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Underground Hydrogen Storage Safety: Experimental Study of Hydrogen Diffusion through Caprocks

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Abstract: Underground Hydrogen Storage (UHS) provides a large-scale and safe solution to balance the fluctuations in energy production from renewable sources and energy consumption but requires a proper and detailed characterization of the candidate reservoirs. The scope of this study was to estimate the hydrogen diffusion coefficient for real caprock samples from two natural gas storage reservoirs that are candidates for underground hydrogen storage. A significant number of adsorption/desorption tests were carried out using a Dynamic Gravimetric Vapor/Gas Sorption System. A total of 15 samples were tested at the reservoir temperature of 45 °C and using both hydrogen and methane. For each sample, two tests were performed with the same gas. Each test included four partial pressure steps of sorption alternated with desorption. After applying overshooting and buoyancy corrections, the data were then interpreted using the early time approximation of the solution to the diffusion equation. Each interpretable partial pressure step provided a value of the diffusion coefficient. In total, more than 90 estimations of the diffusion coefficient out of 120 partial pressure steps were available, allowing a thorough comparison between the diffusion of hydrogen and methane: hydrogen in the range of 1×10^{-10} m²/s to 6×10^{-8} m²/s and methane in the range of 9×10^{-10} m²/s to 2×10^{-8} m²/s. The diffusion coefficients measured on wet samples are 2 times lower compared to those measured on dry samples. Hysteresis in hydrogen adsorption/desorption was also observed.

Keywords: diffusion; caprock; underground hydrogen storage; dynamic vapor sorption vacuum

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1. Introduction

The transition to green and renewable energy is at the forefront of various energy policies. Electric energy production from renewable sources is governed by the availability of the individual energy source, i.e., wind or solar radiation. Natural fluctuations in their availability can lead to energy shortages if the share of renewable sources in the total energy production is significant. One possibility to mitigate these shortages is Power-to-Gas technology (P2G), which consists of converting electrical power into gaseous fuel [1]. Most P2G systems use electrolysis to produce hydrogen, which can be stored for a certain period and retrieved when necessary.

Currently, hydrogen is generally stored as a gas in very high-pressure vessels or in liquid form at very low temperatures in heavily insulated vessels [2]. Geological storage in underground structures, such as depleted natural gas/oil reservoirs, can provide a large-scale storage capacity. Moreover, underground storage is safer than above-ground storage tanks due to the absence of contact with atmospheric oxygen (a mixture of hydrogen and air is explosive in a very broad range, from 4% to 74% [3]). These are the reasons why underground hydrogen storage (UHS) has recently been given serious consideration [4,5].

UHS is similar to the underground storage of natural gas and most of the past and ongoing underground hydrogen projects use the experiences gained from underground natural gas storage over more than a century of successful operations (it was 1915 when the first depleted gas reservoir was converted into storage for natural gas [6]). Assessment of reservoir storativity, well injectivity and deliverability, and induced geomechanical stresses and strains are required to store fluids underground. The caprock integrity, potential subsidence and uplift of the ground level, and possible microseismicity do not depend on the type of fluid, so the established methodologies can be used. Conversely, significant differences exist between hydrogen and natural gas both in physical properties (i.e., lower viscosity, lower molecular dimension) and chemical properties (higher chemical reactivity). Thus, some additional investigations are needed to assess the technical feasibility and safety concerning caprock tightness and diffusivity, interactions with the reservoir rocks, potential subsequent changes in the storage properties, reactions with microbial communities, and compatibility with materials [5].

In this paper, we do not aim to demonstrate the overall feasibility of hydrogen storage, which requires not only the mandatory assessments for any fluid storage (recalled above) but also substantial laboratory work and multidisciplinary studies as it is emerging from the recent scientific literature [4,7–13]. Here, we focus on the evaluation of possible hydrogen diffusion through the caprock. To this end, the diffusion coefficient of hydrogen through the caprock has to be evaluated. Caprocks are typically characterized by the presence of abundant clay minerals. According to the technical literature, hydrogen diffusivity mainly takes place in the brine saturating the caprock [14,15]. The gas concentration in water is limited by the maximum solubility, which is very low for hydrogen compared to methane. In contrast, the diffusion ability of hydrogen is larger (Table 1).

Table 1. Solubility and diffusivity in pure water [16,17].

Gas	Solubility in Water (g/kg)		Diffusivity in Water ($\times 10^{-9}$ m ² /s)		Diffusivity in Air ($\times 10^{-6}$ m ² /s)	
	@ 20 °C, 1 atm	@ 60 °C, 1 atm	@ 20 °C, 1 atm	@ 60 °C, 1 atm	@ 20 °C, 1 atm	@ 100 °C, 1 atm
CH ₄	0.023	0.007	1.62	6.7	0.21	0.321
H ₂	0.0016	0.0012	4.58	13.1	0.756	1.1536

Gas diffusion in rocks is dominated by the diffusion of gas through the fluid saturating the pores (D_f) and also by the pore structure. The effective diffusivity coefficient (D_e) expresses the dependence of diffusion on the pore structure in terms of the tortuosity (τ), porosity (ϕ), and constriction factor (c) [18]:

$$D_e = \frac{D_f \phi c}{\tau^2}. \quad (1)$$

The effective diffusion coefficient of the gas in clay mineral formations has been widely studied over the past few decades. Many literature measurements are related to formations for nuclear waste repository projects, such as Boom clay, Opalinus clay, and Callovo-Oxfordian clay, which are characterized by depths of a few hundred meters (Table 2). Though some experiments have been conducted to explore the potential impacts

of CO₂ diffusion through the impermeable caprocks for CO₂ geological sequestration [19], the work on hydrogen diffusion in caprocks is relatively limited [20].

A summary of the laboratory assessment of the diffusion coefficient for hydrogen on clay rocks available in the technical literature is given in Table 2. Measurements at ambient conditions have been performed both on water-saturated samples [15,21–23] and dry samples [24]. Diffusion at underground storage conditions remains poorly studied [25], along with measurements on actual caprock samples of potential storage sites [26]. Most of the available hydrogen diffusion analyses are thus not fully representative of a caprock for deep hydrogen storage, either for the nature of the analyzed samples (synthetic or dry or shallow depth) or for the test conditions that do not match the geological ones of a deep hydrogen storage site. This aspect is more critical for the temperature. Underground temperature conditions could have a significant impact on the diffusivity coefficient; increasing the temperature reinforces the diffusion of confined hydrogen moderately [20]. Theoretical values of the free molecular diffusion coefficient (D) for gases show a direct proportionality with temperature (T) and an inverse proportionality with pressure (p) [27]:

$$D = D_0(T/T_0)^\alpha p_0/p, \quad (2)$$

where D_0 is the free diffusion coefficient at T_0 and p_0 . Inverse correlations between the diffusion coefficients and pressures were also experimentally observed for the diffusion of He in rocks [28], CH₄ in rocks [29], CH₄ in coal [30], and H₂ in clay [24]. Thus, values of the diffusivity coefficient at an ambient pressure should represent an overestimation of the diffusivity at the reservoir pressure.

The scope of this study is to estimate the effective diffusion coefficient (D_e) of hydrogen through real caprock samples from natural gas storage reservoirs and compare it with methane. Furthermore, experiments were conducted at the reservoir temperature. Adsorbed gas measurements under dynamic isothermal conditions at low pressure are performed with a Dynamic Gravimetric Vapor/Gas Sorption System (DVS Vacuum) based on a microbalance. During multiple adsorption/desorption cycles, the changes in the sample mass are recorded by a high-resolution microbalance. The diffusivity coefficient is then estimated from dynamic mass data, according to the solution of the diffusion equation first employed by [31].

