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# Optimal design of cubic nonlinear energy harvester device for random vibrations

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## Abstract

Linear energy harvesters have a narrow frequency bandwidth and hence operate efficiently only when the excitation frequency is very close to the fundamental frequency of the harvester. Consequently, small variations of the excitation frequency around the harvester's fundamental frequency drop the energy output making the harvesting process inefficient. To extend the harvester's bandwidth, some recent solutions call for using electromechanical devices with stiffness-type nonlinearities. This work deals with the optimisation of the performance of a single degree-of-freedom electromagnetic energy harvester whose mechanical behaviour has a Duffing-type nonlinearity, as for suspended masses, to reduce the size of energy harvesting devices without affecting their power output. The vibration input is assumed as a broadband Gaussian white noise base acceleration. It is analytically shown that the optimum load resistance of the device is different to that which is dictated by the principle of impedance matching.

*Keywords:* Energy Harvesting, Duffing-type nonlinearity, Ambient vibration, Threshold displacement, Optimization

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## 1. Introduction

In recent years, much attention has been focused on the development of microelectromechanical systems (MEMS) which do not have to rely on external energy sources [1, 2]. The advantages of small self-powered sensors are numerous, especially when one considers large systems of sensors such as those that

6 are often used in structural health monitoring applications. In such cases, al-  
7 leviating the need for an external energy source would eliminate the need for  
8 batteries which are often bulky and have a finite shelf-life [3]. Additionally,  
9 it may allow sensors to be placed in more hostile or inaccessible environments  
10 (such as inside rotating machinery or on bridges). To this end, the concept of  
11 harvesting electrical energy from mechanical vibrations has become a popular  
12 area of research [4]. With this aim in mind, a range of different energy harvest-  
13 ing devices has been developed for both MEMS scale and larger-scale systems.  
14 A review of such devices can be found in [5, 6]. In the first studies, an energy  
15 harvesting device relying on the electromagnetic induction that can be observed  
16 in a coil of wire when placed in a time varying magnetic flux (Faraday's law) was  
17 proposed [7]. To achieve this, a permanent magnet is attached to a vibrating  
18 base such that, when excited, the magnet oscillates within the coil. The device  
19 is modelled as a base excited mass-spring-damper where the damper is used  
20 to represent a combination of parasitic losses and the transfer of energy from  
21 the mechanical to the electrical domains. Subsequently, the dynamic response  
22 and the power output of other linear single-degree-of-freedom (SDOF) system  
23 devices to harmonic base excitations were analysed in several works. In [8] it  
24 is concluded that such an energy harvesting device would produce the most  
25 power if the damping caused by the electromagnetic coupling is equal to the  
26 mechanical damping present in the system, although that such a large coupling  
27 may be difficult to obtain. A detailed analysis of the ability of such devices to  
28 deliver power across a load resistor is also provided in [9]. It is concluded that  
29 maximum power output could be achieved when the coil resistance is equal to  
30 the damping due to the combination of mechanical and electrical losses in the  
31 device. While many of the afore mentioned works focus on the response of en-  
32 ergy harvesting devices to sinusoidal excitations, it was soon realised that many  
33 ambient vibrations sources can actually be somewhat stochastic in nature. This  
34 led to a significant body of work which focused on the analysis of the response  
35 of energy harvesters to random excitations [10, 11, 12, 13, 14, 15, 16], something  
36 which is also be the focus of this paper.

37 With regards to the parasitic damping present in such a device it is intu-  
38 itive to suggest that the minimisation of mechanical losses would maximise the  
39 energy available for transfer into the electrical domain. However, in Stephen [9]  
40 it is pointed out that a reduction in mechanical damping would lead to higher  
41 amplitude oscillations which may not be possible in small devices. As a re-  
42 sult, Stephen concluded that the size is one of the main limiting factors with  
43 respect to energy harvester design - especially when considering devices which  
44 are designed to work alongside microelectromechanical systems.

45 Another conclusion that can be drawn from the literature is that several  
46 devices tailored to power maximisation only efficiently work if excited close to  
47 their resonance frequency and, therefore, they only perform well over a nar-  
48 row bandwidth. As the dominant frequencies of most ambient vibrations are  
49 broadband, or time dependent, one can hypothesises that such a device will need  
50 to operate well over a larger bandwidth if it is to be used in many real world  
51 applications (the characteristics of several different types of ambient vibration  
52 are described in [17]).

53 Consequently, much effort has been directed towards the development of de-  
54 vices which are designed to work well over a larger bandwidth (reviews of which  
55 can be found in [18] and [19]). Of particular interest here are the findings shown  
56 in [20] where it is suggested that the introduction of Duffing-type nonlinearities  
57 into an SDOF electromagnetic device may help to extend its useful bandwidth.  
58 To achieve this, the centre magnet of the device is held in suspension by two  
59 outer magnets which were orientated such that their poles are repelling that  
60 of the centre magnet. It is shown that this configuration introduces a cubic  
61 spring term, similar to that of the mono-stable hardening spring Duffing oscilla-  
62 tor. This nonlinearity has the effect of “skewing” the frequency response of the  
63 device which, it was hypothesised, may allow the device to function well over a  
64 larger bandwidth. Subsequently, in [21] the response of the device to a broad-  
65 band white noise excitation is analysed using the Fokker-Planck-Kolmogorov  
66 (FPK) equation. It is concluded that the nonlinear stiffness term has no ef-  
67 fects on the velocity probability density function of the centre magnet and, as

68 a result, has no benefit with regards to power output [22].

