

A Survey of Automotive Radar and Lidar Signal Processing and Architectures

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## Article

# A Survey of Automotive Radar and Lidar Signal Processing and Architectures

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**Abstract:** In recent years, the development of Advanced Driver-Assistance Systems (ADASs) is driving the need for more reliable and precise on-vehicle sensing. Radar and lidar are crucial in this framework, since they allow sensing of vehicle's surroundings. In such a scenario, it is necessary to master these sensing systems, and knowing their similarities and differences is important. Due to ADAS's intrinsic real-time performance requirements, it is almost mandatory to be aware of the processing algorithms required by radar and lidar to understand what can be optimized and what actions can be taken to approach the real-time requirement. This review aims to present state-of-the-art radar and lidar technology, mainly focusing on modulation schemes and imaging systems, highlighting their weaknesses and strengths. Then, an overview of the sensor data processing algorithms is provided, with some considerations on what type of algorithms can be accelerated in hardware, pointing to some implementations from the literature. In conclusion, the basic concepts of sensor fusion are presented, and a comparison between radar and lidar is performed.

**Keywords:** automotive; DSP; ADAS; sensors; lidar; radar



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## 1. Introduction

In recent years, Advanced Driver-Assistance Systems (ADASs) have performed many steps forward, making autonomous driving a reality. Going toward full driving automation (Table 1), it is necessary to study sensors and acquisition systems that guarantee proper performance, mainly in terms of accuracy and resolution.

**Table 1.** ADAS levels and related automation level.

| ADAS Level | Automation Level       |
|------------|------------------------|
| Level 0    | No Automation          |
| Level 1    | Driver Assistance      |
| Level 2    | Semi-Automated         |
| Level 3    | Conditional Automation |
| Level 4    | High Automation        |
| Level 5    | Full Automation        |

Nowadays, the most promising sensor systems to support the development of ADASs are based on radar and lidar. Both permit detection and ranging but, while the former exploits radio-frequency (RF) signals, the latter exploits optical signals. The debate on which one will be the genuinely enabling technology for autonomous driving is still open. In fact, many of the solutions that are available on the market adopt either radar, lidar or a combination of them.

Automotive applications of radar are well known, and have been highlighted during the last fifty years. An overview of the status during its first years can be found in [1]. Many review articles have presented automotive radar focusing on the related signal processing



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algorithms [2] or the required hardware technology [3]. More recent works report and discuss signal processing, modulation aspects and higher-level processing, such as tracking and classification [4,5].

Lidar's first applications were far from the automotive world, but today, this detection technique has become essential to develop ADASs and autonomous driving features. An overview of the principal modulation schemes and integration techniques can be found in [6], with a particular remark on electronic-photonic integration. An overview of the imaging systems with some technological information is available in [7]. Some recent reviews highlight how lidar data processing is mainly based on machine learning [8–10]. Li et al. [11] give an overview of how to use lidar as a detection system, and gives an overview of the detection and recognition algorithms. In recent years, lidar systems are available as plug and play detection systems, so Roriz et al. [12] give an overview of the devices available on the market. More recently, Bilik et al. [13] give a comparative overview of the radar and lidar systems, which focuses on differences and similarities of these two systems.

This review mainly presents an overview of state-of-the-art radar and lidar algorithms and architectures. Then, the concept of sensor fusion is briefly outlined, and some architectures are shown as examples of hardware acceleration of radar- and lidar-related computation. In conclusion, a short comparative analysis is reported to highlight differences and similarities of lidar and radar.

## 2. Radar

At the beginning of the 20th century, radio detection and ranging (radar) systems were proposed to detect and locate aircraft [14]. With the consolidation of technology and its availability at a relatively low cost, many different application domains have been found for radar systems. Nowadays, radar represents a well-established technology in the automotive industry [1].

Applications of radar systems on vehicles are numerous and extensive, mainly related to tasks in which the distance to a target vehicle and its relative velocity have to be measured, e.g., the autonomous emergency braking (AEB) and adaptive cruise control (ACC) systems [15].

### 2.1. Operating Principles

The basic idea behind radar is to send a radio-frequency signal in free space and collect the echo generated by the presence of an obstacle. It is possible to determine the distance to the reflecting object by measuring the delay between the transmitted and the received signals. Figure 1 shows a conceptual example of how a radar system works. Equation (1) permits us to calculate the distance  $d$  of an object by measuring  $\tau$ , the delay of the received signal, and  $c$ , the speed of light in the air [16]:

$$d = \frac{1}{2}c\tau, \quad (1)$$

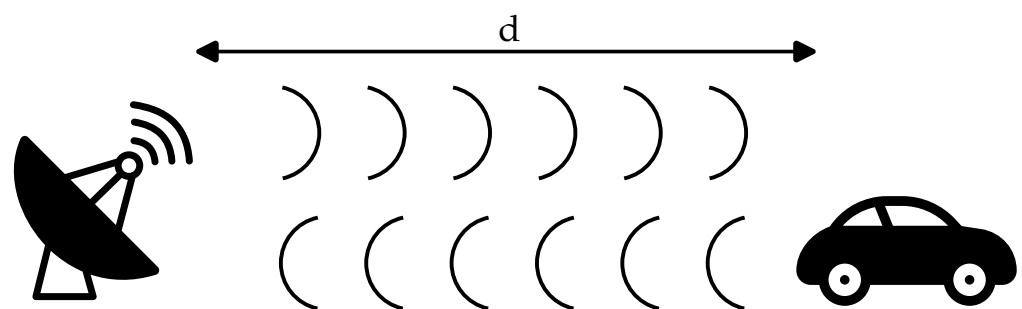


Figure 1. Radar operating principle.

Even if the main idea remained substantially unchanged, many algorithms and systems have been developed during the last years to increase performance and resolution of radar systems.

The following sections present and analyze the main architectures and algorithms available nowadays for automotive radars.

