

Sustainable whey proteins-nanostructured zinc oxide-based films for the treatment of chronic wounds:  
New insights from biopharmaceutical studies

*Original*

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1 Sustainable Whey proteins-Nanostructured zinc  
2 oxide-based films for the treatment of chronic  
3 wounds: new insights from biopharmaceutical studies

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12

13 **KEYWORDS:** bionanocomposite, whey proteins based films, antibacterial properties, cell  
14 proliferation, wound healing

15 **ABSTRACT:** Chronic wounds represent silent epidemic affecting a large portion of the world  
16 population, especially the elders; in this context, the development of advanced bioactive dressings  
17 is imperative to accelerate wound healing process, while contrasting or preventing infections. The  
18 aim of the present work was to provide a deep characterization of the functional and  
19 biopharmaceutical properties of a sustainable thin and flexible films, composed of whey proteins  
20 alone (WPI) and added with nanostructured zinc oxide (WPZ) and intended for the management

21 of chronic wounds. The potential of whey proteins-based films as wound dressings has been  
22 confirmed by their wettability, hydration properties, elastic behavior upon hydration,  
23 biodegradation propensity and, when added with nanostructured zinc oxide, antibacterial efficacy  
24 against both Gram-positive and Gram-negative pathogens, i.e. *Staphylococcus aureus* and  
25 *Escherichia coli*. *In-vitro* experiments, performed on normal human dermal fibroblasts, confirmed  
26 film cytocompatibility, also revealing the possible role of  $Zn^{2+}$  ions in promoting fibroblast  
27 proliferation. Finally, *in-vivo* studies on rat model confirmed film suitability to act as wound  
28 dressing, since able to ensure a regular healing process while providing effective protection from  
29 infections. In particular, both films WPI and WPZ are responsible for the formation in the wound  
30 bed of a continuous collagen layer similar to that of healthy skin.

## 31 **1. INTRODUCTION**

32 Chronic wounds represent a major and often overlooked problem for healthcare [1]: in the last 5  
33 years, chronic wounds have become a serious life-threatening disease, showing a comparable  
34 mortality rate to cancer [2]. Several factors, especially metabolic disorders, aging, vascular  
35 deficits, trauma and severe infectious disease (i.e. COVID-19), may worsen primary wounds,  
36 prolonging their healing process [3, 4]; additionally, delayed wound management has been of  
37 particular concern during the COVID-19 pandemic, increasing the risk of infections [5]. In most  
38 cases, chronic wounds are exacerbated by the high exposure to infections, which are responsible  
39 for worsening the patient's health and extending the duration of hospital stays. In an alarmingly  
40 increasing number of cases, pathogenic bacteria are also found to be poorly sensitive to both  
41 systemic and topical antimicrobial therapy because of widespread antibiotic resistance [6].

42 This scenario calls for urgent action, especially concerning the development of advanced  
43 wound dressings capable of contrasting or preventing infections, while promoting healing [7,8].

44 To address the antibiotic resistance, the research on new antimicrobial agents has  
45 demonstrated the potential of nanostructured materials, such as metal-oxide one [9,10].  
46 Nanostructured zinc oxide (nZnO) is a promising nanomaterial with renowned antimicrobial,  
47 antioxidant, and anti-inflammatory properties [11]. The release of  $Zn^{2+}$  ions represent the principal  
48 mechanism of antimicrobial action of nZnO, as well as the production of reactive oxygen species  
49 and the physical interactions with bacterial cells [12,13]. Moreover, zinc is an essential trace  
50 element for the human body and takes an active part in wound healing, supporting re-  
51 epithelialization and skin modelling [14]. All these properties make nZnO a particularly promising  
52 filler for the preparation of biopolymer-matrix composite (defined as bionanocomposite), although  
53 concerns still exist about the potential cytotoxicity of its nano-sized form [15, 16].

54 Whey proteins, particularly in the form of Whey Protein Isolate (WPI), are obtained from whey,  
55 that is the most important by-product of the dairy industry: the disposal of a large amount of whey  
56 as wastewater involves serious environmental hazards and represents a significant loss of potential  
57 ingredients, such as lactose, fat and proteins. In an attempt to exploit the whey value and to mitigate  
58 its harmful effects on the environment, it seemed useful to direct whey management towards a  
59 sustainable way of utilization, such as the production of novel valuable products [17]. Whey  
60 proteins represent a promising biomaterial known for its biodegradability and bioactivity [18]: It  
61 contains high levels of amino acids, such as arginine, glycine, leucine, isoleucine and valine, which  
62 are essential in the promotion of wound healing, and proline, that is considered pivotal for collagen  
63 biosynthesis, structure and strength [19]. For thirty years now, whey proteins are known for their  
64 excellent film-forming ability driven by the formation of hydrophobic interactions and  
65 intermolecular disulfide bridges upon protein denaturation [20]. In particular, whey proteins have  
66 recently gained attention in the food packaging industry: such biopolymers are involved in the  
67 formation of edible films, endowed with outstanding mechanical (i.e. flexibility) and barrier

68 properties (i.e. high water vapor permeability and excellent oxygen permeability), which serve as  
69 a functional food-environment barrier ensuring food safety and quality [21,22].

70 All these properties suggest that whey proteins could be used for the fabrication of  
71 sustainable and innovative wound dressings; actually, there are no published studies on the use of  
72 whey proteins-based films for wound healing applications. Nowadays, only an oral  
73 supplementation with whey proteins has been proposed as an interesting strategy to integrate the  
74 local treatment of wounds [23,24].

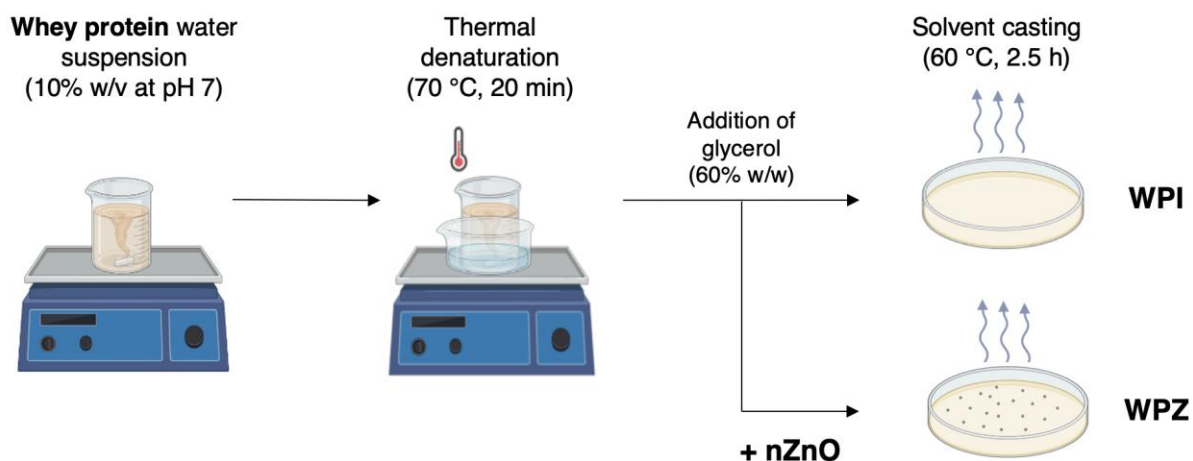
75 A previous work of ours demonstrated how whey proteins and nZnO can be combined by  
76 a simple procedure to obtain flexible and antibacterial films based on WPI-nZnO  
77 bionanocomposite for biomedical and food packaging applications [25]. The present work further  
78 expands on this research by investigating some peculiar features of whey proteins-based films and  
79 WPI-nZnO bionanocomposite-based films (i.e. film morphology, hydration propensity,  
80 biodegradation behavior and antibacterial efficacy against *S. aureus* and *E. coli*), which could be  
81 relevant for their use in wound healing application. In fact, to the best of our knowledge, it is the  
82 first time that whey proteins-based films with and without nZnO were proposed as innovative  
83 wound dressings and characterized for their biopharmaceutical properties both *in-vitro* and *in-vivo*.  
84 Moreover, in the present work the *in-vitro* effects of the films on human dermal fibroblast viability  
85 and proliferation are investigated, and an *in-vivo* test is ultimately carried out on a murine model  
86 to prove their wound healing potential.

