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Impacts of Climate Changes on Management Policy of the Harbors, Land Areas and Wetlands in the São Paulo State Coastline (Brazil)


Abstract
Santos Harbor and São Sebastião Oil Maritime Terminal are the most important oil and gas facility in the São Paulo State Coastline. Santos Harbor had, in the last decade, increased rapidly the container handling rate, being the first in Latin America. Santos Metropolitan Region is one of the most important Brazilian Coastline, also considering the tourism. For that great economic growth scenario it is very important to have wave climate and tidal levels well known considering the sea hazards influence in ship operations.

Since the hind-cast just represents the deep water wave climate, to make time-series of the wave’s parameters in coastal waters, for evaluation of sea hazards and ship operations, it is necessary to take into account the variations of those parameters in shallow waters with coastal instrumental data. Analysis of long term wave data-base (1857-2002) generated by a comparison between wave’s data modeled by a “deep water model” (ERA40-ECMWF) and measured wave’s data in the years 1982-1984 by a coastal buoy in Santos littoral (São Paulo State, Brazil) was made. Validation checking procedures with instrumental measurements of storm surges made in other years than 1982-1984 shows high level of confidence.

These data, obtained from the climatological analysis compared with a data set found from a scale model of the whole area of Santos Bay, Estuary and nearby beaches (Brazil), showed the impact of maritime climate changes, wave climate and tides upon harbor and coastal structures maintenance, beaches stability, tidal inlet saline intrusion and wetlands flooding.

In the same time, the complex environmental system, that characterized the area included between Santos and Caraguatatuba, generates different natural hazard event affecting the maritime activity. A comparative study about the coastal flooding (Santos area) and the fluvial flooding (Juqueriquerê river) was conducted. An analysis about the sea level rise, the wave climate and the flooding risk as well as the sediment transport was developed.

Considering the increasing of the sea hazards, the high values of the facilities and infrastructures in São Paulo State Coastline, it is necessary to mitigate the risks from the point of view of the harbor and coastal structures maintenance and projects purposes increasing defenses procedures. Hence, based on the results obtained by the Authors in previous researches, are highlighted guidelines strategies suggested for Access Channels dimensions, wharves free-board, jetties and breakwaters dimensions, dredging rates, rigid and flexible littoral defenses, saline intrusion and land protection against flooding (including wetlands).

Keywords: Climate change; Geomorphology; Risk assessment; Harbor operations, Waves; Maintenance policy

Introduction
As well know the climate change affect the human activity, the agriculture and the industry [1] as well as the tourism business [2]. However a less bibliography was developed on the effect of the climate change on the maritime navigation. In fact, also if some studies were conducted about the effect of the climate change on the wind conditions and the wave action, the studies about the management and the policies are focused principally about the mitigation of the greenhouse gas emissions (GHG) generate by the navigation [3,4].

Nevertheless it is evident an inadequate bibliography about the effect of the climate change on the maritime navigation. For this reason a less literature is present about the management policy that the Government and the Organizations responsible for the port control can apply to sustain the shipping business due to the climate change effects.

There is the awareness that conditions of bathymetry, tides, winds, currents and waves for next decades shall have climate changes impacts on maritime navigation. The risk is understood, but only in a qualitative way, as composed by Hazard, Exposure and Vulnerability [5].

This paper goal is to overcome the contraposition that it emerges between the defence against the hydraulic risk and the management to preserve the environmental protection for nautical purposes. Moreover, basing on the results obtained by the Authors in the previous published researches, the highlighted guidelines strategies are suggested for access channels dimensions, wharves free-board, jetties and breakwaters dimensions, dredging rates, rigid and flexible littoral defenses, saline intrusion and land protection against flooding (including wetlands).

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The São Paulo State (Brazil) Coastline (Figure 1) has around 450 km. The Harbors Areas of Santos and São Sebastião (Figure 2 and 3) concentrates around of 12% Brazilian international trading of 688 million tons per year and around 26% of Brazilian international trading of US$ FOB$466 million. Santos Harbor is the most important in the Southern Hemisphere and the first in Latin America. São Sebastião Oil Maritime Terminal is the most important Brazilian oil and gas facility. In the last decade important oil and gas reserves were discovered in the Santos Offshore Basin and São Paulo Coastline received a great demand for supplier boats harbors for the petroleum industry [6]. Santos Metropolitan Urban Region is one of the most important of Brazilian Coastline, also considering the tourism.

