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Efficient removal of organic compounds from shale gas wastewater by coupled ozonation and movingbed-biofilm submerged membrane bioreactor

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1	Efficient removal of organic compounds from
2	shale gas wastewater by coupled ozonation and
3	moving-bed-biofilm submerged membrane bi-
4	oreactor
5	Xinyu Liu <sup>a,b</sup> , Peng Tang <sup>a,b</sup> , Yuanhui Liu <sup>a,b</sup> , Wancen Xie <sup>a,b</sup> , Chen Chen <sup>c</sup> , Tong Li <sup>d</sup> ,
6	Qiping He <sup>e</sup> , Jin Bao <sup>e</sup> , Alberto Tiraferri <sup>f</sup> , Baicang Liu <sup>a,b,*</sup>
7	<sup>a</sup> Key Laboratory of Deep Earth Science and Engineering (Ministry of Education), Col-
8	lege of Architecture and Environment, Institute of New Energy and Low-Carbon Tech-
9	nology, Institute for Disaster Management and Reconstruction, Sichuan University,
10	Chengdu, Sichuan 610207, PR China
11	<sup>b</sup> Yibin Institute of Industrial Technology, Sichuan University Yibin Park, Section 2,
12	Lingang Ave., Cuiping District, Yibin, Sichuan 644000, PR China
13	<sup>c</sup> Litree Purifying Technology Co., Ltd., Haikou, Hainan 571126, PR China
14	<sup>d</sup> School of Energy and Environmental Engineering, University of Science & Technolo-
15	gy Beijing, Beijing, 100083, PR China
16	<sup>e</sup> Chuanqing Drilling Engineering Company Limited, Chinese National Petroleum Cor-
17	poration, Chengdu 610081, PR China
18	<sup>f</sup> Department of Environment, Land and Infrastructure Engineering, Politecnico di To-

<sup>\*</sup> Corresponding author. E-mail addresses: bcliu@scu.edu.cn.

19 rino, Corso Duca degli Abruzzi 24, 10129 Turin, Italy

20 Abstract: Shale gas wastewater (SGW) with complex composition and high salinity 21 needs an economical and efficient method of treatment with the main goal to remove 22 organics. In this study, a coupled system consisting of ozonation and mov-23 ing-bed-biofilm submerged membrane bioreactor (MBBF-SMBR) was comprehensively 24 evaluated for SGW treatment and compared with a similar train comprising ozonation 25 and submerged membrane bioreactor (SMBR) without addition of carriers attaching 26 biofilm. The average removal rates of MBBF-SMBR were 77.8% for dissolved organic carbon (DOC) and 37.0% for total nitrogen (TN), higher than those observed in SMBR, 27 28 namely, 73.9% for DOC and 18.6% for TN. The final total membrane resistance in 29 SMBR was 40.1% higher than that in MBBF-SMBR. Some genera that specifically 30 contribute to organic removal were identified. Enhanced gene allocation for membrane 31 transporters and nitrogen metabolism was found in MBBF-SMBR biofilm, implying 32 that this system has significant industrial application potential for organics removal 33 from SGW.

34

35 Keywords: Moving-bed-biofilm submerged membrane bioreactor (MBBF-SMBR);
36 Shale gas wastewater (SGW); Ozonation; Microbial community; Submerged Membrane
37 bioreactor (SMBR)

#### 39 **1. Introduction**

40 The extraction of shale gas, one of the unconventional energy resources, is ex-41 pected to raise the global technically recoverable gas resources by over 40% [1]. In par-42 ticular, horizontal drilling and hydraulic fracturing (HF) techniques have promoted shale 43 gas development [2]. However, HF causes some environmental problems, the most se-44 rious being arguably the production of hazardous shale gas wastewater (SGW). In the 45 drilling and completion of horizontal wells, abundant flowback and produced water (FPW) returns to the surface as SGW [3]. In HF operations, pollutants including sus-46 47 pended solids, salt, organic chemicals, naturally occurring radioactive materials 48 (NORMs), and heavy metals contaminate the SGW [4], resulting in environmental risks 49 if this stream is not properly purified before discharge or reuse.

50 Typical techniques that have been applied to treat SGW include basic separation 51 technologies, adsorption, advanced oxidation, low pressure membrane filtration, and 52 desalination technologies [5]. Pre-treatment for desalination steps is usually required, 53 the complex organic matters limiting the efficiency and durability of desalination. For 54 example, organics cause membrane fouling and limit the application of 55 high-pressure-driven membrane technologies in SGW desalination [6]. Thousands of 56 organic compounds have been detected in SGW and can originate from the shale for-57 mation, chemical reactions underground, or from HF additives [7]. Low molecular 58 weight hydrophilic organic compounds, which are considered readily or inherently bio-59 degradable, often represent the majority of dissolved organic matter (DOM) in SGW [7, 60 8]. Therefore, biological treatment may have great potential as an economical method

61 for organic matter removal and pre-treatment of the SGW for subsequent polishing.

