

# Modeling and design of bidirectional pendulum tuned mass dampers using axial or tangential homogeneous friction damping

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## Abstract

As a development of the classical pendulum vibration absorber, bidirectional pendulum TMDs (BTMDs) have been recently proposed, capable to resonate with the main structure along both its horizontal directions by virtue of their optimally designed three-dimensional (3D) pendulum surface. To provide BTMDs with the required energy dissipation capability, two damping mechanisms based on respectively axial and tangential friction were invented as an alternative to ordinary viscous dashpots. The first one consists of a vertical axial-friction damper connecting the BTMD to the main structure. The second one consists of a tangential friction spatially variable along the pendulum surface in proportion to the modulus of the surface gradient vector. Both mechanisms are fundamentally characterized by a nonlinear but homogeneous first-order model which makes their effectiveness independent from the excitation level. This paper compares the two friction paradigms with the classical viscous one. To this purpose, first a unifying fully nonlinear 3D model is established through Lagrangian mechanics, then an optimal design method is proposed, based on either  $H_\infty$  or  $H_2$  norm minimization criteria. Extensive numerical simulations are performed to show the pros and cons of the three damping options and of the two optimization approaches. Results demonstrate that the three types exhibit a similar performance against unidirectional excitation but that the axial-friction type loses most of its effectiveness under bidirectional excitation whenever the pendulum surface is axial- or nearly axial-symmetrical, because of the insurgence of a peculiar rotational motion which virtually deactivates the friction damper. Results also show that the  $H_\infty$  design criterion is more robust than the  $H_2$  design criterion, and that both criteria outperform previous simplified approaches proposed in the literature. It is concluded that, once properly designed and until stroke demand does not exceed their intrinsic stroke limitations, BTMDs are an effective vibration control strategy, which can be implemented through a variety of damping options, and that the two homogeneous friction mechanisms, and particularly the tangential one, are promising paradigms to provide amplitude-independent damping to engineering pendular systems.

**Keywords:** structural vibration control; tuned mass dampers; bidirectional pendulum systems; Lagrangian mechanics; homogeneous friction damping; wind mitigation.

## 1 Introduction

Passive tuned mass dampers (TMDs) are a mature strategy of structural vibration control, widely applied in civil and mechanical engineering [1]. The most elementary scheme of a TMD consists of a single-degree-of-freedom (SDOF) linear oscillator attached to the main structure, capable of absorbing and dissipating vibratory energy from one structural target mode through frequency tuning and damping optimization. According whether their restoring force is elastic or gravitational, TMDs are mainly classified in the translational and pendulum categories, and the pendulum category is further distinguished into the “supported” and the “hanging” pendulum types, depending whether the mass of the absorber is constrained to move along a physical curved recess or suspended through ropes or bars. In the last decade, supported pendulum TMDs have increasingly attracted the attention of the research community, because of their compactness, durability and versatility of shape. They include a variety of configurations, such as the ball pendulum [2,3], the rolling and sliding pendulums (with single or double concavity) [4,5] and the rocking pendulum. In [6] a novel rolling ball damper is proposed for controlling wind turbines, made of multiple steel balls rolling in a spherical concavity and dissipating through rolling friction and impact. In [7] a novel unbalanced rolling pendulum TMD is presented, where the gravitational restoring force is

produced by the unbalanced distribution of mass within the rolling body. In [8] a track nonlinear energy sink (NES) is proposed, whose specially shaped, smooth and symmetric track profile provides the desired essentially nonlinear restoring force which is typical of NESs. In [9] an asymmetrical variant of the said track NES is introduced, in which the smooth track nonlinearity combines with a discontinuous impact nonlinearity. In [10] an interesting application is presented of a rolling ball pendulum embedded in hollow slabs of civil structures.

As an alternative to the pendulum schemes listed above, which are all either two-dimensional (2D) (i.e. constrained along a planar vertical profile) or three-dimensional (3D) but axial-symmetrical (i.e. constrained along a surface of revolution), bidirectional pendulum TMDs (BTMDs) have also been proposed which can be tuned to the main structure along both horizontal directions even when the corresponding structural target frequencies are different, by virtue of an optimally designed (generally non-axial-symmetrical) 3D pendulum surface. This concept has been implemented in two main variants, respectively belonging to the supported and to the hanging pendulum types. The first variant is the rolling-pendulum BTMD introduced in [11]. In this case, the 3D pendulum surface is realized by a special 3D rolling-pendulum bearing, made of two identical concavities symmetrically facing each other and sandwiching a rolling ball. By varying the shape of the two concavities and the radius of the rolling ball, any 3D surface can be obtained. The second variant is the hanging-pendulum BTMD proposed in [12]. In this case, the 3D pendulum surface is realized by a special Y-shaped arrangement of the suspending cables. By varying the length of the vertical cable and/or of the inclined cables, any toroidal surface can be obtained.

In these two variants of BTMDs, energy dissipation is produced either by classical horizontal viscous dampers [11] or by an original arrangement of a vertical axial-friction damper [12]. A third damping option has been very recently proposed for supported BTMDs by the same author, consisting of a tangential rolling- or sliding-friction spatially variable along the pendulum surface in proportion to the modulus of the surface gradient vector [13]. Both the axial-friction and the tangential-friction mechanisms mentioned above are fundamentally characterized by a nonlinear but homogeneous first-order model. Therefore, in the small-displacement domain both friction types ensure the BTMD an equivalent damping ratio and an effectiveness which are independent from the amplitude of motion [14], contrary to what happens when a constant friction acts in the direction of motion of the absorber, in which case the equivalent damping ratio becomes inversely proportional to the amplitude and the effectiveness becomes amplitude-dependent [15,10].

Focusing on bidirectional pendulum TMDs of the supported type, this paper compares the two friction paradigms, respectively called the homogeneous-axial BTMD (HA-BTMD) and the homogeneous-tangential BTMD (HT-BTMD) and jointly denoted as the homogeneous BTMD (H-BTMD), with the classical viscous paradigm, here named the viscous BTMD (V-BTMD). To this purpose, first a unifying fully nonlinear 3D BTMD model is derived through Lagrangian mechanics, then an optimal design methodology is proposed. Extensive numerical simulations of the optimally designed devices mounted on SDOF and multi-degree-of-freedom (MDOF) structures are finally performed under stationary force input, revealing the respective pros and cons of the three damping options. Main contributions of this paper are as follows: (i) establishing a common modelling framework, representative of all three existing BTMD types, by combining contributions from previous studies; (ii) presenting a common BTMD design procedure, rigorously valid for SDOF linear structures under low-amplitude harmonic or white-noise force excitations but extendable to more general cases, whose main novelty resides in the solution, never attempted before for H-BTMDs, of an  $H_\infty$  or  $H_2$  norm minimization, here numerically performed for various design scenarios; (iii) showing the superior robustness of the  $H_\infty$  optimal solution over the  $H_2$  optimal solution, and the greater effectiveness of both solutions over existing simplified optimization criteria; (iv) evaluating the optimal BTMDs in a variety of cases, for different structural features and excitation levels; (v) proving, both analytically and numerically, the superior 3D performance of the HT-BTMD over the HA-BTMD, this latter exhibiting, in axial- or nearly axial-symmetrical cases, a peculiar (so far undocumented), insufficiently-damped rotational mode which drastically reduces its mitigation

capabilities; (vi) showing, on the other hand, the substantial equivalence of the V-BTMD and of the HT-BTMD in a variety of design situations.

The remaining of this paper is organized as follows: in Section 2 the fully nonlinear 3D model of a BTMD of either viscous, axial-friction or tangential-friction damping types is derived; in Section 3 an optimal design method is presented for the three types; in Section 4 the three alternatives are compared in the small-displacement domain; in Section 5 the three alternatives are compared in the large-displacement domain; in Section 6 a case study is illustrated; in Section 7 conclusions are drawn.

## 2. The BTMD unifying analytical model

This section establishes the fully nonlinear 3D model of a BTMD accounting for: (i) one or more viscous dampers connecting it to the supporting structure; (ii) an axial-friction damper connecting it to the supporting structure; (iii) a variable tangential friction acting along the pendulum surface; and (iv) a fail-safe bumper connecting it to the supporting structure. The general modelling framework, based on Lagrangian holonomic mechanics, is the one already used by other authors in [12] and by the same author in [13]. However, no tangential friction is considered in [12] and no axial friction in [13]. Also, the fail-safe bumper is here modelled as in [13] rather than in [12]. This framework differs from the one, based on Appell's non-holonomic dynamics, adopted in [11], specifically accounting for the rotational motion of the rolling sphere, here instead neglected. By merging contributions from [12] and [13], this section proposes a unifying model simultaneously representing all possible dissipation mechanisms currently available for BTMD applications.

### 2.1 The pendulum kinematics

The BTMD model is schematized in Figure 1. It consists of a point mass  $m$  subject to gravity  $g$  and constrained to move along a generic 3D differentiable concave-up surface. The surface is minimum in  $O$ , where it is rigidly connected to the structural support. The BTMD rotational inertia is neglected for simplicity. The motion of  $m$  along the surface is opposed by four possible mechanisms, namely: (i) the viscous damper connecting  $m$  to the support in  $A$ ; (ii) the vertical axial-friction damper connecting  $m$  to the structure in  $B$ ; (iii) the tangential friction acting along the surface; and (iv) the fail-safe bumper connecting  $m$  to the support in  $C$ . Because the rotations of the structural support are assumed negligible, the surface as well as points  $A$ ,  $B$  and  $C$  purely translate together with the support in  $O$ .

Denoting by  $u$ ,  $v$  and  $w$  the coordinates of  $m$  with respect to the local reference system  $xyz$  fixed to the support in  $O$ , the pendulum kinematic constraint is defined by the surface equation  $w = w(u, v) = w(\mathbf{q})$ , and the relative displacement of  $m$  (w.r.t. its support) is given by  $\mathbf{r} = [u, v, w]^T = [\mathbf{q}^T, w(\mathbf{q})]^T$ , where  $u$  and  $v$  are the two independent coordinates,  $w$  is the dependent coordinate and  $\mathbf{q} = [u, v]^T$  is the absorber degree-of-freedom vector. For simplicity,  $x$  and  $y$  are taken parallel to the two structural target modeshapes, assumed mutually orthogonal in plan. The versors associated with  $x$ ,  $y$  and  $z$  are  $\hat{\mathbf{i}}$ ,  $\hat{\mathbf{j}}$  and  $\hat{\mathbf{k}}$ . The absolute acceleration of the structural support is  $\mathbf{a} = [a_x, a_y, a_z]^T = [\mathbf{a}_h^T, a_z]^T$  and the relative velocity of  $m$  is  $\dot{\mathbf{r}} = \frac{\partial \mathbf{r}}{\partial \mathbf{q}} \dot{\mathbf{q}} = \mathbf{J} \dot{\mathbf{q}}$ , where  $\mathbf{J} = \frac{\partial \mathbf{r}}{\partial \mathbf{q}} = \begin{bmatrix} \frac{\partial \mathbf{r}}{\partial u} & \frac{\partial \mathbf{r}}{\partial v} \end{bmatrix} = [\mathbf{I}, \nabla w]^T$  is the Jacobian matrix of the kinematic transformation and  $\nabla w = \frac{\partial w}{\partial \mathbf{q}}$  is the surface gradient vector.

### 2.2 The details of the dissipative mechanisms

Four dissipative mechanisms are included in the model. The first three mechanisms, i.e. viscous damping, axial friction and tangential friction, although assumed mutually exclusive in the following sections, are here modelled together. The fourth mechanism, i.e. the fail-safe bumper, introduced to prevent excessive BTMD strokes, is always present but operates only in the large-displacement domain. For brevity, only one viscous damper (arbitrarily oriented) is supposed in deriving the model, despite multiple viscous dampers are generally admitted and at least two are indeed required if both target modes need mitigation. The four said mechanisms are modelled as follows.

### 2.2.1 Viscous damping

As shown in Figure 1, the viscous damper has damping coefficient  $c$ , undeformed length  $l_{c0}$  and deformed length  $l_c$ . Denoting by  $\hat{\mathbf{c}}_0$  and  $\hat{\mathbf{c}}$  respectively the undeformed and deformed versors coaxial with the damper (oriented from A to  $m$ ), its undeformed and deformed position vectors are respectively  $\mathbf{l}_{c0} = l_{c0}\hat{\mathbf{c}}_0$  and  $\mathbf{l}_c = l_c\hat{\mathbf{c}} = \mathbf{l}_{c0} + \mathbf{r}$ , and the damper axial elongation is  $s_c = l_c - l_{c0}$ . Its axial elongation rate is then  $\dot{s}_c = \left(\frac{\partial s_c}{\partial \mathbf{q}}\right)^T \dot{\mathbf{q}}$  or equivalently  $\dot{s}_c = \hat{\mathbf{c}}^T \dot{\mathbf{r}} = \hat{\mathbf{c}}^T \mathbf{J}\dot{\mathbf{q}}$ , this latter expression representing the projection of  $\dot{\mathbf{r}}$  on  $\hat{\mathbf{c}}$ . Denoting as  $f_c = c\dot{s}_c$  the axial force in the damper,  $\mathbf{f}_c = -f_c\hat{\mathbf{c}}$  is the viscous force vector acting on  $m$ .

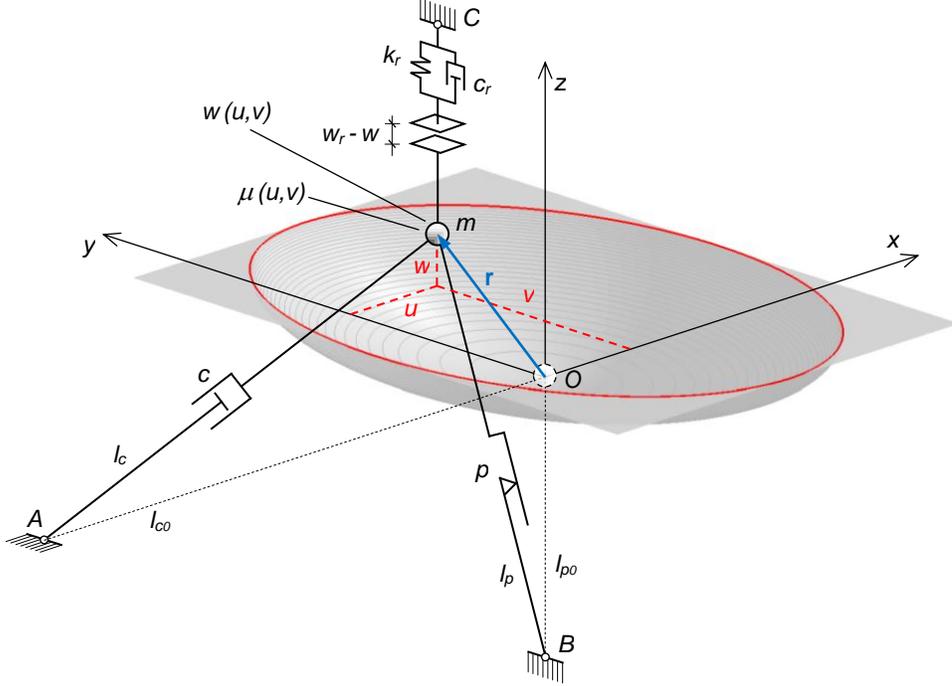


Figure 1. Schematic axonometric view of the BTMD in its deformed position.

### 2.2.2 Axial friction

As shown in Figure 1, the friction damper has slip force  $p$ , undeformed length  $l_{p0}$  and deformed length  $l_p$ . For simplicity, a rigid-plastic (dry) friction model is adopted. Admitting for now that the friction damper might be arbitrarily oriented, and denoting by  $\hat{\mathbf{p}}_0$  and  $\hat{\mathbf{p}}$  its undeformed and deformed coaxial versors (oriented from B to  $m$ ), its undeformed and deformed position vectors are  $\mathbf{l}_{p0} = l_{p0}\hat{\mathbf{p}}_0$  and  $\mathbf{l}_p = l_p\hat{\mathbf{p}} = \mathbf{l}_{p0} + \mathbf{p}$ , and its axial elongation is  $s_p = l_p - l_{p0}$ . Its axial elongation rate is then  $\dot{s}_p = \left(\frac{\partial s_p}{\partial \mathbf{q}}\right)^T \dot{\mathbf{q}}$  or equivalently  $\dot{s}_p = \hat{\mathbf{p}}^T \dot{\mathbf{r}} = \hat{\mathbf{p}}^T \mathbf{J}\dot{\mathbf{q}}$ . Denoting as  $f_p = \text{sign}(\dot{s}_p) p$  the axial force in the damper,  $\mathbf{f}_p = -f_p\hat{\mathbf{p}}$  is the axial-friction force vector acting on  $m$ .

