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CFD simulation of a high-shear mixer for food emulsion production

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ABSTRACT

Mayonnaise is a stable liquid-liquid emulsion with high content of the disperse oil phase. In the last step of its production, the emulsion is fluxed into a high-shear mixer, where the oil droplets undergo breakage until the final size distribution is reached. This step is crucial to fine-tune the droplet size distribution (DSD), in order to result into the desired structure, stability, taste and color. In this work, we aim to model this last step via computational fluid dynamics (CFD) and population balance modelling (PBM), to properly describe both the non-Newtonian dynamics of the emulsion and the evolution of the DSD. 2D and 3D CFD simulations show that attention should be paid to the grid resolution to properly describe recognizable patterns observed in experiments. Moreover, CFD and PBM simulations clarify the role of the pre- and post-mixing zones in the high-shear mixer, as well as the effect of the type of flow, pure shear vs elongational, on droplet breakage. This effort is carried out in the context of the VIMMP project (www.vimmp.eu) where this work will contribute to populate a marketplace for generic multiscale and multiphysics simulations.

1. Introduction

- Emulsions are constituted of two immiscible liquid phases of which one is dispersed in the form of drops.
- 3 Mayonnaise, the food emulsion investigated in this work, is made of a continuous aqueous phase and a disperse phase
- with high content of oil. The stability of the dispersion is provided by molecules present in the egg yolk that act as
- surfactants and accumulate at the oil-water interface preventing the coalescence of the oil droplets. The droplet size
- 6 distribution (DSD) is the most important property of the emulsion since it determines the structure, stability, taste,
- and color of the final product (McClements, 2005). The DSD in turn depends on the composition of the system, the
- type of process and the operating conditions in which the production process operates (Walstra, 1993). In general,
- the production of emulsions is based on mixing the ingredients and applying enough mechanical energy to reach the
- desired DSD. In the case of mayonnaise, this is accomplished by first mixing the ingredients (mainly egg yolk, vinegar,
- oil, water) in large stirred vessels at moderate rotational speed, and subsequently feeding such premixed emulsion into
- a high-shear device. Several high-shear devices are used in the process industry (Icardi et al., 2011; Lince et al., 2011;
- Marchisio et al., 2008) and for emulsions a popular option is the cone mill mixer, where the oil droplets undergo
- breakage until the final DSD is reached. This last step is crucial to fine-tune the DSD, in order to determine the
- properties of the final product.

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A typical cone mill mixer is constituted of a solid conical frustum rotor inside a slightly larger stator of the same shape, forming a small gap in which the emulsion flows and experiences high shear stresses, due to the high rotational speed of the rotor. The emulsion, before transiting through the high-shear region, flows into a pre-mixing chamber, followed by a post-mixing chamber.

Over time several attempts to model the DSD of mayonnaise have been made (Almeida-Rivera and Bongers, 2010; 20 Dubbelboer, 2016; Dubbelboer et al., 2016; Maindarkar et al., 2014; Wieringa et al., 1996), but there are still many issues that need to be completely understood. For example, in the range of shear stresses developed inside the cone 22 mill, highly concentrated emulsions show non-Newtonian dynamics, depending on both the oil content and the DSD, that need to be accounted for (Barnes, 1994; Dubbelboer, 2016). In addition, when processing very viscous liquids the cone mill operates in the laminar regime; however, a simple Poiseuille-Couette flow field can undergo a transition to the Taylor-Couette regime above a critical operating condition (Li et al., 2010, 2014; Noui-Mehidi et al., 2005; Wimmer, 2000; Wimmer and Zierep, 2000), where counter-rotating toroidal vortices (also known as Taylor vortices) appear. Since the transition to Taylor vortices depends strongly on the geometry of the system (Wimmer, 2000) and the contribution of the axial flow component that has a stabilizing effect on the formation of these instabilities (Giordano et al., 1998), a detailed flow field analysis must be carried out in order to predict the occurrence of these peculiar flow patterns. Previous modelling efforts focused on the high-shear zone in the cone mill, while the role of the pre- and post-mixing zones, before and after the high-shear region, was not investigated. Moreover, the influence of the local type of flow on drops breakage, namely pure-shear versus elongational, is not clear.

Here, we aim to model this last step of the mayonnaise production process in a cone mill by means of 2D and 3D computational fluid dynamics (CFD) simulations and population balance modelling (PBM) in order to: (1) properly describe the non-Newtonian dynamics of the emulsion, (2) investigate the role of the pre- and post-mixing zones and (3) clarify the importance of the local type of flow. In particular, although mayonnaise consists of two distinct phases, high internal phase emulsions can be considered as a shear-thinning pseudo-single phase system with an apparent 38 density and viscosity. The apparent emulsion viscosity, as a function of oil content, was evaluated through fitting 39 of experimental data, by using a power law model with a plateau at the high shear rate end (Dubbelboer, 2016). 40 In order to describe the evolution of the DSD, the Population Balance Equation (PBE) is employed, in which the 41 coalescence and breakage of the oil droplets are taken into account by appropriate kernels, which in turn depend on 42 the local flow conditions, which range from rotation to pure-shear and finally to elongation, depending on the relative 43 contribution of rotation and strain. Previous PBM were not capable of providing accurate predictions due to their restrictive assumptions, since coalescence was neglected (Almeida-Rivera and Bongers, 2010; Wieringa et al., 1996). In fact, although cone mills are designed to promote drop breakage, colliding droplets may coalesce under certain conditions (Maindarkar et al., 2014, 2012). The Quadrature Method of Moments (QMOM) (Marchisio and Fox, 2013;

- Marchisio et al., 2003a,b; Mazzei et al., 2012; McGraw, 1997; Sierra-Pallares et al., 2012) is used in order to solve the
- 49 PBE, whereas CFD simulations are performed with the open source CFD code OpenFOAM (version 6.0) (Buffo et al.,
- 50 2016b; Passalacqua et al., 2018).
- This paper is organized as follows: Section 2 summarizes the main equations used to describe the flow field and the
- 52 DSD evolution, Section 3 reports the details about the simulation test cases and their implementation into OpenFOAM,
- 53 Section 4 focuses on the most important results obtained, and finally Section 5 presents the main conclusions of this
- 54 work.

