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# **Efficiency Mapping and Weighted Average Efficiency for Large Hydrogenerators**

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**ABSTRACT** This paper presents the concept of efficiency mapping for large synchronous hydrogenerators, which are useful for evaluating hydropower generation performance vis-à-vis interconnected intermittent generation to machine efficiency-oriented design. Tests for loss segregation at rated conditions are needed, and models for loss variation along all operational areas are presented. The weighted average efficiency is obtained as the summation of all operating load point efficiencies times related weights, defined according to criteria or from a 2D-histogram of machine operation. The proposed methodology is applied and compared for two machine designs and to an existent machine under operation.

**INDEX TERMS** Hydrogenerator, modeling, efficiency, testing, losses.

## **NOMENCLATURE**

- $A_{\nu}$ Weighting factor
- $b_v$ The slope of the air-gap line
- $b_i$ Polynomial coefficients of the saturation curve
- $E_G$ Induced EMF (pu)
- $E_P$ Induced EMF behind the Potier reactance (pu)
- n Number of considered points
- Dummy variable
- $I_A$ Armature terminal current (A) or (pu)
- $I_F$ Field current (A)
- Armature winding current loss (kW)
- Loss in the bearings (kW)
- Brushes loss (kW)
- Armature core loss (kW)
- Exciter loss (kW)
- Field winding current loss (kW)
- $P_f$   $P_s$ Stray load loss (kW)
- Windage and friction loss (kW)
- Dispatched active power (kW) or (pu)
- Q Dispatched reactive power (kvar) or (pu)
- $R_A$ Armature resistance (pu)
- $U_A$ Armature terminal voltage (V) or (pu)
- $X_d$ Direct axis synchronous reactance (pu)

- $X_a$ Quadrature axis synchronous reactance (pu)
- $X_P$ Potier reactance (pu)
- δ Loading angle (rad)
- Load angle (rad)
- η Efficiency (pu) or (%)
- Average efficiency (pu) or (%)  $\eta_A$
- Weighted average efficiency (pu) or (%)  $\eta_{u}$

## I. INTRODUCTION

The Future application of hydrogenerators involves the complementary operation with intermittent renewable power generation. Energy storage systems have been proposed to solve new renewables' intermittence [1]-[3]. However, in cases where storage is not available, the threat caused by the generation intermittency and voltage regulation are usually rendered to hydropower plants.

Therefore, for PV and wind generation, in moments of high irradiance or high wind speeds, the renewable generation is high, and hydrogenerators are set to a minimum generation. The reciprocal is also true, resulting in considerable active power variation over time [4], [5]. For instance, Fig. 1 shows the net load evolution on a typical spring day in California; the

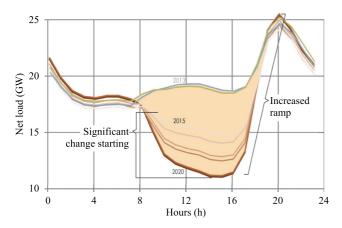


FIGURE 1. The "Duck Curve" shows the net load variation in areas with high solar generation penetration [13].

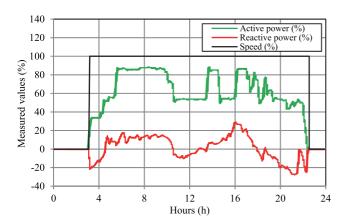


FIGURE 2. The active and reactive power of a typical indirectly cooled generator in the 300 MVA class is connected to a grid and a flexible load [14]. In this picture, 100% speed refers to the machine under operation.

steepest ramp of a necessary additional generation of about 4 GW/h can be seen [6].

Reactive power has also been widely dispatched, forcing the hydrogenerators to work virtually as a synchronous condenser, dewatered or not, as long as new renewables cannot handle extent voltage regulations, lending this effort to the installed synchronous generators, as shown in Fig. 2 [7].

The variability of the generation brings additional limiting issues. As a function of the loading changes, the temperature variation results in expansion and contraction of the insulation material, creating cracking, voids, and the increase of partial discharge activities, causing generator aging and lifetime reduction [8], [9].

