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Cognitive Based Design of a HMI for Telenavigation of A Space Rover / DE FILIPPIS, Luca; E., Gaia; Guglieri, Giorgio; M., Re; C., Ricco. - In: JOURNAL OF AEROSPACE TECHNOLOGY AND MANAGEMENT. - ISSN 2175-9146. - STAMPA. - 6:4(2014), pp. 1-16.

Availability:

This version is available at: 11583/2565736 since:

Publisher:

Instituto de Aeronáutica e Espaço, São José dos Campos/SP, Brazil

Published

DOI:

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Cognitive Based Design of a Human Machine Interface for Telenavigation of a Space Rover

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Testo

ABSTRACT: Human Machine Interface (HMI) design is a critical field of work because no general guidelines or rules have been assessed. In order to aid practitioners to design effective HMIs, different methodologies have been studied. To understand task objectives and plan goal-oriented actions, human operators exploit specific cognitive processes that have to be supported with advanced interfaces. Including cognitive aspects in HMI design allows generating an information flow that reduces user mental workload, increasing his/her situation awareness. This paper focuses on design and test of a Graphical User Interface (GUI) for the telenavigation of a space rover that makes the cognitive process of the user a priority in relation to the other development guidelines. To achieve this, a Cognitive Task Analysis (CTA) technique, known as Applied Cognitive Work Analysis (ACWA), is combined with a multi-agent empirical test to ensure the GUI effectiveness. The ACWA allows evaluating mission scenarios, i.e. piloting the rover on the Mars surface, in order to obtain a model of the human cognitive demands that arise in these complex work domains. These demands can be used to obtain an effective information flow between the GUI and the operator. The multi-agent empirical test, on the other hand, allows an early feedback on the user mental workload aiming to validate the GUI. The result of the methodology is a GUI that eases the information flow through the interface, enhancing operator's performance.

KEYWORDS: Cognitive engineering, Human machine interface, Space robotics, Space exploration, Graphical User Interface.

INTRODUCTION

In today's world, many systems are remotely operated or supervised by individuals who are decision makers. The planning, monitoring, and controlling of many of these systems are supported via visual display units. Applications that heavily depend on teleoperation and telenavigation are space exploration missions. Complex and dangerous conditions — deriving from environmental hazards — may occur to humans involved in these missions usually performed with supervised systems. As an example, Biesiadecki *et al.* (2007) state that “successful operation of the Mars Exploration Rover (MER) vehicles has depended on both manually-directed and autonomous driving. The two methods are complementary, and careful selection of the right technique leads to better overall performance”. The Graphical User Interface (GUI) design becomes a critical task in mission accomplishment, enhancing operator's Situation Awareness (SA) and control capabilities.

Existing design approaches cover only specific aspects of HMI design (Störrle, 2010), bringing to application-oriented solutions which limit the development of complex architectures. Many approaches and tools to tackle individual problems in the interface design have been developed by Hashimoto *et al.* (2011), but any integrated solution addressing the whole design process has been defined. A big effort has been spent to design and implement displays and interfaces to operate rovers in space: as NASA Visual Environment for Remote and Virtual Exploration (VERVE), Predictive and Interactive Graphical Interface (PIGI) (Pedersen *et al.*, 2012; Pedersen *et al.*, 2010; Burrige and Hambuchen, 2009) or CliffBot Maestro (Norris *et al.*, 2009). Assessed modeling approaches are:

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Received: 02/19/2014 | Accepted: 09/26/2014

- Operator Function Model (OFM) (Chu *et al.*, 1995), used in supervising, monitoring and controlling of Unmanned Combat Aerial Vehicles (UCAVs) (Narayanan *et al.*, 2000);
- Direct Manipulation Interfaces (DMI) proposed by Hutchins *et al.* (1985) with the aim of reducing gaps between the user's goals and their knowledge of the system;
- Ecological Interface Design (EID) (Rasmussen and Vicente, 1989), a theoretical framework for designing interfaces in complex human-machine systems based on skills, rules, knowledge (SRK) taxonomy (Rasmussen, 1983) and the abstraction hierarchy (AH);
- Goals, Operators, Methods, and Selection Rules (GOMS) (Card *et al.*, 1983) a formal predictive modeling technique for interface design based on cognitive problem solving behavior (Eberts, 1994);
- Cognitive Task Analysis (CTA) (Gordon and Gill, 1997).

Direct correlation between user's SA and overall performance of the supervised system (Endsley 2003) make the information flow through the GUI an essential feature in the designing process (Baxter 2013). This paper proposes an integrated methodology in which SA requirements form the basis of the design phase. This integrated solution is achieved using a CTA approach — Applied Cognitive Work Analysis (ACWA) proposed by Elm (2003)—, coupled with direct testing methodology which involves user's performance assessment (Endsley 2000) as a feedback on design. This leads to the definition of an integrated approach able to obtain graphical interfaces for space applications that can ensure high standards in terms of users' performance and SA.

CTA methodology can capture expert's knowledge in managing complex, dynamic and changing environments (Mast 2014). Redding (1992) defines CTA "as an approach in determining the mental processes and skills required in performing a task and the changes that occur as the skill develops". Many of the above-mentioned approaches cannot lead to an explicit representation of the operator goals (Okura *et al.*, 2013) (which is one of the major limitations of the OFM) or they are not effective in complex human-machine systems (Corujeira, 2013).

The paper introduces a methodology to design GUI with small, manageable, engineering transformations, each requiring the skilled application of the methodology's principles rather than requiring a design revelation at any point in the process. The design progress, therefore, occurs by generating artifacts that capture the results of each of these intermediate stages.

Each of these artifacts also provides an opportunity to evaluate completeness and quality of the analysis and design effort. The design process is then associated with an empirical test (Nielsen, 1994), designed to obtain information about user performance and SA. A comparative analysis is conducted introducing support of experts, in order to extract information about the user's SA and Mental Workload (MW), obtaining a complete methodology that allows the practitioner to be supported from the design phase to tests and analysis.

The remainder of this paper is organized as follows: next section presents an in-depth analysis of the ACWA methodology and covers all the design phases in which it is divided. Further sections describe the testing procedure to obtain all the information needed to correctly assess the GUI. Subsequently, the results of the testing phase will be evaluated and further analysis on user perception and performance will be made. The paper concludes with a discussion on the overall design approach.