## 2.2. Radar Technology and Modulations

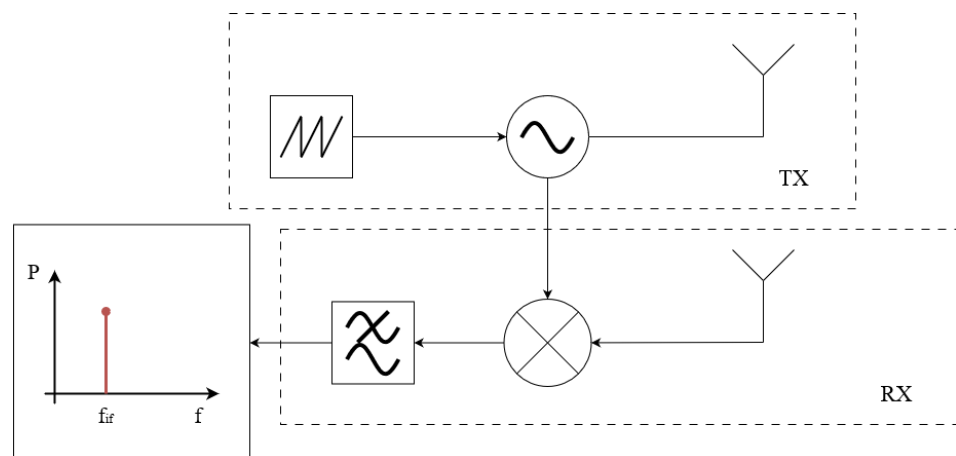
The primary accepted and most widespread technology for radar systems relies on frequency-modulated continuous wave (FMCW) signals [17].

The key idea is to send a sequence of analog chirps; then, the transmitted signal is mixed with the received one, and the resulting beat frequency will be proportional to the target distance.

Figure 2 reports the basic scheme of an FMCW radar. In particular, on the transmitter side (TX in Figure 2), the saw-tooth waveform generator produces a wave that then feeds a voltage-controlled oscillator producing a chirp signal with a linear frequency sweep. On the receiver side (RX in Figure 2), a mixer demodulates the received signal, and the resulting beat frequency  $f_{if}$  contains information related to both the range and the velocity of the target [18]. Both the delay  $\tau$  introduced by the reflection and the frequency shift introduced by the Doppler effect [19], will change the demodulated frequency, as stated by Equation (2):

$$f_{if} = f_R - f_D = -\frac{f_{sw}}{T_{chirp}} \frac{2}{c} R + \frac{2}{\lambda} v_r, \quad (2)$$

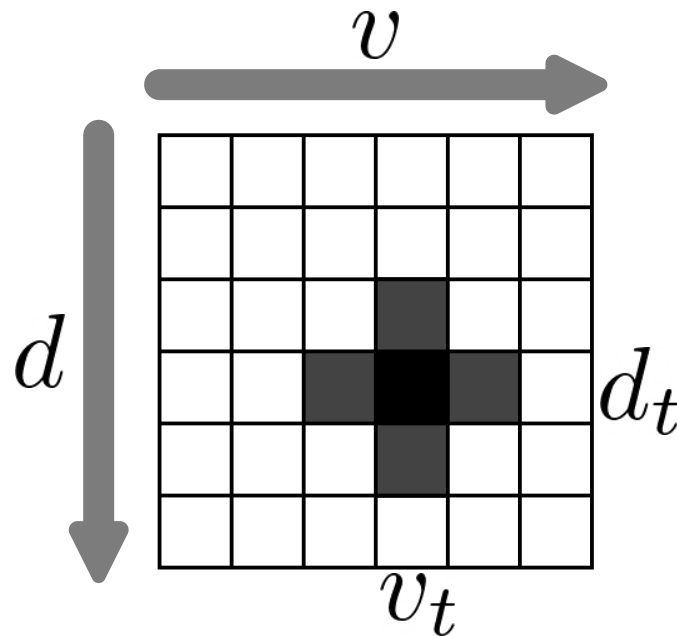
where  $f_R$  is the frequency shift introduced by the presence of a target at a distance  $R$ , while  $f_D$  is the shift introduced by a target moving at velocity  $v_r$  due to the Doppler effect. The other terms in the equation are  $f_{sw}$ , which is the sweep bandwidth of the chirp signal,  $T_{chirp}$  is its duration, and  $\lambda$  is the carrier wavelength [18].



**Figure 2.** Basic scheme of an FMCW radar.

Equation (2) shows how it is not possible to use a single chirp to estimate the range and radial velocity of a target directly. Indeed,  $R$  and  $v_r$  are two dependent unknowns and cannot be determined by measuring  $f_{if}$ . So, many different solutions have been proposed for automotive applications over the years, such as using up and down chirps to decouple range and velocity information [20].

As explained by Winkler in [20], detection can be performed by sampling the base-band signal of an FMCW radar and applying the Fast Fourier Transform (FFT) on the sampled signal. The idea is to perform the FFT on  $L$  chirps and stack the obtained spectra in the columns of a matrix, then an FFT of every row of the matrix is performed. This leads to a map of target distance and velocity. Figure 3 shows an example of the resulting velocity/range map.



**Figure 3.** Range Doppler matrix with a target at distance  $d_t$  and velocity  $v_t$ .

As reported in [20], this processing technique can introduce some ambiguities in the obtained map, leading to the detection of a false positive target. This can be mitigated by applying further processing steps, like Constant False Alarm Rate (CFAR) algorithms [21], or modifying the modulation type [20].

An alternative to FMCW radar is based on digital modulation, such as Phase Modulated Continuous Wave (PMCW) [22] and Orthogonal Frequency Division Multiplexing (OFDM) [23]. This technique consists of generating arbitrary digital waveforms and applying matched filter processing at the receiver.

PMCW radar transmits bi-phase modulated waveforms with duration  $\tau$ . The transmitted signal phase is encoded in a binary sequence denoted as  $\varphi: [0, \tau] \rightarrow \{0, \pi\}$ , which is repeated every  $\tau$ . The resulting waveform is reported in Equation (3), where  $f_c$  is the frequency of the modulated carrier and  $\varphi(t)$  is the aforementioned binary sequence [24]:

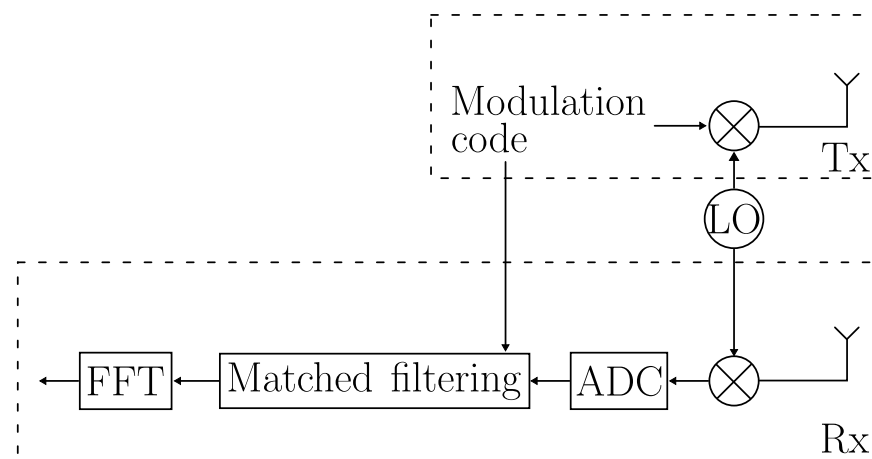
$$x(t) = e^{2\pi i f_c t + i \varphi(t) \pi} \quad t \in [0, \tau). \quad (3)$$

