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## SEISMIC RELIABILITY-BASED DESIGN OF SOFTENING STRUCTURES EQUIPPED WITH DOUBLE SLIDING DEVICES

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### Abstract

*This study deals with seismic reliability-based design (SRBD) relationships in terms of behavior factors and displacement demands for softening structures equipped with double friction pendulum system (DFPS) bearings. An equivalent 3dof system having a softening post-yield slope is adopted to describe the superstructure behavior, whereas velocity-dependent laws are assumed to model the responses of the two surfaces of the DFPS. The yielding characteristics of the superstructures are defined for increasing behavior factors in compliance with the seismic hazard of L'Aquila site (Italy) and with NTC18 assuming a lifetime of 50 years. Considering several natural seismic records and building properties under the hypothesis of modelling the friction coefficients of the two surfaces of the DFPS as random variables, incremental dynamic analyses are performed to evaluate the seismic fragility and the seismic reliability of these systems. Finally, seismic reliability is assessed and seismic reliability-based design (SRBD) curves for the two surfaces of the double sliding devices are described.*

**Keywords:** behavior factor, ductility demand, friction pendulum bearing, post-yield softening stiffness, seismic isolation, seismic reliability.

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## 1 INTRODUCTION

A very effective technique for the seismic isolation [1] of building frames and infrastructure is represented by the sliding pendulum bearings [2]-[3] examined by several literature studies (e.g., [4]-[7]). Probabilistic analyses and reliability-based analyses have also been presented by [8]-[9] as well as reliability analysis and reliability-based optimization of base-isolated systems including the main uncertainties have been performed by [10]-[14]. A non-dimensionalization of the motion equations governing the dynamic response of equivalent two-degree-of-freedom (2dof) models equipped with friction pendulum system (FPS) isolators has been proposed by [15]. In the hypothesis that the friction coefficient and the earthquake main characteristics are the relevant random variables, seismic reliability analyses of a 3D base-isolated r.c. system have been developed in Castaldo et al. [16] and Palazzo et al. [17] to propose a method useful to design the isolator dimensions in plan. The life-cycle cost analysis (LCCA) of a r.c. 3D structure isolated by FPS bearings has been examined by [18] to evaluate the dependence on increasing isolation degrees. The approach for a seismic reliability-based design (SRBD) of elastic systems isolated by FPS has been generalized in Castaldo et al. [19] for a wide range of structural properties. A robustness analysis in reliability terms of a r.c. 3D building frame isolated by FPS devices is presented in [20] proposing the failure scenarios if a malfunction affects a seismic device together with the design solution. The literature studies of [21] and [22] proposed, respectively, the optimal values of the friction coefficient, on the one hand, as a function of the system properties and of the soil condition in order to minimize the superstructure response and, on the other, as a function of the ground motion characteristics by means of the ratio PGA/PGV (peak ground acceleration/velocity). In [23], a robust design optimization (RDO) of base isolation system considering random system parameters characterizing the structure, isolator and ground motion model, is performed by minimizing the weighted sum of the expected value of the maximum root mean square acceleration of the structure as well its standard deviation. In [24], an optimal design of frictional devices is proposed by applying a Pareto-type optimization approach.

The seismic performance of bridges or structures isolated with FPS or DFPS has been investigated in [25]-[31]. Specifically, [28]-[30] provide useful relationships, according also to experimental results, for the evaluation of the seismic response of structures isolated by DFPS together with the equations governing the dynamic behaviour of these devices. The principal benefit of the DFPS bearing is its capacity to accommodate substantially larger displacements compared to a traditional FP bearing of identical plan dimensions as discussed in [28]. In [26] and [31], the seismic performance of isolated bridge and liquid storage tanks are respectively investigated, considering different combinations of radii of curvature and friction coefficients.

As for the design of base-isolated systems under strong earthquake events, seismic code provisions [32]-[36] are based on low values of the strength reduction factor [32]-[36] or behavior factor [33]-[34] to ensure a safety level against the non linear dynamic amplification phenomenon (partial resonance) [37]. Precisely, NTC18 [34], Eurocode 8 [33] and the Japanese building code [35] provide a maximum behavior factor value of 1.5, without explicitly distinguishing between the ductility and overstrength factor terms, ASCE 7 [32] prescribes a value equal to 0.375 times the one for corresponding fixed-base systems and no larger than 2. In this context, Vassiliou et al. [38] obtained that the displacement ductility demand of the inelastic base-isolated structure is 3 times the strength reduction factor confirming that, for base-isolated structures, it is not possible to adopt the formulas relating the strength reduction factor  $R$  and the displacement ductility demand  $\mu$  of Newmark and Hall [39] and of Miranda and Bertero [40]. Then, seismic reliability-based relationships between the ductility-dependent strength reduction factors and the displacement ductility demand, respectively, for

equivalent perfectly elastoplastic and softening structural systems equipped with FPS depending on the structural properties have been proposed in [41],[42].

Inspired by [41],[42], this study proposes reliability-based design regressions relating the behavior factors and the displacement ductility demands for softening structural systems (sensitive or not sensitive to the  $P-\Delta$  effects) equipped with double friction pendulum system (DFPS) devices and considering a high seismic hazard site like L'Aquila (Italy). By means of an equivalent 3dof system, different elastic and inelastic structural system properties are investigated. Specifically, the yielding characteristics of the softening superstructures are designed in compliance with the life safety limit state and with the seismic hazard of L'Aquila site (Italy) assuming a lifetime of 50 years and increasing behavior factors [32]-[35]. The model developed by [4] is used to describe the non-linear velocity-dependent behavior of the two surfaces of the DFPS. The study is also based on the hypothesis of assuming the both friction coefficients of the two surfaces of the DFPS and the characteristics of the records as the relevant random variables. In detail, appropriate Gaussian probability density functions (PDFs) are adopted to characterize the aleatory uncertainties of the both sliding friction coefficients and, by means of the Latin Hypercube Sampling (LHS) method [43]-[45], the input data have been generated.

Then, several incremental dynamic analyses (IDAs) are performed for increasing seismic intensity levels in compliance with the site seismic hazard to derive the seismic fragility curves related to the different degrees of freedom of the equivalent (3dof) system. Finally, by means of the convolution integral between the fragility curves and the seismic hazard curves of L'Aquila site (Italy), in the hypothesis of a design life of 50 years for the equivalent base-isolated systems, the corresponding reliability curves are derived.

## 2 EQUATIONS OF MOTION FOR NON-LINEAR SOFTENING STRUCTURAL SYSTEMS WITH DOUBLE CONCAVE SLIDING BEARINGS

The equivalent model, herein employed and depicted in Fig. 1, is a 3dof system with a dof representative of the superstructure behaviour and two dofs representative of the responses of the two surfaces of the DFPS. The model takes into account the inelastic softening response of the superstructure and non-linear behaviours of the two surfaces of the DFPS [28].

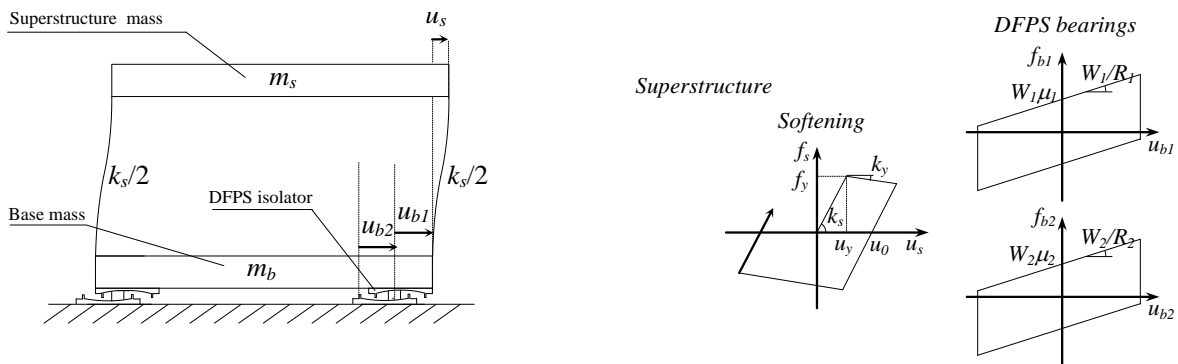


Figure1: 3dof model of an inelastic softening building frame isolated with DFPS.