For that great economic growth scenario it is very important to have well known the main maritime hydrodynamics forcing processes including climate changes in tidal levels, currents and waves, considering the sea extreme events hazards influence in vessel operations, coastal erosion, land flooding and estuarine mangrove wetlands survival as marine ecosystem [7].

Therefore is essential, due to the differences of the geographic system by a point of view of geomorphology and ecosystem, to analyze the entire area of São Paulo State Coastline. In the same time, as indicate before, this area was divided in two part: Santos and São Sebastião with the Juqueriquerê catchment and waterway.

The first area is affected from the increasing of the storm surges (sea level rise) as well as from the waves generated by the climate change. Instead the second area is influenced by the increasing of frequencies in the storm surges in addition to the flooding risk.

Extending the study at these two different zones, increases the validity of the results proposed in the present research because the phenomena analyzed are different so that the suggested management policies can be applied to a wide number of cases. It is important to note that the geographical system of São Paulo State Coastline have a similarity in the South America in particular in the coastline of the South of the Brazil. For this reason the policies recommended by this research to manage the effect of the climate change on the maritime navigation, can be applied in a large area making the results more general and widely applicable.

It is important to note that the Juqueriquerê Catchment is the major in São Paulo State (Brazil) North Coastline (Figure 4).

The Juqueriquerê Waterway is a 4 km estuarine channel used by small piers and docks. The entrance bar doesn’t have any amelioration works and the boats maneuvers are difficult and dangerous. Example of navigation possibilities in the waterway was the dock operation in the period 1927-1967, the “Fazenda dos Ingleses” (English Farm), has sent the tropical fruits production to England. The railway line of the farm had 120 km, with docks and warehouses in the right bank of the estuary. The Packing House, in the dock area, was considered the second of this type in South America. The cargo boats, more than 20 in the forties decade, had an individual load of 55 dwt. In March 1967, a strong debris-flow, rain more than 600 mm in 2 days (monsoonal rates), combined with storm surge, caused more than 400 casualties and material losses. After that, the docks were closed [8].

In the last four decades, the nautical purposes of leisure and fishing boats increased in both areas mentioned above. It is important to mention the recent interest as supplier area for the offshore LNG and oil. The plant for the gas treatment is located in the left bank of the river and many of the facility heavy cargo equipment used large barges pushed-pulled by tugs.

Beyond environmental impacts, the cost of the effective improvements consists to bar jetties calibration where this solution means to talk about costs of 5 M€; another possibilities is the permanent maintenance with local dredging works, which costs, in the long term of decades, will be the same.

These examples show as, according to the IPCC forecasting, there is the awareness that conditions of bathymetry, tides, winds, currents and waves for next decades shall have climate changes impacts on the coastal area and in the marine navigation.
Material and Methods

The IPCC and PIANC recommendations [4], about the study of the impact on the climate change on the maritime navigation, are to focus on the met ocean variables such as wind, waves, sea level and ice.

Although large-scale climatic processes are driven by the ocean-atmosphere exchange system, very few studies are available on maritime impacts compared to continental impacts due to shorter data series and fewer human consequences [4].

Some analysis about the increasing of the sea level was conducted by Bindoff et al. [9]. The Authors indicates that the global mean sea level increased at an average rate of about 1.7 ± 0.5 mm/year during the twentieth century and that the rate has been slightly higher over the period 1961 to 2003.

In other the climate model prediction elaborated by the IPCC panel [10] shows that the global average rate of rise over the twenty first century will be 25 mm/year, implying that mean sea level will be 0.2 ± 0.5 m higher in the 2100 than 2000.

