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# An early method for the technical diagnosis of pin-on-disk tribometers by reference friction measurements in EHL conditions

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## Abstract

Reference tests are widely used to calibrate scientific instruments but are potential candidate to establish technical diagnosis procedures for scientific instruments too. A reference test is currently lacking in tribology. Though, it would allow users to check that tribometers are properly working and may also form a yardstick for cross-laboratory comparative studies. In this paper two easy-to-use reference testing procedures for the diagnosis of commercial pin-on-disc tribometers are established resorting to a special no-wear test setup in EHL conditions. Several tests were carried out with two tribometers and two commercial oils, and both standardized testing modes were investigated: unidirectional-rotating and linear-reciprocating mode. The friction curves from more than 350 tests were analyzed to generate meaningful statistics supporting the robustness of these procedures. The test setup proved to be suitable for the task since the summary of the results showed an excellent repeatability of friction curves concerning appearance and average values

## Highlights

- A novel reference test procedure is proposed for the pin-on-disc tribometers technical diagnosis
- The EHL condition is exploited to obtain stable and highly repeatable friction curves
- A user-friendly lubricated test setup is put in place in order to fit industrial applications
- Measurements are performed with 2 tribometers in 2 laboratories, then analyzed and compared

## Keywords

Friction; Pin-on-disk; Diagnostics; Tribometer; Reference test; EHL

## Abbreviations and Nomenclature

AAV	Average of the average coefficient of friction values
ASt.DV	Mean of the standard deviation values of coefficient of friction
CoF	Coefficient of friction
E'	Composite elastic modulus
E <sub>b</sub>	Ball elastic modulus
E <sub>d</sub>	Disc elastic modulus
EHL	Elastohydrodynamic lubrication
F <sub>N</sub>	Normal load
F <sub>T</sub>	Tangential friction force
G	Dimensionless material parameter for EHL equations
G <sub>V</sub>	Dimensionless Hamrock's viscosity parameter
G <sub>E</sub>	Dimensionless Hamrock's elastic parameter
HVo	High viscosity oil
k	Ellipticity factor
Λ	Lambda (or roughness) factor
L	Material parameter (Moes); $L = G \cdot (2U)^{0.25}$
M	Load parameter (Moes); $M = W / (2U)^{0.75}$
MVo	Middle viscosity oil
R'	Composite curvature radius of mating surfaces
R <sub>b</sub>	Ball radius
R <sub>d</sub>	Disc radius

---

52	SRR	Slide-to-roll ratio
53	St.DAV	Standard deviation of the average coefficient of friction values
54	U	Dimensionless speed parameter for EHL equations
55	$\bar{U}$	Entrainment speed
56	$v_b$	Ball linear speed
57	$v_d$	Disc linear speed
58	VI	Viscosity index
59	$\alpha$	Pressure-viscosity coefficient
60	$\alpha_T$	Temperature-viscosity coefficient
61	$\eta_0$	Dynamic pressure in atmospheric conditions;
62	$\nu_b$	Ball Poisson ratio
63	$\nu_d$	Disc Poisson ratio
64	W	Dimensionless load parameter for EHL equations
65		
66		

---

## 67 1. Introduction

68 It is well known that the coefficient of friction (CoF) of a tribological pair is far from being only a  
69 characteristic property of the materials involved into the contact[1] since it also depends on many other  
70 parameters: speed, load, temperature, humidity, wear, size/scale...to name but a few [2]. In such a scenario, the  
71 test rig itself is also expected to affect the estimation of the coefficient of friction, as a result of its mechanical  
72 layout, its own dynamic characteristic and the specific test set-up and contact geometry. Therefore, no  
73 experimental value of the coefficient of friction can ever be stated as “representative” or “correct” in absolute  
74 terms. Precisely for these reasons the DIN 50322 standard accepts, for example, that friction results from “model  
75 tests” (i.e. typical simplified laboratory tests, including pin-on-disc) may be very different compared to the  
76 results from “field tests” (i.e. tests in actual operating conditions) even if similar materials or components are  
77 involved. The ASTM G99 standard, the referral standard for pin-on-disc method, also warns that there is no  
78 ensurance the tests will predict the behavior of a given material in actual application under conditions differing  
79 from those in the test.

80 In the field of tribology results are usually scattered and it is not obvious to find consistent results under the  
81 same testing conditions, especially in dry testing conditions where the materials wearing-out process introduces  
82 uncertainties. The results of the Interlaboratory tests included in the ASTM G99 standard are themselves rather  
83 scattered, unsuited to represent a reference because of wear. Moreover, very often average values and  
84 coefficients of variations for CoF are shown without even disclosing actual friction curves, which can vary a  
85 lot when repeating merely the same test although average values are similar. Nonetheless, should one be able  
86 to measure consistent friction curves (let us call it an “usual” result) under the same conditions, over time and  
87 across different tribo-testing machines of the same kind, such conditions may form, at least, a relative reference.  
88 By extension, a reference test may be established and a criterion to assess if one particular tribometer is  
89 potentially affected by technical problems can be derived by comparison of the specific outcome with the one  
90 usually expected. This approach conforms indeed to ISO 13372:2012 which defines the attitude of technical  
91 diagnosis to collect data and information (i.e. condition monitoring [3]) to detect problems and deviations from  
92 normal conditions.

93 The aim of this experimental investigation is therefore to develop a reference procedure for pin-on-disk  
94 tribometers based on reference tests featuring a stable and repeatable characteristic coefficient of friction. This

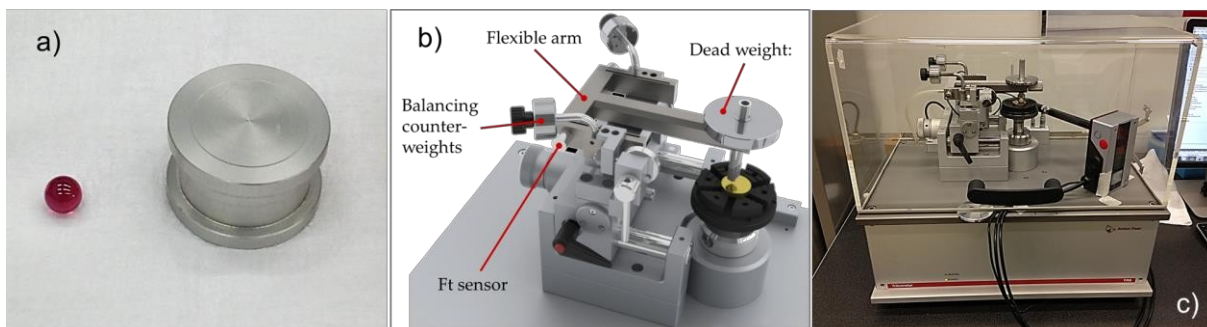
95 procedure would include one reference test intended for unidirectional rotating mode and one for linear  
96 reciprocating mode. In order to achieve this challenging result, this paper explores a special no-wear test setup  
97 in elastohydrodynamic lubricated conditions and its peculiar friction performances. The authors wish to  
98 demonstrate the reliability of the chosen method which is then proposed as a monitoring and diagnostic tool for  
99 tribometers, able to make an assessment on either software / hardware issues or issues in terms of calibration.  
100 The method qualifies as Structural Health Monitoring (SHM) [3] applied to a very special mechanical system,  
101 i.e. a scientific instrument, where friction force itself acts as the monitoring parameter for which a reference  
102 value is available. In pin-on-disk tribometers the CoF is calculated following the classical definition:  $\mu = F_T / F_N$   
103 where the quantity that is measured is indeed the tangential friction force  $F_T$  acting at the contact area, and  $F_N$   
104 is a known normal force.

105 In the last 60 years a lot of scientific works have investigated the elastohydrodynamic lubrication (herein referred  
106 to as EHL or EHD) whose theoretical foundations were laid by Grubin [4], Dowson and Higginson [5] and  
107 Hamrock and Dowson [6]. EHL is a contact mode typical of lubricated non-conformal contacts. It is the typical  
108 contact condition found in machine elements interacting under low geometrical conformity, where loads act  
109 over relatively small contact areas, such as the point contacts of ball bearings and the line contacts of roller  
110 bearings and of gear teeth. EHD phenomena also occur in some low elastic modulus contacts, such as lip seals  
111 [7]. Among them, a multitude of papers have investigated the coefficient of friction in EHL point contacts.  
112 However, all these works focused either on several aspects of lubrication modes or the  
113 development/optimization of numerical models for specific experimental cases or specific applications. In  
114 almost everyone a ball-on-disc test rig designed on purpose was used. Test rigs for interferometric film thickness  
115 measurements are typically used when the aim is to correlate friction with lubrication regimes and plot the  
116 Stribeck curve. For example, friction values measured in this way were reported in the works by Zhang et al.  
117 [8],[9], Fu et al. [10], [11] and Ciulli et al. [12], Carli et al. [13], Gonsel et al. [14], Nishikawa et al. [15] who  
118 investigated all lubricated conditions with testing parameters rather similar to those in this paper. Hansen et al.  
119 [16][17], Bjorling et al. [18]. Vengudusamy et al. [19] and Guegan et al. [20] reported the effects of roughness  
120 on traction coefficient (CoF) by mapping transitions of lubrication regimes. Hansen et al. supported also  
121 interferometric observations with electric contact resistance (ECR) technique. Also, Nishikawa et al. [21] and  
122 Han et al. [22] investigated friction phenomena in reciprocating sliding motion with a test rig of the same kind.  
123 Ball-on-disc traction test rigs are often resorted to when the evolution of traction coefficient is studied in  
124 response to the variation of the slide-to-roll ratio. With regard to this, it is worth to cite the work by Vegudusamy  
125 et al. [23] and Angel et al. [24]. Schwing-Reib-Verschleiss (SRV) tribometers are sometimes used too, for  
126 example in the same papers by Vegudusamy et al. [23] and Han et al. [22]. Only some authors in the scientific  
127 literature dealt with the friction behavior in lubricated conditions with pin-on-disk tribometers, like Anderson  
128 [25] and Podgornik [26] and Grützmacher [27]. Anderson performed lubricated tests with a classical pin-on-  
129 disk tribometer, he used a quite particular experimental set-up where water was used as lubricant and large  
130 amount of wear was unavoidable. Grützmacher tested a steel-steel friction pair in ball-on-disc lubricated  
131 conditions with testing parameters (rotational speed, track radius and load) very similar to those used for this  
132 study. However, he used much lower viscosity oils and focused on the transition from fully-flooded to mixed  
133 lubrication because of centrifugal forces at varying track radii. Muller and Ostermayer [28] used a High Load  
134 Tribometer (HLT), which basically consists of a pin on disk set-up, to study the problem of starvation in  
135 hydrodynamic lubrication of conformal contacts. Bai et al. [29] measured traction by means of a multi-purpose

136 tribometer in linear reciprocating pin-on-disk configuration. Kovalchenko et al. [30] measured a number of  
137 Stribeck curves with a pin-on-disc investigating the hydrodynamic lubricated contact with a flat pin though.  
138 To the best of the authors' knowledge, no other author has ever attempted to introduce a reference test or  
139 reference procedure in the tribology field to verify the testing apparatus itself, neither exploiting the EHD  
140 lubrication or another contact condition as a mean (rather than an end).  
141 For the purpose of robust reliable statistics, the results from two pin-on-disk tribometers located in two different  
142 laboratories and in different environmental conditions were compared in this paper.  
143 An empirical approach was basically followed in this study. No in-depth examination of the contact mechanics,  
144 and no experimental lubricant film thickness measurements are provided here as it goes beyond the scope of  
145 this paper. Yet, application of the available EHL equations is briefly presented in the next sections. This is just  
146 to support experimental evidence by checking that the predicted lubricant film thickness with the chosen testing  
147 parameters is in line with a no-wear EHL regime, leastwise.  
148 The results presented hereafter are to be intended as preliminary results which need further verifications and a  
149 wider statistical base for acceptance as standard procedure.

## 150 2. Materials and Methods

151 The present experimental campaign was made possible thanks to the collaboration of the Department of  
152 Mechanical and Aerospace Engineering Laboratory (DIMEAS) at Politecnico di Torino (Torino, IT) and Anton  
153 Paar TriTec (Corcelles-Cormondèche, CH). A total of two different Anton Paar pin-on-disk tribometers were  
154 used: a TRB tribometer and a TRB<sup>3</sup> tribometer of next generation. Both the instruments are compliant with the  
155 ASTM G99 and ASTM G133 standards. Manufacturer's technical specifications of the two instruments are  
156 presented in Appendix A and their functional scheme is shown in Figure 1b.