## 87 **2. MATERIALS AND METHODS**

88 **2.1. Materials.** Zinc acetate di-hydrate ( $\geq 98\%$ ), potassium hydroxide ( $\geq 85\%$ ), and absolute  
89 ethanol were obtained from Sigma-Aldrich (St. Louis, MO, USA) and used as received. Whey  
90 Protein Isolate (WPI) was kindly supplied by Milei GmbH (Leutkirch im Allgäu, Germany).

91 **2.2. Synthesis of nZnO.** nZnO was obtained from wet chemical precipitation as reported in [25].  
92 Zinc acetate dihydrate (29.50 g) and potassium hydroxide (14.80 g) were dissolved in 120 mL and  
93 64 mL of ethanol respectively, and subsequently mixed and kept at 60°C for 72 h under stirring  
94 and reflux. The white precipitate was recovered by means of a 30 min centrifugation cycle at 1073  
95 g (ALC PK1110 centrifuge; DJB Labcare Ltd, Newport Pagnell, England) and subsequently washed  
96 three times with ethanol and dried overnight at 60°C.

97 **2.3. Preparation of whey proteins-based films (WPI films) and WPI-nZnO**  
98 **bionanocomposite-based films (WPZ).** WPI and WPZ films have been prepared according to the  
99 procedure previously described in [25] (Figure 1). Briefly, a 10% (w/w) WPI suspension was  
100 prepared in distilled water and its pH was brought to 7.0 with dropwise addition of 1 M NaOH.  
101 The suspension was heat-treated at 70°C for 20 min in a thermostatic bath under constant stirring  
102 and subsequently cooled down in a water bath at room temperature. A glycerol amount equal to  
103 60% (w/w) of the dry protein weight contained in the suspension was added as plasticizing agent.  
104 The so-obtained film-forming solution was then casted onto non-stick molds maintaining a  
105 volume-to-surface ratio equal to 0.135 m, and finally dried for 2.5 h at 60°C. This allowed to obtain  
106 WPI films. For the preparation of WPZ films, a mass of nZnO equal to 4% (w/w) of the dry protein  
107 weight was dispersed in distilled water and sonicated in an ultrasound bath for 1 h. The  
108 nanoparticles suspension was then mixed with the heat-treated film-forming solution right after  
109 the addition of glycerol, and the resulting mix was casted and dried.



110

111 **Figure 1.** Schematic representation of solvent-casting method for the preparation of WPI and  
 112 WPZ films.

113 **2.4. Microstructural Analyses.** Morphological evaluation of WPI and WPZ films was  
 114 performed by means of a scanning electron microscope (Phenom Pure G6 SEM, ThermoFisher  
 115 Scientific, Waltham, MA, USA), equipped with LUXOR Gold-Coater. Different magnifications  
 116 were considered for each film. Film topography and nZnO distribution into WPZ matrix were  
 117 assessed by means of Atomic Force Microscopy (Tosca 200, Anton Paar, Graz, Austria) in tapping  
 118 mode (cantilever AP-ARROW-NCR, Silicon SPM-Sensor, Al-coating; thickness: 4.6  $\mu\text{m}$ , length:  
 119 160  $\mu\text{m}$ , width: 45  $\mu\text{m}$ ); tapping mode images were acquired with a resonance frequency equal to  
 120 285 kHz and force constant of 42 N/m. The resulting data were transformed into a 3D image. The  
 121 mean surface roughness ( $S_a$ ) of WPI and WPZ films was also calculated.

122 **2.5. Water Contact Angle Measurements.** Water Contact Angle (WCA) was measured using  
 123 a DMe-211 Plus Contact Angle Meter (Kyowa Interface Science Co Ltd., Saitama, Japan)  
 124 according to the  $\theta/2$  method. For the test, a drop (10  $\mu\text{L}$ ) of Phosphate Buffer Solution (PBS, pH  
 125 7.4; Biosolve Chimie, Dieuze, France) was deposited onto the surface of WPI and WPZ samples

126 and the contact angle was measured over time at 1 s intervals using FAMAS Dropmaster Software.  
127 The experiment was repeated five times.

128 **2.6. Hydration test.** A hydration test was carried out to monitor water uptake of the film over  
129 time. An experimental set-up has been designed to simulate the contact conditions between the  
130 films and an exuding wound area. The wells of a polypropylene plate (Corning® 12 Well TC-  
131 Treated Microplates, New York, NY, USA) have been filled to the brim with PBS. Subsequently,  
132 a sheet of Parafilm® has been applied over the plate and pierced in correspondence of the PBS-  
133 filled wells, allowing the liquid to emerge and to form a wet layer on the Parafilm®. WPI and WPZ  
134 films have been cut in circular specimens with the same diameter of the wells, dried in an oven at  
135 60°C until stabilization of the weight, and applied on top of an equally shaped dialysis membrane.  
136 The experimental set-up used for the hydration test allowed to safely manipulate the films across  
137 the entire duration of the experiment without compromising their integrity. Membrane-supported  
138 film specimens have thus been placed on the wet Parafilm®, and the entire set-up was placed inside  
139 an incubator at 32°C to simulate the temperature of the skin. To measure the swelling ratio (SR),  
140 specimens were collected, blotted away of excess water with a filter paper, and weighted. The SR  
141 was then determined according to the following equation:

$$142 \quad SR = \frac{m_w - m_d}{m_d} \times 100$$

143 where  $m_w$  is the wet mass and  $m_d$  is the dry initial mass. The swelling ratio has been calculated  
144 after 1, 3, 6 and 24 h. The experiment has been done in triplicate.

145 **2.7. Viscoelastic Properties after Hydration.** After 24 h hydration, dynamic oscillatory  
146 measurements were performed by means of a rotational rheometer (MCR102, Anton Paar, Turin,  
147 Italy), using a parallel plate combination (PP25, diameter = 25 mm) as measuring system. Stress  
148 sweep test was performed at 1 Hz frequency to identify the linear viscoelastic region (LVR). In  
149 the oscillation test, a shear stress equal to 1 Pa (within the LVR previously determined) was applied

150 at increasing frequencies (1 to 10 Hz) and  $G'$  (storage modulus) and  $G''$  (loss modulus) profiles  
151 were recorded; measurements were performed at 32°C. Three replicates were considered for each  
152 sample.

153 **2.8. Degradation test.** The degradation profile of WPI and WPZ films was investigated by  
154 soaking the samples in two different degradation media: PBS [26] and  $H_2O_2$  500  $\mu$ M in PBS [27].  
155 In detail, WPI and WPZ circular specimens have been dried at 60°C until stabilization of the  
156 weight ( $30 \pm 5$  mg) and subsequently immersed in 5 mL of PBS or  $H_2O_2$  500  $\mu$ M in PBS. Immersed  
157 films have been kept at 32°C for the duration of the entire experiment.

158 After 1, 3 and 7 days, sample degradation was evaluated in terms of (i) film residual mass and  
159 (ii) protein concentration dissolved in the degradation media.

160 In particular, films have been collected and dried in an oven at 60°C until stabilization of the  
161 weight. The percentage of residual mass (R) has been determined as:

$$162 \quad R = \frac{m_0}{m_f} \times 100$$

163 where  $m_f$  is the final residual dry mass and  $m_0$  is the dry initial mass. The experiment has been  
164 repeated three times.

165 Thereafter, the amount of protein fraction dissolved in each medium as a consequence of film  
166 degradation was determined by means of UV-Vis spectroscopy analysis (spectrophotometer UV-  
167 vis Lambda 25, Perkin Elmer, Milan, Italy) at  $\lambda = 280$  nm; this method is particularly useful and  
168 offers high specificity for proteins that contain tryptophan or tyrosine residues such as whey  
169 proteins [28].

170 A calibration curve was used to determine the protein concentration in the medium after film  
171 degradation: solutions containing increasing concentrations (from 0.001 to 1 mg/ml) of  
172 denaturated WPI were prepared. Three replicates were considered for each sample.