Figure 4 describes the principle scheme of a simplified, single input single output, PMCW radar. On the transmitter side, a bit stream, which represents the modulation code, is fed to the modulator. This generates the transmitted signal with constant envelope and phase changing between 0 and  $\pi$  (Equation (3)). While at the receiver side, the signal represented by Equation (4) is received:

$$y(t) = x(t - t_d) e^{i 2\pi f_D t}, \quad (4)$$

where  $x(t - t_d)$  is the transmitted signal delayed of  $t_d$  by the target reflection and  $f_D$  is the Doppler effect frequency [24]. The target range is estimated by calculating the discrete cyclic correlation of the received signal with the transmitted one. This can be performed by digital matched filtering the received signal [25]. At the same time, the target velocity can be extracted from the signal phase by means of an FFT-based Doppler processing.

Different modulation codes (i.e.,  $\varphi(t)$  in Equation (3)) have been proposed during years [26,27]; they can guarantee different properties of autocorrelation and suppress the effect of possible range ambiguities.



**Figure 4.** PMCW radar block diagram.

An alternative to PMCW digital radar is the OFDM radar. Its waveform is composed of a set of orthogonal complex exponentials, whose complex amplitude is modulated by radar modulation symbols [28]. Therefore, the inverse-FFT (IFFT) of the modulation symbol can be used to generate the OFDM waveforms. Having orthogonal symbols guarantees an efficient digital demodulation of the received signal, enabling digital and flexible processing. At the transmitter side, it is sufficient to calculate the IFFT of the modulation symbols to generate the waveform and then to modulate it via quadrature modulation. In a specular way, to reconstruct the transmitted symbol at the receiver side, a quadrature demodulator and the computation of the FFT are required. Then, to obtain the range-Doppler matrix (Figure 3), the modulation symbols are removed from the demodulated signal and the usual two-dimensional FFT is calculated [29]. Interference problems can be prevented by adding a cyclic prefix to the OFDM symbol, which has to be removed at the receiver side [30].

Another advantage of the digital radar is the possibility of encoding information in the generated waveform, embedding vehicle to vehicle/infrastructure communication inside the sensing task [30]. It is possible to use a communication symbol as a modulation symbol of the radar, as in the OFDM case. Using only one waveform for the two applications, i.e., communication and sensing, permits not only occupying of the available spectrum very efficiently, but also guarantees the continuous operation of both the functionalities of communication and sensing.

In conclusion, it is possible to state that analogue radars (e.g., FMCW) and digital one (e.g., OFDM) can achieve comparable performances in terms of accuracy and resolution. Digital radar guarantees a sufficiently high level of flexibility, but at a higher hardware cost, which is mainly due to the need for high-performance ADCs. On the other hand, analogue radar represents a relatively low-cost solution [4]. However, unlike digital radar, it does not permit performing of communication tasks [31].

The target direction of arrival, both in elevation and azimuth, can be estimated by applying electronic beam forming on the receiver side of the radar system [32]. In recent years, multiple-input, multiple-output (MIMO) radars have been addressed as a valid solution to increase the angular resolution. The main idea is that when using an array of  $M_t$  transmitting antennas and an array of  $M_r$  receiving antennas, using orthogonal waveforms, by exploiting time [33], frequency [34] and Doppler [35] division multiplexing, it is possible to obtain a synthetic array of  $M_r M_t$  antennas [36]. For example, Doppler division multiplexing requires to add a different phase shift to the waveform transmitted by every antenna. This is performed by multiplying it by a complex constant, which can be selected to tune the parameters of the MIMO system.

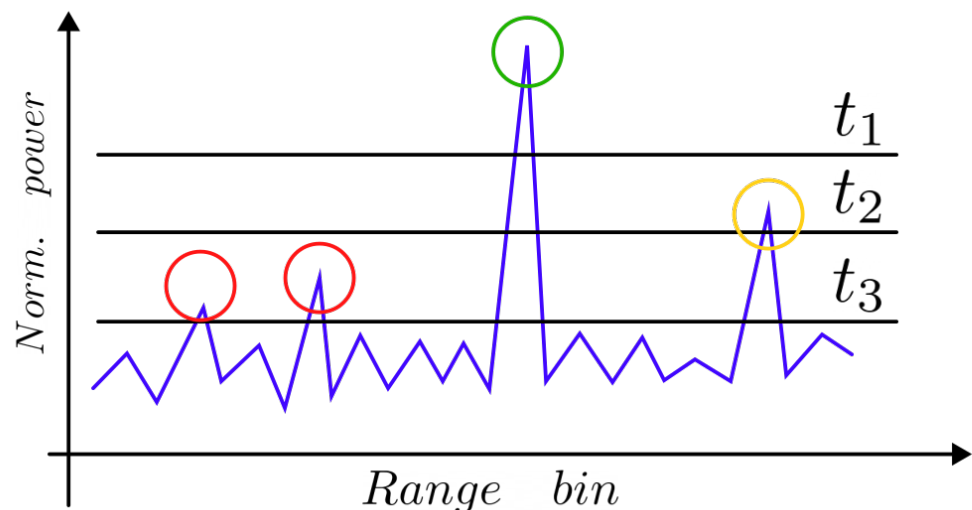
In conclusion, an extension of the 2D range-velocity matrix can be defined adding the direction of arrival of a target and its elevation. Thus, it is possible to define a point-cloud in a four-dimensional space defined by the range, velocity, direction of arrival and elevation of a target [13]. If the sensitivity of the measuring system is high enough, it is possible to

obtain an image of the surroundings of the vehicle with a quite high resolution, which can be used to extrapolate targets characteristics, and not only its presence [37]. This is one of the main trends in automotive radars [38].