DESIGN METHODOLOGY

In order to model the cognitive process used to accomplish mission tasks and design a Human Machine Interface (HMI) able to support the user to reach mission goals, the ACWA methodology has been exploited. This approach is subdivided in four design processes and it starts with Functional Abstraction Network (FAN) definition to model the functional process required to perform the goal. The FAN captures the essential domain concept and the relationships between the problem-space and the domain practitioners. The next step is overlaying the Cognitive Work Requirements (CWRs) on the functional model as a way of identifying the cognitive demands which arise in the domain and require support. These cognitive demands may be successfully executed after identifying the Information and Relationship Requirements (IRRs). IRRs definition supports Representation Design Requirements (RDRs) which define the "shaping" of the information. The last step of the CTA approach used in this paper is the developing of Presentation Design Concepts (PDCs) to implement these RDRs, producing the correct information transfer to the user. The CTA results will be a first mock-up of the interface to transfer the information needed by the user in order to fulfill their cognitive demands.

An in depth analysis of each step of the methodology is made in the remainder of this chapter. However, it must be stressed that all the steps of the process are “open” in parallel, so as design thoughts for CWRs, IRRs, or PDCs occur, they can be recorded and aid in the definition of the FAN itself. Figure 1 represents the complete methodology flowchart, where ACWA steps are related to mockup generation and testing in a recursive process.

FUNCTIONAL ABSTRACTION NETWORK

FAN is a function-based goal-means decomposition of the domain. This step has its roots in the formal, analytic goal-means decomposition method pioneered by Rasmussen (1986) for representing cognitive work domains as an AH. The FAN is a structured representation of the functional concepts and their relationship used as the context for the information system to be designed. This produces a multilevel recursive means-ends representation of the work domain structure.

In practice, building a FAN is an iterative process: the FAN starts from an initial base of knowledge regarding the domain that is gradually expanded using complementary techniques as observations, interviews or training (Elm *et al.*, 2003). To obtain an artifact that reflects the process depiction without representing only its physical components, a “flow modeling” approach was introduced. This model is based on the definition of different shapes to represent different stages of the process: Sources, Sinks, Storage, Transport and Conversion shapes are used in order to represent the functional operation of both abstract

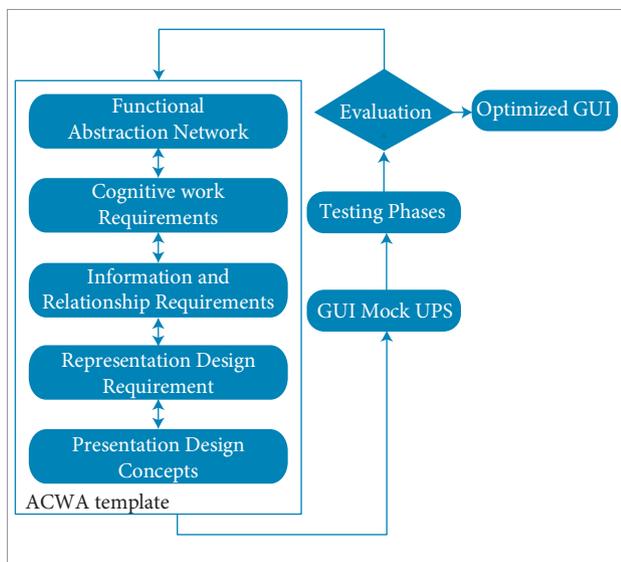


Figure 1. Design methodology.

and relatively physical processes (Fig. 2). Figure 3 represents the primary goal formulated in the application here described, to start the development of the FAN.

Then, complementary techniques, as face-to-face interview or verbal protocol techniques are used in order to expand and enrich the domain understanding and to evolve a function-based model. Figure 4 shows the evolution of the FAN from goal 1 to goal 2. It expands the concept of map updating that is necessary to obtain a new map from the old one, merging new environment data obtained during the field-mapping process.

The FAN obtained in this paper starts from a field mapping overall mission objective: this main goal was subsequently expanded through the definition of system deployment functions and the analysis of rover motion functionality. At the very low level of abstraction, the FAN leads to define the functions of

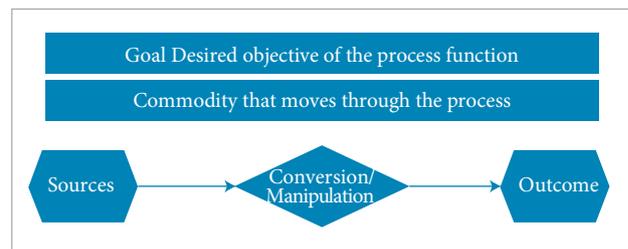


Figure 2. FAN Template.

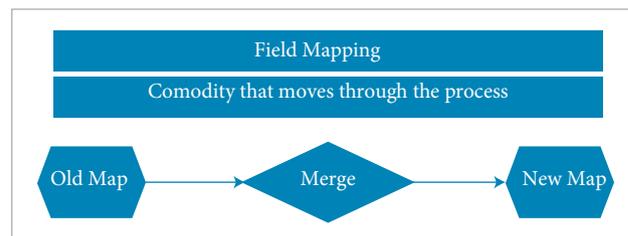


Figure 3. FAN Main Goal.

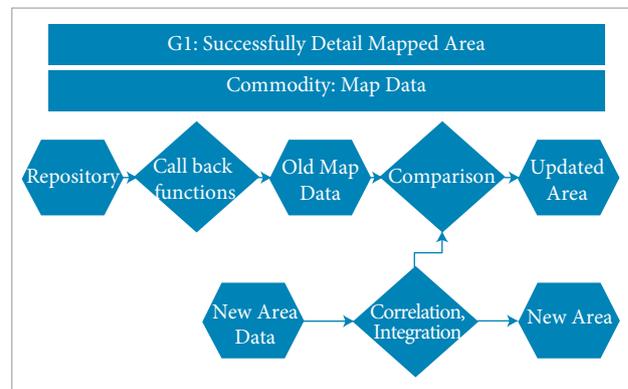


Figure 4. Main Goal Supporting Function.

gathering environment data, successfully recovering command information and evaluating operative mode constraints.