Regarding the free body diagram of the DFPS, the bearing restoring force, considering only the horizontal component of the displacement on each surface, is:

$$\begin{aligned}
 f_{b,1} &= \frac{W_1}{R_1} u_{b,1} + \mu_{d,1} W_1 \operatorname{sgn}(\dot{u}_{b,1}) \\
 f_{b,2} &= \frac{W_2}{R_2} u_{b,2} + \mu_{d,2} W_2 \operatorname{sgn}(\dot{u}_{b,2})
 \end{aligned} \tag{1}$$

where  $W_1 = (m_b + m_s)g$  is the weight on the upper surface (surface 1) of the bearing,  $W_2 = (m_b + m_s + m_d)g$  is the weight on the lower surface (surface 2) of the bearing,  $g$  is the gravity constant,  $R_1$  and  $R_2$  are the radii of curvature of the two surfaces of the device,  $u_{b,1}$  denotes the displacement of the surface 1 with respect to the slider,  $u_{b,2}$  represents the slider displacement with respect to the ground as well as  $\mu_{d,1}$  and  $\mu_{d,2}$  are the sliding friction coefficients of the two surfaces and  $\operatorname{sgn}$  is the signum function of the sliding velocity for each surface. In this study, the upper surface (surface 1) is characterized by higher values of the friction coefficient and of the radius of curvature. Specifically,  $\mu_{d,1}$  is selected as  $4\mu_{d,2}$  and  $R_1 = 2R_2$  [28]-[31]. The force of the bearing coincides with the force of each surface response  $f_b = f_{b,1} = f_{b,2}$ . For each surface, the friction coefficient is given as a function of the sliding velocity [4]-[6]:

$$\mu_{d,i} = f_{\max,i} - (f_{\max,i} - f_{\min,i}) \exp(-\alpha \dot{u}_{b,i}) \quad \text{for } i = 1, 2 \tag{2}$$

where  $f_{\max,i}$  and  $f_{\min,i}$  are, respectively, the friction coefficients at high and very low sliding velocities of the  $i$ -th surface,  $\alpha$  is a constant set equal to 30 as well as the ratio  $f_{\max,i} / f_{\min,i}$  equal to 3 for each surface [15]-[21],[41].

A bilinear constitutive law describes the inelastic softening behaviour of the superstructure, which responds in elastic phase if Eqn.(3) is satisfied and the restoring force  $f_{s,i}$  is expressed by Eqn.(4):

$$|u_{s,i} - u_{0,i-1}| < y(u_{s,i}) \tag{3}$$

$$f_{s,i}(u_{s,i}) = k_s (u_{s,i} - u_{0,i-1}) \tag{4}$$

where  $f_{s,i}$  is the restoring force at time instant  $i$ ,  $u_{s,i}$  is the superstructure deformation at the same instant,  $u_{0,i-1}$  is the maximum plastic excursion at time instant  $(i-1)$  and  $k_s$  is the elastic stiffness of the superstructure. The function  $y(u_{s,i})$  is the yielding condition in function of the displacement and is non-univocally defined due to the translation of the elastic domain [46]. Defining  $u_y$  as the yield displacement, whose yield force is  $f_y$ ,  $S$  denotes the ratio between the softening post-yield and the elastic stiffness [47]-[48], evaluated as:

$$S = \frac{k_y}{k_s} \tag{5}$$

The superstructure response is plastic if Eqn.(6) is satisfied and the restoring force applies according to Eqn.(7):

$$|u_{s,i} - u_{0,i-1}| \geq y(u_{s,i}) \tag{6}$$

$$f_{s,i}(u_s) = k_s (u_{s,i} - y(u_{s,i})) \operatorname{sgn}(u_{s,i} - u_{0,i-1}) \tag{7}$$

Therefore, the equations which describe the response of an inelastic 3dof system, isolated by DFPS devices, to the seismic input  $\ddot{u}_g(t)$ , without any viscous property for the DFPS, are:

$$\begin{aligned}
 m_s \ddot{u}_s + (m_s + m_b) \ddot{u}_{b,1} + (m_s + m_b + m_d) \ddot{u}_{b,2} + \frac{W_2}{R_2} u_{b,2} + W_2 \mu_2 \operatorname{sgn}(\dot{u}_{b,2}) &= -(m_s + m_b + m_d) \ddot{u}_g \\
 m_s \ddot{u}_s + (m_s + m_b) \ddot{u}_{b,1} + (m_s + m_b) \ddot{u}_{b,2} + (m_s + m_b) \frac{g}{R_1} u_{b,1} + (m_s + m_b) g \mu_1 \operatorname{sgn}(\dot{u}_{b,1}) &= -(m_s + m_b) \ddot{u}_g \\
 m_s \ddot{u}_s + m_s \ddot{u}_{b,1} + m_s \ddot{u}_{b,2} + c_s \dot{u}_s + f_{s,i}(u_s) &= -m_s \ddot{u}_g
 \end{aligned} \tag{8}$$

where  $m_s$ ,  $m_b$  and  $m_d$  are respectively the mass of the superstructure, of the isolation level and of the slider,  $c_s$  is the viscous damping factor of the superstructure. Dividing Eqn.(8a) by  $m_s + m_b + m_d$  as well as Eqn.(8b) by  $m_b + m_s$  and Eqn.(8c) by  $m_s$ , defining the mass ratios as  $\gamma_s = m_s / (m_s + m_b + m_d)$ ,  $\gamma_b = m_b / (m_s + m_b + m_d)$  and  $\gamma_d = m_d / (m_s + m_b + m_d)$  [49], the isolation  $\omega_{b,i} = \sqrt{g/R_i}$  and structural  $\omega_s = \sqrt{k_s/m_s}$  circular frequency, the structural damping ratio  $\xi_s = c_s / 2m_s \omega_s$ , the non-dimensional equations apply:

$$\begin{aligned}
 \gamma_s \ddot{u}_s + (\gamma_s + \gamma_b) \ddot{u}_{b,1} + \ddot{u}_{b,2} + \omega_{b,2}^2 u_{b,2} + g \mu_2 \operatorname{sgn}(\dot{u}_{b,2}) &= -\ddot{u}_g \\
 \gamma_s \ddot{u}_s + (\gamma_s + \gamma_b) \ddot{u}_{b,1} + (\gamma_s + \gamma_b) \ddot{u}_{b,2} + (\gamma_s + \gamma_b) \omega_{b,1}^2 u_{b,1} + (\gamma_s + \gamma_b) g \mu_1 \operatorname{sgn}(\dot{u}_{b,1}) &= -(\gamma_s + \gamma_b) \ddot{u}_g \\
 \ddot{u}_s + \ddot{u}_{b,1} + \ddot{u}_{b,2} + 2\omega_s \xi_s \dot{u}_s + a_s(u_s) &= -\ddot{u}_g
 \end{aligned} \tag{9}$$

where  $a_s(u_s) = f_s(u_s) / m_s$  is the dimensionless force of the superstructure that depends, respectively, on the stiffness  $k_s$  in the elastic phase and on the yielding condition in the plastic phase. Note that the elastic isolation period of vibration varies if the sliding movement occurs along surface 1 or surface 2 or along the both surfaces simultaneously [30]. Specifically, if the sliding movement is developed along only a surface, the isolation period depends only on the radius of curvature of the spherical surface  $R_i$  (i.e., typically the radius of the surface with the lower friction coefficient) and the bearing behaves like a simple FPS [19], whereas when the both surfaces are involved, the isolation effective period applies [30]:

$$T_b = 2\pi \sqrt{\frac{R_1 + R_2}{g}} \tag{10}$$

The change of the vibration period shows the adaptive behavior to the seismic intensity that characterizes these devices [28]-[30]. It follows that the ratio between the variable isolation period and structural period of vibration, which defines the seismic isolation degree [52] cannot be a constant during an earthquake event. Moreover, when the both surfaces slide simultaneously the restoring force of the DFPS device can be evaluated as  $\mu_e W_1$  neglecting the mass of the slider [28], where  $\mu_e$  is the effective sliding coefficient given by:

$$\mu_e = \frac{\mu_{d,1} R_1 + \mu_{d,2} R_2}{R_1 + R_2} \tag{11}$$

## 2.1 Inelastic properties of the superstructure

The inelastic behavior of the superstructure is assumed as an equivalent single dof system [50]-[51] having a softening post-yield stiffness. The behavior factor,  $q$ , and displacement ductility,  $\mu$ , are defined, respectively, as:

$$q = \frac{f_{s,el}}{f_y} = \frac{u_{s,el}}{u_y} \quad (12)$$

$$\mu = \frac{u_{s,max}}{u_y} \quad (13)$$

where  $f_{s,el}$  and  $u_{s,el}$  are, respectively, the peak elastic response values required to the superstructure, whereas  $u_{s,max} = |u_s(t)|_{max}$  is the peak inelastic displacement during a ground motion.

For a softening systems (sensitive to the  $P-\Delta$  effects), the behaviour factor  $q$  represents only the ductility-dependent strength reduction factor due the absolute absence of the overstrength capacities. It follows that the parameter  $q$  is consistent with both the code provisions [32]-[36] assuming a unitary overstrength factor, and with the one discussed in [41],[42].