The current paper provides a thorough analysis and characterization of the diffusion coefficient for real caprocks, based on a significant number of tests and corresponding data. Several samples extracted from cores of two different caprocks were tested. Employing a rock sample rather than using a powdered sample [32,33] provides measured sorption properties that are more representative of the shale matrix [34,35]. Both dry and non-dry samples were analyzed to verify the impact of the presence of water, which may significantly decrease the gas diffusivity in the sealing rock [36]. Each experiment was carried out twice to minimize the uncertainty associated with measurements. Each experiment consisted of four sorption steps, characterized by different partial pressures ($P_p = 20\%, 40\%, 60\%$, and 80% of 740 Torr), alternated to desorption steps (at $P_p = 1\%$ of 740 Torr; a vacuum was avoided to decrease overshooting between different steps). Measurements were performed both with H₂ 100% and with CH₄ 100%. A “blank test” was associated with each experiment to provide suitable data for the correction of overshooting effects. The blank test consisted of repeating each test without the rock sample in the pan (i.e., the sample holder) to isolate the variation in the measured mass due to the chamber filling or emptying operations. Experiments were conducted at a pressure approximating the ambient pressure (i.e., aforementioned pressure steps up to 740 Torr) and a temperature (45 °C) representative of the reservoir under analysis, which is about 1500 m deep. The adopted experimental setup did not allow for the reproduction of the reservoir pressure conditions. However, as previously discussed, the diffusion coefficient for gasses shows an inverse proportionality with pressure; thus, the obtained estimates are to be treated as conservative.

Table 2. Summary of laboratory assessment of gasses diffusion on clay rocks in the technical literature.

	Formation	Depth	Porosity	Hydr. Conduct./Permeability	Dry/wet	Pressure and Temperature	Method	Gas Used	Values
[37]	Boom clay	200÷300 m	36÷43%	-	Saturated	T: 25 °C p: up to 5 bar	In- and through-diffusion tests	H ₂	4.2×10^{-12} m ² /s to 1.6×10^{-10} m ² /s -
[38]	Callovo-Oxfordian clay	430÷550 m	23%	$K = 10 \times 10^{-22}$ m ²	Saturated	T: 30 to 80 °C p: 10 ÷ 50 bar	Through-diffusion method Helium leak detection using mass spectrometry	He	2×10^{-12} m ² /s
[24]	Callovo-Oxfordian clay	~500 m	13÷15%	$K \sim 10 \times 10^{-22}$ m ²	Dry	T: Ambient p: 1.5 ÷ 4 bar	Water vapor sorption isotherm [39]	Water vapor	1×10^{-8} m ² /s
[22] (data reported on [23,40])	Boom clay	200÷300 m	31÷45%	-	Saturated	T: 25 °C p: ambient	In-diffusion and through-diffusion experiments [38]	H ₂	3.0×10^{-11} m ² /s
[21]	Boom clay	200÷300 m	31÷45%	-	Saturated	T: 25 °C	In diffusion and through-diffusion experiments [38]	H ₂	5×10^{-12} m ² /s to 4×10^{-10} m ² /s
[36]	Callovo-Oxfordian clay	430÷550 m	-	-	Dry	T: 90 and 120 °C p: 0.45 bar	Through diffusion	H ₂	1.4×10^{-7} m ² /s
[40]	Callovo-Oxfordian clay	430÷550 m	-	-	Wet	-	-	H ₂	1.1×10^{-11} m ² /s
[41]	Boom clay	200÷300 m	37%	3.3×10^{-12} m/s	Saturated	T: 21 ± 2 °C p ~10 bar	Through diffusion	Ne, Ar	Ne: 5.1×10^{-10} m ² /s Ar: $2 \pm 0.1 \times 10^{-10}$ m ² /s
	Opalinus clay	~300 m	12%	1.8×10^{-13} m/s	Saturated	T: 21 ± 2 °C p ~10 bar	Through diffusion	He	He: 5.4×10^{-10} m ² /s
[23]	Opalinus clay	~300 m	17÷19%	3×10^{-12} m/s	Water content: 7%	T: 15 ÷ 16 °C p: 1.5 bar	Gas circulation module and a water sampling module [42]	Mixture of H ₂ (5%) He (5%), Ne (5%), and Ar (85%)	H ₂ : 8.12×10^{-11} m ² /s Ne: 6.39×10^{-11} m ² /s He: 11.53×10^{-11} m ² /s
[43]	Synthetic Namtormorillonites	-	7÷12%	-	Dry	T: 26.85 °C; p: up to 60 bar	Thermogravimetric (TGA)	H ₂	9.9×10^{-8} m ² /s
[23]	Boom clay	200÷300 m	-	$1.5 \div 8 \times 10^{-12}$ m/s	Saturated	T: ambient (21 °C) p: 10 bar	Double through-diffusion test [44]	Mixture of H ₂ (5%) and Ar (95%)	2.64×10^{-10} m ² /s
[35]	Marcellus shale	2395.7 m	-	-	Dry	T: 60 °C p: up to 100 bar	Thermogravimetric (TGA)	CH ₄ , C ₂ H ₆	0.63mg/g (CH ₄ at 5 bar) 2.99 mg/g (CH ₄ at 103.2 bar)
[26]	Caprock samples (late Neogene)	-	28÷35%	-	Saturated	T: 20÷22 °C p: 40 bar	Binary diffusion setup [45]	H ₂	8×10^{-11} m ² /s (fresh) 1.1×10^{-8} m ² /s (long-stored) 1.8×10^{-10} m ² /s (re-saturated)

2. Materials and Methods

The experimental procedure mainly consists of sample preparation, the definition of the partial pressure steps sequence to be carried out, the execution of the test measurements in the DVS Vacuum, and the interpretation of the data. To evaluate the diffusion coefficient, several sorption/desorption tests on several samples of the same caprock core were performed.

2.1. Experiment Description

Measurements of gas diffusion through samples of the caprock were carried out through an experimental apparatus, the Dynamic Gravimetric Vapor/Gas Sorption Analyzer (DVS Vacuum) by Surface Measurement Systems Limited. This device can perform measurements related to multiple adsorption/desorption cycles under dynamic conditions, thus providing dynamic data suitable for diffusion coefficient calculation. The device controls and measures the sorbate entry (water vapor and/or gas), exit flows, pressure, and temperatures while recording changes in the sample mass with a high-resolution microbalance (sample mass from 1 mg to 0.500 g, resolution 0.1 μg). In situ sample drying/degassing at elevated temperatures (up to 400 $^{\circ}\text{C}$) and a high vacuum (2×10^{-6} Torr) is also possible [29].

The external and internal components of the DVS Vacuum apparatus are shown in Figure 1. The dynamic adsorption measurement is shown in Figure 2; further details are given in Appendix A.

The gases used in the tests are hydrogen (declared impurity of 0.0001%) and methane (declared impurity of 0.005%).

