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Original
Tolerance of Siamese Networks (SNs) to Memory Errors: Analysis and Design / Wang, Ziheng; Niknia, Farzad; Liu, Shanshan; Reviriego, Pedro; Montuschi, Paolo; Lombardi, Fabrizio. - In: IEEE TRANSACTIONS ON COMPUTERS. - ISSN 0018-9340. - ELETTRONICO. - 72:4(2023), pp. 1136-1149. [10.1109/TC.2022.3186628]

Availability:
This version is available at: 11583/2969310 since: 2022-07-03T18:48:16Z

Publisher:
IEEE

Published
DOI:10.1109/TC.2022.3186628

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(Article begins on next page)
Tolerance of Siamese Networks (SNs) to Memory Errors: Analysis and Design

Ziheng Wang, Student Member, IEEE, Farzad Niknia, Student Member, IEEE, Shanshan Liu, Fellow, IEEE, Pedro Reviriego, Senior Member, IEEE, Paolo Montuschi, Fellow, IEEE and Fabrizio Lombardi, Life Fellow, IEEE

Abstract—This paper considers memory errors in a Siamese Network (SN) through an extensive analysis and proposes two schemes (using a weight filter and a code) to provide efficient hardware solutions for error tolerance. Initially the impact of memory errors on the weights of the SN (stored as floating-point (FP) numbers) is analyzed; this shows that the degradation is mostly caused by outliers in weights. Two schemes are subsequently proposed. An analysis is pursued to establish the filter’s bounds selection by the maximum/minimum values of the weight distributions, by which outliers can be removed from the operation of the SN. A code scheme for protecting the sign and exponent bits of each weight in an FP number, is also proposed; this code incurs in no memory overhead by utilizing the 4 least significant bits (LSB) to store parity bits. Simulation shows that the filter has a better performance for multi-bit errors correction (a reduction of 95.288% in changed predictions), while the code achieves superior results in single-bit errors correction (a reduction of 99.775% in changed predictions). The combined method that uses the two proposed schemes, retains their advantages, so adaptive to all scenarios; The ASIC-based FP designs of the SN using serial and hybrid implementations are also presented; these pipelined designs utilize a novel multi-layer perceptron (MLP) (as branch networks of the SN) that operates at a frequency of 681.2 MHz (at a 32nm technology node), so significantly higher than existing designs found in the technical literature. The proposed error-tolerant approaches also show advantages in overheads comparing with for example traditional error correction code (ECC). These error-tolerant MLP-based designs are well suited to hardware/power-constrained platforms.

Index Terms—Siamese Network, Memory Error, Error Tolerance, VLSI Design, Floating point.

I. INTRODUCTION

Siamese Networks (SNs) are a type of artificial neural networks (ANNs) consisting of two branches of weight-sharing feedforward networks (also referred to as subnetworks). SNs have excellent performance when utilized in tasks that measure the similarity between two data inputs [1]. From its initial application to handwritten signature verification [2], SNs have been used in several Machine Learning (ML) fields, such as face recognition [3], semantic similarity analysis [4], and object tracking [5]. One of the most popular ML applications of SNs is image discrimination, i.e., to determine whether two images belong to the same category. Different from a traditional NN, an SN for classification purposes only needs to know whether the two inputs belong to the same category, so it does not require explicit labels. Therefore, SN can be treated as semi-supervised learning and may not achieve a classification accuracy as high as a traditional NN; however, SNs usually achieve superior performance in tasks with a large number of classes and one-shot learning [6].

The hardware implementation of an ANN can be affected by several types of errors. Transient faults (often induced by radiation phenomena, for example) cause soft errors that appear as random bit flips, especially in memory chips; a soft error may lead to data corruption and eventually system failure [8]. If errors occur in the inference process of an ANN, they can change the trained model, or the stored weight values; therefore, errors may have an impact on the final result (e.g., the predicted category in the classification tasks). To ensure the resilience of an ANN in the presence of errors, an error-tolerant design is therefore needed. The error tolerance of ANNs for reliable operations has been extensively studied, especially when they are used in safety-critical applications [7]. For example, schemes based on error correction codes (ECCs) have been proposed [9]-[11] that can be applied for reliable storage of ANN parameters; however, they incur in a 10% to 20% redundant memory overhead, while introducing additional delay and power consumption [12]. Redundancy on neurons or computational units has also been proposed [13], [14], but an increase in hardware and a modification to the model are usually required. As a large memory is needed to store the ANN parameters, its protection has stringent requirements in terms of memory overhead; especially for applications on constrained platforms, such as portable systems.

An SN presents a unique challenge for error tolerance, because weight matrices are shared between the subnetworks, so memories (storing the weights) are very important for the reliable operation of this type of ANNs. Especially in the case when weights are stored in the memory as floating-point (FP) numbers, even a single bit flip on the exponent part of the FP number can result in a substantial change in weight value, thereby propagating through the SN with catastrophic effects.

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Therefore, the objective of this paper is to design an error-tolerant SN (so with resilience to soft errors) at low hardware overhead and reduced power dissipation; two schemes are proposed: the weight filter and code. The proposed schemes offer significant advantages under different error scenarios and can also be combined for many general applications. This paper presents a detailed theoretical analysis and verifies the proposed methods on various image datasets by simulations. The hardware design of SN with the above schemes is proposed; it offers low hardware overhead for low power applications, so suitable for hardware-constrained platforms like the Internet of Things (IoT) or mobile systems.

The contributions of this paper are as follows:

1) Bit-flips due to soft errors are considered in an SN using both MLPs and CNNs as subnetworks; using error injection, the importance of protecting exponent bits and eliminating outliers in weights has been confirmed.

2) A weight filter is proposed; the bound selection for such a filter has been theoretically analyzed. The maximum and minimum values of the weight distribution have been proved as the optimal choice for the so-called simple case. A theoretical evaluation is established for such a filter; the generalization to more general cases is also discussed. The average difference between the simulation results and the theoretical estimate is 3.44% in the simple case; the proposed filter also offers very good performance in general cases, especially when multi-bit errors are located into a single weight.