157  
158 **Figure 1.** (a) Material pair for tests; (b) functional scheme of the Anton Paar pin-on-disk tribometers; (c) temperature  
159 and humidity sensor fitted inside the testing chamber  
160

161  
162 A lubricated contact is formed between a ruby ball (Saphirwerk AG, Brügg, CH) and a microscope round cover  
163 slip made of D263M<sup>®</sup> borosilicate glass (Schott AG, Mainz, DE). Such a thin glass slip cannot be installed  
164 directly into the spindle clamping device as it is too fragile. To overcome this critical issue, the glass slip was  
165 glued on the top of an aluminum sample-holder (Figure 1a). Several samples were used, and the gluing of the

166 cover slip was handcrafted using fast-setting glue. The ball was mounted into a pin-shaped ball-holder thus pure  
 167 sliding occurred at the interface (with a SRR <sup>[1]</sup> equal to 2).  
 168

169

**Table I.** Properties of the liquid lubricants and the tribological pair

OILS	Base stocks	Density [kg/dm <sup>3</sup> ]	Viscosity [cSt] @ 30°C	$\alpha$ <sup>[1]</sup> [GPa-1]	V.I. <sup>[2]</sup>
Anton Paar Testing Oil MV (MVo)	Semi-synthetic oil (Mineral oil and PAO base stocks)	0.861	122.2	30.5	101
Anton Paar Testing Oil HV (HVo)	Fully synthetic oil (PAO, Poly-1-decene, Polybutene base stocks)	0.839	740.3	34.8	123
MATERIAL PAIR	Elastic modulus [GPa]	Poisson ratio	Radius [mm]	Rq [ $\mu$ m]	Thickness [mm]
Ruby sphere	390	0.22	3	0.006	-
Glass cover slip	73	0.208	17	0.020	0.1

170

<sup>1</sup> Pressure-viscosity characteristics are calculated with So and Klaus' analytical model [31].

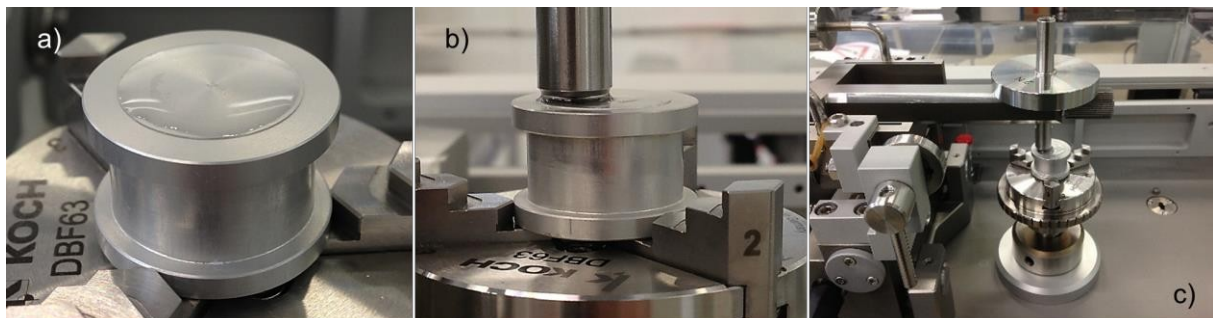
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<sup>2</sup> Reference is made to the ASTM D2270 standard

172 Two types of commercial liquid lubricants were employed: a middle-viscosity and a high viscosity oil (herein  
 173 referred to as MVo and HVo). Both are products of Anton Paar TriTec SA and their main properties at the testing  
 174 temperature are listed in Table I, together with the material properties of the sample pair. Both the oils are  
 175 thermally stable, hydrophobic, resistant to atmospheric agents and characterized by a relatively high viscosity  
 176 index (V.I.). Being commercial products, these lubricants come with their technical sheet certified by a  
 177 meteorological laboratory. Tables of viscosity and other physical properties for the two oils are presented in  
 178 Appendix B as provided in technical sheets. Viscosity values in Table I are interpolated via the popular Walters'  
 179 formula considering the working temperature of 29°C.

180 The most widely used experimental techniques for testing lubricated contacts resort to either oil bath or  
 181 continuous active or passive oil supply flow. In this study a little amount of oil was added on the top of the glass  
 182 sample with a syringe before starting each test, as much to completely cover the surface region where the  
 183 interaction between the solid surfaces takes place (Figure 2a).

184



<sup>1</sup> Slide-to-roll ratio defined as:  $SRR = 2 \cdot (u_d - u_b) / (u_d + u_b)$ , where 'd' stands for "disk" and 'b' for "ball"

185 **Figure 2.** (a) lubricant applied on the sample surface before a test; (b) zoomed view of the lubricated contact; (c) test  
186 set-up for rotating mode tests.

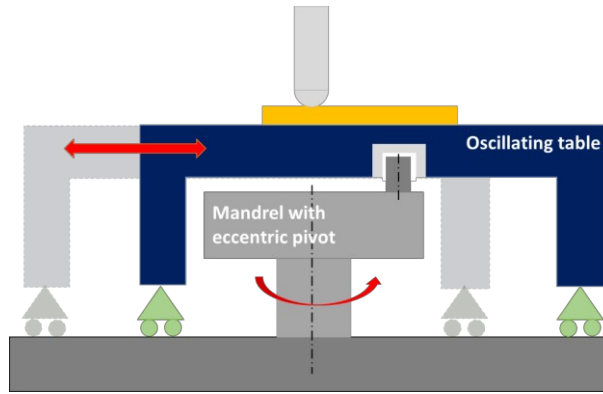
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188 Bai et al. [29] reported successful full-film lubrication of sample surfaces by a very similar method without the  
189 system running into starved lubrication. More extreme techniques to supply lubricant to the contact region are  
190 also reported in the scientific literature. As an example, Li et al. [32] proved that lubrication by oil droplets  
191 supply is an effective way to form a continuous lubrication film at the contact region and avoid lubricant waste.  
192 Figure 2c shows the complete experimental set-up just before running a unidirectional rotating test and Figure  
193 2b is a zoomed view of the lubricant meniscus during a test run.

194 The contact load was applied placing dead-weights on the measuring arm coaxially to the ball-holder. The  
195 tribometer vertical load range is 0.25N to 60N (see Appendix A). The MVo was selected for tests with relatively  
196 low vertical load, so as to explore the lower part of the instruments load range; the HVo was used for tests with  
197 higher vertical load so as to explore the higher part of the load range of the instruments. Preliminary tests  
198 allowed to identify the most favorable testing parameters. As to rotating tests, 1N and 2N loads have been tried  
199 out at 100rpm with the MVo. Tests under 1N and 2N yielded very similar results in terms of average CoF, but  
200 more regular curves showed up in the case of 2N load. Possible reasons for a higher regularity with higher  
201 normal load are system vibrations which are more negligible with higher loads and the lower influence of  
202 roughness as a result of a slightly greater contact area. As to the HVo, the desired load parameter was 60N at  
203 first, i.e. the upper bound of the instruments load range. However, after preliminary tests under load of 30N to  
204 60N, coupled with speeds from 100rpm to 200rpm, none of the loads higher than 30N guaranteed complete  
205 separation between solid surfaces and the lubricating film ran systematically into failure with the glass slip  
206 breakup. On the contrary, test runs under 30N load and 150rpm yielded enough repeatability to give rise to a  
207 reference condition.

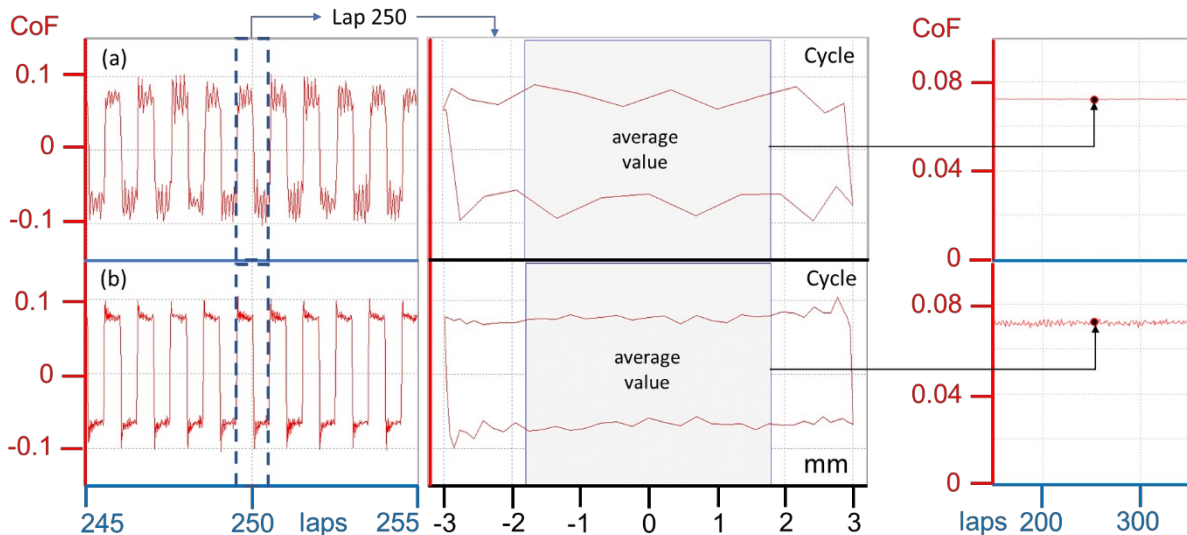
208 Some of the empirical formulae currently available in the scientific literature were applied to predict the  
209 lubricant film thickness and a full-film lubrication state or neary-full-film lubrication is predicted with all the  
210 testing conditions and lubricants. Par. 5 gives more details.

211 For linear reciprocating tests, load was kept at the same value as for the corresponding rotating tests, whereas  
212 the linear oscillation frequency of the oscillating plate varied in the preliminary phase. Frequency of 3Hz, 2Hz  
213 and 1Hz were tried out and it turned out that the higher the oscillation frequency the more uneven is the friction  
214 signal. This effect is not totally clear and could be linked to either augmented film thickness dynamics (e.g film  
215 thickness fluctuations due to dynamic reactions in response to motion reversal) or stronger vibrations spreading  
216 the instrument frame and affecting the very sensitive LVDT sensors output. The former hypothesis is in line  
217 with results by Nishikawa and Kaneta [15],[21] for reciprocating EHL point contact. The latter hypothesis is  
218 justified by the fact that Anton Paar provides an adapter kit to perform linear reciprocating tests that transforms  
219 the standard rotary configurations into the linear motion configuration (Figure 3). It consists of a sliding plate  
220 driven by a dedicated mandrel with an eccentric pivot. This adapter kit introduces two main modifications to  
221 the instrument mechanical layout: the mass subjected to sinusoidal acceleration is larger, and the driveline  
222 suffers some more backlash in couplings between moving parts (e.g. clearance at the eccentric-plate coupling).  
223 Mechanical vibrations are likely to grow rapidly with speed as a result, because of stronger shocks across the  
224 driveline.



225  
226 **Figure 3.** Anton Paar adapter kit to perform linear reciprocating tests.  
227

228 Figure 4a and 4b shows the comparison among the extreme values: 1Hz (Figure 2b) is the frequency that gives  
229 rise to the smoothest friction curve and the best cycle shape (at equal sampling rate, always fixed at 80Hz).



230  
231 **Figure 4.** Raw friction curve (on the left), example of cycle shape (in the middle) and equivalent friction curve  
232 (on the right) with (a) 3Hz and (b) 1Hz reciprocating sliding frequency.

233 To allow for comparison with rotating tests, raw friction curves from linear tests (left side of Fig. 4) were  
234 analyzed by a cycle-by-cycle averaging technique based on which a single representative average value is  
235 extracted from each cycle. The area framed by a blue solid square in Fig. 4 delimits the measuring points of  
236 each cycle involved into the averaging process, about 3/5 of a cycle. Only the points placed within the central  
237 portion of each cycle were taken into account, because variations of speed are minimum there and the  
238 hydrodynamic effect is maximum. In doing so, an equivalent friction curve can be plotted collecting the  
239 representative values from each cycle as curve points (see the right-hand side of Fig. 4). This technique allows  
240 to represent the output of linear tests through equivalent curves having similar trend as rotary friction curves  
241 and comparable average values, so that the former can be compared to the latter. The reader should be able to  
242 verify this same graphical technique was exploited in other experimental works dealing with linear  
243 reciprocating contacts, like Bai et al.[29].