The experiment design consists of four sorption steps characterized by different P_p (ex. 20%, 40%, 60%, and 80% of 740 Torr, corresponding to approximately 0.2, 0.4, 0.6, and 0.8 atm) alternated with desorption steps (Figure 3). During some preliminary experiments, each partial pressure step started from a vacuum with alternating sorption to desorption $P_p = 0\%$. However, it was observed that overshooting effects due to pressure transient in the microbalance filling period were quite significant and could not be reliably corrected for $P_p \geq 40\%$. In order to reduce the impact of the overshooting effects, tests were carried out setting $P_p = 1\%$ (instead of $P_p = 0\%$) before the first partial pressure step ($P_p = 20\%$) and for all the desorption steps.

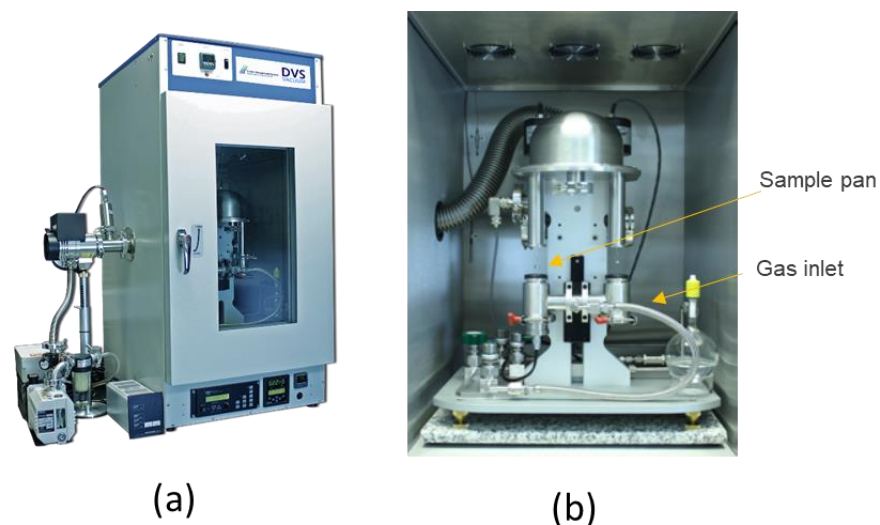


Figure 1. Microbalance DVS Vacuum: (a) external view and (b) internal components (after [46]).

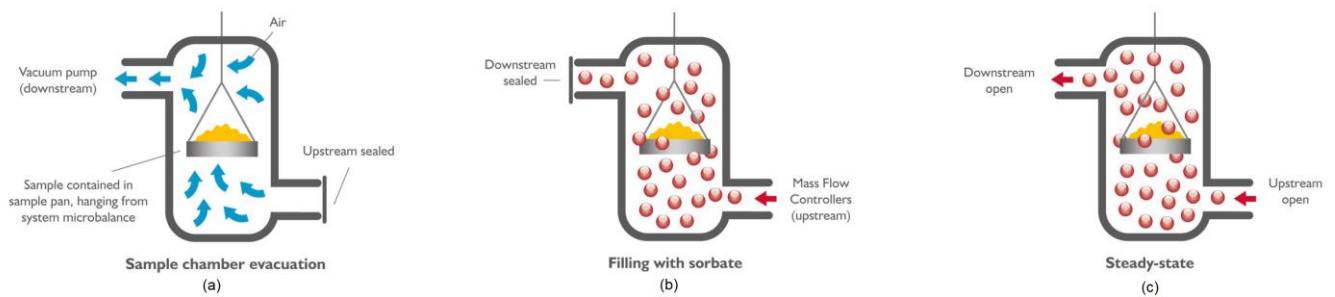


Figure 2. The dynamic adsorption measurement: (a) sample chamber evacuation, (b) filling with sorbate, (c) steady state (amount of sorbate molecules entering and leaving the chamber is controlled simultaneously) (after [46]).

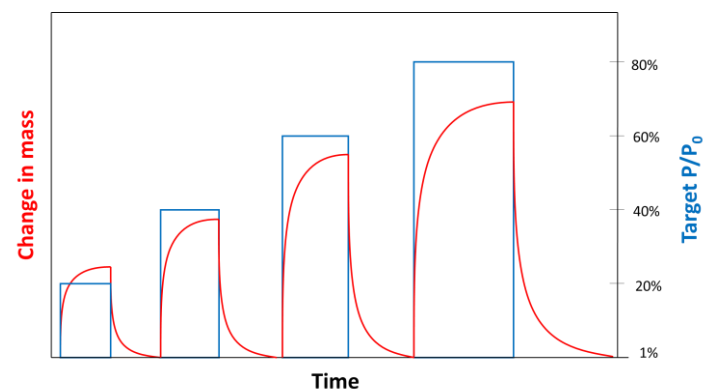


Figure 3. Qualitative plot of partial pressure steps and corresponding changes in mass.

2.2. Theoretical Background

One of the main concerns about the caprock sealing capacity is related to possible hydrogen diffusion through the caprock itself in the vertical direction, leading to leakage from the storage. Therefore, a plane geometry, parallel to caprock layering, was adopted in the sample preparation phase, and a corresponding analytical interpretation model was implemented for data interpretation.

At each partial pressure step, the non-steady-state mass transfer across the sample occurs as one-dimensional diffusion, described by Fick's second law, under the following assumptions:

- a thin plane geometry, with a constant thickness (d);
- double-side exposure;
- diffusion only across the sample, i.e., in a single direction (x) (Figure 4);
- a constant and uniform source concentration (C_0), equal on both sides;
- constant diffusion;
- isothermal conditions.

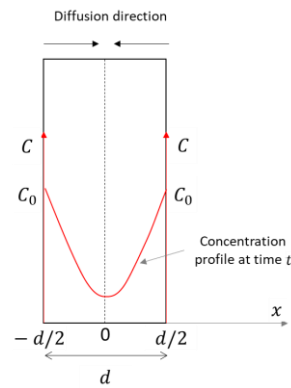


Figure 4. Concentration profile across the sample. Modified after [47].

Fick's second law of diffusion for one-dimensional diffusion reads as follows [31]:

$$\frac{\partial C}{\partial t} = D \frac{\partial^2 C}{\partial x^2}, \quad (3)$$

where D is the diffusion coefficient; C is the concentration of the solute; and x is the distance from the center of the sample.

The concentration is linked to the mass of the diffusant (M):

$$M = \int_V C dV. \quad (4)$$

At the beginning of the test, typically in a timeframe of a few minutes, the sample mass increases as an effect of the surface adsorption of the gas. After the initial surface adsorption, absorption into the bulk can occur. Usually, the bulk absorption phenomenon is much slower than surface adsorption. Therefore, it is possible to identify the time ($t = 0$) and mass (m_0) at which surface adsorption stops and to monitor further increases in mass ($M_t = m(t) - m_0$) due to the diffusion of the gas into the bulk. After long exposures to gas, bulk diffusion will cease, and the sample will reach an equilibrium mass (M_∞).

Under the assumed hypotheses, Equation (3) has the following solution [48]:

$$\frac{M_t}{M_\infty} = 1 - \frac{8}{\pi^2} \sum_{n=0}^{\infty} \frac{1}{(2n+1)^2} \exp\left(-\frac{(2n+1)^2 \pi^2 D t}{d^2}\right), \quad (5)$$

where M_t is the gas mass uptake adsorbed/desorbed at a given time t and M_∞ is the gas mass uptake at an equilibrium. The uptake mass is calculated by subtracting the initial mass (m_0) to the mass recorded at each time.