69 Although it is shown that Duffing-type nonlinearity can help in targeting the  
70 size of the energy harvesting device without affecting the power output [23, 24],  
71 limited studies on the optimal design of such a device when subjected to white  
72 noise excitation have been produced. A device able to harvest ambient vibra-  
73 tions, hence a broadband source, with controlled displacements, thus limited  
74 possibility for failure, would be expected [16]. Truong and colleagues proposed  
75 a system that limits the maximum displacement by modifying the electrical  
76 damping of the system [25]. For this purpose, the present paper deals with the  
77 response of a mono-stable device with Duffing-type nonlinearities considering a  
78 Gaussian white noise excitation. The main scope is the simultaneous optimi-  
79 sation of the electric power output and the mechanical maximum displacement  
80 (both of them in a stochastic measure). The electromechanical system was sim-  
81 plified by considering the effects of inductance negligible. The electromechanical  
82 system was thus modelled as a nonlinear SDOF system. A cubic nonlinearity  
83 with respect to the displacements was assumed - Duffing-like system - as it is a  
84 common case for vibrating cantilevers under large vertical displacements or sim-  
85 ilar mechanical systems. The input was assumed as a broadband acceleration,  
86 modelled as a white noise Gaussian stochastic process. Differently from [16], the  
87 mechanical system response statistics (namely the stationary joint state space  
88 probability density function) was derived from the Fokker-Planck-Kolmogorov  
89 (FPK) equation, as done in many references. It is stressed that the nonlinearity  
90 level cannot affect the electric power output, but it can reduce the amplitude  
91 of the maximum expected displacement. The maximum displacement is quan-  
92 tified in terms of exceedance probability  $P_f$  during an excitation time  $T$ . The  
93 results of the analysis were used for a constrained optimisation criterion where  
94 the mean value of the electric power is maximised under the constraint that the  
95 maximum displacement will not reach a given threshold during a time  $t \in [0, T]$ .

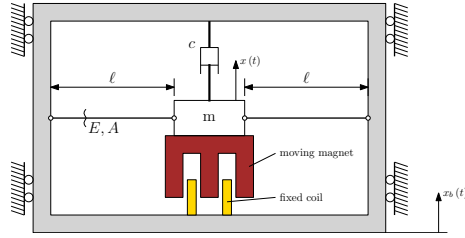


Figure 1: Sketch of the energy harvesting device. The mass, in white, is connected to the main case with two rods of length  $\ell$  and can move vertically, only.

## 96 2. Problem formulation

97 A schematic of the mechanical component of a SDOF energy harvesting  
 98 device with a cubic stiffness nonlinearity is shown in Figure 1. The mass,  $m$ , is  
 99 connected to the main case (box) of the energy harvester with two linear elastic  
 100 rods of length  $\ell$ . The rods have cross-section area equal to  $A$  and are made of a  
 101 material with Young's modulus  $E$ . The mass is connected to the main case also  
 102 with a viscous damper. As the main case is excited, the suspended mass starts  
 103 vibrating. The position of the main case is expressed through the coordinate  
 104  $x_b(t)$ , while the coordinate of the mass is  $x(t)$ . A moving coil connected to the  
 105 mass and a magnet realise an electromagnetic coupling to transfer kinetic energy  
 106 into the electrical domain (through Faraday's law). The device is connected to  
 107 an electrical load resistance and to the circuit diagram shown in Figure 2. The  
 108 coil can be modelled as an inductance  $L_i$  and an electric resistance  $R_i$ . The  
 109 external load is sketched with resistance  $R_e$ , which accounts for the circuits to  
 110 rectify the current and store it.

### 111 2.1. Nonlinear Duffing-type electromechanical equations of motion

112 The energy harvester can be modelled as system made of a mass connected  
 113 to a moving base through a viscous damper and a nonlinear spring, as proved  
 114 in the following. The mass connected with the two rods to the case can move  
 115 laterally (up and down in the sketch of Fig. 1), only, and the coordinate  $x$   
 116 identifies its position. The null position corresponds to  $x = 0$ . As soon as

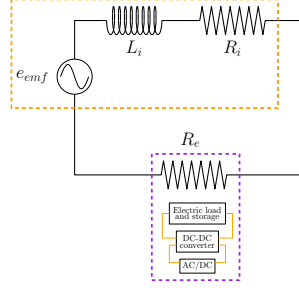


Figure 2: Sketch of the electric circuit of the energy harvesting device.

117 the mass moves, the length of the two rods varies. Considering that the mass  
 118 position is  $x$ , the strain in the rods can be computed as

$$\varepsilon_{zz} = \frac{\ell(x) - \ell_0}{\ell_0} = \frac{\sqrt{\ell_0^2 + x^2} - \ell_0}{\ell_0}, \quad (1)$$

119 where  $\ell(x)$  is the actual length of the rod. The square root at the numerator  
 120 can be approximated with a McLaurin series expansion as

$$\sqrt{\ell_0^2 + x^2} = \ell_0 + \frac{x^2}{2\ell_0} - \frac{x^4}{8\ell_0^3} + o[x^6], \quad (2)$$

121 which simplifies into

$$\sqrt{\ell_0^2 + x^2} \equiv \ell_0 + \frac{x^2}{2\ell_0} \quad (3)$$

122 under small-displacements hypothesis. Substituting Eqn. (3) into Eqn. (1), the  
 123 strain turns into

$$\varepsilon_{zz} \equiv \frac{x^2}{2\ell_0^2}. \quad (4)$$

124 The force in the prestressed rod, which is equal to  $N_0$  in the null position, varies  
 125 with the position of the mass as

$$N(x) = N_0 + EA \frac{x^2}{2\ell_0^2}. \quad (5)$$

126 The resultant force in the rods, which have an angle  $\alpha$  with respect to the  
 127 null position, is a restoring force that points towards the rest position and has  
 128 magnitude

$$F = 2N \cos \alpha = 2 \left[ N_0 + EA \frac{x^2}{2\ell_0^2} \right] \frac{x}{\sqrt{\ell_0^2 + x^2}}. \quad (6)$$

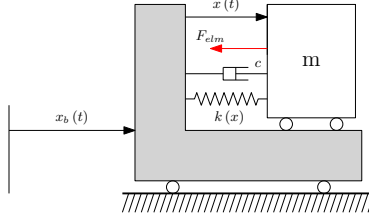


Figure 3: Sketch of the equivalent single degree-of-freedom system (SDOF).