### 2.2.3 Tangential friction

As schematized in Figure 1, the 3D pendulum surface presents a tangential-friction coefficient spatially varying as  $\mu = \mu(u, v) = \mu(\mathbf{q})$ . For simplicity, an isotropic rigid-plastic friction model is assumed, with equal static and kinetic friction coefficients. The tangential-friction force value is:

$$f_\mu = \mu(\mathbf{q})N \quad (1)$$

and the tangential-friction force vector acting on  $m$  is  $\mathbf{f}_\mu = -f_\mu \hat{\mathbf{t}}$ , where  $N$  is the modulus of the normal reaction force vector  $\mathbf{N}$  at the contact point and  $\hat{\mathbf{t}}$  is the tangent versor, given by  $\hat{\mathbf{t}} = \mathbf{0}$  if  $\dot{\mathbf{r}} = \mathbf{0}$  and by  $\hat{\mathbf{t}} = \dot{\mathbf{r}}/\|\dot{\mathbf{r}}\| = \mathbf{J}\dot{\mathbf{q}}/\sqrt{\dot{\mathbf{q}}^T \mathbf{J}^T \mathbf{J} \dot{\mathbf{q}}}$  if  $\dot{\mathbf{r}} \neq \mathbf{0}$ .

Although the unifying model now under construction accepts in principle any friction pattern, here the attention is focused on the friction law recently proposed in [13], defined by:

$$\mu(\mathbf{q}) = \|\boldsymbol{\mu}_0 \nabla w\| \quad (2)$$

which describes a friction coefficient varying along the surface as the modulus of the surface gradient vector  $\nabla w$  pre-multiplied by the diagonal friction matrix:

$$\boldsymbol{\mu}_0 = \begin{bmatrix} \mu_{0x} & 0 \\ 0 & \mu_{0y} \end{bmatrix} \quad (3)$$

where  $\mu_{0x}$  and  $\mu_{0y}$  are the tangential-friction damping ratios along  $x$  and  $y$ . If  $\mu_{0x} = \mu_{0y} = \mu_0$ , the friction matrix becomes  $\boldsymbol{\mu}_0 = \mu_0 \mathbf{I}$  and Eq. (2) becomes:

$$\mu(\mathbf{q}) = \mu_0 \|\nabla w\| \quad (4)$$

which indeed describes a friction coefficient proportional to the modulus of the surface gradient vector. As show in [13], for a tangential-friction BTMD obeying Eq. (2), necessary and sufficient condition for the re-centring of the absorber is that  $\max(\mu_{0x}, \mu_{0y}) < 1$ . This condition becomes  $\mu_0 < 1$  if  $\mu_{0x} = \mu_{0y} = \mu_0$ .

#### 2.2.4 Viscoelastic bumping

As shown in Figure 1, the bumper has stiffness  $k_r$ , damping coefficient  $c_r$  and initial clearance  $w_r$ . The bumper reacts along  $z$  if  $w > w_r$ , applying to  $m$  the bumper force vector  $\mathbf{f}_r = -f_r \hat{\mathbf{k}}$ , where  $f_r = 0$  if  $w \leq w_r$  and  $f_r = k_r(w - w_r) + c_r \dot{w}$  if  $w > w_r$ , with  $\dot{w} = \nabla w^T \dot{\mathbf{q}}$ . Although the elastic term due to  $k_r$  rigorously prevents  $f_r$  from being wholly non-conservative, still the bumping mechanism will be here termed dissipative for brevity.

#### 2.2.5 The combination of the four dissipative mechanisms

The total dissipative force applied to  $m$  by the four mechanisms is given by  $\mathbf{f}_d = \mathbf{f}_c + \mathbf{f}_p + \mathbf{f}_\mu + \mathbf{f}_r$ . By applying Newton's 2<sup>nd</sup> Law to the mass  $m$  subjected to (i) its static weight  $\mathbf{w} = -mg\hat{\mathbf{k}}$ , (ii) the normal reaction force  $\mathbf{N}$  at the contact point, and (iii) the total dissipative force  $\mathbf{f}_d$ , the dynamic equilibrium equation results as  $\mathbf{w} + \mathbf{N} + \mathbf{f}_d = m(\mathbf{a} + \ddot{\mathbf{r}})$ , where  $\mathbf{a} + \ddot{\mathbf{r}}$  is the absolute acceleration of  $m$ . Denoting respectively by  $\boldsymbol{\lambda}_d = m(\mathbf{a} + \ddot{\mathbf{r}})$  and by  $\boldsymbol{\lambda}_s = -\mathbf{w}$  the dynamic and the static interaction forces exchanged between the BTMD and its support, and by  $\mathbf{R} = \mathbf{N} + \mathbf{f}_\mu$  the total reaction force vector at the contact point, the same equilibrium equation provides  $\mathbf{N} = \boldsymbol{\lambda}_s + \boldsymbol{\lambda}_d - \mathbf{f}_d$ , which completely defines  $f_\mu$  in Eq. (1).

### 2.3 The fully nonlinear 3D model of the absorber

The equation of motion of the absorber is here derived by applying the following Euler-Lagrange equation to the mass  $m$  [12,13]:

$$\frac{d}{dt} \left( \frac{\partial T}{\partial \dot{\mathbf{q}}} \right) - \frac{\partial T}{\partial \mathbf{q}} + \frac{\partial V_g}{\partial \mathbf{q}} + \mathbf{Q}_i + \mathbf{Q}_e = \mathbf{0} \quad (5)$$

where  $T = \frac{m}{2} \dot{\mathbf{r}}^T \dot{\mathbf{r}}$  is the kinetic energy;  $V_g = mgw$  is the gravitational potential energy;  $\mathbf{Q}_i = -\mathbf{J}^T \mathbf{f}_d$  is the generalized internal force due to the total dissipative force  $\mathbf{f}_d$  (representing the projection of  $\mathbf{f}_d$  on the local tangent vectors  $\frac{\partial \mathbf{r}}{\partial u}$  and  $\frac{\partial \mathbf{r}}{\partial v}$ ); and  $\mathbf{Q}_e = m\mathbf{J}^T \mathbf{a}$  is the generalized external force due to the support acceleration. By deriving the first three terms of Eq. (5) as specified in [12,13] and by denoting as  $\mathbf{M}^q = m\mathbf{J}^T \mathbf{J}$  the generalized mass matrix of the absorber, the following nonlinear matrix differential equation is obtained, representing the fully nonlinear 3D BTMD model [13]:

$$\mathbf{M}^q \ddot{\mathbf{q}} + \mathbf{Q}_i + mg\nabla w = -m\mathbf{J}^T \mathbf{a} - \left( \dot{\mathbf{M}}^q \dot{\mathbf{q}} - \frac{\partial T}{\partial \mathbf{q}} \right) \quad (6)$$

where the three terms on the left-hand side respectively represent the generalized inertia force vector, the generalized dissipative force vector and the generalized gravitational restoring force vector, while the two

terms on the right-hand side respectively represent the generalized external force vector and a second-order term that couples  $\mathbf{q}$  and  $\dot{\mathbf{q}}$ .

The dissipative term on the left-hand side is on its turn expressed by:

$$\mathbf{Q}_i = -\mathbf{J}^T \mathbf{f}_d = f_c \mathbf{J}^T \hat{\mathbf{c}} + f_p \mathbf{J}^T \hat{\mathbf{p}} + f_\mu \mathbf{J}^T \hat{\mathbf{t}} + f_r \mathbf{J}^T \hat{\mathbf{k}} \quad (7)$$

where the four terms on the right-hand side can be developed as follows:

$$f_c \mathbf{J}^T \hat{\mathbf{c}} = c \dot{s}_c \mathbf{J}^T \hat{\mathbf{c}} = c \left( \frac{\partial s_c}{\partial \mathbf{q}} \right)^T \dot{\mathbf{q}} \frac{\partial s_c}{\partial \mathbf{q}} = c [\mathbf{J}^T \hat{\mathbf{c}} \hat{\mathbf{c}}^T \mathbf{J}] \dot{\mathbf{q}} \quad (8)$$

$$f_p \mathbf{J}^T \hat{\mathbf{p}} = \text{sign}(\dot{s}_p) p \mathbf{J}^T \hat{\mathbf{p}} = \text{sign} \left( \left( \frac{\partial s_p}{\partial \mathbf{q}} \right)^T \dot{\mathbf{q}} \right) p \frac{\partial s_p}{\partial \mathbf{q}} = \text{sign}(\hat{\mathbf{p}}^T \mathbf{J} \dot{\mathbf{q}}) p \mathbf{J}^T \hat{\mathbf{p}} \quad (9)$$

$$f_\mu \mathbf{J}^T \hat{\mathbf{t}} = \mu(\mathbf{q}) N \mathbf{J}^T \mathbf{J} \dot{\mathbf{q}} / \sqrt{\dot{\mathbf{q}}^T \mathbf{J}^T \mathbf{J} \dot{\mathbf{q}}} \quad (10)$$

$$f_r \mathbf{J}^T \hat{\mathbf{k}} = f_r \nabla w \quad (11)$$

and represent, respectively, the generalized viscous, axial-friction, tangential-friction and bumper force vectors. Eqs. (8), (10) and (11) are already present in [13]. Eq. (9) is implicitly present in [12].

## 2.4 The fully nonlinear 3D model of the absorber on a linear MDOF structure

The dynamic equation of motion of a linear multi-degree-of-freedom (MDOF) structure equipped with the BTMD and subjected to external forces and ground accelerations is expressed by:

$$\mathbf{M}_s \ddot{\mathbf{q}}_s + \mathbf{C}_s \dot{\mathbf{q}}_s + \mathbf{K}_s \mathbf{q}_s + \mathbf{L}^T \boldsymbol{\lambda}_d = \mathbf{f}_s - \mathbf{M}_s \mathbf{R}_s \ddot{\mathbf{r}}_g \quad (12)$$

where  $\mathbf{q}_s$  is the structural DOFs vector;  $\mathbf{M}_s$ ,  $\mathbf{C}_s$  and  $\mathbf{K}_s$  are the structural mass, damping and stiffness matrices;  $\mathbf{f}_s$  is the external force vector;  $\ddot{\mathbf{r}}_g = [\ddot{u}_g \ \ddot{v}_g \ \ddot{w}_g]^T$  is the ground acceleration vector;  $\boldsymbol{\lambda}_d$  is the dynamic interaction force vector between the BTMD and its structural support;  $\mathbf{L}$  is the kinematic transformation matrix; and  $\mathbf{R}_s$  is the input topological matrix.

By expressing the absolute acceleration at the structural support as  $\mathbf{a} = \mathbf{L}(\ddot{\mathbf{q}}_s + \mathbf{R}_s \ddot{\mathbf{r}}_g)$  and the relative acceleration of the BTMD as  $\ddot{\mathbf{r}} = \mathbf{J} \ddot{\mathbf{q}} + \dot{\mathbf{J}} \dot{\mathbf{q}}$ , the dynamic interaction force  $\boldsymbol{\lambda}_d$  in Eq. (12) can be given as [12,13]:

$$\boldsymbol{\lambda}_d = m(\mathbf{a} + \ddot{\mathbf{r}}) = m(\mathbf{L} \ddot{\mathbf{q}}_s + \mathbf{L} \mathbf{R}_s \ddot{\mathbf{r}}_g + \mathbf{J} \ddot{\mathbf{q}} + \dot{\mathbf{J}} \dot{\mathbf{q}}) \quad (13)$$

By combining Eqs. (6), (12) and (13), the fully nonlinear 3D coupled equation of motion of the structure-BTMD combined system under external force and ground acceleration input is finally obtained as:

$$\begin{aligned} \begin{bmatrix} \mathbf{M}_s + m \mathbf{L}^T \mathbf{L} & m \mathbf{L}^T \mathbf{J} \\ m \mathbf{J}^T \mathbf{L} & \mathbf{M}^q \end{bmatrix} \begin{bmatrix} \ddot{\mathbf{q}}_s \\ \ddot{\mathbf{q}} \end{bmatrix} + \begin{bmatrix} \mathbf{C}_s & \mathbf{0} \\ \mathbf{0} & \mathbf{0} \end{bmatrix} \begin{bmatrix} \dot{\mathbf{q}}_s \\ \dot{\mathbf{q}} \end{bmatrix} + \begin{bmatrix} \mathbf{0} \\ \mathbf{Q}_i \end{bmatrix} + \begin{bmatrix} \mathbf{K}_s & \mathbf{0} \\ \mathbf{0} & \mathbf{0} \end{bmatrix} \begin{bmatrix} \mathbf{q}_s \\ \mathbf{q} \end{bmatrix} = \\ = \begin{bmatrix} \mathbf{f}_s \\ \mathbf{0} \end{bmatrix} - \begin{bmatrix} \mathbf{M}_s + m \mathbf{L}^T \mathbf{L} \\ m \mathbf{J}^T \mathbf{L} \end{bmatrix} \mathbf{R}_s \ddot{\mathbf{r}}_g - \begin{bmatrix} m \mathbf{L}^T \mathbf{J} \dot{\mathbf{q}} \\ \dot{\mathbf{M}}^q \dot{\mathbf{q}} - \frac{\partial T}{\partial \mathbf{q}} \end{bmatrix} \end{aligned} \quad (14)$$

## 2.5 The first-order 3D model

By developing in Taylor series each expression of Eqs. (7) to (11) and by truncating higher-order terms, the first-order approximation of the fully nonlinear 3D model of the absorber is obtained as in [12,13]. In particular, the various terms are approximated as follows:

- the generalized inertia force vector in Eq. (6) becomes  $\mathbf{M}^q \ddot{\mathbf{q}} \approx m \ddot{\mathbf{q}}$ ;
- the surface gradient vector in Eq. (6) becomes  $\nabla w \approx \mathbf{H}_w \mathbf{q}$ , where [12,13]:

$$\mathbf{H}_w = \begin{bmatrix} 1/L_x & 0 \\ 0 & 1/L_y \end{bmatrix} = \begin{bmatrix} h_{wx} & 0 \\ 0 & h_{wy} \end{bmatrix} \quad (15)$$

is the Hessian matrix of  $w(\mathbf{q})$  in  $\mathbf{q} = \mathbf{0}$ , and  $L_x$  and  $L_y$  are the pendulum lengths along  $x$  and  $y$ , with  $L_x \leq L_y$  all through this paper, by convention;

- the generalized restoring force vector in Eq. (6) becomes  $mg \nabla w \approx mg \mathbf{H}_w \mathbf{q} = \mathbf{K}_w \mathbf{q}$ , where [12,13]:

$$\mathbf{K}_w = \begin{bmatrix} mg/L_x & 0 \\ 0 & mg/L_y \end{bmatrix} = \begin{bmatrix} k_{wx} & 0 \\ 0 & k_{wy} \end{bmatrix} \quad (16)$$

is the equivalent pendulum stiffness matrix, and  $k_{wx}$  and  $k_{wy}$  are the equivalent pendulum stiffness coefficients along  $x$  and  $y$ ;

- the generalized viscous force vector in Eq. (8), assuming that the undeformed viscous damper lies in the  $xy$  plane, becomes [13]:

$$f_c \mathbf{J}^T \hat{\mathbf{c}} \approx c [\hat{\mathbf{c}}_{0h} \hat{\mathbf{c}}_{0h}^T] = c \begin{bmatrix} \hat{c}_{0x}^2 & \hat{c}_{0x} \hat{c}_{0y} \\ \hat{c}_{0x} \hat{c}_{0y} & \hat{c}_{0y}^2 \end{bmatrix} \dot{\mathbf{q}} = \mathbf{C} \dot{\mathbf{q}} \quad (17)$$

where  $\hat{\mathbf{c}}_{0h} = \hat{\mathbf{c}}_0(1:2) = [\hat{c}_{0x}, \hat{c}_{0y}]^T$  and  $\mathbf{C}$  is the BTMD viscous damping matrix;

- the generalized friction force vector in Eq. (9), assuming that the undeformed friction damper is vertically oriented, becomes [12]:

$$f_p \mathbf{J}^T \hat{\mathbf{p}} \approx f_p \mathbf{H}_p \mathbf{q} = \text{sign}(\dot{s}_p) p \mathbf{H}_p \mathbf{q} = \text{sign}(\dot{s}_p) \mathbf{K}_p \mathbf{q} \quad (18)$$

where:

$$\mathbf{H}_p = \begin{bmatrix} 1/L_x + 1/l_{p0} & 0 \\ 0 & 1/L_y + 1/l_{p0} \end{bmatrix} = \begin{bmatrix} h_{px} & 0 \\ 0 & h_{py} \end{bmatrix} \quad (19)$$

is the Hessian matrix of  $s_p(\mathbf{q})$  in  $\mathbf{q} = \mathbf{0}$ ,

$$\mathbf{K}_p = p \mathbf{H}_p = p \begin{bmatrix} h_{px} & 0 \\ 0 & h_{py} \end{bmatrix} = \begin{bmatrix} k_{px} & 0 \\ 0 & k_{py} \end{bmatrix} \quad (20)$$

is the equivalent axial-friction stiffness matrix, and

$$\dot{s}_p = \mathbf{q}^T \mathbf{H}_p^T \dot{\mathbf{q}} = h_{px} u \dot{u} + h_{py} v \dot{v} \quad (21)$$

is the first-order approximation of the friction damper axial elongation rate.