3) A scheme based on a code is proposed too; this scheme replaces the 4 least significant bits (LSB) with parity bits to protect the sign and exponent bits of each weight as an FP number. Therefore, this scheme does not incur in memory overhead. The simulation has also shown that the code scheme can achieve even better performance than the proposed weight filter for single-bit error correction; however, it fails to protect the SN in the presence of multi-bit errors in a single weight.

4) The combined method retains the advantages of the two previously proposed schemes; simulation has shown that it achieves a comprehensive performance under all error scenarios.

5) The ASIC design of the SN with MLPs using floating-point circuits for all implementations is presented; its performance is significantly better than existing designs found in the technical literature in terms of operating frequency and power dissipation.

II. PRELIMINARIES AND BASIC PRINCIPLES

A. Siamese Networks (SNs)

Siamese networks (SNs) are neural networks containing two branches of subnetworks [2]; as shown in Figure 1, the two subnetworks have identical structures and share the same weights. The SN feeds two inputs into the two branches and calculates the distance (e.g., the most widely used is the Euclidian distance $d_{SN}(p, q) = \sqrt{\sum_{i}(q_i - p_i)^2}$ in the $n$-space) of the two outputs $p$ and $q$ at the last layer. Predictions are made based on the distances that evaluate the similarity of the inputs; for example, if the calculated distance is smaller than a given threshold, the two inputs are considered as belonging to the same class, and not otherwise.

Several flexible structures can be utilized in the subnetworks, such as the commonly used feedforward networks: the multi-layer perceptron (MLP) [15] or a convolutional neural network (CNN) [16]. Note that these networks usually have a limited number of layers, i.e., they are shallow NNs; to the best of the authors’ knowledge, the use of deep NNs as subnetworks of an SN has not been investigated in the technical literature.

B. SN Error Model and Protection

For inference of an ANN, the trained model is usually stored in a memory as weight (and bias) matrices. As introduced previously, memories are prone to suffer different types of errors/faults; one of the most common types is soft errors mainly caused by radiation particles [23]. Such errors can flip the stored bits and then cause data corruption; they have been considered as a significant reliability issue for memories. Since the corrupted data can result in incorrect predictions and possibly, system failure, error protection must be provided for ANNs in many safety-critical applications [17]. This is especially critical for an SN, because the memory storing the weight matrices is shared by its subnetworks and a single error may affect both subnetworks.

Therefore, as the error model, this paper considers bit-flips in the weight matrices; moreover, it is assumed that the parameters of the SNs are represented in the IEEE 754 standard single-precision floating-point format [24] (Figure 2) and stored in a memory with 32-bit words (i.e., each weight is stored in a single memory word). The decimal value of the FP number is calculated as

$$\text{dec} = (-1)^{S} \times 2^{E-127} \times (1 + M \times 2^{-23})$$

where $S$, $E$, and $M$ are the decimal number represented by the corresponding sign, exponent, and mantissa bits. It is clear that a bit-flip on the sign or exponent bits leads to very significant changes in value.

In this paper, simulation is pursued under several scenarios. The simple case is analyzed under only a one-bit-flip occurrence, while for general cases, more errors (up to 10) are considered. When more than one errors occur (which is mostly caused by radiation particle(s)), they always affect memory cells that are physically close, so tending to either affect.
multiple data bits in a single memory word, or single data bits in multiple adjacent words [25]. Therefore, two scenarios are defined as general cases. 1) Single-bit errors: multiple errors occur, but each incorrect weight/memory word has only one bit flipped. 2) Multi-bit errors: multiple errors occur but they affect the same weight/memory word. The different error scenarios considered in this paper are summarized in Table I.

To achieve error tolerance of ANNs for inference, redundancy on neuron computation has also been proposed [13], [14]; however, the model must be changed and such a scheme may incur in a significant hardware overhead. An alternative solution is to utilize ECCs, as widely used for memory protection. By storing a few parity bits (that are calculated using the data being protected in each memory word), errors in the word can be detected or corrected. For example, the widely used Single Error Correction (SEC) code requires \( r \) parity bits by satisfying \( 2^r \geq d + r + 1 \) to protect a \( d \)-bit data [31]. Even though ECC has a high flexibility and does not need to modify the NN, the introduced memory redundancy may not be acceptable in some hardware-constrained platforms; moreover, ECCs can only deal with a limited number of errors. Therefore, more powerful yet efficient error-tolerant techniques need to be investigated for a memory of an ANN used in inference, especially for SNs that present a unique challenge for memory errors.

C. Error Injection Experiments

Prior to introducing the proposed error-tolerant approaches, a series of experiments have been pursued to assess the impact of soft errors on the inference performance of an SN for five widely used image datasets given in Table II; both MLP and CNN are considered as subnetworks (their configurations are described in the next sections). Error injection is performed using the error models described in Section II.B for the simple and general cases; bit-flip errors are randomly injected on the weight matrices in each case. The experiments are repeated by \( 10^5 \) trials to obtain the average values, from which several important conclusions can be drawn:

1) Due to the overprovision of neurons and the inference threshold, an SN has some inherent error tolerance [18] because soft errors lead to close to zero predicted changes in most cases (97.66% in \( 10^5 \) trials). However, in the remaining cases, errors have a very high impact; an average of 37.14% of the predictions are changed.

2) All these changed predictions are caused by significant changes (usually larger than \( \pm 10^{e_{10}} \)) in the weight value. By Eq. (1), bit flips on the sign or exponent bits are more likely to lead to significant changes. This has been confirmed by error injection; the results show that 99.42% of them are caused by errors on the exponent bits, and 0.58% on the sign bit.

From the above observations, the primary objective of error tolerance in SNs is to protect the sign and exponent bits, i.e. changes on the mantissa bits have a negligible impact on the final prediction. Therefore, this paper proposes error-tolerant approaches from two perspectives: reducing the impact of significant changes by applying a weight filter and correcting the erroneous bits by a code scheme.