129 The right-hand side fraction can be rewritten in terms of a McLaurin expansion  
 130 as

$$\frac{x}{\sqrt{\ell_0^2 + x^2}} = \frac{x}{\ell_0} + \frac{x^3}{2\ell_0^3} + \frac{3x^5}{8\ell_0^5} + \circ[x^6]. \quad (7)$$

131 Under the hypothesis of small-displacements, the first term of Eqn. (7) can be  
 132 considered, only. Hence, the restoring force  $F$  is equivalent to

$$F(x) = \frac{2N_0}{\ell_0}x + EA\frac{x^3}{\ell_0^3}. \quad (8)$$

133 The mass connected with the rods can be idealised as a single degree-of-  
 134 freedom system (Figure 3) with a nonlinear mechanical stiffness  $k(x)$  equal to  
 135

$$k(x) = k_0(x + \varepsilon x^3), \quad (9)$$

136 where

$$k_0 = \frac{2N_0}{\ell_0} \quad (10)$$

137 and

$$\varepsilon = \frac{EA}{2N_0\ell_0^2}. \quad (11)$$

138 Assuming that the inertial displacement is the sum of the relative displace-  
 139 ment  $x$  plus the base displacement  $x_b$ , the inertial acceleration is  $\ddot{x} + \ddot{x}_b$ . Con-  
 140 sidering the contribution of the viscous damping  $c\dot{x}$  and the electromagnetic  
 141 coupling force  $F_{elm}$ , the dynamic equilibrium equation of the vibrating mass is

$$m(\ddot{x} + \ddot{x}_b) + c\dot{x} + k_0(x + \varepsilon x^3) + F_{elm} = 0. \quad (12)$$



142 Considering the inertial force due to the base displacement as forcing term,  
 143 Eqn. (12) turns into

$$m\ddot{x} + c\dot{x} + k_0(x + \epsilon x^3) + F_{elm} = -m\ddot{x}_b. \quad (13)$$

144 *2.2. Electromagnetic coupling force,  $F_{elm}$*

145 Adopting an electromagnetic coupling to transfer the kinetic energy to an  
 146 electrical circuit by the Faraday's law and connecting the device to a load re-  
 147 sistance, the simplest model we can use is sketched in Figure 2, where  $R_e$  is  
 148 the load resistance,  $R_i$  is the coil resistance and  $L_i$  is the inductance. The elec-  
 149 tromechanical force  $F_{elm}$  acting on the mass  $m$  is the force on the centre magnet  
 150 due to the electromagnetic coupling. From Faraday's law, one can write

$$E_{ind} = -\frac{d\Psi}{dt} = -\frac{d\Psi}{dx}\dot{x}, \quad (14)$$

151 where  $E_{ind}$  is the induced electromotive force and  $\Psi$  is the magnetic flux. Equat-  
 152 ing the instantaneous power between the mechanical and electrical domains, the  
 153 following equality results

$$F_{elm}\dot{x} = iE_{ind}, \quad (15)$$

154 where  $i$  is the electric current flowing within the coil. Combining Eqns. (14)  
 155 and (15), it results that that the electromagnetic force is

$$F_{elm} = -i\frac{d\Psi}{dx}. \quad (16)$$

156 Applying the Kirchoff's law to the electric circuit, the induced electromotive  
 157 force is

$$E_{ind} = i(R_e + R_i) + L_i\frac{di}{dt}. \quad (17)$$

158 For low-frequency applications (such as the ones herein proposed for the energy  
 159 harvesting device), the effect of the inductance  $L_i$  is negligible and Eqn. (17)  
 160 simplifies in

$$E_{ind} = iR_{eq}, \quad (18)$$

161 where  $R_{eq} = R_e + R_i$  is the equivalent electrical resistance of the system. Substi-  
 162 tuting Eqns. (18) and (14) into Eqn. (16), the electromagnetic force  $F_{elm}$  turns  
 163 into

$$F_{elm} = \frac{d\Psi}{dx} \frac{\dot{x}}{R_{eq}}. \quad (19)$$

164 Finally, assuming that the rate of change of the flux is constant over the region  
 165 of interest, Eqn. (19) can be further simplified in

$$F_{elm} = \alpha \frac{\dot{x}}{R_{eq}}, \quad (20)$$

166 where  $\alpha = d\Psi/dx$ .

167 Inserting the electromagnetic force of Eqn. (20) into Eqn. (13) and dividing  
 168 by the mass  $m$ , the equation of motion turns into

$$\ddot{x} + 2\xi_{elm}\omega_0\dot{x} + \omega_0^2x(1 + \epsilon x^2) = -\ddot{x}_b, \quad (21)$$

169 where

$$\xi_{elm} = \xi_0 + \frac{\alpha^2}{2\omega_0 m R_{eq}} \quad (22)$$

170 is the electromechanical damping ratio due to mechanical and magnetic phe-  
 171 nomena. In detail,  $\xi_0$  is the mechanical damping ratio, i.e,  $\xi_0 = c/(2m\omega_0)$ ,  
 172 while  $\omega_0 = \sqrt{k/m}$  is the mechanical angular frequency. The second addend of  
 173 Eqn. (22) represents the effect of the electromagnetic coupling and it is nonlin-  
 174 ear with respect to  $R_{eq}$ . The mechanical damping ratio  $\xi_0$  depends only on the  
 175 mechanical configuration and it is constant.