- the friction coefficient in Eq. (10), assuming the friction pattern expressed by Eq. (2), becomes:

$$\mu(\mathbf{q}) \approx \|\boldsymbol{\mu}_0 \mathbf{H}_w \mathbf{q}\| \quad (22)$$

- the normal component of the reaction force vector in Eq. (10) becomes [13]:

$$N \approx m(g + a_z) = mg N_0 \quad (23)$$

where its weight-normalized expression  $N_0 = N/mg = (1 + a_z/g)$  has been introduced;

- the generalized friction force vector in Eq. (10), considering Eqs. (22) and (23) and observing that  $\mathbf{J}^T \dot{\mathbf{q}} \approx \dot{\mathbf{q}}$  and  $\sqrt{\dot{\mathbf{q}}^T \mathbf{J}^T \dot{\mathbf{q}}} \approx \sqrt{\dot{\mathbf{q}}^T \dot{\mathbf{q}}} = \|\dot{\mathbf{q}}\|$ , becomes [13]:

$$f_\mu \mathbf{J}^T \hat{\mathbf{t}} \approx mg N_0 \|\boldsymbol{\mu}_0 \mathbf{H}_w \mathbf{q}\| \dot{\mathbf{q}} / \|\dot{\mathbf{q}}\| = N_0 \|\boldsymbol{\mu}_0 \mathbf{K}_w \mathbf{q}\| \dot{\mathbf{q}} / \|\dot{\mathbf{q}}\| \quad (24)$$

- the generalized bumper force in Eq. (11), observing that the bumper is not activated in the small-displacement domain, becomes  $f_r \mathbf{J}^T \hat{\mathbf{k}} = \mathbf{0}$ ;
- the generalized external force vector in Eq. (6) becomes  $-m \mathbf{J}^T \mathbf{a} = -m \mathbf{a}_h - m \nabla w \approx -m \mathbf{a}_h - a_z/g \mathbf{K}_w \mathbf{q}$ , where  $\mathbf{a}_h = \mathbf{a}(1:2) = [a_x, a_y]^T$  and  $a_z = \mathbf{a}(3)$  are the horizontal and vertical components of the vector of support accelerations, respectively;
- the second-order term in Eq. (6) becomes  $\dot{\mathbf{M}}^a \dot{\mathbf{q}} - \frac{\partial T}{\partial \dot{\mathbf{q}}} \approx \mathbf{0}$ .

With the approximations listed above, the first-order 3D model of the BTMD finally becomes:

$$m \ddot{\mathbf{q}} + \mathbf{C} \dot{\mathbf{q}} + N_0 \mathbf{K}_w \mathbf{q} + \text{sign}(\dot{s}_p) \mathbf{K}_p \mathbf{q} + N_0 \|\boldsymbol{\mu}_0 \mathbf{K}_w \mathbf{q}\| \dot{\mathbf{q}} / \|\dot{\mathbf{q}}\| = -m \mathbf{a}_h \quad (25)$$

and the first-order 3D model of the coupled structure-BTMD system becomes:

$$\begin{bmatrix} \mathbf{M}_s + m \mathbf{L}_h^T \mathbf{L}_h & m \mathbf{L}_h^T \\ m \mathbf{L}_h & m \mathbf{I} \end{bmatrix} \begin{bmatrix} \dot{\mathbf{q}}_s \\ \dot{\mathbf{q}} \end{bmatrix} + \begin{bmatrix} \mathbf{C}_s & \mathbf{0} \\ \mathbf{0} & \mathbf{C} + N_0 \|\boldsymbol{\mu}_0 \mathbf{K}_w \mathbf{q}\| / \|\dot{\mathbf{q}}\| \end{bmatrix} \begin{bmatrix} \dot{\mathbf{q}}_s \\ \dot{\mathbf{q}} \end{bmatrix} + \begin{bmatrix} \mathbf{K}_s & \mathbf{0} \\ \mathbf{0} & N_0 \mathbf{K}_w + \text{sign}(\dot{s}_p) \mathbf{K}_p \end{bmatrix} \begin{bmatrix} \mathbf{q}_s \\ \mathbf{q} \end{bmatrix} = \begin{bmatrix} \mathbf{f}_s \\ \mathbf{0} \end{bmatrix} - \begin{bmatrix} \mathbf{M}_s + m \mathbf{L}_h^T \mathbf{L}_h \\ m \mathbf{L}_h \end{bmatrix} \mathbf{R}_s \ddot{\mathbf{r}}_g \quad (26)$$

where  $\mathbf{L}_h = \mathbf{L}(1:2)$  are the first two columns of  $\mathbf{L}$ , and  $\dot{s}_p$  is given by Eq. (21).

The following fundamental properties of the BTMD, valid in the small-displacement domain, are expressed by Eq. (25):

- (i) the inertia force vector  $m \ddot{\mathbf{q}}$  and the restoring force vector  $N_0 \mathbf{K}_w \mathbf{q}$  are linear and uncoupled along  $x$  and  $y$ ; if multiple viscous dampers are modelled, the viscous force vector  $\mathbf{C} \dot{\mathbf{q}}$  in Eq. (25) results from the summation of their respective contributions, each computed according to Eq. (17); if, as here assumed, all viscous dampers lie in the horizontal plane,  $\mathbf{C} \dot{\mathbf{q}}$  is linear too and independent from the length of the dampers, though not uncoupled unless every damper is parallel to either  $x$  or  $y$ , which would make  $\mathbf{C}$  diagonal;

(ii) through the  $N_0$  term, the vertical support acceleration  $a_z$  affects the horizontal response of the BTMD even in the first-order model, entering both the restoring force and the tangential-friction force vectors;

(iii) the generalized axial-friction force vector  $\text{sign}(\dot{s}_p)\mathbf{K}_p\mathbf{q}$  has modulus and direction defined by the product  $\mathbf{K}_p\mathbf{q}$ , linear in  $\mathbf{q}$  and radially oriented, but has the sign of  $\dot{s}_p$ , which, according to Eq. (21), ultimately makes it a nonlinear coupled function of  $\mathbf{q}$  and  $\dot{\mathbf{q}}$ ;

(iv) the generalized tangential-friction force vector  $N_0\|\boldsymbol{\mu}_0\mathbf{K}_w\mathbf{q}\|\dot{\mathbf{q}}/\|\dot{\mathbf{q}}\|$  has modulus  $N_0\|\boldsymbol{\mu}_0\mathbf{K}_w\mathbf{q}\|$  and has direction and sign of the tangent versor  $\dot{\mathbf{q}}/\|\dot{\mathbf{q}}\|$ ; because modulus, direction and sign are nonlinear and coupled, the generalized tangential-friction force vector too is a nonlinear coupled function of  $\mathbf{q}$  and  $\dot{\mathbf{q}}$ ;

(v) both the generalized axial-friction and tangential-friction force vectors are homogeneous functions of  $\mathbf{q}$  and  $\dot{\mathbf{q}}$  (i.e. functions proportionally affected by any arbitrary scalar  $\gamma$  factor of  $\mathbf{q}$  and  $\dot{\mathbf{q}}$ ); because all terms on the left-hand side of Eq. (25) are also homogeneous, the equation itself is homogeneous and its solution is proportional to the horizontal acceleration  $\mathbf{a}_h$  appearing at the right-hand side (not to the vertical acceleration  $a_z$ , though), which makes each friction BTMD a first-order nonlinear but homogeneous system.

## 2.6 The simplified 2D model

In order to establish an optimal BTMD design method, it is convenient to further simplify the 3D first-order models by assuming that: (i) the problem is planar in one vertical coordinate plane, so the 3D model degenerates into a 2D model in that plane; (ii) in that plane, the structural target frequency is far from the other structural frequencies, so the MDOF structure can be modelled as a 1DOF mode-generalized system [16]; (iii) the vertical acceleration input  $a_z$  is negligible (i.e.  $N_0 = 1$ ). Under these conditions, assuming with no loss of generality that the said vertical plane is  $xz$ , Eqs. (25) and (26) respectively become:

$$m\ddot{u} + c_x\dot{u} + k_{wx}[1 + \text{sign}(u\dot{u})\chi_x + \text{sign}(u\dot{u})\mu_{0x}]u = -ma_x \quad (27)$$

and:

$$\begin{aligned} \begin{bmatrix} m_{sx} + m & m \\ m & m \end{bmatrix} \begin{bmatrix} \ddot{u}_s \\ \ddot{u} \end{bmatrix} + \begin{bmatrix} c_{sx} & 0 \\ 0 & c_x \end{bmatrix} \begin{bmatrix} \dot{u}_s \\ \dot{u} \end{bmatrix} + \begin{bmatrix} k_{sx} & 0 \\ 0 & k_{wx}[1 + \text{sign}(u\dot{u})\chi_x + \text{sign}(u\dot{u})\mu_{0x}] \end{bmatrix} \begin{bmatrix} u_s \\ u \end{bmatrix} = \\ = \begin{bmatrix} f_{sx} \\ 0 \end{bmatrix} - \begin{bmatrix} m_{sx} + m \\ m \end{bmatrix} \ddot{u}_g \end{aligned} \quad (28)$$

where  $u_s$  is the relative displacement of the main structure along  $x$ ;  $m_{sx}$ ,  $c_{sx}$  and  $k_{sx}$  are the generalized mass, damping coefficient and stiffness of the main structure along  $x$ ;  $f_{sx}$  is the external force acting on the main structure along  $x$ ;  $c_x$  is the viscous damping coefficient of the BTMD along  $x$ ; and

$$\chi_x = \frac{k_{px}}{k_{wx}} = \frac{p}{mg} \left(1 + \frac{L_x}{l_{p0}}\right) \quad (29)$$

is the axial-friction damping ratio along  $x$ .

Dividing Eq. (27) and the second line of Eq. (28) by  $m$ , and the first line of Eq. (28) by  $m_{sx}$ , Eqs. (27) and (28) can be respectively recast in modal terms as follows:

$$\ddot{u} + 2\zeta_x\omega_x\dot{u} + \omega_x^2[1 + \text{sign}(u\dot{u})\chi_x + \text{sign}(u\dot{u})\mu_{0x}]u = -a_x \quad (30)$$

$$\begin{aligned} \begin{bmatrix} 1 + m_{Rx} & m_{Rx} \\ 1 & 1 \end{bmatrix} \begin{bmatrix} \ddot{u}_s \\ \ddot{u} \end{bmatrix} + 2\omega_{sx} \begin{bmatrix} \zeta_{sx} & 0 \\ 0 & \zeta_x\omega_{Rx} \end{bmatrix} \begin{bmatrix} \dot{u}_s \\ \dot{u} \end{bmatrix} + \\ + \omega_{sx}^2 \begin{bmatrix} 1 & 0 \\ 0 & \omega_{Rx}^2[1 + \text{sign}(u\dot{u})\chi_x + \text{sign}(u\dot{u})\mu_{0x}] \end{bmatrix} \begin{bmatrix} u_s \\ u \end{bmatrix} = \begin{bmatrix} \bar{f}_{sx} \\ 0 \end{bmatrix} - \begin{bmatrix} 1 + m_{Rx} \\ 1 \end{bmatrix} \ddot{u}_g \end{aligned} \quad (31)$$

where  $m_{Rx} = m/m_{sx}$  is the BTMD mass ratio along  $x$ ;  $\omega_{sx} = \sqrt{k_{sx}/m_s}$  and  $\omega_x = \sqrt{g/L_x} = \sqrt{k_{wx}/m}$  are the circular frequencies of the main structure and of the BTMD along  $x$ ;  $\omega_{Rx} = \omega_x/\omega_{sx}$  is the BTMD frequency ratio along  $x$ ;  $\zeta_{sx} = c_{sx}/(2\omega_{sx}m_{sx})$  and  $\zeta_x = c_x/(2\omega_x m)$  are the viscous damping ratios of the main structure and the BTMD along  $x$ ; and  $\bar{f}_{sx} = f_{sx}/m_{sx}$  is the mass-normalized external force acting on the main structure along  $x$ .

Significantly, Eqs. (27), (28), (30) and (31) show that the first-order 2D analytical models of the two friction mechanisms are identical, but for the fact that their respective multiplicative factor is  $\chi_x$  for the axial friction and  $\mu_{0x}$  for the tangential friction. This was not the case for the first-order 3D models of

Eqs. (25) and (26). This result proves that the same optimization criterion and the same response are expected for the two friction options in 2D problems, whereas a different performance is expected in 3D problems.

According to Eq. (31), once the structure and the external input are assigned, the first-order response of the system entirely depends on the five dimensionless parameters  $m_{Rx}$ ,  $\omega_{Rx}$ ,  $\zeta_x$ ,  $\chi_x$  and  $\mu_{0x}$ , which completely define the BTMD and whose optimization is left to the following Section 3.

### 3. The design method

In this section, assuming the three damping types as mutually exclusive, the models derived in Section 2 are used to establish an optimal design method for a BTMD of either viscous type (V-BTMD) or homogeneous axial-friction type (HA-BTMD) or homogeneous tangential-friction type (HT-BTMD), with the aim of minimizing the displacement response of the structure to external force excitations. For any BTMD type, the design procedure comprises three stages, namely: (i) a 2D first-order optimization, (ii) a 3D first-order reconciliation and (iii) a 3D design completion, which develop as follows.

#### 3.1 The 2D first-order optimization

The first stage consists in the optimization of the parameters of the BTMD under the main assumption that its motion be uncoupled along  $x$  and  $y$  and confined to the small-displacement domain. If, moreover, the main structure can be schematized, in each horizontal direction, as a SDOF linear system under an external force input, then the optimization can be separately conducted along  $x$  and  $y$  based on the simplified 2D model presented in Section 2.6, formalized by Eq. (31) along  $x$  and by an analogue expression along  $y$ . Because the HA-BTMD and the HT-BTMD are described by the same 2D model, the procedure is unique for the two friction options.

Referring to the  $x$  direction for simplicity, optimization is here posed according to two possible classical design criteria. The first one is the  $H_\infty$  design criterion, aimed at the minimization of the worst-case steady-state structural response to a unit-amplitude harmonic input [5]. The second one is the  $H_2$  design criterion, aimed at the minimization of the root-mean-square (rms) structural response to a stationary Gaussian zero-mean white-noise input [17]. The two criteria have been widely used in the past to design linear TMDs [16], and the  $H_\infty$  criterion has been recently used by the author in [13] to design homogeneous friction TMDs on a structure undergoing ground accelerations. However, none of the two criteria has ever been applied to homogeneous friction TMDs on structures subjected to force excitations, and the  $H_2$  criterion has never been rigorously applied to homogeneous friction TMDs at all. In this section, the  $H_\infty$  and the  $H_2$  optimal design problems are set and solved for the V-BTMD and the two H-BTMD types, for a force input acting on the main structure. The  $H_\infty$ -optimal and the  $H_2$ -optimal BTMDs are subsequently evaluated through first an  $H_\infty$  performance analysis and then an  $H_2$  performance analysis, to illustrate the trade-off between the two design options.

Assuming the structure known and the BTMD mass ratio established according to cost-benefit expectations, the only two free design parameters, i.e. the frequency ratio and the damping ratio for the V-BTMD and the frequency ratio and the friction ratio for both H-BTMD types, are determined by minimizing either the  $H_\infty$  norm or the  $H_2$  norm of a selected input-output transfer function of the structure-BTMD system. Denoting with  $\omega$  the circular frequency of the external force input, the adopted transfer function is here the force-to-displacement transfer function  $T_{u_s \bar{f}_{sx}}(\omega)$ , computed from  $\bar{f}_{sx}$  to  $u_s$ . Introducing the response ratio  $R_x = \|T_{u_s \bar{f}_{sx}}\|_n^{con} / \|T_{u_s \bar{f}_{sx}}\|_n^{unc}$  as the ratio of the controlled to the uncontrolled  $H_n$  norm of  $T_{u_s \bar{f}_{sx}}(\omega)$  ( $n$  standing either for  $\infty$  or for 2), both the  $H_\infty$  and the  $H_2$  optimization problems can be formalized as follows:

(i) for the V-BTMD:

$$R_{xopt} = \min_{\omega_{Rx}, \zeta_x} R_x \quad (32)$$

(ii) for the HA-BTMD:

$$R_{xopt} = \min_{\omega_{Rx}, \chi_x} R_x \quad (33)$$

(iii) for the HT-BTMD:

$$R_{xopt} = \min_{\omega_{Rx}, \mu_{0x}} R_x \quad (34)$$

which respectively provide the V-BTMD optimal parameters  $\omega_{Rxopt}$  and  $\zeta_{xopt}$ , the HA-BTMD optimal parameters  $\omega_{Rxopt}$  and  $\chi_{xopt}$ , and the HT-BTMD optimal parameters  $\omega_{Rxopt}$  and  $\mu_{0xopt}$ . Because of the said equivalence between the two friction models, Eqs. (33) and (34) are in fact the same problem and produce the same optimal solution, valid for both H-BTMD types. Moreover, because  $R_x$  only depends on the structural damping ratio  $\zeta_{sx}$  and on the dimensionless BTMD parameters, the solutions to Eqs. (32) to (34) turn out to exclusively depend on  $\zeta_{sx}$  and  $m_{Rx}$ .