<table>
<thead>
<tr>
<th>Scenario</th>
<th># flipped bits</th>
<th># affected weights</th>
</tr>
</thead>
<tbody>
<tr>
<td>Simple case</td>
<td>1</td>
<td>1</td>
</tr>
<tr>
<td>General case</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Single-bit errors</td>
<td>1</td>
<td>Up to 10</td>
</tr>
<tr>
<td>Multi-bit errors</td>
<td>Up to 10</td>
<td>1</td>
</tr>
</tbody>
</table>

FIG. 3. Inference process of the error-tolerant SN with weight filter and code.

TABLE I
DifferEnt Error InJection ScEnarios

<table>
<thead>
<tr>
<th>Scenario</th>
<th># flipped bits</th>
<th># affected weights</th>
</tr>
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<tr>
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</tr>
<tr>
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</tr>
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</tr>
<tr>
<td>Multi-bit errors</td>
<td>Up to 10</td>
<td>1</td>
</tr>
</tbody>
</table>

TABLE II
Detailed Information of datasets and Inference Accuracy with SN in Error-Free Case

<table>
<thead>
<tr>
<th>Name</th>
<th>Category</th>
<th>#Classes</th>
<th>Size of the training set</th>
<th>Size of the test set</th>
<th>Size of image</th>
<th>#Channels of image</th>
<th>Accuracy (MLP)</th>
<th>Accuracy (CNN)</th>
</tr>
</thead>
<tbody>
<tr>
<td>MNIST [26]</td>
<td>Handwritten Digits</td>
<td>10</td>
<td>60,000</td>
<td>10,000</td>
<td>28-28</td>
<td>1</td>
<td>97.174%</td>
<td>99.613%</td>
</tr>
<tr>
<td>Fashion-MNIST [27]</td>
<td>Clothing and Accessories</td>
<td>10</td>
<td>60,000</td>
<td>10,000</td>
<td>28-28</td>
<td>1</td>
<td>91.563%</td>
<td>93.639%</td>
</tr>
<tr>
<td>CIFAR-10 [28]</td>
<td>Animals and Vehicles</td>
<td>10</td>
<td>50,000</td>
<td>10,000</td>
<td>32-32</td>
<td>3</td>
<td>75.704%</td>
<td>79.524%</td>
</tr>
<tr>
<td>SVHN [29]</td>
<td>Street View House Numbers</td>
<td>10</td>
<td>73,257</td>
<td>26,032</td>
<td>32-32</td>
<td>3</td>
<td>81.867%</td>
<td>92.883%</td>
</tr>
<tr>
<td>STL-10 [30]</td>
<td>Animals and Vehicles</td>
<td>10</td>
<td>5,000</td>
<td>8,000</td>
<td>96-96</td>
<td>3</td>
<td>68.421%</td>
<td>74.690%</td>
</tr>
</tbody>
</table>
D. Proposed SN

The proposed error-tolerant SN is based on utilizing two schemes: a weight filter and a code, either by themselves or combined. It will be shown in subsequent sections that the SN can be protected by those two techniques with a very low accuracy loss even in extreme error cases. Error tolerance in this paper focuses on the memory storing the model (i.e., the weights) of the SN, so as applicable to all NNs, training and inference must be considered: 1) The training process of the proposed scheme is the same as in a traditional SN; 2) The significant difference occurs for inference: a weight filter and a code are utilized to check the stored weight matrices before the inference process starts. In the combined scheme, the weight is first checked and corrected by the code, and then further modified by the weight filter. The inference process then starts with such corrected weights. The inference process of the proposed error-tolerant SN is illustrated in Figure 3.

Both these schemes aim at reducing the redundancy overhead for attaining error tolerance in the SN; different from a traditional approach with ECCs, the proposed design of an error-tolerant SN requires zero memory overhead. A detailed comparison is provided in Section VIII.

III. PROPOSED WEIGHT FILTER

As observed in the error injection experiments, the filter is applied to reduce the significant changes in the weight value. Similar schemes for restricting the large values in the weights have been studied [19]-[22]. All these works rely on the same observation that outliers with large absolute values in weights (caused by faults/errors) are the primary causes of performance degradation of NNs. However, the error models considered in these works are totally different from the one analyzed in this paper, i.e., they target stuck-at faults during the training process, while this paper considers soft error(s) during the inference process. Moreover, these existing works did not provide a theoretical analysis or justify the bound selection of the filters, so their applicability in the scenario considered in this paper cannot be further investigated/evaluated.

The design of the proposed weight filter relies on the distribution of the weight values. The statistical maximum and minimum values of the weights in the error-free SNs for different datasets are provided in Table III. The weight values are concentrated in a small range (bounded by the maximum and minimum values). Therefore, when errors due to bit-flips affect the exponent bits, there is a high probability to generate outliers that exceed the range in the error-free case. Such outliers have been shown in the error injection experiments to cause changes in the prediction; hence, the proposed filter can effectively reduce the effect of soft errors in an SN. Note that even though the specific range of weight values may vary for different NNs and datasets (as shown in Table III), it only affects the bound selection of the filter that is described in subsequent subsections, but not the feasibility of the filter.

A. Implementation

Consider the characteristics of the weight distribution; the filter can be mathematically represented as a multiplication with a rectangular function that constrains the weight values within a reasonable range. Such function is defined as:

\[ \text{rect}(t) = \begin{cases} 1, & \text{if } t \geq \tau_{\text{min}} \text{ or } t \leq \tau_{\text{max}} \\ 0, & \text{otherwise} \end{cases} \]

where \( \tau_{\text{min}} \) and \( \tau_{\text{max}} \) determine the lower and upper bounds of the filter, and \( \tau_{\text{min}} < \tau_{\text{max}} \). As this filter is applied to all weight matrices, the bound of the filter must satisfy the constraints:

\[ \tau_{\text{max}} \geq w_{\text{max}} \]
\[ \tau_{\text{min}} \leq w_{\text{min}} \]

This is required because only the weights that certainly contain errors, need to be filtered; otherwise, the weights without errors may also be changed, so hindering the objective of retaining high accuracy while reducing the impact of memory errors.

The bounds of the filter are recorded when the model is saved in memory. Prior to the inference process, the weight matrices are checked by comparing them with the upper and lower bounds. After the outliers are limited by the filter, the inference process continues with the modified weights (as illustrated in Figure 3). Next, the specific choices and related analyses of the upper and lower bounds of the filter are discussed. Due to the complexity of NN propagation, a simple model is first introduced for the theoretical analysis, and then the general cases are discussed.