### 176 3. Random vibration response to a broadband white noise excitation

177 The probabilistic response of a vibrational systems under Gaussian white  
 178 noise random excitations, in general, can be considered to be governed by the  
 179 following set of first-order stochastic differential equations (SDEs):

$$d\mathbf{Y}(t) = \mathbf{M}(\mathbf{Y})dt + \mathbf{G}(\mathbf{Y})d\mathbf{W}(t), \quad (23)$$

180 where  $\mathbf{Y}(t)$  is a  $R^n$  valued stochastic process, while  $\mathbf{M}(\mathbf{Y})$  and  $\mathbf{G}(\mathbf{Y})$  are the  
 181 drift vector and the diffusion matrix, respectively. The term  $d\mathbf{W}(t)$  is the differ-  
 182 ential form of a  $n$ -dimensional vector of uncorrelated white noise, fully defined

183 by the first and second moments of its components given by,

$$E[W_i(t)] = E[W_j(t)] = 0, \quad (24)$$

$$E[W_i(t_1)W_j(t_2)] = 2\mathbf{D}_i\delta(\tau), \quad (25)$$

$$E[W_i(t)W_j(t)] = 0, \quad (26)$$

184 where  $\tau = t_2 - t_1$ ,  $\mathbf{D}_i$  is the spectral density of the excitation and  $\delta(\tau)$  is  
 185 the Dirac delta function, while  $E[\cdot]$  denotes the expectation operator. The  
 186 aforementioned system forms a Markov vector process in  $R^n$ , the behaviour of  
 187 which is completely determined by the transition probability density function  
 188  $p(\mathbf{Y}, t, |\mathbf{Y}_0)$ . The transition probability density function is proportional to the  
 189 probability of being in a differential element  $(\mathbf{Y}, \mathbf{Y} + d\mathbf{Y})$  of the phase plane  
 190 at time  $t$ , having started at  $\mathbf{Y}_0$  at time zero,  $t_0$ , and satisfies both forward and  
 191 backward Kolmogorov equations. The Fokker-Plank equation associated with  
 192 Eqn. (23) can be derived from the Itô form. In more details, assuming that  
 193 the base acceleration  $\ddot{x}_b$  is a white noise Gaussian process, we can rearrange  
 194 Eqn. (21) as

$$\dot{\mathbf{Y}} = \mathbf{f}(\mathbf{Y}, t) + \mathbf{G}(\mathbf{Y}, t)\mathbf{W}(t), \quad (27)$$

195 where the state vector  $\mathbf{Y}(t)$  is

$$\mathbf{Y}(t) = [\mathbf{X}(t), \dot{\mathbf{X}}(t)]^T. \quad (28)$$

196 The vector  $\mathbf{f}(\mathbf{X}, t)$  has components

$$\mathbf{f}_1 = Y_2 \quad (29)$$

197 and

$$\mathbf{f}_2 = -2\xi_{elm}\omega_0 Y_2 - \omega_0 (Y_1 + \varepsilon Y_1^3), \quad (30)$$

198 and the vector  $\mathbf{G}$  is

$$\mathbf{G} = [0, -1]^T, \quad (31)$$

199 assuming that

$$\mathbf{Y}(t_o) = \mathbf{Y}_o \quad t \in [t_0, t]. \quad (32)$$

200 *3.1. Stationary response*

201 The electro-mechanical system described with Eqn. (21) is subjected to a  
 202 stationary Gaussian white noise excitation  $\mathbf{W}(t)$  with one-sided spectral density  
 203 of intensity  $S_0$ . The probability density function associated to a given state  
 204  $[\mathbf{X}(t), \dot{\mathbf{X}}(t)]^T$ , namely  $p_{X\dot{X}}(x, \dot{x})$ , is obtained from by the Fokker-Plank equation  
 205 [26] as

$$p_{X\dot{X}}(x, \dot{x}) = A \exp \left[ -\frac{1}{2\sigma_0} \left( x_1^2 + \frac{\varepsilon}{2} x_1^4 \right) - \frac{x_2^2}{2\dot{\sigma}_0} \right], \quad (33)$$

206 where  $\sigma_0$  and  $\dot{\sigma}_0$  are the standard deviations of  $\mathbf{X}$  and  $\dot{\mathbf{X}}$  for the associated  
 207 linear system (i.e., the system with  $\varepsilon = 0$ ), as

$$\sigma_0^2 = \frac{\pi S_0}{4\xi_{elm}\omega_0^3}, \quad (34)$$

208

$$\dot{\sigma}_0^2 = \frac{\pi S_0}{4\xi_{elm}\omega_0}, \quad (35)$$

209 while  $A$  is the normalisation constant that is computed as

$$A = \left[ \pi \sqrt{\frac{S_0}{4\varepsilon\xi_{elm}\omega_0}} \exp \left( \frac{1}{8\varepsilon\sigma_0} \right) K_{1/4} \left( \frac{1}{8\varepsilon\sigma_0} \right) \right]^{-1} \quad (36)$$

210 where  $K_{1/4}(\cdot)$  is the modified Bessel function of order 1/4. Hence it results that

211

$$\int_{-\infty}^{+\infty} \int_{-\infty}^{+\infty} p_{X\dot{X}}(x, \dot{x}) dx d\dot{x} = 1. \quad (37)$$

212 *3.2. Threshold crossing probability*

213 Differently to other works, in this paper the target problem is the maximization  
 214 of the electric power generation under the constraint that the maximum  
 215 displacement will not exceed a given threshold level, as for larger displacements  
 216 damages to the system can be expected. This request holds if a nonlinear Duffing  
 217 mechanical system is assumed, as this kind of nonlinearity only affects the  
 218 displacement response without effects on velocity, as proven in this section.