Of the three optimization problems above, Eq. (32) is the classical formulation for linear TMDs, already solved analytically for undamped structures and numerically for damped structures, both in  $H_\infty$  and in  $H_2$  terms [16,5,18,19]. Eqs. (33) and (34) are instead new. Their solution is here obtained through a numerical optimization, based on a branch and bound algorithm followed by a nonlinear least-square solver. The objective function  $R_x$ , which can be computed in an exact way for the linear system in Eq. (32), can only be computed in an approximate way for the nonlinear system in Eqs. (33) or (34), no matter if the  $H_\infty$  or the  $H_2$  strategy is adopted. In the  $H_\infty$  case, the transfer function  $T_{u_s \bar{f}_{sx}}$ , which for the linear system in Eq. (32) admits a closed-form expression, must be computed by points for the nonlinear system in Eqs. (33) or (34), i.e. through simulating the system separately under each harmonic input frequency, until the response amplitude becomes acceptably steady. The maximum of  $T_{u_s \bar{f}_{sx}}$  over all the explored frequencies provides the desired  $H_\infty$  norm. In the  $H_2$  case, the stationary rms response, which for the linear system can be obtained from the output covariance matrices by solving the classical Lyapunov equation [20], must be computed through Monte Carlo simulations for the nonlinear system, i.e. by averaging the rms response of the system to several realizations of the stochastic input process. To achieve a satisfactory stabilization, 200 realizations are here considered, each one having duration  $t_{tot} = 3600T_{sx}$  and sampling time  $t_s = 0.01T_{sx}$ , where  $T_{sx} = 2\pi/\omega_{sx}$  is the period of the main structure.

For  $\zeta_{sx} = 2\%$  and  $m_{Rx} = 3\%$ , the solution of the  $H_\infty$  optimization problem is reported in Figure 2a, where the transfer functions drawn for, respectively, the optimal V-BTMD and the optimal H-BTMD appear nearly identical, with the H-BTMD transfer function slightly lower and shifted rightwards. Figures 2b to 2d report, for the same two optimal absorbers, the time response of the system under the first 15 cycles of a sinusoidal input,  $\bar{f}_{sx} = \bar{f}_{sx0} \sin(\omega_{sx} t)$ , applied at the frequency of the uncontrolled structure. For generality, all displacements are normalized to the static displacement amplitude  $u_{s0} = m_{sx} \bar{f}_{sx0} / k_{sx} = \bar{f}_{sx0} / \omega_{sx}^2$  and all forces to the equivalent force amplitude  $m_{sx} \bar{f}_{sx0}$ . In particular, Figures 2b and 2c report the time-histories of the normalized displacements of, respectively, the structure and the BTMD, while Figure 2d reports the normalized hysteresis loops of the BTMD, with  $f_{dx}$  denoting the  $x$  component of the dissipative force  $\mathbf{f}_d$ . Like Figure 2a, Figures 2b and 2c show that the V-BTMD and the H-BTMD produce similar overall results. Figure 2d shows the different constitutive laws of the two mechanisms, which result in elliptic loops for the V-BTMD and in triangular loops for the H-BTMD, but which provide a nearly identical cyclic energy dissipation, independently from the excitation amplitude. Denoting by  $u_{max}$  the steady-state displacement amplitude of the H-BTMD, by  $E_d$  its cyclic energy dissipation, equalling  $2k_{wx}\chi_x u_{max}^2$  for the HA-BTMD and  $2k_{wx}\mu_{0x} u_{max}^2$  for the HT-BTMD, and by  $E_w = \frac{1}{2} k_{wx} u_{max}^2$  its maximum stored potential energy, the equivalent viscous damping ratio of the H-BTMD can be classically expressed as  $\zeta_{x,eq} = \frac{1}{4\pi} \frac{E_d}{E_w}$  and turns out to equal  $\chi_x/\pi$  for the HA-BTMD and  $\mu_{0x}/\pi$  for the HT-BTMD.

By repeating the  $H_\infty$  optimization for  $m_{Rx}$  ranging from 1‰ to 20%, Table 1 and Figure 3 are obtained, which provide the  $H_\infty$ -optimal dimensionless parameters and the corresponding  $H_\infty$  response ratios for the V-BTMD and for both H-BTMD types. For convenience, in both Table 1 and Figure 3b the optimal friction ratios  $\chi_{xopt}$  and  $\mu_{0xopt}$  are expressed in terms of equivalent viscous damping, i.e. divided by  $\pi$ .

Referring to the V-BTMD (red dotted lines), Figure 3 shows well-known trends, with both  $\omega_{Rxopt}$  and  $R_{xopt}$  decreasing from 1, and  $\zeta_{xopt}$  increasing from 0. Referring to the H-BTMD (blue continuous lines), Figure 3 shows that: (i)  $\omega_{Rxopt}$  decreases from 1 much less rapidly than in the viscous case: the larger the mass ratio, the stiffer the optimal H-BTMD w.r.t. the optimal V-BTMD (i.e. the more concave the H-

BTMD profile w.r.t. the V-BTMD profile); (ii)  $\chi_{xopt}/\pi$  and  $\mu_{0xopt}/\pi$  are lower than  $\zeta_{xopt}$  but converge to it as  $m_{Rx}$  tends to zero; (iii)  $R_{xopt}$  is nearly identical but systematically smaller than its viscous counterpart; therefore, if correctly optimized, homogeneous friction proves even more effective than viscous damping for TMD applications. Beside the V-BTMD (red line) and the H-BTMD (blue line), Figure 3c also presents a third type of BTMD (green line), denoted as the H-BTMD\*, here defined, as in [12], as the suboptimal H-BTMD whose frequency ratio  $\omega_{Rxopt}$  equals that of the optimal V-BTMD (red line in Figure 2b), and whose equivalent viscous damping ratio,  $\chi_{xopt}/\pi$  or  $\mu_{0xopt}/\pi$ , equals the damping ratio  $\zeta_{xopt}$  of the optimal V-BTMD (red line in Figure 3b). Clearly from Figure 3, the H-BTMD\* appears as effective as the optimal H-BTMD for very small values of  $m_{Rx}$ , i.e. when the optimal parameters for the H-BTMD and for the V-BTMD tend to coincide (Figures 3a and 3b), but less effective for larger values of  $m_{Rx}$ , when the optimal H-BTMD gets much stiffer and less damped than its viscous counterpart. For  $m_{Rx} = 10\%$ , e.g.,  $R_{xopt}$  is 35% larger for the H-BTMD\* than for the H-BTMD.

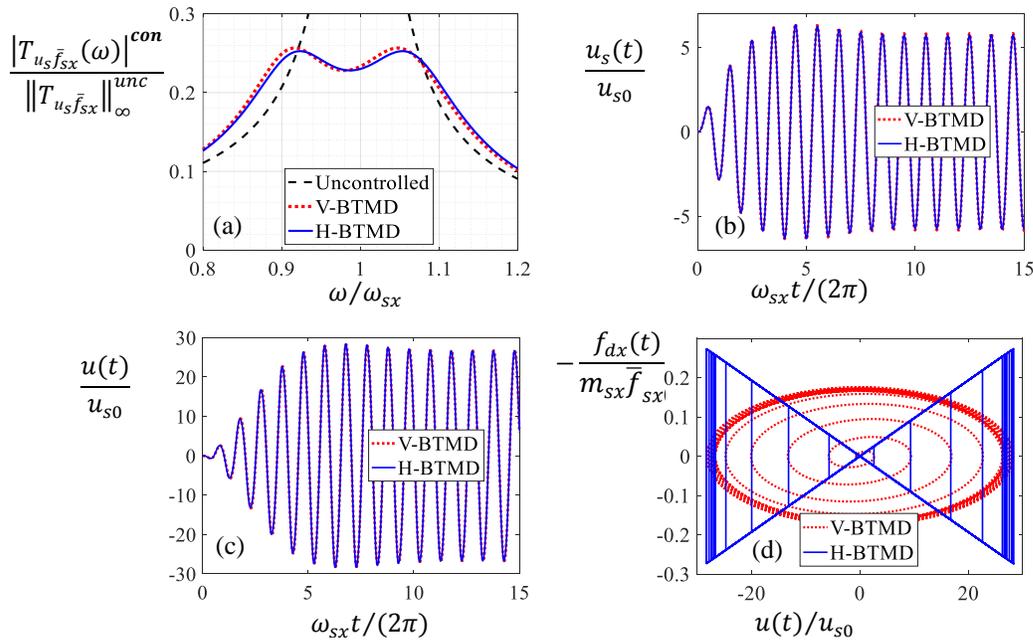


Figure 2. Frequency and time response of a structure-BTMD system under harmonic force input, for  $\zeta_{sx} = 2\%$  and  $m_{Rx} = 3\%$ : (a) transfer function; (b) structural displacement; (c) BTMD stroke; (d) BTMD constitutive law.

Table 1.  $H_\infty$  optimal design of V-BTMDs and H-BTMDs under force excitation as a function of  $m_{Rx}$ , for  $\zeta_{sx} = 2\%$ .

$m_{Rx}$	V-BTMD			H-BTMD		
	$\omega_{Rxopt}$	$\zeta_{xopt}$	$R_{xopt}$	$\omega_{Rxopt}$	$\chi_{0xopt}/\pi ; \mu_{0xopt}/\pi$	$R_{xopt}$
0.001	0.9977	0.0221	0.6807	0.9988	0.0221	0.6802
0.002	0.9963	0.0301	0.5916	0.9983	0.0300	0.5909
0.005	0.9926	0.0460	0.4681	0.9973	0.0458	0.4669
0.010	0.9869	0.0638	0.3785	0.9958	0.0632	0.3765
0.020	0.9761	0.0887	0.2981	0.9932	0.0871	0.2950
0.030	0.9658	0.1076	0.2566	0.9907	0.1045	0.2526
0.040	0.9558	0.1233	0.2298	0.9883	0.1185	0.2251
0.050	0.9461	0.1370	0.2106	0.9857	0.1302	0.2053
0.060	0.9366	0.1491	0.1960	0.9832	0.1403	0.1902
0.070	0.9274	0.1602	0.1843	0.9804	0.1485	0.1780

0.080	0.9184	0.1704	0.1747	0.9779	0.1564	0.1681
0.090	0.9095	0.1798	0.1666	0.9750	0.1629	0.1596
0.100	0.9009	0.1886	0.1596	0.9722	0.1690	0.1524
0.120	0.8841	0.2046	0.1483	0.9670	0.1808	0.1406
0.140	0.8680	0.2190	0.1393	0.9615	0.1901	0.1313
0.160	0.8525	0.2321	0.1320	0.9558	0.1982	0.1237
0.180	0.8376	0.2441	0.1259	0.9501	0.2052	0.1174
0.200	0.8232	0.2552	0.1207	0.9445	0.2113	0.1121

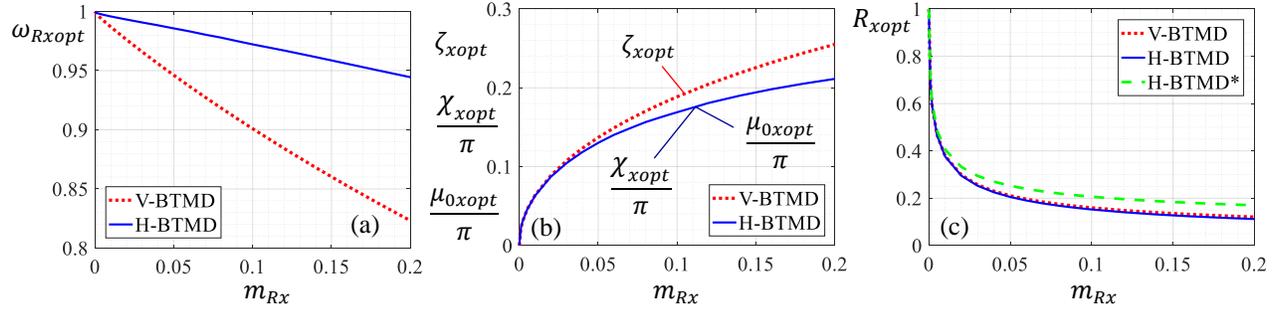


Figure 3.  $H_\infty$  optimal design of the V-BTMD and the H-BTMD under force excitation as a function of  $m_{Rx}$ , for  $\zeta_{sx} = 2\%$ : (a) optimal frequency ratios; (b) optimal damping ratios; (c) optimal response ratios (including the H-BTMD\* option).

Similarly, Table 2 and Figure 4 report the results of the  $H_2$  optimization problem. The overall trends recognized in Table 1 and Figure 3 for the  $H_\infty$  case are here confirmed, with the optimal parameters only slightly modified (Figures 4a and 4b) and the optimal response ratios obviously increased (Figure 4c), as it is always the case whenever a TMD is evaluated in  $H_\infty$  terms rather than in  $H_2$  terms. Strongly reduced are, however, the differences between the response ratios corresponding to, respectively, the optimal H-BTMD and the suboptimal H-BTMD\* (Figure 4c), with the latter being now defined based on the parameters of the  $H_2$ -optimal V-BTMD. For  $m_{Rx} = 10\%$ , e.g.,  $R_{xopt}$  is only 2% larger for the H-BTMD\* than for the H-BTMD. Clearly, the H-BTMD\* approximates the optimal H-BTMD much better when the design is conducted in  $H_2$  terms than in  $H_\infty$  terms. Because the H-BTMD and the H-BTMD\* are still characterized by significantly different parameters, this good approximation reveals the greater insensitivity of the  $H_2$  performance to deviations of the TMD parameters from optimality, w.r.t. the  $H_\infty$  performance.

Table 2.  $H_2$  optimal design of V-BTMDs and H-BTMDs under force excitation as a function of  $m_{Rx}$ , for  $\zeta_{sx} = 2\%$ .

$m_{Rx}$	V-BTMD			H-BTMD		
	$\omega_{R_{xopt}}$	$\zeta_{xopt}$	$R_{xopt}$	$\omega_{R_{xopt}}$	$\chi_{0xopt}/\pi ; \mu_{0xopt}/\pi$	$R_{xopt}$
0.001	0.9989	0.0158	0.8972	0.9990	0.0159	0.8974
0.002	0.9981	0.0223	0.8493	0.9988	0.0226	0.8494
0.005	0.9956	0.0353	0.7693	0.9981	0.0357	0.7691
0.010	0.9916	0.0498	0.6996	0.9972	0.0504	0.6994
0.020	0.9839	0.0702	0.6259	0.9956	0.0703	0.6257
0.030	0.9765	0.0856	0.5823	0.9938	0.0857	0.5822
0.040	0.9693	0.0985	0.5518	0.9922	0.0990	0.5517
0.050	0.9622	0.1098	0.5284	0.9905	0.1095	0.5284
0.060	0.9553	0.1198	0.5095	0.9888	0.1192	0.5095
0.070	0.9485	0.1290	0.4937	0.9871	0.1278	0.4939

0.080	0.9418	0.1374	0.4803	0.9853	0.1358	0.4805
0.090	0.9353	0.1452	0.4686	0.9835	0.1430	0.4690
0.100	0.9289	0.1525	0.4582	0.9815	0.1498	0.4588
0.120	0.9165	0.1660	0.4405	0.9777	0.1623	0.4415
0.140	0.9045	0.1781	0.4259	0.9734	0.1733	0.4273
0.160	0.8929	0.1891	0.4135	0.9690	0.1833	0.4152
0.180	0.8817	0.1993	0.4026	0.9644	0.1924	0.4048
0.200	0.8708	0.2087	0.3931	0.9598	0.2007	0.3957

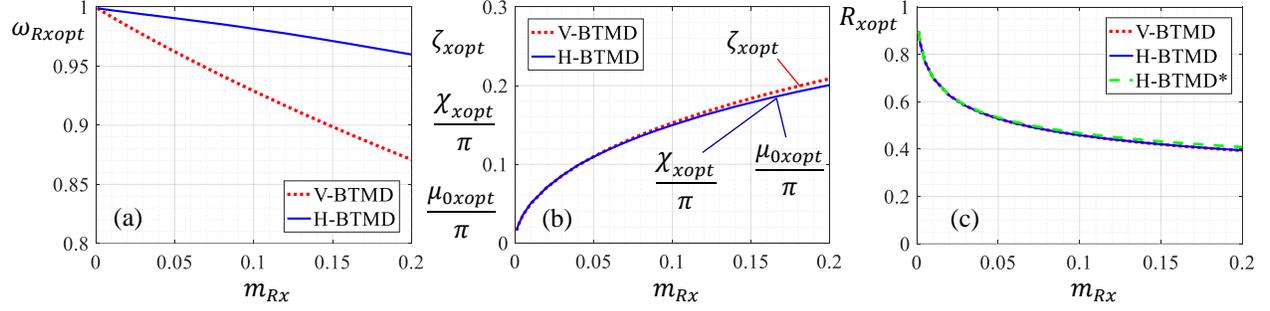


Figure 4.  $H_2$  optimal design of the V-BTMD and the H-BTMD under force excitation as a function of  $m_{Rx}$ , for  $\zeta_{sx} = 2\%$ : (a) optimal frequency ratios; (b) optimal damping ratios; (c) optimal response ratios (including the H-BTMD\* option).