As stated above, the scenario of the project is a field mapping oriented mission, all the other features and mission possibilities will be dropped to simplify the case of study. This assumption is needed to define a work domain not as complex as a whole mission definition, which allows researchers to describe it in minute details. For this reason, only the rover functions directly coupled with its motion capabilities and its deployment abilities are taken into account and investigated during this work. Furthermore, to ease the FAN depiction, the mission is intended to be in nominal mode; failure management will be only expected but it will be not investigated.

The FAN representation of the work domain's concept brings us to the second step of the ACWA process: deriving the CWR.

COGNITIVE WORK REQUIREMENTS

CWR represent the cognitive demands for each part of the domain model, i.e., all type of recognition, decision-making and problem-solving activities.

In the methodology, the addition of CWRs to the design repository is described as “thickening” the analysis (Elm *et al.*, 2003): in fact, each CWR is attached to a node of the FAN as an enrichment of the domain concept understanding. Based on the underlying premises of the CTA, these CWR center around either goal or functional process — e.g., monitoring for goal satisfaction and resource availability, planning or selecting among alternative options to achieve goals, and controlling the functional process. By organizing the specification of operator's cognitive requirements around nodes in the FAN rather than organizing requirements around predefined task sequences, the representation helps to ensure a consistent, decision-centered perspective (Table 1).

This perspective implies that the FAN nodes can be associated with different kind of CWRs: some of them can be found across a variety of domains, so a “template” of generic CWR can be tested for each node of the FAN, while others are uniquely coupled with the scenario features and demands. Thus, the FAN forms the basis for the structure of the cognitive demands reflected in the CWRs. For example, every goal node in the FAN has associated “goal monitoring” decisions; likewise, processes have associated “process monitoring” decisions and, similarly, there will always be some “feedback monitoring” decisions related to assessing whether actions are achieving the desired result.

In many ways, this step is where the decision support system requirements are shaped: hence, good CWRs depiction

Table 1. Cognitive Work Requirements for Goal 1.

| | CWR description |
|----------|--|
| CWR-G1-1 | Monitor field mapping progress with respect to the overall map dimension |
| CWR-P1-1 | Monitor the presence of old map of the target area already available |
| CWR-P1-2 | Select source between old and new map that maximize information accuracy |
| CWR-P1-3 | Compare old and new data |
| CWR-P1-4 | Monitor correct correlation of new mapped areas to already mapped ones |

is essential to the final resulting GUI. A portion of the CWR obtained from the FAN are listed below:

- Monitoring field-mapping progress regarding overall map dimension;
- Monitoring successful positioning of the sensor for data acquisition;
- Selecting the optimal motion of the rover to maximize sensor potential;
- Choosing the best command sequence to move the rover to the acquisition site;
- Monitoring current command input to avoid limit crossing;
- Selecting the best navigation option to maximize rover response during mission;
- Monitoring actual rover movement capabilities to maximize its potential;
- Monitoring actual rover movement possibilities to perform defined action;
- Monitoring rover system behavior to obtain information about its actual status;
- Monitoring correct acquisition of sensed commands.

INFORMATION AND RELATIONSHIP REQUIREMENTS

While mental demands of every FAN node are gathered through CWRs definition, the information required for each decision to be made is still unidentified. IRRs are defined as the set of informative elements necessary to successfully resolve the associated CWRs. Thus, the focus of this step in the methodology is to identify the ideal and complete set of information for the

associated decision-making process. Therefore, IRR forms the basis for the visualization support to be designed in the next phase. However, information is not data: data can require complex transformations to become information. Thus, IRRs have a much deeper impact on the entire system architecture than merely the “look and feel” of the final GUI (Elm *et al.*, 2003).

It is important to note that IRRs are strongly related to mental demands fulfillment (CWRs) and are not limited by data availability in the current system. If the required data is not directly available, ACWA provides a logical basis to obtain that data (e.g. pulling data from a variety of databases, adding additional sensors, creating “synthetic” values); this leads to one of the assumptions of the project: some of the information obtained from IRR requires data not available with current state of the art sensors, but strongly needed for a successful space telenavigated mission.

This approach is different in relation to the one that human-factor engineers have had in the past (designing an interface after the system equipment has been specified). The standard approach, in fact, cannot give any profit in terms of understanding the user’s MW involved in the process of acquiring the correct SA.

Consequently, “the ACWA approach is fundamentally broader in scope than other approaches to interface design that do not consider the impact of IRRs on system architecture specifications” (Vicente *et al.*, 1996).

It is easy to notice that a lot of information can be needed by a single CWR to be completely defined. Furthermore, high-level goals — as the ones in Table 2 — are dependent upon supporting information, successfully developed in lower level goals.

A summary table was created to correctly evaluate all the information needed to satisfy the mental demands of our domain. In this table, the information are correlated to the supporting function, in order to define a hierarchy between them. Table 3 collects all the information which need to be provided to the user in order to trigger the cognitive processes related to each functional process. This information is, in many cases, the same for different cognitive processes — as it is shown on the second column of the table, where each number corresponds to a specific cognitive process. The table allows correlating each piece of information to the number of cognitive processes in which it is involved. Information involved in many cognitive processes need to have priority on the GUI, because the user will need them all the time to maintain its SA.

Upon obtaining IRRs, the ensemble containing the FAN, the CWRs and their associated IRRs represent a solid basis for the development of the decision-making graphical support.

Table 2. Information and Relationship Requirement for Goal 1.

| | IRR description for Goal 1 listed with respect to CWR |
|------------|--|
| CWR-G1-1 | Monitor field mapping progress regarding overall map dimension |
| IRR-G1-1.1 | Deliver mapping progress status with respect to the whole area of interest |
| IRR-G1-1.2 | Deliver typical rate of acquisition (design limits) |
| IRR-G1-1.3 | Actual rate of acquisition with respect to typical rate |
| CWR-P1-1 | Monitor the presence of old map of the target area already available |
| IRR-P1-1.1 | Deliver selected area availability in storage |
| IRR-P1-1.2 | Deliver data accuracy of old map |
| CWR-P1-2 | Select source between old and new map that maximize information accuracy |
| IRR-P1-2.1 | Rate of change between old map data and new map data |
| IRR-P1-2.2 | Data already stored with respect to selected zone |
| CWR-P1-3 | Compare old and new data |
| IRR-P1-3.1 | Actual sensed data accuracy with respect to stored data accuracy |
| IRR-P1-3.2 | Rate of change between stored and sensed data |
| CWR-P1-4 | Monitor correct correlation of new mapped areas to already mapped ones |
| IRR-P1-4.1 | Actual position of the sensing system |
| IRR-P1-4.2 | Deliver nearest position available in the stored map |
| IRR-P1-4.3 | Actual error in position |

However, to obtain a Graphical Interface that communicates with operators without effort, the IRRs have to be converted into visual widgets. The last two steps of the ACWA, which are the RDRs and the PDCs, allow the methodology to bridge this gap.