### 2.3. Signal Processing and Algorithms for Radars

The radar data acquisition permits to obtain the range/Doppler matrix (Figure 3) or, if the information on azimuth and elevation is available, a 4D point-cloud, but to effectively detect a target, it is necessary to test and find the points of the matrix in which the normalized power is high enough to signal the presence of a target. To do so, a threshold can be defined.

Considering the example reported in Figure 5, where a power spectrum obtained by a range/Doppler matrix is reported, two of the four highlighted bins represent actual targets: the green one and the yellow one. If the detection threshold is set to  $t_1$ , the yellow target will not be detected, causing a target miss. On the other hand, if the threshold is set to  $t_3$ , the two red spikes in the power spectrum will also be declared as detected targets (despite the fact that they are not), causing a false alarm. Indeed, threshold  $t_2$  is the one that permits to detect both the green and yellow targets, ignoring the red ones.

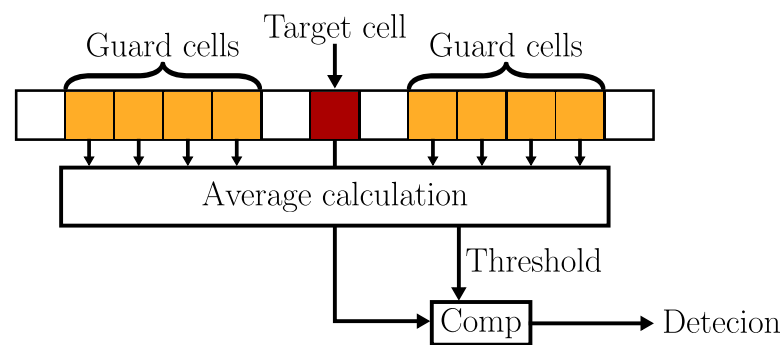


**Figure 5.** Illustration of the power spectrum along a column of the range/Doppler matrix.

From the presented simple example, it is evident that, to effectively detect and confirm the presence of a target, it is necessary to estimate the noise power introduced by reflections on non-relevant targets, like parked vehicles, and adapt the threshold level accordingly [21]. This permits us to reduce the probability of false alarms or to avoid ignoring relevant targets.

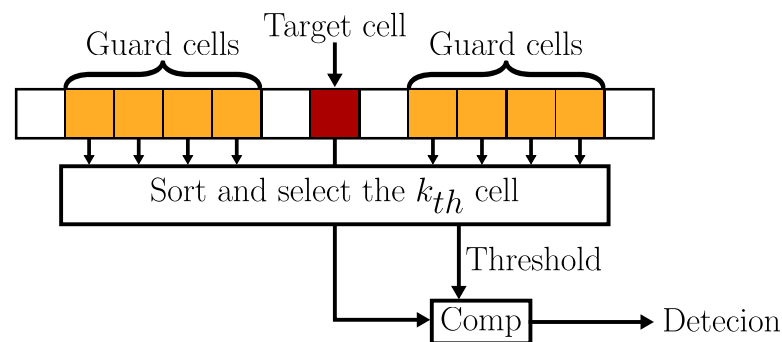
Many solutions to this problem have been proposed; in particular, three will be briefly discussed here: cell-averaging CFAR (CA-CFAR) [21], ordered-statistic CFAR (OS-CFAR) [39] and deep-learning-based CFAR (DL-CFAR) [40]. Figures 6 and 7 report a visual comparison of the presented algorithms. As can be clearly seen, the main and only difference is in the way the threshold is estimated.

CA-CFAR estimates the detection threshold upon the range/Doppler matrix cells' average value surrounding the target cell: if its power is higher than the average power of the surrounding cells, the target is declared [21]. This algorithm is quite simple, but in the case of two near targets, it is possible to lose the presence of one of the two.



**Figure 6.** Cell-averaging CFAR.

OS-CFAR estimates the threshold by ordering the surrounding cells and selecting the value of the  $k$ -th ordered cell as the threshold. This overcomes the problem of the multiple target detection introduced by CA-CFAR algorithm, but requires us to perform the sorting of the reference cells, which is a computationally intensive task. Different sorting techniques can be used to reduce the overall computational complexity of this algorithm, as the one proposed in [41].



**Figure 7.** Ordered statistics CFAR.

A different approach to CFAR problem is to use deep learning based techniques. The solution proposed by [40] is to train a neural network to recognize and remove targets from the range/Doppler map in order to estimate the noise level more precisely, without the target's influence in the map. As reported by [40], this approach guarantees the best detection rates with respect to other CFAR algorithms, maintaining a computational cost comparable to that of the OS-CFAR.

The CFAR algorithm is used to perform the detection task. Once a target is detected, it is essential to estimate its possible trajectory. For example, to perform adaptive cruise control (ACC), it is necessary to track the velocity and position of a target vehicle and set it as a target for the host vehicle.

One of the most widespread tracking techniques relies on applying Kalman filtering [42]. This type of filter is represented by a recursive set of equations that efficiently estimates the state of a process by minimizing the mean of the squared error between the prediction and the actual measurement [43]. It is possible to directly apply this concept to tasks like Adaptive Cruise Control (ACC), where the state to be estimated is the target vehicle velocity and position [44].

The current trend in radar data processing is to adopt a deep-learning-based approach to perform object detection, recognition and tracking. Comprehensive reviews on deep learning applications to radar processing can be found in [45,46]; here, some noticeable examples are reported.

Cheng et al. [37] proposed the Radar Points Detector network (RPDnet) as a deep learning solution to the detection problem, showing promising results and a better accuracy when compared to a classical CFAR approach. As presented by Kim et al. [47], combining



a Support Vector Machine (SVM) and a You Only Look Once (YOLO) model, it is possible to efficiently recognize and classify targets in radar points; in particular, they propose to identify the boundaries of the targets with the YOLO model and then use the SVM to classify them, obtaining an accuracy of 90%. Zheng et al. [48] propose Spectranet as a possible deep-learning-based approach to moving object detection and classification with an accuracy of 81.9%.

### 3. Lidar

Light-based measuring was initially proposed as a technique to measure the cloud-base height. This was performed by counting the time taken by a light pulse to travel to a cloud and back to the ground [49]. Middleton et al. proposed light detection and ranging (lidar) [50] as a range measuring technique. However, as with the invention of the laser [51], the lidar technique and principle became the one known nowadays: measuring the round-trip time of a laser pulse traveling to the target and back.

During recent years, lidar has become an essential component of ADAS systems; indeed, lidar systems have the possibility to guarantee automotive safety requirements [52].