62 Some researchers have utilized biological methods to treat FPW from oil or gas 63 production, by applying: MBR and its variant methods [9-11]; microbial mats [12]; 64 aerobic sludge granulation (ASG) [13]; biologically active filtration (BAF) [14, 15]; 65 plant-microbial synergism [16]. The reported organic carbon removal rates were gener-66 ally high by MBR and its variant methods for treating FPW with different quality [9-11]. 67 MBR operates with a large amount of biomass, an important advantage when treating 68 effluents with complex organic matters, and needs small footprint, thus it is suitable for 69 on-site reuse. High salinity, refractory biodegradation of SGW, and the toxicity of some 70 HF additives are major challenges in its application [8]. Advanced oxidation, especially 71 ozonation, has been used as pretreatment to enhance organics removal in biological 72 processes by pre-oxidizing refractory organic compounds and toxic substances [17, 18]. 73 Ozonation coupled with BAF removed 83.2% DOC in SGW treatment [17]. Moreover, 74 the coupling of ozonation and MBR has been proven to reduce membrane fouling and 75 improving MBR performance [19], but this process has not yet been explored in SGW 76 treatment.

MBBF-SMBR combines moving-bed-biofilm reactor (MBBR) with submerged membrane bioreactor (SMBR) [20] and it has several potential advantages: lower membrane fouling because of the interacting forces between the membrane and the carriers [21]; higher resistance of attached biomass to overloading and toxic compounds [22]; higher nitrogen removal from simultaneous nitrification and denitrification [23]. Considering the relatively low concentration of organic compounds and the medium-high

83 salinity of the SGW from the Sichuan Basin [5], in this study a MBBF-SMBR is applied 84 for the biodegradation of organic matter and compared with a conventional SMBR. 85 Ozonation is chosen as a pretreatment option to increase the biodegradability of SGW. 86 Except for the addition of carriers, the two systems were operating in similar conditions. 87 Therefore, this study aims at evaluating the efficacy and feasibility of 88 MBBF-SMBR and SMBR following ozone-based pre-oxidation for organics removal 89 from SGW in the Sichuan Basin. Specifically, the main objectives of the present study 90 are: (a) to evaluate and compare the removal of organic matters from SGW for the two 91 systems; (b) to investigate and compare the component of membrane fouling; (c) to an-92 alyze difference of the microbial community composition and predicted function in the 93 treatment of SGW.

#### 94 **2. Materials and methods**

#### 95 2.1 Pre-treatment of shale gas raw water (RW)

The SGW was obtained from the Weiyuan shale gas play (Sichuan, China). It was stored in plastic containers in the dark at room temperature and was coagulated and precipitated to remove large particles and colloids before other experiments. The resulting effluent is referred to as raw water (RW).

Pre-ozonation was applied to improve the biodegradability of the RW. In each batch, 900 mL RW was added into a 1000 mL glass bottle and treated with 100 mg ozone. Ozone, produced from dry oxygen (99%, v/v), was injected from the bottom through a gas diffuser with inlet concentration of  $17\pm3$  mg/L, for 60 min of reaction time and 0.1 L/min of flow rate (see supplementary material). The temperature was 20 105  $\pm 1$  °C, maintained by a temperature-controlled water bath (HH-1, Xinrui Instrument 106 Company, China) [24]. The concentration of ozone produced from laboratory ozone 107 generator (Beijing Tonglin Co., Ltd., China) and that of the off-gas from the reaction 108 bottle were measured by the indigo method. Before the bio-treatment, the water was set-109 tled for 2 h to sediment flocs formed after ozonation and the residual ozone in water was 110 quenched by water bath heating for 30 min at 50 °C.

## 111 2

#### 2.2 Experimental set-up and operation

112 Fig.1 presents the experimental setup of the biological processes. Both the MBR 113 and the BFMBR tanks were made of glass with an effective volume of 300 mL. The 114 water temperature of each bioreactor was maintained at 20-25 °C. An aeration disk was 115 installed at the bottom to supply  $O_2$  for microorganism growth at the flow rate of 20 116 mL/min: the dissolved oxygen (DO) concentration was kept at 4-6 mg/L in both 117 MBBF-SMBR and SMBR. The submerged membrane module comprised hollow-fiber 118 membranes made of polyvinylidene fluoride (PVDF). Their active filtration area was 5.2  $cm^2$ . Virgin polyurethane cubes (side length: 10 mm) were used as carriers with volume 119 120 fraction of 20% in the MBBF-SMBR.

121 The SMBR and the MBBF-SMBR were started for acclimation of the biofilm and 122 the sludge. The bioreactor of MBBF-SMBR was firstly filled by the carriers at a filling 123 ratio of approximately 20%, and then inoculated with the activated sludge mixed liquor, 124 which was taken from a municipal wastewater treatment plant (Chengdu, China), up to 125 half of the bioreactor's effective volume. Afterwards, the bioreactor was fed with mu-126 nicipal wastewater from the same treatment plant, and run in batch mode to allow con-

127 tact with the biomass. Then, the wastewater was fed for 2 days in continuous mode. At 128 last, the bioreactor was fed with the mixture of municipal wastewater and pre-ozonized 129 RW in continuous mode: the proportion of pre-ozonized RW was increased gradually 130 up to 100% while the biofilm growth stabilized. In the continuous stage, the feed water 131 was circulated between the feed tank and the bioreactor [15]. The acclimation of SMBR 132 was the same as MBBF-SMBR, except for the addition of carriers. The detailed start-up 133 strategy is found in supplementary material.

After the biofilm was acclimated, the SMBR and the MBBF-SMBR were operated in continuous mode. The operating conditions of the two systems were similar. The average membrane flux was 12 L  $m^{-2}h^{-1}$  (LMH). The nominal hydraulic retention time (HRT) was 48 h while the nominal solid residence time (SRT) was 30 d. The experiment lasted 110 days. Days 1 to 50 were the start-up period, while days 51 to 111 were the formal experimental operation period. In the following description, the first day of the formal experimental operation is described as day 0.