B. Upper and Lower Bounds in the Simple Case

A simplified error injection model is considered to analyze the weight filter in the proposed SN. The following assumptions are applicable to the so-called “simple case” of an SN.

1) Only one erroneous bit is present in the weight matrices.
2) The output layer of each branch network has only one neuron.
3) The error appears only in the weight of the last layer (i.e., the output layer in the MLP version, or the last fully-connected layer in the CNN version).

As an example, consider one of the branch networks, because these networks share the same weights and errors are the same for their weight matrices. Define the inputs of the last layer of subnetwork 1 as \( x_i = (x_{1,1}, \ldots, x_{1,n})' \), and the weight matrix as \( w_i = (w_{1,1}, \ldots, w_{1,n})' \), where \( n \) is the number of neurons prior to the output layer. The weight with a memory error \( w_i + e \) has a range from \( \pm 1.18 \times 10^{-38} \) to \( \pm 3.4 \times 10^{38} \) as per a single bit-flipping error in a single-precision floating-point number.

Assume the error \( e \) affects \( w_{i,1} \), \( 1 \leq i \leq n \). The output of the last layer can be represented by Eq. (5); only the weight is considered, because the bias can be treated as part of the weight if the input is extended by an additional dimension (i.e., \( w_i = (w_{1,1}, \ldots, w_{1,n}, b)' \) and \( x_i = (x_{1,1}, \ldots, x_{1,n})' \)).
\[ y_1 = \sum_{j=1}^{n} x_{i,j} w_{1,j} + x_{i} e \]  
(5)

The output of the other subnetwork, \( y_2 \), can be similarly represented. When the output layer has only one neuron, the calculation of the Euclidean distance is rather simple; the distance \( D_0 \) with no error and the distance \( D \) with one bit of error satisfy the following relationship.

\[
D = \sqrt{(y_1 - y_2)^2} = \sum_{j=1}^{n} x_{i,j} w_{1,j} + x_{i} e - \sum_{j=1}^{n} x_{i,j} w_{2,j} - x_{i} e
\]
(6)

Assume the value of \( D_0 \) is in the range \([0, D_{\text{max}}]\), and the threshold is \( T \), \( 0 < T < D_{\text{max}} \). As the result of the classification results of the network with no error, the following two cases are possible:

**Case 1:** If \( D_0 \in [0, T] \), the original prediction is positive (i.e., the two inputs are judged by the SN to be of the same category). To reduce the effect of errors, i.e., to keep the prediction unchanged, \( D_0 + (x_{i,1} - x_{i,2}) e \in [0, T] \). If \((x_{i,1} - x_{i,2}) e \leq 0 \), the prediction does not change; if \((x_{i,1} - x_{i,2}) e > 0 \), the filter reduces \((x_{i,1} - x_{i,2}) e \) to reach a higher probability for the prediction not to change.

**Case 2:** If \( D_0 \in [T, D_{\text{max}}] \), the original prediction is negative (i.e., the two inputs are judged by the SN to be of different categories). Similarly, \( D_0 + (x_{i,1} - x_{i,2}) e \in [T, D_{\text{max}}] \). If \((x_{i,1} - x_{i,2}) e \geq 0 \), clearly the prediction does not change. If \((x_{i,1} - x_{i,2}) e < 0 \), the filter increases \((x_{i,1} - x_{i,2}) e \) to reach a higher probability for the prediction not to change.

By combining the above two cases, the selection of the upper and lower bounds of the filter is equivalent to minimize \(|(x_{i,1} - x_{i,2}) e|\). Moreover, as the filter is applied to the weight matrix and does not change the inputs, the above problem is equivalent to minimize \(|e|\). The filter accomplishes this indirectly by limiting the value of \( |w_i + e| \) as:

\[
|w_i + e| = \begin{cases}  
  \tau_{\text{max}}, & \text{if } w_i + e > \tau_{\text{max}} \\
  \tau_{\text{min}}, & \text{if } w_i + e < \tau_{\text{min}} \\
  |w_i + e|, & \text{otherwise}
\end{cases}
\]
(7)

When considering (4), the selection of the bounds is equivalent to solving the problem:

\[
\begin{align*}
\text{minimize } & \tau_{\text{max}} \quad \text{subject to } \tau_{\text{max}} \geq w_{\text{max}} \\
\text{maximize } & \tau_{\text{min}} \quad \text{subject to } \tau_{\text{min}} \leq w_{\text{min}}
\end{align*}
\]
(8)

The optimal feasible solution for the filter’s upper and lower bounds is given by:

\[
\begin{align*}
\tau_{\text{max}} &= w_{\text{max}} \\
\tau_{\text{min}} &= w_{\text{min}}
\end{align*}
\]
(9)

This shows that the filter with bounds given by the statistical maximum and minimum of the weight values (as presented in Table III) has the largest probability to maintain the original predictions in the simple case.

### C. Theoretical Performance Analysis

By observing the distributions of the weights and the distances in the error-free case, the following relationships are satisfied for all five datasets (described in Table II) considered in this paper:

\[
\begin{align*}
D_0 = D_0 + \sum_{i=1}^{N} (x_{i,1} - x_{i,2}) e_i \\
D = D_0 + \sum_{i=1}^{N} (x_{i,1} - x_{i,2}) e_i
\end{align*}
\]
(12)

where \( k \) is the number of soft errors, or the number of output dimensions. In this case, the problem is equivalent to minimize \(|\sum e_i|\). The optimal solution of Eq. (9) only holds when all errors have the same sign, which is not necessarily valid in

### TABLE IV

**Estimated Percentage of Protected Samples in Different Datasets**

<table>
<thead>
<tr>
<th>Dataset</th>
<th>Protected samples (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>MNIST</td>
<td>82.98%</td>
</tr>
<tr>
<td>Fashion MNIST</td>
<td>73.88%</td>
</tr>
<tr>
<td>CIFAR-10</td>
<td>78.32%</td>
</tr>
<tr>
<td>SVHN</td>
<td>78.59%</td>
</tr>
<tr>
<td>STL-10</td>
<td>80.35%</td>
</tr>
</tbody>
</table>

Therefore, by applying the filter, a “safe range” is generated to guarantee that most of the predictions remain unchanged. For example, if there is a constant \( e \) such that \((x_{i,1} - x_{i,2}) e < e_1\), for the samples with an original output distance \( D_0 \in [0, T - e] \), their output distance in the presence of errors always satisfies \( D = D_0 + (x_{i,1} - x_{i,2}) e < T \). The derivation is similar if the sample’s prediction is negative with \((x_{i,1} - x_{i,2}) e > e_2\). This means that the positive predictions with an original distance \( D_0 \in [0, T - e_1] \), or the negative predictions with an original distance \( D_0 \in [T + e_2, D_{\text{max}}] \) do not change.