#### 3.1. Operating Principles

Lidar's working principle is very similar to that of the radar. Despite the idea behind them actually being the same, the main difference is that in the transmitted signal, lidar uses a laser signal instead. The simplest possible lidar is composed of a laser and a photodiode. The delay measured between the transmitted and the received light is directly proportional to the target range; the basic ruling equation of this is the same as Equation (1). It is possible to construct a 3D point-cloud representing the vehicle surroundings by measuring the time of flight (ToF), i.e., the delay  $\tau$  of Equation (1), in different directions [12], defining a point-cloud whether in spherical or Cartesian coordinates depending on the type of processing and acquisition system. The 3D point-cloud can also be mixed with the GPS data to perform Simultaneous Localization and Mapping (SLAM) [53].

While the main idea remained almost unchanged, many techniques to determine the ToF and the point-cloud have been proposed, mainly working on light modulation and the way to change the laser direction to determine the 3D point-cloud.

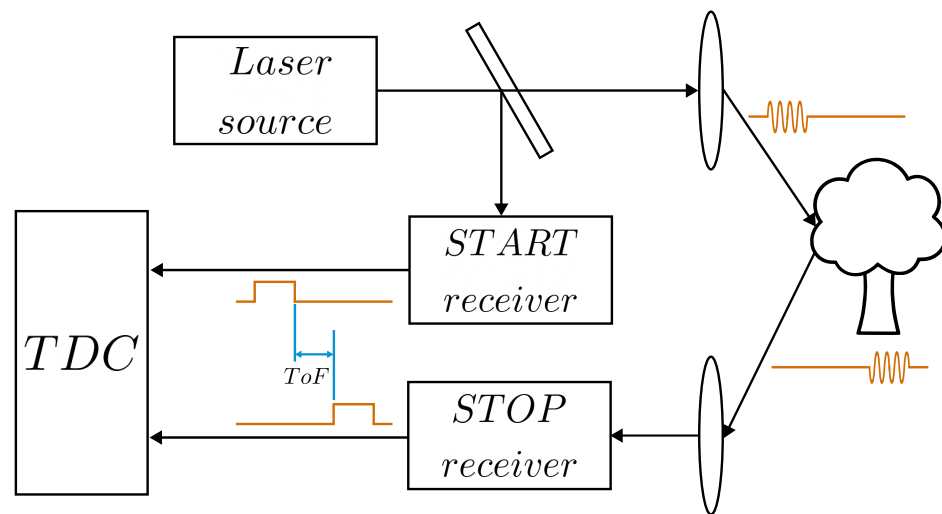
#### 3.2. Lidar Technology and Modulations

Currently, lidar is mainly operated with three different modulation schemes [7]:

- Pulsed ToF [54];
- Frequency Modulated Continuous Wave (FMCW) [55];
- Amplitude Modulated Continuous Wave (AMCW) [12].

Pulsed lidar relies on the direct measurement of the round-trip delay between the transmitted and the reflected signals. This is performed by means of high-resolution timers or time-to-digital converters (TDC) [56]. In particular, the transmitted pulse fires the start of a counter, while the sensing of the reflected signal stops it.

Figure 8 reports a pulsed ToF lidar scheme. First, the laser source produces a light pulse, which is detected by the start receiver that starts the count in the time to digital converter. Then, the target reflects the light pulse, which is sensed back by the stop receiver which stops the time counting. At the end, the round trip delay is measured, so Equation (1) can be applied directly to calculate the range. This technique is widely diffused, being a simple and low-cost solution [12]. Moreover, adopting the high-performance TDC presented in [56], it is possible to achieve a spatial resolution in the order of a few centimeters with a range of almost two kilometers.



**Figure 8.** ToF lidar scheme.

In frequency-modulated continuous-wave lidars, the emitted light field is frequency modulated and an interferometric technique is applied [6] to estimate the frequency difference between the transmitted and the received signals. From the frequency difference, it is possible to estimate the distance to the target; indeed, they are proportional, as reported in Equation (5) [7]:

$$R = f_r \frac{cT}{2B}, \quad (5)$$

where  $c$  is the speed of light,  $f_r$  is the measured frequency difference,  $T$  is the duration of the modulation and  $B$  is its bandwidth.

Using a coherent detector to estimate the frequency shift introduced by the target, it is possible to obtain a system resilient to the external light sources' interference, like the sun or lamps. Moreover, FMCW lidar introduces many other advantages, such as the possibility of estimating the target velocity by means of the Doppler shift introduced by a moving target and, operating in a continuous wave, it avoids high peak power emissions and the relative eye safety issues.

The main problem related to the use of this modulation is that it requires the use of high-performance laser and optical systems, making the whole system expensive and complex [12]. In fact, the laser has to be able to perform a wide frequency span and, at the same time, it has to maintain coherency on a wide bandwidth.

In the amplitude-modulated continuous-wave lidar, intensity modulation of a continuous light source is exploited; in particular, the round trip to the target and back introduces a phase shift proportional to the distance from the target, as reported in [7]:

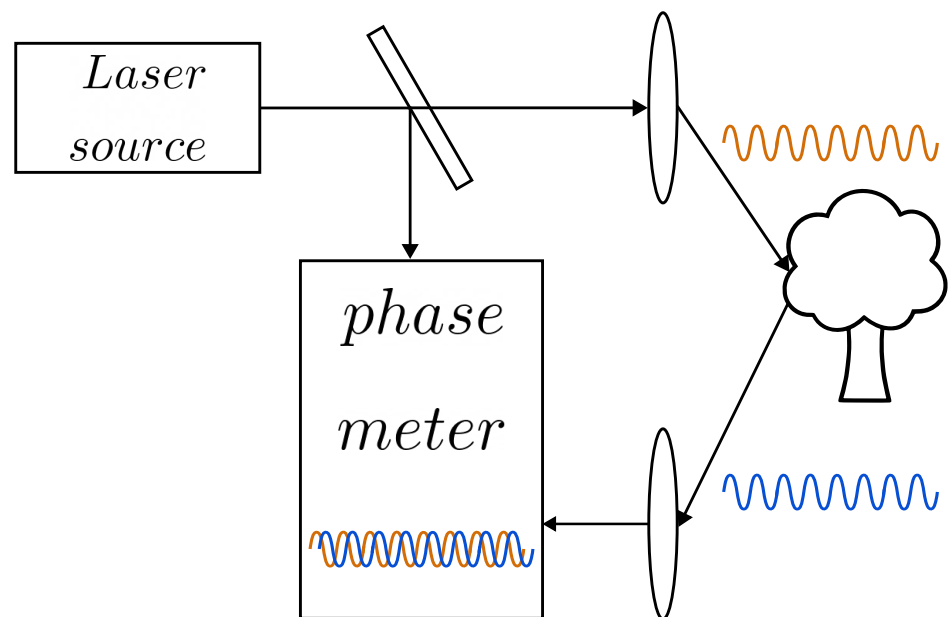
$$R = \frac{c}{2} \frac{\Delta\Phi}{2\pi f_M}, \quad (6)$$

where  $R$  is the target range,  $c$  is the speed of light,  $f_M$  is the amplitude modulation frequency and  $\Delta\Phi$  is the phase shift introduced by the target. Figure 9 reports a scheme of principle of such a system.