## 141 **2.3 Analytical methods**

#### 142 **2.3.1 SGW quality analysis**

Water samples were collected from the feed and the effluent during the experiments. DO, pH, and turbidity were measured with a DO meter (JPBJ-610L, INESA, Shanghai, China), a pH meter (PB-10, Sartorius Scientific Instruments Co, Ltd., Gottingen, Germany), and a turbidimeter (TL2310, Hach Company, Loveland, USA), respectively. An Ultrameter II 6PFC (Myron L Company, Carlsbad, USA) portable multifunctional meter was utilized to determine the TDS. The DOC concentration was determined with a total organic carbon analyzer (TOC-L CPH, Shimadzu, Japan). Total
nitrogen (TN) was quantified by alkaline potassium persulphate digestion-UV spectrophotometric method using the special reagent LH-NT (Lianhua Environmental Protection Technology Co., Ltd., Lanzhou, China). A UV–Vis spectrophotometry (Orion AquaMate 8000, Thermo Fisher Scientific Inc., MA, USA) was used to measure UV absorbance at 254 nm.

155 A three-dimensional fluorescence excitation emission matrix (3D EEM) spectro-156 fluorometer (F-7000, Hitachi, Japan) was used to characterize the DOM fraction in the 157 feed, effluent, and membrane fouling layer. Samples were filtered and diluted to a  $UV_{254}$ 158 of 0.05 to avoid inner filter effect. In this study, the EEM spectra were collected by 159 scanning emission wavelengths from 200 nm to 550 nm at 1 nm increments and excita-160 tion wavelengths from 200 nm to 400 nm at 5 nm increments. The excitation and emis-161 sion slit width was set at 5 nm and the scanning speed was set at 12000 nm/min. The 162 EEM spectrum of deionized (DI) water was collected as the blank and was subtracted 163 from all the sample spectra to remove the influence of Raman scattering.

164

#### 2.3.2 UF membrane fouling analysis

165 The surface morphology and elemental composition of the membrane fouling lay-166 ers were investigated by scanning electron microscopy (SEM) and energy dispersive 167 spectroscopy (EDS) (Regulus 8230, Hitachi, Tokyo, Japan). Before SEM-EDS analysis, 168 the membrane samples were coated with ~2 nm of gold using a magnetron sputter 169 (MSP-2S, IXRF Systems, USA). The method of filtration resistance analysis was de-170 scribed in detail in previous study [25].

#### 171 **2.3.4 Biological analysis**

172 To assess the bacterial populations in the two bioreactors and analyze the function 173 of the microorganisms, the RW, pre-ozonized RW, suspended sludge, and biofilm at-174 tached on carriers at the end of the experiment were collected and analyzed. DNA ex-175 traction, polymerase chain reaction (PCR) amplification, and Illumina Miseq sequenc-176 ing were conducted in the same way as in previous study [25]. Analyses of the alpha 177 diversity (e.g., Chao, Shannon, Simpson, ACE, and Coverage), principal component (PCA), bacterial community composition, and metabolic functional prediction were 178 179 performed using the online Majorbio I-Sanger Cloud Platform (www.i-sanger.com).

## 180 **3 Results and discussion**

181 **3.1 Contaminant removal performance** 

#### 182 **3.1.1 Organic matters and nitrogen removal**

183 The characteristics of RW, pre-ozonized RW, and the effluent of SMBR and 184 MBBF-SMBR are listed in Table 1. The organic matter removal rates of SMBR and 185 MBBF-SMBR treatments are presented in Fig 2. The DOC removal rates were as high 186 as 87.8% (SMBR) and 87.9% (MBBF-SMBR), but as the running time increased, the 187 DOC removal rate decreased to 59.5% (SMBR) and 70.1% (MBBF-SMBR), with the 188 MBBF-SMBR showing an obviously higher removal of DOC after 45 days. Overall, the 189 average DOC removal rates were 73.9% (SMBR) and 77.8% (MBBF-SMBR). In the 190 middle of the experiment, the DOC of the influent stream decreased significantly, which 191 may be caused by the volatilization of a fraction of organic matter due to frequent 192 opening of the container in the summer or degradation by anaerobic bacteria in the raw

193 water. The DOC contents of the mixture liquid were 39.61 mg/L in SMBR and 36.94 194 mg/L in MBBF-SMBR, higher than in the influent, indicating some accumulation of 195 organic matter and possibly some toxic materials, such as biocides used as additive in 196 the hydraulic fluid, which may inhibit the biological activity. The removal of  $UV_{254}$  was low both in the SMBR and in the MBBF-SMBR process, thus that the  $UV_{254}$  values of 197 198 the effluent were sometimes higher than those of the influent water. Organic matters 199 containing aromatic chromophores or unsaturated bonds (primarily humic substances) 200 are related to the value of  $UV_{254}$  [26]. Considering the high DOC removal, some humic 201 matter with high light absorption at 254 nm may have been produced by microorgan-202 isms as a byproduct of the degradation of other parent substances. As for TN, the 203 MBBF-SMBR showed higher removal rates, which may be due to simultaneous nitrifi-204 cation and denitrification processes occurring on the carriers [23]. Because of the influ-205 ence of high salinity and pH value to nitrifying bacteria [27, 28], the average value of TN removal rate were only 18.6% (SMBR) and 37.0% (MBBF-SMBR), lower than that 206 207 observed with low salinity wastewater in other studies (>60%) [29].