Starts and stops may result in insufficient oil lubrication and friction increase between bearings and shaft [10], [11]. Additionally, forbidden operating areas, vibration, and cavitation are dictated from the hydro turbine side, limiting the operating range of the hydrogenerator beyond its capability curve [12], [13]. Such operational limits of mechanical order are not covered in this paper.

In the past, hydrogenerators used to work close to rated conditions of power, power factor, and efficiency. But nowadays, due to either renewable generation or independent system operator requirements, the loading of a generator can undergo profound variations throughout the day, with direct influence on the losses and in the operating efficiency [14].

The losses of any electrical machines are of diverse nature [15], namely: stator and rotor current losses [16], [17], iron loss [18], [19], stray-load loss [20], [21], and windage loss [22], [23]. Excitation loss, brush loss, and bearings loss are taken into account when necessary. Many factors influence these losses; some of these losses are constant with current, and others may vary either with the stator current or with the rotor current. In short, for constant speed and voltage generators, the total losses vary with the loading of the machine [15].

This work contributes to determining the weighted average efficiency (WAE), a single number that considers all the operating conditions rather than a single number reflecting only the rated condition. The WAE calculation includes its theoretical basis, loss modeling, and weights definition based on experience or a 2D-histogram.

This manuscript is divided into sections, Section I being this introduction. Section II presents practical segregation of losses, and Section III shows models for the diverse losses that are in synchronous generators. Section IV discusses the WAE concept and calculation.

Two types of examples are explored in this paper. The first type refers to brand-new generators, and it is addressed in Section V, where the efficiency results are compared to manufacturer expectations. Section VI presents the second example with the calculation of the WAE of an existing generator. Section VII and Section VIII bring the discussion and the main conclusions, respectively.

## **II. LOSSES SEGREGATION**

The diverse type of losses can be determined using several ways [24], [25]. The most practical method for testing large generators is the thermodynamic method [26]. The loss segregation is achieved by performing tests with the generator at different conditions. The operating conditions, often called *running*, may be divided into first, second, and third running, described below.

## A. FIRST RUNNING

In the first running, the machine is rotated by the turbine at the rated speed, with no currents in the stator and field windings. After reaching thermal equilibrium, the thermodynamic method is applied to measure the losses. The measured losses comprise only the windage and friction losses. The so-called no-load loss summarizes the power dissipated in the cooling system and radiation and convection in the surfaces, i.e., covering concrete walls and shaft.

### **B. SECOND RUNNING**

The machine is run at no-load, rated speed, with excitation to obtain rated voltage in the terminals. In this condition, there

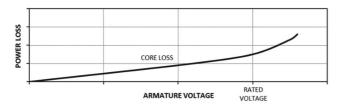


FIGURE 3. Armature core loss dependence with the applied voltage, as described in the IEEE STD 115 [16].

are only no-load losses, core losses, and field copper losses. The core loss plus the loss in the field copper is the subtraction of the loss obtained in the first running from the losses obtained in the second running results. The field resistance loss can be determined from the product of excitation voltage and excitation current. Therefore, the core loss in no-load conditions can be obtained.

## C. THIRD RUNNING

The third running is conducted with the machine under a permanent three-phase short-circuit and sufficiently excited to achieve rated armature current. During this test, the machine is subject to stray-load loss and armature copper loss.

The stray-load loss is determined by subtracting the armature copper loss, field copper loss, and no-load loss from this test's total loss.

The armature copper loss is determined from the measured resistance corrected with temperature, and this test's armature current. Field loss is determined as the product of the excitation current and voltage, and the no-load loss is obtained in the first running, with eventual correction due to the air density and pressure variations.

## III. LOSSES MODELING

For a grid-connected hydrogenerator, some types of losses can be considered constant; nevertheless, other types of losses may be modeled as a function of either terminal current or field current. Those characteristics are detailed as follows.

#### A. CURRENT INDEPENDENT LOSSES

The constant losses are those that do not vary with loading. In a synchronous generator, they are the windage and friction loss and the armature core loss. The windage loss is proportional to the speed raised to the third power. Thus, it is considered constant since a generator in a hydropower plant works at a nearly constant speed to achieve the system's frequency. There is a relatively small variation of friction loss with the loading of the machine. However, a hydro machine's significant effort is the turbine axial force, which is almost constant.