### 3 UNCERTAINTIES RELEVANT TO THE PERFORMANCE ASSESSMENT

For the seismic reliability assessment of a building frame, within the structural performance (SP) evaluation method [53]-[55], specific correlations between the SP levels [56] and appropriate exceeding probabilities during its design life [57]-[58] as well as the relevant (aleatory and/or epistemic) uncertainties with the corresponding PDFs have to be defined. According to the PEER-like modular approach [59] and performance-based earthquake engineering (PBEE) approach [60]-[61], distinguishing the aleatory uncertainties related to the seismic input intensity from those corresponding to the characteristics of the record by means of an intensity measure (IM), this work evaluates and quantifies the seismic reliability of softening systems equipped with DFPS, located in L'Aquila site (Italy), assuming also the friction coefficients as other relevant random variables. Other aleatory uncertainties are not modelled since their effects can be neglected as discussed in [41],[62]. The epistemic uncertainties are not considered in this study.

Specifically, a Gaussian PDF truncated on both sides to 2% and 6% with a mean equal to 4% for the upper surface ( $\mu_{d,1}$ ) and a Gaussian PDF truncated on both sides to 0.5% and 1.5% with a mean of 1% for the lower surface ( $\mu_{d,2}$ ) are used to model, respectively, the sliding friction coefficients at large velocities of the two surfaces of the DFPS bearings [41]-[42]. These values are in compliance with [28]-[31] and chosen in order to obtain a mean value of the effective friction coefficient equal to 3% and, so to allow a comparison with the FPS analysed in [41]-[42]. Then, using the LHS technique [43]-[45], 15 sampled couples of the friction coefficients at large velocities are defined.

As for the uncertainty on the characteristics of the seismic records (record to record variability), according to PBEE approach [60]-[61] and similarly to [41]-[42], the spectral displacement  $S_D(\xi_b, T_b)$ , related to the equivalent effective period  $T_b = 2\pi / \omega_b$  (Eq.(10)) and to damping ratio  $\xi_b$  [19],[41] is chosen as *IM* [64]-[66]. Considering  $\xi_b$  equal to zero [15],[41],[67], the corresponding IM is hereinafter denoted as  $S_D(T_b)$  in the range from 0 m to 0.45 m according to the seismic hazard of L'Aquila site (Italy) [34]. The record-to-record variability is taken into account by means of 30 ground motion records, corresponding to 19 different earthquake events, selected from different national and international databases. A detailed description may be found in [41].

## 4 INCREMENTAL DINAMIC ANALYSES: RESULTS AND COMPARISON

The performance of systems isolated with DFPS is evaluated through incremental dynamic analyses (IDAs), considering several structural parameters combination and L'Aquila (Italy) as the reference site.

### 4.1 Design of the elastic and inelastic properties of the structural systems

An extended parametric analysis is carried out considering the following deterministic parameters: isolation degree  $I_d$ , varying between 2, 4, 6 and 8 with respect to the equivalent effective isolated period; the equivalent effective isolation period  $T_b$ , varying between 3s, 4s, 5s and 6s; the mass ratio  $\gamma_s$ , assumed equal to 0.6 and 0.8 with  $\gamma_d$  equal to 0.001 and so  $\gamma_b$  equal to 0.399 and 0.199; the behaviour factor  $q$ , ranging from 1.1 to 2, with a step of 0.1, according to the codes [32]-[35], and the post-yield softening stiffness ratio  $S$ , set equal to 0.03 [47]-[51]. It follows that 384 equivalent 3dof systems, with isolation damping ratio  $\xi_b$  and superstructure damping ratio  $\xi_s$  respectively equal to 0% and 2%, are properly defined. These abovementioned 384 equivalent 3dof systems derive from 32 different 3dof systems (with the different values of  $I_d$ , of  $T_b$  and of the mass ratio) by modifying the behavior factor. In the hypothesis of  $\mu_{d,1}$  and  $\mu_{d,2}$  equal to 4% and 1%, respectively, and a ratio equal to 2 between  $R_1$  and  $R_2$  [28]-[31], the yielding characteristics of 32 3dof elastic systems, necessary to perform IDAs, are evaluated considering the average elastic responses to the 30 seismic inputs scaled to the  $IM$  value of the life safety limit state for L'Aquila site (Italy): the  $IM = S_D(T_b)$  applies 0.311 m for  $T_b = 3, 4, 5$  s and 0.26 m for  $T_b = 6$  s (NTC18 [34]). In this way, the average values in terms of both yield strength  $f_{y,average}$  and displacement  $u_{y,average}$  of the superstructure have been computed in Matlab-Simulink [72] and, the yielding properties are finally defined for each value of  $q$ , according to Eqn.(14):

$$u_{y,average} = \frac{f_{y,average}}{k_s} = \frac{f_{s,el,average}}{k_s q} = \frac{u_{s,el,average}}{q} \quad (14)$$

### 4.2 Incremental dynamic analysis (IDA) curves

This section describes the responses of the 384 equivalent 3dof softening systems having different properties (i.e.,  $I_d$ ,  $T_b$ ,  $\gamma_s$ ,  $q$ ,  $S$ ) combined with the 15 sampled couples of the friction coefficients, to the 30 seismic inputs scaled to the different  $IM = S_D(T_b)$ , ranging from 0 m to 0.45 m. A total number of 450 numerical analyses has been performed for each  $IM$  level and parameter combination. The isolated non-linear softening systems are modelled in Matlab-Simulink [72], by employing the Runge-Kutta-Fehlberg integration algorithm to solve the coupled equations (Eqn.(9)) and determine the responses of each degree of freedom. For each structural system with a softening behaviour, the collapse condition assumed within the numerical analysis is reached when the response of the superstructure is equal to zero. The results of the non-linear IDAs have made it possible to estimate the collapsed system cases as well as the superstructure and isolation response parameters, expressed, respectively, in terms of displacement ductility demand  $\mu$  and of displacements for the DFPS (i.e., peak value for each surface or peak value of their sum computed at each time instant). These response parameters are assumed as the engineering demand parameters (EDPs) and their peak values have been fitted with lognormal distribution [15],[16]-[21],[41],[60],[67], by estimating the sample

lognormal mean,  $\mu_{\ln}(EDP)$ , and the sample lognormal standard deviation  $\sigma_{\ln}(EDP)$ , or dispersion  $\beta(EDP)$ , through the maximum likelihood estimation technique, to determine the 50<sup>th</sup>, 84<sup>th</sup> and 16<sup>th</sup> percentile of each lognormal PDF [15]. Note that other uncertainties [73]-[78] as well as the influence of the infills [79]-[82] are not considered in this study.

Figures 2-6 show the IDA results related to softening structures. Only the results corresponding to some parameters ( $I_d=2$  and 8,  $T_b=3$ s and 6s and mainly related to  $\gamma_s$  equal to 0.6) are reported due to space constraints.

Fig.s 2-3 show the IDA results regarding the isolation level EDP  $u_{b,max}$ , which is the peak value of the sum of  $u_{b,1}$  and  $u_{b,2}$  in each time instant. This response parameter is important to design the elements and components at the isolation level and to estimate the maximum displacement of the isolation system. Therefore, the displacement  $u_{b,max}$  shown in Fig.s 2-3 is the maximum displacement recorded during the non-linear dynamic analysis, and generally is not concomitant with the maximum displacement recorded at each single surface.

Fig. 2 depicts the response of the EDP with a mass ratio equal to 0.8, whereas Fig. 3 and 4 illustrate the responses of the surface 1 and 2, respectively, with a mass ratio of 0.6. As for the isolation level EDP, the lognormal mean decreases by decreasing  $T_b$  (Fig. 2) and also for lower  $I_d$  due to the lower values of the superstructure elastic stiffness.

As regards the softening superstructure, the statistics of the  $\mu$  strongly increase for higher  $q$  (Fig.s 5-6).