REPRESENTATION DESIGN REQUIREMENTS AND PRESENTATION DESIGN CONCEPTS

RDRs define the goals and scope of the information representation, in terms of cognitive tasks it is intended to support. It also provides a description of the supporting

Table 3. Portion of Information and Relationship Requirement list.

| IRR | Goal supported by IRR |
|--|-----------------------|
| Command information: acceleration (actual and maximum) | 4-5-6-7.5-8.2 |
| Command information: acquisition sensor check up | 7.5-8.2 |
| Command information: command history | 7.5-8.2 |
| Command information: command verification | 8.2 |
| Command information: commanded torque | 4-7.5-8.2 |
| Command information: communication bandwidth | 8.2 |
| Command information: communication coverage | 8.2 |
| Command information: communication windows | 8.2 |
| Command information: electric current needed | 4-5-6-7.5-8.2 |
| Command information: maximum torque available | 4-5-6-7.5-8.2 |
| Command information: Power available to engines (actual and typical) | 4-5-6-7.5-8.2 |
| Command information: speed (actual and maximum) | 4-5-6-7.1-7.2-7.5-8.2 |
| Command information: Wheels Differential torque | 4-7.5-8.2 |
| Environment data: acquisition data rate (actual and typical) | 8.1 |
| Environment data: memory space available | 8.1 |
| Environment data: sensor status | 7.3-8.1 |
| Environment data: sun exposure (actual and typical) | 8.1 |
| Environment data: temperature (actual and typical) | 8.1 |
| Environment data: wind speed (actual and typical) | 8.1 |
| Guidance and Navigation data: Checkpoint position | 3-4-5-6 |

information required to sustain the cognitive tasks. Furthermore, this step in the process begins shifting the attention from “what” is to be displayed (defined by FAN, CWRs and IRRs) to “how” to display it. It adds a more complete description of the behaviors and features needed to communicate the information effectively, as well as an allocation of the Information/Relationship Resources across the entire set of displays within the workspace.

The visual framework of a Rover Simulation has been taken into account to obtain the RDRs and the PDCs.

A software framework able to create 3D immersive virtual simulations has been used in order to develop a particular Rover Simulation application. The software was developed inside a Piedmont regional funded project, ended in May 2012, called STEPS. STEPS — *Sistemi e Tecnologie per l'Esplorazione Spaziale* — was a project supported by Regione Piemonte and carried out

by *Thales Alenia Space Italia*, Small and Medium Enterprises, Universities and public Research Centres belonging to the network “*Comitato Distretto Aerospaziale del Piemonte*”. The project objective was to develop hardware and software demonstrators for the descending, soft landing and surface mobility of robotic and manned equipment during Moon and Mars exploration. The demonstrator was created in the Collaborative System Engineering (COSE) Centre, a *Thales Alenia Space Italia* facility in Torino which operates within the Engineering & Advanced Studies Directorate of the Domain Exploration and Science Italy. The Centre main mission is to research, develop, integrate and propose new methodologies and tools to enhance the system engineering capabilities and the multidisciplinary collaboration.

Using the Virtual Rover Demonstrator it is possible to simulate a rover motion on a planetary surface.

Three visual displays are used to obtain a wide screen virtual experience of the rover and the terrain (Fig. 5).

Another benefit provided by RDRs is that, as long as the domain remains unchanged, the RDR serves as an explicit documentation of the presentation concept purpose, despite of the technologies available and used to implement it. As newer technologies become available, and as their interaction with human perception becomes better understood, the technologies used to implement the RDR can evolve.

The RDRs are obtained splitting the IRRs into

- *Essential information*: it represents vital information, which needs to be on the central screen. It gathers information which have to be easily accessed by users;
- *Detailed information*: represents information which may improve user's awareness with an increase in their workload. This information is more accurate and detailed and can be used to improve awareness on a selected feature.

The following data have been evaluated as part of essential information: user commands, speed, rover heading and "out of range" alert of these parameters. Other "alert signals" have to be fit into costless information: proximity hazard, pitch acceleration and roll acceleration. These alerts are needed because the rover stability can be heavily compromised if these parameters exceed their thresholds. This information represents the one related to a greater number of IRRs.

On the other hand, in the detailed information, there are included the pitch and roll, angular velocity and speed of the wheels. It is easy to notice that the user may perform a proper telenavigation task by focusing attention on the central screen. The information on lateral screens plays a detailing role: if the user understands that something is not working as intended (e.g. from no-cost information) he/she will focus on lateral screens in order to obtain more detailed information. The set of information used on the lateral screen are obtained from the one that will affect a smaller number of functional processes.

After the development of the RDRs, the last step in the methodology is obtaining explicit PDCs for the Interface (as GUI mock-ups). This final step requires the knowledge of the human perception and its interaction with the various presentation techniques and attributes. With the RDR as a guide, the sketches, drawings, and brainstorming concepts can all be resolved against the display's intent and requirements. The issues of how it is perceived can best be done with empirical testing of prototypes and often requires considerable tuning and

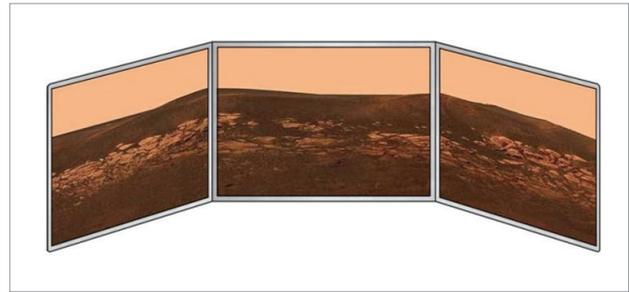


Figure 5. Visual Display Framework.

adjustment to achieve the representation capabilities specified in the RDRs.