Compared with other systems treating high salinity wastewater, the MBBF-SMBR system showed a high removal rate of organic matters. According to Lester's study [30], the removal rate of dissolved chemical oxygen demand decreased from 90% to 60% when the TDS increased from 1500 mg/L to 45000 mg/L in their synthetic hydraulic fracturing flowback stream treated with an activated sludge mixed liquor. Wang [31] used an aerobic sludge (AS) system to treat FPW, and removed about 72% organics with TDS of 16087 mg/L, lower than that observed in this study using the 215 MBBF-SMBR.

## 216 **3.1.2 Fluorescence EEM spectra**

217 The EEM fluorescence spectra of the RW and of the effluents are presented in Fig 218 2(c)-(f). In general, peaks in the excitation wavelength range from 200 to 250 nm and 219 the emission wavelength range from 280 to 380 nm (Regions I: 280-330 nm; Regions II: 220 330-380 nm) are associated with simple aromatic proteins, such as tyrosine and trypto-221 phan. Peaks located in the range of excitation wavelengths from 200 to 250 nm and the emission wavelengths from 380 to 550 nm represent fulvic acid-like substances (Region 222 223 III). Peaks in the range from 250 to 400 nm excitation wavelengths and from 280 to 380 224 nm emission wavelengths are related to soluble microbial by-product-like material (Re-225 gion IV). Peaks from 250 to 400 nm (excitation) and from 380 to 550 nm (emission) are 226 related to humic acid-like organics (Region V) [32, 33]. The fluorescence regional inte-227 gration (FRI) has been used for semi-quantitative analysis of DOM in SGW, and EEM 228 fluorescence intensity is proved to be positively correlated with the real concentration of 229 soluble microbial by-product-like material and aromatic proteins in produced water [34]. 230 The distribution of FRI of DOM samples in the SMBR and MBBF-SMBR systems is 231 shown in Fig 2 (g).

Soluble microbial by-product-like matters (region IV) was the dominant fraction in RW, and the ozonation removed most fluorescent fraction (75.9%) of the DOM. Soluble microbial by-product-like matters (region IV) and humic acid-like organics (Region V) increased after the SMBR unit (38.2%) or the MBBF-SMBR unit (70.6%). In particular, a significant peak appeared for soluble microbial by-product-like matters (region IV) in the MBBF-SMBR effluent, indicating that the low molecular weight DOM produced by microorganisms in the bioreactor could not be rejected by membrane, and that the microbial activity in the MBBF-SMBR might be stronger than SMBR. This result is consistent with the rationalization discussed above about the values of  $UV_{254}$  and their apparent uniformity between influent and effluents streams.

#### 242 **3.2** Analysis of membrane fouling

#### 243 **3.2.1** Characteristics and elemental analysis of the cake layers

244 The fouling cake characteristics of SMBR and MBBF-SMBR membranes were analyzed with EDS, as shown in Fig 3. Representative SEM micrographs can be ob-245 246 served in the supplementary material file. Compared with the pristine membrane, the 247 fouled membrane surface was covered by a thick layer of foulants. The surface of the 248 sample from the SMBR was rougher, while the cake on the sample from the 249 MBBF-SMBR was more porous. Numerous spherical particles were observed on the 250 surface of the SMBR membrane, which may be silica (see supplementary material). 251 According to the EDS analysis, organic foulants (C, O) were dominant, but inorganic 252 elements (Si, Cl, I, Fe, Ca, Al, Na, K, Mg, Mn) were also observed on the surface. The 253 proportion of Si was significantly larger for the membrane used in the SMBR, suggest-254 ing that inorganic pollution on SMBR may be more severe, and consistent with SEM 255 images. As a consequence, the proportion of C and N elements was higher on the 256 MBBF-SMBR membrane surface, which might be also partly due to a larger proportion 257 of proteins attached on the MBBF-SMBR membrane surface. The membrane organic 258 foulants, mainly including proteins and polysaccharides, were likely extracellular poly259 meric substance (EPS). The increased ratio of proteins from EPS was reported to be an 260 important reason for decreasing membrane fouling in previous studies, which would be 261 consistent with the trend observed in the two different reactors and the larger fraction of 262 proteins found on the MBBF-SMBR membrane sample [35].

#### 263 **3.2.2 Organic composition of the cake layers**

264 The EEM fluorescence spectra of the cake layers were acquired to analyze their 265 organic composition (Fig 4(a) - (c)). Three peaks were observed on the SMBR mem-266 brane: Ex/Em of 280/350 nm in region IV; 225/350 nm in region II; 275/455 nm in re-267 gion V. The peak in region V was not observed on MBBF-SMBR samples, suggesting 268 that the macromolecular organic matters were degraded more significantly in the 269 MBBF-SMBR system. Moreover, fouling components were lower for every region in 270 the MBBF-SMBR sample with respect to SMBR membranes. The DOC values of the foulants were 110.9 mg/m<sup>2</sup> (SMBR) and 59.1 mg/m<sup>2</sup> (MBBF-SMBR), respectively, 271 272 corroborating that the membrane fouling of SMBR was more significant and the result-273 ing cake layer thicker and/or denser.