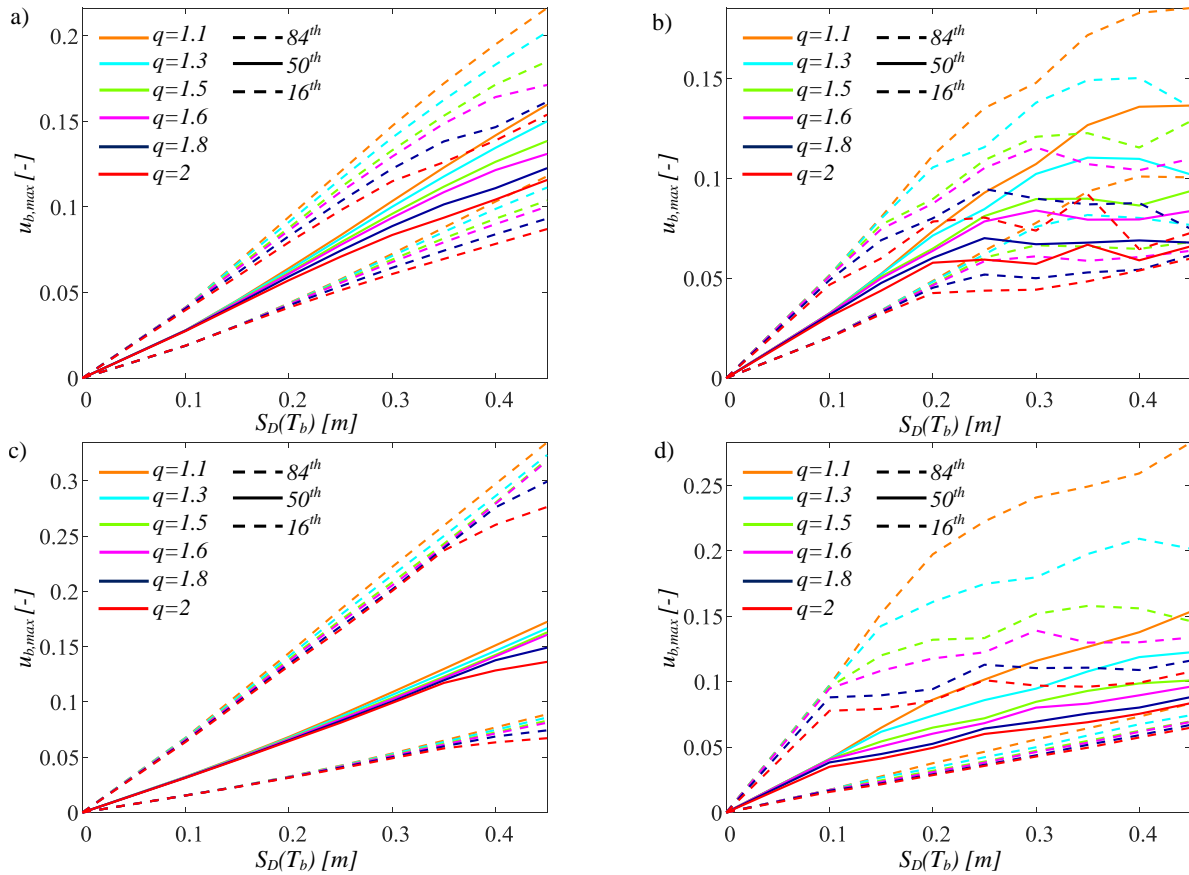


Figure 2: IDA curves of the isolation level with  $\gamma_s=0.8$  for  $I_d=2$ ,  $T_b=3$  s,  $S=0.03$  (a),  $I_d=2$ ,  $T_b=6$  s,  $S=0.03$  (b),  $I_d=8$ ,  $T_b=3$  s,  $S=0.03$  (c),  $I_d=8$ ,  $T_b=6$  s,  $S=0.03$  (d).

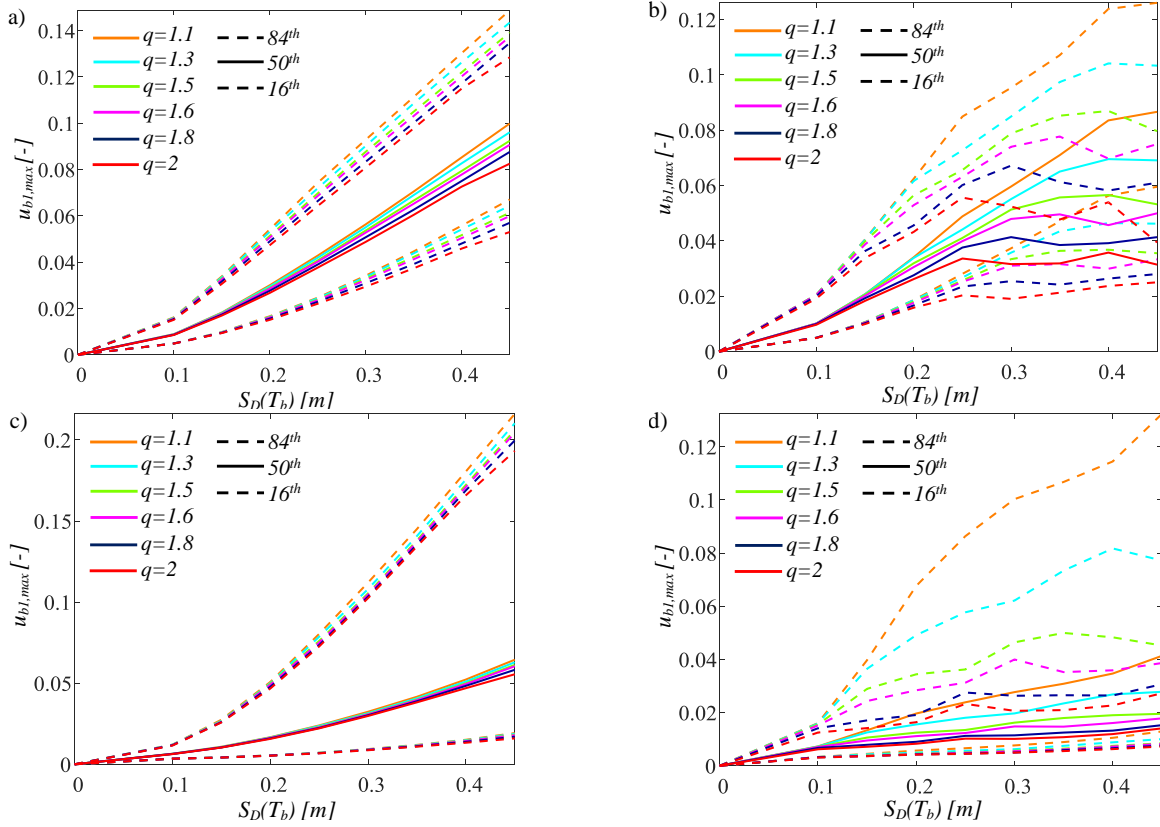


Figure 3: IDA curves of the sliding surface 1 with  $\gamma_s=0.6$  for  $I_d=2, T_b=3$  s,  $S=0.03$  (a),  $I_d=2, T_b=6$  s,  $S=0.03$  (b),  $I_d=8, T_b=3$  s,  $S=0.03$  (c),  $I_d=8, T_b=6$  s,  $S=0.03$  (d).

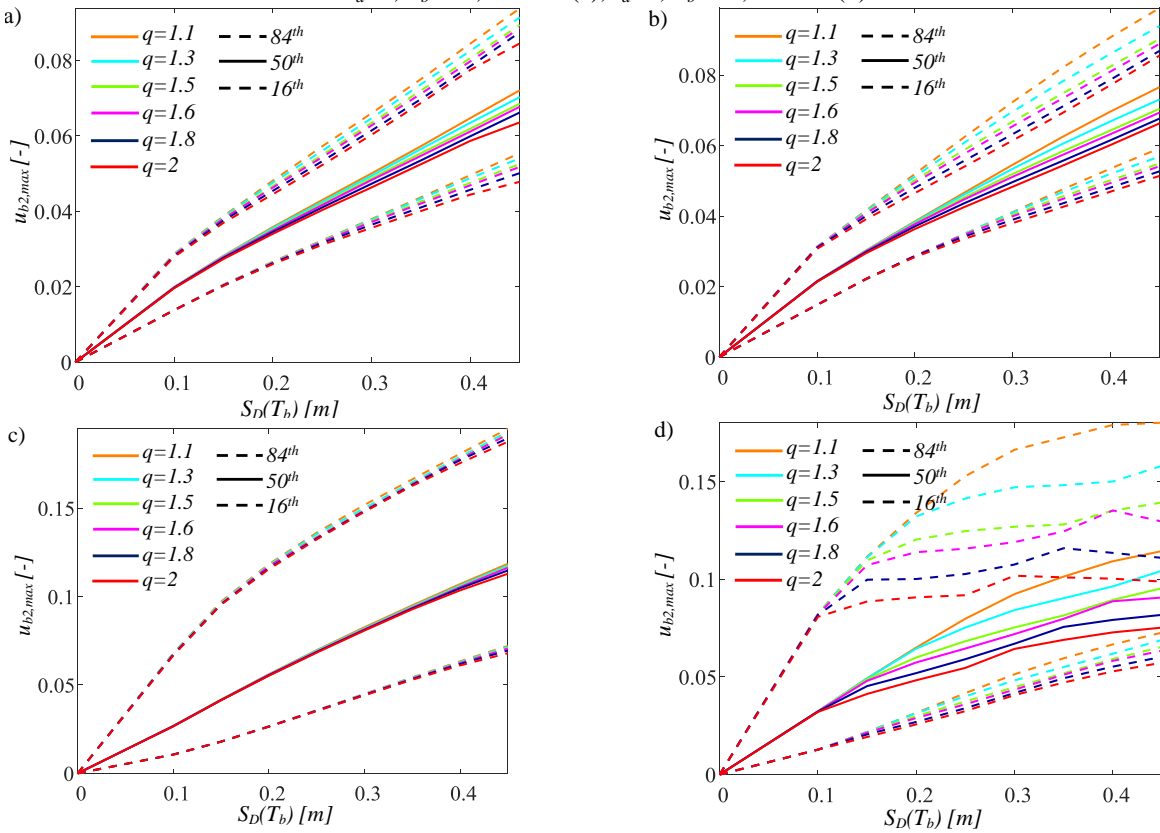


Figure 4: IDA curves of the sliding surface 2 with  $\gamma_s=0.6$  for  $I_d=2, T_b=3$  s,  $S=0.03$  (a),  $I_d=2, T_b=6$  s,  $S=0.03$  (b),  $I_d=8, T_b=3$  s,  $S=0.03$  (c),  $I_d=8, T_b=6$  s,  $S=0.03$  (d).