The modulation frequency heavily affects both the range resolution and the maximum detectable range, but it is not possible to increase the resolution without reducing the maximum range [7]. Indeed, this type of system is mainly used in near-range, high-resolution, applications.

In conclusion, the pulsed lidar seems to be the more feasible and widespread solution [12], representing a good compromise in terms of accuracy, if supported by a performing TDC, and

cost, being a relatively cheap system. On the other hand, the coherent detection, both FMCW- and AMCW-based, permits it to mitigate the effects of sensing light from external sources [11].



**Figure 9.** Scheme of the principle of an AMCW lidar system.

The presented measuring methods can be used to implement different lidar imaging systems, which can be categorized into:

- Rotor-based mechanical lidar;
- Scanning solid-state lidar;
- Full solid-state lidar.

Rotor-based mechanical lidar is the most mature imaging technique used in automotive applications [12]. This can provide a 360° horizontal field of view (FoV) by mechanically rotating the scanning system, i.e., the laser source and the receiver, while imaging along the vertical dimension is obtained by tilting the entire acquisition system or its parts, like mirrors or lenses [11].

This solution is widely used and chosen by many manufacturers, since it represents a simple but effective solution [13], even if the rotating system can be bulky and it adds some inertia to the vehicle.

An alternative to rotor-based mechanical lidar is the scanning solid-state lidar. While the former relies on rotating mechanical elements, the latter does not present any spinning mechanical parts. This permits a cheaper system with a reduced FoV. An optical phased array (OPA) [57] can be used to steer the laser beam and illuminate a specific spot at a time. On the receiver side, a similar technique [58] permits it to collect only the light arriving from the illuminated spot.

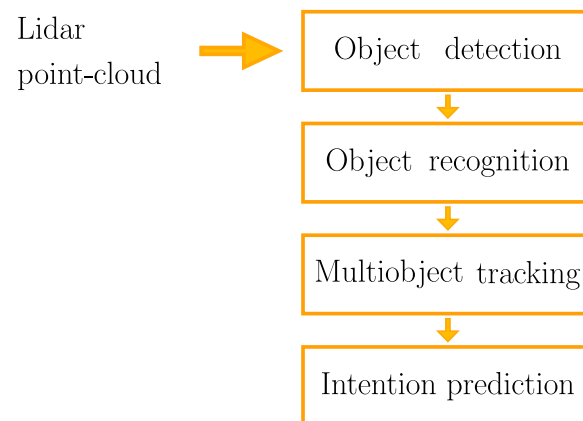
OPA-based imaging is collecting growing interest; indeed, not only it can be fully integrated on a chip, obtaining a smaller system, but the lack of inertia also permits it to increase the scanning frequency.

The full solid-state lidar is a system in which the laser source flashes and illuminates [59] all the surroundings of the vehicle. Then, an array of photodetectors collects the reflected light, and their number and density defines the spatial resolution of the imaging system. This type of system can be very expensive, due to the large number of receivers. Moreover, having to illuminate the entire FoV, the laser source requires more power than other scanning systems. Due to these limitations, solid-state lidar is applied mainly in short-range scenarios like blind-spot detection of big targets [52].

The current trend is to move in the direction of solid-state lidar [60]; this is mainly due to its lower dimension compared to the mechanically rotating lidar and the absence of inertial components.

### 3.3. Signal Processing and Algorithms for Lidar

Lidar produces a huge amount of data to represent the 3D point-cloud, but this cannot be used as-is. Some processing has to be performed to effectively detect and recognize objects. Figure 10 reports the typical flow of a lidar-based perception system [11].



**Figure 10.** Typical lidar perception flow.

Object detection aims to extract objects in the point-cloud and to estimate their physical characteristics, such as position and shape. Lidar points can be processed in spherical coordinates. In the case of a mechanically rotating lidar, the angular information can be obtained directly by the tilt and rotation angle of the apparatus, so the range points can be clustered as they are.

Bogoslavskyi proposed an efficient clustering algorithm [61], which avoids explicitly generating the 3D point-cloud as a set of points in space, but exploits the range image generated by a scanning rotating lidar, i.e., an image in which every pixel contains the distance to the target and corresponds to a particular elevation and azimuth angle. The idea behind this clustering algorithm is to consider two neighboring points in different clusters if their depth difference is substantially larger than their displacement in the range image [61]. Some ground-filtering or weather noise removal algorithms [62] can be applied in order to remove noise from the point-cloud and perform better clustering of the points.

Following the flow in Figure 10, semantic information is added to the objects by a first feature extraction step with a subsequent classification step based on them. Many features can be used as descriptors of the detected objects [63], such as the object volume computed on its bounding box, the mean object intensity [64] or features based on the statics of the point-cloud [65,66]. Then, the extracted features are used to train and evaluate machine-learning based classifiers, like support vector machines or evidential neural networks (ENN) [67]. In particular, ENN permits it to label the objects whose features were not in the training set as unknown, reducing the labeling error rate [67].

After that, the object tracking task is performed. This can be performed by correlating the current object position with the previous one. Lee proposed a Geometric Model-Free Approach with a Particle Filter (GMFA-PF) [68] that is able to be performed during the sensing period on a single CPU and is agnostic to the shape of the tracked object; an alternative to this is the use of Kalman Filtering [11].