The armature core loss is a function of the frequency and the applied voltage. While frequency suffers tiny variation, the voltage may vary in a short-range established by grid codes. The IEEE STD 115 presents the core losses as a function of the terminal voltage, as shown in Fig. 3.

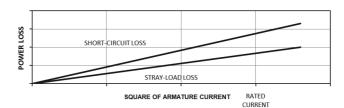


FIGURE 4. Armature resistance loss (short-circuit loss) and stray-load loss dependence with the square of armature current, as described in the IEEE STD 115 [16].

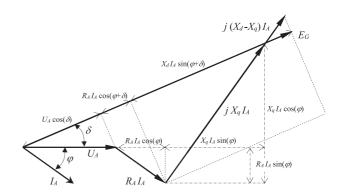


FIGURE 5. Phasor diagram of a generator connected to an inductive load is used to calculate induced EMF [27].

Therefore, the summation of windage, friction, bearings, and armature core losses is a current independent loss and is considered constant with the loading.

## **B. ARMATURE CURRENT DEPENDENT LOSSES**

The armature current dependent losses are the armature winding copper loss and the stray-load loss. Both of them varies with the square of the armature current as depicted in Fig. 4. (1) may be used to obtain the armature terminal current as a function of the dispatched active and reactive powers and terminal armature voltage.

$$I_A = \frac{\sqrt{P^2 + Q^2}}{\sqrt{3} U_A} \tag{1}$$

## C. FIELD CURRENT DEPENDENT LOSSES

Field current dependent losses are the field winding copper loss, the voltage drop in the brushes, and exciter loss. The first two losses vary with the field current's square, whereas the third loss is proportional to the field current.

The field current can be calculated using a well-known formulation, such as that presented in [24], which depends on the direct and quadrature synchronous reactances, the Potier reactance, and the armature resistance. Considering the phasor diagram of Fig. 5, yields.

$$\varphi = \arctan\left(Q/P\right) \tag{2}$$

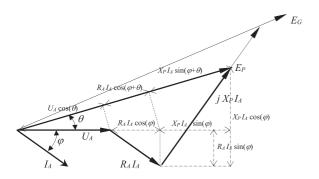


FIGURE 6. Phasor diagram of a generator connected to an inductive load used to calculate excitation quantities [27].

$$\delta = \arctan\left[\frac{X_q I_A \cos(\varphi) - R_A I_A \sin(\varphi)}{U_A + R_A I_A \cos(\varphi) + X_q I_A \sin(\varphi)}\right]$$
(3)

The Induced EMF is:

$$E_G = U_A \cos(\delta) + R_A I_A \cos(\varphi + \delta) + X_d I_A \sin(\varphi + \delta)$$
(4)

Referring to the phasor diagram of Fig. 6, the angle of the voltage behind Potier reactance is [27]:

$$\theta = \arctan\left[\frac{X_P I_A \cos(\varphi) - R_A I_A \sin(\varphi)}{U_A + R_A I_A \cos(\varphi) + X_P I_A \sin(\varphi)}\right]$$
(5)

And its value is:

$$E_P = U_A \cos(\theta) + R_A I_A \cos(\varphi + \theta) + X_P I_A \sin(\varphi + \theta)$$
(6)

The field current for any loading condition is the current necessary to obtain  $E_G$  in the no-load saturation curve, plus the saturation increment. The saturation increment is the difference between the excitation current value required to induce  $E_P$  on the air-gap line and value of field current corresponding to  $E_P$  on the no-load saturation curve.