For short times, Equation (5) can be reduced to a simplified form [49]:

$$\frac{M_t}{M_\infty} = \frac{4}{d} \left(\frac{Dt}{\pi}\right)^{0.5} \quad (6)$$

From Equation (6), it follows that the slope of the plot $\frac{M_t}{M_\infty}$ vs. \sqrt{t} (Figure 5) gives the diffusion coefficient:

$$\text{slope} = \frac{4}{d} \sqrt{\frac{D}{\pi}} \quad (7)$$

Eventually, the diffusion coefficient is evaluated as follows:

$$D = \frac{\text{slope}^2 d^2 \pi}{16} \quad (8)$$

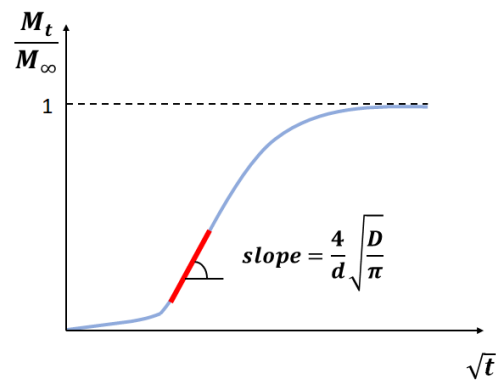


Figure 5. Schematic of the straight line fitting of the diffusion curve to determine the slope (after [41]).

The procedure is exploited for increasing the partial pressure (P_p) (Figure 3), i.e., for increasing the available adsorbate mass, thus obtaining several diffusion coefficients corresponding to different P_p .

According to Henry's law of solubility, the gas concentration in the sample is directly proportional to the applied gas pressure [50]. Thus, the solubility (S) is estimated using M_∞ for each partial pressure step, as follows:

$$S = \frac{M_\infty}{Vm_w p}, \quad (9)$$

where V is the sample volume, m_w is the molecular weight of the adsorbed species, and p is the applied pressure (calculated from the partial pressure value). Under steady-state conditions and assuming the diffusion and solubility coefficients to be independent of concentration, the gas permeation flux (J) can be expressed as follows [50]:

$$J = DS \frac{\partial p}{\partial x}, \quad (10)$$

where the product DS gives the permeability coefficient.

2.3. Data Processing

In ideal conditions, during the experiment, the measured mass ($m_{measured}$) is the superposition of the mass of the sample, measured at vacuum conditions (m_0), called the reference mass, and the adsorbed gas (m_{gas}):

$$m_{measured}(t) = m_0 + m_{gas}(t) \quad (11)$$

However, measurements may be affected by overshooting/undershooting effects due to pressure transient and buoyancy effects [35]:

$$m_{measured}(t) = m_0 + m_{gas}(t) - m_{buoyancy}(t) + m_{overshooting}(t). \quad (12)$$

Any partial pressure variation from one step to the next (e.g., from vacuum to $P_p = 20\%$) cannot occur instantaneously inside the DVS and requires a transient period in which the partial pressure variation is a function of the gas inflow rate (0.13 L/min in our experiments). Therefore, for a constant gas inflow rate, the pressure transient duration increases with the magnitude of the partial pressure step. For instance, the pressure transient from $P_p = 0$ to $P_p = 20\%$ lasts about 2.5 min, while the pressure transient from $P_p = 0$ to $P_p = 80\%$ takes about 7.5 min if the injected gas is H_2 and up to 10 min if the injected gas is CH_4 (Figure 6). This behavior generates the overshooting/undershooting effects. The phenomenon and the corresponding correction strategy are described below.

During the pressure transient, short-lived flow perturbations in the chamber take place while gas is entering the chamber. Immediately after a step change in the chamber pressure, a temporary fluctuation in the weight measurement occurs in the form of an overshoot/undershoot. This fluctuation has no impact on the equilibrium adsorption

estimate, but it does affect the short-term sorption investigation, which is involved in diffusion calculation. Therefore, a correction should be introduced for each partial pressure step. To this end, a test with an empty pan, called a “blank run”, has to be performed to isolate the overshooting/undershooting behavior ($m_{overshooting}(t)$) corresponding to each imposed partial pressure step [35]. The blank run consists of running a test applying the same gas mixture and the same partial pressure steps of the test to be corrected but testing the empty pan. In such a way, the mass increase measured during the blank test represents the flow perturbations due to the pressure transient only. The duration of partial pressure steps could be shorter for blank runs than for the corresponding test with the sample because the mass can stabilize faster since there is no adsorption process. The signal due to the pure overshooting ($m_{overshooting}(t)$) can therefore be isolated for each partial pressure step to provide the correction to be applied to the actual measurements. Figure 6 shows the blank run performed for H₂ and CH₄, showing a significant overshooting effect for both.

Buoyancy is the upward force exerted by the gas filling the balance chamber that opposes the weight of the fully immersed sample. As a result, the weight measurement decreases in the quantity $m_{buoyancy}$, proportional to the partial pressure $P_p(t)$, following Archimedes’s principle:

$$m_{buoyancy}(t) = \rho_g P_p(t) V_s, \quad (13)$$

where ρ_g is the gas density at $\frac{P}{P_0} = 100\%$ and V_s is the sample bulk volume. If the volume is not easily computable, as in the case of flakes characterized by irregular geometry, it can be estimated from the ratio between mass at vacuum conditions (m_0) and the density of the clay (ρ_s) constituting the sample under analysis:

$$V_s = \frac{m_0}{\rho_s} \quad (14)$$

Buoyancy effects on the sample holder are already accounted for by the balance, in which a second empty pan allows for a continuous tare measurement. The buoyancy effect was negligible on H₂ tests, due to the very low density of H₂, but relevant on CH₄ tests. Therefore, buoyancy correction was applied to CH₄ tests only. Figure 7 compares mass variations registered during a CH₄ test and the effect of overshooting and buoyancy correction.

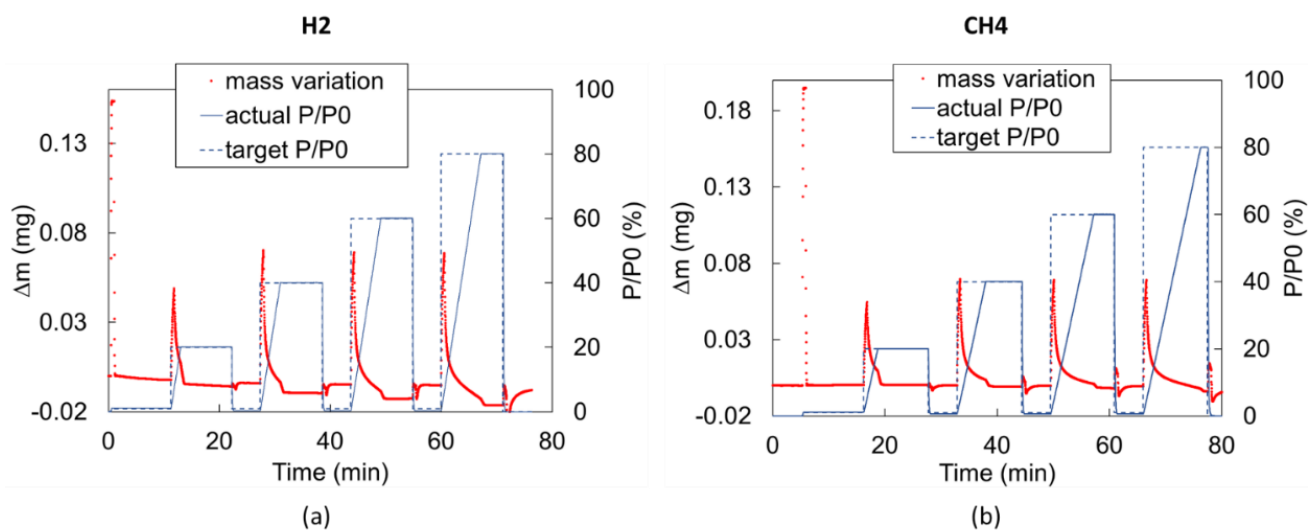


Figure 6. Measured mass variation in time during (a) H₂ blank run and (b) CH₄ blank run. In both cases, a significant overshooting effect is observed.