55 2. Theoretical background

The emulsification within the rotor-stator system investigated in this work is a steady-state process. Therefore, the well-known momentum balance equation for an incompressible, non-Newtonian, and stationary flow is solved in order to obtain the flow field (Bird et al., 1960). In this geometry, the centrifugal force that acts on the rotating fluid is not perpendicular to the surfaces of the rotor and stator. The flow field between two coaxial conical cylinders can present instabilities, known as Taylor vortices (Wimmer, 2000). These vortices are superimposed to the main Couette flow with an axial throughput (Giordano et al., 1998). For such particular system, very different flow patterns can develop inside the cone mill with varying operating conditions (Noui-Mehidi et al., 2005); however, as it will be shown in Section 4, the conditions investigated in this work only result in the appearance of laminar Taylor vortices. Therefore, the implementation of a turbulence model is not necessary.

In order to evaluate this particular flow pattern, the Reynolds number is calculated as follows:

$$Re = \frac{\omega R_{max} d}{v_{oppul}},\tag{1}$$

where ω is the angular velocity of the rotor and d is the distance (gap) between the rotor and the stator. In general, the Reynolds number for the investigated system is not constant, since the rotor radius increases from the top to the bottom of the cone mill and the viscosity changes locally as a function of the local shear rate $\dot{\gamma}$. Therefore, the maximum rotor radius R_{max} and the volume-averaged kinematic apparent viscosity of the emulsion v_{emul} are used in Equation (1). This corresponds to the definition used in previous simulation works with whose results we compared our work. For specific operating conditions, it is a good approximation to only consider the volume average of the emulsion viscosity \bar{v}_{emul} , since the local shear rate inside the gap section of the cone mill mostly depends on the tangential velocity gradient. As it will be shown in Section 4, the tangential component of velocity shows a linear profile (and a constant gradient) along the gap width for a specific rotor radius. On the other hand, along the height of the cone mill there are just small

variations of the tangential velocity gradient, since the difference between the maximum and the minimum rotor radius is small (see Figure 2).

2.1. Non-Newtonian rheology model

In order to properly describe the non-Newtonian dynamics of the emulsion, the fluid is considered as a shearthinning pseudo-single phase system, with an apparent emulsion viscosity η_{emul} evaluated through a power law model with a plateau at high shear rates fitted with experiments and reasonably accurate in the range of the local shear rate $\dot{\gamma}$ developed inside the cone mill mixer $(10^3 - 10^5 s^{-1})$ (Dubbelboer, 2016; Dubbelboer et al., 2016):

$$\frac{\eta_{emul}}{\eta_c} = \eta_r = \eta_{r,\infty} + K\dot{\gamma}^m. \tag{2}$$

In Eq. (2), η_r is the dimensionless relative viscosity, expressed as the ratio of apparent emulsion viscosity η_{emul} to continuous water phase viscosity η_c . The continuous phase consists of egg yolk, salt, and vinegar dissolved in water and its viscosity was measured to be Newtonian over the range of shear rates investigated and equal to 10 mPa·s (Dubbelboer, 2016; Dubbelboer et al., 2016). In Eq. (2), $\eta_{r,\infty}$ is the relative plateau viscosity for high shear rates. The parameters $\eta_{r,\infty}$, K, and m result from experimental data fitting and they only depend on the oil concentration (Dubbelboer, 2016). The kinematic apparent emulsion viscosity is $v_{emul} = \eta_{emul}/\rho_{emul}$, where ρ_{emul} is the apparent emulsion density, calculated as $\rho_{emul} = \rho_{oil}\phi_v/\phi_w$. Here, ϕ_v and ϕ_w are respectively the oil volume and the oil weight fractions, as reported in the reference experimental work (Dubbelboer, 2016; Dubbelboer et al., 2016). It is important to point out here that Dubbelboer (2016); Dubbelboer et al. (2016) provided a relationship for the apparent emulsion viscosity as a function of the mean oil droplet diameter in order to link the evolution of the DSD with the macroscopic rheological properties. However, in this work the approach described in Eq. (2) is employed to describe the non-Newtonian dynamics of the emulsion for sake of computational simplicity (see Section 3).

2.2. CFD and PBM description

As mentioned, the flow field of the liquid-liquid emulsion in the cone mill is described by solving the steadystate continuity and momentum balance equations for an incompressible pseudo-single phase non-Newtonian flow, as
detailed in previous works (Boccardo et al., 2014; Tosco et al., 2013). By solving these equations the emulsion velocity
in the cone mill, U, described as a pseudo-single phase fluid, is calculated. Numerous interesting flow features can be
extracted via this variable. For example, the local shear rate is calculated as follows:

$$\dot{\gamma} = 2\sqrt{II_{\rm E}},\tag{3}$$

where $H_{\rm E}$ is the second invariant of the symmetric rate-of-strain tensor E, which is in turn defined as:

$$\mathbf{E} = \frac{1}{2} \left[\nabla \mathbf{U} + (\nabla \mathbf{U})^T \right]. \tag{4}$$

Another interesting flow feature is the so-called Manas-Zloczower mixing index, defined as follows:

$$\alpha = \frac{\sqrt{II_{\rm E}}}{\sqrt{II_{\rm E}} + \sqrt{II_{\Omega}}},\tag{5}$$

where II_{Ω} is the second invariant of the skew-symmetric rate-of-rotation tensor Ω , which in turn is defined as:

$$\mathbf{\Omega} = \frac{1}{2} \left[\nabla \mathbf{U} - (\nabla \mathbf{U})^T \right]. \tag{6}$$

The mixing index α has a 0-1 range, with 0 indicating a rotational motion, and 0.5 and 1 indicating pure shear and pure elongational flows, respectively. As we will see both $\dot{\gamma}$ and α play an important role during droplet breakup.

The evolution of the droplet size distribution (DSD) is properly described by the PBE, accounting for the birth and death of droplets due to coalescence and breakage. Assuming the emulsification process at steady-state and omitting explicit indications of space and time dependencies, the PBE can be written as follows (Ramkrishna, 2000):

$$\nabla \cdot (\mathbf{U} \, n(L)) = \frac{L^2}{2} \int_0^L \frac{C\left(\left(L^3 - {L'}^3\right)^{1/3}, L'\right)}{\left(L^3 - {L'}^3\right)^{2/3}} n\left(\left(L^3 - {L'}^3\right)^{1/3}\right) n\left(L'\right) dL'$$
$$-n(L) \int_0^\infty C\left(L, L'\right) n\left(L'\right) dL' + \int_L^\infty g\left(L'\right) \beta\left(L|L'\right) n\left(L'\right) dL' - g(L)n(L), \quad (7)$$

where n(L) is the DSD (Marchisio and Fox, 2013), L is the droplet diameter as well as the internal coordinate of the PBE. The coalescence kernel, C(L, L'), and the breakage kernel, g(L), quantify the rate with which droplets coalesce and break. The daughter distribution function, $\beta(L|L')$, defines instead the size distribution of the droplets formed by the break-up of a droplet of size L'.