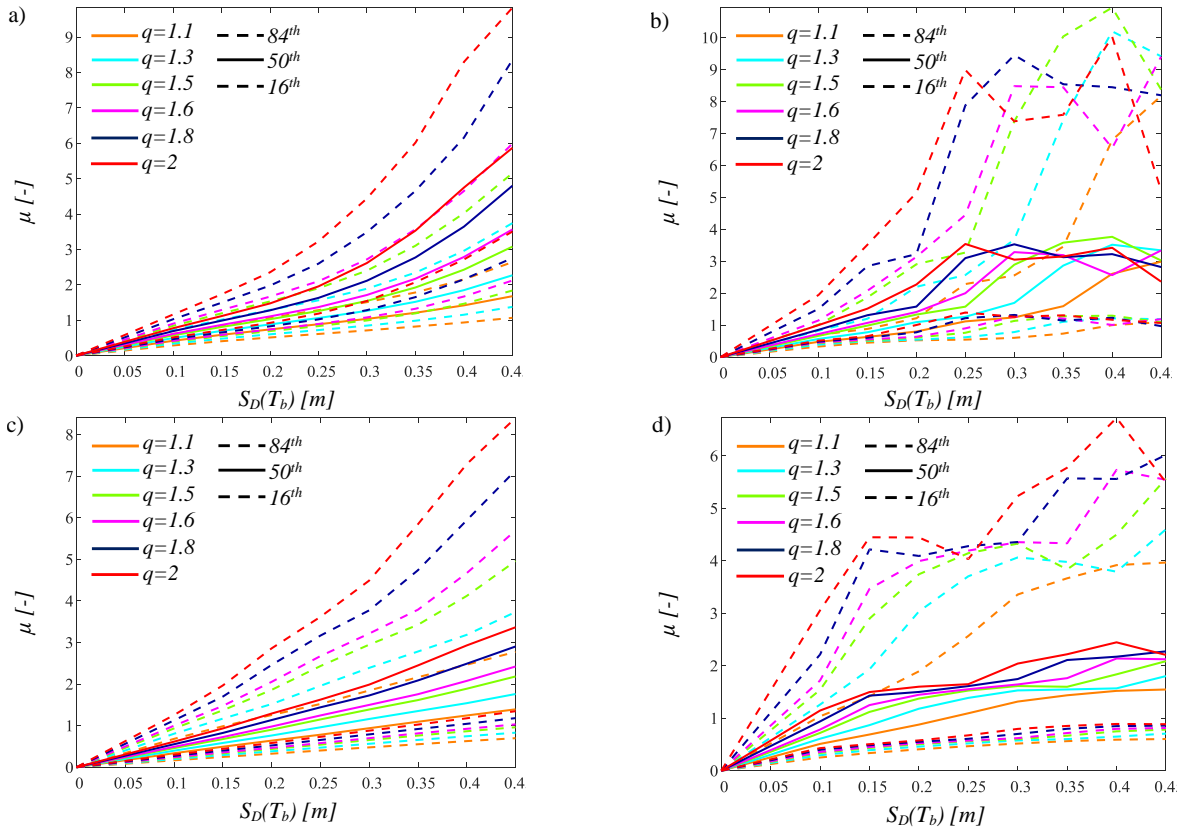


Figure 5: IDA curves of the superstructure with  $\gamma_s=0.6$  for  $I_d=2, T_b=3$  s,  $S=0.03$  (a),  $I_d=2, T_b=6$  s,  $S=0.03$  (b),  $I_d=8, T_b=3$  s,  $S=0.03$  (c),  $I_d=8, T_b=6$  s,  $S=0.03$  (d).

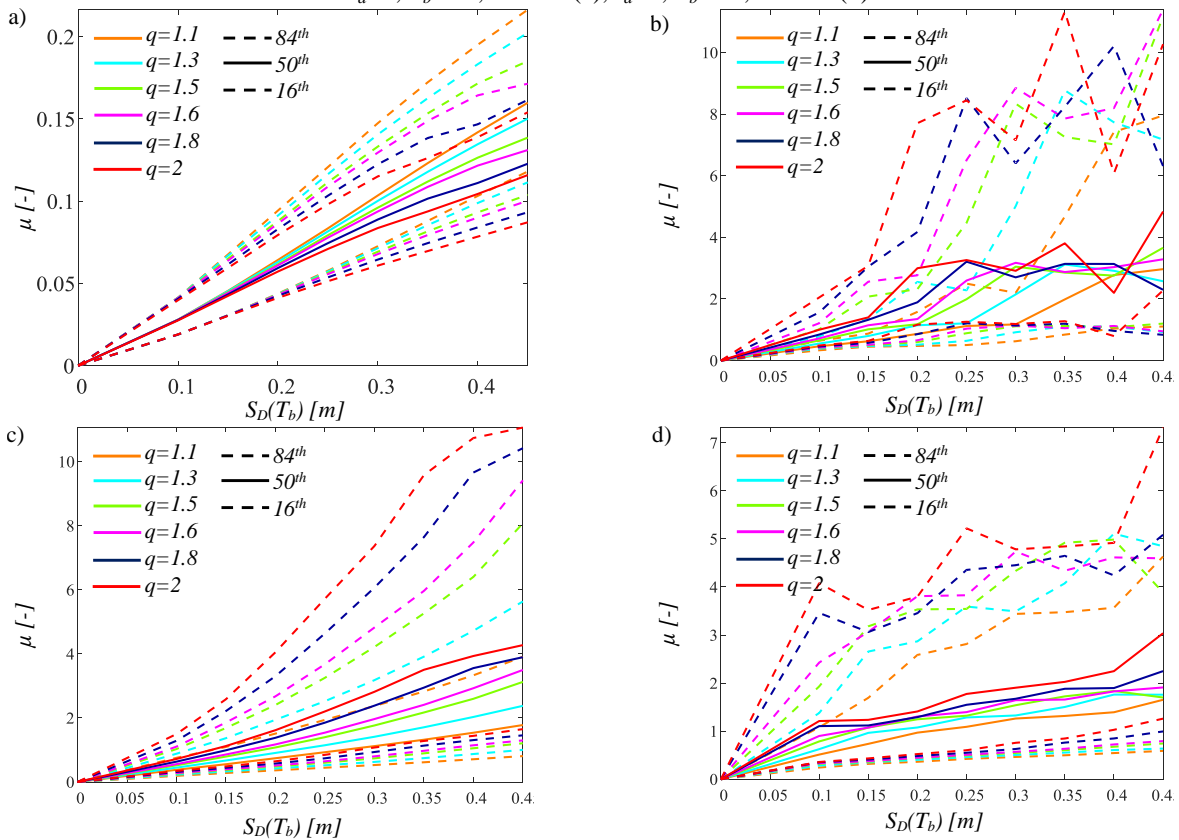


Figure 6: IDA curves of the superstructure with  $\gamma_s=0.8$  for  $I_d=2, T_b=3$  s,  $S=0.03$  (a),  $I_d=2, T_b=6$  s,  $S=0.03$  (b),  $I_d=8, T_b=3$  s,  $S=0.03$  (c),  $I_d=8, T_b=6$  s,  $S=0.03$  (d).

It is worthy to note that the 50th, 84th and 16th percentiles illustrated in Figures 2-6 have been evaluated without considering the failure cases. From the IDA curves corresponding to the softening systems, it is possible to observe a number of dynamic collapses which increase in quite all the parameter combinations for increasing  $IM$  levels. The influence of the data related to the dynamic collapses has computed within the seismic fragility assessment as discussed later. Similarly, the influence of the other structural properties (i.e.,  $\gamma$ ,  $q$  and  $S$ ) on both the DFPS and on the softening superstructures are properly discussed in the next section.