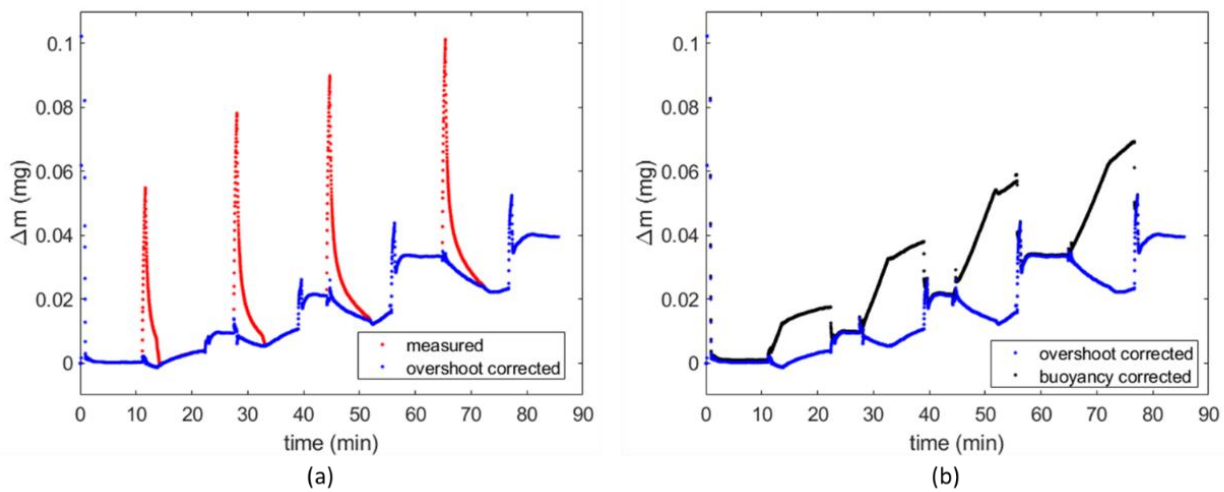


Figure 7. Measured mass variations in time and correction for (a) overshooting and (b) buoyancy (test FR4_CH4_a).

To sum up, before interpretation, data have to be corrected as follows:

$$m_{gas}(t) = m_{measured}(t) - m_0 + \rho_g V_s P_p(t) - m_{overshooting}(t). \quad (15)$$

Afterward, the mass uptake (M_t) and equilibrium mass uptake (M_∞) are calculated, respectively, as follows:

$$M_t = m_{gas}(t), \quad (16)$$

$$M_\infty = m_{gas}(t_\infty), \quad (17)$$

where $m_{gas}(t)$ is the mass measured at each time and $m_{gas}(t_\infty)$ is the mass measured at an equilibrium. In most cases, an equilibrium is not completely reached. Mass variations toward equilibrium are usually very low, near the DVS accuracy. Thus, the partial pressure step is stopped when $\frac{dm}{dt} < 0.001$ mg/s and the last registered mass for each partial pressure step is assumed to be a good approximation of the equilibrium mass.

Successively, the $\frac{M_t}{M_\infty}$ vs. \sqrt{t} plot is obtained for each partial pressure step and the corresponding diffusivity coefficient is calculated as described in the “Theoretical background” section. Slopes are obtained via the linear regression of selected portions of the data, namely those exhibiting a linear trend at early times.

Finally, the diffusivity coefficient is calculated with Equation (8), the solubility is calculated with Equation (9), and the permeability is calculated as the product of the two.

2.4. Caprock Samples and Mineralogic Analysis

Two caprock core samples collected from different reservoirs are considered and named Caprock1 and Caprock2. The reservoirs are located in the western Po plain about 40 km apart. The two reservoirs share the same caprock rock formation represented by the Argille del Santerno Formation (Pliocene) associated with depositional events of foredeep infill. Both core samples are represented by dark gray silty calcareous mudstone exhibiting a grain-supporting matrix composed of detrital clay, microcrystalline calcite particles, and local fossil content (foraminifera). The samples exhibit laminations of planar compacted detrital illitic clay. Fine silt-sized mica flakes are scattered throughout the matrix. Grains are characterized by very angular to sub-angular shapes with sizes ranging from 0.02 to 0.1 mm. Caprock1 has a porosity of 33.2% (dry helium) resulting mainly from clay-rich matrix micropores and intergranular pores; for the Caprock2 porosity, measurements are not available.

The caprock mineralogic composition was determined through X-Ray powder Diffraction (XRD) experimental analyses. The spectra have been analyzed with Rietveld refinement [51] to provide the main weight percentages of the mineral classes. The analyses provided the mineralogic average composition of the caprock when performed on rock samples taken from cores that sampled several meters of the caprock and provided the information on the local compositional of the caprock when performed on the same portion of caprock that has been used for the diffusivity measurements. A set of three measurements (triplicate measurements) were collected on three different portions of the samples to increase the statistics.

The results of the XRD analyses are reported in Table 3. The average and the local mineralogic compositions of Caprock1 and Caprock2 are compared in the electronic Supplementary Materials (Figure S1). The slight difference observed between the composition of the samples used for the diffusivity tests (the local values) and the average composition of the caprock is compatible with the natural variation in the rock mineralogy of geological formations.

Flake samples were taken from the sealing rock cores of Caprock1 and Caprock2. Flakes from Caprock1 were collected from several parts of the sample within a 20 cm long sample. Flake samples of Caprock2 were collected from both the top and bottom of the core sample box at a vertical distance of approximately 1 m. All flakes were treated with ultrafine sandpaper to obtain a flat geometry. An example of a flake is shown in Figure 8. The list of flake samples is reported in Table 4. Flakes indicated as “dry” were dried in the microbalance before each test: the preheater temperature was increased to 400 °C, and the pressure was decreased to obtain a vacuum condition; after preheating, the sample was cooled down to the desired temperature (see Appendix A for details). Flakes indicated as “wet” were tested as is, avoiding the preheating phase in the microbalance. A total of 6 flakes were taken from Caprock1, and 9 flakes were taken from Caprock2.



Figure 8. Flake sample 4.

Table 3. Main minerals classes’ weight percentages obtained with the Rietveld refinement.