To clarify this point, Figure 5 shows the  $H_\infty$  and the  $H_2$  response ratios obtained for a V-BTMD (red lines, on the left) and for an H-BTMD (blue lines, on the right), each optimized according to either the  $H_\infty$  criterion or the  $H_2$  criterion. For both BTMD types, it appears that the  $H_2$  response is not much influenced by the adopted design criterion (the two higher curves in each figure are quite coincident, with the  $H_2$ -designed BTMD outperforming the  $H_\infty$ -designed BTMD by less than 1.7%), whilst the  $H_\infty$  response significantly depends on the adopted design criterion (the two lower curves in each figure show large differences, with the  $H_\infty$ -designed BTMD outperforming the  $H_2$ -designed BTMD by more than 18%). This suggests that the  $H_\infty$  design is generally more robust than the  $H_2$  design for TMD applications and should be practically preferred whenever the excitation might possibly switch from a random input to a harmonic input concentrated in the frequency range of the main structure. Also, replacing the  $H_2$  design with the  $H_\infty$  design affects the  $H_2$  response ratios of the V-BTMD and the H-BTMD by substantially the same percentage, keeping their relative performance unchanged. For these reasons, in the remaining of this paper the  $H_\infty$  design will be considered as the standard approach and systematically adopted in all simulations.

What obtained above in the  $x$  direction equally holds in the  $y$  direction too, as long as the BTMD motion is supposed uncoupled. If, additionally, the two structural target modes along  $x$  and  $y$  have the same damping ratio  $\zeta_{sx} = \zeta_{sy} = \zeta_s$  and the same generalized mass  $m_{sx} = m_{sy} = m_s$ , as it will be constantly assumed in the sequel for simplicity, then the BTMD will have the same mass ratio  $m_{Rx} = m_{Ry} = m_R$  and therefore the same dimensionless optimal parameters along  $x$  and  $y$ :  $\omega_{Rxopt} = \omega_{Ryopt} = \omega_{Ropt}$ ,  $\zeta_{xopt} = \zeta_{yopt} = \zeta_{opt}$ ,  $\chi_{xopt} = \chi_{yopt} = \chi_{opt}$ , and  $\mu_{0xopt} = \mu_{0yopt} = \mu_{0opt}$ . The physical soundness of these parameters is discussed in Section 3.2 next.

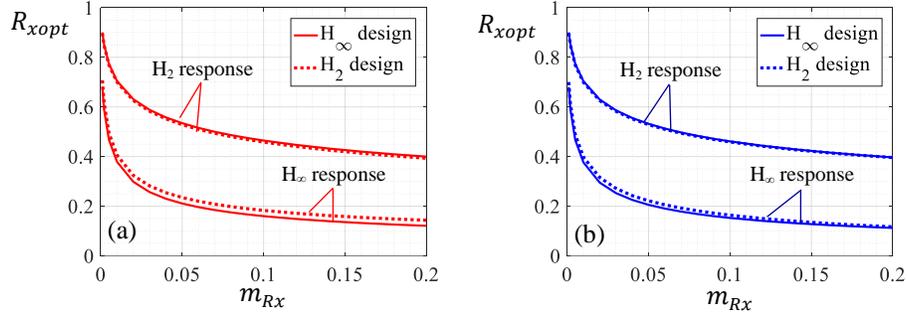


Figure 5.  $H_\infty$  and  $H_2$  response ratios for the V-BTMD (left) and the H-BTMD (right) optimized according to either the  $H_\infty$  criterion or the  $H_2$  criterion, as a function of  $m_{Rx}$  for  $\zeta_{sx} = 2\%$ .

### 3.2 The 3D first-order reconciliation

While the first stage of the procedure provides the dimensionless parameters of the BTMD which separately optimize its first-order 2D response along  $x$  and  $y$ , the second stage derives its corresponding physical parameters. This derivation, which is exact and univocal for the V-BTMD and the HT-BTMD, generally requires, for the HA-BTMD, an adjustment of the optimal dimensionless parameters obtained in the previous stage, to reconcile them with the constraints inherent in the spatial geometry of the device.

In fact, while for the V-BTMD the condition  $\zeta_{xopt} = \zeta_{yopt}$  is satisfied by adopting distinct dampers along  $x$  and  $y$ , and for the HT-BTMD the condition  $\mu_{0xopt} = \mu_{0yopt}$  is satisfied by adopting  $\mu_0 = \mu_{0opt}$  in Eq. (4), for the HA-BTMD the condition  $\chi_{xopt} = \chi_{yopt}$  is incompatible with the definition of  $\chi_x$  and  $\chi_y$  given by Eq. (29) and by its analogue expression in the  $y$  direction, except if  $L_x = L_y$  (axial-symmetry) or  $l_{p0} \rightarrow \infty$ . In all other cases, at least one between  $\chi_x$  and  $\chi_y$  cannot be optimal. To minimize the distance of  $\chi_x$  and  $\chi_y$  from  $\chi_{opt}$ , the position is therefore made that  $\chi_{opt} = \sqrt{\chi_x \chi_y}$  which, recalling that  $L_x \leq L_y$ , results in the following expressions for  $\chi_x$  and  $\chi_y$ :

$$\chi_x = \chi_{opt} \sqrt{\frac{1+L_x/l_{p0}}{1+L_y/l_{p0}}} \leq \chi_{opt} \quad \text{and} \quad \chi_y = \chi_{opt} \sqrt{\frac{1+L_y/l_{p0}}{1+L_x/l_{p0}}} \geq \chi_{opt} \quad (35)$$

and in the following expression for the slip force:

$$p = mg \frac{\chi_{opt}}{\sqrt{(1+L_x/l_{p0})(1+L_y/l_{p0})}} \quad (36)$$

If  $L_x = L_y$  or  $l_{p0} \rightarrow \infty$ , Eq. (36) ensures the HA-BTMD, both along  $x$  and  $y$ , the same 2D response as the optimal HT-BTMD. In all other cases, Eq. (36) makes the HA-BTMD suboptimal in both directions, namely underdamped along  $x$  and overdamped along  $y$ , to an extent which increases with the ratio  $L_y/L_x$  and decreases with  $l_{p0}$ . In all cases,  $l_{p0}$  appears an independent design parameter for the HA-BTMD, always affecting its first-order response.

In conclusion, once the optimal dimensionless parameters  $\omega_{Ropt}$ ,  $\zeta_{opt}$ ,  $\chi_{opt}$  and  $\mu_{0opt}$  are known, they can be used, together with  $m_R$ ,  $l_{p0}$  and all the relevant structural parameters, to determine all BTMD dimensional parameters involved in the first-order model, i.e.: the BTMD mass ( $m = m_R m_s$ ); the pendulum frequencies ( $\omega_x = \omega_{Ropt} \omega_{sx}$  and  $\omega_y = \omega_{Ropt} \omega_{sy}$ ) and lengths ( $L_x = g/\omega_x^2$  and  $L_y = g/\omega_y^2$ ); the damping coefficients for the V-BTMD ( $c_x = 2\zeta_{opt} \omega_x m$  and  $c_y = 2\zeta_{opt} \omega_y m$ , if one damper is supposed in each direction); the slip force for the HA-BTMD ( $p$  according to Eq. (36)); and the friction pattern for the HT-BTMD ( $\mu = \mu_{0opt} \|\nabla w\|$ ). The remaining BTMD parameters, involved beyond the range of small displacements, are instead determined as explained in Section 3.3 next.

### 3.3 The 3D design completion

The third stage of the procedure is the 3D design completion, meant to provide the BTMD parameters which, affecting the response of the absorber only in the large-displacement domain, are excluded from the previous stages. These parameters include the shape of the pendulum surface far from the origin, the length of the viscous dampers and the bumper characteristics. They also include the friction pattern far from the origin, unless already determined by a friction pattern law. Although these parameters too could be the object of a specific optimization based on the fully nonlinear model, they are left here to the free choice of the designer. This section offers possible guidelines for their selection.

#### 3.3.1 The pendulum shape

The optimal pendulum lengths derived in Section 3.2 completely define the pendulum surface locally around the origin. Away from it, instead, distinct surfaces may correspond to the same  $L_x$  and  $L_y$  pair. For an ellipsoid of equation  $w(u, v) = b_z \left( 1 - \sqrt{1 - u^2/b_x^2 - v^2/b_y^2} \right)$ , e.g., there are  $\infty^1$  ways of choosing the semi-axes  $b_x$ ,  $b_y$  and  $b_z$  to obtain the desired  $L_x$  and  $L_y$ , according to the relations  $\frac{1}{L_x} = \frac{\partial^2 w}{\partial u^2} \Big|_{\mathbf{0}} = \frac{b_z}{b_x^2}$  and  $\frac{1}{L_y} = \frac{\partial^2 w}{\partial v^2} \Big|_{\mathbf{0}} = \frac{b_z}{b_y^2}$ . In this case the designer could freely impose, for instance, the further condition  $b_z = \sqrt{b_x b_y}$  (leading to a spherical pendulum if  $L_x = L_y$ ), in order to uniquely determine the ellipsoidal axes as follows:  $b_x = \sqrt[4]{L_x^3 L_y}$ ,  $b_y = \sqrt[4]{L_x L_y^3}$  and  $b_z = \sqrt{L_x L_y}$ . Alternative shapes could obviously be preferred, including for example the torus or the elliptic paraboloid. The search for the best option is here left for future work, and the ellipsoidal shape with  $b_z = \sqrt{b_x b_y}$  will be adopted in all the following simulations.

#### 3.3.2 The friction pattern

Similarly, the optimal friction pattern derived in Section 3.1 completely defines the friction coefficient only locally around the origin. Eqs. (4) and (22) are two of the infinite alternatives to extend it elsewhere. If an ellipsoidal pendulum is chosen and if Eq. (4) is adopted, the entire friction pattern is described by [13]:

$$\mu(\mathbf{q}) = \mu_0 \sqrt{\left( \frac{u^2}{L_x^2} + \frac{v^2}{L_y^2} \right)} / \left( 1 - \frac{u^2}{b_x^2} - \frac{v^2}{b_y^2} \right) = \mu_0 \|\mathbf{H}_w \mathbf{q}\| / [1 - w(\mathbf{q})/b_z] \quad (37)$$

It can be easily shown [13] that:

- around the origin:  $\mu(\mathbf{q}) \approx \mu_0 \|\mathbf{H}_w \mathbf{q}\| \rightarrow 0$ ;
- at the ellipsoid equator:  $\mu(\mathbf{q}) \rightarrow \infty$ ;
- elsewhere: the iso-friction lines  $\mu(\mathbf{q}) = \bar{\mu}$  and the level curves  $w(\mathbf{q}) = \bar{w}$  coincide if  $L_x = L_y$ , otherwise they intersect along the surface as shown in Figure 6, where an ellipsoid is drawn having  $L_y = 2L_x$  (and so  $b_x \cong 1.19L_x$ ,  $b_y \cong 1.68L_x$  and  $b_z = \sqrt{2}L_x$ ), truncated at  $w_{max} = b_z/2 = L_x/\sqrt{2}$ . In the axonometric view, the  $z$  dimension is doubled for clarity. Both figures show 11 level curves, uniformly spaced between 0 and  $w_{max}$  and 11 iso-friction lines, uniformly spaced between 0 and  $2\mu_0$ .

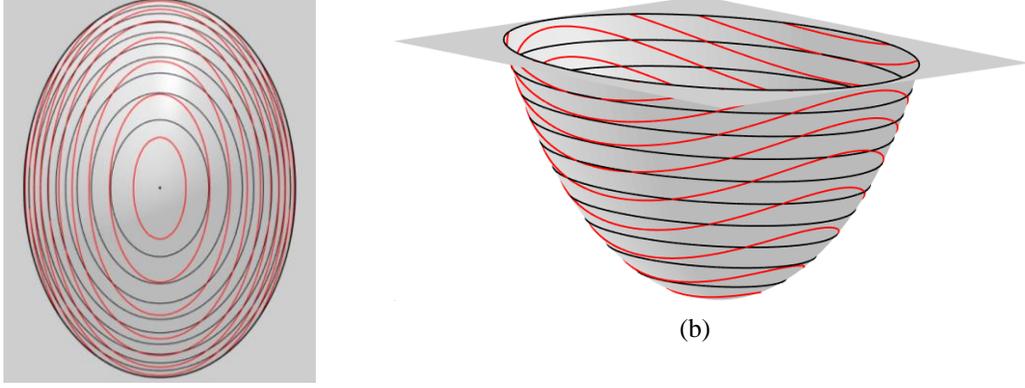


Figure 6. Intersection of level curves (black) and iso-friction lines (red) for  $L_y/L_x = 2$ : (a)  $xy$  view; (b) axonometric view (the  $z$  dimension being doubled for the sake of clarity).

### 3.3.3 Number and length of the viscous dampers

The optimal values of  $c_x$  and  $c_y$  are obtained in Section 3.2 by assuming a single damper in each horizontal direction. If more dampers are deployed in each direction, the corresponding coefficient must be subdivided among them. The length of the dampers is excluded from optimization and shall be chosen by the designer as appropriate, considering that the sensitivity of the damper to second-order effects decreases with its length. In all simulations, two dampers will be assumed henceforth in each direction, symmetrically disposed around the  $z$  axis, and the same length  $l_{c0j} = \sqrt{L_x L_y} = b_z$  will be assigned to all dampers, with  $j = 1:4$ .

### 3.3.4 The bumper

The bumper is excluded from optimization too. Its stiffness and damping coefficient will be assigned to simulate a relatively rigid inelastic impact. Stiffness is taken as  $k_r = m\omega_r^2$ , with  $\omega_r = 20\sqrt{\omega_x\omega_y}$  [21]. Damping is taken as  $c_r = 2\zeta_r\omega_r m$ , where  $\zeta_r = -\frac{\ln e_r}{\sqrt{\pi^2 + \ln^2 e_r}}$  and  $e_r$  is the elastic restitution coefficient [22]. Assuming here  $e_r = 0.5$ , it follows that  $\zeta_r = 0.2155$ . Finally, clearance is taken as  $w_r = b_z/2$ .

## 4. Simulations in the small-displacement domain

In this section, the V-BTMD, HA-BTMD and HT-BTMD are compared in the small-displacement domain. In all cases, the BTMD is assumed to be designed according to the  $H_\infty$  criterion exposed in Section 3.1, here preferred because of its greater robustness. First 2D models and then 3D models are simulated under white-noise force input. In all cases, the structure is modelled as a 2%-damped SDOF system in each active horizontal direction.

### 4.1 2D models

The simplified first-order 2D model expressed by Eq. (28) is adopted. Optimization is conducted for the three BTMD types according to Sections 3.1 and 3.2, based on the  $H_\infty$  design criterion. Assuming for simplicity  $L_x = L_y$ , Eq. (35) implies for the HA-BTMD that  $\chi_x = \chi_{opt}$ , i.e. that the optimal HA-BTMD and HT-BTMD are equivalent and can be jointly referred to as the H-BTMD. With their optimal parameters selected according to Table 1, the V-BTMD and the H-BTMD are here evaluated by computing the system response to a stationary Gaussian zero-mean white-noise input process. As in Section 3.1, the stationary rms response is obtained through either solving the Lyapunov equation or performing Monte Carlo simulations, depending whether the system is linear or nonlinear. In the case of Monte Carlo simulations, 100 realizations are considered, assuming  $t_{tot} = 3600T_{sx}$  and  $t_s = 0.01T_{sx}$ . By introducing the instantaneous power dissipated by the main structure as  $W_s = \dot{\mathbf{q}}_s^T \mathbf{C}_s \dot{\mathbf{q}}_s = c_{sx} \dot{u}_s^2 + c_{sy} \dot{v}_s^2$ ,

three structural response quantities are used to measure the control performance, namely the rms structural displacement  $u_{s,rms}$  (indeed corresponding to the  $H_2$  norm of the transfer function  $T_{u_s \bar{f}_{sx}}(\omega)$ ), the rms absorber stroke  $u_{rms}$ , and the mean structural dissipated power  $W_{s,mean}$ . Dividing the controlled by the uncontrolled values of the said three responses, the following three response ratios are obtained, adopted as performance indices:  $R_{dx} = u_{s,rms}^{con}/u_{s,rms}^{unc}$  (indeed corresponding to the  $H_2$  response ratio  $R_x$  adopted in Section 3.1),  $R_{sx} = u_{rms}^{con}/u_{rms}^{unc}$  and  $R_W = W_{s,mean}^{con}/W_{s,mean}^{unc}$ .