In the same time the waves conditions could be affected by climate changes in a number of aspect. Threnberth et al. [11] reports a statistically significant trend of increasing annual mean and winter mean significant wave height (Hs) for the mid-latitude North Atlantic and North Pacific, western subtropical South Atlantic, eastern equatorial Indian Ocean, and the East China and South China Seas. It also reports statistically significant decreases in Hs for western Pacific tropics, the Tasman Sea and the south Indian Ocean. Similar trends are found for the 99% extreme Hs, with a maximum increase of winter extreme Hs of 0.4 m per decade in the North Atlantic. The worsening of wave conditions in the north-eastern North Atlantic is most likely connected to a northward displacement of the storm tracks, with decreasing wave heights in the south northern Atlantic [12].

Following these indications, it is possible to understand how the regional analysis of the sea level and the wave climate become important as shows by Debernard and Roed [13] and by Sterl et al. [14].

Considering the lack of bibliography and researches developed in this topic in the South Atlantic and in particular along the coastal line of the South of the Brazil, it was activated in 2010 a joint project called “Rede Litoral” (http://www.redelitoral.ita.br/). The Research Unit, based in the São Paulo University – Polytechnic Institute, has the goal of the research focused on the study of wave and tidal level analysis, maritime climate change, navigation’s strategy and impact on the coastal defenses along the São Paulo Coastline Harbor Areas (Brazil).

As well indicated in the Introduction, this paper summarizes the research developed by the Research Unit of the São Paulo University [6-8,15-17] concentrating on the management policies.

This study was developed analyzing three different aspects of the problems (sea level, wave climate and sediments transport), apparently distant from each other, but, in fact, coordinated as well shows by the PIANC report [4].

The long term tidal level variability (high tide, mean sea level and low tide) assessment considering the Santos Dock Company (CDS) tidal variability (Highest High Water, Mean Sea Level and Lowest Low Water) for the last six decades, comprising three moon nodal cycles (58 years) shows a consistent response of relative sea level rise. Those figures were of similar magnitude than the other long term tidal series recorded in Sao Paulo coastline, at the tidal gauges of Cananeia (1955-1992), according to Franco et al [18], 200 km southward, and Ubatuba (1954-2003), 200 km northward (Figure 1).

Then a scale model of the Santos Bay, estuary with the Santos Harbor (Figure 5) was used to evaluate beach erosion and the land and mangrove wetlands flooding (Figure 6). This model was used to modeling tidal cycles and wave climate in the area nearby beaches of Santos [16]. The sea level data obtained by the simulation with the physic model were used to validate the measured data in the hydrometer of Santos Harbor. The high confidence obtained by this comparison, confirmed the validity of the long term data base used for the analysis of the sea level rise.

Considering the problem generated by the short time that the wave data are recorded by the buoy, an innovative methodology is developed in this research.

A long term wave data-base (1957-2002) was made by a comparison between wave’s data modeled by the European deep water data base meteorological model ERA-40 Project [19] and measured wave’s data in the years 1982-1984 by a coastal buoy in Santos littoral (São Paulo State, Brazil).
Brazilians). Calibration coefficients according to angular sectors of wave's direction were obtained by the comparison of the instrument data with the modeled ones, and applied to the original scenarios. Validation checking procedures with instrumental measurements of storm surges made in other years than 1982-1984 shows high level of confidence. Finally the significant height ($H_s$) and the peak period ($T_p$) obtained by the "virtually" data-base (1957-2002) were analyzed to evaluate the possible effect of the climate change on the sea state [7,15].

Finally, as well indicated in the previous paragraph, was studied the Juqueriquerê Catchment with a particular attention at the sediment transport. It has the following main features: area of 430 km$^2$, long term average discharge of 11 m$^3$/s, heavy rainfall rates (around 3000 mm/average with a period of 5 years, in the $H_s$ and $T_p$ values and also in data-base, shows an increasing trend, both in the linear and mobile storm surge wave's conditions, using the calibrated ERA-40 1957-2002.cm) lost around 35 cm.

The fluvial dynamics is the cause of high solid transport capacity and fluvial and coastal morphology transformations that combined with recurrent and intense flood events, causing riparian and debris-flow on coastal region with important anthropic impact. This event is the cause of extensive risks and damages to population and infrastructures.

Strong debris-flows occur in this region, because events similar to monsoonal rain rates (higher than 300-400 mm per day) occur in multi decadal periods. The region history records shows this type of strong events in 1859, 1919, 1944 and the last and more catastrophic in March 1967.