Thanks to the assumption of considering the emulsion as a shear-thinning pseudo-single phase, **U** in Eq. (7) is the fluid velocity obtained by solving the flow field equation (as explained above). Therefore, **U** represents the first coupling variable used in this work, and from the flow field it is possible to calculate the local shear rates $\dot{\gamma}$ (Bird et al., 1960). The right-hand side of Eq. (7) is the source term due to the coalescence and breakage of the oil droplets, which are described by means of phenomenological models called kernels (Li et al., 2017). As previously mentioned, in this work QMOM (Marchisio et al., 2003a,b) is employed to solve the PBM (Equation (7)). The general idea behind this method is to solve transport equations for the moments of the DSD. By approximating the unknown DSD, n(L),

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as a summation of Dirac functions and using a quadrature approximation of order N, QMOM leads to the following expression for the moment of order k (Marchisio et al., 2003b):

$$M_k = \int_0^{+\infty} n(L) L^k dL \approx \sum_{\alpha=1}^N w_\alpha L_\alpha^k \quad \text{with} \quad k \in 0, ..., 2N - 1,$$
(8)

where w_{α} and L_{α} are the N quadrature weights and N quadrature abscissas, in turn calculated from the first 2N lowerorder moments through so-called moment inversion algorithms, such as the Product-Difference (PD) (Marchisio and
Fox, 2013) algorithm employed in this work. The reader can refer to the literature for further details (Boccardo et al.,
2019; Li et al., 2017; Marchisio and Fox, 2013; Marchisio et al., 2003a,b; McGraw, 1997). Moreover, it is important
to remark that the moments represent integral properties of the DSD. For example, in the case investigated here, M_0 represents the number of oil droplets per unit volume, while M_3 , if multiplied by a shape volume coefficient equal
to $\pi/6$ due to spherical shape of the droplets, is equal to the oil volume fraction. Most importantly, the mean Sauter
diameter used in this work for evaluating the evolution of the DSD is simply defined as follows: $d_{32} = M_3/M_2$.

The coupling between CFD and PBM is realized here by using two approaches. Some of the simulations are run with the classical on-the-fly coupling, where the governing equations are solved simultaneously (Gao et al., 2016), whereas another part of the simulations is run with the off-line coupling, where first the CFD equations for the flow field are solved, the relevant information for the flow field is extracted (i.e. shear rate and mixing index) and finally a volume-averaged PBM is solved for the evolution of the DSD (Buffo et al., 2016a; De Bona et al., 2016).

Three important functions appear which determine the evolution and the final shape of the DSD: the coalescence kernel C(L, L'), the breakage kernel g(L) and the daughter-size distribution function $\beta(L|L')$. The coalescence kernel C(L, L'), quantifying the rate of coalescence of droplets of diameter L and L', and the breakage kernel g(L), quantifying the rate of breakage of droplets of size L, take respectively the following forms (Maindarkar et al., 2014):

$$C(L, L') = K_1 \frac{\pi}{6} \left(\frac{\dot{\gamma}}{1 - \phi_v} \right) (L + L')^3 \exp\left(-K_2 \lambda \operatorname{Ca}^{\frac{3}{2}} \left(\frac{8\pi\sigma R_{eq}^2}{A_H} \right)^{\frac{1}{3}} \right),$$

$$g(L) = K_3 \dot{\gamma} \exp\left(-K_4 \frac{\operatorname{Ca}_{cr}}{\operatorname{Ca}} \right),$$
(9)

where ϕ_v is the oil volume fraction, A_H is the Hamaker constant, λ is the ratio between the oil viscosity η_{oil} and the apparent emulsion viscosity η_{emul} , and K_1 , K_2 , K_3 , and K_4 are free adjustable model parameters to be fitted with experimental data. R_{eq} is the equivalent radius of colliding drops of diameter L and L', defined as: $R_{eq} = 2/(2/L + 2/L')$. Although the interfacial tension σ in Eq. (9) is dynamic for an oil-in-water emulsion as it varies according to local flow conditions (Anton, 2013), it is here assumed constant and equal to 10 mN/m as in the

work of Dubbelboer et al. (2016). In Eq. (9), Ca is the capillary number, defined as:

$$Ca = \frac{\eta_{emul}\dot{\gamma}L}{2\sigma},\tag{10}$$

where η_{emul} is the apparent emulsion viscosity and $\dot{\gamma}$ is the local shear rate.

The high shear rates developed inside the mixer tend to stretch the oil droplets and droplet breakage is assumed to follow the capillary instability mechanism. This means that, when the ratio of the viscous stress acting on the drops to the interfacial tension force, i.e. Ca, exceeds a critical value, i.e. the critical capillary number Ca_{cr} , a mother droplet breaks into two or more daughter droplets, depending on the form of the daughter-size distribution function $\beta(L|L')$. The critical capillary number Ca_{cr} determines the stability of the droplet and depends on the ratio between the viscosity of the disperse and continuous phases, λ , and on the type of flow inside a specific geometry (Bentley and Leal, 1986). Its expression can usually be derived from experiments and in this work we used two empirical correlations. The first one refers to the case of pure shear flow (i.e. mixing index, α , equal to 0.5) (Debruijn, 1991) as the result of single droplet breakup experiments between two concentric cylinders:

$$\log_{10} \text{Ca}_{\text{cr}} = -0.506 - 0.0994 \log_{10} \lambda + 0.124 (\log_{10} \lambda)^2 - \frac{0.115}{\log_{10} \lambda - 0.611}.$$
 (11)

It is worth mentioning that this expression is valid for $\lambda < 4$, as for $\lambda > 4$ the critical capillary number tends to infinity, implying that for $\lambda > 4$ pure shear flow is not effective in breaking the droplets. The second one refers to the case of flows with an elongational component (i.e. $0.5 < \alpha \le 1.0$) and reads as follows:

$$Ca_{cr} = \frac{0.14\lambda^{-1/6}}{\alpha^{1/2}},$$
 (12)

Figure 1 reports the dependency of the critical Capillary number versus the viscosity ratio for two values of the mixing index, namely pure shear flow, $\alpha = 0.5$, and pure elongational flow $\alpha = 1.0$. As it is seen, for every reported value of the viscosity ratio λ , the critical Capillary number for pure elongational flow is smaller than for pure shear flow, indicating that flows with an elongational component are more effective in breaking droplets. This is particularly true for highly viscous disperse phases, where $\lambda > 4$. In these cases in fact the critical Capillary number for pure shear flows is practically infinitely large, implying that pure shear flow cannot break the droplets, no matter how intense is the shear rate. When $\lambda > 4$ only an elongational component can reduce the drop size.