In conclusion, intention prediction is performed. Indeed, in an autonomous driving context, it is fundamental to predict the behavior of the detected object in order to take the correct decisions depending on the possible future behavior of the other road users. The prediction is performed mainly by the means of machine-learning methods that estimate the maneuvers of the detected object from its current state. Many driving models have been

developed to predict surrounding vehicles' behavior [69], such as theory-based, physics-based and data-driven. The behavior modeling will not be presented here, since it is beyond the interests of this review. What is important to understand is that the lidar data can be used in such a context to provide real-time inputs to these models and support the decision-making process of autonomous driving vehicles.

The current trend is to adopt deep learning methods to extrapolate information from the lidar point-cloud [10]. Zhou et al. [70] propose VoxelNet as a deep neural network (DNN) for 3D point-cloud target detection and classification. Other examples of DNN application to lidar point-clouds are HVNet [71] and SECOND [72].

#### 4. Sensor Fusion

In a real-life traffic urban context, it is fundamental to accurately locate and recognize other road users. To do this, the actual trend is to mix data from different sensors [73] so that the object list stored on the vehicle, i.e., the list containing all the detected road users will contain data from different sensors. For example, a vehicle velocity can be detected by radar, while its position and shape can be detected by lidar.

Sensor fusion can be performed at different levels [74]; in particular, low-level sensor data can be passed unprocessed to a fusing algorithm [75], mid-level features extracted by every sensor can be fused to define a global object list with fused features, or the high-level object lists of every sensor can be fused together in a global one.

The overall effect of sensor fusion algorithms is to obtain an object list containing objects whose features and presence has been detected by different sensors. To do so, many algorithms have been proposed in the literature [76,77].

Radar and camera data can be mixed at mid-level [78]. For example, it is possible to use the radar to detect the presence of a target in a particular area and then the visual data from the camera can be used to recognize the object in the surrounding of the detected target, avoiding the exploration of the entire image. The lidar point-cloud can be mixed with camera images [79] to add depth information to images.

#### 5. Digital Hardware Architectures for On-Vehicle Sensing Tasks

Many sensor-related computational tasks can be accelerated in hardware. During last years, many solutions have been proposed to address different problems and algorithms, both with FPGA and ASIC implementations. Here, some examples are reported.

Subburaj et al. [80] proposed a single-chip solution for radar processing in which an FFT accelerator for the sensing task, a high performance DSP core for digital filtering and an MCU for the detection task are present. The mixed software/hardware approach guarantees a higher level of flexibility but, on the other hand, power consumption and computational efficiency are limited. The main advantage of the single-chip solution relies in the fact that, with the same system, it is possible to both drive and sample the analogue front-end, perform the detection tasks and communicate with the rest of the vehicle all with the same platform. Other noticeable implementations of single-chip radar processing systems that include hardware acceleration of computationally intensive tasks are presented in [81–83].

Many aspects of radar signal processing can be accelerated in hardware [84]. One of the heaviest computational tasks is the CFAR algorithm, since this needs to perform many operations on big matrices. Zhang et al. [85] report an FPGA implementation of the CA-CFAR algorithm obtaining real-time performances, while [86] reports an implementation of the ML-CFAR, i.e., a CFAR technique based on the estimation of the signal mean level. A more flexible and adaptive approach to the CFAR problem is presented by [87]. A highly parametrizable and configurable implementation is reported in [88], which features 7 different CFAR algorithms and guarantees real time performances. Other noticeable hardware implementations of the CFAR algorithm are discussed in [89–91].

The literature provides other examples of hardware accelerators for radar data processing.

Damnjanović et al. [92] present a CHISEL model to generate 2D-FFT accelerators, both for basic range-Doppler processing and specialized for range-angle processing; they also present a custom memory controller to deal with radar data. Saponara [84] proposes a set of hardware macrocells to perform detection starting from the base-band signal. In particular, range, Doppler and azimuth processing is performed with a set of hardware FFT, while a hardware implementation of CA-CFAR is adopted to perform peak detection.

A speed up in the overall processing can be introduced by performing the interference mitigation task on the range-Doppler matrix in hardware; for example, Hirschmugl et al. [93] propose a quantized Convolutional Neural Network (CNN) implementation to perform this task directly in the frequency domain before the object detection task, and the hardware implementation is 7.7 times faster than the presented firmware implementation.

Another hardware-compliant task is the early detection and recognition of obstacles; indeed, this is a priority task, since it is necessary to rapidly stop the vehicle if an obstacle is present and different alarm signals can be produced depending on the type of target. A system that can perform this task in real time is presented in [94]. In [95], it is possible to find an early detection hardware system that guarantees a valid detection in 41.72  $\mu$ s, giving optimal performances to the emergency breaking system. A deep learning approach that is based on quantized YOLO CNNs can be found in [96].

The problem of direction of arrival estimation is addressed in [97]; the authors present a hardware architecture to perform maximum-likelihood direction of arrival estimation that is 7 times faster than the proposed software version.

Tables 2–4 report a brief overview of the presented hardware accelerators, mainly focusing on the implemented tasks and main reported features. Operating frequency ( $f_{op}$ ) and implementation information are also reported.

**Table 2.** Examples of full radar detection system.

|      | Implemented Tasks   | Main Features   | Implementation   | $f_{op}$ [MHz] | Processing Time |
|------|---|---|--|----------------|-----------------|
| [80] | Waveform generation, FFT computation, data compression, target detection (OS-CFAR)          | Single-chip solution with RF front-end, DSP, MCU and HW accelerators  | 45 nm CMOS   | 360            |                 |
| [81] | Analog-to-digital converter, RISC-V general purpose core, FIR filter, polyphase filter, FFT | Single-chip solution for radar processing based on a RISC-V system    | 16 nm FinFET   | 410            |                 |
| [82] | FIR filtering, FFT, OS-CFAR   | Complete radar processing flow  | (Virtex7 485T FPGA)                                      |                |                 |
| [83] | Complete object detection   | Hardware acceleration of 2D-FFT, detection and angle estimation       | Integrate SoC available by Texas Instrument (AM273x SoC) | 200            |                 |
| [84] | Full target detection processing: 3D-FFT, CA-CFAR   | Range, Doppler and azimuth processing, integrated with peak detection | Around 36,000 (XA7A100T FPGA)                            | 200            | 51.2 ms         |