244 Table II provides the testing parameters for the 4 measurement conditions selected in the end; each condition  
245 was tested separately. Information about the maximum contact pressure is provided in this table for the

246 individual testing conditions as well. In lubricated point contacts the contact pressure value can be estimated  
 247 approximately according to the Hertzian elastic contact model. According to Hamrock et al. [33] the fully  
 248 developed EHL condition, in the sense of the piezoviscous-elastic behavior, usually originates when the  
 249 maximum contact pressure exceeds 0.5 GPa with common industrial oils. Contact pressure values listed in  
 250 Table II are inside the above range; no further verifications were carried out, nor possible because of the test  
 251 rig layout (interferometry was impracticable) and the materials tested (ECR analysis was impracticable).

252 **Table II.** Testing conditions

	Load [N]	Spindle Speed [rpm]	Frequency [Hz]	Duration [cycles]	Track radius [mm]	Stroke <sup>[1]</sup> [mm]	Lubricant quantity [ $\mu$ L]	Contact pressure $p_{Hz}$ (max) [GPa]
<b>MVo (rotating mode)</b>	2	100	-	1000	4 to 7	-	60	0.562
<b>MVo (linear mode)</b>	2	-	1	500	-	6	40	0.562
<b>HVo (rotating mode)</b>	30	150	-	1000	5 to 6	-	100	1.386
<b>HVo (linear mode)</b>	30	-	1	500	-	6	60	1.386

253 <sup>1</sup> The stroke amplitude is here intended as the segmented length traced by the ball on the disk, i.e one half of the  
 254 peak-to-peak distance over a cycle.

256 In this study minor changes in the entrainment speed were accepted and several track radii were sequentially  
 257 set in the range from 4 to 7mm for the MVo and from 5 to 6mm for the HVo. Rotating and linear reciprocating  
 258 modes were tested separately as this latter requires a specific module to be installed on Anton Paar tribometers.  
 259 Every condition was repeated several times with both the tribometers, as specified below in Table III.

260 Much attention was paid to deeply clean and degrease the entire equipment with chemical pure Acetone and  
 261 Isopropyl alcohol (IPA) at the end of each test run. Samples and tools were handled with latex gloves and dried  
 262 with lint-free tissues. Optical microscopy allowed to check the integrity of the glass surface, the absence of  
 263 wear on the ball and the effective removal of any trace of used lubricant and dust before and after every test  
 264 run. A temperature and humidity sensor were fitted inside the testing chamber (see Figure 1c) to follow the  
 265 evolution of the environmental parameters and make sure that each repetition is performed in consistent climatic  
 266 conditions. The average temperature inside the testing chamber was in the range from 20 to 29°C and moisture  
 267 content from 30 to 95%. The control of the test rig and data acquisition was both performed with the dedicated  
 268 Anton Paar InstrumX<sup>®</sup> Software.

269 The whole experimental survey took several weeks to be completed and each tribometer has been periodically  
 270 recalibrated, following the user manual advice.

### 271 **3. Results**

272 Several repetitions of each testing conditions were carried out: each set covered at least 32 test runs, and many  
 273 more in most of the cases. Two average tangential force levels were obtained: 0.145N for tests with the MVo  
 274 and 2.139N for tests with the HVo.

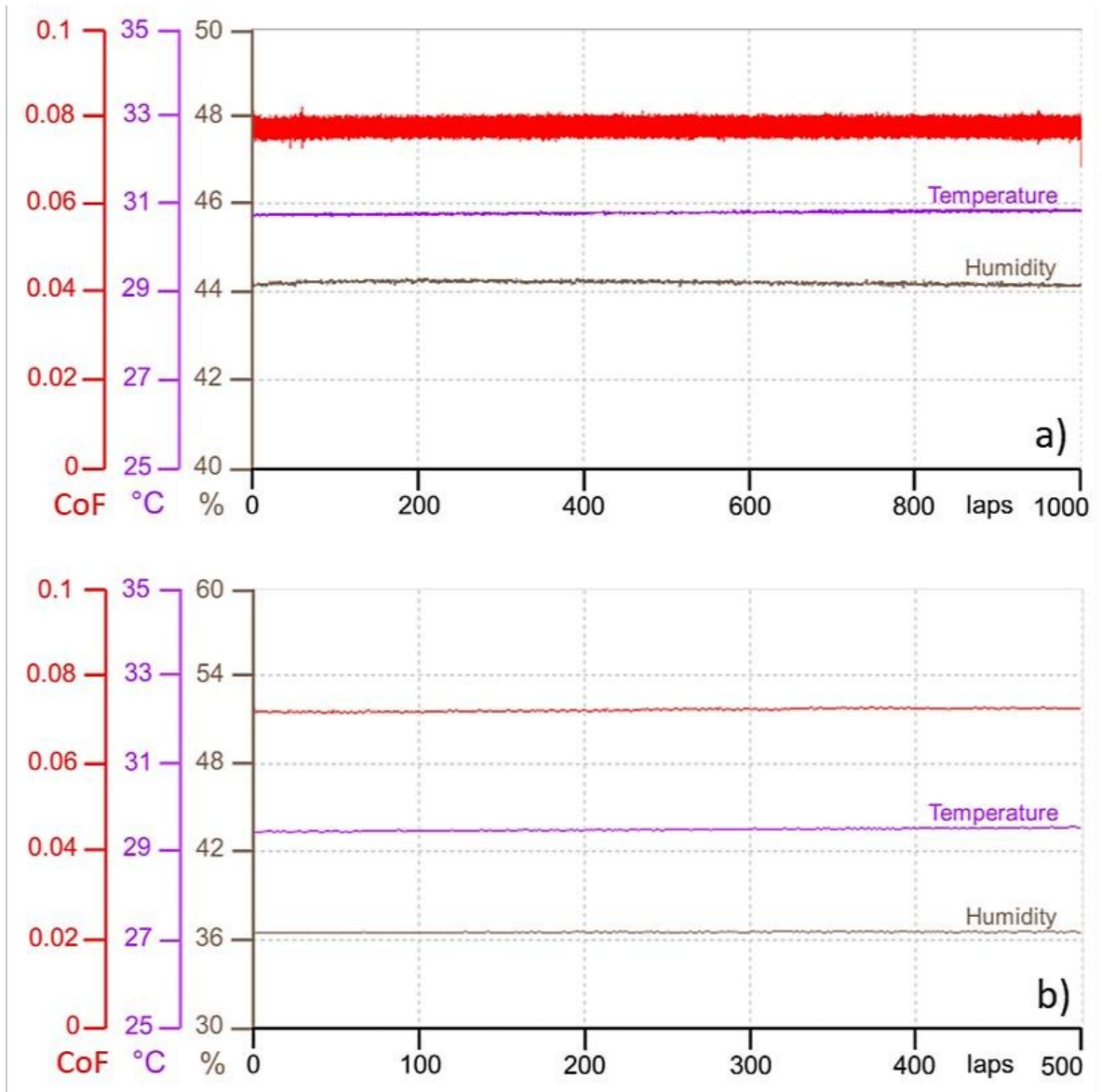
275 Table III gives an overview on the results corresponding to the conditions listed in Table II with the two  
 276 tribometers. Figure 5-8 show the output of one friction test belonging to each set of tests listed in Table III.  
 277 Since each set of tests includes 32 to 58 tests, it is impracticable to present graphically such an amount of data  
 278 in an aggregated manner. Only one friction curve out of 32 to 58 is then displayed to represent the entire set  
 279 which it belongs to. Temperature and humidity curves are showed as well, when available as plottable data. . It  
 280 is here recalled that, in what follows, displayed curves of rotary test are raw data; those of linear reciprocating  
 281 tests are not raw data. The latter were previously analyzed through a cycle-resolved averaging technique, as  
 282 already discusses in Sec. 3, in order to depict equivalent friction curves comparable to rotating tests curves.

283 **Table III.** Overview of the results of coefficient of friction for each set of repetitions

<b>Instrument</b>	<b>Oil type</b>	<b>Number of samples</b>	<b>Number of repetitions</b>	<b>AAV</b>	<b>St.DAV</b>	<b>ASt.DV</b>	<b>Laboratory</b>
<b>TRB<sup>3</sup></b>	MVo (rotating)	3	46	0.0757	0.0014	0.0017	<b>Anton Paar TriTec laboratory, Corcèlles (CH)</b>
	MVo (linear)	1	32	0.0702	0.0016	0.0003	
	HVo (rotating)	3	42	0.0758	0.0011	0.0022	
	HVo (linear)	4	37	0.0766	0.0008	0.0002	
<b>TRB</b>	MVo (rotating)	1	38	0.0731	0.0022	0.0035	<b>Politecnico di Torino, DIMEAS laboratory, Torino (IT)</b>
	MVo (linear)	2	58	0.0715	0.0006	0.0002	
	HVo (rotating)	3	42	0.0713	0.0011	0.0027	
	HVo (linear)	3	54	0.0767	0.0005	0.0002	