As far as the viscosity ratio, $\lambda = \eta_{\rm d}/\eta_{\rm c}$, is concerned, this is usually evaluated as the ratio between the disperse and continuous phase viscosity. It is however very common, in the case of dense emulsions, to use the apparent emulsion viscosity η_{emul} instead of the continuous phase viscosity $\eta_{\rm c}$, as in high disperse phase emulsions, droplets perceive a

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surrounding continuous phase with the emulsion viscosity (Jansen et al., 2001; Maindarkar et al., 2014). This is also consistent with simulating the flow field in the device by using the pseudo-single phase approach.

The coalescence kernel C(L, L') in Eq. (9) is incorporated in the model since colliding drops may coalesce 169 despite the cone mill is designed to promote droplet breakage (Maindarkar et al., 2014, 2012). The coalescence rate is 170 determined by the product of the frequency of droplet collisions and the probability that a collision event will produce 171 coalescence. The collision frequency depends on the local flow field (Klink et al., 2011), and the coalescence probability 172 depends on the capillary number Ca and the viscosity ratio λ (Chesters, 1991). Further details about the expressions of 173 kernels used in this work can be found in the literature (Dubbelboer, 2016; Dubbelboer et al., 2016; Maindarkar et al., 174 2014). As it can be seen from Eqs. (9) to (11), the coalescence and the breakage kernels are calculated as functions of 175 the local shear rate $\dot{\gamma}$ and of the local apparent emulsion viscosity η_{emul} , both resulting from solving the flow field. 176

Regarding the daughter-size distribution $\beta(L|L')$, it states the size distribution of daughter droplets originating from a mother droplet after a breakage event. Here a beta function is employed (Laakkonen et al., 2006):

$$\beta(L, L') = 180 \left(\frac{L^2}{L'^3}\right) \left(\frac{L^3}{L'^3}\right)^2 \left(1 - \frac{L^3}{L'^3}\right)^2,\tag{13}$$

where L and L' are the sizes of the daughter and mother droplets. Equation (13) assumes that two droplets are formed from a mother and that symmetric breakage is the most likely event. It is important to remind here that the choice of the daughter-size distribution function has a large impact on the final DSD (Dubbelboer, 2016; Dubbelboer et al., 2016; Maindarkar et al., 2014), but much less on the mean Sauter diameter (Gao et al., 2016), used to evaluate the evolution of the DSD in this work. Therefore, the form of the daughter-size distribution function is of secondary importance here.

85 3. Numerical details

As already mentioned, we simulated the last step of the mayonnaise production process, i.e., the cone mill mixer, by using CFD and PBM as described in Section 2. The information about the experiments is taken from the work of Dubbelboer (2016); Dubbelboer et al. (2016). More in detail, three types of mayonnaise were prepared with different concentrations of soybean oil (0.65, 0.70, 0.75 kg/kg), whose density ρ_d and viscosity η_d are respectively equal to 917 kg/m³ and 50 mPa · s (Maindarkar et al., 2014). Before pumping it into the cone mill, the mayonnaise is characterized by a coarse DSD, whose shape only depends on the initial oil content. After the continuous mixing process into the cone mill, the desired product structure is obtained, i.e. the final DSD is reached. Upstream and downstream DSD measurements are available in the literature for model validation.

Each one of these three types of mayonnaise was processed under the three different operating conditions reported in Table 1. The last column reports the corresponding Reynolds numbers for the intermediate soybean oil concentration (0.70 kg/kg). As it can be seen, only for experiment 1 the Reynolds number exceeds the critical Reynolds number (also corresponding to the highest Taylor number), highlighting for this operating condition the presence of Taylor vortices (Li et al., 2010, 2014) (see Section 4.1).

A sketch of the cone mill mixer, together with its 2D and 3D representations, is reported in Figure 2. It consists of a solid conical frustum rotor, which rotates clockwise inside a slightly larger stator of the same shape. This configuration forms a small gap in which the emulsion flows from the top to the bottom of the cone mill. The chambers before and after the small gap ensure a homogeneous composition of the emulsion but their role on determining the final DSD is not fully clear (Dubbelboer, 2016; Dubbelboer et al., 2016). This is why we considered different geometries for the simulations, including 2D and 3D representations of the gap region, with and without the pre- and post-mixing chambers. Finally, it is worth mentioning that the pilot scale apparatus (manufactured by IKA) employed in experimental measurements has a more complex geometry, but the representation reported in Fig. 2 is a reasonable compromise between computational costs and accuracy (Dubbelboer, 2016).

All the simulations were performed with the open source CFD software OpenFOAM (version 6.0). In order to evaluate the flow field, the SRFSimpleFoam solver is employed, which is a steady-state solver for incompressible flows in a single rotating frame. This solver adopts the SIMPLE algorithm for the solution of velocity and pressure coupling. The viscosity model of the emulsion described in Section 2.1 is implemented as an add-on library.