## 5 SEISMIC FRAGILITY CURVES

Defined the limit states, respectively, in terms of the radii in plan for the two surfaces of the DFPS device,  $r_1[m]$  and  $r_2[m]$ , and of the displacement ductility for the superstructure,  $\mu [-]$ , the seismic fragility, representative of the probabilities  $P_f$  exceeding the different limit states at each level of the  $IM$ , is evaluated. Tables 1-2 report, respectively, the failure probabilities in 50 years [54],[55] with the corresponding  $LS$  thresholds, related to the  $LS$ s provided by the codes [33]-[34]: the failure probability in 50 years [18],[54],[55] corresponding to the collapse  $LS$  [34] for the DFPS; whereas, the failure probability in 50 years [18],[54],[55] corresponding to the life safety  $LS$  [34] for the superstructure in compliance with the design. The limit state thresholds of Table 1 are also used to assess the fragility in terms of the overall displacement demand to the DFPS. For the both  $LS$ s, several thresholds are considered with the aim to provide reliable  $LS$  thresholds for these systems. For each parameter combination (384 equivalent 3dof systems), the probabilities  $P_f$  exceeding the different  $LS$ s at each  $IM$  level, are numerically computed and then fitted through lognormal distributions [19] with a R-square value higher than 0.8. For the softening systems, the number of both the collapse and not-collapse cases has been considered to estimate the seismic fragility for each parameter combination at each  $IM$  level by means of the total probability theorem [83], as follows:

$$P_{SL}(IM = im) = (1 - F_{EDP|IM=im}(LS_{EDP})) \cdot \frac{N_{not-collapse}}{N} + 1 \cdot \left(1 - \frac{N_{not-collapse}}{N}\right) \quad (15)$$

where  $N$  is the total number of analyses at each  $IM$  level, and  $N_{not-collapse}$  is the number of numerical simulations without any collapse. The first term of the sum in Eq.(15) represents the probability exceeding a  $LS$  corresponding to not-collapsing cases [83].

	$LS_{r,1}$	$LS_{r,2}$	$LS_{r,3}$	$LS_{r,4}$	$LS_{r,5}$	$LS_{r,6}$	$LS_{r,7}$	$LS_{r,8}$	$LS_{r,9}$	$LS_{r,10}$
$r_i [m]$ for $i=1,2$	0.05	0.1	0.15	0.2	0.25	0.3	0.35	0.4	0.45	0.5
$pf(50\text{ years})=1.5 \cdot 10^{-3}$										

Table 1: Limit state thresholds for the two surfaces of the DFPS with the associated exceeding probability.

	$LS_{\mu,1}$	$LS_{\mu,2}$	$LS_{\mu,3}$	$LS_{\mu,4}$	$LS_{\mu,5}$	$LS_{\mu,6}$	$LS_{\mu,7}$	$LS_{\mu,8}$	$LS_{\mu,9}$	$LS_{\mu,10}$
$\mu [-]$	1	2	3	4	5	6	7	8	9	10
$pf(50\text{ years})=2.2 \cdot 10^{-2}$										

Table 2: Limit state thresholds for the superstructure with the associated exceeding probability.

Fig.s 7-9 depict the fragility curves (i.e., the exceeding probabilities  $P_f$  (complementary distribution functions (CCDFs))) versus the  $IM$  for softening structures. Precisely, the curves corresponding to the different structural properties of interest and related only to some  $LS$  thresholds ( $LS_{r,4}$  and  $LS_{\mu,3}$ ) and to  $I_d=8$  and  $T_b=3s$ , are represented. Generally, the seismic fragility of each degree of freedom decreases for increasing the corresponding  $LS$  threshold.

Fig.s 7-8 illustrate the fragility curves regarding the response of two surfaces of DFPS. For the all limit states, the exceeding probabilities slightly increase for decreasing  $\gamma_s$ . Then, especially for high limit state thresholds, the fragility decreases by decreasing  $T_b$ ,  $I_d$  and increasing  $q$ . Note that the probability exceeding a limit state is quite low for the single surface, with a lower probability for the surface 2 characterized by a lower friction coefficient with a lower radius of curvature, in compliance with the IDA results.

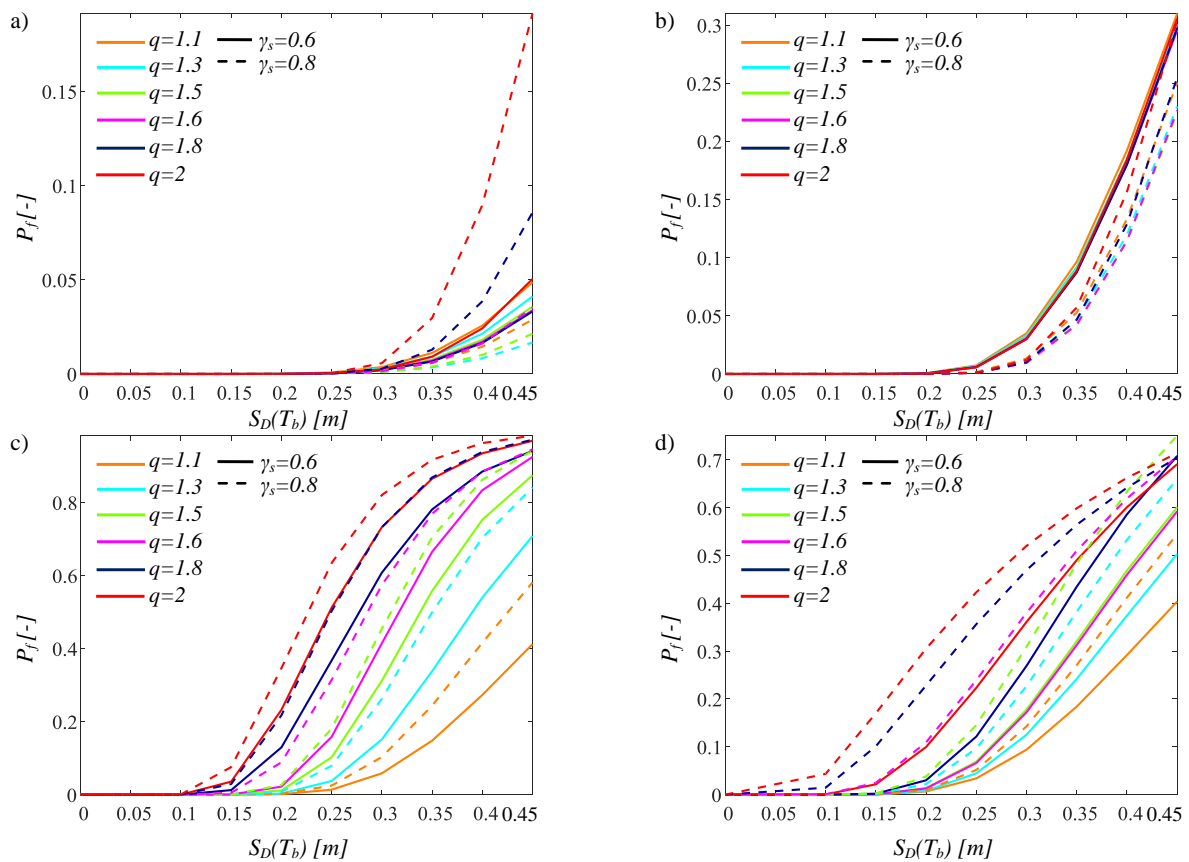


Figure 7: Seismic fragility curves of the sliding surface 1 related to  $LS_{r,4}=0.2$  m, for  $I_d=2$ ,  $T_b=3$  s,  $S=0.03$  (a),  $I_d=2$  and  $T_b=6$  s,  $S=0.03$  (b),  $I_d=8$  and  $T_b=3$  s,  $S=0.03$  (c),  $I_d=8$  and  $T_b=6$  s,  $S=0.03$  (d).

The fragility curves of the nonlinear softening superstructures are shown in Fig. 9. The exceeding probabilities are slightly higher as  $\gamma_s$  increases but highly increase for increasing values of  $q$ . Conversely, higher values of  $T_b$  for fixed  $I_d$  lead to a decrease of the seismic fragility because an increase of the period  $T_s$  means an increase of the correlated yielding displacement as well as lower values of  $T_b$  for fixed  $I_d$  lead to higher values of the seismic fragility. In fact, the coupling between  $I_d$  and  $T_b$  is a very important parameter because it defines  $T_s$  and the corresponding yielding displacement.