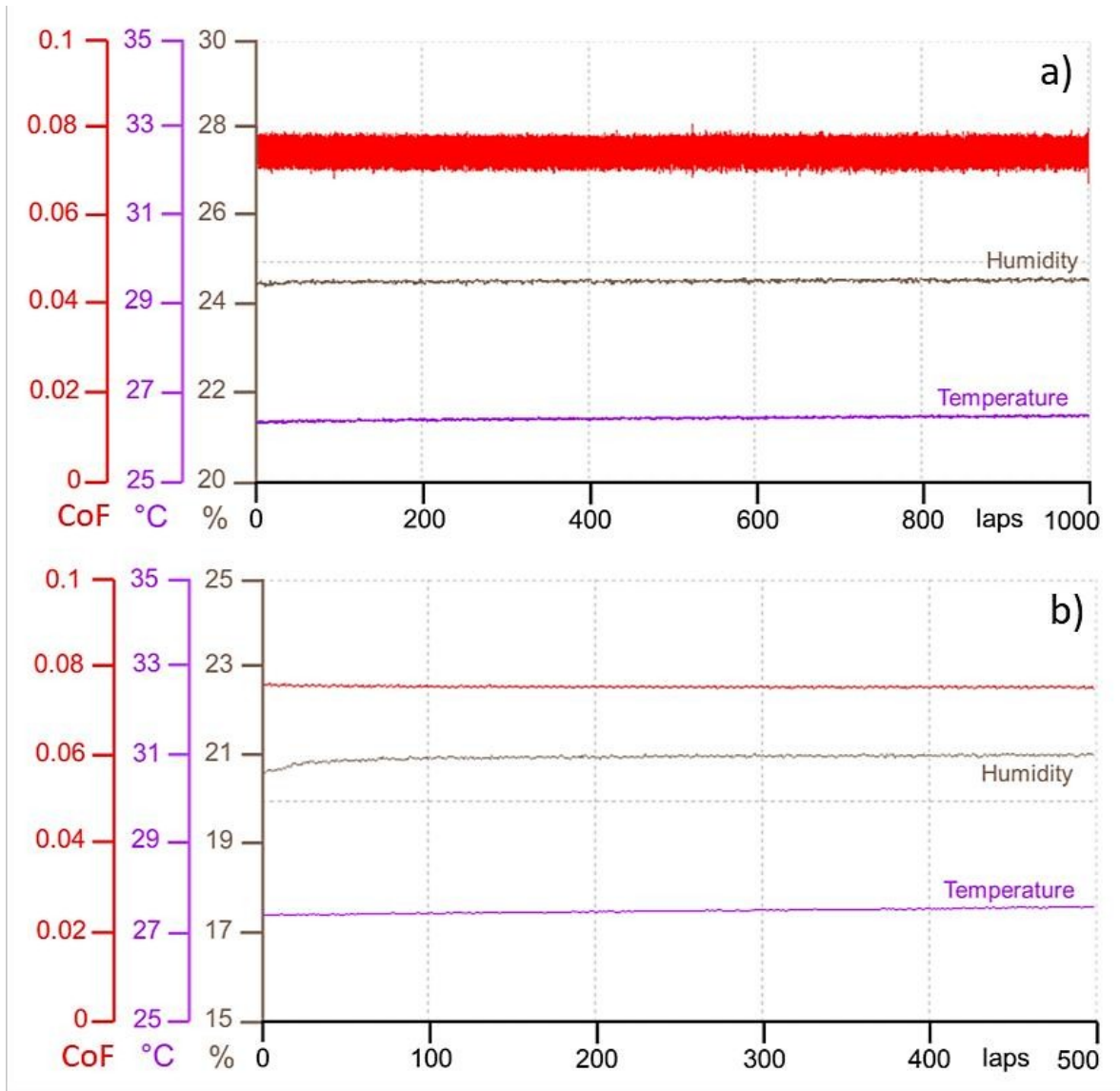
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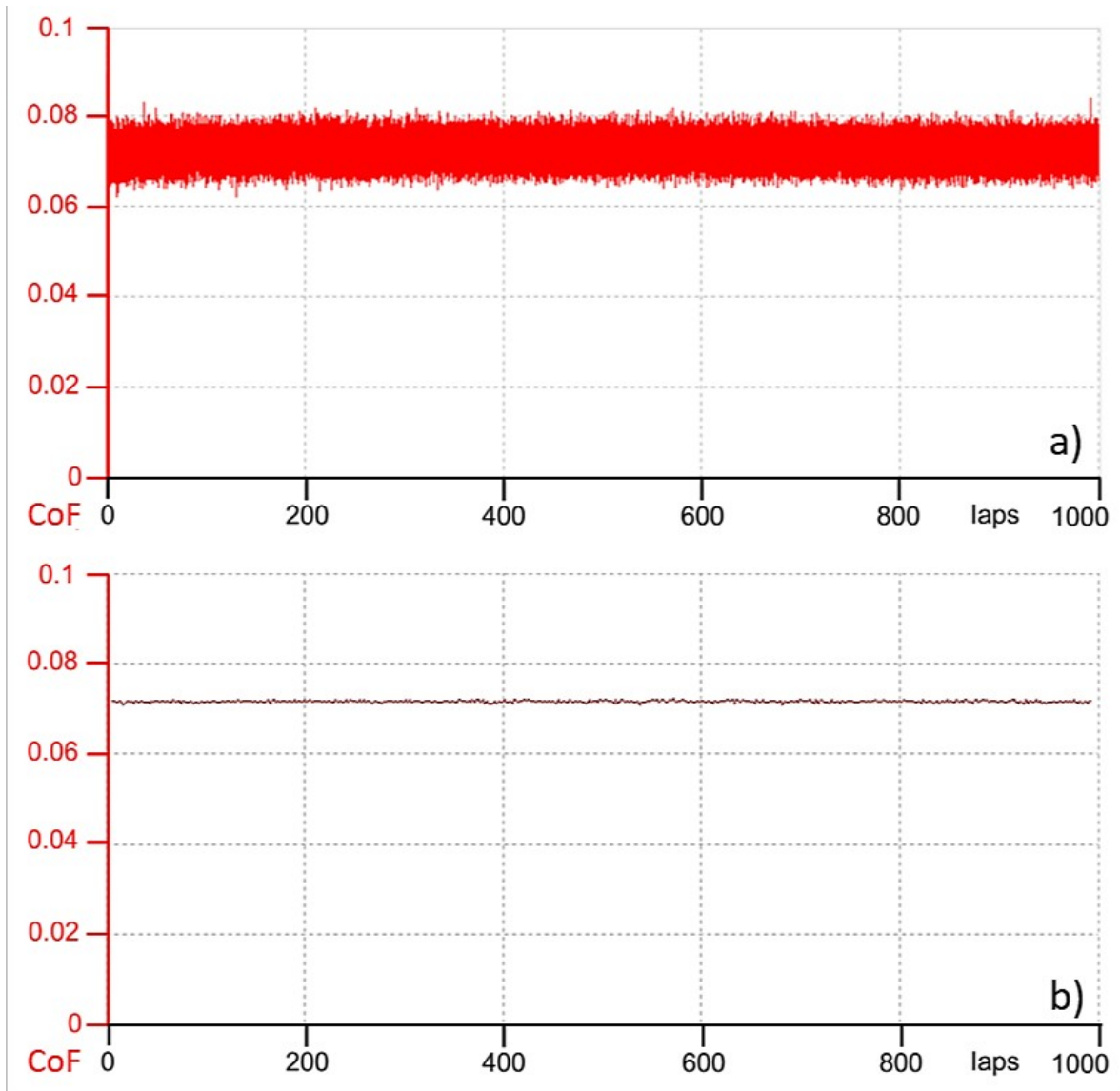
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**Figure 5.** Example of a CoF curve obtained with the TRB<sup>3</sup> and the MVo(2N load) in (a) rotating and (b) linear reciprocating mode.



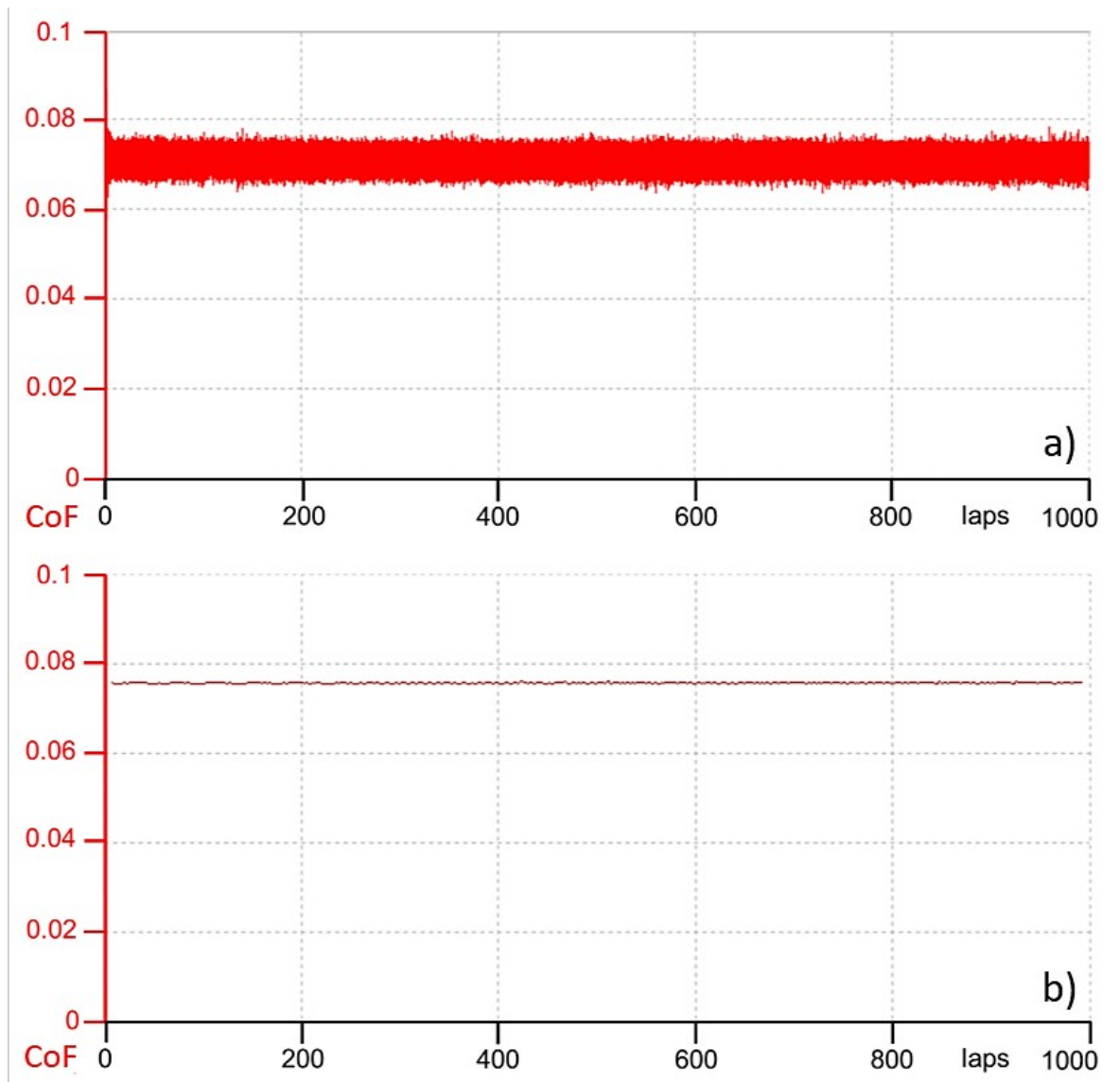
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**Figure 6.** Example of a CoF curve obtained with the TRB<sup>3</sup> and the HV<sub>o</sub> (30N load) in (a) rotating and (b) linear reciprocating mode.



292  
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 294

**Figure 7.** Example of a CoF curve obtained with the TRB and the MVo (2N load) in (a) rotating and (b) linear reciprocating mode.



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 296 **Figure 8.** Example of a CoF curve obtained with the TRB and the HVo (30N load) in (a) rotating and (b) linear  
 297 reciprocating mode.

298 Fig. 5 to 8 combined with values in Table III prove that all the test runs in all conditions provided  
 299 exceptionally stable and repeatable results in terms of both friction curves shape and average CoF values. This  
 300 is also evidence of the fact that the risk of starvation effects (oil loss out of the contact zone) is sufficiently low  
 301 despite the lack of oil bath. A relevant concern among others is related to centrifugation of the lubricant outside  
 302 the contact. Grützmacher et al. [27] reported a near no-wear tribological condition in pure sliding ball-on-disc  
 303 tests at 6mm track radius and 0.08m/s sliding speed with a Castrol PAO30 (30cSt viscosity) oil. They attributed  
 304 this result to little enough centrifugal forces to have a nearly zero lubricant film shrinking and concluded that  
 305 higher viscosity results in a less pronounced influence of the centrifugal forces on the lubrication regime. In the  
 306 present study, friction tests featured much higher viscosity oils and lower rotational speed (thus, centrifugal  
 307 forces), so that centrifugal effect should be negligible with no impact on the lubricant film build-up and stability  
 308 at the contact interface. Moreover, Grützmacher et al. used not-additivated oils for which effect of varying  
 309 adhesive properties on retaining the lubricant in the contact can be neglected. On contrary, commercially fully

310 formulated oils have additives which strengthen the solid-liquid adhesion properties, thus reducing further the  
311 risk of centrifugal oil leakages.

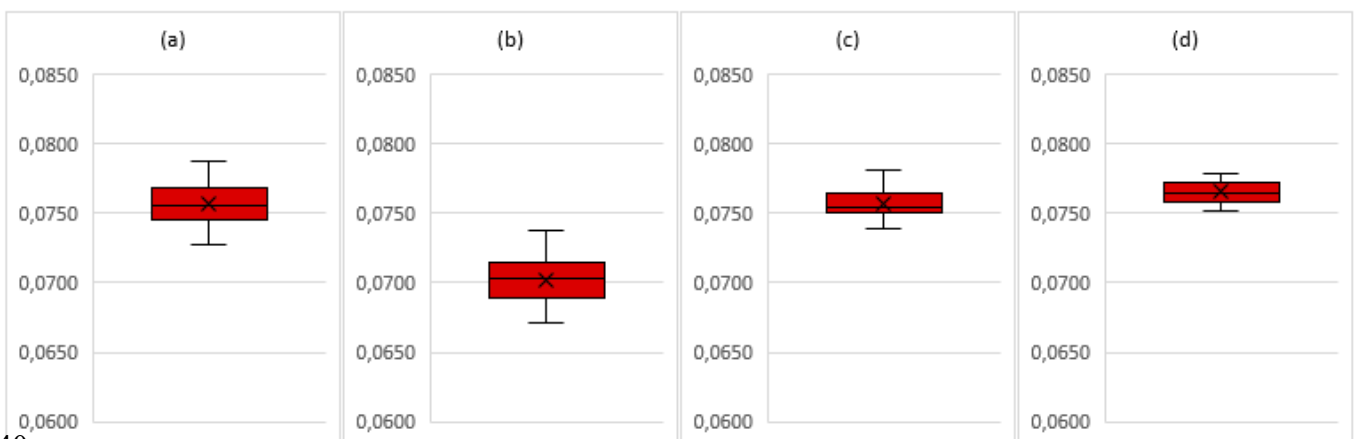
312 EHL friction tests appeared to be very sensitive to disturbances (shocks and vibrations) coming from the  
313 environment, even at low intensity. Example of external disturbances experienced during the experimental  
314 campaign are people walking by the machine, noise and vibrations coming from rooms nearby, accidental hits  
315 on the table, etc...therefore care should be taken to avoid these kinds of disturbances. The cleanliness of the  
316 surfaces played an important role too. While carrying out preliminary tests it also happened that some of these  
317 tests produced very unstable friction curves whose mean value and noise were very different than usual ones,  
318 despite obvious external disturbances were lacking. This kind of erratic behavior was later proved to be  
319 imputable to imperfect cleaning, in particular dust and fibers passing through the thin oil film.