Assume that an error does change the sign of the weight; note that the probability of a random bit flipping on the sign bit of a single-precision floating-point number is very small (1/32), so this is an extreme scenario. Then the following inequalities are satisfied by applying a filter according to Eq. (9):

\[
\begin{align*}
|e| &\leq w_i + e \leq w_{\text{max}}, \text{ if } w_i \geq 0 \\
|e| &\geq w_i + e \geq w_{\text{min}}, \text{ if } w_i < 0
\end{align*}
\]
(10)

Define \( \epsilon = (x_{i,1} - x_{i,2}) w_{\text{max}} \), such a “safe range” is generated; therefore, by the distribution of \((x_{i,1} - x_{i,2}) w_{\text{max}} \) and \((x_{i,1} - x_{i,2}) w_{\text{min}} \) of each dataset, the estimated percentage of samples protected by the filter can be calculated and for different datasets, it is shown in Table IV. As per Eq. (11), this analysis results in an underestimate of the performance of the filter; so, it can be theoretically proven that the filter is capable to reduce the changed predictions caused by memory errors.

### D. General cases

The optimality of the bound selection has been established in the simple case; in the general case, memory errors in an SN may not satisfy the assumptions outlined previously in Section III.B, and a more detailed analysis is required. The proposed weight filter works also in the general case, because its feasibility only relies on the condition that outliers (so with large absolute values in the weights) lead to degradation. However, it has not been possible to prove that the specific bound selection is optimal as in the simple case (also, the theoretical performance analysis is intractable). Therefore, this paper provides a discussion of a heuristic bound selection for the general cases.

If assumptions 1) or 2) are not satisfied, Eq. (6) can be changed by substituting the error terms with the sum given by

\[
D = D_0 + \sum_{i=1}^{N} (x_{i,1} - x_{i,2}) e_i
\]
(12)
practice; hence, the bounds are not always optimal (depending on the signs of the errors), but it is a feasible solution for all cases.

When assumption 3) is not satisfied, the analysis becomes rather complicated. Even if the filter minimizes the changes in the erroneous layer, the final output cannot be predicted; this also happens to the convolution layers of the CNN version. It occurs because errors cannot be represented as an independent term (as in Eq. (6)) after layer propagation. In this case, the optimality of the bounds is highly dependent on the network and the datasets, so it is difficult to establish a deterministic mathematical model.

Even though optimality does not always hold, the bound selection in Eq. (9) can be still used. Considering the diverse datasets, the bounds set by the range of the weights provide good flexibility; also, it is generally applicable for all scenarios (optimal in the simple case; feasible and sometimes optimal in the more general cases). As per the observation that outliers are usually extremely large, a small deviation to the optimal bounds brings trivial effects; the performance of the filter with the suggested bounds is evaluated by simulations in subsequent sections.

IV. PROPOSED CODE

A code scheme is proposed to deal with single-bit errors in this section. Since outliers due to errors on the sign and exponent bits of weights cause the most crucial changes affecting predictions, the protection of only these bits can greatly reduce the redundancy overhead, while still retaining satisfactory performance. Therefore, in the proposed code scheme, an SEC is employed to cover only the sign and exponent bits (i.e., 9 bits in total) of each weight; this reduces the required number of parity bits from 6 to 4 compared to a traditional SEC that covers all 32 floating-point bits [31]. Moreover, the proposed scheme stores the parity bits on the 4 least significant bits (LSBs) of the mantissa of each weight (Figure 4), hence no memory redundancy/overhead is finally encountered. Even though a very small deviation is introduced by replacing the original 4 LSBs with the parity bits, it leads to negligible changes in values and operations of ANNs [32]; this is also applicable to an SN as established in the error injection experiments.

Overall, this scheme is expected to have a significant performance improvement either by itself, or when combined with the filter (when there is more than one erroneous bit on the significant bits, the provided code is not suitable, hence the need for the weight filter).

V. SIMULATED ERROR TOLERANCE OF SN WITH MLPs

In this section, the performance of the proposed schemes (filter, code, and the combined method) in an SN with different datasets is assessed for both the simple and the general cases. Multi-layer perceptrons (MLPs) are used as branch networks and each is designed with 5 linear layers (with size 784-512-512-512-2); ReLU is selected as the activation function between layers. The number of neurons in each layer, and the hyperparameters (such as the learning rate) are experimentally determined for best performance over all datasets. Moreover, contrastive loss [33] is used in the training of the SN. As an SN requires a pair of images as inputs for the two branch networks, datasets are preprocessed to balanced positive and negative pairs, so indicating that the two inputs belong to the same or different categories.

The SN is trained with the preprocessed datasets and the classification accuracy of each dataset in the error-free case is recorded and shown in Table II; in the simulations, the SNs for all datasets are equally trained for 50 epochs. Although the models may not reach the top accuracy of each dataset, this has a negligible effect on testing the weight filter in the presence of error(s).

Errors for different cases (as detailed in Table I) are injected into the SN during the inference process. The location of each error (layer, weight index, and bit position) is randomly selected. Two metrics are used to evaluate the effects of the injected errors and the proposed schemes: the loss classification accuracy and the number of changed predictions (all results are averaged over 10000 runs). Since the models cannot achieve 100% accuracy in the error-free case, there will be differences between these two metrics. The accuracy loss evaluates the classification performance of the network, while the number of changed predictions evaluates the sensitivity of the model to errors at a higher system level.