173 **2.9. Antimicrobial test.** The antibacterial activity of WPI and WPZ films was investigated  
174 against *Staphylococcus aureus* ATCC 6538 (Gram-positive) and *Escherichia coli* ATCC 10356  
175 (Gram-negative). Before testing, the microorganisms were grown overnight in Tryptone Soya  
176 Broth (Oxoid; Basingstoke, Hampshire, England) at 37°C. Washed cells were resuspended in  
177 Dulbecco's PBS and optical density (OD) was adjusted to 0.3, corresponding approximately to  
178  $110^8$  Colony Forming Units (CFU/ml) at 650 nm wavelength.

179 Ten  $\mu$ l of bacterial suspension was placed on a standard glass slide (76  $\times$  26 mm); the microbial  
180 suspension was, then, covered with WPZ film (20  $\times$  20 mm), forming a thin film that facilitates  
181 direct contact of the microorganisms with the active WPZ surface. The assembled glasses were  
182 introduced in a Falcon test-tube (50 ml) containing 1 ml of PBS to maintain a damp environment;  
183 the WPZ surfaces were maintained in contact with the liquid films containing bacteria at room  
184 temperature for 6 and 24 hours; for each time of contact, WPI film was treated in the same way,  
185 as control sample. After the times of contact, 9 ml of PBS were introduced in each Falcon test-  
186 tube under a gentle shaking to detach the glass slides from WPZ and WPI surfaces. Bacterial  
187 suspensions were then grown in Tryptone Soya Agar to count viable cells. The decimal-log  
188 reduction rate, microbicidal effect (ME), was calculated using the following equation:

$$189 \quad \text{ME} = \log \text{NC} - \log \text{NE}$$

190 where NC is the number of CFU/ml developed on the control glasses (WPI film), whereas NE  
191 is the number of CFU/ml counted after exposure to WPZ surfaces. The results expressed as ME  
192 represent the average of three equivalent determinations [29,30].

193 **2.10. *In-vitro* cytocompatibility test.** Normal Human Dermal Fibroblasts (NHDF) from  
194 juvenile foreskin (PromoCell GmbH, VWR, Milan, Italy) from 6<sup>th</sup> to 12<sup>th</sup> passage were used. Cells  
195 were cultured in polystyrene flasks in Dulbecco's Modified Eagle's Medium (DMEM),  
196 supplemented with 10% v/v heat-inactivated Foetal Bovine Serum (FBS) (VWR International

197 S.r.l, Milan, Italy), and with 1% v/v antibiotic-antimycotic solution (Merk Life Science S.r.l.,  
198 Milan, Italy), at 37°C in 5% CO<sub>2</sub> atmosphere.

199 Extraction dilution method was performed to investigate the cytotoxic effect of WPI and WPZ  
200 films [31]. More in detail, WPI and WPZ specimens (0.32 cm<sup>2</sup>), previously sterilized through UV  
201 irradiation, were soaked in 600 µL of complete culture medium (CM) for 24 h at 37°C for obtaining  
202 film extracts; then, the extracts were collected and serially diluted (1:2, 1:10, 1:50, 1:100 v/v) by  
203 adding fresh CM.

204 Fibroblasts was seeded at a density of  $1.0 \times 10^5$  cells/well into a 96-well plate and incubated  
205 (37°C and 5% CO<sub>2</sub>) for 24 h in order to reach semi-confluence. After the medium had been  
206 replaced with the extracts, as such or diluted, the cells were incubated for a further 24 h; CM was  
207 used as a reference. After incubation, an MTT assay was performed. Briefly, extracts and reference  
208 were removed from the 96-well plate and cell monolayers were washed with Phosphate Buffer  
209 Solution (PBS); subsequently, 50 µl of MTT 7.5 µM in 100 µl of DMEM without phenol red were  
210 added to each well and incubated for 3 h (37°C and 5% CO<sub>2</sub>). Finally, 100 µl of DMSO was added  
211 to each well in order to promote the complete dissolution of formazan crystals, obtained from MTT  
212 dye reduction by mitochondrial dehydrogenases of living cells. The solution absorbance was  
213 measured by means of an iMark® Microplate reader (Bio-Rad Laboratories S.r.l.) at a wavelength  
214 of 570 nm and 690 nm (reference wavelength) after 60 s of mild shaking. Six replicates were  
215 considered for each sample.

216 **2.11. *In-vitro* proliferation test.** The extracts, obtained as previously described at 24 h, were  
217 also investigated for their capability to enhance NHDF proliferation at 1, 3 and 7 days. Cells were  
218 seeded into a 96-well plate at a density of  $2.0 \times 10^4$  cells/50 µL of CM/well and immediately added  
219 with 150 µL extracts diluted 1:2 v/v with fresh CM. CM was used as a reference. Finally, an MTT  
220 test was performed as described in the previous paragraph. Six replicates were considered for each

221 sample. Results were expressed as cell viability % by normalizing the absorbance measured after  
222 contact with each sample with that measured for CM, used as reference. Six replicates were  
223 performed for each sample/control.

## 224 **2.12. *In-vivo* studies**

225 **2.12.1 Evaluation of wound healing-enhancing properties on rat model.** All animal  
226 experiments were carried out as previously described [32] in full compliance with standard  
227 international ethical guidelines (European Parliament 2013) (European Communities Council  
228 Directive 86/609/EEC) approved by the Italian Health Ministry (Italian Health Ministry 2021).  
229 The study protocol was approved by the Local Institutional Ethics Committee of the University of  
230 Pavia for the use of animals.

231 In brief, male rats (Wistar 200–250 g) (n=4) were anesthetized with equitensine (3 mL/kg) and  
232 shaved to remove all hair from the site of injury. Three full-thickness burns were produced on the  
233 animal's back by contact with a brass rod (105°C for 40 s), having a circular diameter of 4 mm.  
234 After 24 h, three 6-mm full-thickness excisional wounds were outlined using a punch biopsy tool  
235 on each animal back. Wounds were photographed with a digital camera and then treated with i)  
236 WPI film (0.32 cm<sup>2</sup>) moistened with 25 µL of saline (NaCl 0.9% w/v), ii) WPZ film (0.32 cm<sup>2</sup>)  
237 moistened with 25 µL of saline and iii) 25 µL of saline as control. Subsequently, each wound was  
238 covered with a sterile gauze and the rat's back was wrapped with a surgery stretch (Safety, Monza,  
239 Italy), to keep films or the physiological solution into the wound bed. At prefixed times after  
240 treatment (3, 7, 14, and 18 days), the three lesions were photographed with a digital camera in  
241 order to monitor wound healing process. The wound healing potential of WPI and WPZ films was  
242 evaluated by wound area retraction (%) using the following equation:

$$243 \text{ Wound area retraction (\%)} = (A_t/A_0) \times 100$$

244 where  $A_0$  is the initial wound area and  $A_t$  is the wound area at different time points; the wound  
245 area was determined using the imaging analysis program ImageJ 2.0.

246 **2.12.2 Histological analysis.** After 18-day treatment, the animals were sacrificed. After  
247 euthanasia, the area around the scar or residual wound was harvested and wound tissue specimens  
248 (wound bed) were immediately immersed in the fixative solution (4% neutral buffered  
249 formaldehyde), embedded in paraffin and sectioned at a thickness of 5  $\mu\text{m}$ . Some sections were  
250 stained with hematoxylin and eosin (H&E), others with picosirius red (PSR).

251 Picosirius polarization method is one of the best understood techniques of collagen  
252 histochemistry and it is particularly useful to reveal the organization and the heterogeneity of  
253 collagen fiber in different connective tissues. Polarizing light assessment of PSR stain identified  
254 the old thick collagen I fibers as orange-to-red and the newly deposited, rich in collagen III fibers,  
255 as green [33,34]. Picosirius red stain was applied as follows: deparaffinized sections were  
256 hydrated, faintly stained with Weigert's hematoxylin for nuclei and stained with PSR (1 hour).  
257 Then all sections were dehydrated, cleared in xylene and mounted with DPX (dibutyl phthalate in  
258 xylene).

259 Stained slices were observed with a light microscope Carl Zeiss Axiophot (Oberkochen,  
260 Germany) provided, for circular polarizing microscopy, with suitable filters in the condenser stage  
261 and in the microscope tube. Images were recorded through a microscope digital 5 megapixels CCD  
262 camera Nikon DS - Fi2.