During this phase, researchers found, for example, that the information panels couldn't be put on the further edge (in relation to the central screen position) of both the side screens due to their dimensions. In fact, if positioned on the further edge, information becomes accessible only with a larger motion of the head, with a consequent degradation in driving performance. To avoid this issue, while maintaining a good distinction between essential and detailed information, researchers choose to insert the information panel on the nearest edge of the lateral screens.

The availability of two different panels (both the side screens are used to represent detailed information) allows a further classification to aid users in the rationalizing process of the interface. Thus, researchers try to collect on the left screen the detailed information related to kinematic data and system parameters, while on the right screen were implemented navigation information. The final mock-up of the GUI obtained using this methodology is shown below (Figs. 6, 7 and 8):

The availability of an older interface (Fig. 9) with the same purpose of the one created using the ACWA approach, allows researchers to enhance it, decreasing the time spent for this last step.

All the improvements done on the interface are listed in Table 4.

TEST DESCRIPTION

An empirical test was developed in order to obtain information both on the user SA and on their performance in task fulfillment.

Several methods of testing SA have been documented (Endsley *et al.*, 2000; Endsley, 1995a; Endsley, 1995b), usually divided into:

- Knowledge-based measurement techniques; and
- Performance-based measurement techniques.



Figure 6. Left screen Graphical User Interface.

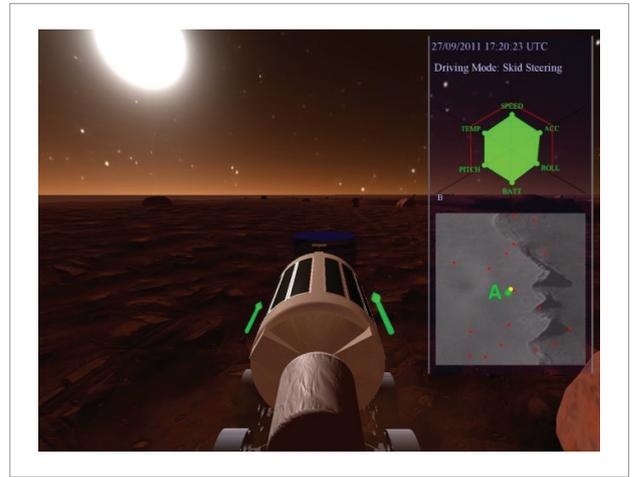


Figure 9. Old Graphical User Interface for remote control.



Figure 7. Central screen Graphical User Interface.

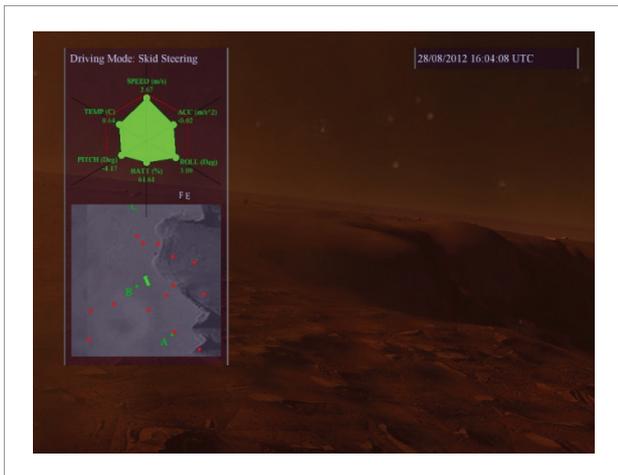


Figure 8. Right screen Graphical User Interface.

Table 4. Graphical User Interface improvements.

| Screen | Improvement |
|---------------|---|
| Lateral-left | Battery status bar added |
| Lateral-left | Wheel slip indicator added |
| Lateral-left | Artificial horizon added |
| Lateral-left | Angular velocity display added |
| Central | Directional compass added |
| Central | Ghosting function improved |
| Central | Torque-related color function added |
| Central | Rover speed indicator added |
| Lateral-right | Hexagon position modified |
| Lateral-right | Map position modified |
| Lateral-right | Rover heading function added in the map |

The knowledge-based measurement techniques are founded on either simulations or real trials. The task is selected according to the level or type of SA being addressed by the experiments. The method identifies independent variables (i.e., the type of display for a GUI, the type of interaction device used to pilot a remote vehicle) and dependent variables, such as objective and subjective measures of testing knowledge (i.e., understanding) and performances.

Furthermore, there are several complex techniques which attempt to determine or model the subject's knowledge of the situation at different times throughout simulation runs.

For example, the Situation Awareness Global Assessment Technique (SAGAT) freezes the simulator screens at random times during the runs, and queries the subjects about their knowledge of the environment. This knowledge can be at several levels of cognition, from the most basic of facts to complicated predictions of future states.

The performance-based measurement of SA has taken several forms. Some techniques measure the overall final performance of the human-in-the-loop system in any or all of its tasks. Alternatively, Testable Responses can be used in order to evaluate SA: the subjects will face predetermined situations during the simulation that require decisive and identifiable actions, if the subjects have the correct level of SA, they can correctly perform the required actions while, with low awareness, they cannot perform it.

As a general comment, to provide a detailed assessment of the subject's SA, the knowledge-based techniques are more accurate, as they measure these variables directly. Performance-based measurement can only make inferences based upon the particular information the subject acted upon, and how it was interpreted, thus these techniques are very useful when well-determined performance are assessed, while the knowledge-based techniques can be more accurate when a lot of different aspects are observed together, and multiple performance assessments can be made.

Self-rating techniques are used in order to gain a subjective assessment of participant's SA. Typically administered post-trial, self-rating techniques involve participants providing a subjective rating of their perceived SA via an SA related rating scale. As an example, the Situation Awareness Rating Technique (SART) is a subjective rating technique developed for the assessment of the pilot's SA.

The primary advantages of self-rating techniques are their ease of application (easy, quick and of low cost) and their non-intrusive nature (since they are administered post-trial) very useful for the early assessment in the design process. However, subjective self-rating techniques are heavily criticized for several reasons, including the various problems associated with the collection of SA data post-trial (correlation of SA with performance, poor recall) and also issues regarding their sensitivity.