A. PERFORMANCE IN THE SIMPLE CASE

In the simple case, only a single bit error is injected into the weight matrices for the output layer. The results prior to and after using the proposed filter and code, are shown in Table V; note that there is no need to employ the combined method in this case.

Filter scheme: Table V shows that the filter achieves improvement in both accuracy loss and the number of changed predictions. Moreover, in Section III, it has been theoretically proved that the filter can protect part of the samples and reduce the impact of memory errors on their predictions in the simple case. Although this theoretical calculation is only an estimate result (given in Table IV), its difference compared with the simulated number of changed predictions (given in Table V) is only 3.44% on average for all datasets, so verifying the analysis of the filter in the simple case.

<table>
<thead>
<tr>
<th>Dataset</th>
<th>Unprotected</th>
<th>Filter</th>
<th>Code</th>
<th>Unprotected</th>
<th>Filter</th>
<th>Code</th>
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<td>0.028</td>
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<td>&lt;0.001%</td>
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<tr>
<td>SVHN</td>
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<td>&lt;0.001%</td>
<td>&lt;0.001%</td>
<td>1.950</td>
<td>0.409</td>
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<td>0.002%</td>
<td>&lt;0.001%</td>
<td>5.744</td>
<td>1.081</td>
<td>0.013</td>
</tr>
</tbody>
</table>

Fig. 4. Data represented in IEEE standard 754 floating-point format protected by the proposed code scheme.
Code scheme: In this scheme, the single-bit error occurred in the memory can be fully corrected; therefore, the performance of the code is superior to the filter. Since the original 4 LSBs of each weight have been replaced by the parity bits, an accuracy loss and/or the number of changed predictions are still incurred for some datasets (as expected); however, such degradations are extremely low compared to the unprotected scheme and filter scheme (shown in Table V).

Overall, in the simple case, the code scheme is more attractive due to its higher error-tolerant performance with no memory overhead.

B. Performance in the General Case – Single-bit Errors

In this subsection, the performance of the proposed filter, code, and the combined method is assessed in the presence of up to 10 single-bit errors. The accuracy loss and the number of changed predictions are provided in Figures 5 and 6. The results show that as expected, the values under both metrics increase with the number of errors (as flipped bits in the unprotected scheme), and a significant reduction is achieved using the proposed methods.

Filter scheme: When comparing the results without and with the filter, the accuracy loss and the number of changed predictions are in general reduced by more than 90%; this confirms that the filter significantly reduces the effect of single-bit errors. In practical applications, the accuracy of the network usually decreases sharply with an increasing number of errors, so the presence of a filter enables to significantly reduce the impact of errors.
**Code scheme:** The code achieves even better performance for single-bit error correction; it shows very low accuracy losses (< 0.001%) and the average number of changed results is generally less than 0.3. Different from the filter, the code scheme ensures that the significant bits are error-free, so it is nearly insensitive to the number of errors. This feature enables a substantial improvement in performance under a large number of single-bit errors.

**Combined scheme:** The performance of the combined method is very similar to employing only the code (i.e., Figure 5 (c) and 6 (c)), thus proving that the combined method fully retains the excellent single error correction capability of the code. This result is expected, because the weights corrected by the code fall into the allowed range of the filter; hence the filter is not activated for most of the single error cases and the significant bits of the weights are still fully protected by the code.

**Filter scheme:** The results show that the filter maintains its good performance also under multiple error bits. It performs slightly better than the case of single-bit errors (Figures 5 (b) and 6 (b)). This is reasonable, because multi-bit errors are more likely to generate outliers with a large absolute value, that can be effectively eliminated. Moreover, with the protection of the filter, the accuracy of the network does not further decrease when more flipped bits occur in a single weight. This confirms that the proposed filter is suitable for protecting an SN with multiple erroneous bits in the same weight.

**Code scheme:** The code scheme cannot protect against multi-bit errors due to its nature (i.e., the SEC code); this is confirmed by the results showing no improvements (except when only one error occurs).

**Combined scheme:** The combined method has satisfactory performance in the case of multi-bits errors. The result is very close to using the filter, and the small difference is due to the changed LSBs (replaced by parity bits in the code scheme).

VI. SIMULATED ERROR TOLERANCE OF SN WITH CNNs

In previous sections, the performance of the proposed error-tolerant schemes has been evaluated for an SN with MLPs as subnetworks. This section provides additional simulation results by considering an SN with CNNs. Each of these subnetworks consists of three convolution layers (with channels and kernel size of $64 \times 7 \times 7 - 128 \times 5 \times 5 - 256 \times 5 \times 5$) and two fully-connected layers (with size 2304-2); ReLU is used as the activation function. The number of layers, the number of neurons in each layer, and hyperparameters (such as the learning rate) are experimentally determined for best performance over all datasets. Moreover, contrastive loss [33] is used in the training of the SN; dataset preprocessing and other configurations in the SN are the same as for the MLP-based version.

The performance of the proposed weight filter, coding scheme, and the combined method is presented in Tables VI and VII. Due to space limitations in the manuscript, only the results of 10-bit flips for each error type in the general cases (i.e., single-bit and multiple-bits errors) are provided. The results have been averaged over 10000 repeated trials.

The results show that the filter protects against both single-bit and multiple-bits errors; it performs better in the case of multiple-bit errors. The code provides a nearly complete protection for the SN in the case of single-bit errors, but it does not work well under multi-bit errors. Also in the CNN-based version, the combined method has the advantage of the two proposed schemes. Performance of the CNN-based version is very similar to the MLP-based version, so showing the proposed schemes can also work effectively with CNNs as subnetworks.