Considering the non-linear part of the no-load saturation curve as an *n*-th order polynomial with  $b_i$  coefficients, the field current can eventually be computed as in (7). For per unit values, the slope of the air-gap line  $(b_v)$  is one, [28].

$$I_F = \frac{E_G}{b_v} + \left(\sum_{i=1}^n b_i E_P^i\right) - \frac{E_P}{b_v}$$
 (7)

# D. LOSSES AND EFFICIENCY CALCULATION AT ANY LOADINGS

Considering armature current dependent losses and field current dependent losses, the following simple models can be applied to determine the losses at any loading.

$$P_a = P_a^* \left(\frac{I_A}{I_A^*}\right)^2 \tag{8}$$

$$P_f = P_f^* \left(\frac{I_F}{I_F^*}\right)^2 \tag{9}$$

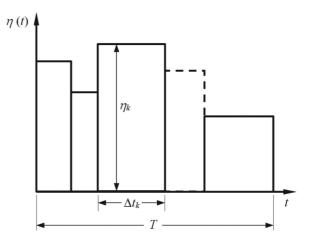


FIGURE 7. time-depending efficiency trend for WAE computation.

$$P_s = P_s^* \left(\frac{I_A}{I_A^*}\right)^2 \tag{10}$$

$$P_{br} = P_{br}^* \left(\frac{I_F}{I_F^*}\right)^2 \tag{11}$$

$$P_{ex} = P_{ex}^* \left( \frac{I_F}{I_F^*} \right) \tag{12}$$

$$P_c = P_c^* \left(\frac{U_A}{U_A^*}\right)^2 \tag{13}$$

The variables denoted with \* refers to quantities and losses measured in rated conditions.  $I_A$  and  $I_F$  are calculated for any loading conditions using (1) and (7). The exciter loss is not current squared because it is not a resistance loss type. It depends on the power supplied to the machine excitation winding and the exciter's nature, i.e., rotating type or static type. In this work, the exciter loss is modeled as a linear function of the excitation current.

As long as the windage and given area friction, bearings, and core losses are considered constant, the efficiency at any loading can be obtained (14).

$$\eta = \frac{P}{P + P_a + P_f + P_s + P_{br} + P_{ex} + P_b + P_{wf} + P_c}$$
 (14)

## **IV. WEIGHTED AVERAGE EFFICIENCY**

It was realized that a generator operates at several loadings rather than only in the rated condition and, therefore, the knowledge of the energy conversion at those loading points is critical, just the knowledge of efficiency at the rated condition is. As the power, losses, and efficiency vary over time, as shown in Fig. 7 for efficiency, the average efficiency can be calculated as:

$$\eta_A = \frac{1}{T} \int_0^T \eta(t) dt$$
 (15)

**TABLE 1. Rated Quantities** 

| Quantity                                | Unit | M#1   | M#2   |
|---|------|-------|-------|
| Rated apparent power                    | MVA  | 82.25 | 679   |
| Power factor                            | -    | 0.9   | 0.9   |
| Rated voltage                           | kV   | 13.8  | 18    |
| Rated current                           | A    | 3441  | 21779 |
| Rated speed                             | rpm  | 100   | 85.7  |
| Runaway speed                           | rpm  | 300   | 162   |
| Rated frequency                         | Hz   | 60    | 60    |
| Direct axis reactance (X <sub>d</sub> ) | pu   | 1.09  | 0.98  |
| Quadrature axis reactance (Xq)          | pu   | 0.72  | 0.69  |
| Potier reactance (X <sub>P</sub> )      | pu   | 0.2   | 0.18  |

The WAE is obtained as the summation, for diverse loading points, of the observed efficiency multiplied by a weight. The weights are established from the experience or the importance grant to given loading for brand-new generators. For existent generators, each load's weight is calculated as the probability of occurrence for that given load, as in (16).

$$A_k = \frac{\Delta t_k}{T} \tag{16}$$

Therefore, the WAE is calculated according to (17).

$$\eta_w = \sum_{k=1}^n A_k \, \eta_k \tag{17}$$

Given that

$$\sum_{k=1}^{n} A_k = 1 \tag{18}$$

Where  $\eta_w$  is the weighted average efficiency, n is the number of considered loading points.  $A_k$  and  $\eta_k$  are, respectively, the weighting factor and the efficiency corresponding to each loading point.

The WAE was formally suggested in [14]. New versions of recognized standards, as IEC 60034-33 and IEEE C50.12, are already considering implementing this concept in their revisions [29], [30].