Another method used in order to estimate SA is based on observer's ratings during or at the end of the trial. Observer rating techniques typically involve a Subject Matter Expert

(SME) observing participants performing the task under analysis and then providing an assessment or rating of each participant's SA. The SA ratings are based upon observable SA related behavior exhibited by the participants during task performance. The main advantages associated to the use of observer rating scales to measure SA are their non-intrusive nature and their ability to be applied 'in-the-field'. However, the extent to which observers can accurately rate participant SA is questionable, and also multiple SMEs may be required. A five points rating scale (1=very poor, 5 =very good) and an additional 'not applicable' category can be used for each observable SA related behavior of the tester.

The objectives of the testing phase are different: on one hand a first evaluation of the ACWA have to be made in order to assess its impact on the user perception, on the other hand the GUI itself has to be tested to verify its usability. To obtain a feasible and light testing methodology that can inspect these features, a brand new approach to the testing phase is developed. A performance-based concept is used in order to investigate the GUI usability while self-rating questionnaires are defined to obtain information about operator's SA. These early tests in the overall design phase of the simulator cannot take advantage of the knowledge-based techniques: the overall behavior of the system is still undefined, thus it is impossible to define independent variable to be observed.

While the self-rating questionnaires are administered post-trial in order not to influence the performance, the SMEs will focus their attention on the operator's behavior during the trials and on their accord with the GUI.

The coupling of the self-rating techniques with the observer rating techniques allows practitioners to enhance the testing phase thanks to the ease of application of these methodologies without incurring in the subjective limitations of the user self-assessment. Furthermore, this mixed technique offers the best tradeoff between result's significance and test costs.

The performance-based measurement obtained from the mixed (self-rating and observer) testing technique can be used as an indicator of the effectiveness of the ACWA definition of the interface. The GUI, defined through the ACWA, should enhance the operator's awareness, easing his understanding of the process: if an increase in the user's performances can be found during the test, the ACWA has a positive impact on the interface design.

TEST PROCEDURE

The testing procedure is hereafter shortly described. A number of selected users were expected to drive the rover in remote manual control (i.e., using the joystick) along an assigned path (defined by 6 waypoints, in alphabetical order from A to F, distributed on the map). Each test is completed when the rover reaches the final waypoint F, or when the battery completely discharges. Together with this main task, a second — and less important — goal has been defined to increase the user MW. This second objective referred to a completely different mental process regarding the one involved in the fulfillment of the main goal. To accomplish this, the users were asked to count the number of “skid events” — i.e., to count the number of bars which turn red, Fig. 10 — while performing the main task (to reach the final waypoint F).

The SA assessment is based on expert observer’s ratings (during the test) and user’s self-ratings (at the end of the test). Prior to testing, a further questionnaire was given to the user: the aim of this “Relationship Questionnaire” (RQ) (Bartholomew & Horowitz, 1991) was to evaluate the attachment style of every participant.

The RQ is a self-report utility which allows investigating the general orientation of our adult intimate relationships, regarding psychological and emotional intimacy. The test is arranged in four short self-descriptions, each of which summarizes the basic aspects of one of the four main patterns of attachment. This classification system allows the subject to be identified, as a prototype, within one of the four attachment styles: secure attachment style; dismissive-avoidant; preoccupied; and fearful-avoidant.

During the test, subjects have to choose the most representative prototype of themselves, furthermore they also have to assess the extent to which each of the four prototypes represents them, using a 1 (strongly disagreement) to 7 (total agreement) scale. This allows us to assess both the image that the subjects have of themselves and the image that they have of the others: obtaining, by the intersection of the data, a “model of self” and a “model of others”, which may be positive or negative. In this way, the RQ investigates the hypothesis of Bowlby (1973), that attachment styles reflect the internal working models of self and others, which can be both positive and negative. It follows that the secure attachment, in which subjects are characterized by coherence, autonomy and self-confidence is derived from



Figure 10. Highlighted Skid events indicator on left screen.

the combination of a positive model of self and a positive model of others. The dismissive-avoidant attachment results from a positive self-evaluation associated to a negative representation of others: subjects tend not to be coherent, they sacrifice the intimacy and deny the importance of relationships, in “a kind of self-sufficiency affective and existential” (Bruni, 2004). The combination of a negative model of self and a positive model of the other develops instead a preoccupied attachment, in which the subjects, which are distinguished by their relentless pursuit and apprehension about the relations, safeguard their low self-esteem exposing the incoherence and idealization of their own relationships. Finally, fearful-avoidant attachment is derived from the combination of a negative model of self and a negative model of the other; fearful individuals avoid involvement with others for fear of being rejected, even though they wish it fervently, since, because of their low self-esteem, they do not feel worthy and expect others to be ill-disposed, unreliable and rejecting. Attachment styles highlighted by the RQ test also adapt, to some extent, to the relationship of “trust” that is established between the pilot and the interface of the vehicle driven.

In addition to that, researches have extensively demonstrated that the different attachment styles are related to the ability to handle, emotionally and cognitively, different situations and stress that they can generate (Ricco, 2009). The attachment style will directly affect perception and, also, cognitive processes that can be used: consequently it has a key role in the efforts to improve the GUIs.

Thus the RQ could give information about the attachment style required to improve the teleoperation: in fact, shifting from the concept of pilots to the idea of supervisors could change the desired psychological profile of the user. For example, pilots, most of the time, are very self-confident and self-centered people: this could lead to a difficult interaction with automated systems which require the confidence of the pilot to operate, exactly as it happens between people that need mutual trust in order to collaborate on a project.

The tester experience started in a room next to the simulator where he has to fill the RQ isolated from the other users to avoid suggestions; the anonymous questionnaire, sealed inside a blank envelope, were collected by a dedicated practitioner. After the RQ collection, the tester could perform the driving session: in this phase three observers must fill out their questionnaire, looking at the user's behaviors and at their performance (i.e., eyes motion, head motion or collecting defined data during the attempt) while maintaining absolute silence to avoid tester's distraction. The last phase consists of the self-rating questionnaire: the tester answers the questions alone in the adjacent room then the anonymous questionnaires were collected by a dedicated practitioner. These questionnaires will explore the perception of the GUI from the operator point of view: some questions are related to parameter controlled by the observers (e.g., rover speed) in order to have a feedback on performance, while other are related to the cognitive processes that the operator have to exploit to correctly maneuver the rover. These questions can be used as a direct investigation on the ACWA effectiveness: if the operator cannot understand the GUI elements, it means that the process that he exploits is different from the one obtained from the ACWA that defines the GUI shape.