In order to properly describe the flow field in the cone mill a grid independence study has been conducted. The six different grids described in Table 2 were tested. These six grids refer to the 2D geometry limited to the gap, without pre- and post-mixing chambers. The Table reports the grid resolution along the axial and radial directions, the total number of cells, the resulting number of Taylor vortices and the volume-averaged shear rate. Only the fine resolution of Grids 4, 5 and 6 makes it possible to properly describe the flow field, both in terms of the number of Taylor vortices and volume-averaged shear rate. A similar information is reported in Fig. 3 where a contour plot of the shear rate is reported for Experiment 3 for Grid 1 and Grid 4. As it is seen the vortex structured emerges and is correctly described only at the second grid resolution. All subsequent results refer to a grid resolution of at least 25 × 360 cells in the gap. The PBM is solved by means of the QMOM with a three node quadrature, meaning that the first six moments

of the DSD are transported. Although in general the evolution of the DSD has an effect on the emulsion viscosity (Barnes, 1994), the rheology model here implemented depends only on the disperse phase volume fraction and not on the oil droplets size. Therefore the hydrodynamics of the emulsion does not depend on the DSD (see Section 2.1). As a consequence, in all simulations at first only the governing equations of SRFSimpleFoam are solved, in order to obtain a steady-state flow field information. Then, the variables linking CFD and PBM together, i.e. viscosity and velocity

fields (see Section 2.2), are transferred to the PBM model. As mentioned, in this work two approaches are used; for a limited number of cases the viscosity and velocity field are transferred to the modified scalarTransportFoam in order to 227 solve the PBM, within the CFD code but assuming the flow field was frozen. Further details about this implementation 228 can be found in our previous works (Boccardo et al., 2019; Buffo et al., 2013, 2016b; Passalacqua et al., 2018). Here, 229 the six moments are considered as scalars and their transport equations are incorporated in the scalarTransportFoam 230 module of OpenFOAM that provides a solver for steady or transient transport equation for a single passive scalar, 231 obtaining a modified solver for the solution of the six equations simultaneously. The coalescence and breakage kernels 232 described in Section 2.2 are used to evaluate the droplet coalescence and breakage rates. Alternatively, the shear rate, 233 $\dot{\gamma}$, and the mixing index, α , within the cone mill are extracted and the PBM is solved in a simplified form as described 234 in previous works (De Bona et al., 2016). 235

An overview of the numerical schemes and of the boundary conditions used in this work can be found in Table 3. Regarding inlet boundary conditions, the zero gradient condition is set for the pressure, whereas for the velocity a inlet constant profile is imposed, whose value is calculated from the inlet mass flow rate corresponding to experiments reported in Table 1. The values of inlet moments (and consequentially the inlet d_{32} value) are calculated from the experimentally measured inlet DSD.

4. Results and discussion

In this section, the most significant results of the simulations performed will be presented. First, the flow field of the emulsion inside the mixer is shown, then, results of the PBM simulations are also discussed and compared with experimental data.

4.1. Flow field results

It is interesting to compare the CFD results obtained with the 2D and 3D geometries with the pre- and post-mixing chambers. Figure 4 reports this comparison in terms of the axial velocity across the gap for three different axial positions (inlet, center and outlet) for one operating condition, namely experiment no. 1 of Tab. 1. As it is seen, no significant difference is observed between the 2D and the 3D predictions, probably due to the intrinsic axialsymmetry of the cone mill. For this reason from now on only 2D results will be presented and discussed. The streaklines for one operating condition are reported in Fig. 5. As it is seen two large recirculation zones are identified in the pre- and post-mixing chambers.

As expected, the CFD simulations show a high velocity gradient due to the high rotational speed, in particular the highest velocity corresponds to the tip velocity of the rotor, as it can be seen in Fig. 6, which shows the contour plot of

the velocity magnitude in a magnified longitudinal section of the cone mill with oil concentration equal to 0.70 kg/kg (70 wt%) and at operating conditions corresponding to experiment no. 1.

Since the rotational speed of the rotor is considerably higher than the inlet fluid velocity, the tangential component of velocity is larger than the axial and radial one and the contour plot of the tangential velocity is very similar to the one reported in Fig. 6. Moreover, the contour plot shows that the tangential velocity has a linear profile along the gap, with the maximum value at the rotor wall and the minimum one at the stator wall, like in a Couette flow.

Although the main velocity component is the tangential one, it is more interesting to observe the trend of the axial component of the emulsion velocity (z-component). Figure 7 reports the axial velocity profiles versus the normalized distance from the rotor wall at half height of the cone mill, with oil concentration equal to 0.70 kg/kg (70 wt%), and for different operating conditions (see Table 1). In experiments no. 2 and 3 the axial velocity presents a parabolic profile, in which the maximum value depends on the inlet flow rate. It is worth reminding here that the fluid flow enters where the cone mill has the smaller radius (top) and exits where it has the larger one (bottom) (see Figure 2), explaining the reason of the negative values of velocity. Experiment no. 1 presents a different shape of the axial velocity. Close to the rotor wall, the axial velocity points downward, while its direction is opposite close to the stator wall. Therefore, the presence of such backflow suggests that a large vortex appears for this operating condition, extending over the entire height of the cone mill. This situation has already been observed in previous works, performed with null axial flow (Li et al., 2010, 2014). For a better understanding of the fluid flow, Reynolds numbers (defined in Equation (1)) are calculated for the same conditions of the Figure 7 and the results are summarized in Table 1. For experiment no. 1 Re is higher than Re_c (equal to 132 (Noui-Mehidi et al., 2005)), at which value the flow starts to become unstable and the first large Taylor vortex appears. Although it has been shown experimentally that the axial flow has a stabilizing effect on the formation of instabilities, increasing the value of Re_c (Giordano et al., 1998), Reynolds numbers (and, correspondingly, Taylor numbers) shown in Table 1, for experiment no. 1, is high enough to justify the axial velocity trends shown in Figure 7 and to imply the presence of a large vortex filling the entire height of the cone mill.

At last it is interesting to discuss the predictions for the shear rate, the mixing index and the corresponding Capillary number. Figure 8 reports the contour plots for the ratio between the Capillary number and the critical Capillary number, calculated by using the emulsion viscosity and the viscosity of the continuous phase, as well as the mixing index for experiments no. 1 and 3. Closer observation of Fig. 8 highlights that most of the breakage occurs in the gap, where the Capillary number is larger than its critical value, due to pure shear, namely $\alpha \approx 0.5$. Larger values of the mixing index, namely $\alpha \approx 1$, are observed in the pre- and post-mixing chambers, where however the shear rate is not large enough to ensure a value of the Capillary number greater than its critical value. This is also confirmed by the results reported in Fig. 9, where the volume distribution of shear rate and mixing index across the cone mill are reported for the three investigated operating conditions. As it is seen the highest hear rates ($\gamma \geq 10^3$ 1/s) are observed in regions

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characterized by mixing index approximately equal to 0.5. We can therefore conclude that, although elogational flow,
generally more effective in breaking droplets, is observed in the pre- and post-mixing chambers, most of the droplet
breakup occurs within the cone mill gap due to pure shear.