Results and Discussion

As said in the previous paragraph, it is important summarize the results obtained by the Authors in the researches [6-8,15-17] to define the consequences of the climate change for the navigation purpose and the management policy.

Focusing on the tidal and on the sea level rise [6] and according to the CDS tidal gauge, it was possible to have the annual trends for Highest High Water (HHW), Mean Sea Level (MSL) and Lowest Low Water (LLW) (Figure 7), which shows a generalized sea level rise with the following rates in cm/century: 47, 25 and 45, based on data from 1952 till 2007 (3 moon nodal cycles).

The same evaluation was obtained for the last two moon nodal cycles gives: 57, 39 and 65. It means that there is an increasing rate of sea level rise in the last years and it is possible to reach trend rates from 50 to 100 cm/century in the next decades.

The obtained results about the increasing of the sea level for the São Paulo coastal line are in line with the IPCC scenario [10] as discussed in the Material and Methods paragraph.

According to that scenario, the simulation of scale model showed the flooding of around 50% of the Santos Estuary mangroves [16] and around 100m of the beaches (Figure 8), with the corresponding wave scour. Also in the last century, Santos Harbor wharves free-board (150 cm) lost around 35 cm.

Moreover, the analysis of the wave climate change on the extreme storm surge wave's conditions, using the calibrated ERA-40 1957-2002 data-base, shows an increasing trend, both in the linear and mobile average with a period of 5 years, in the $H_s$ and $T_p$ values and also in the frequency of storm surge events in the last decade (Figures 9 and 10). With the mobile average is possible to see the influence of a warm episode of El Niño in The Pacific Ocean waters (1991-1993) enhanced with the huge Pinatubo Volcano eruption in The Philippines and a cold one of La Niña (1973-1976).

In the same time, from the Figure 9 and10, we can see that, in the São Paulo coastal line, the $H_s$ have an high frequencies of the estimated values above that 0.7 m. Some and residual cases of the storm's events have the value of the $H_s$ below at 0.7 m associated with the shortest $T_p$.

The agreement between this evaluation of $H_s$ and $T_p$ in São Paulo coastal line with the climate conditions calculated from the measured data for the Juqueriquerê Catchment and Waterway (Figure 4 and 6), confirm the validity of the methods used to build the long-term data base that it well representing the sea state conditions of the São Paulo area.

According to that trend it was possible to forecast an $H_s$ increasing since 1957 till 2050 from 1.0 m to 1.4 m, with more than five times the frequency of occurrence of the storm surges since the 1950 and 1960 decades. It is well known that the wave energy per horizontal area and the long shore sand transport in the surf zone of waves is proportional to the square of wave height, meaning an increasing around of 100% per century. Also according to the classical Hudson’s Formula, the ruble mound weight of ripraps, breakwaters and jetties are proportional to $H_s^3$, meaning an increasing of 200% per century for the new design

![Figure 6: Scale model of Santos Bay, Estuary and Harbor and nearby beaches](image)

![Figure 7: Santos Harbor tidal trends (1952 – 2007)](image)

![Figure 8: Scale model storm surge test: view of the beach scour](image)
scenario, or an increasing in the damage rate and maintenance costs of those existing structures.

Finally, referring to [6] for what to concern the study and the results about the Juqueriquerê Catchment, it can be summarized that:

- The increasing of the sea level rise is included between 50 to 100 cm/century in the next decades in agreement with the IPCC scenario [10]
- The increasing of the sea level rise generates a flooding of around 50% of the Santos Estuary mangroves and around 100m of the beaches as well demonstrate by the simulation of the physical model
- The climate change impacts on the increasing of the $H_s$ for a 0.4m in the next 50 years as well as in the peak period of the wave
- These calculated increasing of the significant wave height and of the peak period are in agreement with the wave climate obtained by the measured data for the Juqueriquerê Catchment and Waterway

- In the Juqueriquerê Catchment and Waterway, the composite effect of the increasing of the significant height of the wave with the increasing of the storm and the associated flooding, generates the channel thalweg (Figure 11)
- The increasing of the significant wave height generates a possible increasing of 200% per century for the new design scenario in the harbor's structures

This analysis confirmed how the system is to be considered as a complex system where the effects on the channel, breakwater and harbor structures are generated by sea level rise, the wave climate and the flooding jointly with the sediment transport.