Within three months, two different sessions of tests were scheduled. Both sessions involved the same users and the same observer in order to avoid erroneous comparison. In the last test, the GUI was not modified, but a time restriction was given to the testers in order to increase their MW (Paas *et al.*, 2004). The main task (to reach the final point "F") and the secondary objective (to count the skid-events) were maintained, while the execution time was diminished by 20% in relation to the execution time measured during the first test. The aim of this second test was to increase the performance-based knowledge of the user's understanding

process in a more dynamic and strained environment, and to verify the evaluations obtained in the previous test.

TEST

The user should demonstrate their ability to detect, understand and respond to events while maintaining a good performance in both assigned tasks. To do this, they have to maneuver the rover through six waypoints positioned on Mars' surface in order to reach the final waypoint F. While the user is performing the test, three observers will judge their behavior without interference.

The observer's ratings are divided into knowledge-based (e.g., distance and speed, randomly collected by the observer) and performance-based (e.g. time required to complete the path, number of collisions, average cross-track error in forward drive or the ability to drive straight, response to the presence of a random obstacle).

When the driving test is completed, the user has to fill a self-rating questionnaire. The self-ratings give the assessment of the overall performance according to user's opinion.

General open comments are collected from both observers and testers, mainly related to the user's SA and the HMI performance. In order to allow comparison within tests, the same questions made in the first test were used in this self-rating questionnaire. Furthermore, the same users were engaged for testing the new GUI.

The number of users involved in the tests is limited ($N = 7$): this is acceptable because the number of operators is very limited in space applications. Space agencies invest many resources in training operators in order to employ highly specialized professionals. This leads to a deep knowledge of the system on which they are operating: therefore different applications employ users who possess a slightly different training. Thus, statistical survey cannot show direct correlation or general behavior because each system requires different skills and training. For this reason, the results of the tests performed to evaluate the developed GUI will not be statistically useful.

EVALUATION

Testing evaluation was made using two different modules: the first one, a self-rating questionnaire, was given to the user after the end of their performance, while the second one, the Observer-rating questionnaire, was filled during the test by three

observers. However, to avoid erroneous or misleading behavior, the observer could not interfere with the user during the tests.

The self-rating questionnaire consisted in different questions about user's perception of the overall test: they are asked to remember detailed parameters (e.g., the average speed maintained during the test or battery level at the end of the test) and to give feedback about their perception (judging several features of the GUI as the directional compass or the proximity hazard feature).

The majority of the questions consisted in a multiple-choice answer to ease the user's fulfillment possibility: an example is shown below (Table 5).

The observer questionnaire tracked down the same parameters, e.g. rover speed, together with indicators that can help understanding user's SA, such as coherence between user's eyes motion and their task or their execution time (Table 6).

The self-rating questionnaire and the observer questionnaire were then compared to obtain information about the overall SA of the user. The answer of observers can be used as neutral criteria to evaluate the personal, thus subjective, ratings of the users.

In this way, practitioners gathered information about performance, obtained from the observers annotations during the testers trial (e.g. average speed and execution time), and about interface effectiveness (thus the effectiveness of the cognitive approach was used to define the interface itself), obtained comparing the observer's annotation and the subjective rating of the user.

For example, the tester has to remember the level of charge of the battery at the end of their trial: the comparison between their answer and the actual data gathered by the observers allows obtaining information about the information transfer through the interface.

On the other hand, the comparison between the first test and the second one, which has an increased tester's MW, may improve the knowledge about the cognitive process of testers. The increase in MW means a lowering in SA: this will affect tester capability of acquiring information from the system. This could highlight the cognitive processes of the tester: if the operator cognitive process and the cognitive approach used in the interface design exploits the same process this leads to a natural acquisition of the information by the operator (i.e. the tester have not to process the information to understand it). In this way, the test could also verify the results of the previous test about interface effectiveness.

On the other hand, the RQ has been used to deepen the attachment style of the tester in order to obtain information about the tester's individual difference that can significantly affect their performance. The RQ was submitted to the user before the start of the test and consists in four brief descriptions which summarize each of the main patterns of a different attachment style, from secure to fearful.

TEST RESULTS

In this section, the results of the two tests performed on the different GUIs have been reported. The following tables present the attachment style, the personal evaluation and the evaluation of the observer, for each of the seven users. In the second test (that was carried out with an increase MW), only two of the testers reach the final point F within the time restriction. Furthermore, both of them possess a secure attachment style (Table 7).

This means that the secure attachment style, in which subjects are characterized by consistency, autonomy and self-confidence, may have a positive impact on the relationship of "trust" that is

Table 5. Self-rating questionnaire.

| How do you judge your performance in terms of cruise speed? | | | | | |
|--|------------------------------------|-------------------------------|----------------------------------|-------------------------------|------------------------------------|
| <input type="checkbox"/> Not applicable | <input type="checkbox"/> Very poor | <input type="checkbox"/> Poor | <input type="checkbox"/> Average | <input type="checkbox"/> Good | <input type="checkbox"/> Very good |
| Looking at the map, how you judge red markers to detect the obstacles? | | | | | |
| <input type="checkbox"/> Not applicable | <input type="checkbox"/> Very poor | <input type="checkbox"/> Poor | <input type="checkbox"/> Average | <input type="checkbox"/> Good | <input type="checkbox"/> Very good |

Table 6. Observer questionnaire.

| User eyes motion and coherence between current task and attention appointment. | | | | | |
|--|------------------------------------|-------------------------------|----------------------------------|-------------------------------|------------------------------------|
| <input type="checkbox"/> Not applicable | <input type="checkbox"/> Very poor | <input type="checkbox"/> Poor | <input type="checkbox"/> Average | <input type="checkbox"/> Good | <input type="checkbox"/> Very good |
| Covered distance (number of waypoints) | | | | | |

established between the pilot and the interface of the vehicle driven. However, there are also other two secure testers that have not completed the task in the given time: this means that a further investigation has to be conducted in order to assess the real correlation between pilots' attachment style and their performance.

On the other hand, the results can give information about the overall effectiveness of the design methodology in terms of users' performance and SA.

Comparing testers' answers given in the two tests with the data collected by observers, it is possible to understand if the tester's perception of the GUI was coherent.