<table>
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<tr>
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<td>0.199%</td>
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<td>1.467%</td>
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<td>0.079%</td>
</tr>
<tr>
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<td>0.004%</td>
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</tr>
<tr>
<td>SVHN</td>
<td>0.681%</td>
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<td>0.062%</td>
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<td>0.112%</td>
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<td>2.252%</td>
<td>0.010%</td>
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</tr>
</tbody>
</table>

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VII. HARDWARE IMPLEMENTATION OF SN WITH MLP

In this section, a high-performance ASIC design of an SN is pursued for use in hardware-constrained platforms (e.g., low-power/high-frequency IoT or mobile systems). Since a CNN is computationally intensive, implementations often use FPGAs [34], GPUs [35], or supercomputers [36]; moreover, the operational frequency for FPGA-based implementations is in the order of few hundreds megahertz, and considerable power dissipation (like tens of watts) is encountered for CPU/GPU-based implementations [37], so making CNNs unsuitable as branch networks in an SN (so doubling the size of a CNN) for hardware-constrained platforms. Therefore, only an SN with MLPs as subnetworks is considered next for ASIC design; an efficient CNN-based SN implementation using emerging computing paradigms (so not with FP numbers) will be pursued as future work.

As depicted in Figure 9 (a), an MLP has an input layer, at least one hidden layer and an output layer. Generally, the number of neurons in the input layer is equal to the valid feature’s dimension in the target dataset; a weight is applied when mapping a neuron’s value to the next layer. Therefore, each neuron must be multiplied by its relevant weight to be transferred to the next layer.

Consider Figure 9 (b); when calculating the value of neuron \( n_{i+1} \) in the \( i+1 \)th layer, all \( m \) neuron values in layer \( i \) are initially multiplied by the corresponding weights \( w \) of neuron \( n_{i+1} \). Then these values are accumulated and finally, the activation function \( \Phi \) is applied. This calculation is given in Eq. (13).

\[
n_{i+1} = \Phi(\sum_{j=1}^{m} w_{ij} \cdot n_j + b') \tag{13}
\]

Therefore, when using the FP numbers (as numerically defined in Eq. (14), where \( b \) is the hidden bit), a Multiply-Accumulate (MAC) unit consists of an FP adder and an FP multiplier as required to implement a neuron of an MLP.

\[
-1 \cdot \text{sign} \times 2^{(\text{exponent} - 127)} \times (h, \text{mantissa}) \tag{14}
\]

Next, the FP units that are used for computation are presented. The 2-input FP adder and multiplier have been implemented using a 4 states finite state machine in which each state is processed in a clock cycle; the output is updated every 4 clock cycles. Algorithms 1 and 2 (given in the supplemental material) illustrate in more detail the process of addition and multiplication using these designs; both the FP adder and multiplier are designed behaviorally using Verilog-HDL. For the distance computation at the final stage of the SN, a square root circuit design is required; the low-cost design (and related method) proposed in [38] is utilized in this paper. This circuit is also described in the supplemental material.

Different schemes can be investigated for the MLP and thus SN implementation: 1) Serial 2) Parallel 3) Hybrid. The parallel implementation is presented in the supplemental material, because due to its very large complexity, this design is not pursued because the hardware is unfeasible. In the next subsections, the remaining two implementations are discussed in more detail.

A. Serial Implementation of MLP

In a serial implementation of an MLP, all calculations are performed by utilizing a single MAC [39] (as part of the structure shown in Figure 11); this design receives two inputs and multiplies them in 4 clock cycles in a pipelined mode. Then, it adds the product with the results from the previous step using the feedback input in the FP adder. Also, in this implementation when the start signal is low, all internal registers of the FP units are reset, otherwise the multiplier starts the calculation.

Assume that the \( i \) th layer has \( m \) neurons; for each neuron in the \( i+1 \)th layer, \( m+1 \) entries (so the number of neurons in the \( i \)th layer plus a bias value) are present, and thus \( m+1 \) pairs of inputs. After calculating the value of each neuron, the MAC is reset and the calculation for the next neuron can be started. Therefore, a serial implementation computes each neuron value for the hidden and output layers serially; this feature significantly decreases the area, but it increases the latency. Figure 10 shows a schematic diagram of this implementation; an SRAM is required to save the result of each neuron, because this value is needed for the calculations of the neurons in the deeper layers. Also, a portion of the SRAM is used to save the weights related to each neuron; the control unit is responsible for reading/writing from the SRAM and set/reset of the neuron [40].

B. Hybrid Implementation of MLP

This implementation is based on a combination of parallel and serial implementations; it uses the serial neuron hardware (i.e., a MAC). Consider the fully parallel design in Figure 11 (more details about this design can be found in the supplemental material file); the hybrid design consists of increasing the level of parallelization by adding more MAC units to the design. So, instead of calculating each neuron separately, several neurons are calculated at the same time, but still in a serial mode, i.e., as each neuron processes only a pair of inputs in each step, the control unit allocates all possible pairs to the neuron during the computational phase.

Therefore, the final values of neurons are saved in the SRAM.
and used for the next layers (because they cannot be processed at the same time due to the limited number of MACs). In this paper, 16 MAC units are used for the different datasets considered; however, the SRAMs must be implemented such that several banks are provided to either generate 16 outputs at the same time, or save 16 inputs at the same time. In this case the performance of the SRAM memory plays an important role in the proposed design.

Figure 12 shows the proposed design for the hybrid implementation; in this design, only a single array of MACs is utilized. Therefore, for each layer, the control unit sets the proper inputs for the MACs (weights and input data) and when the computation of that layer is completed, the results are saved in the related SRAM, because they are needed as inputs of the next layer (so different from a fully parallel implementation). For computation of the next layers, the current array of MACs can be used again. As memories, SRAM_W stores the weights and bias values, while SRAM_N saves the neurons values.

C. Proposed SN Implementation

To implement the SN, the MLP design is utilized; To be more specific, a single MLP unit (Serial or Hybrid) is instantiated, and all calculations of the SN are calculated in 2 iterations (1 iteration for each pair of networks). Also, as the used weights for both subnetworks are the same, then the SRAM_W and SRAM_N units in the MLP design are sufficient. The control unit controls the iterations. Additionally, the input image must be multiplied with its related weights several times (the hardware design has 1 or 16 MAC units and there are 512 neurons in the first hidden layer, the image must be accessed 512 or 32 times for serial and hybrid designs respectively), then a small SRAM unit is used to save a pair of images for next time (SRAM_I). Figure 13 shows the proposed SN design. Based on Table VIII, the power consumption approximately doubles (there are 2 iterations) and the area increases due to the control unit is marginal. This allows for ease in training and testing because both subnetworks use the same weights and bias values; latency however may increase. These aspects will be assessed in the next section.