4.2. PBM results

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As already mentioned, the high rotational speed of the cone mill mixer develops a high-shear rate inside a narrow gap, in order to obtain the final product with the desired features.

Figure 10 reports the trend of the number of the oil droplets per unit volume, corresponding to the moment of order zero of the DSD, and the oil volume fraction, proportional to the moment of order three of the DSD, along the normalized distance from the middle point of inlet to the middle point of outlet of the cone mill mixer for different oil concentrations. Since the oil concentration does not change due to droplet coalescence and breakage, the third-order moment, which is proportional to the oil volume fraction, remains constant along the gap and is equal to the corresponding values of different types of mayonnaise, as reported in a previous work (Dubbelboer et al., 2016). On the other hand, the number of oil droplets per unit volume (the moment of order zero) increases, meaning that droplets break moving through the mixer, since the total oil amount is constant. In addition, it is important to note that the oil droplets number is larger for higher oil concentrations.

The evolution of the DSD is reported in terms of the mean Sauter diameter d_{32} , calculated as the ratio between the 302 third and second-order moments. Thanks to the link between PBM and CFD, as described in Section 2.2, it is possible 303 to highlight the influence of the flow field, and in particular the high shear rates and the axial velocity, on the trend of 304 d_{32} . Figure 11 reports the contour plot of d_{32} along a longitudinal section of the cone mill with oil concentration equal 305 to 0.70 kg/kg (70 wt%) for the experiments n. 1 and 3. As it can be seen, d_{32} decreases along the flow direction, since 306 droplets undergo breakage induced by the high shear rates inside the mixer. These trends exactly reproduce what it 307 is expected from experimental observations (Dubbelboer, 2016; Dubbelboer et al., 2016). The insets in Figure 11 are 308 magnified sections of the cone mill that show in detail how the flow field, and in particular the axial velocity, influences d_{32} trends. For experiment n. 3, the d_{32} presents a parabolic profile along the gap width, with the higher value at the center of the gap and the lower at the walls, similarly to the respective axial component of velocity (see Figure 7). For experiment n. 1, the situation is different from experiment n. 3. In this case, it is represented very clearly how the flow field can influence the local DSD. As it is reported in Section 4.1 and Figure 7, the presence of Taylor instability inside 313 the mixer leads to a particular shape of velocity profile. Therefore, the link between CFD and PBM is able to show that 314 the oil droplets are bigger close to the rotor and smaller close to the stator. The average outlet values of d_{32} shown in 315 Figure 11 are equal to 7.1 μ m for case no. 3 and 5.4 μ m for case no. 1, whereas the experimental ones are respectively 316 7.7 μ m and 6.6 μ m (Dubbelboer et al., 2016), with a relative error under 20%. This outcomes are obtained by suitably 317

adjusting the free parameters that appear in coalescence and breakage kernels, through a trial and error procedure and more details on this are given below. It is important to point out that the results reported here have illustrative purposes, to show the capabilities of the CFD-PBM approach. Achieving a unique and generic set of the free parameters that matches all the experimental data, using optimization and uncertainty quantification techniques, will be the scope of future works.

As just mentioned the predictions of the PBM are highly affected by the values of the model parameters appearing in the coalescence kernel, K_1 and K_2 , and the breakage kernel, K_3 and K_4 . Due to the semi-empirical nature of these kernels and the many approximations adopted in their derivation, their values cannot be predicted by the theory but have to be fitted with experiments. An example of the influence of the model parameters on the final predictions can be found in Table 4. As expected by increasing K_1 coalescence becomes more important and the mean Sauter diameter increases, and conversely by increasing K_3 or K_4 breakup becomes more important and the mean Sauter diameter decreases.

In order to optimize the model parameters K_1 , K_2 , K_3 and K_4 the PBM, solved in the simplified form described in our previous work (De Bona et al., 2016), has been coupled with the covariance matrix adaptation evolution strategy (CMA-ES) algorithm (Hansen, 2006). The algorithm minimized the normalized distance between the predicted mean Sauter diameter and the corresponding experimental values, resulting in the final predictions reported in Table 5. The optimization was performed with the PBM implemented in its simplified form, rather than with the full CFD-PBM due to the computational costs associated with the full CFD-PBM which are prohibitive for these applications. As it can be seen from Table 5 the comparison is satisfactory as the PBM is capable of capturing the most important trends for the investigated operating conditions.

5. Conclusions

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In this work we presented a modelling approach for the food emulsion production in a high-shear mixer. A flow 339 field analysis performed with CFD shows that recognizable patterns for the investigated geometry are in agreement 340 with previous experimental works. In particular, the tangential component of the emulsion velocity shows a Couette 341 flow, whereas the axial velocity trends depend on Reynolds number: for $Re < Re_c$, a Poiseuille flow develops inside 342 the gap of the mixer, but above Rec the first instability appears and backflow occurs. Thanks to the coupling between 343 CFD and PBM, demonstrated with two approaches, it is possible to obtain a better understanding of the flow influence 344 on the evolution of the droplet size distribution. In particular the role of the type of flow, elongational versus pure 345 shear, and the role of the pre- and post-mixing chambers in the cone mill have been elucidated. This model accounts 346 for both the coalescence and the breakage of the oil droplets, which depend in turn on the local flow conditions. From 347 the evaluation of the mean oil droplet diameter, the simulations are able to show that the breaking of the oil droplets 348

promoted by the high shear rates prevails over the coalescence phenomenon, reproducing correctly the experimental
data. As a powerful and convenient method for such kind of applications, QMOM is employed for the solution of the
population balance equation, taking into account the actual hydrodynamics of the emulsion in order to provide a more
accurate prediction of the droplet size distribution.

The results presented here will also serve as the basis for future extension of this work in several directions. First, an optimization procedure will be employed in order to identify an accurate and generic set of kernel constants reproducing the available experimental data. Then, these simulations can be included in a more general multi-scale framework in which the effect of the DSD on the emulsion viscosity can be taken into account via detailed front-tracking simulations, and the interfacial tension can be directly computed with the help of atomistic techniques, such as molecular dynamics or dissipative particle dynamics.

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65 Conflict of interest

The authors declare no potential conflict of interests.