The analysis departs from constructing the efficiency map in the P-Q plane. It means that for each P-Q pair, the efficiency is determined using the models presented in Section III. The capability chart is drawn to recognize the generator limits of operation. Additionally, it is set-up a 2D-histogram for existing machines from the generator's loading within a period of interest. The 2D-histogram is also drawn in the P-Q plane, and the capability chart limits it. The WAE is obtained by crossing the efficiency map with the 2D-histogram, i.e., the summation of point-by-point multiplication of the efficiency map with the 2D-histogram results in the sought WAE.

## **V. COMPARISON TO DESIGN DATA**

The proposed methodology was verified by comparing its results with information received from a manufacturer. Two large hydrogenerators were evaluated. Table 1 presents rated quantities, and the design losses are depicted in Table 2, along

TABLE 2. Full Load Losses and Efficiency

| Quantity                      | Unit | M#1   | M#2    |
|-------------------------------|------|-------|--------|
| Core loss                     | kW   | 240.4 | 1577.8 |
| Brush loss                    | kW   | 2.5   | 6.5    |
| Bearing loss                  | kW   | 0     | 60     |
| Windage and friction loss     | kW   | 200.0 | 2781   |
| Armature winding current loss | kW   | 425.2 | 1570.8 |
| Field winding current loss    | kW   | 286.1 | 1467   |
| Excitation loss               | kW   | 23.1  | 117.9  |
| Stray-load loss               | kW   | 144.6 | 830.9  |
| Efficiency                    | %    | 98.42 | 98.78  |

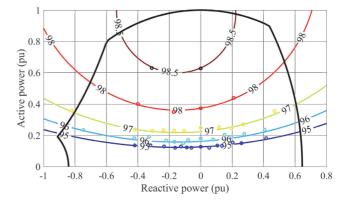


FIGURE 8. Efficiency map for the 82.25 MVA, M#1 machine.

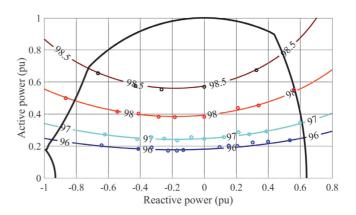


FIGURE 9. Efficiency map for the 679 MVA, M#2 machine.

with their rated efficiency. The first machine is a Bulb generator, while a Francis turbine drives the second.

The efficiency map of machines M#1 and M#2 are depicted in Fig. 8 and Fig. 9, respectively. The lines represent the calculated iso-efficiency curves, while the dots are efficiencies obtained from the machine proven design software. The agreement between the calculated lines and the given design values demonstrates the suitability of the proposed methodology.

WAE for these two machines was calculated using the weighting factors and loads of Table 3. The weights and reference loads are defined upon the purchaser and manufacturer's agreement, considering the expected operation regime.

**TABLE 3.** Adopted Weights for Given Generator Loading and Efficiency

| P (pu)  | 0.2   | 0.4   | 0.6   | 0.8   | 0.8   | 0.8   | 0.8   | 0.8   |
|---------|-------|-------|-------|-------|-------|-------|-------|-------|
| Q (pu)  | 0     | 0     | 0     | 0     | -0.4  | -0.2  | 0.2   | 0.4   |
| $A_i$   | 0.05  | 0.20  | 0.30  | 0.20  | 0.05  | 0.10  | 0.05  | 0.05  |
| η#1 (%) | 96.70 | 98.10 | 98.47 | 98.59 | 98.55 | 98.61 | 98.49 | 98.53 |
| ŋ#2 (%) | 96.37 | 98.03 | 98.54 | 98.76 | 98.75 | 98.78 | 98.70 | 98.59 |

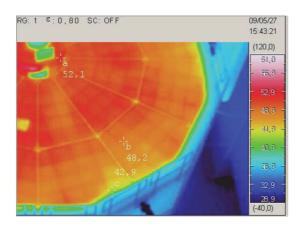


FIGURE 10. Furnas hydropower plant's unit #6 under an efficiency test.