320 Although ASTM G99 [34] (the sole standard for pin-on-disc tribological tests) recommends using all the data  
321 from each set of measurements, including outliers, no test where either the influence of external disturbance or  
322 cleanliness issues were obvious has been taken into account in this study, as they are not representative of the  
323 instrument working state. The authors of this paper would like to underline that the approach taken in this  
324 investigation is not in contrast with the standard anyway. Outliers are values that deviate from the average “by  
325 accident”, i.e. linked to unavoidable accidental errors associated to the phenomenon under study. In this study,  
326 discarded tests are the result of systematic and well identified external causes (anyway impossible to totally  
327 overcome). From this perspective, the discarded results are not even attributable to the phenomenon under  
328 study, so they are neither outliers.

329 Such high sensitivity of tests has pros and cons in SHM techniques. A very good detection capability of little  
330 damages/problems is expected, by the price of high sensitivity to some healthy changes of operational and  
331 environmental conditions too [3].

332 The box-plots in Figure 9 to 12 show the scattering of the mean values within each set of repetitions presented  
333 in Table III. The values of the CoF obtained in this work belong to the range from 0.01 to 0.1 which is very  
334 typical for EHL with industrial oils, as confirmed by Stachowiak and Batchelor [35]. Besides, the whole set of  
335 average values obtained with both the MVo and the HVo fall inside the sub-range from 0.065 and 0.080.  
336 Equivalent friction curves from linear tests feature an apparent lower curve noise and lower scattering because  
337 they portray data which have already undergone some processing; for this reason, their scattering is naturally  
338 lower.

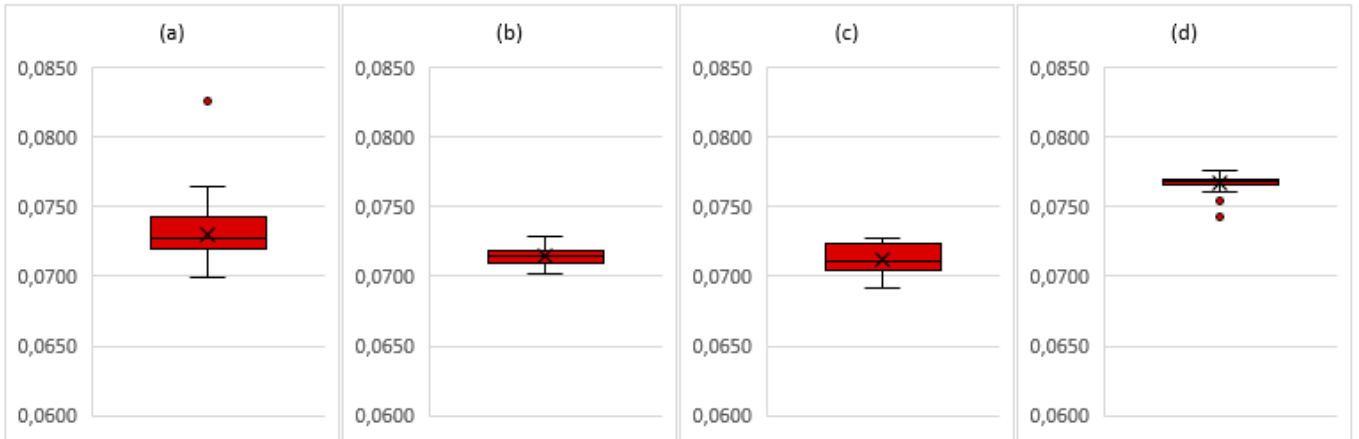
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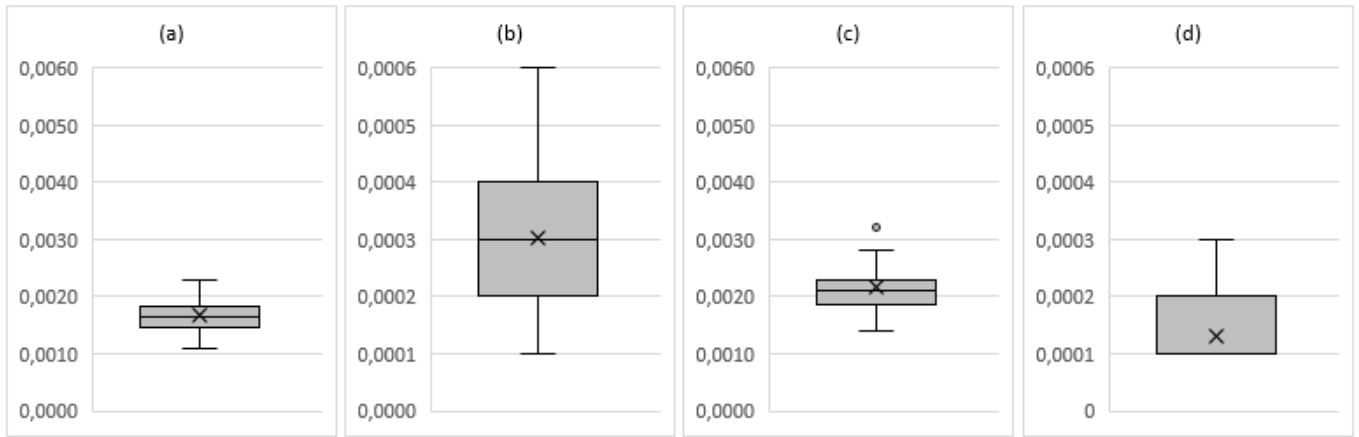
**Figure 9.** Box-plots of the mean CoF values for each set of repetitions on the TRB tribometer: (a) MVo rotating tests; (b) MVo linear tests; (c) HVo rotating tests; (d) HVo linear tests.



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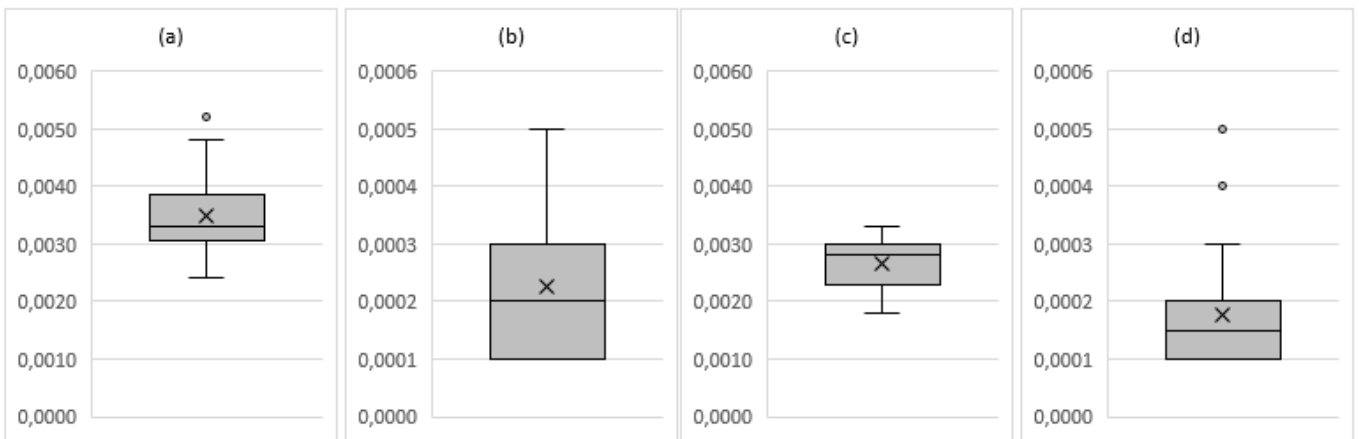
**Figure 10.** Box-plots of the mean CoF values for each set of repetitions on the TRB tribometer: (a) MVo rotating tests; (b) MVo linear tests; (c) HVo rotating tests; (d) HVo linear tests.



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**Figure 11.** Box-plots of the CoF standard deviation values for each set of repetitions on the TRB tribometer: (a) MVo rotating tests; (b) MVo linear tests; (c) HVo rotating tests; (d) HVo linear tests.



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**Figure 12.** Box-plots of the CoF standard deviation values for each set of repetitions on the TRB tribometer: (a) MVo rotating tests; (b) MVo linear tests; (c) HVo rotating tests; (d) HVo linear tests.

352 It is commonly known that the environmental state, i.e. temperature and moisture content, influences what  
353 happens at the tribological interface. This is true especially in dry contacts, but also lubricated contacts with

354 little amount of lubricant may be affected . Temperature is a strong influencing parameter, large variations  
 355 would definitely influence the lubricant rheological behaviour performance and thus friction measurements.  
 356 Conversely, the moisture content variations could turn out to be less important. The main concern about  
 357 humidity is the risk that water droplets condensate on the oil surface; if the little amount of lubricant is not able  
 358 to completely insulate the contact region from the environment, the lubricant might become a water-oil mixture  
 359 and load bearing capacity might be affected. In order to be sure that humidity has no influence on friction curves  
 360 despite the little amount of oil, some additional sets of tests were carried out into an environment close to the  
 361 dewpoint. These comparative tests (whose results are omitted) revealed that the contact zone is effectively  
 362 insulated from the environment and procedures apply regardless of the ambient humidity.  
 363 The effect of temperature was not directly addressed in this study because the instruments were operated in  
 364 thermally stable environments. As a general rule, temperature variations should be kept within a well-defined  
 365 narrow range for the sake of reference measurements. However, scientific instruments like tribometers are  
 366 usually operated in laboratory rooms where temperature is controlled well enough (20°C to 30°C typically) to  
 367 neglect temperature effects.

#### 368 4. Discussion

##### 369 4.1. Statistical analysis of results

370 For the sake of statistical analysis, each test has been accounted for through two statistical parameters which  
 371 describe the friction curve appearance: the average ( $\bar{\mu}_{CoF}$ ) and standard deviation ( $CoF_{St.Dev.}$ ) of the  
 372 experimental CoF points of test. The overall statistics has been compiled by collecting these two parameters  
 373 from each test to calculate three representative quantities for each series of tests:

- 374 - The average of the test average values  $\bar{\mu}_{CoF}$  (herein referred to as AAV);
- 375 - The standard deviation of the test average values  $\bar{\mu}_{CoF}$  (herein referred to as St.DAV);
- 376 - The average of the test standard deviation values  $CoF_{St.Dev.}$  (herein referred to as ASt.DV).

377 Section “Discussion” will return to these statistical quantities to reveal the tribometer-to-tribometer variability  
 378 and the test-to-test variability for each condition.

379 Based on values listed in Table III, two types of variability of the results within each set of repetitions are  
 380 discussed below: tribometer-to-tribometer variability and test-to-test variability.

381 Details on the tribometer-to-tribometer variability of the CoF mean value can be deducted comparing the AAVs  
 382 in similar conditions by different instruments. Percentage-point differences are listed in Table IV and it is quite  
 383 evident that repeatability on different instruments is very good.

384 **Table IV.** Tribometer-to-tribometer variability

Representative quantity	MVo – rotating	MVo – linear	HVo – rotating	HVo – linear
AAV <sub>TRB</sub> - AAV <sub>TRB3</sub> [%]	3,5%	2%	6%	0.2%

385  
 386 The St.DAV has to be taken into account to evaluate the test-to-test variability on the same tribometer in equal  
 387 testing condition, see Table V. Values of the St.DAVs ranging from 0.65% to 3% of the corresponding AAVs  
 388 point out that the scattering is low for each testing condition, even though more than one sample are used

**Table V.** Test-to-test variability

Tribometer	Representative quantity	MVo – rotating	MVo – linear	HVo – rotating	HVo – linear
TRB	St.DAV / AAV [%]	1.85%	2.23%	1.45%	1.04%
TRB <sup>3</sup>		3.00%	0.84%	1.54%	0.65%

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391 . Much of this scattering is believed related to the gluing of the glass disco onto the stub and to the position  
 392 change of the two counterweights necessary to equilibrate the arm own weight. Since the entire experimental  
 393 campaign was carried out over several weeks, the counterweights position changed repeatedly, and a slightly  
 394 different position of the counterweights means a slightly different effective load applied on the contact. The  
 395 CoF is very low, thus even minimal variations are found to affect somewhat the test mean value. For the same  
 396 reason, a contribution coming from the tolerance of the arm balancing must be accounted for the difference  
 397 between the AAV obtained with various instruments.