For the V-BTMD and the H-BTMD,  $R_{dx}$ ,  $R_{sx}$  and  $R_W$  are reported in Figure 7 for  $m_{Rx}$  ranging from 1% to 20%. The response ratios are nearly the same for the two types, with  $R_{dx}$  and  $R_W$  slightly smaller for the H-BTMD and  $R_{sx}$  slightly smaller for the V-BTMD. This result confirms the substantial equivalence of the V-BTMD and the H-BTMD in the presence of white noise input.

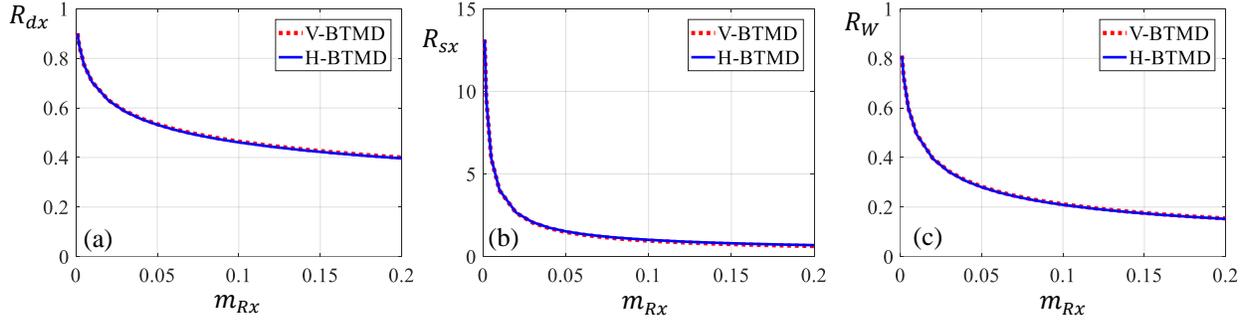


Figure 7. First-order 2D model under white-noise force input. Response ratios as a function of  $m_{Rx}$ .

## 4.2 3D models

The  $H_2$  bidirectional performance of the three BTMD types, designed according to Sections 3.1. and 3.2 based on the  $H_\infty$  criterion, is here assessed by adopting the first-order 3D model of Eq. (26), with the input components  $f_{sx}$  and  $f_{sy}$  described as two independent stationary Gaussian zero-mean white-noise excitations, having rms values  $f_{sx0}$  and  $f_{sy0}$ , duration  $t_{tot}$  and sampling time  $t_s$ . Based on this model, the equations of motion along  $x$  and  $y$  are still linear and uncoupled for the V-BTMD but nonlinear and coupled for the HA-BTMD and the HT-BTMD.

### 4.2.1 Introductory example

As a preliminary step towards the assessment of the bidirectional performance of BTMDs, the case is first examined of an  $H_\infty$ -optimal BTMD having  $m_R = 3\%$  (the subscript  $x$  being here removed because  $m_{Rx} = m_{Ry} = m_R$ ), mounted on a structure having mass  $m_s = 1$  kg and natural periods  $T_{sx} = T_{sy}$  (axial-symmetry). Because  $T_{sx} = T_{sy}$  implies  $L_x = L_y$  and therefore  $\chi_x = \chi_y = \chi_{opt}$ , the optimal HA-BTMD does not depend on  $l_{p0}$  (see again Eq. (35)) and, until a unidirectional excitation is considered, it performs exactly as the optimal HT-BTMD. If, instead, a bidirectional white-noise input is applied characterized by  $f_{sx0} = f_{sy0} = 1$  N,  $t_{tot} = 60$  s and  $t_s = 0.01$  s, the response of the system in four possible configurations (no TMD, V-BTMD, HA-BTMD and HT-BTMD) is shown in Figure 8, where the following additional response quantities have been introduced:  $W = \dot{\mathbf{r}}^T \mathbf{f}_d$ , representing the instantaneous power dissipated by the BTMD;  $W_{tot} = W_s + W$ , representing the total instantaneous power dissipated by the overall system;  $E_s(t) = \int_0^t W_s(\tau) d\tau$ ,  $E(t) = \int_0^t W(\tau) d\tau$  and  $E_{tot}(t) = \int_0^t W_{tot}(\tau) d\tau$ , representing the energy dissipated until time  $t$  by, respectively, the structure, the BTMD and the overall system.

Figure 8 depicts the main features of the three BTMD types. Figures 8a to 8f compare the four configurations in terms of response time-histories and trajectories, the black curves referring to the uncontrolled structure, the red, green and blue curves to the structure equipped with, respectively, the V-

BTMD, the HA-BTMD and the HT-BTMD. Figures 8g to 8i, focused on the absorber dissipative behaviour during the last 20 seconds of simulation, report the planar view of the BTMD trajectories, discretized at every sample instant by monochrome circles of different intensities, the intensity being proportional to the power dissipated by the absorber at that instant, with a null intensity (white circle) corresponding to a null power and with a maximum intensity (black circle) corresponding to the maximum instantaneous power dissipated by the three BTMDs during those 20 seconds.

Two fundamental results are expressed by Figure 8.

The first result is that the V-BTMD and the HT-BTMD present a similar satisfactory bidirectional performance. In particular: (i) the structural displacements (Figures 8a and 8c) and the absorber strokes (Figures 8b and 8d) are similar for the two types; (ii) the absorber dissipated power, although exhibiting larger excursions in the case of the V-BTMD, present a similar mean trend, finally resulting in similar cumulative dissipated energy curves (Figure 8e and 8f); (iii) the “dissipative trajectories” shown in Figures 8g and 8i, although reflecting the different features of the two dissipative models (dissipation tends to increase with the absorber velocity for the V-BTMD and with the absorber stroke for the HT-BTMD), appear on the whole quite similar to each other.

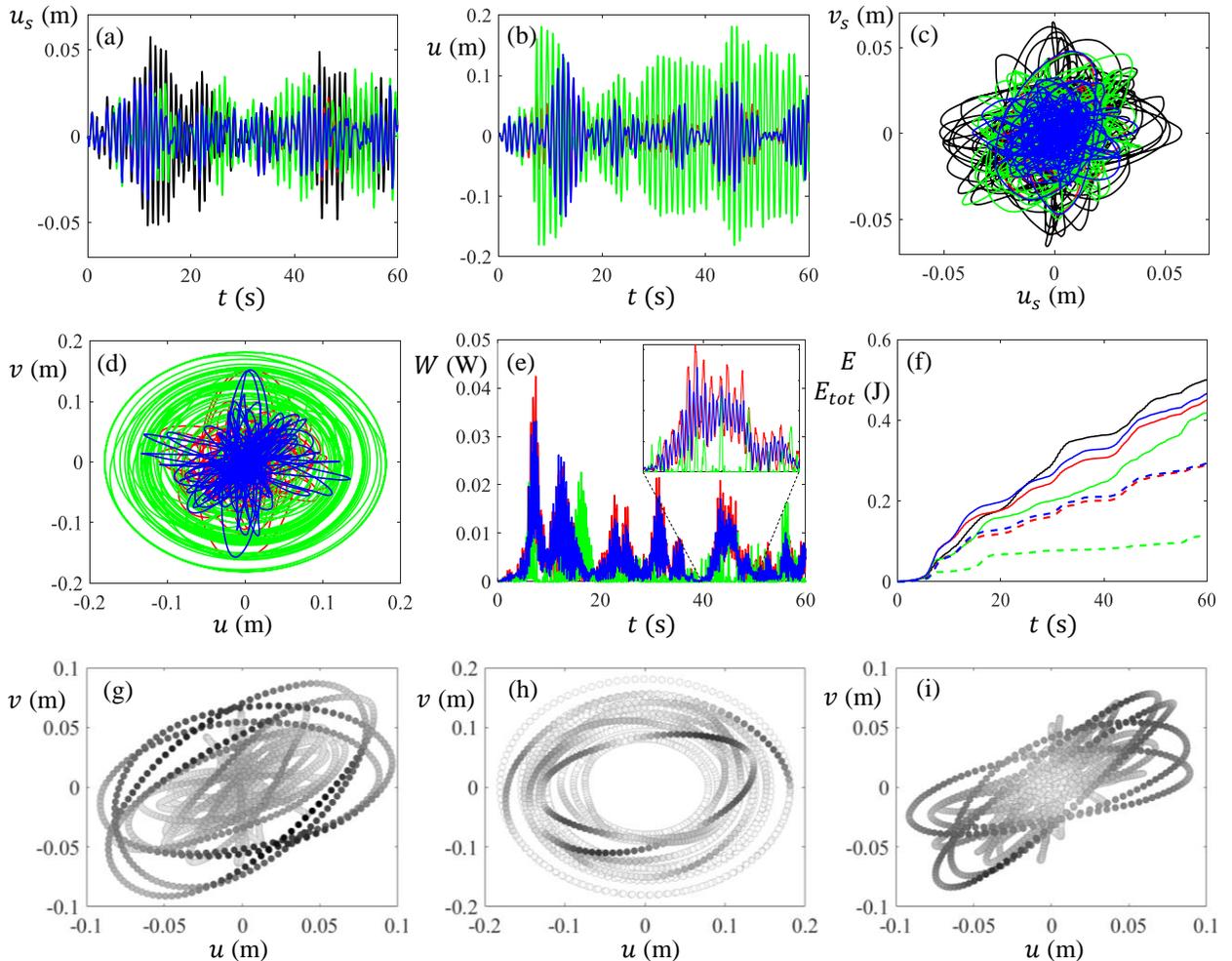


Figure 8. First-order 3D model under bidirectional white-noise force input. Response time-histories and trajectories for  $T_{sx} = T_{sy} = 1.0$  s and  $m_R = 3\%$ . Figures (a) to (f): black = uncontrolled; red = V-BTMD; green = HA-BTMD; blue = HT-BTMD. Figure (f): dashed =  $E$ ; continuous =  $E_{tot}$ . Figures (g) to (i):  $W$  increasing from white to black.

The second result, new to the best of the author's knowledge, is that the response of the HA-BTMD drastically diverges from the response of the other two types, soon after an initial transient period. The reason for this divergence clearly emerges from the HA-BTMD trajectories reported in Figures 8d and 8h, which appear as wide, nearly circular, scarcely-dissipative loops. The same damping impairment is visible in Figure 8e, where long time intervals are seen where the HA-BTMD dissipated power is nearly absent. Evidently, partly constrained by the radial arrangement of the axial-friction damper and partly encouraged by the axial-symmetry of the pendulum surface and by the equal value of the two horizontal force components, the motion of the HA-BTMD evolves through a series of virtually undamped revolutions around the  $z$  axis (non-dissipative because accompanied by no elongation of the friction damper), separated by non-circular, non-horizontal, pseudo-rotational loops, where a certain amount of energy dissipation is allowed by the damper elongation. By looking back at Eq. (21), which provides the first-order approximation of the friction damper axial elongation rate, it can be easily recognized that undamped loops are all those which, described by the equation  $h_{px}u\dot{u} + h_{py}v\dot{v} = 0$ , annul the axial elongation  $\dot{s}_p$  of the damper, thus inhibiting its damping capability. The final result is that the equivalent damping ratio of the HA-BTMD drops, its stroke increases and its control effectiveness gets largely reduced (Figures 8a and 8f).

#### 4.2.2 Generalization

The previous example is here generalized as follows: (i) the mass ratio  $m_R$  is alternatively set at 1%, 3% and 10%; (ii) keeping  $T_{sx} = 1$  s, the ratio  $T_{sy}/T_{sx}$  between the two structural periods is ranged from 1 to 2; (iii) for any  $T_{sy}/T_{sx} \neq 1$ , because of the lost axial-symmetry,  $\chi_x$  and  $\chi_y$  now depend on  $l_{p0}$ , which is alternatively set at 0,  $\sqrt{L_x L_y}$  or  $\infty$  for comparison; (iv) the rms and mean responses are averaged over 100 realizations of the stochastic input process, each realization having duration  $t_{tot} = 600$  s.

Results are reported in Figure 9 in terms of the following  $H_2$  response ratios:  $R_d = \sqrt{R_{dx}R_{dy}}$ ,  $R_s = \sqrt{R_{sx}R_{sy}}$  and  $R_W$ , where  $R_{dx}$ ,  $R_{sx}$  and  $R_W$  are defined as in Section 4.1, and  $R_{dy}$  and  $R_{sy}$  are the analogue of  $R_{dx}$  and  $R_{sx}$  in the  $y$  direction. It appears that: (i) the V-BTMD response ratios are constant with  $T_{sy}/T_{sx}$ ; (ii) the HT-BTMD response ratios are approximately constant and nearly equal to the V-BTMD response ratios; (iii) the performance degradation of the HA-BTMD (revealed by an increase in both the structural response and the TMD stroke), confirmed for all mass ratios, strongly depends on  $T_{sy}/T_{sx}$ , being maximum in the axial-symmetrical case and diminishing as  $T_{sy}/T_{sx}$  increases, less rapidly as  $m_R$  gets larger; (iv) results for the HA-BTMD are slightly influenced by  $l_{p0}$ ; the intermediate value,  $l_{p0} = \sqrt{L_x L_y}$ , achieves the best trade-off among the three response ratios, and will be therefore constantly adopted in the remaining of this paper.

To investigate how the response of the BTMD, and particularly of the HA-BTMD, depends on the relative amplitude of the two horizontal force components, the same simulations are repeated for different values of their respective ratio  $f_{sy0}/f_{sx0}$ . Results are reported in Figure 10 for  $m_R = 3\%$ , omitting  $R_s$  for brevity.  $R_d$  and  $R_W$  appear independent from  $f_{sy0}/f_{sx0}$  for the V-BTMD, and nearly independent for the HT-BTMD. For the HA-BTMD, instead,  $f_{sy0}/f_{sx0}$  scarcely affects  $R_W$  but strongly influences  $R_d$ , which largely increases (even beyond the unit value which corresponds to the uncontrolled case) as  $f_{sy0}/f_{sx0}$  moves away from 1. This is evidently the result of the coupling effect induced by the above-mentioned undamped rotational motion of the HA-BTMD, which may increase the structural response in the less excited direction well beyond the uncontrolled value.