**TABLE 4. Rated Information** 

| Power        | MVA   | 160  |
|--------------|-------|------|
| Power factor | -     | 0.95 |
| Voltage      | kV    | 15   |
| Speed        | r/min | 150  |

The calculated WAE for the first and second machines are, respectively, 98.35% and 98.41%, while the rated efficiency values, as depicted in Table 2, are 98.42% and 98.78%, respectively.

The efficiency map reveals that the maximum efficiency is not in the rated point but with a lower excitation current. Reduction of the excitation current implies reducing the armature current until a certain point when it starts increasing. The minimum summation of field and armature current losses leads to maximum efficiency, defining the efficiency map aspect. Bulb generators have distinct design metrics [31], resulting in a particular efficiency map shape. This point raises the possibility of the efficiency-oriented design to attend a given load behavior with maximum efficiencies.

## **VI. A CASE STUDY WITH EXPERIMENTAL RESULTS**

The proposed methodology was applied to generator #6 of Furnas hydropower plant, as shown in Fig. 10. The generator is coupled to a Francis turbine, and its primary rated data are given in Table 4. Tests were performed in this generator to obtain its efficiency and loss segregation close to rated loading. The no-load saturation curve was determined to model the field current. The efficiency map was calculated using the proposed technique, and a 2D-histogram was obtained from its annual operation. Infrared thermodynamic measurements were applied to conduct the tests [26].

**TABLE 5.** Losses Measured in the First Running

| Loss in the covering surface        | kW | 18.74  |
|-------------------------------------|----|--------|
| Loss in the walls                   | kW | 4.15   |
| Loss in the covering of the turbine | kW | 3.90   |
| Loss in the radiators               | kW | 683.68 |

**TABLE 6.** Losses Measured in the Second Running

| Loss in the covering surface        | kW | 24.02   |
|-------------------------------------|----|---------|
| Loss in the walls                   | kW | 9.76    |
| Loss in the covering of the turbine | kW | 10.82   |
| Loss in the radiators               | kW | 1388.26 |

**TABLE 7.** Losses Measured in the Third Running

| Loss in the covering surface        | kW | 23.75   |
|-------------------------------------|----|---------|
| Loss in the walls                   | kW | 8.85    |
| Loss in the covering of the turbine | kW | 10.46   |
| Loss in the radiators               | kW | 1379.35 |

**TABLE 8.** Ambient Quantities

| Atmospheric pressure | mbar  | 936.67 |
|----------------------|-------|--------|
| Relative humidity    | %     | 34.33  |
| Temperature          | °C    | 37.8   |
| Air density          | kg/m³ | 1.134  |

**TABLE 9. Full Load Losses and Efficiency** 

| Windage and friction loss | kW | 710.47 |
|---------------------------|----|--------|
| Core loss                 | kW | 539.87 |
| Stator copper loss        | kW | 327.05 |
| Stray-load loss           | kW | 237.07 |
| Rotor copper loss         | kW | 477.81 |
| Brush losses              | kW | 5.93   |
| Excitation loss           | kW | 33.96  |
| Bearing loss              | kW | 156.17 |
| Power output              | kW | 154608 |
| Efficiency                | %  | 98.41% |

## A. TESTS FOR LOSSES SEGREGATION

As described, three runnings are necessary to obtain efficiency and losses. The main losses measured in the first running are depicted in Table 5. The total loss is the summation of the losses in the radiator and the radiant surfaces and represents the windage and friction, which eventually is 710.47 kW.

The main measurements in the second running are presented in Table 6. The rotor current loss is measured in the rings of the machine resulting in 182.52 kW. Therefore, the core loss is 539.87 kW.

The total losses measured in the third running are presented in Table 7. The stator and rotor current loss are, respectively, 355.93 kW and 85.94 kW; therefore, the stray-load loss is 270.07 kW. The ambient conditions during the tests are shown in Table 8. The losses and efficiency were obtained for output of 154.6 MW, close to rated conditions, as described in Table 9.