The fouling resistance was determined at the end of the experiments and shown in Fig 4(d). The final total membrane resistance for SMBR and MBBF-MBR were  $12.50 \times 10^{12} \text{ m}^{-1}$  and  $8.92 \times 10^{12} \text{ m}^{-1}$ , and the reversible portions were  $8.38 \times 10^{12} \text{ m}^{-1}$  and  $5.33 \times 10^{12} \text{ m}^{-1}$ , representing 67.0 % and 59.7 % of the total resistance, respectively. These data indicate that most fouling could be removed by physical cleaning with water, and that the fouling in SMBR was more significant and lightly more reversible than that in MBBF-SMBR. The porosity observed for the MBBF-SMBR cake layer (Fig 3(c)) and the different composition in the two reactors, related to a larger EPS fraction and to an improved biodegradation in the MBBF-SMBR, may explain the lower resistance quantified for this system compared to the SMBR system.

284 **3.3 Bacterial diversity** 

285 The Simpson, Shannon, chao1, abundance-based coverage estimator (ACE) and 286 coverage are shown in Table 2 to evaluate the microbial richness and diversity of sam-287 ples. The high coverage indicates that the analyses are robust and covered almost all 288 sequences of samples. The microbial diversity (Shannon and Simpson indexes) and mi-289 crobial richness (ACE and Chao 1 indexes) from bioreactors on day 0 of the experi-290 mental test were significantly higher than those on day 60. This result suggests that the 291 accumulation of toxicity and salinity with the operating time inhibited the growth of 292 some bacteria originated from domestic sewage from the municipal treatment plant. In 293 addition, ozonation inactivated some bacteria, with the richness and diversity of 294 pre-ozonized RW being the lowest among all samples. The PCA analysis (see supple-295 mentary material) suggested that the community composition of samples from the bio-296 reactors became more similar and approached that of the pre-ozonized RW as the run-297 ning time increased.

## 298 3.3.1 Bacterial community in SMBR and MBBF-SMBR systems

The bacterial community composition at the phylum level of RW, pre-ozonized RW, and samples obtained at different times (day 0 and day 60) from the two biological treatment systems is shown in Fig 5(a). A total of 43 bacterial phyla were identified. Seed sludge enriched the microbial community composition, so the quantity of phyla 303 was much larger than what previously detected in SGW [34, 36]. Proteobacteria was 304 the most dominant bacterial in all samples (29.8%-87.9%), with the dominance becom-305 ing more obvious at the end of the experiments. In RW, Desulfobacterota (13.0%), Bac-306 teroidota (10.7%), Chloroflexi (10.3%) were also major phyla. Because members of 307 Thermotogota are anaerobic [37], this phylum was not found in other samples except 308 RW. WPS-2, living in oxygen-rich environments [38], was a dominant phylum only in 309 pre-ozonized RW (32.3%), possibly due to the large amount of oxygen produced by 310 ozone decomposition. The inactivation effects of ozonation were obviously observed on 311 Actinobacteriota, Chloroflexi, Firmicutes, Acidobacteriota, Patescibacteria, and Verru-312 comicrobiota, causing the content of these phyla to drop rapidly in pre-ozonized efflu-313 ents. In samples obtained on day 0, Actinobacteriota (13.9%-27.5%), Bacteroidota 314 (10.0%-11.8%), Chloroflexi (8.1%-17%) were the major phyla after Proteobacteria. In 315 samples obtained on day 60, Actinobacteriota (3.2%-12.9%) was still a major phylum, 316 but the third major group changed to Gemmatimonadota (2.1-6.2%). Gemmatimonadota 317 was not found in significant fraction in RW and pre-ozonized RW, thus this phylum is 318 hypothesized to originate from the seed sludge. These results indicate that the composi-319 tion on the phylum level changed and adapted during the experiment.

The community compositions were further analyzed at genus level taxonomy (Fig. 5(b)). A total of 686 bacterial genera were recognized. *Norank\_o\_OPB41*, which was related to methanogenesis and is most likely anaerobic, was the major genus in RW. *Roseovarius*, which has been found in different oil reservoirs and water injection treatment systems [39], was also important in RW and especially pre-ozonized RW.

325 The dominant bacteria in the two bioreactors were similar, but very different com-326 pared to those observed in RW. Specifically, some bacteria related to the removal of ni-327 trogen and other contaminants were detected, including Denitromonas, nor-328 ank\_f\_Xanthomonadaceae, norank\_f\_Gemmatimonadaceae, Paracoccus, Roseovari-329 us, norank\_f\_JG30-KF-CM45, Iodidimonas, Nocardia, Erythrobacter, Defluviimonas, 330 *Mycobacterium*, *Paracoccus*, *Muricauda*, *Thalassospira* and *norank\_f\_\_Rhodococcus*. 331 The summary of functions of these genera and and their reference sources can be found 332 in supplementary materials. Denitromonas is a kind of denitrifying bacteria, and often 333 discovered in hypersaline wastewater [40]. Norank\_f\_Xanthomonadaceae, nor-334 ank\_f\_Gemmatimonadaceae, Defluviimonas and Paracoccus also have the ability of 335 denitrification. Roseovarius (also found in RW) and norank\_f\_JG30-KF-CM45 are 336 nitrifying bacteria. Most of the nitrifying bacteria live in slightly alkaline environments. 337 Possibly due to the consumption of alkalinity and the decrease of pH value during oper-338 ation, the presence of denitrifying bacteria became much larger than that of nitrifying 339 bacteria after 60 days. Roseovarius also belongs to sulfur-oxidizing prokaryotes, and 340 has the ability to produce iodinated organic compounds [41]. Iodidimonas can oxidize 341 organic iodine, and according to the EDS results of membrane fouling, the high iodine 342 content in bioreactors might be their nutrient source. There might be a symbiotic rela-343 tionship between Roseovarius and Iodidimonas in bioreactor systems. Nocardia is 344 common filamentous bacteria in activated sludge and can degrade and assimilate recal-345 citrant aliphatic hydrocarbons [42]. It has been reported that *Pseudomonas* can degrade 346 and detoxify phenolic compounds, including phenol, pentachlorophenol, and p-cresol 347 [43]. Erythrobacter contribute to the degradation of hydrocarbons, especially aromatic 348 substances in saline oily wastewater [44]. Defluviimonas, Mycobacterium, Paracoccus, 349 Muricauda, and Thalassospira are related to the degradation of PAHs. Nor-350 ank\_f\_Rhodococcus can use hydroxylated derivatives of polychlorobiphenyls 351 (HO-PCBs) as a source of carbon [45]. The MBBF-SMBR content of bacteria, mainly 352 with the ability of denitrification and PAHs removal (Denitromonas, Roseovarius, nor-353 ank\_f\_Caldilineaceae, Defluviimonas, Mycobacterium, and Paracoccus) was obvi-354 ously higher than that in the SMBR. This different composition may be the principal 355 reason for higher TN and DOC removal rates determined in the MBBF-SMBR system.

