A, et al. Inertial Sensor Measurements of Upper-Limb Kinematics in Stroke Patients in Clinic and Home Environment. *Front Bioeng Biotechnol*. 2018;6:27. doi:10.3389/fbioe.2018.00027
- 23. de Lucena DS, Stoller O, Rowe JB, Chan V, Reinkensmeyer DJ. Wearable sensing for rehabilitation after stroke: Bimanual jerk asymmetry encodes unique information about the variability of upper extremity recovery. *IEEE Int Conf Rehabil Robot Proc.* 2017;2017:1603-1608. doi:10.1109/ICORR.2017.8009477
- 24. Klaassen B, van Beijnum BJF, Held JP, et al. Usability Evaluations of a Wearable Inertial Sensing System and Quality of Movement Metrics for Stroke Survivors by Care Professionals. *Front Bioeng Biotechnol.* 2017;5:20. doi:10.3389/fbioe.2017.00020
- 25. Hayward KS, Eng JJ, Boyd LA, Lakhani B, Bernhardt J, Lang CE. Exploring the Role of Accelerometers in the Measurement of Real World Upper-Limb Use After Stroke. *Brain Impair*. 2016;17(1):16-33. doi:10.1017/BrImp.2015.21
- 26. Stergiou N, Decker LM. Human movement variability, nonlinear dynamics, and pathology: is there a connection? *Hum Mov Sci.* 2011;30(5):869-888. doi:10.1016/j.humov.2011.06.002
- 27. Schambra HM, Parnandi A, Pandit NG, Uddin J, Wirtanen A, Nilsen DM. A Taxonomy of Functional Upper Extremity Motion. *Front Neurol*. 2019;10:857. doi:10.3389/fneur.2019.00857
- 28. Parnandi A, Uddin J, Nilsen DM, Schambra HM. The Pragmatic Classification of Upper Extremity Motion in Neurological Patients: A Primer. *Front Neurol*. 2019;10:996. doi:10.3389/fneur.2019.00996
- 29. Woodbury ML, Velozo CA, Richards LG, Duncan PW. Rasch analysis staging methodology to classify upper extremity movement impairment after stroke. *Arch Phys Med Rehabil*. 2013;94(8):1527-1533.
- 30. Fugl-Meyer AR, Jääskö L, Leyman I, Olsson S, Steglind S. The post-stroke hemiplegic patient. 1. a method for evaluation of physical performance. *Scand J Rehabil Med.* 1975;7(1):13-31.
- 31. Wolf SL, Catlin PA, Ellis M, Archer AL, Morgan B, Piacentino A. Assessing Wolf motor function test as outcome measure for research in patients after stroke. *Stroke*. 2001;32(7):1635-1639. doi:10.1161/01.str.32.7.1635

- 32. Uswatte G, Taub E, Morris D, Light K, Thompson PA. The Motor Activity Log-28: assessing daily use of the hemiparetic arm after stroke. *Neurology*. 2006;67(7):1189-1194. doi:10.1212/01.wnl.0000238164.90657.c2
- 33. Georgopoulos AP. On reaching. *Annu Rev Neurosci*. 1986;9:147-170. doi:10.1146/annurev.ne.09.030186.001051
- 34. Grafton ST. The cognitive neuroscience of prehension: recent developments. *Exp Brain Res*. 2010;204(4):475-491. doi:10.1007/s00221-010-2315-2
- 35. Wu C, Trombly CA, Lin K, Tickle-Degnen L. A kinematic study of contextual effects on reaching performance in persons with and without stroke: influences of object availability. *Arch Phys Med Rehabil*. 2000;81(1):95-101. doi:10.1016/s0003-9993(00)90228-4
- 36. Flash T, Hochner B. Motor primitives in vertebrates and invertebrates. *Curr Opin Neurobiol*. 2005;15(6):660-666. doi:10.1016/j.conb.2005.10.011
- 37. Sumbre G, Fiorito G, Flash T, Hochner B. Neurobiology: motor control of flexible octopus arms. *Nature*. 2005;433(7026):595-596. doi:10.1038/433595a
- 38. Kwakkel G, Van Wegen E, Burridge JH, et al. Standardized measurement of quality of upper limb movement after stroke: Consensus-based core recommendations from the Second Stroke Recovery and Rehabilitation Roundtable. *Int J Stroke Off J Int Stroke Soc.* 2019;14(8):783-791. doi:10.1177/1747493019873519
- 39. Rohrer B, Fasoli S, Krebs HI, et al. Submovements Grow Larger, Fewer, and More Blended during Stroke Recovery. *Motor Control*. 2004;8(4):472-483. doi:10.1123/mcj.8.4.472
- 40. Rohrer B, Fasoli S, Krebs HI, et al. Movement smoothness changes during stroke recovery. *J Neurosci Off J Soc Neurosci*. 2002;22(18):8297-8304.