398 The ASt.DV measures the average friction curves noise over a series of tests in same conditions. Table III shows  
 399 that a similar noise (i.e. the “thickness” of the friction curve itself) characterizes the curves measured in the  
 400 same test condition by the same instrument. A tiny noise variability may appear if the same test is repeated by  
 401 different instruments (tribometer-to-tribometer variability) and may also appear using different samples, but  
 402 measurements remain always consistent with one another. The thickness of friction curves seems to be an  
 403 instrument own characteristic, but further verification on many more tribometers will be necessary to validate  
 404 this conclusion. Linear tests have an ASt.DV that is one order of magnitude smaller than the corresponding  
 405 value of rotating tests with the same oil. Such a discrepancy is nevertheless more apparent than real: being linear  
 406 tests analyzed with a cycle-by-cycle averaging technique their ASt.DV suffer, in a way, double averaging.

#### 407 4.2. Reference testing procedure

408 It becomes clear that each test condition owns a characteristic mean value and, to some extent, a characteristic  
 409 standard deviation value of the friction coefficient; both disclose little to no affecteion by the instrument used  
 410 to run the test. This value is herein referred to as the ‘Characteristic AAV’ and calculated by further averaging  
 411 the corresponding AAVs by the two instruments (see Table III). The characteristic AAV is reported in Table  
 412 VI along with the other global statistical quantities calculated similarly.

**Table VI.** Global values

Lubricant	Test configuration	Characteristic AAV	Global St.DAV	Global ASt.DV
MVo (2N)	Rotating	0.0744	0.0018	0.0026
	Linear reciprocating	0.0708	0.0011	0.0003
HVo (30N)	Rotating	0.0735	0.0011	0.0024
	Linear reciprocating	0.0767	0.0006	0.0002

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415 On the basis of these data, reference ranges of acceptability for the CoF are proposed for each testing condition  
 416 and the corresponding limiting values are displayed in Table VII. Reference ranges of acceptability involve  
 417 both the CoF test average and its test standard deviation. These ranges of acceptability for the CoF average are  
 418 determined as follows:

$$419 \quad \left\{ \begin{array}{l} (Characteristic AAV + 3 \cdot Global St. DAV) < \bar{CoF} < (Characteristic AAV - 3 \cdot Global St. DAV) \\ CoF_{St.Dev.} < Max(St. Dev) \end{array} \right.$$

420 where the Max(St.Dev.) is equal to the maximum standard deviation value recorded during each series of tests  
 421 in this study.

422

423 Any user disposes of two parameters to assess the proper functioning of its own tribometer: the average CoF  
 424 value of the reference test must remain into the prescribed range and the standard deviation value of the same  
 425 test should not exceed the prescribed value.

426 These ranges of acceptability are proposed as a yardstick through which assessing whether a tribometer is  
 427 properly working with enough confidence. Ranges of acceptability are necessary to account for imperfect  
 428 reproducibility of test conditions and unavoidable production differences among the instruments, as already  
 429 addressed above in Par. 4.1. Whenever one of the two parameters do not meet the requirements, no further  
 430 specific instructions are provided by such procedure in the present release anyway, except that something is  
 431 going wrong with the tribometer. Features extraction from the monitoring parameter signal would be necessary  
 432 indeed to obtain complete information on potential damages of the instrument [3]; e.g. through a case study  
 433 based on known damages/malfunctions one could provide the atlas of correlations between the occurrence of  
 434 some damages/malfunctions and the output of the reference test. This further step has not been included yet in  
 435 this work. Nonetheless, this procedure is already able to perform a useful technical diagnosis. If the average  
 436 value is out of range and the test is performed correctly (no effect of contamination or dust, etc...) then a problem  
 437 of calibration of the instrument could be there, or a sensor failure or even the arm manufacturing fault (the  
 438 measuring arm stiffness is calibrated). On contrary, if the standard deviation is out of range, it could suggest  
 439 that abnormal vibrations due to either the spindle bearings, or the electric motor, or some other poorly fixed  
 440 component is arising; or even that an electrical disturbance problem due to electronic cards may distort the  
 441 measured CoF signal.

442 **Table VII.** Ranges of acceptability

Lubricant	Test configuration	Acceptable range for the CoF average value		Acceptable CoF standard deviation	
		Lower bound	Upper bound	Maximum value	
MVo	Rotating	0.069	0.080	TRB <sup>3</sup> :	0.0026
				TRB:	0.0052
	Linear reciprocating	0.068	0.074	TRB <sup>3</sup> :	0.0006
				TRB:	0.0005
HV0	Rotating	0.070	0.077	TRB <sup>3</sup> :	0.0032
				TRB:	0.0033
				TRB <sup>3</sup> :	0.0004

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444 **5 Checking the EHL contact condition**

445 The pin-on-disk set-up does not allow any direct film thickness measurements. In this specific case,  
446 interferometric measurements were impracticable because of the test rig layout and ECR analysis was  
447 impracticable because the tested materials are electrical insulating. However, there exist indirect evidence  
448 suggesting that the ball and the sample were separated by a continuous film of lubricant in all the tested  
449 conditions. Notably, no signs of wear on the ball and sample were visible under the optical microscope, and  
450 friction curves were very regular and smooth with a low CoF value.

451 The precise determination of the lubrication regime is not the primary objective of this paper. The friction  
452 coefficient is the star in this paper indeed, not the lubricant film thickness, and its repeatability is the core feature  
453 of the proposed test condition. With that in mind this section has been introduced just in support of the above  
454 experimental evidence, so as to strengthen the plausibility of the no-wear regime (as microscope inspection  
455 suggests) and focus the correlation between lack of visible wear and CoF repeatability in tribological testing.  
456 Some of the currently available empirical formulae to predict the lubricant film thickness were applied blindly,  
457 according to the task they have been developed for. The film thickness and the corresponding value of the  
458 roughness factor  $\Lambda^{[2]}$  (or lambda ratio) is computed for the tests in rotating mode only. The lambda ratio has no  
459 unified definition: someone defines it as the ratio of the central (or average) film thickness to the composite  
460 roughness, like Tallian et al. [36] and Poon et al. [37], others refer to minimum film thickness to be more  
461 conservative, like Stachowiack et al. [33] and Hamrock et al. [35], others do even make it clear, for instance  
462 Bair and Winer [38]. For the sake of precaution, the minimum film thickness at the exit region of the contact is  
463 taken into account here.

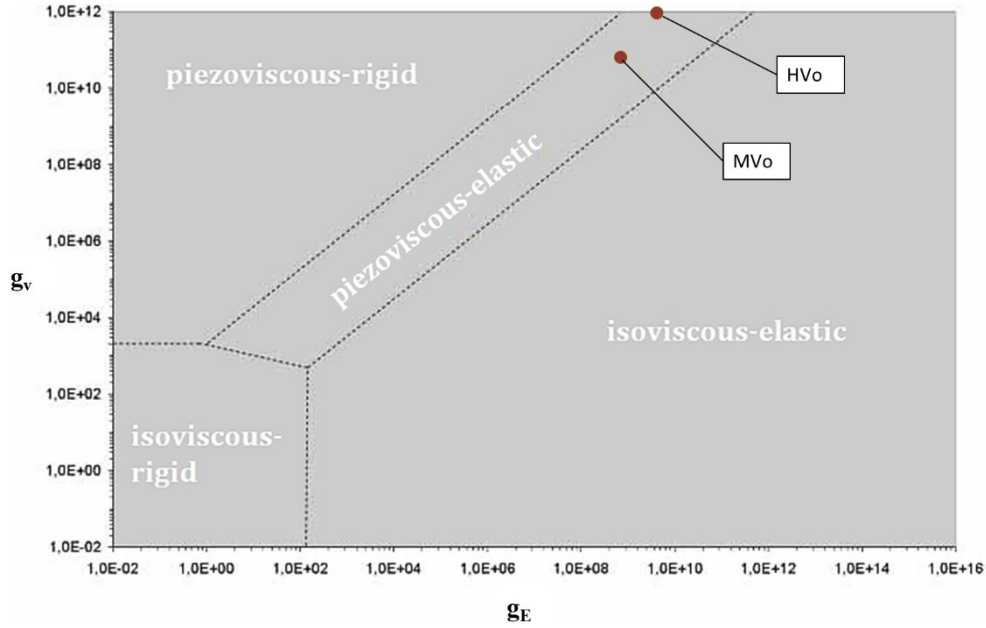
464 The output of three empirical formulae are compared: the popular equation by Hamrock and Dowson [6], one  
465 model developed specifically for EHD sliding contacts by Wilson and Shew [39] and the general equation  
466 proposed by Masjedi and Khonsari [40]. Strictly speaking the film thickness theory by Hamrock and Dowson  
467 was originally developed for nominal pure rolling contacts; though some authors in the scientific literature state  
468 that it may also apply to slide-roll conditions [41],[42]. Wheeler et al. [42] represented on a M-L plane the  
469 validity range of several empirical equations by a number of authors, M' and 'L' being the Moes' parameters  
470 [43]. According to this map, Masjedi and Khonsari formula better describes the testing conditions explored in  
471 this study. The corresponding Moes parameters are L=9.47, M=1219.9 for the MVo and L=18.7, M=2377.2 for  
472 the HVo tests. The reader can verify that these values identify two points laying into the Masjedi and Khonsari  
473 equation domain into the diagram reported in [42].

474 Table VIII summarizes the values of the physical properties and geometrical parameters having a role in the  
475 film thickness equations. Non-dimensional parameters 'U', 'W' and 'G' are computed according to Hamrock  
476 and Dowson and 'C' is the thermal-sliding correction factor introduced by Wilson and Shew [39]. The 'C'

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<sup>2</sup> The roughness factor is classically defined as:  $\Lambda = h_{min} / \sqrt{R_{q,1}^2 + R_{q,2}^2}$  where  $h_{min}$  is the minimum lubricant film thickness and  $R_{q,1}$ ,  $R_{q,2}$  are the root-mean-square values of the mating surfaces roughness.

477 factor takes into account the inlet shear heating effect and is basically used as pre-multiplying factor for the  
 478 Hamrock and Dowson equation. The ‘C’ factor is function of the SRR and the thermal loading parameter ‘ $L_t$ ’  
 479 which takes into account the temperature-viscosity effect and the thermal conductivity of the lubricant [39].  
 480 Figure 13 represents the Hamrock-Dowson’s chart of the EHD operating conditions for lubricated rolling-  
 481 sliding point contacts [33]. It ensures that the lubricated interface is subjected to the piezoviscous-elastic  
 482 behavior with both the MVo and the HVo, which is the range of applicability for the above formulae.  $G_v$  and  
 483  $G_E$  are the dimensionless Hamrock’s viscosity and elasticity parameters.



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 485 **Figure 13.** Hamrock-Dowson’s chart of EHD operating conditions highlighting the points corresponding to the tests  
 486 with the MVo and HVo.

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 488 **Table VIII.** Summary of the physical and geometrical parameters

Common parameters		Specific parameters	MVo		HVo	
			Rotating	Linear	Rotating	Linear
$R_d$ [m]	0.003	$v_d$ [m/s]	0.0628	0.0189	0.0942	
$R_b$ [m]	$\infty$	$v_b$ [m/s]	0.0000	0.0000	0.0000	0.0000
$k$ <sup>[1]</sup>	1	$\bar{U}$ [m/s] <sup>[1]</sup>	0.0314	0.0094	0.0471	
$R'$ [m] <sup>[1]</sup>	0.0015	$F_N$ [N]	2	2	30	30
$E'$ [GPa] <sup>[1]</sup>	129	$\eta_0$ [cP]	105.2		621.1	
SRR	2	$\alpha$ [GPa <sup>-1</sup> ]	30.5		34.8	
		$\alpha_T$ [K <sup>-1</sup> ] <sup>[2]</sup>	3.66		2.74	
		$h$ [Wm <sup>-1</sup> K <sup>-1</sup> ] <sup>[3]</sup>	0.18		0.31	

489 <sup>1</sup> Entrainment speed calculated according to Hamrock et al. [44].