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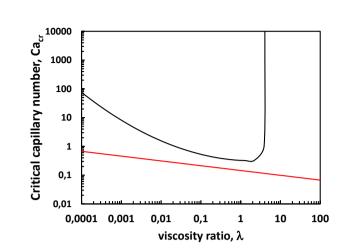


Figure 1: Dependency of the critical Capillary number versus the viscosity ratio for pure shear flow (black line $\alpha = 0.5$) and pure elongational flow (red line $\alpha = 1.0$).

Table 1

Design of experimental test cases from the work of Dubbelboer (2016); Dubbelboer et al. (2016) and corresponding Reynolds numbers at oil concentration equal to 0.70 kg/kg (70 wt%).

Experiment no.	Rotor speed (rpm)	Gap (mm)	Inlet mass flow rate (kg/h)	Re	
1	6039	0.624	31	140.0	> Re _c
2	6784	0.208	15	61.2	
3	3170	0.624	64	64.6	

Table 2 Grid independence study on a 2D geometry without pre- and post-mixing chambers for Experiment n. 1 and for a disperse phase concentration of 0.65 kg/kg.

	Grid 1	Grid 2	Grid 3	Grid 4	Grid 5	Grid 6
Number of cells along the radial direction	10	15	20	25	30	35
Number of cells along the axial direction	150	220	285	360	430	500
Total number of cells	1500	3300	5700	9000	12900	17500
Number of Taylor vortices	3	16	19	21	21	21
Volume-averaged shear rate (1/s)	28991	29733	30339	30540	30605	30758

Table 3

Numerical schemes and boundary conditions used in the simulations.

Variable	Scheme	Boundary condition				
		Rotor wall	Stator wall	Inlet	Outlet	
Pressure Fluid velocity Moments	Second-order central scheme Bounded second-order upwind Bounded first-order upwind	Zero gradient Rotor speed Zero gradient	Zero gradient No-slip Zero gradient	Constant profile	Fixed value Zero gradient Zero gradient	

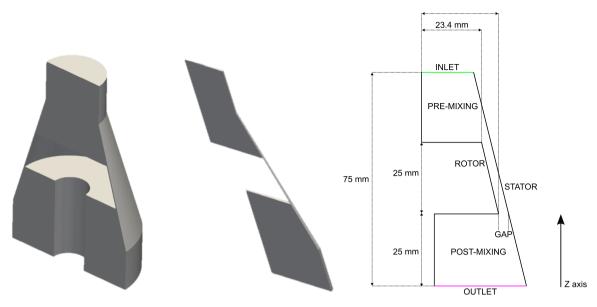


Figure 2: Sketch of the cone mill mixer (right) and corresponding 3D (left) and 2D (center) representations.

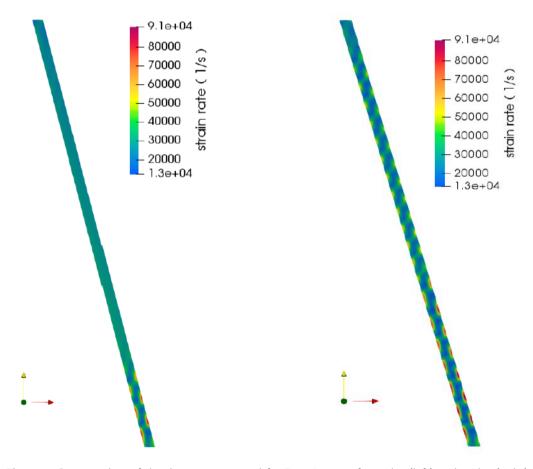
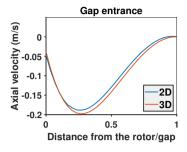
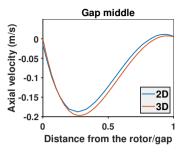


Figure 3: Contour plots of the shear rate reported for Experiment 3 for grid 1 (left) and grid 4 (right).





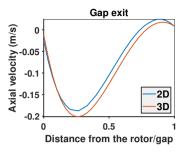


Figure 4: Axial velocity across the gap (normalized distance from the rotating wall) at the gap inlet, center and outlet, as predicted by 2D and 3D simulations for experiment no. 1 and for a disperse phase concentration of 0.70 kg/kg.



Figure 5: Streaklines for experiment no. 1 and for a disperse phase concentration of 0.65 kg/kg

Table 4

Comparison between PBM predictions and experimental measurements for the mean Sauter diamater for experiments no. 1 and 3 for different values of the coalescence kernel constants, K_1 and K_2 , and the breakage kernel constants, K_3 and K_4 .

Experiment	K_1	K_2	K_3	K_4	$d_{32}^{Model}(\mu { m m})$	$d_{32}^{Exp}(\mu \mathrm{m})$
no. 1	$ \begin{array}{c} 1 \times 10^{-6} \\ 2.154 \times 10^{-4} \\ 1 \times 10^{1} \end{array} $	1.498×10^{-6}	2.154×10^{-4} 4.642×10^{-2} 2.154×10^{-4}	1.744×10^{-3} 2.684 2.684	6.9 3.6 23.7	6.6
no. 3	$ \begin{array}{c} 1 \times 10^{-6} \\ 2.154 \times 10^{-4} \\ 1 \times 10^{1} \end{array} $	1.077×10^{2} 1.498×10^{-6} 1.077×10^{2}	2.154×10^{-4} 4.642×10^{-2} 2.154×10^{-4}	1.744×10^{-3} 2.684 2.684	29.1 6.7 32.0	7.7

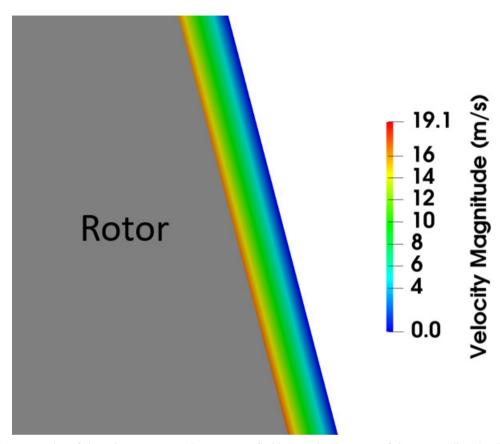


Figure 6: Contour plot of the velocity magnitude in a magnified longitudinal section of the cone mill with oil concentration equal to 0.70 kg/kg (70 wt%) and at operating conditions corresponding to experiment no. 1 (see Table 1).

Table 5
Final comparison between the mean Sauter diameter predicted by the PBM and measured experimentally for experiments no. 1, 2 and 3 and for the three disperse phase concentrations investigated in this work.