**Table 3.** Examples of radar hardware accelerators for CFAR.

|      | Implemented Tasks | Main Features  | Implementation                    | $f_{op}$ [MHz] | Processing Time |
|------|-------------------|--|-----------------------------------|----------------|-----------------|
| [85] | 2D CA-CFAR        | Special purpose hardware, computation and hardware complexity reduction avoiding repeated calculations | 2816 LUTs (xc7a100tcsg324-1 FPGA) | 114.19         |                 |
| [86] | 2D ML-CFAR        | Many configurable parameters, such as reference window size and protection window size                 | 8000 LUTs (xc6vlx240t FPGA)       | 220            |                 |
| [87] | custom-CFAR       | Proposal of a new CFAR algorithm, efficient sorting architecture                                       | 8260 LUTs (Altera Stratix II)     | 118.39         | 0.6 $\mu$ s     |



Table 3. Cont.

|      | Implemented Tasks       | Main Features   | Implementation  | $f_{op}$ [MHz] | Processing Time |
|------|-------------------------|---|---|----------------|-----------------|
| [89] | B-ACOSD CFAR            | Efficient HW/SW partition of the CFAR algorithm on an Altera-based system | 4723 LUTs (Altera Stratix IV)   | 250            | 0.45 $\mu$ s    |
| [90] | ACOSD-CFAR              | Efficient HW/SW partition of the CFAR algorithm on an Xilinx-based system | 10,441 LUTs (Zedboard Zynq 7000)  | 148            | 0.24 $\mu$ s    |
| [88] | Peak detector generator | Seven types of different CFAR algorithms available                        | From 630 to 7453 LUTs depending on the selected parameters (Xilinx Spartan-7) | 100            |                 |

Table 4. Examples of radar hardware accelerators.

|      | Implemented Tasks  | Main Features  | Implementation   | $f_{op}$ [MHz] | Processing Time      |
|------|--|--|--|----------------|----------------------|
| [92] | Range-Doppler processing, specialized range-angle processing, SDRAM controller for radar data processing | Parametrized hardware generators for 2D-FFT, range-Doppler and range-angle                               | From around 1000 to 60,000 LUTs, depending on the selected parameters                    |                | real time processing |
| [93] | Interference mitigation  | Quantized CNN model working with range-Doppler matrix  | 30% of available LUTs (Xilinx Zynq 7000)   | 100            | 32.8–44.4 ms         |
| [97] | Direction of arrival   | CORDIC based maximum-likelihood direction of arrival estimation, many configuration parameters available | From 900 to 14,500 LUTs depending on the selected parameters (XC7VX485T Xilinx Virtex-7) | 200            |                      |
| [94] | Early obstacle detection and recognition   | Early warning and collision avoidance system   | 10,688 LUTs (Xilinx Virtex 6)  |                | 15.86 ms             |
| [95] | Early obstacle detection   | Configurable early detection system  | 27,808 LUTs (Nexys Video Artix 7)  | 200            | 41.72 $\mu$ s        |
| [96] | Obstacle detection and tracking  | Deep-learning-based detection and tracking   | Around 38,000 LUTs (Xilinx Zynq 7000)  | 230            | 10.9 ms per frame    |

A commercial lidar (for example the Velodyne VLS-128) can produce a point-cloud at a rate of 9.6 Mpoints/s [98]. This can be quite a high data rate to be handled in real-time, so a hardware-accelerated data compression strategy, such as the one presented in [98], can be adopted to deal with real-time performances.

In the context of lidar sensing, many tasks can be accelerated in hardware; they are mainly related to the point-cloud data processing, which is performed by adopting machine learning techniques. Therefore, the acceleration of neural networks is required. Some implementation of this type of accelerators specialized for lidar processing are present in the literature [99,100]. Another computationally heavy task is the weather denoising of the point-cloud; this can also be accelerated in hardware, as proposed in [101]. Real-time lidar localization can also be accelerated; in particular, Bernardi et al. [102] present a hardware accelerator for particle filter on an FPGA platform to perform this task in real time.

A completely different approach to accelerate on-vehicle sensor processing is the use of GPU [103]; indeed, many lidar point-cloud related processing algorithms can be parallelized and efficiently executed on GPUs.

Table 5 summarizes the implemented tasks and main features of the presented accelerators for lidar-related computational tasks; the number of LUTs used in the reported FPGA implementation is also reported.

**Table 5.** Examples of lidar hardware accelerators.

|       | Implemented Tasks   | Main Features   | Implementation                        |
|-------|---|---|---------------------------------------|
| [100] | Point-cloud segmentation and classification                         | Implements the PointNet network on an FPGA platform       | 19,530 LUTs (Xilinx Zynq UltraScale+) |
| [99]  | Convolutions, rectified linear unit (ReLU), padding and max pooling | General purpose CNN accelerator                           | 10,832 LUTs (Zybo Z7:Zynq 7000)       |
| [102] | Real-time localization  | Hardware acceleration of ray marching for particle filter | 186,430 LUTs (Ultra96 XCU102)         |

## 6. Comparison and Conclusions

In conclusion, the idea behind radar and lidar is very similar, and many common aspects have been highlighted. However, some differences have to be considered. Table 6 presents a qualitative comparison between radar and lidar. First of all, the transmitted signal is different; indeed, radar uses RF signal, while lidar uses laser. Lidar produces a temporal consistent 3D point-cloud, while it is almost impossible to guarantee time consistency in radar detection array. Moreover, the DSP/data processing flow is quite different [13]. From the performance point of view, lidar is more feasible when short-range and high-resolution capabilities are required, for example in a city-like scenario, while radar is more feasible when it is necessary to detect far fast-moving targets, like in a highway scenario [74].

**Table 6.** Table with differences between radar and lidar.

|                            | Radar                                  | Lidar          |
|----------------------------|--|----------------|
| Transmitted signal         | RF signal                              | laser signal   |
| Signal source              | mm-wave antenna                        | laser          |
| Signal receiver            | mm-wave antenna                        | photodiode     |
| Output                     | 4D array (Range Doppler DoA Elevation) | 3D point-cloud |
| Range                      | long                                   | short          |
| Range resolution           | low                                    | high           |
| Angular resolution         | low                                    | high           |
| Radial velocity resolution | high                                   | low            |

One of the main challenges for radar development is the low angular resolution. In fact, to achieve the sub-degree resolution of lidar, a large radar aperture, or a high number of antennas, is required making the actual on-vehicle integration unfeasible. On the other hand, lidar is a very expensive system, and its operational range remains quite limited due to eye-safety restrictions [13]. Both sensing systems present many open challenges, and the research is still active both from academic and industrial point of view.

This review's aim was to summarize the main aspects and the state-of-the-art of these two important technologies and to highlight their differences and open points relative to these two sensing systems.

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