263 **2.12. Statistical analysis.** Whenever possible, experimental data were subjected to statistical  
264 analysis, carried out by means of the statistical package Statgraphics 5.0 (Statistical Graphics  
265 Corporation, Rockville, Maryland, USA). In particular, one-way ANOVA was carried out  
266 followed by a Multiple Range Test.

### 267 **3. RESULTS AND DISCUSSION**

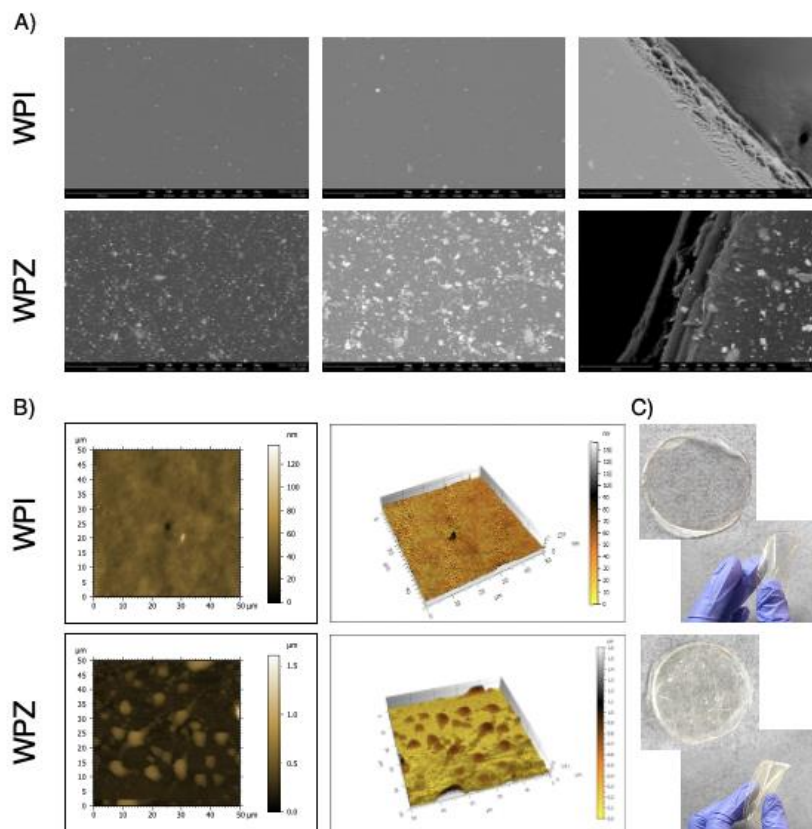
268 Whey Protein Isolate-based films, endowed with optimal barrier properties and the capability to  
269 load various functional agents (i.e. antimicrobials and prebiotics/probiotics), have increasingly  
270 attracted the attention of the scientific community, sometimes outperforming polysaccharide- and  
271 other protein-based films [22]. In recent years, the use of biopolymers, obtained from renewable  
272 sources or industrial by-products, in the manufacturing of flexible and edible films for food  
273 packaging has proved to be an environmentally friendly and sustainable strategy to replace  
274 petroleum-based packaging materials [35]. Besides the well-known whey proteins nutritional  
275 value, the numerous health benefits of WPI encourage researchers to develop new WPI-based  
276 therapeutic platforms intended for different biomedical purposes [18, 36]. In particular, WPI  
277 appears to be a valuable candidate for the production of dressings for wound healing applications  
278 [37]. It contains a high level of amino acids, both essential and non-essential ones, which are  
279 involved in protein synthesis, and it is a rich source of glutamine required to support cellular  
280 growth and proliferation [38]. Moreover, the addition of nZnO into WPI-based films, as proposed  
281 in our previous work [25], could appear useful for treating skin wounds; in fact, it is reported in  
282 the literature that ZnO nanoparticles have been successfully used in wound dressings thanks to  
283 their strong antimicrobial properties following cutaneous application and their epithelialization-  
284 stimulating effect [11,39].

285 In the present work, a biopharmaceutical characterization of the developed WPI and WPI-nZnO  
286 bionanocomposite-based films was performed both *in vitro* and *in vivo* to investigate film  
287 properties that are relevant for clinical applications in wound healing.

### 288 **3.1. Microstructural morphology and surface topography**

289 Figure 2A reports SEM micrographs of WPI and WPZ films. WPI film was characterized by a  
290 smooth, compact and homogeneous surface, as opposed to WPZ bionanocomposite film that  
291 showed a rough surface with evenly distributed nZnO (average particle size equal to 32 nm and

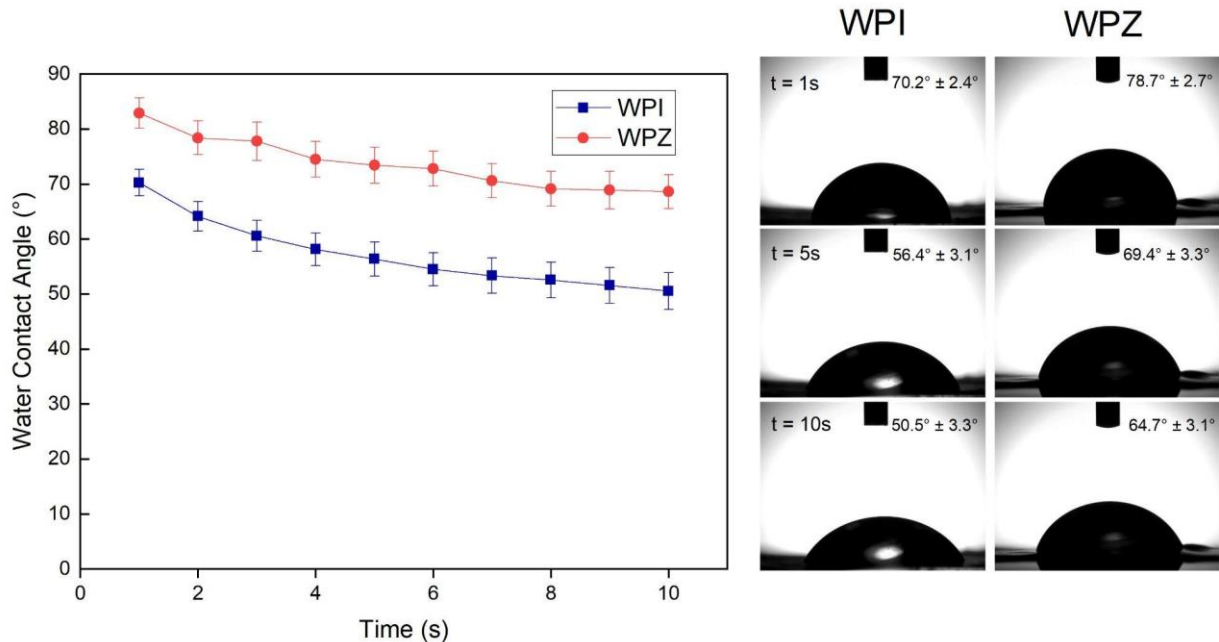
292 specific surface area of approximately  $47.3 \text{ m}^2/\text{g}$ ). SEM micrographs highlight the presence of  
 293 some aggregates of nZnO, which appear as shiny spots within WPZ film structure [40]. The  
 294 formation of irregular nZnO aggregates is probably due to the high nanoparticle surface energy  
 295 and occurs during solvent evaporation [25, 41, 42]. The topography of film surface was also  
 296 investigated by AFM and results, as 2D and 3D images, are also shown in Figure 2B. Some  
 297 aggregation of nZnO is responsible for an increase in roughness with the creation of deep valleys  
 298 and high peaks; these results confirm SEM microstructural observations.  $S_a$  expresses, as an  
 299 absolute value, the difference in height of each point compared to the arithmetical mean of the  
 300 surface and, thus, is generally used to quantitatively define the surface roughness.  $S_a$  was 3.40 nm  
 301 for WPI film and 79.4 nm for WPZ film, proving the presence of nZnO within biopolymer-based  
 302 structure. Figure 2C shows the macroscopic appearance of WPI and WPZ films, endowed with an  
 303 optimal flexibility and, thus, suitable for topical application on skin wounds.