## 356 **3.3.2 Bacterial functional prediction in SMBR and MBBF-SMBR systems**

357 The metabolic functions of bacterial communities were predicted using the soft-358 ware package "Phylogenetic Investigation of Communities by Reconstruction of Unob-359 served States" (PICRUSt, v2.0.0) based on the Kyoto Encyclopedia of Genes and Ge-360 nome (KEGG) Orthology database. 24 functional pathways from 5 categories are listed 361 in Fig 6. Amino acid, carbohydrate, energy, and nucleotide metabolism were found to 362 be related to the transformation of the main nutrients [46], while membrane transport 363 was reported to play a crucial role in the survival of microbes in adverse environments, 364 including the hypersaline environment [47].

The functional profile of ABC transporters was found at a higher relative abundance in biofilms than in the sludge, and it decreased from 3.27-3.79% on day 0 to 3.02-3.51% on day 60 of the experimental tests. Membrane transporters are related to the material exchange between bacteria and the environment, and might contribute to 369 biofilm formation [48]. They were also reported as directly or indirectly affecting fac-370 tors connected to hydrocarbon metabolism [49]. Previous research found that with the 371 increase of salinity the content of ABC transporters increased [50], the opposite of what 372 generally observed in this study. It is hypothesized that other factors like the acidic en-373 vironment may have slightly inhibited the expression of genes related to ABC trans-374 porters, causing their decrease after 60 days. Higher proportion of nitrogen metabolism 375 was found in biofilm and it may be the cause of the higher TN removal rate in MBBF-SMBR. Overall, most of the pathways about metabolism were common among 376 377 the eight samples, with some slight differences in the relative abundance of each meta-378 bolic pathway for the various samples. For example, the sequences related to nucleotide 379 metabolism and alanine, aspartate and glutamate metabolism showed relative higher 380 abundance in RW, and may suggest more energy consumption. Higher abundance of 381 methane metabolism was found in RW indicating more anaerobic bacteria. The ability 382 of starch and sucrose metabolism, citrate cycle (TCA cycle), glycolysis / gluconeogene-383 sis, and amino sugar and nucleotide sugar metabolism (included in carbohydrate metab-384 olism) were significantly lower after ozonation.

385 **4. Conclusion** 

The results of this study indicated that the addition of biofilm attached to carriers significantly improved the removal efficiency of DOC and TN in ozonation-SMBR systems by 3.9% and 18.4%, respectively. This work demonstrated the feasibility and potential of MBBF-SMBR following ozonation for SGW treatment, and identified the accumulation of bacteria related to organic and nutrient removal. These functional bac-

391	teria were found in larger amount in MBBF-SMBR, causing higher organics removal
392	rate. The functional genes related to membrane transport and nitrogen metabolism were
393	enhanced in biofilm, which may be one of the reasons for the higher organic removal in
394	the MBBF-SMBR system.
395	Appendix A. Supplementary data
396	E-supplementary data for this work can be found in e-version of this paper online.
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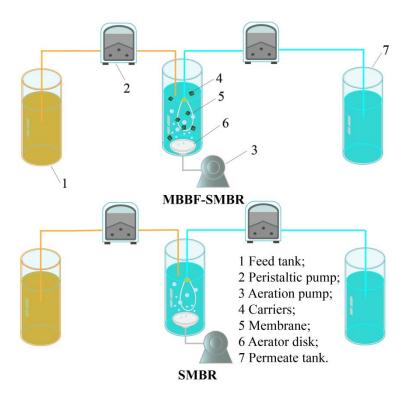
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#### 555 Figure captions