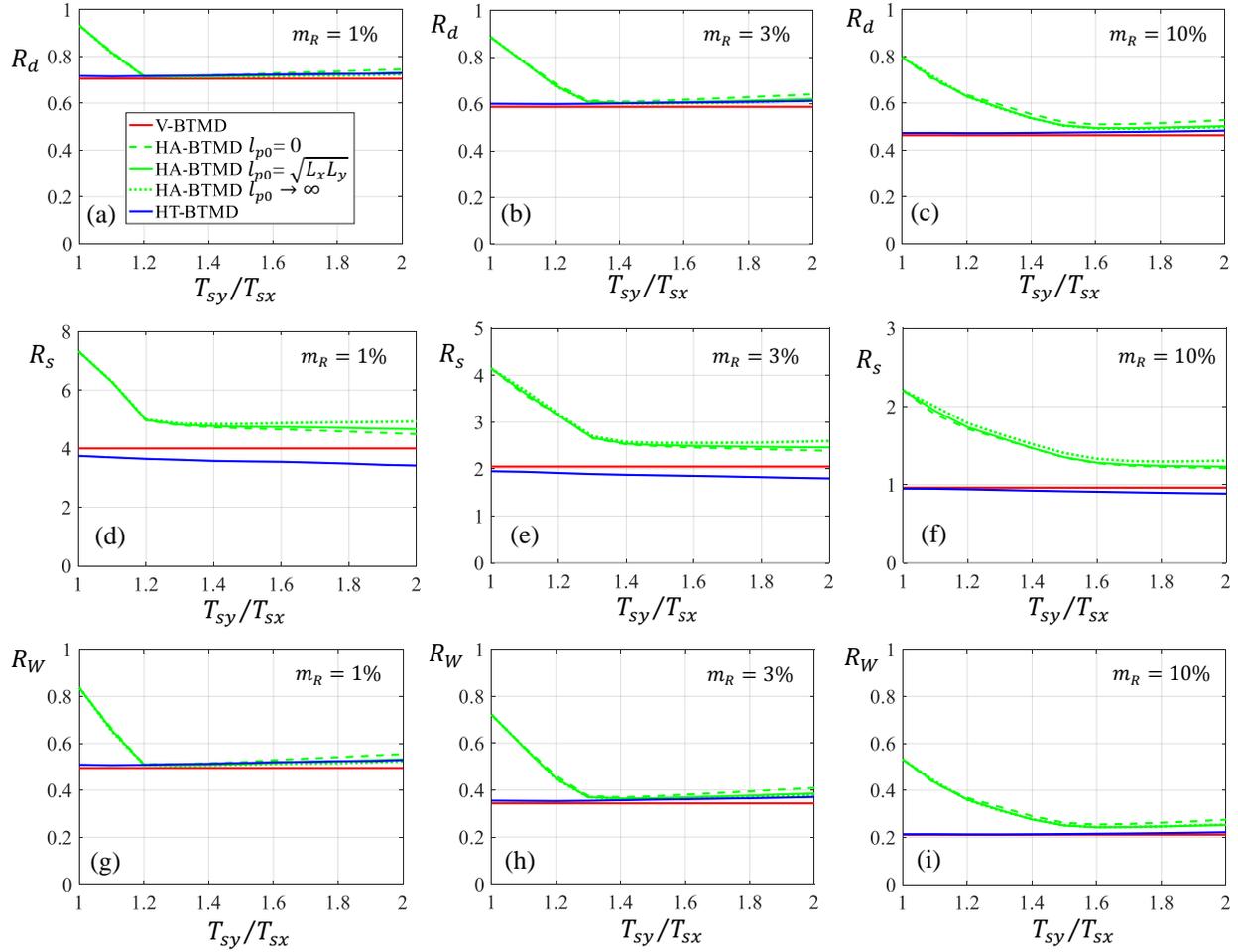


Figure 9. First-order 3D model under white-noise force input. Response ratios as a function of  $T_{sy}/T_{sx}$  for  $m_R = 1\%$ ,  $3\%$  and  $10\%$ .

## 5. Simulations in the large-displacement domain

This section extends the results of Section 4 to the large-displacement domain, to show the dependence of the BTMD behaviour on the excitation amplitude. Again, the  $H_\infty$ -optimal BTMD is evaluated under white-noise force excitation.

### 5.1 2D models

Based on the fully nonlinear model expressed by Eq. (14), a 2%-damped SDOF structure having  $m_{sx} = 1$  kg and  $T_{sx} = 1$  s, equipped with an  $H_\infty$ -optimal BTMD having  $m_{Rx} = 3\%$ , is here observed under a unidirectional white-noise force input having rms amplitude  $f_{sx0}$ , duration  $t_{tot} = 600$  s and sampling time  $t_s = 0.01$  s. Because under large values of  $f_{sx0}$  the BTMD motion occurs in the large-displacement domain, simulations involve here other BTMD parameters than the few strictly necessary in first-order simulations. These other parameters, which for the present 2D problem include the pendulum shape, the friction pattern far from the origin, the length of the  $x$ -oriented viscous damper and the bumper characteristics, are here taken as suggested in Section 3.3, assuming for simplicity  $L_y = L_x$ .

Under these assumptions, the resulting  $H_2$  response ratios  $R_{dx}$ ,  $R_{sx}$  and  $R_w$  are reported in Figure 11 as a function of  $f_{sx0}$  ranging from 0 to 5 N.

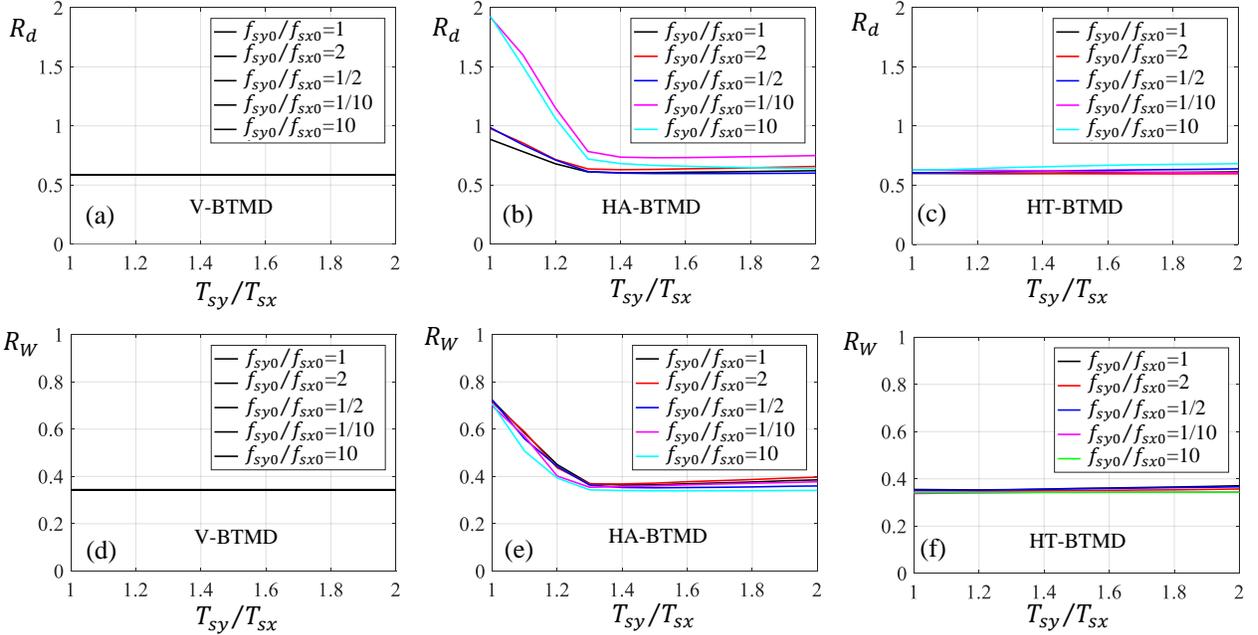


Figure 10. First-order 3D model under white-noise force input. Response ratios as a function of  $T_{sy}/T_{sx}$  for  $m_R = 3\%$  and for different values of  $f_{sy0}/f_{sx0}$ .

For  $f_{sx0} = 0$ , Figure 11 confirms the first-order results obtained in Section 4.1. As  $f_{sx0}$  increases, however, as typical of pendulum absorbers [11], the control effectiveness worsens ( $R_{dx}$  and  $R_W$  increase) and the BTMD stroke decreases because of bumping and mistuning, for all BTMD types. The control loss appears similar for the V-BTMD and the HA-BTMD, and reduced (or delayed) for the HT-BTMD. This superior robustness of the HT-BTMD resides in the amplitude-increasing energy dissipation ensured by the gradient-proportional friction law, compared to the amplitude-decreasing equivalent damping provided by the viscous and the axial-friction dampers under large displacements.

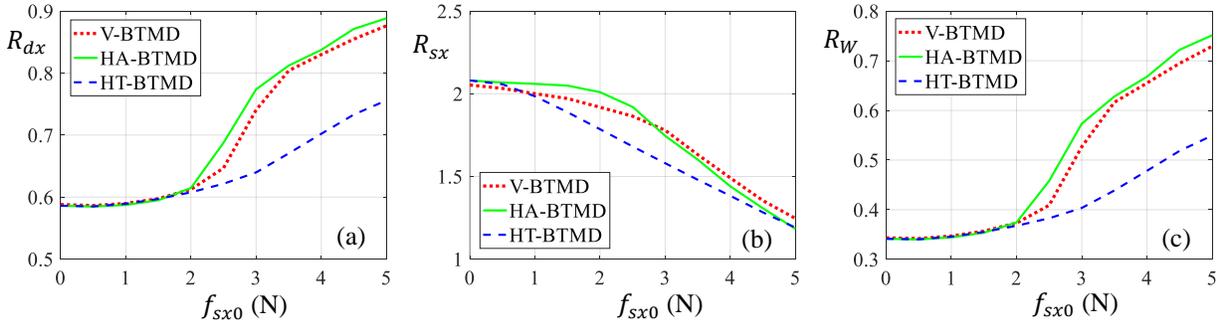


Figure 11. Fully nonlinear 2D model under white-noise force input. Response ratios as a function of  $f_{sx0}$  for  $m_R = 3\%$ .

## 5.2 3D models

The problem described in Section 5.1 is here generalized to the case of a bidirectional force input having  $f_{sx0} = f_{sy0}$ . Keeping  $T_{sx} = 1$  s, two values of  $T_{sy}/T_{sx}$  are considered, respectively equal to 1 and to 1.5. All other independent parameters are kept as in Section 5.1. Results are reported in Figure 12, which shows the  $H_2$  response ratios  $R_d$ ,  $R_s$  and  $R_W$  as a function of  $f_{sx0} = f_{sy0}$ , for the two chosen combinations of  $T_{sx}$  and  $T_{sy}$ . Figure 12 confirms the trends of performance loss already observed for the unidirectional

case in Figure 11, further aggravated for the HA-BTMD by the peculiar low-dissipative rotational behaviour of the axial-friction damper, already evident at small input amplitudes and particularly detrimental when  $T_{sy}/T_{sx} = 1$  (axial-symmetry). Again, the HT-BTMD performs similarly to the V-BTMD for small amplitudes, and better for large ones.

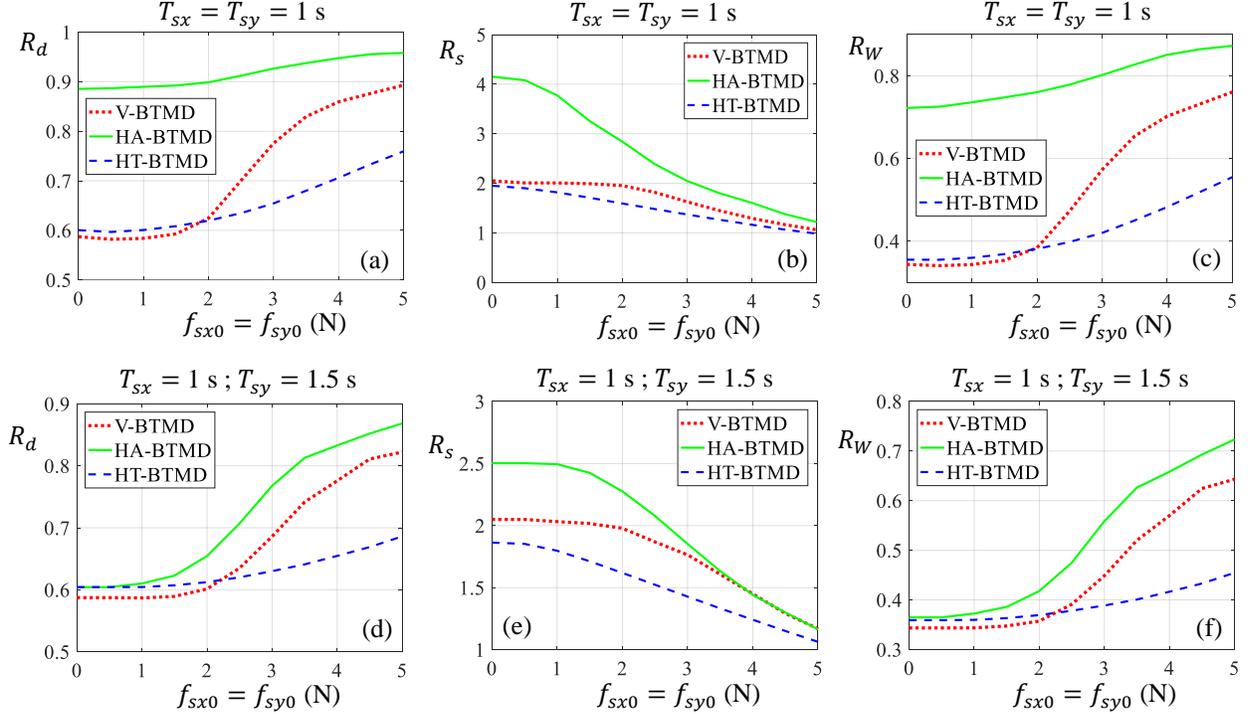


Figure 12. Fully nonlinear 3D model under white-noise force input. Response ratios as a function of  $f_{sx0} = f_{sy0}$  for  $m_R = 3\%$ . Figures a to c:  $T_{sx} = T_{sy} = 1$  s; Figures d to f:  $T_{sx} = 1$  s and  $T_{sy} = 1.5$  s.

## 6. Case study: wind control of a high-rise building

In this section, an  $H_\infty$ -optimal absorber of either V-, HA- or HT-BTMD types is simulated on an MDOF model of a high-rise building structure under wind excitation, based on the fully nonlinear model expressed by Eq. (14).

### 6.1 The structure

The structure is a 42-storey 168 m tall high-rise building, having constant 25 m x 25 m square section with 4.2 m chamfers at the corners. The building is modelled as a 10-elements tapered cantilever beam, with masses lumped at the nodes. The flexural stiffness is 1.21 times smaller along y than along x, resulting in natural periods 1.1 longer along y than along x. Main structural data are summarized in Table 3. The building shape, mass and stiffness are taken from [23], although scaled to increase the structural sensitivity to the across-wind component. The first three modes along x have periods of 4.00 s, 1.23 s and 0.52 s and participating modal masses of 45.3%, 21.8% and 11.1%. The modes along y are identical, except that periods are increased 1.1 times. Not to overemphasize the effectiveness of the absorber, a 2% damping ratio is assumed in each mode, which can be regarded as an upper bound for high-rise buildings in operational conditions [24].

Table 3. Main structural data of the 42-storey case-study building

Node number (-)	Height above the ground (m)	Mass (kg·10 <sup>6</sup> )	Flexural stiffness along $x$ (Nm <sup>2</sup> ·10 <sup>12</sup> )	Flexural stiffness along $y$ (Nm <sup>2</sup> ·10 <sup>12</sup> )	Tributary wind area (m <sup>2</sup> )
1	21.4	3.54	55.90	46.20	515.0
2	41.2	3.13	33.00	27.27	495.0
3	61.0	2.96	21.60	17.85	468.8
4	78.7	2.73	13.80	11.40	442.5
5	96.4	2.43	7.85	6.49	442.5
6	114.1	2.00	4.03	3.33	387.5
7	127.4	1.51	1.69	1.40	332.5
8	140.7	1.60	1.03	0.85	332.5
9	154.0	1.45	0.55	0.45	341.3
10	168.0	1.34	0.22	0.18	175.0

## 6.2 The BTMD

The BTMD mass is taken as 1% the total mass of the building ( $m = 226900$  kg). According to Warburton's classical approach [16], the corresponding 'effective' mass ratio is  $m_R = 6.45\%$  in both horizontal directions. Interpolating from Table 1 with  $m_R = 6.45\%$  provides the  $H_\infty$ -optimal dimensionless parameters for the three absorber types. Table 4 reports such parameters in columns 2 to 5, and the corresponding main dimensional parameters in the following columns. It can be noticed that: (i) for the two H-BTMDs the dimensionless parameters and consequently the pendulum shape are (obviously) the same; (ii) the pendulum shape is narrower for the H-BTMDs than for the V-BTMD; (iii) the friction damping ratio  $\mu_0$  for the HT-BTMD, equal to 0.452, is sufficiently less than unity to ensure the re-centring capability of the device.

Table 4. Design parameters for the three BTMD types on the 42-storey building.

	$\omega_R$ (-)	$\zeta$ (-)	$\chi$ (-)	$\mu_0$ (-)	$T_x$ (s)	$T_y$ (s)	$L_x$ (m)	$L_y$ (m)	$b_x$ (m)	$b_y$ (m)	$b_z$ (m)	$l_{c0j}$ (m)	$l_{p0}$ (m)	$w_r$ (m)
V-BTMD	0.932	0.154	-	-	4.29	4.72	4.57	5.53	4.79	5.27	5.03	5.03	-	2.51
HA-BTMD	0.982	-	0.452	-	4.07	4.48	4.12	4.99	4.32	4.76	4.53	-	4.53	2.27
HT-BTMD	0.982	-	-	0.452	4.07	4.48	4.12	4.99	4.32	4.76	4.53	-	-	2.27

## 6.3 The wind load

Simulations are conducted by subjecting the structure to a moderate-to-high wind flow, blowing for 1 hour either in the  $x$  direction or in the  $y$  direction. In either case, deterministic wind load time-histories are applied to the structural nodes, obtained as the realization of a stationary (in time) and nonhomogeneous (in space) stochastic process, including both along-wind and across-wind components, so as to excite the structure simultaneously in the two horizontal directions. Aeroelastic effects are neglected because irrelevant in the present case.