304

305 **Figure 2.** SEM micrographs (A) and 2D and 3D AFM images (B) of WPI and WPZ films. C)  
306 Macroscopic appearance of WPI and WPZ films.

307 **3.1. Water Contact Angle.** The surface wettability of whey proteins-based films, in presence  
308 and in absence of nZnO, was evaluated by means of water contact angle (WCA) analysis. The  
309 results of the WCA measurements are shown in Figure 3.

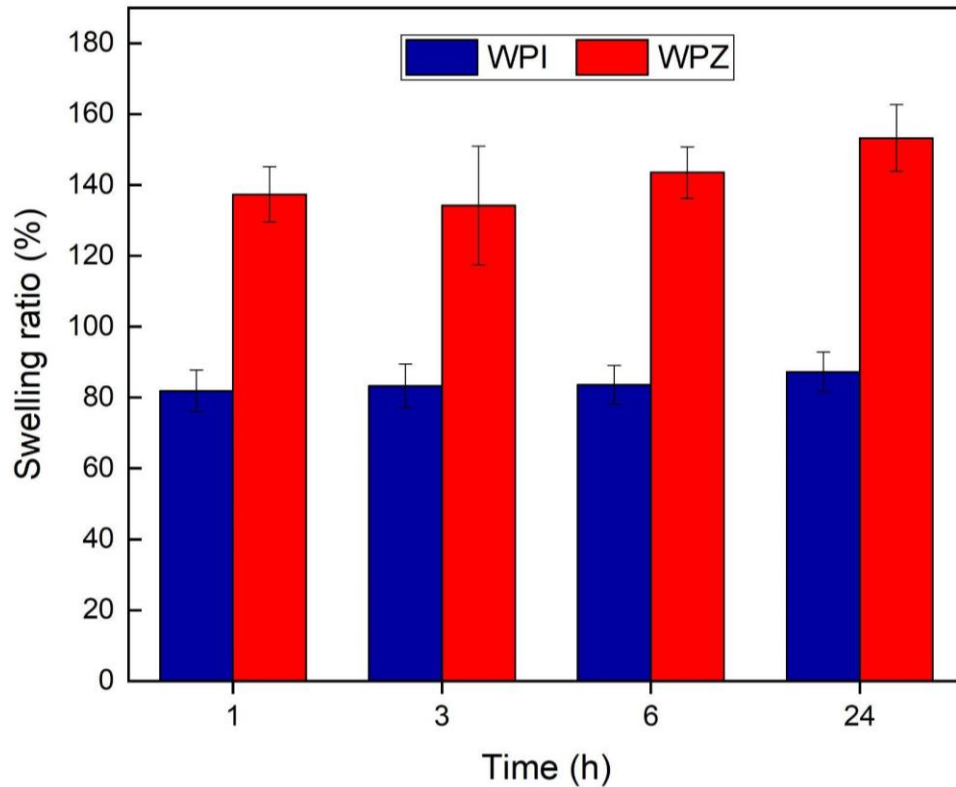


310  
311 **Figure 3.** WCA values of WPI and WPZ films up to 10 s (mean values ± S.D.; n = 3). Images of  
312 the drop at different times (1, 5, and 10 s) are reported for WPI and WPZ films.

313 As shown by the WCA values lower than 90°, both samples are hydrophilic. The addition of  
314 nZnO causes a significant increase in WCA values (p-value < 0.05) in the time interval under  
315 investigation. Such behavior is frequently observed for other biopolymer/nZnO nanocomposites  
316 described in the literature [39, 43-45]. The reduced wettability of WPZ film can be related to both  
317 the hydrophobic nature of nZnO incorporated into the biopolymer-based film and the increase in  
318 WPZ surface roughness, appreciated in SEM and AFM images (Figure 2); in fact, it is well-known  
319 that roughness makes hydrophobic surfaces more hydrophobic [46].

320 Moreover, Figure 3 shows that the value of WCA decreases over time in both samples. Thanks  
321 to the overall good water affinity of the bionanocomposite, the droplet tends to spread across the  
322 surface of the films, thus determining a decrease of WCA. After 10 s, the surface of both samples  
323 starts deforming due to the absorption of the liquid droplet, which prevents longer-duration  
324 measurements of the contact angle. WPI films were characterized by an initial WCA value equal  
325 to  $70.2^\circ \pm 2.4^\circ$  that decreases to  $50.5^\circ \pm 3.3^\circ$  after 10 s. In WPZ film, WCA has an initial value of  
326  $78.7^\circ \pm 2.7^\circ$ , which goes down to  $64.7^\circ \pm 3.1^\circ$  after 10 s. The results obtained are in line with the  
327 literature since, generally, an ideal wound dressing is characterized by WCA value in the range of  
328  $50\text{-}70^\circ$ . Hydrophilicity is obviously a fundamental property for primary dressings, i.e., those  
329 dressings that are placed in direct contact with the wound, in order to ensure exudate absorption  
330 and good adhesion to the site. Hydrophilic dressings ensure support and protection to the wound,  
331 reducing possible complications and accelerating the healing process [47,48].

332 **3.2 Hydration test.** The swelling ratio (SR) values were calculated for WPI and WPZ films  
333 upon 24 h (Figure 4), allowing a quantitative evaluation of the ability of the film to absorb a  
334 medium-simulating wound exudate (PBS) over time.



335

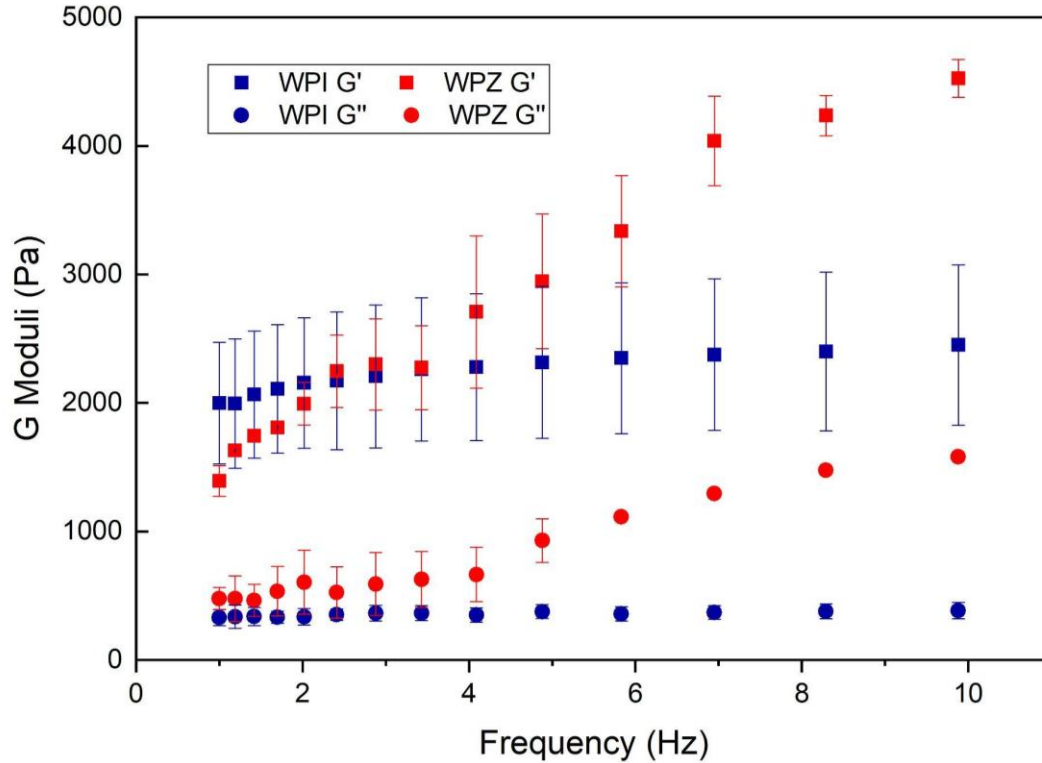
336 **Figure 4.** Variation in time of the swelling ratio % of WPI and WPZ films (mean values  $\pm$  S.D.; n  
 337 = 6).