- 556 **Fig 1.** Schematic diagram of the SMBR and MBBF-SMBR.
- 557 Fig 2. Organic compounds removal: (a) DOC influent concentration (right axis), efflu-
- 558 ent concentration (right axis), and removal rates (left axis) as a function of time during
- 559 SMBR and MBBF-SMBR treatment. (b) Average values of DOC, UV<sub>254</sub>, and TN re-
- 560 moval rates (n=21). 3D EEM fluorescence spectra of: (c) RW; (d) pre-ozonized RW; (e)
- 561 effluent of SMBR; (f) effluent of MBBF-SMBR. (g) FRI distribution of RW,
- 562 pre-ozonized RW, effluent of SMBR, and effluent of MBBF-SMBR.
- Fig 3. EDS analysis results for fouled membrane from (a) SMBR, (b) MBBF-SMBR
  (magnification: 1000× and 5000×).
- 565 Fig 4. Composition of the membrane fouling layer. 3D-EEM fluorescence spectra of
- 566 membrane fouling from (a) SMBR and (b) MBBF-SMBR. (c) FRI distribution of mem-
- 567 brane fouling in the SMBR and MBBF-SMBR. (d) Fouling resistance parameters, in-
- 568 cluding membrane resistance  $(R_m)$ , reversible resistance  $(R_r)$ , and irreversible resistance
- 569 (R<sub>ir</sub>) at the end time of SMBR and MBBF-SMBR tests.
- 570 Fig 5. Bacterial community composition of: RW; pre-ozonized RW; samples (sludge
- and biofilm) obtained from SMBR and MBBF-SMBR on day 0 and day 60. Analysis at:
- 572 (a) phylum (relative abundance  $\geq 1\%$ ); (b) genus level (relative abundance  $\geq 2\%$ ).
- 573 ("SMBR" and "MBBF-SMBR" refer to the sludge samples from SMBR and
- 574 MBBF-SMBR systems, while "Biofilm" refers to the biofilm samples from the
- 575 MBBF-SMBR system).
- 576 Fig 6. Bacterial functional traits and categories of: RW; pre-ozonized RW; samples

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578	cording to the KEGG pathway database. ("SMBR" and "MBBF-SMBR" refer to the
579	sludge samples from SMBR and MBBF-SMBR systems, while "Biofilm" refers to the
580	biofilm samples from the MBBF-SMBR system).
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582	Table captions
583	Table 1. Characteristics of RW, pre-ozonized RW, effluent of SMBR, and effluent of
584	MBBF-SMBR.
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586	definition of 97% similarity for RW, pre-ozonized RW, and for samples (sludge and
587	biofilm) obtained from SMBR and MBBF-SMBR on day 0 and day 60 of the experi-
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**Fig 1.** Schematic diagram of the SMBR and MBBF-SMBR.

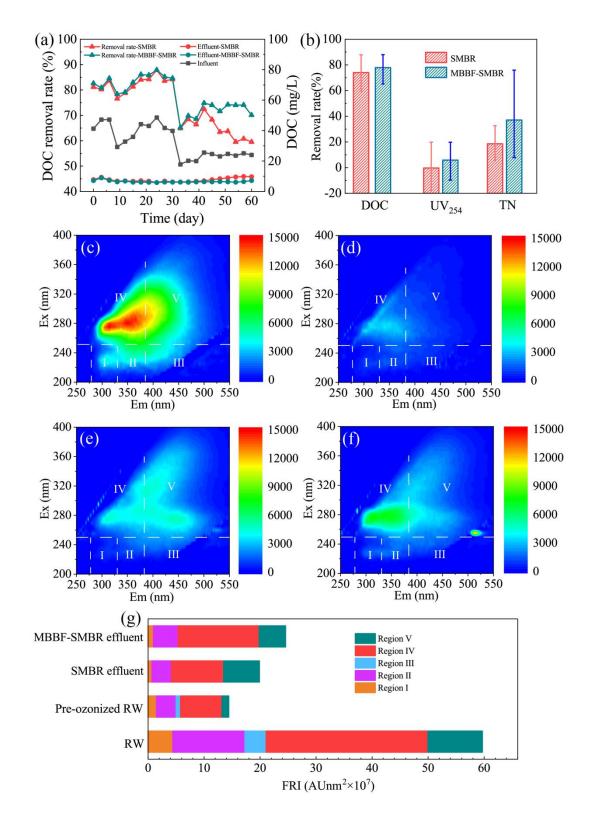
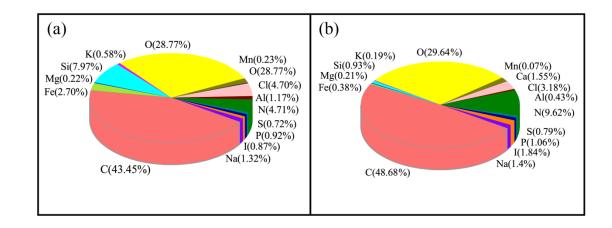


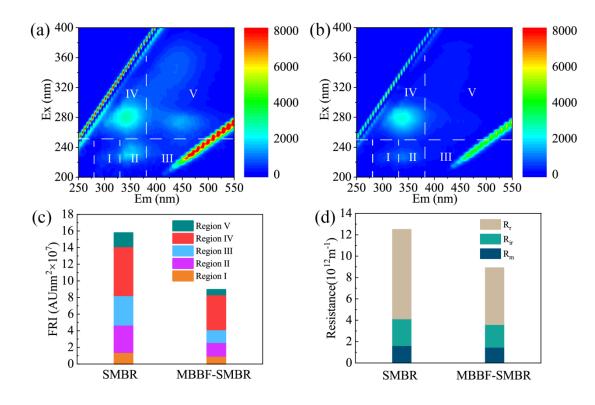
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604 Fig 3. EDS analysis results for fouled membrane from (a) SMBR, (b) MBBF-SMBR

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**Fig 4.** Composition of the membrane fouling layer. 3D-EEM fluorescence spectra of membrane fouling from (a) SMBR and (b) MBBF-SMBR. (c) FRI distribution of membrane fouling in the SMBR and MBBF-SMBR. (d) Fouling resistance parameters, including membrane resistance ( $R_m$ ), reversible resistance ( $R_r$ ), and irreversible resistance ( $R_{ir}$ ) at the end time of SMBR and MBBF-SMBR tests.