### **B. NO-LOAD SATURATION TEST**

The no-load saturation test was applied to the machine under study, obtaining several pairs of field current and induced

**TABLE 10.** Polynomial Coefficients to Represent Saturated Part of the No-Load Curve

| Coefficient | $b_0$  | $b_{I}$ | $b_2$  | $b_3$   | $b_4$  |
|-------------|--------|---------|--------|---------|--------|
| Value       | 2.0737 | -10.959 | 24.850 | -22.228 | 7.4417 |

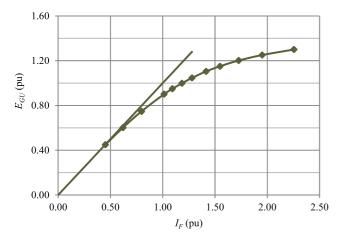


FIGURE 11. No-load saturation curve with the air-gap line and polynomial representation of its saturated part.

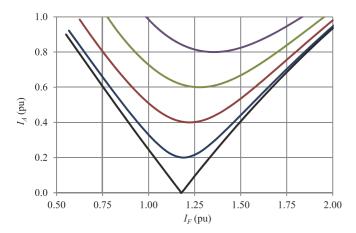


FIGURE 12. Mordey diagram, or V-Curve family, for the machine under study.

EMF measurements. The obtained data were analyzed using the same methodology presented in [19]. The air-gap line slope is 14.61 V/A, and the rated field current to achieve rated voltage in the air gap is 592.7 A.

A fourth-order polynomial regression over the per-unit saturated part of the no-load curve resulted in the coefficients presented in Table 10. The complete per-unit saturation curve is shown in Fig. 11.

## C. FIELD CURRENT FOR ANY LOAD

While the armature current is directly proportional to the complex power output, the field current must be calculated for each operating point considering saturation as previously described. For this generator  $X_d$  is 0.8 pu,  $X_q$  is 0.6 pu,  $X_P$  is 0.18 pu, and  $R_A$  is 0.00232 pu. Applying (1) to (7), the Mordey

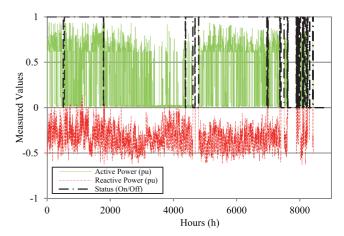


FIGURE 13. Active and reactive powers demand during a given year.

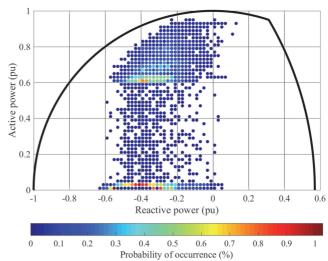


FIGURE 14. 2D-histogram in the P-Q plane. The color bar is the time percentage of operation in each loading point inside the machine's capability chart.

diagram, or the V-curves family, is obtained, relating armature current to field current, for several constant active powers. Fig. 12 presents the Mordey diagram, for powers varying from 0 to 1 pu, in steps of 0.2 pu.

## D. 2D-HISTOGRAM

The generator under study has particular characteristics of operation as long as it works at low active power for a part of the time; positive or negative reactive power is always demanded grid voltage regulation purposes. Fig. 13 shows measured values of active and reactive powers during an entire year; the operating status is also presented in this figure showing the intervals when the machine is turned on or off, depicting its random operative nature.

Fig. 14 presents the 2D-histogram in the P-Q plane and the probability of a given power pair in the year. This kind of operation is typical in areas new renewables generation is

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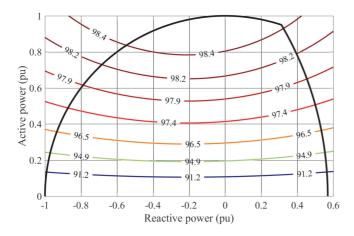
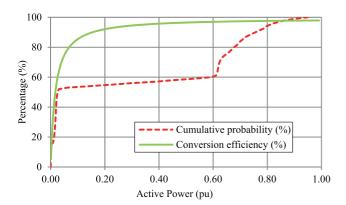


FIGURE 15. Efficiency map for the machine under study with "iso-efficiency" curves along with the capability chart of the machine.