490 <sup>2</sup> Here assumed equal to the “ASTM slope” [34] from Walther formula.

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<sup>3</sup> Thermal conductivity for the HVo has been calculated according to the formula proposed by Larsson and Andersson [45] for PAO. Being the chemical composition of the MVo confidential, a typical value for light oils has been taken into account for the calculations.

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Table IX lists the forecast provided by each equation. Since sliding speed was handled as an almost free parameter, which varies in the narrow range corresponding to the track radius variations defined in Table II, the forecasts in Table IX were calculated for the mid-range linear speed, i.e. at 5.5mm track radius.

**Table IX.** Predicted values of the roughness factor (rotating mode only)

Oil Type	Equation	$p_{Hz}$ (max) [GPa]	U	W	G	C	$L_t$	Calculated $h_{min}$ [nm]	$\Lambda$
MVo	Hamrock and Dowson [6]					-	-	17.6	1.22
	Wilson and Shew [39]	0.564	$1.72 \cdot 10^{-11}$	$6.92 \cdot 10^{-6}$	$3.91 \cdot 10^3$	0.93	0.00	10.7	0.75
	Masjedi and Khonsari [40]					-	-	17.3	1.20
HVo	Hamrock and Dowson [6]					-	-	68.0	4.71
	Wilson and Shew [39]	1.391	$1.52 \cdot 10^{-10}$	$1.04 \cdot 10^{-4}$	$4.47 \cdot 10^3$	0.81	0.01	43.3	3.00
	Masjedi and Khonsari [40]					-	-	69.8	4.84

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Hamrock and Dowson equation and Masjedi and Khonsari equation give similar outputs. Both predict  $\Lambda$  close to unity for the MVo and greater than 4 for the HVo. By the way, the Hamrock and Dowson formula for minimum film thickness is known to be conservative as predicts film thickness slightly lower than measured [33]. Wilson and Shew's formula is the worst-case scenario as it considers the diffused shear thinning effect due to sliding. This model allows for shear stress to produce heat into the nanometric lubricant film; heat is responsible for the loss of viscosity and load-bearing capacity with reduces the supporting lubricating film, as a consequence. According to this model, if  $L_t$  is lower than 0.1 then thermal effects are usually said to be negligible [39] and the lubricant behaviour should not differ very much from that in pure rolling conditions. Nonetheless, this approach likely reveals too conservative for the scenario under consideration. In this work the spindle speed was kept as low as possible primarily to avoid any contribution from the tribometer dynamics. This contributed also to keeping  $L_t$  at low values, i.e. thermal effects should be limited, especially when viscosity is low. Despite the  $L_t$  factor takes a value much lower than 0.1 a non-negligible 10% to 20% reduction of the Lambda ration appears in all the cases (see 'C' factor values in Table IX). Identification of  $\alpha$  and  $k$  in  $L_t$  may have played a role since no experimental values are available for these quantities (see Table VIII). In the opinion of the authors, however, although a 10% or 20% is not a negligible difference, the the lubrication regime foreseen by Wilson and Shew's formula does not differ significantly compared to the other formula. The predicted conditions for the MVo are always a limiting full-film lubrication (or an extremely smooth mixed lubrication) with  $\Lambda$  about 1, no matter what the equation used; similarly, complete full film lubrication is always expected with the HVo since  $\Lambda$  is always equal or greater than 3, no matter what the equation used.

520 The lambda ratio is a very easy-to-use parameter to correlate the film thickness to lubrication regimes and  
521 friction. Its use among engineers and researchers is persistent, evidence that a vast need for such a simple tool  
522 there exists for estimating the state of lubrication in industrial problems such as in machine components design.  
523 However, it has many limitations and some authors in the scientific literature have agreed over the years that  
524 establishing a relation between the film thickness and the initial (nominal) surface roughness is not sufficient  
525 to foresee precisely the lubrication state and the related level of friction [46], [47], [48]. In the past years  
526 various authors have plotted experimental Stribeck curves (or Stribeck-like curves) against the roughness factor  
527 proposing a number of threshold values for  $\Lambda$  setting the onset of mixed and full-film lubrication; among the  
528 others it is worth to cite Tallian et al. [36], Poon et al. [37] and Bair and Winer [38]. This is mainly due to the  
529 fact that roughness related effects in EHL problems are scale dependent and operating conditions dependent  
530 (see [48], [46] and [35]), meaning that limiting values of  $\Lambda$ , if any, are themselves virtually function of  
531 roughness, lubricant properties and operating conditions.

532 For this reason, setting  $\Lambda$  limiting values of general validity is hardly feasible. Generally speaking, mixed  
533 lubrication is observed when  $1 < \Lambda < 5$  and full-film EHL when  $\Lambda > 3$  [33] (the reader should notice that the ranges  
534 overlap). In the range  $1 < \Lambda < 3$  mixed lubrication often comes down to some glazing of the surface; asperities  
535 flatten out either elastically or plastically under extreme pressure and effective body separation exists even if  
536 estimated lubricating quality still suggested vast contact interference [16] (micro-EHL' occurs [35]). This  
537 explains why a lot of machine elements operate with little apparent damages close to  $\Lambda=1$  where surface  
538 distresses should prevail [35]. This same motivation could explain why no wear appears in tests with the MVo  
539 despite the estimated lubricant film is of the same order of magnitude of roughness.

540 Moreover,  $E'$  in Table VIII accounts for the Young modulus of ruby (sphere) and bulk glass (disc) only. Any  
541 contribution to the local deformation coming from the glue beneath the disc has been overlooked; glues have  
542 elastic moduli of few GPa. Being the glass disk very thin, the equivalent surface compliance of the flat counter  
543 body could be higher than what is considered in calculations. Lastly, the roughness factor has been calculated  
544 with respect to the minimum film thickness value, which is conservative. The minimum film thickness  
545 characterizes the two lateral necking structures in the contact outlet region, representing a very tiny portion of  
546 the whole contact though. Even though rubbing of the bodies were assumed, asperities interaction would be  
547 restricted to this tiny portion of the contact. Most of the contact is supported in the central flat region in fact,  
548 where lubricant thickness is expected to be larger (1 to 3 times larger than minimum film thickness, at least  
549 under isothermal Newtonian lubrication conditions [49]).

550 To the best of the authors knowledge, no empirical equations have been developed to predict the film thickness  
551 of sliding EHD point contacts in linear reciprocating mode. Two attempts were made by Petrousevitch et al.  
552 [50] and Hook [51], but for nominal line contact only (e.g. cylinder-cylinder contact). Previsions from formulae  
553 for stationary conditions cannot be fully trusted as they are not able to properly describe the interface condition  
554 along the whole stroke. They might roughly represent what happens at the stroke mid-point, where the kinematic  
555 approaches stationary conditions, but they would predict a null film thickness at both the stroke ends where  
556 speed is nominally zero. According to Nishikawa et al. [21] fluid-dynamic effects assure non-null film thickness  
557 even under motion direction reversal.

## 558 **6. Conclusions**

559 In this paper two industrial reference testing conditions were developed on two Anton Paar pin-on-disc  
560 tribometers for two testing mode: unidirectional rotating and linear reciprocating mode. Two commercial oils  
561 were compared, a middle viscosity oil (MVo) and a high viscosity oil (HVo). A special lubricated contact with  
562 no lubricant bath was tested where a little amount of lubricating oil was added on the top of the sample before  
563 each test. Despite the absence of any oil bath, the lubricant surface tension has proven high enough to retain the  
564 oil in the contact area, preventing the transition from full-film lubrication to starved lubrication with sufficient  
565 confidence. This set-up was selected as it perfectly meets some key features required for reference tests suitable  
566 to industrial applications: it minimizes cleaning issues and the related waste of time; it lowers the risk of  
567 lubricant contamination and avoids material waste. The result is a process easy to prepare and carry out, cheap  
568 and potentially fit for the application on any tribometer whatsoever.

569 As to the oil quality, the HVo look a priori the best choice in terms of performance within the scope of this  
570 application, as its higher pressure-viscosity coefficient ensures a stronger supporting effect and larger calculated  
571 lubricant film. Available formulae for film thickness allowed indeed to estimate the lubrication regime for the  
572 testing conditions in rotating mode to a first approximation; based on available data, full-film lubrication is to  
573 be expected for the HVo and a very gentle mixed lubrication for the MVo where separation of the rubbing  
574 bodies still exists. Nevertheless, the use of the MVo has still many advantages. Since perfect cleaning is of  
575 paramount importance, the degreasing procedure after each test is particularly laborious even if small amounts  
576 of the HVo are used. Acetone and common gasoline, which are normally aggressive enough to dissolve the  
577 MVo, usually fail and specific strong spray solvents must be used in the interest of saving time. Therefore, the  
578 MVo is still preferable for verification tests at low contact load, due to the ease of applying and removing the  
579 lubricant, keeping the equipment clean and, consequently, lessening the environmental impact.

580 All the test runs provided exceptionally stable and repeatable results, as discussed earlier in this paper, but an  
581 extensive investigation on a number of tribometers is necessary in the future anyway to understand to what  
582 extent these values are actually reliable. Moreover, all these significant results were attained with no strict  
583 requirements either in terms of speed, which is the most influencing parameter over the EHL regimes, or in  
584 terms of geometrical repeatability of samples. Therefore, the proposed method may apply to the technical  
585 diagnosis of tribometers by letting users make, at least, a preliminary assessment on the proper functioning of  
586 the instrument itself.

587 This paper is intended as the first step of a more comprehensive investigation which would need many more  
588 pin-on-disk tribometers of a number of manufacturers. A case study on the effectiveness of this procedure in  
589 highlighting an existing malfunction would be meaningful, e.g. by reproducing known typical troubles and  
590 malfunctions then recording the output of the same reference test.

591 If these further observations would disclose promising results, the outlined procedures could be proposed to  
592 become part of an international standard and adopted by those industrial laboratories which require regular  
593 examinations of their tribometers. It may be also an effective verification method for inter-laboratory cross  
594 studies, before which a common sample should be tested to make sure the instruments all provide consistent  
595 measurements.

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600 **Appendix A – Instruments Specifications**

601 Instruments specifications are presented in Table A1 in compliance with manufacturers technical specifications.

602

603 **Table A1.** Technical specification of the two Anton Paar Tribometers

<b>Machine specifications</b>	<b>TRB<sup>3</sup></b>	<b>TRB</b>
Normal load (dead weight/s)	0.25N to 60 N	0.25 to 60 N
Friction force	up to 20 N	up to 20 N
Friction force resolution	0.06 mN	0.06 mN
Rotation speed	0.2 - 2000 rpm	0.3 – 1500 rpm
Rotation speed resolution	0.0001 rpm	0.0001 rpm
Linear frequency	0.01 - 10 Hz	0.01 - 10 Hz
Linear stroke	up to 60 mm	up to 60 mm
Linear stroke resolution	2 mm	2 mm
Sample diameter	up to Ø 56 mm	up to Ø 60 mm
Radial position (radius)	up to 40 mm	up to 40 mm
Radial position resolution	0.05 mm	0.05 mm
Angular position resolution	0.1°	0.1°
Relative Humidity	0% to 99% (integrated)	15% to 99% (external)
Relative humidity resolution	0.01%	0.8%
Temperature	-45° to 125°C (integrated)	-100° to 200°C (external)
Temperature sensor resolution	0.015 °C	0.1 °C

604

605 **Appendix B – Lubricants technical data**

606 Table B1 provides the data about viscosity and other physical properties for the two lubricating oils used in this  
 607 experimental work. Data correspond to certified values provided in technical sheets by certified laboratory.