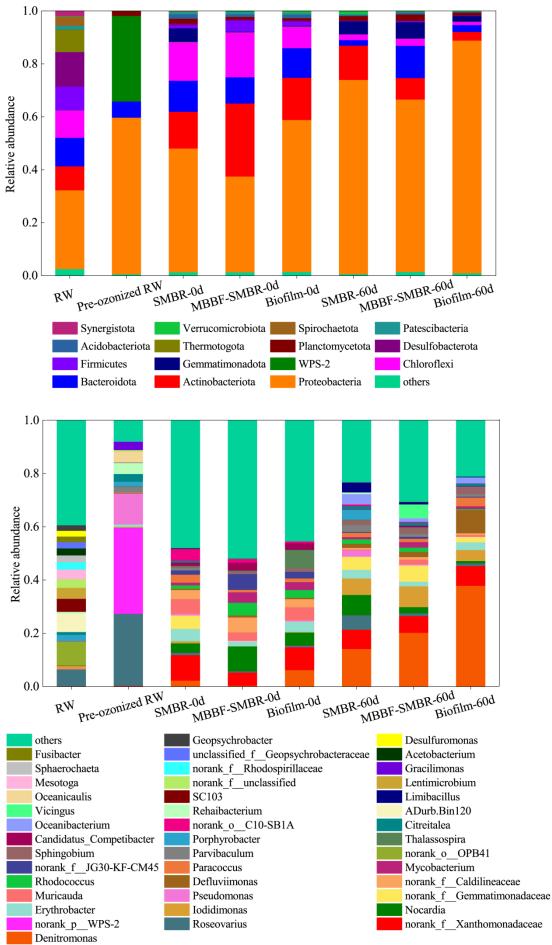


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(a) phylum (relative abundance > 1%); (b) genus level (relative abundance > 2%).
("SMBR" and "MBBF-SMBR" refer to the sludge samples from SMBR and
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Membrane	Bacterial secretion system	
transport	ABC transporters	
Nucleotide	Pyrimidine metabolism	
metabolism	Purine metabolism	
	Nitrogen metabolism	<b>_</b>
E.	Sulfur metabolism	-
Energy metabolism	Methane metabolism	
metabolism	Carbon fixation pathways in prokaryotes	
	Oxidative phosphorylation	
	Propanoate metabolism	
	Starch and sucrose metabolism	Biofilm-60d
	Fructose and mannose metabolism	MBBF-SMBR-60d
	Butanoate metabolism	SMBR-60d Biofilm-0d
Carbohydrate	Citrate cycle (TCA cycle)	MBBF-SMBR-0d
metabolism	Glycolysis / Gluconeogenesis	SMBR-0d Pre-ozonized RW
	Amino sugar and nucleotide sugar metabolism	RW
	Glyoxylate and dicarboxylate metabolism	
	Glyoxylate and dicarboxylate metabolism	
	Pyruvate metabolism	
	Glycine, serine and threonine metabolism	
	Phenylalanine, tyrosine and tryptophan biosynthesis	
Amino acid	Cysteine and methionine metabolism	
metabolism	Valine, leucine and isoleucine degradation	
	Alanine, aspartate and glutamate metabolism	
	Arginine and proline metabolism	
	0	0.0 0.5 1.0 1.5 2.0 2.5 3.0 3.5 4.0

Relative abundance (%)

623	Fig 6. Bacterial functional traits and categories of: RW; pre-ozonized RW; samples
624	(sludge and biofilm) obtained from SMBR and MBBF-SMBR on day 0 and day 60 ac-
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626	sludge samples from SMBR and MBBF-SMBR systems, while "Biofilm" refers to the
627	biofilm samples from the MBBF-SMBR system).
628	

Parameters	RW	Pre-ozonized RW	SMBR	MBBF-SMBR
рН	7.28	7.77	5.65	5.23
TDS (g/L)	19.69	19.98	20.65	20.84
Electrical conductiv- ity (mS)	32.34	32.73	33.28	33.79
Turbidity (NTU)	30	19.4	0.19	0.18
DOC (mg/L)	27.32	32.34	7.56	6.53
TN (mg/L)	64.51	46.56	37.77	29.45
$UV_{254} (cm^{-1})$	0.115	0.087	0.087	0.082

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636	mental test. ("SMBR" and "MBBF-SMBR" refer to the sludge samples from SMBR
637	and MBBF-SMBR systems, while "Biofilm" refers to the biofilm samples from the
638	MBBF-SMBR system).

Samples	Shannon	Simpson	Ace	Chao 1	Coverage
RW	4.24	0.03	264.89	263.33	1.00
Pre-ozonized RW	2.70	0.13	185.30	165.33	1.00
SMBR -0d	4.82	0.02	1203.11	1180.38	0.99
MBBF-SMBR -0d	4.91	0.02	1228.62	1247.05	0.99
Biofilm-0d	4.70	0.03	1198.41	1200.22	0.99
SMBR -60d	3.90	0.05	831.78	827.67	1.00
MBBF-SMBR -60d	4.10	0.06	1076.83	1161.83	0.99
Biofilm-60d	3.13	0.16	773.38	751.52	1.00