338 It can be noticed that WPZ samples showed higher (more than 1.5 times) SR values than WPI  
 339 films. This is in agreement with previous studies [25] as well as with other scientific reports [48-  
 340 50]. The increased SR value is attributed to the electrostatically charged surface of nZnO, which  
 341 attracts ions in the PBS inducing a build-up of osmotic pressure inside the WPI matrix, in tum  
 342 causing a higher PBS uptake.

343 Fluid uptake capability is an important parameter to be investigated for elucidating the film  
 344 behavior after application at the injury site [26]. Indeed, a wound dressing should preserve a moist  
 345 wound environment without enabling the accumulation of exudate, which could impair the healing  
 346 process and be responsible for the maceration of the healthy surrounding tissue [51,52]. Generally,  
 347 an ideal wound dressing must be characterized by SR values ranging between 100-900% [51,52].

348 Water uptake is rapid and occurs within the first 30 minutes, and then remains relatively stable  
349 or slightly increasing throughout the whole experiment. For WPI films, SR is  $81.88\% \pm 5.85\%$   
350 after the first hour and becomes  $87.25\% \pm 5.52\%$  after 24 h. In WPZ, SR has an initial value of  
351  $137.36\% \pm 7.83\%$ , which goes up to  $153.26\% \pm 9.38\%$  after 24 h. This is an important feature for  
352 wound dressings, as it demonstrates film stability for a prolonged time. It is worth noting that the  
353 observed SR values are within the range reported in the literature as optimal [53,54].

354 **3.3 Viscoelastic Properties after Hydration.** The amount of PBS absorbed by both films  
355 reached an equilibrium without compromising the film structural integrity. After 24 h of hydration,  
356 WPI and WPZ films were subjected to viscoelastic measurements. As shown in Figure 5, both  
357 samples were characterized by higher  $G'$  (storage elastic modulus) values than  $G''$  (loss viscous  
358 modulus) ones in the whole range of frequencies considered. Such behavior is highly desirable for  
359 a wound dressing: once hydrated, both films, endowed with marked elastic properties compared  
360 to viscous ones, are able to recover the deformation caused by external shear stresses and, thus, to  
361 effectively protect the injured area [51,55]. The presence of nZnO is responsible for higher  $G'$  and  
362  $G''$  values, in particular for frequencies higher than 7 Hz. Moreover, it can be observed that in  
363 presence of nZnO both  $G'$  and  $G''$  are characterized by a higher dependence on frequency; this  
364 could be due to the fact that the inclusion of nZnO may disturb the WPI chain network, restricting  
365 polymer chain mobility and, thus, resulting in an enhancement of elasticity [56].

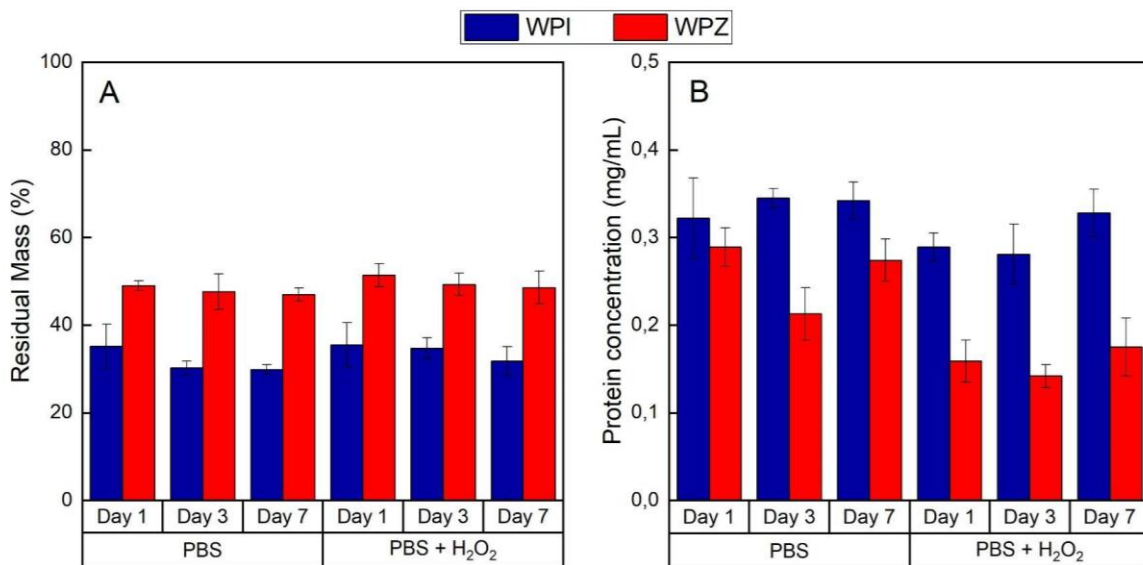


366  
 367 **Figure 5.** Storage ( $G'$ ) and loss ( $G''$ ) moduli vs. frequency profiles of WPI and WPZ films upon  
 368 24 h-hydration in PBS (mean values  $\pm$  S.D.;  $n = 3$ ).

369 **3.4 Degradation test.** The degradation rates of WPI and WPZ films over 7 days are shown in  
 370 Figure 6. After 1, 3 and 7 days, sample degradation was evaluated in terms of (i) film residual  
 371 mass (Figure 6A) and (ii) protein concentration dissolved in the degradation media (Figure 6B).  
 372 Two different degradation media were considered: PBS (pH = 7.4), mimicking wound exudate,  
 373 and PBS added with  $H_2O_2$  to simulate the oxidative stress in the early inflammatory phase of the  
 374 healing process [57,58].

375 The majority of the degradation occurs within the first day, while the further permanence of the  
 376 samples in the medium (until 7 days) produced no significant additional mass loss (Figure 6A). It  
 377 can therefore be hypothesized that the large mass loss occurring at the beginning is linked to the  
 378 out-diffusion of weakly bonded molecules, such as glycerol, which are commonly present in WPI,  
 379 as well as proteins that have not taken part in the formation of the film. After 7 days, what remains

380 is the robust intermolecular network composed of WPI proteins held together by more hydrophobic  
 381 interactions and disulfide bridges [59]. The addition of H<sub>2</sub>O<sub>2</sub> to PBS did not accelerate the  
 382 degradation process, suggesting that both WPI and WPZ films are resistant to these oxidative  
 383 conditions. These results are reflected by the protein concentration assessed in the degradation  
 384 media, where higher protein content is observed in correspondence of those samples where lower  
 385 residual mass was reported (Figure 6B).



386  
 387 **Figure 6.** WPI and WPZ film degradation after 1, 3 and 7 days in two different media - PBS and  
 388 PBS added with H<sub>2</sub>O<sub>2</sub> - expressed in terms of (A) residual film mass and (B) concentration of  
 389 proteins released in the medium (mean values ± S.E.; n = 3).

390 In order to increase film degradation time, the combination of proteins with polysaccharides  
 391 might be a promising, thanks to the interactions between the two molecules [60].

392 As far as the effect of nZnO on the film stability is concerned, a few considerations can be made.  
 393 The excess of residual mass measured for WPZ samples with respect to WPI ones (Figure 6A)  
 394 appears systematically higher than the mass of nZnO alone in the samples, which would be equal  
 395 to 4% of the dry mass in the conservative assumption that all the nZnO was retained inside the

396 matrix during degradation. These results strongly suggest that the presence of nZnO somehow  
397 limits the degradation of the film. This can be explained considering that nZnO stabilizes the  
398 matrix through its interactions with the whey proteins, preventing or slowing down their diffusion  
399 and dissolution in the medium [44, 61,62].

400 **3.5 Antimicrobial test.** The microbicidal effect (ME) of WPZ was evaluated on two different  
401 bacterial strains (*S. aureus* and *E. coli*); WPI film was used as a control reference. For both the  
402 microorganisms considered, WPZ film showed a microbicidal effect higher than WPI film; in  
403 particular, a marked antimicrobial effect of WPZ film was observed on *E. coli*.