Table 8 shows that users can evaluate and remember correctly the remaining battery level of charge at the end of the test. This means that also in a high MW environment (i.e. the second test), this feature enhance pilot's SA and satisfy the pilot's mental demands.

Also, the future-position indicator (that was improved because of the IRR definition) was perceived correctly by the testers (Table 9).

From this comparison, it appears that the tester perception of the future position indication was better in the second test: this could be derived from the increase in their mental demands, thus the elements of the GUI that ease their Workload are more evident.

Another element that has been evaluated is the map: in Table 10, the testers' subjective assessment of the red marker used for obstacle detection is given. The results highlight a slightly increase in testers usage and perception of these elements in the second test. This leads toward two different assumptions: the first one is that, with an increase in the MW, testers have to improve their perception using the map elements more frequently, while the second one is that, during the first test, the performance pressure on testers was too low to force them to actively use the GUI at its maximum.

In Table 11, the wheel skid counter has been reported: this was the secondary objective of the test to increase the MW of testers without conditioning their performance. If we compared this table to the observed eye motion coherence (Table 12), it is

Table 7. Task performance test number 2.

| Tester | Attachment | Collision (Test I) | Collision (Test II) | Time restriction |
|--------|------------|--------------------|---------------------|------------------|
| 1 | Secure | Yes | No | Yes |
| 2 | Reserved | No | No | No |
| 3 | Secure | Yes | No | Yes |
| 4 | Secure | No | No | No |
| 5 | Troubled | No | No | No |
| 6 | Reserved | No | Yes | No |
| 7 | Secure | No | No | No |

Table 8. Battery level, test number 1 and 2.

| Tester | Tester (Test I) | Observer (Test I) | Tester (Test II) | Observer (Test II) |
|--------|-----------------|-------------------|------------------|--------------------|
| 1 | 70 | 50 | 70 | 65 |
| 2 | 50 | 50 | 55 | 30 |
| 3 | 50 | 58 | 60 | 65 |
| 4 | 40 | 50 | 50 | 50 |
| 5 | 45 | 45 | 40 | 30 |
| 6 | 30 | 30 | 10 | 15 |
| 7 | 30 | 50 | 27 | 30 |

noticeable how testers with a poor coherence (i.e., tester 1 in test I, tester 2 in test II) cannot notice the right amount of skid event.

On the other hand, most of the participants have performed the secondary objective with a good level of accuracy: this means that the overall complexity of this task was correctly tuned in both of the tests.

In addition to that, the MW perceived by testers were paired with their eyes movement coherence as detected by observers

(Table 12): it is easy to notice that testers who subjected to high MW (average/high in the tester charts) most of the time have a low eyes motion coherence, which implies an overall difficulty in gaining the correct SA with regard to the current task. Furthermore, it seems that the time restriction has not influenced the perceived MW of the testers: most of the testers found the first test demanding, thus a further increase in their Workload could have not been correctly perceived.

Table 9. Future position perception.

| Tester | Test I | Test II |
|--------|---------|---------|
| 1 | Good | Good |
| 2 | Poor | Average |
| 3 | Average | Average |
| 4 | Good | Good |
| 5 | Good | Good |
| 6 | Poor | Good |
| 7 | Average | Good |

Table 10. Red marker obstacle detection on map.

| Tester | Test I | Test II |
|--------|---------|---------|
| 1 | Poor | Good |
| 2 | Good | Good |
| 3 | Good | Good |
| 4 | Good | Average |
| 5 | Average | Average |
| 6 | Good | Good |
| 7 | Good | Good |

Table 11. Wheel Skid counter.

| Tester | Tester (Test I) | Observer (Test I) | Tester (Test II) | Observer (Test II) |
|--------|-----------------|-------------------|------------------|--------------------|
| 1 | 18 | 40 | 15 | 23 |
| 2 | 30 | 19 | 35 | 16 |
| 3 | 25 | 28 | 17 | 14 |
| 4 | 5 | 14 | 10 | 13 |
| 5 | 5 | 6 | 15 | 17 |
| 6 | 21 | 18 | 5 | 2 |
| 7 | 17 | 18 | 15 | 13 |

Table 12. Mental Workload and tester coherence.

| Tester | Tester (Test I) | Observer (Test I) | Tester (Test II) | Observer (Test II) |
|--------|-----------------|-------------------|------------------|--------------------|
| 1 | Average | Poor | Average | Average |
| 2 | High | Very Poor | Low | Very Poor |
| 3 | Average | Very Good | Average | Average |
| 4 | Low | Good | Low | Average |
| 5 | High | Very Good | High | Poor |
| 6 | High | Good | High | Very Poor |
| 7 | Average | Average | Average | Average |

CONCLUSION AND FUTURE IMPLEMENTATION

This paper presents the development of a GUI for remote control of a rover in a planetary environment and the following testing phase to evaluate its performance regarding users' SA and MW. The methodology used in order to define the GUI stands out against the other approaches, because it makes the cognitive process of the user a priority in relation to the other development guidelines. Furthermore, it allows, through an iterative process, to update the HMI based on the test results. This can be done because there is a strong interconnection between the physical architecture of the system and the HMI: if tests point out that something has to be modified, researchers can easily understand which information has to be changed and where this information is used. On the other hand, the multi-agent tests allow researchers to understand the lacks in the interface using few testers and link these results to a well-defined psychological profile. Furthermore the testing technique has proved as a feasible methodology to obtain information on the GUI effectiveness and on the operator performance in early phase design, while more complex and expensive techniques, as knowledge-based ones, cannot be used.

As research perspective:

- All the information which cannot be correctly understood by testers will be revised to improve the GUI during a second and more detailed design overview;
- The exploit of observers could be a useful improvement for testing evaluation, though it is important that parameters collected during tests are as objective as possible, precisely to prevent them from being distorted by factors purely individual. This will lead to the use of automatic functions that support observer rating (e.g. autonomous eyes motion capture or tracking of commands given by the pilot) in future testing phases;
- A further and more complex (i.e. using intrusive testing methodologies) research about the connection between user's attachment style and their ability to successfully teleoperate a system has to be made.

ACKNOWLEDGEMENT

The authors would like to thank the management, the researchers and the engineering staff of *Thales Alenia Space Italia* (COSE-Center) for the essential technical support during implementation and testing of the HMI concept.

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