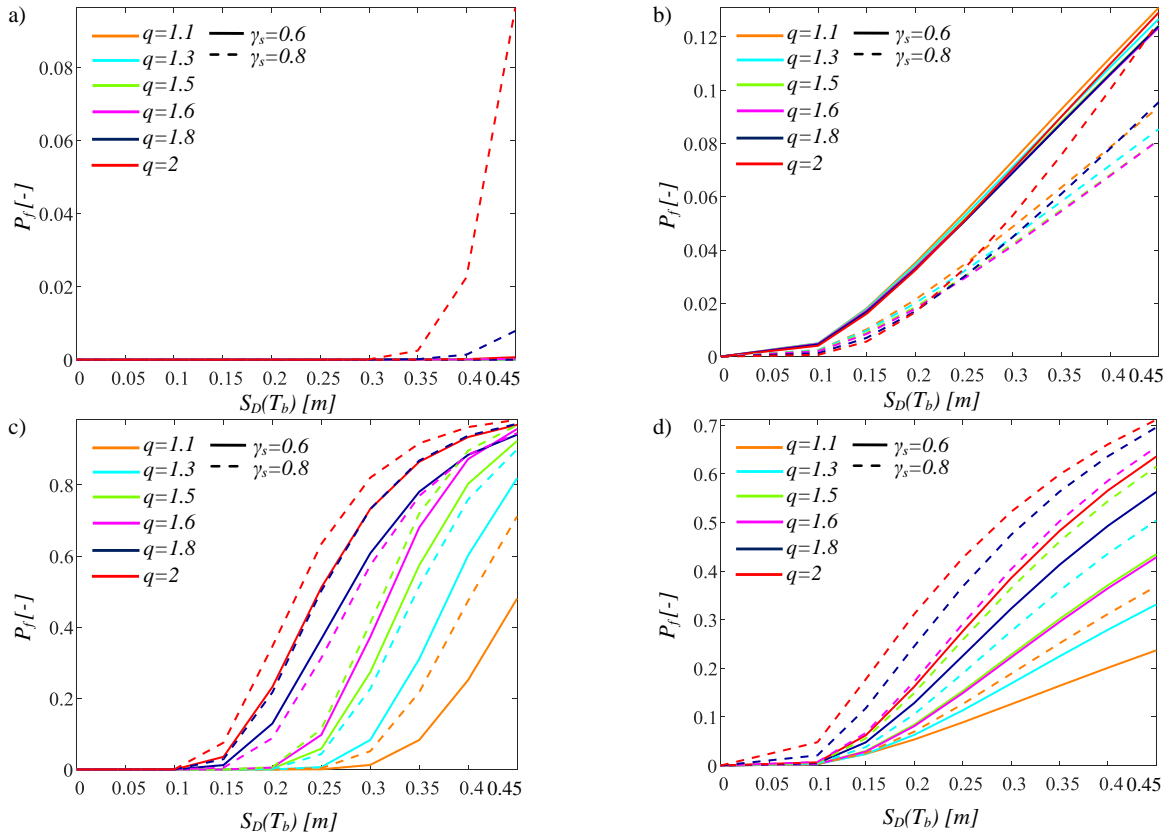


Figure 8: Seismic fragility curves of the sliding surface 2 related to  $LS_{r,4}=0.2$  m, for  $I_d=2$ ,  $T_b=3$  s,  $S=0.03$  (a),  $I_d=2$  and  $T_b=6$  s,  $S=0.03$  (b),  $I_d=8$  and  $T_b=3$  s,  $S=0.03$  (c),  $I_d=8$  and  $T_b=6$  s,  $S=0.03$  (d).

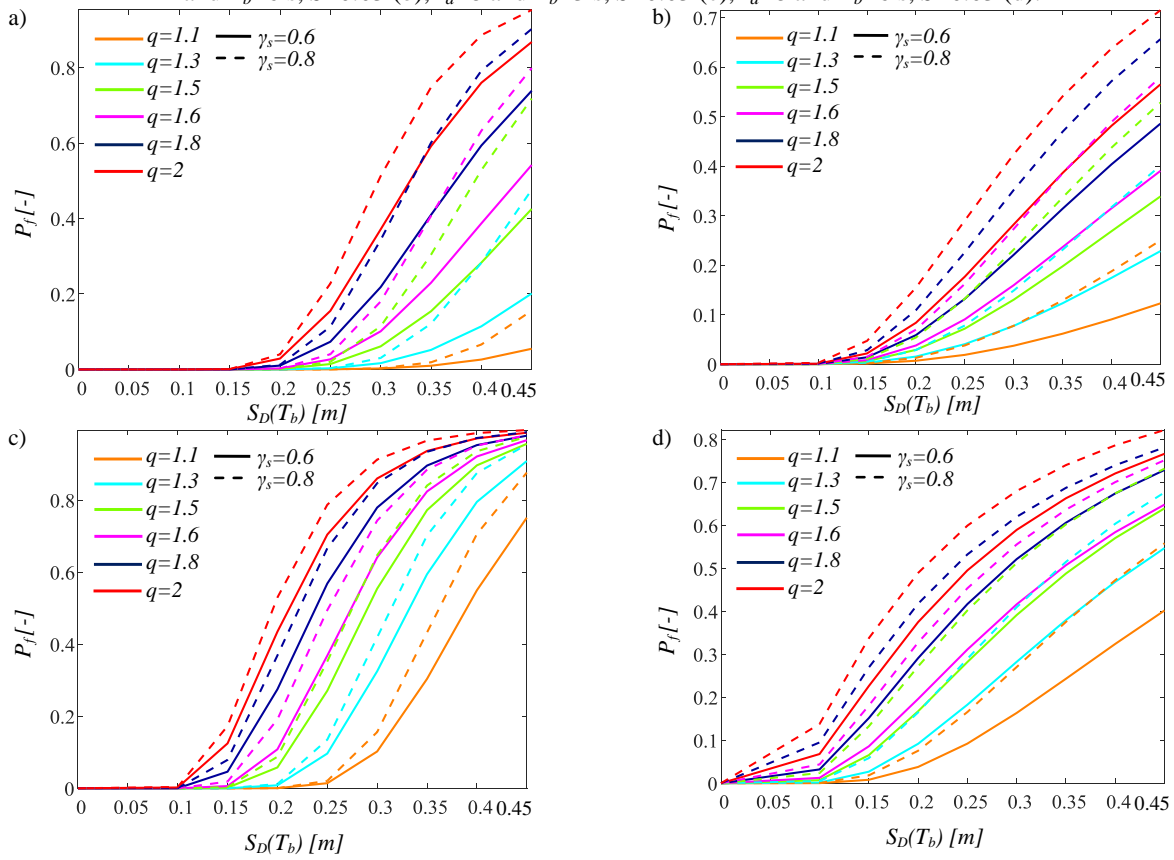


Figure 9: Seismic fragility curves of the superstructure related to  $LS_{\mu,3}=3$ , for  $I_d=2$ ,  $T_b=3$  s,  $S=0.03$  (a),  $I_d=2$  and  $T_b=6$  s,  $S=0.03$  (b),  $I_d=8$  and  $T_b=3$  s,  $S=0.03$  (c),  $I_d=8$  and  $T_b=6$  s,  $S=0.03$  (d).

Therefore, as also discussed in [41]-[42], in the case of systems with low  $T_s$ , the ensuing dynamic amplification can cause disproportioned superstructure responses and, so a high seismic fragility derives.

The post-yield softening stiffness ratio  $S$  strongly and negatively influences the superstructure seismic fragility leading to a very high displacement ductility demand. Comparing the results with the outcomes of [42], for the softening systems higher values of  $T_b$  lead to a higher probability exceeding a limit state, especially for the superstructure. This important difference is due to the lower effectiveness the DFPS in comparison to the FPS because at low  $IM$  and at the beginning of each seismic record, the sliding occurs along only one surface having lower friction coefficient with the consequence that both the energy dissipated and the actual equivalent isolation period are lower.

## 6 SEISMIC PERFORMANCE OF INELASTIC STRUCTURES WITH DFPS

The convolution integral between the previously achieved seismic fragility curves and the seismic hazard curves expressed in terms of the same  $IM$ ,  $S_D(T_b)$ , related to the reference site (L'Aquila (Italy)), allows the evaluation of the mean annual rates exceeding the limit states for each parameter combination. Then, by using a Poisson distribution, the seismic reliability of the all softening structures isolated by DFPS in the time frame of interest (e.g., 50 years) have been computed.