Mineral	Composition (wt %)		Formula	
	Caprock1	Caprock2		
Tectosilicates	quartz	24 ÷ 25.4	16.7 ÷ 20.3	SiO ₂
	plagioclase (albite, anorthite)	7.2 ÷ 9.1	4.0 ÷ 7.1	(Na,Ca)(Si,Al) ₄ O ₈
	K-feldspar	9.3 ÷ 9.7	5.5 ÷ 8.6	KAlSi ₃ O ₈
Carbonates	calcite	32.5 ÷ 33.8	24.9 ÷ 35.9	CaCO ₃
	dolomite	7.4 ÷ 8.1	16.2 ÷ 20.5	CaMg(CO ₃) ₂
	siderite	0	0.4 ÷ 0.6	FeCO ₃
Phyllosilicates	illite	6.2 ÷ 10.9	6.1 ÷ 24.9	(K,H ₃ O)(Al,Mg,Fe) ₂ (Si,Al) ₄ O ₁₀ [(OH) ₂ ,(H ₂ O)]
	chlorite	3.5 ÷ 3.8	1.9 ÷ 2.5	(Mg, Fe) ₃ (Si, Al) ₄ O ₁₀ (OH) ₂ •(Mg, Fe) ₃ (OH) ₆
	kaolinite	2.6 ÷ 3.1	2.1 ÷ 3.7	Al ₂ Si ₂ O ₅ (OH) ₄
Additional minerals	pyrite	0	0.2 ÷ 0.4	FeS ₂
	halite	1.1 ÷ 2.4	0.0 ÷ 0.2	NaCl

Table 4. Flake samples.

Sample ID	Core Sample ID	Wet/Dry	Width (mm)	Mass (mg)	Density (kg/m ³)	Tested to Gas
Flake1_3	Caprock1	wet	2	141.8	1773	H ₂
Flake1_4	Caprock1	dry	2.66	200.7	1676	H ₂
Flake1_5	Caprock1	wet	1.6	157.3	2091	H ₂
Flake1_6	Caprock1	dry	2.1	172.6	1612	H ₂
Flake1_7	Caprock1	dry	1.9	187.7	1764	H ₂
Flake1_10	Caprock1	wet	2.36	179.7	1523	CH ₄
Flake2_1	Caprock2 (bottom)	wet	1.55	198	2276	H ₂
Flake2_2	Caprock2(bottom)	wet	1.5	215	1869	H ₂
Flake2_3	Caprock2 (top)	dry	1.5	144.6	1928	H ₂
Flake2_4	Caprock2 (top)	wet	1.84	160.6	2108	H ₂
Flake2_5	Caprock2 (top)	wet	1.83	211.6	2290	H ₂
Flake2_6	Caprock2 (top)	wet	2.06	203.2	1970	CH ₄
Flake2_7	Caprock2 (top)	wet	1.86	193.5	2081	CH ₄
Flake2_8	Caprock2 (bottom)	wet	1.4	195.9	1727	CH ₄
Flake2_9	Caprock2 (bottom)	wet	1.75	235.97	1751	CH ₄

3. Results and Discussion

To characterize and compare the diffusion coefficients of hydrogen and methane through caprocks, a significant number of adsorption/desorption tests were carried out on the caprock samples both in dry and wet conditions. A total of 15 samples (Table 4) were tested: 10 samples with hydrogen and 5 samples with methane. For each sample, two tests were performed with the same gas. Each test included four partial pressure steps ($P_p = 20\%$, 40% , 60% , and 80% of 740 Torr), alternating sorption and desorption. Each interpretable partial pressure step provided a value of diffusion coefficient, solubility, and permeability; permeability values are reported in the Supplementary Materials (Table S1) in SI, Barrer, and Gas Permeance Unit (GPU). Most of the experimental data were interpretable after applying overshooting correction in the case of hydrogen, and after applying both overshooting and buoyancy corrections in the case of methane. In total, more than 90 estimations of the diffusion coefficient out of 120 partial pressure steps are available. The nomenclature of each test and the interpretation results for gas exposure are summarized in the Supplementary Materials (Table S1), where diffusion coefficients obtained for each sample are reported, together with solubility and permeability values. The interpretation plot of hydrogen tests on a flake of Caprock1 (F6_H2_100_a) and a flake of Caprock2 (FR2_H2_100), after overshooting correction, are also reported in the electronic Supplementary Materials as an example (Figures S2 and S3).

The overall range of the obtained diffusion coefficients is from 1×10^{-10} m²/s to 6×10^{-8} m²/s for hydrogen and from 9×10^{-10} m²/s to 2×10^{-8} m²/s for methane. Comparisons between dry and wet samples are summarized as boxplots as a function of the partial pressure steps in Figure 9, while comparisons between diffusion coefficients calculated for H₂ and CH₄ are summarized in Figure 10. The diffusion coefficients estimated for Caprock2 are generally higher than those obtained for Caprock1, but the same trends are observed (Figures 9 and 10). For a fixed pressure value, the calculated effective diffusivity coefficients for all samples of the same caprock are within 1 order of magnitude (Figure 10). This measurement is thus more accurate than in-diffusion and through-diffusion experiments, which gave uncertainty up to 2 orders of magnitude [21,37].

The comparison between the obtained hydrogen diffusion coefficients and the values provided by the technical literature (Table 2) must take into account the differences in terms of pressure and temperature conditions, porous configuration (porosity, constriction, and tortuosity; see Equation (1)), and water saturation. However, at $P_p = 80\%$

(about 0.8 atm) the obtained values are in the order of magnitude of 1×10^{-10} m²/s, which is within the 8.12×10^{-11} m²/s measured by [15] on wet samples at 25 °C and 1.3 bar and the 1×10^{-8} m²/s individuated by [24] for dry samples at 1.5 bar and an ambient temperature.

Permeability values (Figure 11) are in the range of $3 \times 10^3 \div 6 \times 10^5$ Barrer for hydrogen and in the range of $2 \times 10^3 \div 2 \times 10^4$ Barrer for methane; similar trends are observed on both caprocks. The permeability values ($3 \times 10^3 \div 2 \times 10^4$ Barrer) obtained at $Pp = 80\%$ (about 0.8 bar) (Figure 11) are consistent with values measured by Gajda and Lutyński [52] for a clay mineral-based mudstone (2330 Barrer) at a 1 MPa feed gas pressure. Despite the different adsorption enthalpies [53], measured gas uptakes for hydrogen and methane are comparable (Figure 12). These results are in line with Truche et al. [54], who measured hydrogen uptake values comparable to and even exceeding methane adsorption on clay minerals and shales. This result is probably due to the pore structure of shale. According to the technical literature, there is significant variation in the hydrogen [55] and methane [56] adsorption capacity in different clays, attributed to the differences in their pore structures. The shale matrix has predominantly micro (pores with a diameter of less than 2 nm) to mesopores (pores with a 2–50 nm diameter) [57]. As the kinetic diameter of CH₄ is significantly bigger than H₂ (3.8 Å vs. 2.89 Å [58]), the CH₄ molecule cannot enter into narrow micropores as H₂ can [59]. A more complex pore structure and a larger specific surface area could provide more sorption sites for hydrogen molecules [55].

Figure 10 shows that diffusion coefficients estimated for hydrogen and methane are comparable for both the considered caprocks, especially for the first partial pressure step. This is in line with the measurements on water-saturated Boom clay samples, which gave 2.42×10^{-10} m²/s for methane [60] vs. 2.64×10^{-10} m²/s for hydrogen [23]. Conversely, the permeability to hydrogen is about 1 order of magnitude higher than the permeability to methane. This is due to a higher uptake of hydrogen compared to methane and to the molecular weight of the two species.