219 The problem of identifying the exceedance of a given level can somehow  
 220 traced back to the case of structural systems subjected to random loads which  
 221 failure is associated to the process of crossing a given threshold  $\beta$  (e.g., in terms

222 of strength or displacement) during a predefined temporal interval  $T$ . In other  
 223 words, the probability  $P_f(T)$  of the first time crossing of a structural response  
 224  $\mathbf{X}$  through a given threshold value  $\beta$  can be computed. For a generic single  
 225 degree-of-freedom system subjected to a stationary stochastic process,  $P_f(T)$  is  
 226 equal to

$$P_f(T) = 1 - e^{-h(\beta)T}, \quad (38)$$

227 where  $h(\beta)$  is the hazard function, or conditioned threshold crossing rate. In  
 228 Rice's original formulation [27], the hazard function is expressed by

$$h(\beta) = \int_0^{+\infty} \dot{x} p_{X\dot{X}}(\beta, \dot{x}|Q) d\dot{x}, \quad (39)$$

229 being  $p_{X\dot{X}}(\beta, \dot{x}|Q)$  the conditional joint probability density function of processes  
 230  $X(t)$  and  $\dot{X}(t)$ , and  $Q$  the condition of absence of excursions beyond  $\beta$  before  $t$ .

231 By means of the Poisson assumption, the hazard function  $h(\beta)$  can be re-  
 232 placed by the threshold crossing rate  $\nu_{|X|}(\beta)$ . In the following, since the involved  
 233 process is the displacement  $\mathbf{X}$  of the vibrating mass, the symbol related to the  
 234 threshold crossing rate  $\nu_{|X|}(\beta)$  is simplified into  $\nu_{|\beta|}$ , which is equal to

$$\nu_{|\beta|} = \nu_{\beta}^{+} + \nu_{-\beta}^{-} = 2\nu_{\beta}^{+}, \quad (40)$$

235 as the failure is due to a double symmetric threshold crossing, where  $\beta$ -upcrossing  
 236 rate,  $\nu_{\beta}^{+}$ , is equal to

$$\nu_{\beta}^{+} = \int_0^{+\infty} \dot{x} p_{X\dot{X}}(\beta, \dot{x}) d\dot{x}. \quad (41)$$

237 Considering the associated linear mechanical system ( $\varepsilon = 0$ ) subjected to sta-  
 238 tionary Gaussian white noise processes, thanks to Eqn. (34), a dimensionless  
 239 threshold  $\eta$  equal to

$$\eta = \frac{\beta}{\sigma_0} \quad (42)$$

240 can be defined. The mean  $\eta$ -upcrossing rate in the associated linear SDOF is

$$\hat{\nu}_{\eta,lin}^{+} = \frac{\omega_0}{2\pi} \exp\left(-\frac{1}{2}\eta^2\right). \quad (43)$$

241 The reliability evaluation through Eqn. (38) has some restrictions. It holds  
 242 under the assumption of Poisson hypothesis for independent threshold crossings.

243 Actually, it is well known that this hypothesis can be quite poor and excessively  
 244 conservative when clumping effects occur in barrier (threshold) crossings (more  
 245 accurate approaches are for example those based on the knowledge of envelope  
 246 processes statistics [28]). Moreover, it has been verified that Poisson hypothesis  
 247 holds for high threshold levels [29]. Following that, the hypothesis could be  
 248 herein used since high reliability levels are considered and, by consequence,  
 249 the threshold upcrossing events could be effectively assumed as independent.  
 250 Substituting the Duffing system probability density function of Eqns. (33) and  
 251 (36) into Eqn. (41), the crossing rate related to the threshold  $\eta$  turns into

$$\nu_{\eta}^{+} = \sqrt{\frac{\psi}{\pi}} \frac{\omega_0}{\phi(\psi)} \exp \left[ -\frac{1}{2} \left( \eta^2 + \frac{\psi}{2} \eta^4 \right) \right], \quad (44)$$

252 where the nonlinearity is expressed in a more effective way by using the param-  
 253 eter  $\psi = \varepsilon \sigma_0^2$ . The term  $\phi(\psi)$  is

$$\phi(\psi) = \frac{K_{1/4} \left( \frac{1}{8\psi} \right)}{\exp \left( -\frac{1}{8\psi} \right)}. \quad (45)$$

254 It emerges that the nonlinearity plays a central role in reducing the values of  $\eta$ ,  
 255 as illustrated in Figure 4, where the ratio between the crossing rate related to the  
 256 Duffing system, Eqn. (44), over the crossing rate in the associated linear system,  
 257 Eqn. (43), is plot versus  $\eta$ , showing clearly how the Duffing system threshold  
 258 crossing rate decays for  $\eta$  greater than 1, compared with the corresponding  
 259 linear one.

260 The probability that the maximum system displacement will exceed the bi-  
 261 lateral displacement threshold  $|\eta|$  is thus

$$P \left( \frac{|X|}{\sigma_0} < \eta, t \in [0, T] \right) = 1 - P_f = \exp(-T\nu_{|\eta|}), \quad (46)$$

262 where  $T$  is the duration of the excitation or, alternatively, the time interval we  
 263 consider to verify the threshold crossing. The argument of the exponential func-  
 264 tion in Eqn. (46) depends on  $\varepsilon$ , which controls the decay rate of the probability  
 265 of failure  $P_f$ , namely the probability that in the interval  $[0, T]$  the maximum  
 266 displacement exceeds the bilateral double threshold  $|\eta|$ , as shown in Figure 5

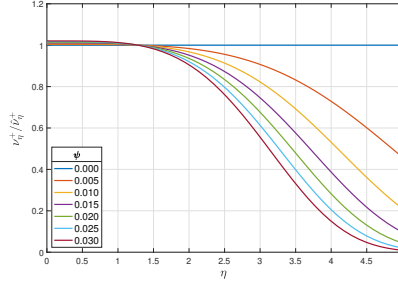


Figure 4: Ratio between  $\eta$ -upcrossing rate in Duffing system and  $\eta$ -upcrossing rate in the associated linear system versus the value of the dimensionless threshold  $\eta$ .

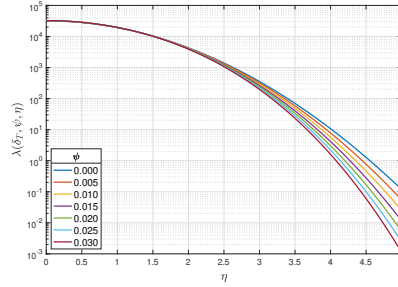


Figure 5: Influence of the nonlinearity for the parameter  $\lambda$  versus the dimensionless threshold  $\eta$ , for  $2\pi\delta_T = 10^5$ .