608 **Table B1.** Physical properties of lubricating oils

<b>OILS</b>	<b>Property</b>	<b>Test method</b>	<b>Value</b>
Middle Viscosity oil (MVo)	Density @15 °C [kg/dm <sup>3</sup> ]	ASTM D1480	0.861-0.862
	Viscosity [cSt] @40°C	ASTM D445	57

	Viscosity [cSt] @100°C		7.6
	Viscosity Index	ASTM D2270	101
	Flash Point [°C]	ASTM D92	225
	Pour Point [°C]	ASTM D97	-15
High Viscosity oil (HVo)	Density @25 °C [kg/dm <sup>3</sup> ]	ASTM D1480	0.844
	Density @40 °C [kg/dm <sup>3</sup> ]		0.835
	Density @100 °C [kg/dm <sup>3</sup> ]		0.799
	Viscosity [cSt] @25°C	ASTM D2162	1008.0
	Viscosity [cSt] @40°C		421.0
	Viscosity [cSt] @100°C		41.4
	Viscosity Index	ASTM D2270	123
	Flash Point [°C]	ASTM D92	>93

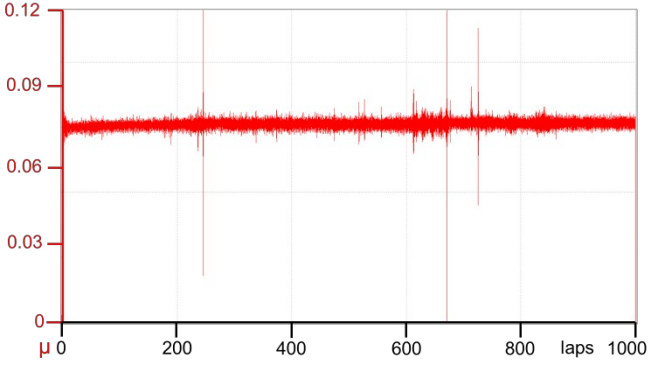
609

610 **Appendix C – Atlas of rejected tests**

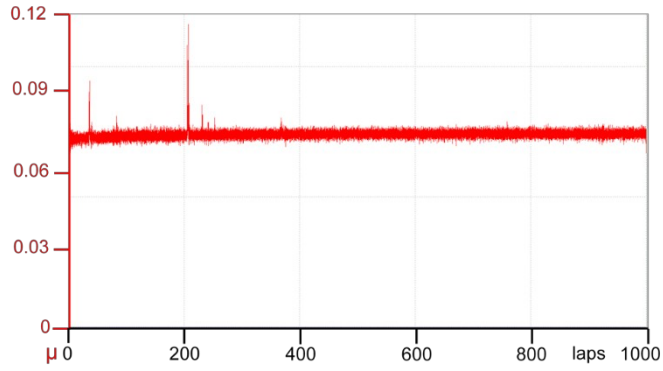
611 Table C1 collects some examples of discarded tests in unidirectional rotating mode related to identified causes.

612 Table C2 is the corresponding table for linear reciprocating tests.

613 **Table C1.** Atlas of rejected tests in unidirectional rotating mode tests

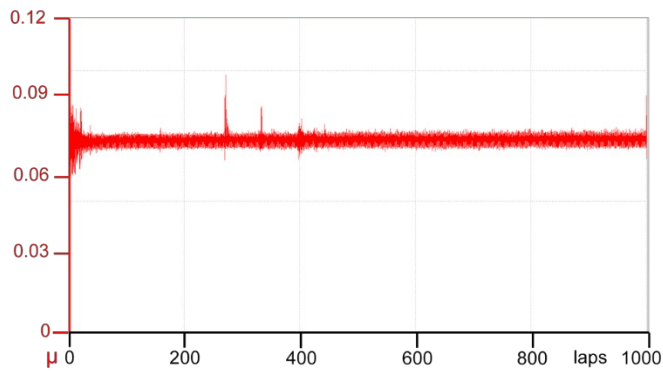
Category	Curve appearance	Possible disturbances sources
Better to discard		Minor vibration impact e.g. slight touch on the monitor or table

Better to  
discard



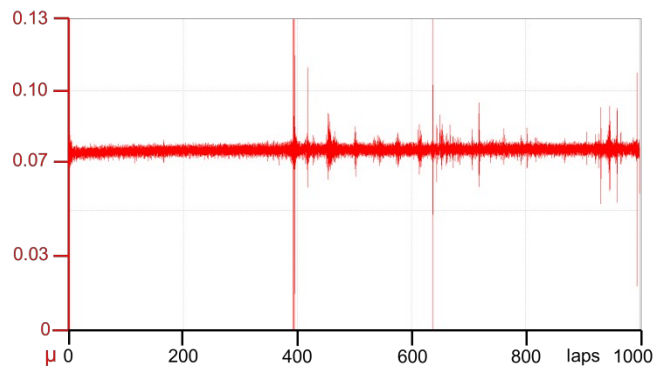
Small fibers in  
the oil.  
Dust on sample  
surface or in the  
oil;

Better to  
discard



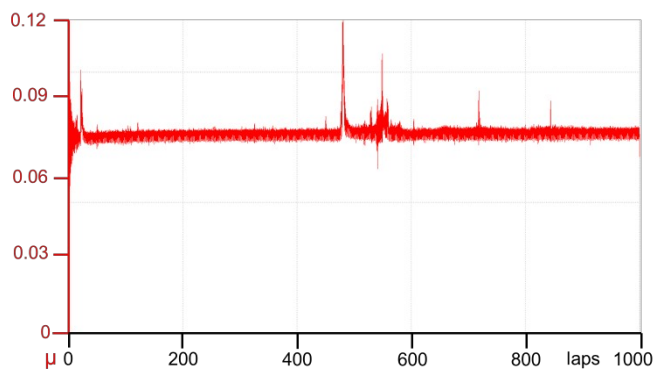
Small fibers in  
the oil.  
Dust on sample  
surface or in the  
oil;

Discard

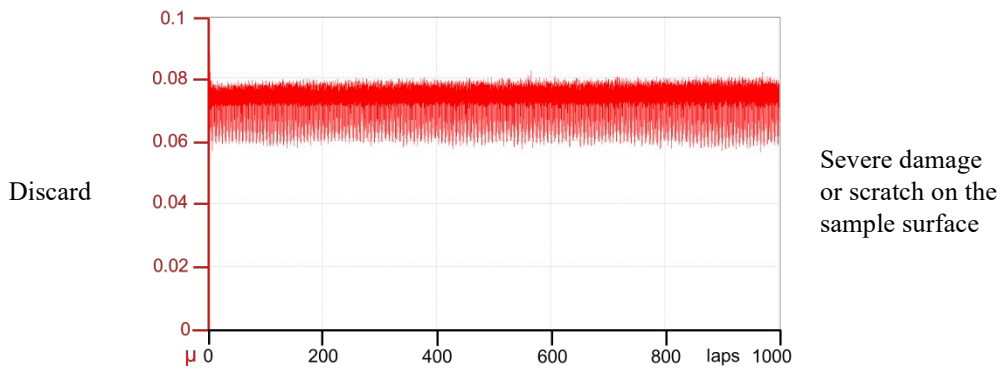
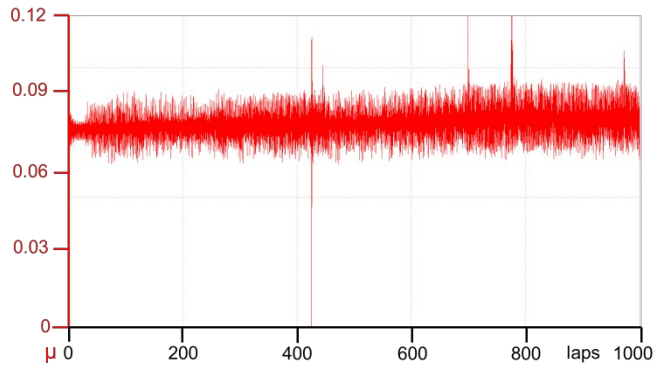
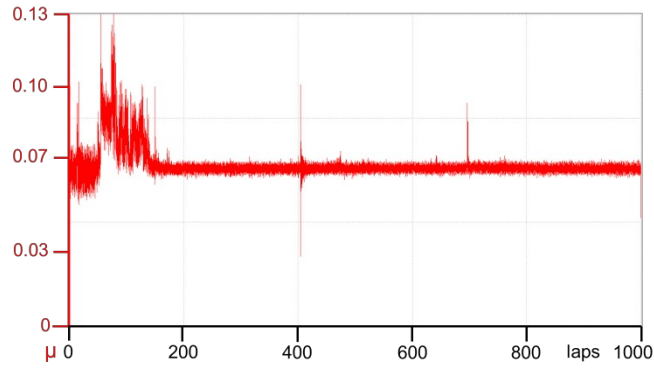


Major vibration  
impact e.g.  
passage of a  
heavy forklift  
nearby the  
instrument

Discard



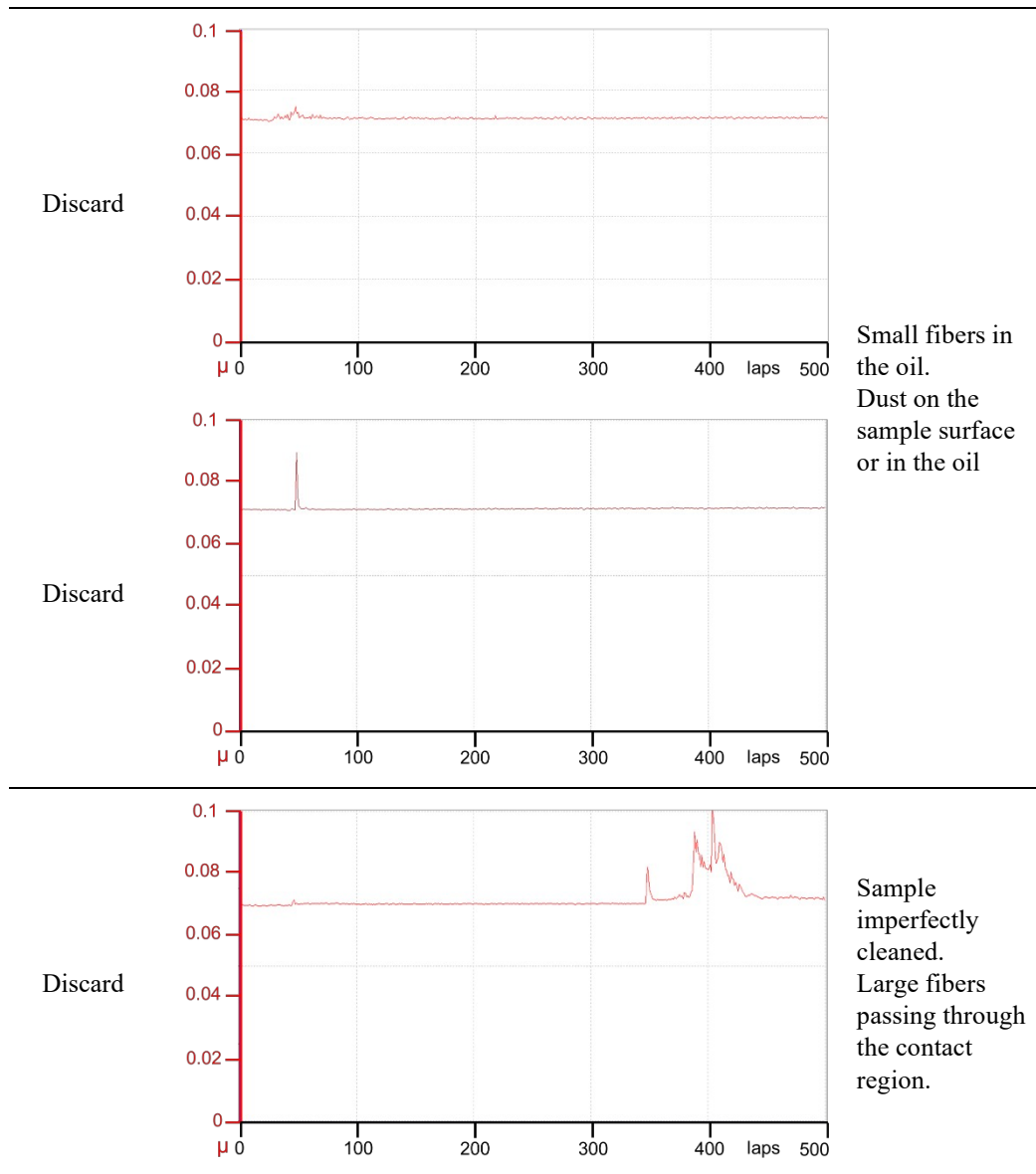
Trace of oil left  
on the surfaces.  
Large fibers laid  
on the surfaces;



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Table C1. Atlas of rejected tests in linear reciprocating mode tests.

Category	Curve appearance	Possible disturbance sources
Better to discard		Small fibers in the oil. Dust on sample surface or in the oil



617

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