The water content is responsible for a difference equal to a factor of 2 on hydrogen diffusion (Figure 9), mainly for Caprock1. This result is way less pronounced than could be expected, considering that hydrogen diffusion in water is about 3 orders of magnitude less than hydrogen diffusion in air (Table 1). The limited difference observed is probably due to a reduction in the original humidity of the wet samples. Samples were extracted and/or tested days and sometimes months after the cores were opened. Compared with other experimental measurements, Didier et al. [36] measured a reduction of 4 orders of magnitude on Callovo-Oxfordian clay samples (500 m deep); Bardelli et al. [40] on the same formation found that the moisture content influenced hydrogen diffusivity by 1 to 2 orders of magnitude; Michelsen et al. [26] observed a reduction in hydrogen diffusion of 2 orders of magnitude between long-stored caprock cores and re-saturated cores. However, our results are in good agreement with the molecular dynamics simulation of Liu et al. [20] on nanopore montmorillonites at geological storage conditions: they showed that the self-diffusion coefficient of hydrogen is partly inhibited by the water content and decreases by a factor of 2.

A significant reduction in the hydrogen diffusion coefficient from $Pp = 20\%$ to $Pp = 80\%$ was observed, spanning more than 1 order of magnitude for both caprocks (Figure 10). The trend for the methane diffusion coefficient is similar, but the reduction is limited within 1 order of magnitude. Even if a pressure dependence of the diffusion coefficient was expected (Equation (2)), we believe that the observed reduction could be strongly influenced by incomplete or limited desorption between subsequent partial pressure steps. The fraction of hydrogen that remains adsorbed after each desorption is more significant than methane (Figure 13).

Similarly, incomplete or limited desorption is observed between two subsequent tests on the same sample, where the sample has not yet come in contact with the testing gas in the first test (denoted as “a”), while in the second test (denoted as “b”) the testing gas was already present due to the incomplete final desorption process of the first test,

even under vacuum conditions. The behavior is more visible on hydrogen (Figure 13a) and less significant on methane (Figure 13b). This is probably the cause of the significant difference (factor ~ 2) shown in Figure 14, between the first and second measurements of the diffusion coefficient on the same sample for each corresponding P_p (see, for example, tests on Flakes 4, 5, and 6 in Table S1 in the Supplementary Materials). Incomplete desorption is in line with the results of the study with nuclear magnetic resonance of Ho et al. [61], who observed a hysteresis in the adsorption/desorption of hydrogen in shale, which is not observable in sandstone.

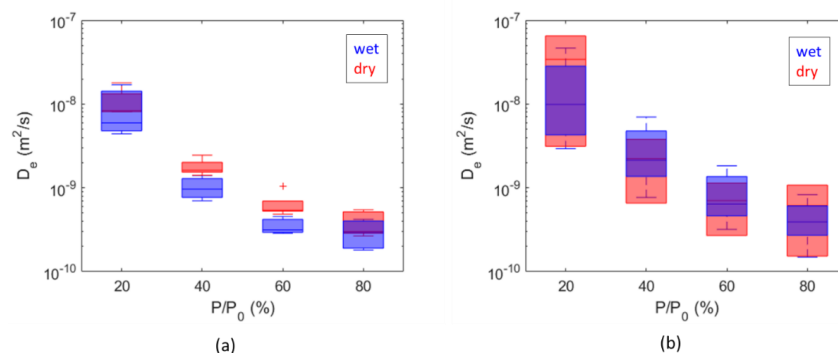


Figure 9. Comparison of diffusion coefficient values computed for hydrogen from dry and wet samples of Caprock1 (a) and Caprock2 (b).

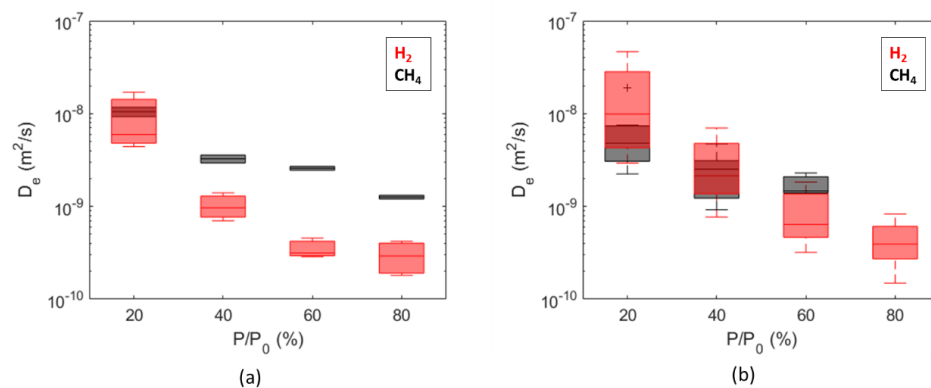


Figure 10. Comparison of diffusion coefficients computed for hydrogen and methane from wet samples of Caprock1 (a) and Caprock2 (b).

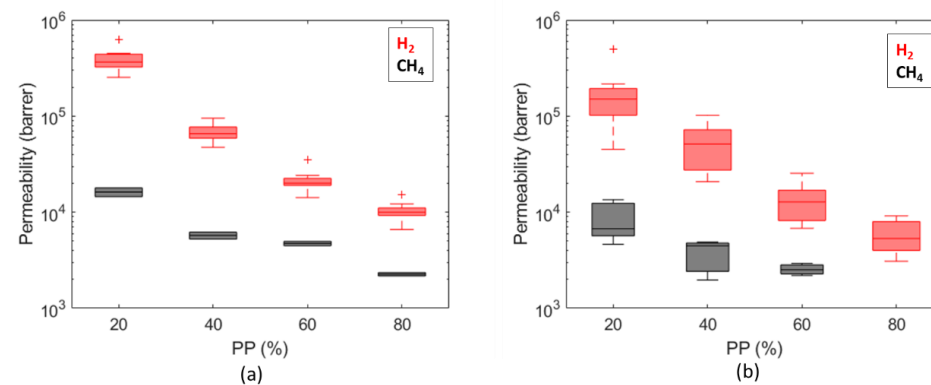


Figure 11. Comparison of permeability values computed for hydrogen and methane for Caprock1 (a) and Caprock2 (b).

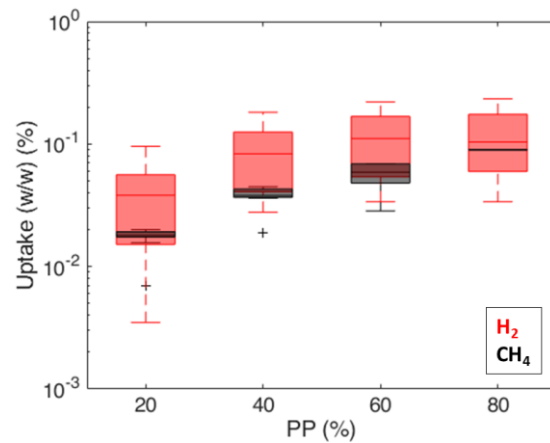


Figure 12. Comparison of measured gas uptakes (i.e., mass of adsorbed gas/sample mass) for hydrogen and methane.