**FIGURE 16.** Cumulative probability and conversion efficiency for unitary power factor as function of active power for the considered machine.

on the increase, of intermittent power generation and voltage control.

## E. EFFICIENCY MAPPING

The loss for every loading is determined using the models presented in Section III. The application of those formulas requires the knowledge of losses at rated conditions, armature current, and field current. All of those components are now known, and the machine's efficiency mapping under study can be determined. Fig. 15 shows the tested machine's efficiency map, presenting the iso-efficiency curves limited by its capability chart.

For better readability, efficiencies lower than 91.2% were not shown in Fig. 14. It is important to remark that in the omitted region (i.e., for lower active powers), the efficiency variation is very steep, and the figure would contain several lines because it is a region with a substantial variation in the efficiencies, as can be seen in Fig. 16.

### F. WEIGHTED AVERAGE EFFICIENCY

Under (17), the WAE is the summation, for all the possible operative loading points, of weight times the generator's

efficiency. In the considered application, the weight is the percentage of time the machine operates at a given load, i.e., their probability of occurrence. Assuming the obtained efficiency map and the histogram of this generator's operation, the calculated WAE is 64.84%.

The low value of WAE found in this particular application arises due to the subsequent periods this machine operates at low active power generation at low energy conversion efficiency. Fig. 16 presents the cumulative probability and the conversion efficiency as a function of active power. One can observe that about 40% of the time, the active power is higher than 60%, with efficiencies greater than 97%; on the other hand. Also, about 50% of the time, active power is below 2%, with efficiencies lower than 55%.

It must be recognized that those values are weighted by their probabilities of occurrence, resulting in a considerable reduction of the WAE concerning the rated efficiency. If a low limit of active power generation of 60% is adopted, the WAE result in 98.01%, which approximates the rated efficiency of 98.41%. This fact reinforces the importance of evaluating the WAE rather than considering a single and rated efficiency value.

## VII. DISCUSSION

The presented computation scheme is suitable for calculating the efficiency in diverse loading conditions, resulting in the WAE. Simple equations based on theory and proven standards are used for this purpose. This point is vital because the variations of active and reactive powers of 0.01 per unit are typically considered, which would result in more than 20000 simulations, which is accomplished in a couple of seconds. The computational time required for this significant number of simulations would not be practical if more complex modeling, for instance, the finite element method, were used.

This procedure is necessary because, with renewable generation penetration, the synchronous generators do not work anymore in a single rated load but, on the opposite, operate in variable conditions as a function of the dispatch of new renewables connected to the system. This fact would bring additional economic costs because the manufacturer should present high-efficiency equipment in the rated condition and the several expected loading for a new generator. Besides, insulation must be conceived considering this new regime of loading changing.

The described challenges resulting from the intermittent generation from new renewables can be solved using energy storage systems; however, their influence in the WAE could be reduced by establishing a low limit for active power generation in regular synchronous generators.

It is important to remark that those issues are critical points in a renewable generation scenario, accounting for power sources with considerable variability. Therefore, aiming at harmonization, new revisions of standards IEC 60034-33 and IEEE C50.12, may include the concept of WAE, a proposal of reference loads and weighting factors, and different duty-cycles in addition to the existing ones.

Finally, it should be noted that variable speed hydro generation actually under investigation to improve the WAE, as the so-called constant losses could be reduced [32]. Furthermore, a crucial aspect is that the WAE can be used for the efficiency-oriented design of the hydrogenerators. As long as the efficiency map's shape follows the percentage losses distribution, the machine can be optimally designed to attend to a particular loading cycle or expected operation.

## **VIII. CONCLUSION**

This paper presented a methodology to obtain the efficiency map and the computation of the WAE. The proposed procedure starts from the conventional theory and is reported step-by-step to allow engineers and practitioners to replicate the findings. The proposed approach is verified using both design and measured data by considering two brand new generators and an actual hydrogenerator operated under an impacting duty-cycle imposed by renewables in the grid. In the second case, a very impressive loss of efficiency is proved. The procedure applicability and the obtained findings are critically discussed, and the potential use of the weighted average efficiency concept for future developments, including the efficiency-oriented design of machines.

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