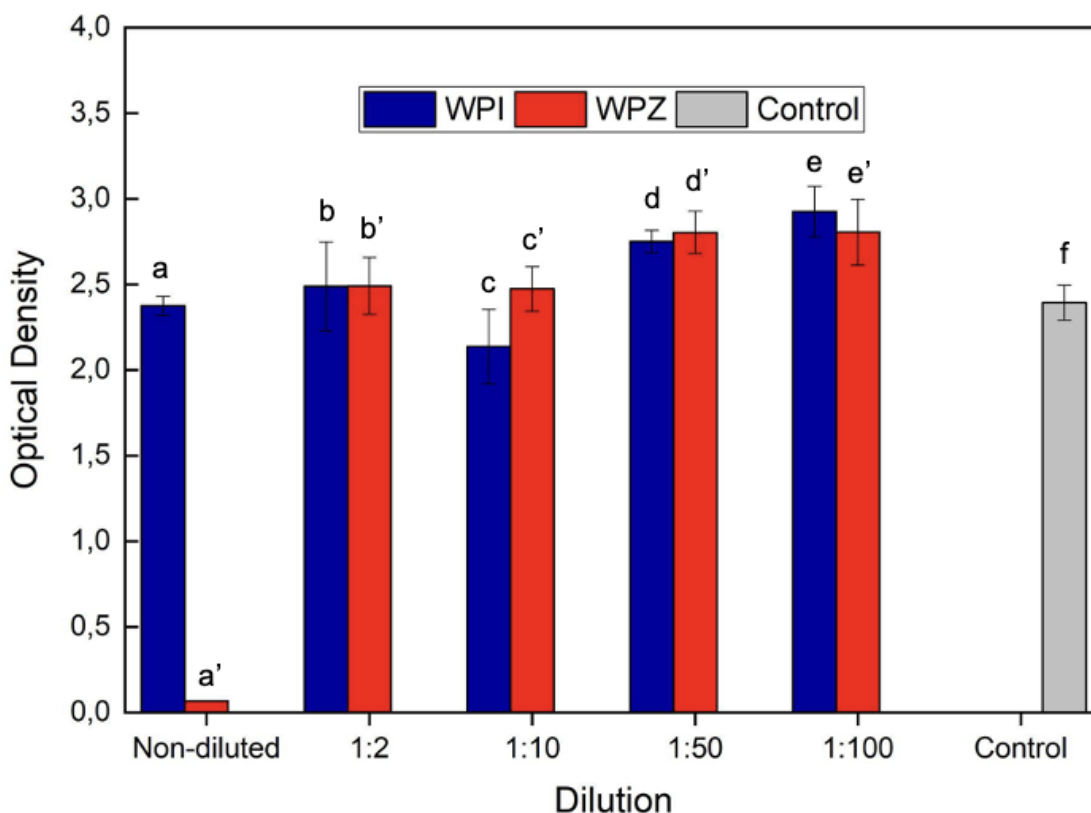
404 **Table 1.** Microbicidal effect (ME) of WPZ after 6 and 24 h incubation with *S. aureus* and *E. coli*;  
405 WPI was used as control (CV % < 12%; n = 3).

	<b>6 hours</b>	<b>24 hours</b>
<i>S. aureus</i>	0.67	1.30
<i>E. coli</i>	2.85	4.94

406  
407 These results reported in Table 1 confirm the well-known antibacterial properties of nZnO, but  
408 some interesting pieces of evidence emerge. In particular, a stronger effect against the Gram-  
409 negative was observed. The greater resistance of the Gram-positive to nZnO was attributable to  
410 their thicker peptidoglycan layer [12].

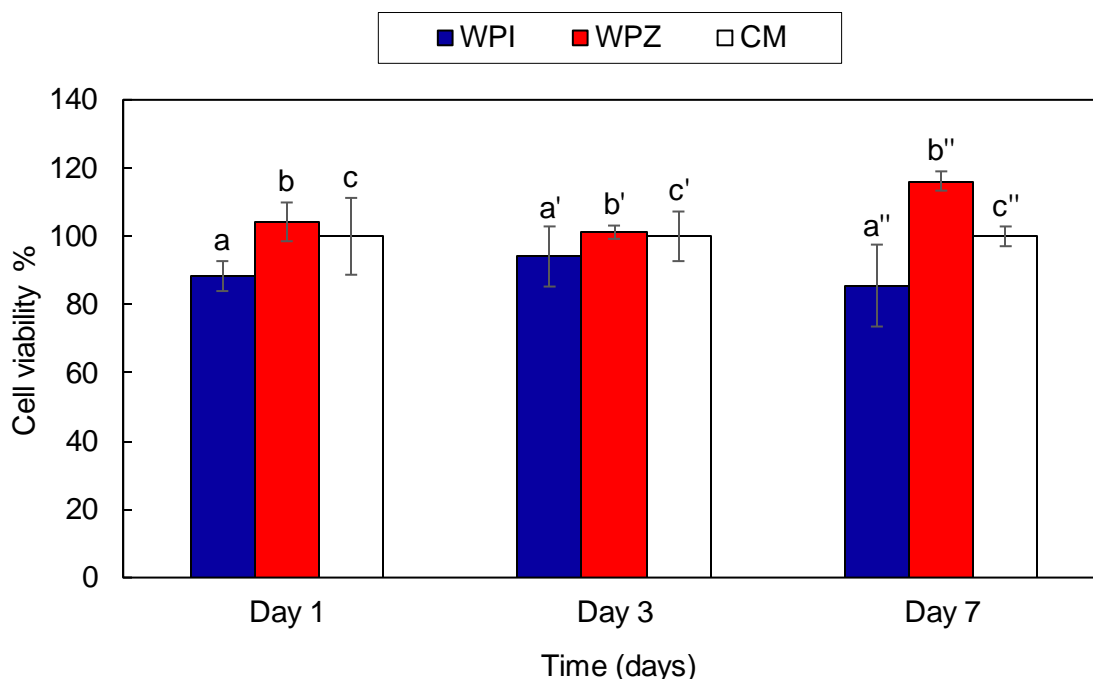
411 **3.6 In-vitro cytocompatibility test.** Film cytocompatibility was investigated by treating cells  
412 with the media in which WPI or WPZ films were soaked for 24 h at 37°C; such media were called  
413 film extracts. The results of the MTT assay carried on NHDF cells after 24 h incubation with film  
414 extracts are shown in Figure 7. All the film extracts were cytocompatible except for the non-diluted  
415 WPZ extract, as shown by the absorbance values reported in the graph. A very high concentration

416 of nZnO in the medium might in fact be detrimental for cells, as pointed out in several studies that  
 417 raised concerns about the toxicity of metal oxide nanoparticles [63]. For ZnO nanoparticles, the  
 418 release of Zn<sup>2+</sup> ions has been considered the main toxicity mechanism on mammal cells, followed  
 419 by the oxidative stress exerted by reactive oxygen species [15, 63]. However, cell viability is  
 420 immediately restored with a 1:2 dilution and is preserved for all the subsequent dilutions. In fact,  
 421 while toxic effects might arise at high concentrations, optimal amounts might allow to leverage  
 422 the positive impact of zinc on the immune system and wound healing [11, 64, 65].



423  
 424 **Figure 7.** Optical density values after 24 h incubation of NHDF cells with WPI and WPZ extracts,  
 425 undiluted and diluted 1:2, 1:10, 1:50, 1:100 v/v in CM. Complete culture medium (CM) was  
 426 considered as control (mean values  $\pm$  S.E.; n = 6). ANOVA one-way – Multiple Range Test (p-  
 427 value  $\leq$  0.05): a vs a', e; c vs d, e; e vs f; a' vs b', c', d', e', f.