- 41. Thelen E, Corbetta D, Spencer JP. Development of reaching during the first year: role of movement speed. *J Exp Psychol Hum Percept Perform*. 1996;22(5):1059-1076. doi:10.1037//0096-1523.22.5.1059
- 42. Sola J. Quaternion kinematics for the error-state Kalman filter. *ArXiv Prepr ArXiv171102508*. Published online 2017.
- 43. Oubre B, Daneault JF, Whritenour K, et al. Decomposition of Reaching Movements Enables Detection and Measurement of Ataxia. *Cerebellum Lond Engl.* 2021;20(6):811-822. doi:10.1007/s12311-021-01247-6
- 44. Lee J, Oubre B, Daneault JF, et al. Analysis of Gait Sub-Movements to Estimate Ataxia Severity using Ankle Inertial Data. *IEEE Trans Biomed Eng.* 2022;PP. doi:10.1109/TBME.2022.3142504
- 45. Datta S, Karmakar CK, Rao AS, Yan B, Palaniswami M. Upper limb movement profiles during spontaneous motion in acute stroke. *Physiol Meas*. 2021;42(4). doi:10.1088/1361-6579/abf01e
- 46. Rizzo JR, Hudson TE, Abdou A, et al. Motor planning poststroke: impairment in vector-coded reach plans. *Physiol Rep.* 2015;3(12):e12650. doi:10.14814/phy2.12650
- 47. Koo TK, Li MY. A Guideline of Selecting and Reporting Intraclass Correlation Coefficients for Reliability Research. *J Chiropr Med.* 2016;15(2):155-163. doi:10.1016/j.jcm.2016.02.012
- 48. Levin MF, Kleim JA, Wolf SL. What do motor "recovery" and "compensation" mean in patients following stroke? *Neurorehabil Neural Repair*. 2009;23(4):313-319. doi:10.1177/1545968308328727
- 49. Hodics TM, Nakatsuka K, Upreti B, Alex A, Smith PS, Pezzullo JC. Wolf Motor Function Test for characterizing moderate to severe hemiparesis in stroke patients. *Arch Phys Med Rehabil*. 2012;93(11):1963-1967. doi:10.1016/j.apmr.2012.05.002
- 50. Hsieh Y wei, Wu C yi, Lin K chung, Chang Y fen, Chen C ling, Liu J sen. Responsiveness and validity of three outcome measures of motor function after stroke rehabilitation. *Stroke*. 2009;40(4):1386-1391. doi:10.1161/STROKEAHA.108.530584
- 51. Katz RT, Rovai GP, Brait C, Rymer WZ. Objective quantification of spastic hypertonia: correlation with clinical findings. *Arch Phys Med Rehabil*. 1992;73(4):339-347. doi:10.1016/0003-9993(92)90007-j
- 52. Tsao CC, Mirbagheri MM. Upper limb impairments associated with spasticity in neurological

- disorders. J Neuroengineering Rehabil. 2007;4:45. doi:10.1186/1743-0003-4-45
- 53. Gupta AS, Patel S, Premasiri A, Vieira F. At-home wearables and machine learning sensitively capture disease progression in amyotrophic lateral sclerosis. *Nat Commun.* 2023;14(1):5080. doi:10.1038/s41467-023-40917-3
- 54. Mori H, Wiklund SJ, Zhang JY. Quantifying the Benefits of Digital Biomarkers and Technology-Based Study Endpoints in Clinical Trials: Project Moneyball. *Digit Biomark*. 2022;6(2):36-46. doi:10.1159/000525255
- 55. Yuan H, Chan S, Creagh AP, Tong C, Clifton DA, Doherty A. Self-supervised learning for human activity recognition using 700,000 person-days of wearable data. *ArXiv Prepr ArXiv220602909*. Published online 2022.