267 where the parameter  $\lambda = T\nu_{|\eta|} = 2T\nu_{\eta}^+$  is plotted versus  $\eta$ . In detail,  $\lambda$  is  
 268 expressed as

$$\lambda(\delta_T, \psi, \eta) = 4\delta_T \frac{\sqrt{\psi\pi}}{\phi(\psi)} \exp \left[ -\frac{1}{2} \left( \eta^2 + \frac{\psi}{2} \eta^4 \right) \right], \quad (47)$$

269 where the parameter  $\delta_T = T\omega_0/2\pi$  is the number of vibration cycles of the as-  
 270 sociated linear system over the duration  $T$ . Substituting Eqn. (47) in Eqn. (46),  
 271 the failure probability is obtained as

$$P_f = 1 - \exp \left[ -\lambda(\delta_T, \psi, \eta) \right]. \quad (48)$$

272 Figure 6 shows the influence of  $\psi$  on the value of the failure probability  
 273  $P_f$  versus the dimensionless threshold  $\eta$ , for  $2\pi\delta_T = 10^5$ . Eqn. (48) can be  
 274 inverted to obtain the dimensionless threshold value  $\eta$  with respect to the failure

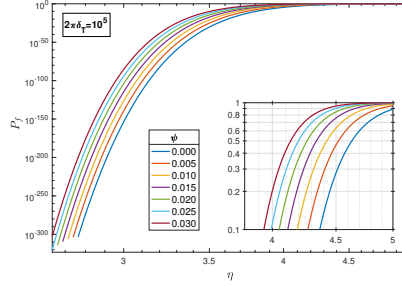


Figure 6: influence of nonlinearity for the probability of failure  $P_f$  versus the dimensionless threshold  $\eta$ , for  $2\pi\delta_T = 10^5$ .

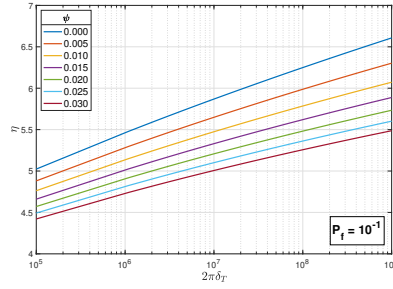


Figure 7: Maximum dimensionless threshold sensitivity for different values of parameter  $\psi$  versus  $2\pi\delta_T$  assuming  $P_f = 0.1$ . The curve  $\psi = 0$  corresponds to the associated linear SDOF system.

275 probability  $P_f$ , as

$$\eta(\delta_T, \psi, P_f) = \sqrt{\frac{1}{\psi} \sqrt{1 - 4\psi \ln\left(-\frac{\phi(\psi) \ln(1 - P_f)}{4\delta_T \sqrt{\psi\pi}}\right)}} - 1. \quad (49)$$

276 In Figures 7, 8 and 9 the sensitivity of the dimensionless threshold level  $\eta$  for  
 277 different number of cycles  $\delta_T$  is illustrated for different values of  $P_f$ .

### 278 3.3. Mean value of the generated electric power

279 The deterministic value of the electrical power is calculated from the instan-  
 280 taneous power given in Eqn. (15) as

$$iE_{ind} = F_{elm} \dot{x} = \frac{\alpha^2 \dot{x}^2}{R_{eq}}. \quad (50)$$



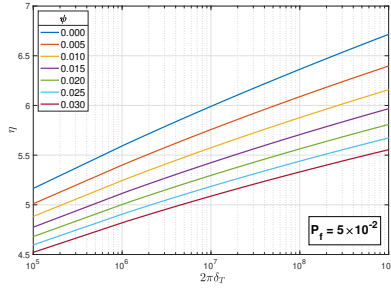


Figure 8: Maximum dimensionless threshold sensitivity for different values of parameter  $\psi$  versus  $2\pi\delta_T$  assuming  $P_f = 0.05$ . The curve  $\psi = 0$  corresponds to the associated linear SDOF system.

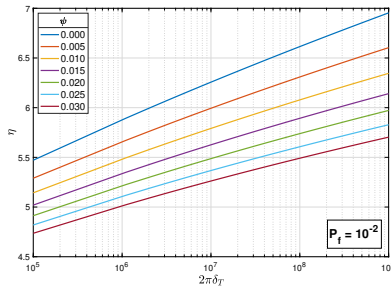


Figure 9: Maximum dimensionless threshold sensitivity for different values of parameter  $\psi$  versus  $2\pi\delta_T$  assuming  $P_f = 0.01$ . The curve  $\psi = 0$  corresponds to the associated linear SDOF system.

281 The square of the current in the circuit, considering the hypothesis of negligible  
 282 inductance of Eqn. (18), is

$$i^2 = \frac{\alpha^2 \dot{x}^2}{R_{eq}^2}, \quad (51)$$

283 and, finally, the deterministic value of the electric power over the external re-  
 284 sistance is

$$P_{load} = \frac{R_e \alpha^2 \dot{x}^2}{R_{eq}^2}. \quad (52)$$

285 As shown in Eqn. (52), the power through the load resistor  $R_e$  is proportional  
 286 to the square of the relative velocity of the moving magnet. The expected value  
 287 of the relative velocity squared is insensitive to the Duffing type nonlinearities,  
 288 as  $\xi_{elm}$  in Eqn. (35) does not depend on  $\varepsilon$ . We found that the mean value of  
 289 the electric power of Eqn. (52) is

$$\langle P_{load} \rangle = \dot{\sigma}_0^2 \frac{R_e \alpha^2}{R_{eq}^2}. \quad (53)$$

290 Considering Eqns. (35) and (22), we have finally that

$$\langle P_{load} \rangle = S_0 \frac{\pi \alpha^2 R_e}{4\omega_0 \xi_0 R_{eq}^2 + 2 \frac{\alpha^2}{m} R_{eq}}. \quad (54)$$

#### 291 4. Optimal design

292 As a results of the calculations reported in Sec. 3, although the term  $\varepsilon$   
 293 implicitly controls the probability of exceeding a given displacement (threshold),  
 294 the performance of the energy harvester is not affected by the nonlinearity of  
 295 the mechanical system. Following this result, the present section proposes an  
 296 optimisation strategy with the aim to maximise the energy performance of the  
 297 energy harvester, but imposing a limitation to the maximum displacement of  
 298 the vibrating mass (i.e. the moving magnet).