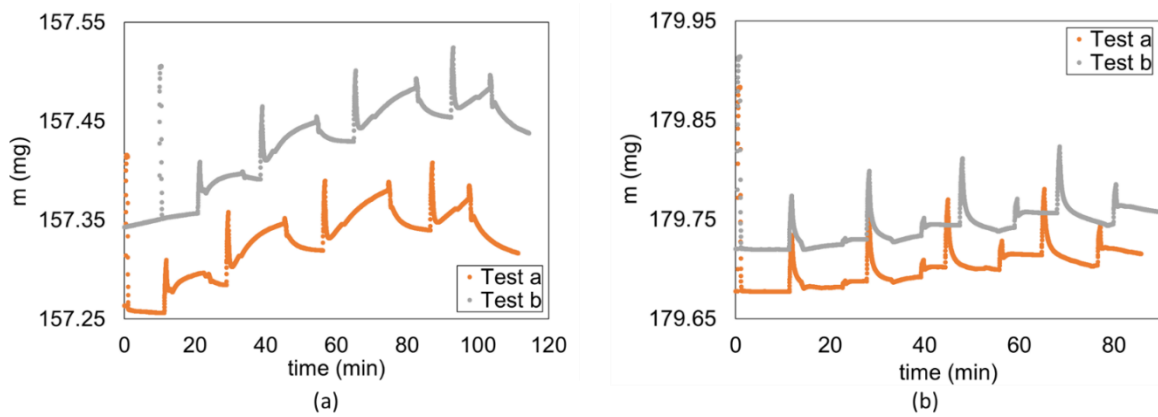


Figure 13. Mass uptake comparison between first test (Test a) and second test (Test b) for (a) hydrogen (Flake 5 of Caprock1) and (b) methane (flake 10 of Caprock1).

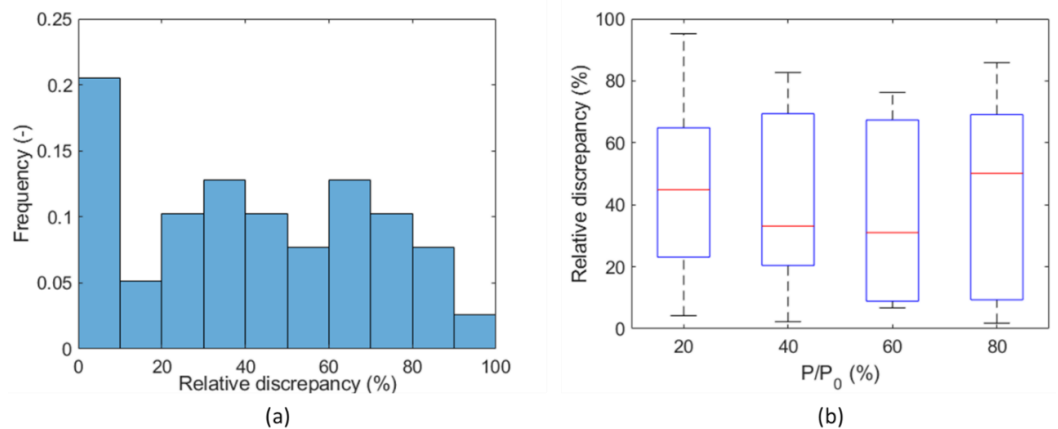


Figure 14. Uncertainty analysis exploring the reproducibility of the experiment: relative discrepancy on diffusion coefficient between Test a and Test b (Caprock1 and Caprock2) (a) for all partial pressure steps and (b) for each partial pressure step.

4. Conclusions

The presented study is part of a comprehensive project that aims to evaluate the feasibility of underground hydrogen storage in two candidate reservoirs currently operated as underground storage for natural gas.

To calculate the diffusion coefficient of hydrogen through caprocks, a significant number of adsorption/desorption tests were carried out on samples retrieved from these two underground gas storage reservoirs, using a DVS Vacuum apparatus. Diffusion coefficients estimated for H₂ and CH₄ were compared. The data were then interpreted using the early-time approximation of the solution to the diffusion equation. The experiments were carried out at a temperature of 45 °C and at ambient pressure. Therefore, the obtained values of the diffusion coefficients can be considered representative of reservoir conditions in terms of temperature, whereas, from the point of view of pressure, they can be considered an overestimation. A total of 15 samples were tested. For each sample, two tests were performed with the same gas. Each test included four partial pressure steps of sorption alternated with desorption. Each interpretable partial pressure step provided a value of the diffusion coefficient. Most of the experimental data were interpretable after applying overshooting correction in the case of hydrogen and after applying both overshooting and buoyancy corrections in the case of methane. In total, more than 90 estimations of the diffusion coefficient out of 120 partial pressure steps are available. The main conclusions are summarized in the following:

Overall, the obtained diffusion coefficients range from 1×10^{-10} m²/s to 6×10^{-8} m²/s for hydrogen and from 9×10^{-10} m²/s to 2×10^{-8} m²/s for methane.

The diffusion coefficient measured on wet samples is 2 times lower compared to the dry samples. The limited difference observed is probably due to a reduction in the original humidity of the wet samples, which were extracted and/or tested days and sometimes months after the cores had been opened.

For all the considered tests, a significant reduction in the calculated diffusion coefficient from the first to the following partial pressure steps was observed. Even if the trend can be recognized for both the considered gasses, the phenomenon is more evident in the case of hydrogen, where the reduction can exceed 1 order of magnitude. The fraction of hydrogen that remains adsorbed after each desorption is more significant with respect to methane tests.

The incomplete desorption of hydrogen between two subsequent tests on the same sample causes a reduction of a factor of 2 of the estimated diffusion coefficients. This confirms that should any losses through the caprock ever occur due to diffusion, they would be larger at the beginning of storage operations and decrease over time, as already discussed in the technical literature [62].

According to the analysis of available results, the diffusion of hydrogen through the caprock should not be a criticality for underground hydrogen storage for the reservoirs under analysis. This work provides a step forward in the understanding and assessment of the sealing efficiency of caprocks, which will aid in the successful implementation of hydrogen storage in underground geological formations.

Supplementary Materials: The following supporting information can be downloaded at: <https://www.mdpi.com/article/10.3390/en17020394/s1>, Figure S1: Semi-quantitative evaluation of the main classes of minerals for Caprock1 (a) and Caprock2 (b) from X-Ray Diffraction (XRD); Figure S2: Interpretation of test F6_H2_100_a; Figure S3: Interpretation of test FR2_H2_100_a; Table S1: Results.

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Appendix A

The test using the DVS Vacuum device mainly consists of the following workflow:

1. Calibration of the microbalance:
 - a. Introduce an empty sample pan into the DVS;
 - b. Set the incubator temperature (45 °C) and impose the vacuum;
 - c. Wait until the mass measurement stabilizes (this is reached when the mass variation in time is below the threshold of $\frac{dm}{dt} < 0.001 \text{ mg/s}$); the stabilization of the mass measurement could take up to 2 h;
 - d. Record the mass of the empty pan reached after stabilization.
2. Measurement of the initial mass:
 - a. Introduce the sample;
 - b. Dry the sample (optional): the preheater temperature is increased up to 400 °C and then decreased again to 45 °C;
 - c. Impose the vacuum (Figure 2a);
 - d. Wait until the mass stabilizes.
3. Sorption step:
 - a. A gas mixture is introduced in the chamber (Figure 2b) until a fixed partial pressure $P_p = \frac{p}{p_0}$ ($p_0 = 740 \text{ Torr}$) is reached;
 - b. $\frac{p}{p_0}$ is maintained (Figure 2c);
 - c. The mass is measured every 1 s until the equilibrium mass is reached. ($\frac{dm}{dt} < 0.001 \text{ mg/s}$)
4. Desorption step:
 - a. The chamber is evacuated;
 - b. The mass is measured every 1 s until the equilibrium mass is reached ($\frac{dm}{dt} < 0.001 \text{ mg/s}$).

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