VIII. EVALUATION RESULTS

All hardware implementations have been designed/simulated using Cadence Genus Synthesis Solution; the optimization effort for area, power and delay has been set to high for the tool to automatically consider the tradeoffs between them and the pre-set constraints to reach the best result. Moreover, we have used a 32nm technology file at 25°C and TT corner. Table VIII shows the synthesis results for the different datasets for the MLP and SN using serial and hybrid implementations based on a 32nm library (with and without error-tolerant schemes). In Table VIII, the serial design incurs in the least area and power dissipation, but at a higher number of clock cycles. So, the serial implementation is the best design candidate for low power applications; however, training can be rather time-consuming. The proposed ASIC implementations operate in a pipeline mode at 681.2 MHz frequency, while the total delay during classification is several milliseconds.

Memory is needed for the serial and hybrid designs to save the values of the deeper neurons because these designs do not use all of them at the same time. Although the memory size for these two designs are the same (Table VIII), their control units are rather different. In the serial design, the data is read/written serially during each cycle, while for the hybrid design the data is written in the hybrid mode at different memory banks (16 data banks); during the read cycle, the data is read in the sequence that they have saved, so serially as multiplication is executed between the value of each neuron in the previous layer and its related weight for a neuron in the current layer. Note that errors in these memories (that store the intermediate calculation results in both the serial and hybrid designs) are not considered in this paper, because their size is comparatively small and traditional error-tolerant schemes can be utilized to protect them against errors (if needed). Also, the reason for the difference between memory size in MLP design and SN design is that in a SN design, another memory has been added to save the input pair of images.

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The SNs protected using the traditional SEC code of [31] are also implemented and compared in Table VIII. Compared to the proposed schemes, the SNs with SEC show comparable power and area overhead for the ASIC, but the delay is increased by 5.36% to 8.57%; moreover, the memory size (and thus its area and power) is increased by approximately 18.8% due to the additional cells for storing the parity bits (while only correcting single-bit errors). Since memory accounts for the largest part of the overhead required for the entire implementation, the proposed schemes (that can deal also with multi-bit errors) are shown to be superior to the traditional SEC scheme under all evaluation metrics, so making it also more attractive for hardware-constrained platforms.

Finally, the MLP implementation is compared with existing works (based on FPGA and ASIC [41]-[45]) found in the technical literature in terms of hardware performance; the MNIST dataset is taken as an example, but the trend of the other datasets is similar, because the benefit of the proposed design comes from a hardware configuration that is mostly independent of the network configuration/datasets. The synthesis results given in Table IX show that the proposed design achieves the highest frequency, with the least power dissipation even though the existing works use more advanced process technology and have an extremely smaller network.

### TABLE VIII

<table>
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<tr>
<th>Dataset</th>
<th>Design</th>
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<td># Cycles</td>
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### TABLE IX

<table>
<thead>
<tr>
<th>MLP Implementation</th>
<th>Technology</th>
<th>Network Topology</th>
<th>Frequency (MHz)</th>
<th>Power (mW)</th>
<th>Arithmetic Units</th>
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IX. Conclusion and Future Work

This paper has proposed an error-tolerant Siamese Network (SN); two schemes utilizing a weight filter and a code reduce the effect of memory errors (bit flips in the weight matrices) in the inference process. The proposed schemes introduce no memory redundancy compared with the unprotected case, because the filter does not incur in redundancy and the coding scheme replaces a few original LSBs with the required parity bits. Moreover, they can be combined to further improve the error tolerance of the SN. Overall, the performance of the proposed three schemes is summarized in Table X, which is evaluated by the decreased percentage of accuracy loss and number of changed predictions (averaged over all datasets and all error scenarios).

The weight filter has been introduced to prevent outliers and protect the SN in extreme cases in which multi-bit errors occur in a single weight. The theoretical analysis proves that the selection of the filter bounds according to the maximum and minimum values of the weight distribution of the trained model is optimal in the simple case, and feasible in the more general cases. Moreover, the percentage of weights protected by the filter can be calculated in a simple case, so providing an estimate of the filter performance. The code scheme stores the parity bits on the LSBs of the original data, so requires zero memory overhead at the cost of incurring in negligible errors.

Simulation results have shown that the filter performs better in the case of multi-bit errors, while the coding scheme shows better performance under single-bit errors (but it cannot operate correctly for multi-bit errors). When combining both the weight filter and the code, the SN has been shown to have outstanding performance for all error scenarios, so achieving a high memory error tolerance.

The ASIC design of a Multi-Layer Perceptron (MLP) and then an SN (using MLPs as branch networks) with different configurations have been accomplished by using single-precision FP arithmetic units. Synthesis results show that the proposed hardware MLP design provides a higher operating frequency (681.2 MHz) while lower power dissipation compared with other works found in the technical literature, even though these works use more advanced process technologies and have smaller networks.

The proposed methods are also applicable to other types of ANNs when the same behavior of the weight outliers is observed. Future work will investigate i) the efficient hardware design for CNN-based SNs using emerging computing paradigms, and ii) the use of deep NNs as subnetworks of an SN as well as the classification performance and error tolerance of such configuration, because this type of network can also be used in other application scenarios in place of shallow MLPs/CNNs.

<table>
<thead>
<tr>
<th>Scheme</th>
<th>Decrease in accuracy loss</th>
<th>Decrease in changed predictions</th>
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<tr>
<td></td>
<td>Single-bit errors</td>
<td>Multi-bit errors</td>
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<tr>
<td>Weight filter</td>
<td>93.542%</td>
<td>97.662%</td>
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<tr>
<td>Code</td>
<td>99.775%</td>
<td>3.385%</td>
</tr>
<tr>
<td>Combined method</td>
<td>99.782%</td>
<td>97.634%</td>
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</table>

TABLE X
OVERALL PERFORMANCE OF THE PROPOSED ERROR-TOLERANT SCHEMES

REFERENCES


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