299 The optimisation problem is stated assuming a design vector  $\mathbf{b}$  (listing the  
 300 parameters to be optimised)

$$\mathbf{b} = \begin{pmatrix} R_e \\ \omega_0 \end{pmatrix} \quad (55)$$

301 as representative of the electric and mechanical design of device. The Objective  
 302 Function (OF) is the mean value of the electric power, represented by Eqn. (54).

303 To solve the optimisation problem, a partial derivative of the OF with re-  
 304 spect to the external resistance  $R_e$ , which is an element of the design vector, is  
 305 performed to check if stationary points are present. It results that

$$\frac{\partial \text{OF}(\mathbf{b})}{\partial R_e} = S_0 \frac{\pi \alpha^2 m [2m\xi_0\omega_0(R_i^2 - R_e^2) + \alpha^2 R_i]}{2(R_i + R_e)^2 [\alpha^2 + 2m\xi_0\omega_0(R_i + R_e)]^2}, \quad (56)$$

306 which is a monotonically increasing function for decreasing values of  $\omega_0$ , be-  
 307 coming asymptotically  $+\infty$  for  $\omega_0 \rightarrow 0$ . Zeroing the derivative of the OF,  
 308 Eqn. (56), with respect of  $R_e$ , the optimal external resistance  $R_e^{opt}$  is found

$$R_e^{opt} = \sqrt{R_i \left( R_i + \frac{\alpha^2}{2m\omega_0\xi_0} \right)}. \quad (57)$$

309 The resistance  $R_e^{opt}$  is dependent on  $\omega_0$ . As a result, a reduction of  $\omega_0$  (i.e.,  
 310 a reduction of the mechanical stiffness  $k$  of the system) would increase the  
 311 energy efficiency, with the undesirable effect of increasing displacements. The  
 312 mean electric power obtained with the optimal external resistance is obtained  
 313 by substituting Eqn. (57) into Eqn. (54), resulting in

$$\langle P_{load} \rangle = S_0 \frac{\pi m}{2} \left( 1 - \frac{\sqrt{\gamma(\alpha^2 + \gamma)} - \gamma}{\alpha^2} \right), \quad (58)$$

314 where  $\gamma = 2R_i m \omega_0 \xi_0$ . As an example, Figure 10 plots Eqns. (58) and (57)  
 315 for different values of the angular frequencies  $\omega_0$  on a given set of variables  
 316  $(\xi_0, S_0, m, \alpha, R_i)$ , as reported in the caption of the figure. It is observed that  
 317 the mean electric power is monotonically decreasing with the increase of  $\omega$ ,  
 318 confirming that high powers are obtained with systems that have low mechanical  
 319 stiffness. Eqn. (58) represents the best mean electric power that can be produced  
 320 if the mechanical system has a given angular frequency  $\omega_0$ . To achieve such  
 321 power, an external load of resistance computed with Eqn. (57) must be adopted.

322 For a given set of parameters  $(\xi_0, S_0, m, \alpha, R_i)$ , if the external resistance  
 323  $R_e$  is provided the optimal angular frequency  $\omega_0^{opt}$  for which the mean electric  
 324 power is maximised is

$$\omega_0^{opt} = \frac{R_i \alpha^2}{2m\xi_0 (R_e^2 - R_i^2)}, \quad (59)$$

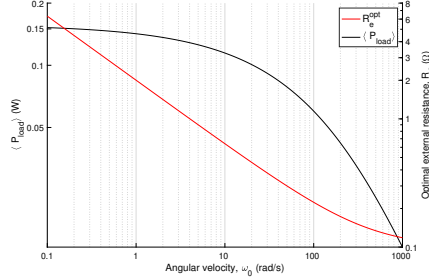


Figure 10: Mean electric power  $\langle P_{load} \rangle$  and optimal external resistance  $R_e^{opt}$  for different values of  $\omega_0$ . Parameters are  $\xi_0 = 0.05$ ,  $S_0 = 10 \text{ (m/s}^2\text{)}^2/\text{Hz}$ , mass  $m = 0.01 \text{ kg}$ ,  $\alpha = 0.2 \text{ Wb/m}$  and coil resistance  $R_i = 0.1 \text{ } \Omega$ .

325 provided that  $R_i^2 \leq R_e^2$  and the resulting  $\langle P_{load} \rangle$  is

$$\langle P_{load} \rangle = S_0 \frac{m\pi (R_e - R_i)}{2R_{eq}}. \quad (60)$$

326 Eqn. (60) represents the best mean electric power that can be produced if the  
 327 electrical system has an electric load of resistance  $R_e$ . To achieve such power,  
 328 the angular frequency of the mechanical system must satisfy Eqn. (59).

329 Knowing the duration of the process,  $T$ , once the required reliability level is  
 330 fixed (probability of failure  $P_f$  and maximum acceptable displacement, i.e. the  
 331 target displacement threshold  $\tilde{\beta}$ ), by iteration, the correct value of the nonlinear  
 332 term  $\varepsilon$  can be determined. In detail, the dimensionless target displacement  
 333 threshold is  $\tilde{\eta} = \tilde{\beta}/\sigma_0$ . Adopting a trial value of  $\varepsilon$ , the tentative  $\eta$  is computed  
 334 with Eqn. (49), until convergence (the larger the  $\varepsilon$ , the smaller the  $\eta$ , as plotted  
 335 in Figures 7, 8 and 9).