Along-wind loads are computed based on Davenport's along-wind load spectrum [25]. The one-sided drag force spectrum is taken as:

$$S_{F_i F_j}(\omega) = 24K_0 \bar{W}_{10}^2 C_d^2 \left(\frac{z_i z_j}{100}\right)^\alpha A_i A_j \text{coh}(z_i, z_j, \omega) \frac{S_V(\omega)}{2\pi} \quad (38)$$

where the spanwise correlation function of the fluctuating force can be expressed as:

$$\text{coh}(z_i, z_j, \omega) = \exp\left(-\frac{c_1 |\omega| |z_i - z_j|}{2\pi \bar{V}_{10}}\right) \quad (39)$$

and the along-wind fluctuating wind velocity spectrum  $S_V(\omega)$  can be expressed as:

$$S_V(\omega) = \frac{2t^2}{3f(1+t^2)^{4/3}} \quad (40)$$

in which  $f = \omega/(2\pi)$  is the input frequency (expressed in Hz);  $t = 1200 f/\bar{V}_{10}$ ;  $\bar{V}_{10}$  is the reference mean wind velocity at 10 m above the ground (expressed in m/s);  $\bar{W}_{10} = 1/2 \rho_a \bar{V}_{10}^2$  is the mean wind pressure at 10 m;  $\rho_a$  is the air density;  $K_0$  is the surface drag coefficient;  $C_d$  is the drag coefficient;  $\alpha$  is

the exponent for the mean velocity profile power law;  $C_1$  is a constant;  $z_i$  and  $z_j$  are the heights of the  $i$ -th and  $j$ -th storey mass above the ground respectively;  $A_i$  and  $A_j$  are the tributary areas of the  $i$ -th and  $j$ -th mass respectively.

Across-wind loads are computed based on the spectrum proposed by Vickery and Clarke [26]. The one-sided across-wind spectrum is expressed as:

$$S_{F_i F_j}(\omega) = \frac{(\rho_a C_l \bar{V}_i \bar{V}_j)^2 A_i A_j}{8\pi^{1.5} B_s \sqrt{f_{s_i} f_{s_j}}} \cos(\alpha_1 R) \exp[-(R/\alpha_2)^2] \sqrt{\exp\left[-\frac{(1-f/f_{s_i})^2 + (1-f/f_{s_j})^2}{B_s^2}\right]} \quad (41)$$

where  $\cos(\alpha_1 R) \exp[-(R/\alpha_2)^2]$  is the spanwise correlation function of the across-wind wake excitation;  $R = 2 |z_i - z_j| / (D_i + D_j)$ ;  $\alpha_1$  and  $\alpha_2$  are non-dimensional coefficients pertaining to the correlation length;  $D_i$  and  $D_j$  are the sides of the square section at the heights  $z_i$  and  $z_j$  respectively;  $\bar{V}_i = \bar{V}_{10}(z_i/10)^\alpha$  and  $\bar{V}_j = \bar{V}_{10}(z_j/10)^\alpha$  are the mean wind velocities at  $z_i$  and  $z_j$  respectively;  $C_l$  is the lift coefficient;  $B_s$  is a measure of the relative width of the spectral peak;  $f_{s_i} = S\bar{V}_i/D_i$  and  $f_{s_j} = S\bar{V}_j/D_j$  are the vortex shedding frequency at  $z_i$  and  $z_j$  respectively;  $S$  is the Strouhal number.

Assuming the fluctuating wind velocity as a Gaussian process, the synthesis formula of the fluctuating wind load at point  $i$  is given as follows [27]:

$$F_i(t) = \sum_{j=1}^i \sum_{k=1}^{N_s} |F_{ij}(\omega_k)| \sqrt{2\Delta\omega_k} \cos(\omega_k t + \varphi_{jk}), \quad i = 1, 2, \dots, N \quad (42)$$

in which  $H_{ij}(\omega_k)$  is the non-zero component of a lower triangular matrix  $\Gamma(\omega)$ , obtained by decomposing the stochastic cross-spectrum matrices in Eqs. (38) and (41) using Cholesky's method;  $N$  is the number of lumped masses;  $\Delta\omega_k$  is the frequency interval;  $\omega_k = (k-1)\Delta\omega_k$ ;  $N_s$  is the number of frequency intervals;  $\varphi_{jk}$  is an independent random phase angle uniformly distributed between 0 and  $2\pi$ .

In this example, the aerodynamic data pertaining to along-wind excitation are taken as follows [23]:  $\bar{V}_{10} = 25.0$  m/s;  $\alpha = 0.25$ ;  $C_d = 1.2$ ;  $\rho_a = 1.23$  kg/m<sup>3</sup>;  $C_1 = 7.0$ ;  $K_0 = 0.01$ ; those pertaining to cross-wind excitation are as follows [27]:  $C_l = 0.40$ ;  $S = 0.12$ ;  $B_s = 0.26$ ;  $\alpha_1 = 0.5$ ;  $\alpha_2 = 5.0$ . With such data, the along- and across-wind spectra are obtained from Eqs. (38) and (39), and then deterministic time-histories of along and across wind-loads are simulated according to Eq. (42), for each structural node and for the duration of 1 hour. In Eq. (42),  $\Delta\omega_k = 0.01$  rad/s;  $N_s = 2^{11}$ ;  $\omega_{N_s} = 20.47$  rad/s.

## 6.4 Results

Results are reported in Tables 5 to 8. Tables 5 and 6 refer to the wind blowing in the  $x$  direction, Tables 7 and 8 to the wind blowing in the  $y$  direction. In each table, 7 configurations are compared, respectively corresponding to: (i) the uncontrolled structure; (ii) a bidirectional linear TMD; (iii) a V-BTMD; (iv) an HA-BTMD; (v) an HT-BTMD; (vi) an H-BTMD (equivalently of the HA- or of the HT- types) under the along-wind force component only; (vii) an H-BTMD under the across-wind force component only. Considering both along and across wind components, configurations (i) to (v) fully account for the bidirectional response of the structure-BTMD system. Considering only one wind component, configurations (vi) and (vii) account only for the 2D response of the structure-BTMD system, thus cancelling the friction-coupling effect. By comparing configurations (vi) and (vii) with configurations (iv) and (v), the influence of such coupling effect is assessed. For each configuration, several response quantities are reported in Tables 5 to 8 as a measure of the absorber performance. Denoting by  $u_{sN}$ ,  $v_{sN}$  and  $r_{sN}$  respectively the  $x$  component, the  $y$  component and the modulus of the top storey displacement, by  $u$ ,  $v$  and  $r$  respectively the  $x$  component, the  $y$  component and the modulus of the absorber stroke, by  $a_x$ ,  $a_y$  and  $a_h$  respectively the  $x$  component, the  $y$  component and the modulus of the structural top storey acceleration, and by  $W_s$  the instantaneous power dissipated by the main structure, Tables 5 and 7 report the maximum (over time and in absolute value) structural displacement, the maximum TMD stroke, the maximum structural acceleration and the maximum friction damping ratio obtained during simulations, while Tables 6 and 8 report the rms structural displacement, the rms TMD stroke, the rms structural acceleration and the mean structural dissipated power.

Table 5. Wind blowing in the X direction – Maximum (in-time) responses

Configuration	$u_{sN,max}$ (cm)	$v_{sN,max}$ (cm)	$I_{sN,max}$ (cm)	$u_{max}$ (cm)	$v_{max}$ (cm)	$I_{max}$ (cm)	$a_{x,max}$ (cm/s <sup>2</sup> )	$a_{y,max}$ (cm/s <sup>2</sup> )	$a_{h,max}$ (cm/s <sup>2</sup> )	$\mu_{max}$ (-)
Uncontrolled	15.8	79.4	79.4	0.0	0.0	0.0	47.4	167	167	-
Linear TMD	11.4	39.1	39.6	18.7	110	110	32.1	84.5	84.8	-
V-BTMD	11.4	39.1	39.7	18.8	109	109	32.1	84.6	84.8	-
HA-BTMD	44.9	60.4	60.4	142	149	149	121	120	121	-
HT-BTMD	10.3	37.8	38.4	17.3	107	107	34.5	103	103	0.10
H-BTMD along	10.9	-	-	17.2	-	-	28.9	-	-	0.02
H-BTMD across	-	39.4	-	-	105	-	-	107	-	0.10

Table 6. Wind blowing in the X direction – RMS (in-time) responses and mean power dissipation

Configuration	$u_{sN,rms}$ (cm)	$v_{sN,rms}$ (cm)	$I_{sN,rms}$ (cm)	$u_{rms}$ (cm)	$v_{rms}$ (cm)	$I_{rms}$ (cm)	$a_{x,rms}$ (cm/s <sup>2</sup> )	$a_{y,rms}$ (cm/s <sup>2</sup> )	$a_{h,rms}$ (cm/s <sup>2</sup> )	$W_{s,mean}$ (kW)
Uncontrolled	5.0	26.8	27.3	0.0	0.0	0.0	13.3	55.2	56.8	31.1
Linear TMD	3.1	13.4	13.7	5.9	37.1	37.5	8.6	28.7	30.0	8.12
V-BTMD	3.1	13.4	13.8	6.0	36.9	37.4	8.6	28.8	30.1	8.17
HA-BTMD	11.8	16.7	20.5	45.2	49.5	67.0	25.5	34.1	42.5	17.4
HT-BTMD	3.4	13.3	13.7	5.0	37.4	37.8	9.0	30.6	31.9	8.63
H-BTMD along	3.1	-	-	6.0	-	-	8.8	-	-	-
H-BTMD across	-	13.5	-	-	35.9	-	-	31.3	-	-

Table 7. Wind blowing in the Y direction – Maximum (in-time) responses

Configuration	$u_{sN,max}$ (cm)	$v_{sN,max}$ (cm)	$I_{sN,max}$ (cm)	$u_{max}$ (cm)	$v_{max}$ (cm)	$I_{max}$ (cm)	$a_{x,max}$ (cm/s <sup>2</sup> )	$a_{y,max}$ (cm/s <sup>2</sup> )	$a_{h,max}$ (cm/s <sup>2</sup> )	$\mu_{max}$ (-)
Uncontrolled	73.8	18.0	74.0	0.0	0.0	0.0	176	45.6	177	-
Linear TMD	35.5	14.4	36.1	99.2	26.9	101	85.3	41.2	86.2	-
V-BTMD	35.5	14.4	36.1	98.8	26.8	101	85.3	40.9	86.1	-
HA-BTMD	49.0	49.9	53.3	134	140	140	116	96.6	129	-
HT-BTMD	36.2	17.6	36.9	98.6	22.4	99.3	91.9	45.9	92.8	0.11
H-BTMD along	-	14.8	-	-	25.0	-	-	41.6	-	0.02
H-BTMD across	36.5	-	-	99.9	-	-	91.6	-	-	0.11

Table 8. Wind blowing in the Y direction – RMS (in-time) responses

Configuration	$u_{sN,rms}$ (cm)	$v_{sN,rms}$ (cm)	$I_{sN,rms}$ (cm)	$u_{rms}$ (cm)	$v_{rms}$ (cm)	$I_{rms}$ (cm)	$a_{x,rms}$ (cm/s <sup>2</sup> )	$a_{y,rms}$ (cm/s <sup>2</sup> )	$a_{h,rms}$ (cm/s <sup>2</sup> )	$W_{s,mean}$ (kW)
Uncontrolled	26.7	6.0	27.3	0.0	0.0	0.0	65.3	13.3	66.6	40.2
Linear TMD	11.8	4.0	12.5	33.0	8.0	34.0	28.2	9.2	29.6	7.89
V-BTMD	11.9	4.0	12.5	33.0	8.0	33.9	28.3	9.2	29.8	7.96
HA-BTMD	14.6	16.0	21.6	48.3	45.3	66.2	34.0	30.8	45.9	20.3
HT-BTMD	11.9	4.4	12.6	33.3	6.1	33.8	29.5	10.0	31.1	8.41
H-BTMD along	-	4.0	-	-	7.6	-	-	9.5	-	-
H-BTMD across	11.8	-	-	33.3	-	-	29.6	-	-	-

## 6.5 Discussion

Results in Table 5 to 8 can be commented as follows:

- 1) For the uncontrolled structure, the response to the across wind component is around 4÷5 times prevalent. The maximum and the rms displacements are respectively around 0.8 m and 0.3 m.
- 2) The ideally linear TMD proves quite effective: both the max and the rms responses drop to about 50% (displacements and accelerations) and to 20÷25% (power). Effectiveness is maximum for the across-wind component (which induces on the structure a larger dynamic amplification), and almost the same for the wind blowing along  $x$  or  $y$ . The maximum and the rms TMD displacements are respectively around 1÷1.1 m and 0.35÷0.4 m.
- 3) The V-BTMD gives nearly identical results to the linear TMD. TMD strokes are relatively small and the bumper is far from being activated, so the first-order approximated model proves accurate.
- 4) The HA-BTMD exhibits, under bidirectional input, a substantial performance degradation with respect to all other configurations. A strong coupling occurs between the two directions, which may even increase the structural response beyond its uncontrolled value in the less excited direction (i.e. in the along-wind direction). With respect to the V-BTMD, structural displacements and accelerations increase on average by a factor of 1.5,  $W_s$  by a factor of 2.3 and TMD strokes by factors of 1.4 and 1.9, respectively in maximum and rms terms. This degradation does not completely annul the effectiveness of the absorber, but produces a structural response halfway between the uncontrolled one and the one obtained with the V-BTMD.
- 5) The HT-BTMD exhibits, under bidirectional input, a performance only very slightly reduced with respect to the V-BTMD: accelerations increase by about 10% and  $W_s$  by around 6%, but structural displacements and TMD strokes slightly decrease by about 1%. The maximum value of the tangential-friction coefficient met by the absorber during its motion is 0.11.
- 6) Both H-BTMD types exhibit, under unidirectional input, nearly the same overall performance of the V-BTMD: displacements are unvaried, TMD strokes decrease by about 3%, accelerations and  $W_s$  increase by about 5%. Comparing these results to those obtained for the HA-BTMD and the HT-BTMD under bidirectional motion confirms the little influence that friction coupling has for the HT-BTMD and the detrimental role it has instead for the HA-BTMD.
- 7) Depending on the adopted type of BTMD, the absorber maximum stroke approximately varies in the range 1÷1.5 m. Should a single concavity allowing for such strokes be considered impractically large, two concavities facing each other with a roller or a slider in between could be used instead, halving its size [11].

## 7. Conclusions

The main achievements of this study can be summarized as follows:

- 1) A unifying analytical model is established for simulating viscous (V-BTMD), axial-friction (HA-BTMD) and tangential-friction (HT-BTMD) BTMDs, rigorously accounting for their mechanical and geometrical nonlinearities. Its first-order reduction highlights the main properties of the three types, including some undocumented issues of fundamental practical importance, such as the control loss observable in symmetrical or nearly-symmetrical HA-BTMDs under bidirectional motion.
- 2) The  $H_\infty$  and the  $H_2$  optimal design problems are rigorously posed and numerically solved for friction BTMDs on linear structures under harmonic or white-noise force excitations. Tables and figures providing the optimal BTMD parameters and the resulting control performance as a function of the BTMD mass ratio are presented that can be directly used as a practical design tool. The  $H_\infty$  design proves more robust than the  $H_2$  design, in that the  $H_\infty$ -designed device exhibits an  $H_2$  performance only slightly less than optimal, whereas the  $H_2$ -designed device exhibits an  $H_\infty$  performance which may be much less than optimal. Compared with the exact optimal solution, the suboptimal solution proposed in previous studies, based on friction-to-viscous equivalence, proves only slightly less effective in reducing the  $H_2$  structural response, but significantly less effective in reducing the  $H_\infty$  structural response, especially in the case of large mass ratios.

- 3) In 2D first-order problems, the HA-BTMD and the HT-BTMD are exactly equivalent, and if properly designed their effectiveness proves nearly the same as that of the V-BTMD, independently from the excitation level.
- 4) In 3D first-order problems, the performance of the V-BTMD remains unchanged and that of the HT-BTMD gets only slightly reduced by friction coupling. The HA-BTMD response, instead, becomes dramatically dependent on the pendulum shape: if the ratio between the two pendulum lengths is far from 1, the performance appears similar to that of the other two types; but as the ratio approaches 1, the control effectiveness diminishes and the TMD strokes increase because of the insurgence of a peculiar insufficiently-damped rotational mode of the HA-BTMD around the vertical axis.
- 5) If analyses are extended to the large-displacement domain, the main trends depicted above remain unchanged except that for all BTMD types the control performance progressively reduces as the excitation amplitude increases, because of bumping and mistuning; this reduction is smaller for the HT-BTMD because of the favourable features of the assumed friction law.

In conclusion, once properly designed and until stroke demand does not exceed their intrinsic stroke limitations, BTMDs appear an effective alternative to translational TMDs, applicable through a variety of damping options. The two homogeneous friction mechanisms, and particularly the tangential one, are promising novel paradigms to provide amplitude-independent damping to engineering pendular systems.

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