The consequences for navigation purposes, considering depths and channel widths are very complex and are summarized in Figure 12 elaborated considering the obtained results and the related assumptions.

As an example of some possible structural solution, it can be referred at the cost-benefit structural solution to reduce dredging costs in the Santos Access Channel proposed by Arasaki and Alfredini [20] and by Alfredini et al. [21]. The Authors proposed a solution with jetties in the Santos Access Channel which will reduce present maintenance dredging rates and will produce beach enlargement (Figure 13).

**Conclusions**

The paper summarizes ten years of research about the impact of maritime climate changes in the São Paulo State Coastline, developed by the Authors mainly in the Santos area, where there is the major amount of hydrodynamics data. It was possible to reach the goal of quantifying the magnitude order of tides and wave changes and to correlate them with the impact on maritime structures and the proposed mitigatory measures and structures.

Based on the quantitative assessment made it is possible to present the following and innovative strategic plan and the maintenance policy focusing on the navigation and coastal defenses for São Paulo State Coastline:

1. **Protection**
   - Wetlands restoration
   - Shoreline enhancement and land preservation
   - Jetties, seawalls, dikes construction/ upgrades against higher design waves and to 1 m of relative sea level rise

2. **Resistance and Resilience**
   - Life cycle upgrades: 1 m higher elevation of quays
   - Increasing the external access channel width
   - Increasing maintenance dredging and capital dredging to enlarge external channels and for milder bank slopes

3. **System Management**
   - Focus investments on lower-risk assets and shift operations away from higher risk assets

4. **Impact Management**
   - “Green Port” to manage emissions and other impacts

5. **Information and Coordination**
   - Develop information to support consistent risk assessment, best practice responses, and necessary partnerships between ports and their host regions

Considering the awareness about the importance of climate changes impacts in a coastal area prone to extreme flood and erosion events,
important issues to support confidence, or not, to activate structural and rigid solution (i.e. two jetties as shows in Figure 13), maintenance dredging (flexible solution), or non-intervention in the waterway are:

1. There is an overall sea level rising trend.
2. LLW has the highest rate of linear tidal rising.
3. There is an overall tidal range reduction.
4. The tidal prism will change, and the tidal currents velocity should increase, if the HHW levels will drown large fluvial areas, compensating the velocity reduction due to the tidal range decreasing.
5. Considering the issues above, the bar depth should increase.
6. The overall rise of the sea will produce more coastal erosion and littoral drift, in opposition to the outcome of issue 5.
   - It is possible to observe a general significant height and average period wave increasing for annual averaged figures over than 1.5 m and 8.0 s (Figure 9 and 10), and the corresponding decadal maximum waves. It means increasing swell. Hence, should be a trend to increase littoral drift, reducing bar depth.

In other, merging the results of the climatological analysis with the result of the physical model, it is possible to made other assumption about the management policies of the São Paulo harbor area and of the Juqueriquerê Catchment and Waterway [6]:

1. There are some areas of mud, which may be fluid and sufficient to consider the nautical bottom concept [19], in practice for mud density lower than 1250 kg/m³. In these cases it is possible to reduce under keel clearance. The analysis of September 2010 and March 2011 survey, with detailed samples of the bar and bathymetry should provide confidence for this answer.
2. About the thalweg shifting migration (Figure 11), it is possible to conclude:
   1. Like for the monsoon weather, the main channel alignment depends upon flood periods, according to rain rate;
   2. The shifting between two adjacent thalwegs may be produced by extreme river flow conditions, or a storm surge.

Awareness with climate changes impacts importance for the intervention’s plan must be considered to obtain a final balanced solution among structures, dredging and non-structural measures for nautical master plan.

It is important to recognize that great natural events are not avoidable, but great disasters are, as the ancient Greek Aristotle (384-322 B.C.) said, “It is probable that the improbable will happen” [5].

References