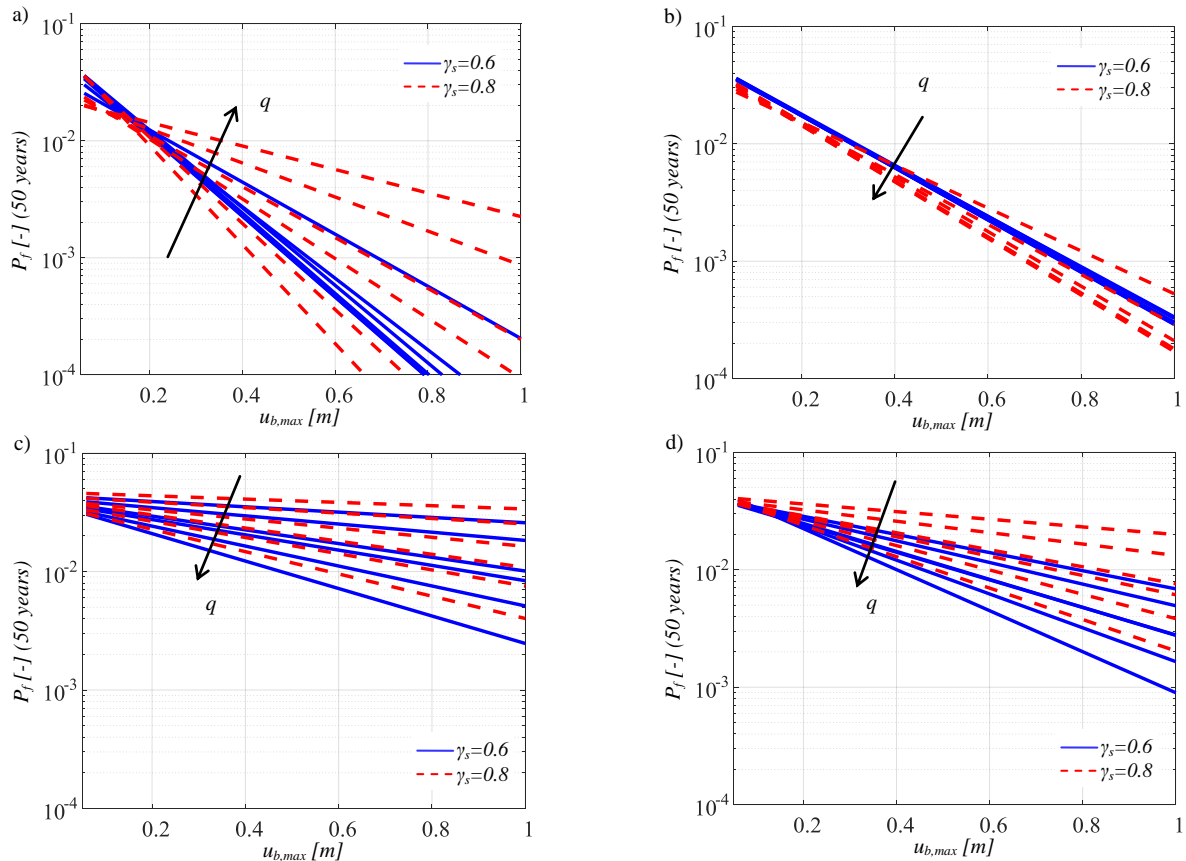


Figure 10: Seismic reliability curves of the isolation level related to  $I_d=2$ ,  $T_b=3$  s,  $S=0.03$  (a),  $I_d=2$  and  $T_b=6$  s,  $S=0.03$  (b),  $I_d=8$  and  $T_b=3$  s,  $S=0.03$  (c),  $I_d=8$  and  $T_b=6$  s,  $S=0.03$  (d). The arrow denotes the increasing direction of  $q$ .

In this work, the seismic hazard of L'Aquila site (Italy), soil class B, with geographic coordinates  $42^{\circ}38'49''N$  and  $13^{\circ}42'25''E$ , has been considered, as widely described in [41].

As for the DFPS devices, the seismic reliability evaluation makes it possible to define SRBD curves to design the dimensions in plan of each surface of these devices and the overall dimension of the isolation level as a function of the expected reliability level and of the structural properties.

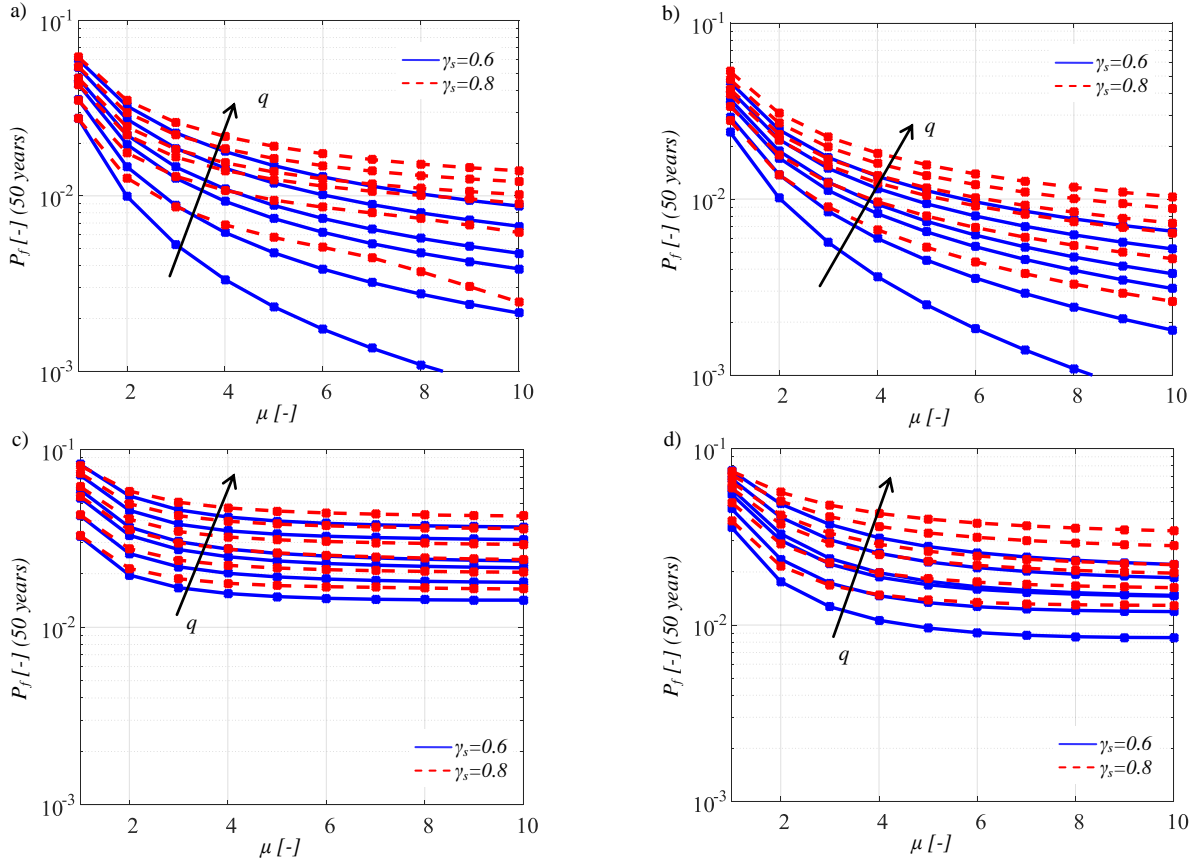


Figure 11: Seismic reliability curves of the superstructure level related to  $I_d=2$ ,  $T_b=3$  s,  $S=0.03$  (a),  $I_d=2$  and  $T_b=6$  s,  $S=0.03$  (b),  $I_d=8$  and  $T_b=3$  s,  $S=0.03$  (c),  $I_d=8$  and  $T_b=6$  s,  $S=0.03$  (d). The arrow denotes the increasing direction of  $q$ .

Fig. 10 depicts the linear regressions, representative of the seismic reliability of the overall dimension of the isolation level, in the semi-logarithmic space for softening systems. The value of R-square is higher than 0.8 demonstrating the robustness and the effectiveness of the kind of the regressions selected within the different statistic approaches [84]-[89]. The exceeding probability of  $P_f=1.5 \cdot 10^{-3}$  can be assured for a global dimension larger than 1 m, in the case of low  $I_d$  and  $\gamma_s$ , depending on the behaviour factors. The overall dimension of the isolation level estimated with the above described curves, can also be useful to define the radius in plan of each surface of the DFPS. In fact, SRBD curves of each surface, evaluated and not represented due to space constrains, highlighted that around 1/3 of the global dimension can be attributed to the surface 2 (having a lower friction coefficient with a lower radius of curvature) and 2/3 to the surface 1 for low  $T_b$ , whereas for high  $T_b$ , these ratios become 1/4 and 3/4, respectively. This aspect is a very important design feature because if high displacements are required to the isolation level, especially for softening systems, they are divided between the two sliding surfaces reducing the geometric encumbrance of the itself device and of the structural elements directly connected as also highlighted in [28]-[30].

Fig. 11 shows the results, representative of the SP curves of the softening superstructure in 50 years, in the logarithmic scale for the different  $LS$  thresholds in terms of  $\mu$  and for the dif-

ferent structural properties. The seismic reliability of the superstructure increases for low values of  $\gamma_s$ ,  $I_d$ ,  $q$  and for high values of  $T_b$ . Comparing the results with the outcomes achieved by [42], the seismic reliability of systems equipped with DFPS, with different friction coefficients for the two surfaces, is slightly lower respect the systems equipped with simple FPS due to the reasons previously explained for the fragility assessment.

## 7 CONCLUSIONS

This study describes the seismic reliability-based performance of softening structural systems equipped with double concave sliding devices isolators on varying the elastic and inelastic building properties, seismic intensity levels with the hypothesis of the friction coefficients and of the characteristics of the seismic records assumed as the relevant random variables. By means of an equivalent 3dof system with a non-linear velocity-dependent model for the two surfaces of the DFPS, incremental dynamic analyses are carried out considering several natural seismic records, the seismic hazard of L'Aquila site (Italy), increasing behavior factors and different post-yield stiffness ratios. Then, the seismic fragility curves are derived for the softening superstructure and for the isolation level taking also into account the dynamic failure cases. After that, assuming a design life of 50 years, seismic reliability-based design (SRBD) curves are proposed useful to design the radii in plan of the two surfaces as well as the maximum demand to the DFPS. The results have highlighted the negative effects of the post-yield stiffness as well as the possibility to reduce the encumbrance of the devices and of the structural elements directly connected because the seismic demand is divided on the two surfaces. This aspect is a very important design feature of the DFPS representing an its advantage.

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