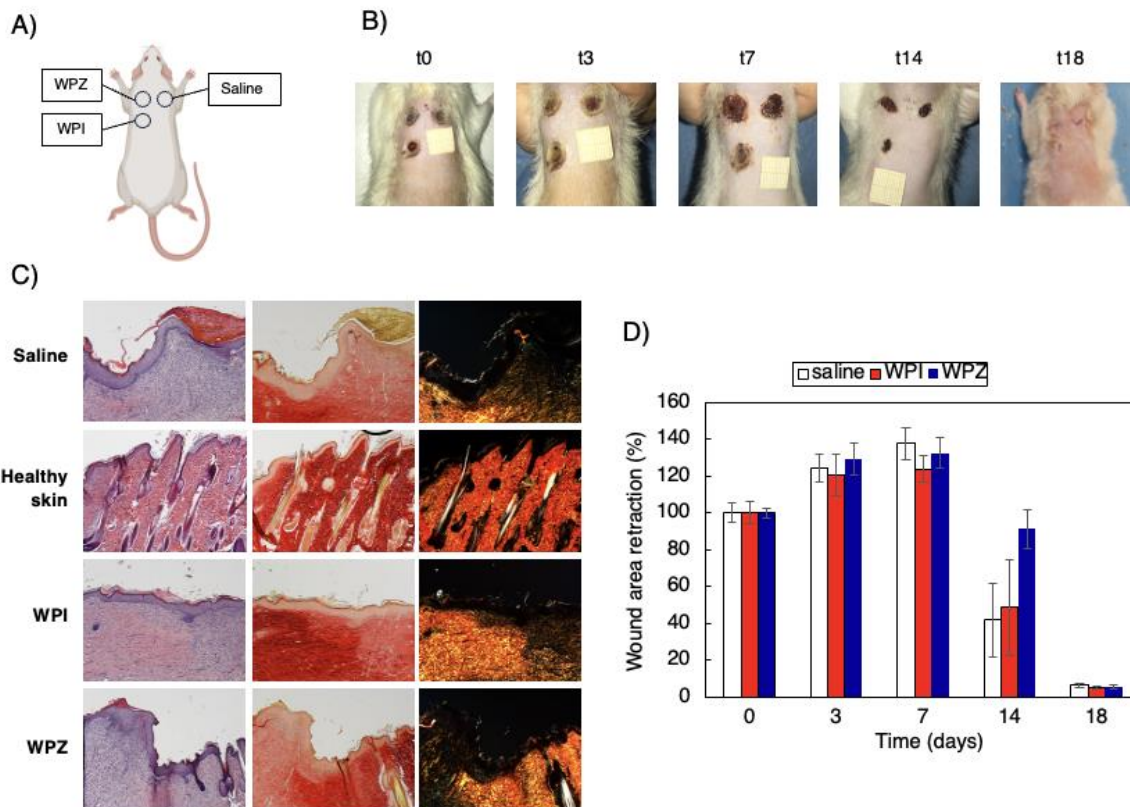
428 **3.7 *In-vitro* proliferation test.** Figure 8 shows the results of the MTT test performed after the  
 429 *in-vitro* proliferation test carried on NHDF cells seeded using WPI and WPZ extracts, obtained  
 430 after 1, 3 and 7 days and diluted 1:2 v/v in CM, as culture medium. WPZ induced a significantly  
 431 higher proliferation compared to WPI and the control after 7 days of culture. This result, in  
 432 accordance with the experimental evidence shown above (Figure 7), reveals the possible role of  
 433  $Zn^{2+}$  ions in fibroblast proliferation [14].



434  
 435 **Figure 8.** Viability % values after 1, 3 and 7 days of proliferation of NHDF cells in WPI and WPZ  
 436 extracts, diluted 1:2 v/v in CM. Complete culture medium (CM) was considered as control (mean  
 437 values  $\pm$  S.E.; n = 6). ANOVA one-way – Multiple Range Test (p-value  $\leq$  0.05): a vs b; a'' vs b'';  
 438 b'' vs c''; b vs b''; b' vs b''.

439 **3.8 *In-vivo* wound healing test.** As it can be seen in Figure 9C, WPI and WPZ treated wounds  
 440 show signs of the proliferative phase of healing, with a number of blood vessels and a residual area  
 441 of granulation tissue (a mixture of proliferating capillaries, fibroblast and inflammatory cells in a

442 loose edematous extracellular matrix). The entire surface of the lesion treated with the films was  
443 covered with new epithelium, fully restored in multiple layers of cells and with a fair degree of  
444 keratinization. Skin appendages such as hair follicles and glands were reforming. According to  
445 PSR staining, collagen was laid down and remodeled in an appropriate orientation to withstand  
446 the tensile stresses placed on the area of repair, suggesting a maturation and remodeling phase of  
447 the healing process [37,38,66]. A continuous collagen layer, rich in orange-to-red fibers, with a  
448 pattern similar to that of healthy skin, can be observed for WPI and WPZ treated wounds. Figure  
449 9C highlights that the regeneration process is accelerated in wounds treated with whey proteins-  
450 based films with respect to saline. These results indicate that both WPI and WPZ films can be used  
451 as effective wound dressing nonetheless, as they ensure a regular healing process while providing  
452 effective protection from infections. After 18-day treatment, wound closure with completely  
453 regenerated epithelium was assessed in each sample (Figure 9B and D). No WPI or WPZ films  
454 nor portions of them could be observed, indicating a complete film biodegradation. This result  
455 suggests that the *in-vivo* degradation process of all the three matrices was suitable for the complete  
456 repair and regeneration of the injured skin tissue.



457  
 458 **Figure 9.** (A) *In vivo* wound healing model (murine burn/excisional model); (B) Photographical  
 459 representation of wound healing in rats exposed to treatments on days (0, 3, 7, 14 and 18 days);  
 460 (C) H&E (left panel) and PSR (central panel – with bright field images; right panel – with polarized  
 461 light) sections of lesion treated with saline solution as negative control, healthy skin, lesion treated  
 462 with WPI film and lesion treated with WPZ film. Original magnification: Original magnification:  
 463 5×. Each micrograph frame has a width of 1780 μm. Orange-to-red collagen fibers are visible in  
 464 right panel. (D) Wound area retraction % vs. time obtained during the treatments (mean values ±  
 465 S.E.; n = 4).

#### 466 4. CONCLUSIONS

467 Wound healing and antimicrobial resistance are serious issues for global health. Elderly  
 468 population is at high risk of developing chronic wounds due to the high prevalence of chronic

469 conditions, such as cardiovascular disease and diabetes, impaired mobility, incontinence, low  
470 weight, poor nutritional status, and cognitive impairment. Such chronic wounds are generally  
471 susceptible to hard-to-treat infections. Finding new wound dressings is imperative to alleviate  
472 these burdens and to improve the quality of life of millions of people. Since whey proteins are by-  
473 products of the dairy industry with well-known beneficial properties, they can be considered a  
474 valuable biomaterial candidate for the development of affordable and sustainable systems for  
475 tissue regeneration. Given these premises, the present work aimed: i) to prove the potential of WPI  
476 films as novel wound dressings; ii) to evaluate if the addition of nZnO in the whey proteins matrix  
477 provides antibacterial properties; iii) to investigate if the combination of WPI with nZnO in WPZ  
478 films synergically enhances wound healing. To achieve these aims, WPI and WPZ films have been  
479 subjected to a deep functional and biopharmaceutical characterization that showed how these films  
480 have wettability, hydration, degradation and rheological properties functional to their use as wound  
481 dressings. Such films were also characterized by cytocompatibility, fibroblast proliferation-  
482 enhancing properties and antibacterial activity. *In-vivo* studies confirmed their effectiveness to  
483 promote wound healing.

484 Further studies will be focused on the production of wound dressings based on novel  
485 biomaterials, consisting in complexes between whey proteins and polysaccharides, characterized  
486 by optimal biodegradability, ease workability and improved wound healing potential. Continuous  
487 manufacturing procedures, such as electrospinning, spray-drying and 3D-printing, will be  
488 considered to obtain fibrous membranes, microparticles and films, respectively, with a very low  
489 environmental impact.

490

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##### 504 **Author Contributions**

505 The manuscript was written through contributions of all authors. All authors have given approval  
506 to the final version of the manuscript.

##### 507 **ABBREVIATIONS**

508 WPI, Whey Protein Isolate; nZnO, nanostructured ZnO; ROS, Reactive Oxygen Species; WCA,

509 Water Contact Angle; PBS, Phosphate Buffer Solution; SR, Swelling Ratio; LVR, Linear

510 Viscoelastic Region; R, Residual mass; OD, Optical Density; CFU, Colony Forming Units; ME,

511 Microbial Effect; NHDF, Normal Human Dermal Fibroblasts; H&E, Hematoxylin and Eosin;

512 PSR, Picrosirius Red.

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