	Mayonnaise	1 (0.65 kg/kg)	Mayonnaise	2 (0,70 kg/kg)	Mayonnaise 3 (0,75 kg7kg)		
Exp.	exp. data	model pred.	exp. data	model pred.	exp. data	model pred.	
1	7.206	9.530	6.587	5.180	4.647	3.180	
2	8.787	9.250	5.844	4.780	4.815	2.950	
3	12.364	11.99	7.713	6.130	6.063	3.830	

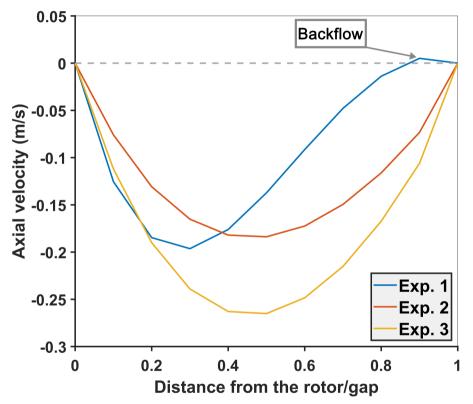


Figure 7: Axial velocity profiles versus the normalized distance from the rotor wall at half height of the cone mill with oil concentration equal to 0.70 kg/kg (70 wt%) and for different operating conditions (see Table 1).

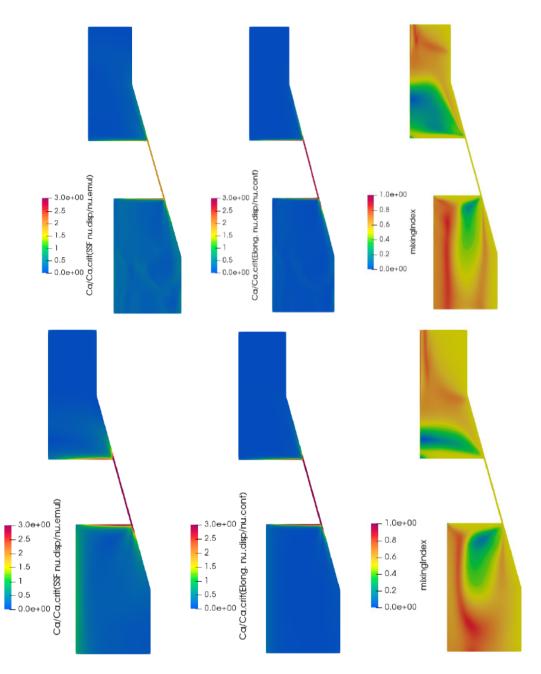


Figure 8: Contour plots for the ratio between the Capillary number and the critical Capillary number calculated by using the emulsion viscosity (left) and the continuous phase viscosity (center) and the mixing index, α (right) for experiment no. 1 (top) and no. 3 (bottom).

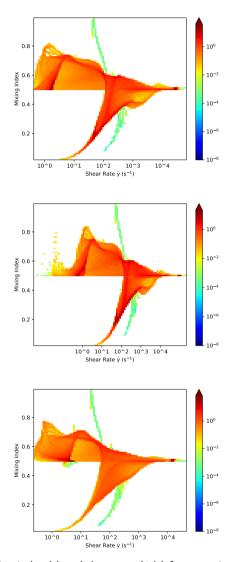


Figure 9: Volume distribution of mixing index (-) and shear rate (1/s) for operating conditions corresponding to experiment no. 1 (top), no. 2 (middle) and no. 3 (bottom).

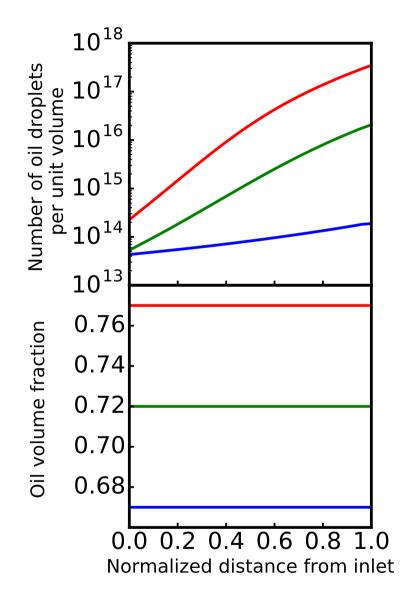


Figure 10: Trend of the number of oil droplets per unit volume (M_0 , top) and oil volume fraction ($\pi/6M_3$, bottom) along the normalized distance from the middle point of inlet to the middle point of outlet of the cone mill mixer, for oil concentrations equal to 0.65 kg/kg (blue line), 0.70 kg/kg (green line), and 0.75 kg/kg (red line) in the experiment n.3 (see Table 1).

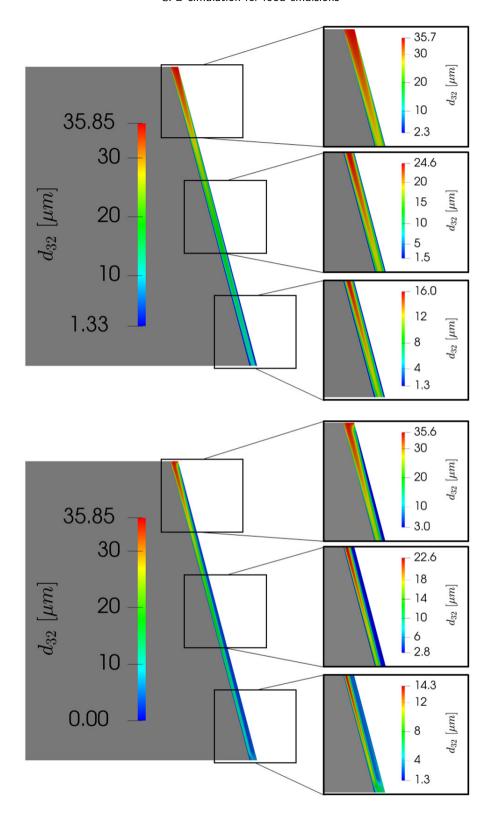


Figure 11: Contour plots of the mean Sauter diameter d_{32} of oil droplets along a longitudinal section of the cone mill with oil concentration equal to 0.70 kg/kg (70 wt%) for the experiments n. 3 (top) and n. 1 (bottom) (see Table 1). Insets are magnified gap sections.