336 The main steps of the procedure are here summarised:

- 337 1. define  $\xi_0, S_0, m, \alpha, R_i$  based on technical constraints (vibration source,  
 338 available mass, coil type and characteristics). Define the target displace-  
 339 ment threshold  $\tilde{\beta}$  and the failure probability  $P_f$ ;
- 340 2a. if  $R_e$  is known, use Eqns. (59) and (60) to define the angular frequency  $\omega$   
 341 and mean electric power  $\langle P_{load} \rangle$ , respectively; or,
- 342 2b. if  $\omega_0$  is known, use Eqns. (57) and (58) to define the external load resis-  
 343 tance  $R_e$  and mean electric power  $\langle P_{load} \rangle$ , respectively;

Table 1: SDOF system properties.

Parameter	Value
$m$	0.01 kg
$\omega_0$	10 rad/s
$\xi_0$	0.05
$\varepsilon$	0.5
$\alpha$	0.2 Wb/m
$R_i$	0.5 $\Omega$
$R_e$	1.5 $\Omega$
$S_0$	2.4 (m/s <sup>2</sup> ) <sup>2</sup> /Hz
$T$	10 s

- 344 3. compute  $\sigma_0$  with Eqn. (34) and the target  $\tilde{\eta} = \tilde{\beta}/\sigma_0$ ;  
345 4. define nonlinear term  $\varepsilon$  iteratively, until the term  $\eta$  computed with Eqn. (49)  
346 equals the target  $\tilde{\eta}$ .

347 *4.1. An example of application*

348 To check the validity of the previous formulae, an example of application is  
349 proposed. A single degree-of-freedom electro-mechanical system with Duffing-  
350 type nonlinearity subjected to a Gaussian white noise random excitation having  
351 the properties reported in Table 1 is considered. The duration of the excitation  
352 is 10 s. The values of  $\omega$  and  $R_e$  are the ones that satisfy Eqns. (59) and (57)  
353 to maximise the mean electric power. A threshold displacement equal to  $\tilde{\beta} =$   
354 0.35 m is fixed. It is required to compute threshold exceeding probability.

355 First, the electromechanical damping ratio is computed through Eqn (22),  
356 resulting in  $\xi_{elm} = 0.15$ . The variance of mass displacement and mass velocity  
357 are computed with Eqns. (34) and (35), respectively, as  $\sigma = 0.11$  m and  $\dot{\sigma} =$   
358 1.12 m/s. The normalized threshold displacement is  $\eta = 3.12$  and the total  
359 number of cycles in the equivalent elastic system is  $\delta_T = 15.19$ . Adopting  
360 Eqns. (47) it results  $\lambda = 0.21$ , from which the threshold exceedance probability  
361  $P_f$  is determined. Thanks to Eqn. (48), it results  $P_f = 0.18$ .

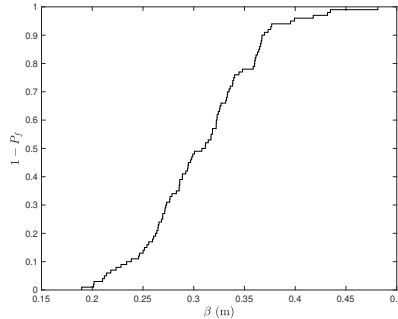


Figure 11: Empirical cumulative density function .

362 To check the validity of the analytical solution, a set of 100 different simula-  
 363 tions of the system subjected to 10 s-long Gaussian white noise with a frequency  
 364 sampling of 50 Hz were performed. The response of the system was evaluated  
 365 by integrating the second order differential equation, Eqn. (21). The maxi-  
 366 mum absolute displacement in each simulation was recorded. Figure 11 plots  
 367 the empirical cumulative probability distribution of the maxima: the survival  
 368 probability corresponding to  $\tilde{\beta} = 0.35$  m is around 80%, from which it results  
 369 that the exceeding threshold probability is 20%, confirming the result obtained  
 370 through the analytical calculations.

## 371 5. Conclusions

372 The present papers deals with the solution of a nonlinear energy harvester  
 373 with a Duffing-type nonlinearity subjected to a Gaussian white noise random  
 374 excitation. A simple single degree-of-freedom electro-mechanical system is con-  
 375 sidered to develop all the calculations. The first-order stochastic differential  
 376 equations are reported and solved to get the solution of the system. Attention  
 377 towards the maximum displacement of the mechanical system is considered. To  
 378 this aim, threshold crossing probability is considered and related to the fail-  
 379 ure probability of the system, which represents a design request. It is shown  
 380 that mechanical nonlinearity does not affect the power production of the energy  
 381 harvester, while it controls the probability of exceeding a given displacement.

382 To optimise the performance of the energy harvester subjected to a Gaus-  
383 sian white noise random excitation, the impedance matching principle, which  
384 serves for maximising power transfer and is commonly used in the design of  
385 the electrical circuit of energy harvesting devices [30], is here by-passed through  
386 an analytical optimisation model that accounts for the electromechanical cou-  
387 pling. The probability of exceeding a given threshold displacement is one of the  
388 constraints of the optimisation problem. It is shown that the optimisation pro-  
389 cedure can be solved in two separate steps. First, the optimal load resistance  
390 is determined for a known undamped vibration frequency (of the associated  
391 linear oscillator), of the optimal undamped vibration frequency is determined  
392 for a known load resistance. Then, the value of the Duffing-type nonlinearity  
393 that satisfies the threshold displacement with the target failure probability is  
394 computed.

395 The proposed model and solutions allow to design an energy harvesting  
396 system tailored for a specific electrical device powered with ambient vibrations  
397 characterised by a broadband frequency bandwidth. The outputs of the research  
398 can be largely adopted for self-standing small structural health monitoring tools  
399 with MEMS technology.

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