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A synthetic method for assessing small dams flood wave

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ABSTRACT: Flood inundation due to dam failure may cause loss of lives as well as widespread damages. According to recent changes in dam safety policy in Italy, regional authorities are required to build their own laws regulating risk analysis of small dams. It is well known that a proper and rigorous analysis should involve dam break flood wave modeling. Nevertheless, analytical solutions for dam break waves badly fit real cases and numerical modeling is very expensive in terms of both data entry and time. The large number of small dams built in Europe requires a simple but scientifically based methodology to assess flood wave routing. Referring to Piedmontese (Italy) dams many simple but representative models of reservoirs and downstream valleys were built; the complete modeling of several dam break waves was achieved using the numerical code BreZo 4.0. By means of this numerical lab a) finite basin length, b) geometric and hydraulic reservoirs features, and c) valley characteristics influence on dam-break waves were pointed out. This paper proposes a simple, effective, synthetic, physically based protocol to quickly assess flood wave arrival time and peak discharge values at each point downstream of the dam.

Keywords: Synthetic methodology, numerical analysis, dam failures, flood routing, hydraulic and geometric properties

1 INTRODUCTION

A dam failure may release large quantities of water that create major flood waves in the tail water and cause loss of lives and serious damages. Singh (1996) has noted 1000 dam failures since the 12th century; about 200 have occurred in the 20th century causing a loss of more than 8000 lives and damage worth millions of dollars.

Risk analysis assessment of existing dams is thus a central feature for both land use management and emergency planning. Risk analysis concepts for evaluation of existing dams safety have been widely studied and applied in the last three decades (Federal Coordinating Council for Science, 1979; Gruetter and Schnitter, 1982; Atkinson and Vick, 1985; US Bureau of Reclamation, 1989; Nielsen, 1993; Salmon and Hartford, 1995; Hartford and Nielsen, 1995; Rodriguez, 2002). According to the results of different dam break scenarios risk zones are identified with respect to the elapsed time between the dam failure and the arrival of dam break induced flood wave as well as wave intensity at a certain cross section (Rodriguez, 2002). Referring to RESCDAM Final Report of December 2000, wave intensity at each downstream cross section depends on peak discharge value. Dam break waves modeling is extremely important in providing the information needed for risk assessment and management of river valleys.

Starting from the milestone contribution by Ritter (1892), many analytical solutions were proposed. Briefly, we can list analytical solutions due to Dressler (1952), Whitham (1955), Stoker (1957), Su & Barnes (1970), Hunt (1982-84), Hunt & Gozali (1993), Chanson (2009). All these theories avoid the disturbance effects due to a finite basin length. Hogg (2006) first focused on the reservoir emptying process and the disturbance effects due to the presence of a wall at the rear of the lock, nevertheless Hogg’s analytical solution applies only for smooth, horizontal channel.

Although many studies aimed at improving the numerical aspects of dam break waves, physical modeling is relatively limited despite basic expe-

In recent years the emphasis has shifted toward numerical solutions such as those given by Sakkas and Strelkoff (1973,1976), Strelkoff, Schamber & Katopodes (1977), Chen (1980), Chen & Armbruster (1980), Fread (1982), Zoppou & Roberts (2000); ICOLD Bulletin 111 (1998) listed 27 numerical models. Numerical modeling is based on approximate solution techniques and it is validated by experimental or real data sets. Nevertheless a strict numerical modeling is very expensive in terms of both data entry and time. The large number of small dams built in Europe requires a synthetic but scientifically based methodology to assess main dam break flood wave features, i.e. wave arrival time and peak discharge values.

A proper analysis of Piedmontese dams (Italy) allowed to build many simple but representative models of reservoirs and valleys features. The numerical code BreZo 4.0 (Begnudelli & Sanders, 2006) was used for the complete modeling of several dam break waves. Based on this numerical lab, the main features of dam break flood wave were investigated and pointed out. In order to consider the worst scenario and achieve upper boundary solutions a total instantaneous collapse on a dry bed channel was assumed. Focusing on the disturbance effect due to the limited reservoir extension as well as morphology and hydraulic parameters, this paper presents a simple but scientifically based methodology to assess wave arrival time and peak discharge values.

2 BASIC EQUATIONS

A dam break wave is the flow resulting from a release of a mass of fluid in a channel which generates a flood wave propagating in the tail water valley and a negative wave propagating up along the reservoir. The stream wise length scale of the motion is usually assumed to be much greater than the depth of the intruding current, so that the vertical fluid accelerations are negligible and the pressure is hydrostatic to leading order. With the further assumptions that drag forces may be neglected and that the current does not mix with the ambient, the motion may be modeled by the shallow water equations (Whitham, 1974). Thus aligning the x-axis with the direction of propagation and denoting the downstream cross section area with $\Omega$, the flow depth and discharge by $h$ and $q$, respectively, we find that

\[
\begin{align*}
\frac{dq}{dt} + \frac{\partial h}{\partial x} &= 0 \\
\frac{1}{\Omega} \frac{\partial q^2}{\partial t} + \frac{1}{\Omega} \frac{\partial q}{\partial x} \left( \frac{q^2}{\Omega} \right) + g \frac{\partial h}{\partial x} - gj &= 0
\end{align*}
\]

where $i$ is the bed slope and $j$ is the friction slope. The shallow water equations (1) cannot be solved analytically because of nonlinear terms, e.g. the friction slope $j$.

Dam break wave modeling is often based upon numerical predictions. Referring to experimental and real data sets results, we used the numerical code BreZo 4.0 due to Begnudelli & Sanders (2006). BreZo 4.0 solves the shallow-water equations using a Godunov-type finite volume algorithm that runs on an unstructured grid of triangular cells and was optimized for wet and dry conditions. By means of this software forecasts of the flood wave under different hypothesis can be set up, simulated and analyzed.

3 MODELS DESCRIPTION

A physically based study on dam break flood routing parameters involves the definition of many simple but representative models of both dams and downstream valleys features. A recently developed survey on Piedmontese dams provided the database required. Although Piedmontese dams are 612, only a few geometric parameters are known: reservoir volume (511 values) and area (148 values); dam height (513 values) and width (323 values). Furthermore only 148 dams own the whole set of parameters while 296 dams are described by reservoir volume, dam height and width values. Hence very little information is often available, a suitable methodology must require few parameters. A proper analysis of Piedmontese dams and valleys features allowed to build many simple but representative models.

3.1 Reservoir geometry

Both numerical and experimental dambreak studies usually use wedge shaped reservoirs whose bed slope equals downstream valley slope $i_f$, nevertheless our analysis highlighted that a parallelepiped can properly fit several reservoirs shape. Figure 1 shows the Piedmontese reservoir shape probability density frequency curve. A shape coefficient $k$ (2) was defined as the ratio between
measured $a_{ms}$ and modeled $a_{md}$ values of basin area $a$.

$$k = \frac{a_{ms}}{a_{md}}$$

(2)

Modeled area values $a_{md}$ are computed as the ratio between reservoir volume and height values (3).

$$a_{ms} = \frac{v}{h_o}$$

(3)

Referring to many simple solids, the shape coefficient $k$ equals 0.75 for an ellipsoid quarter, 1 for a parallelepiped, 1.5 for a rectangular based pyramid, 2 for a wedge, 3 for a triangular based pyramid. Based on the database supplied, the probability density function of $k$ values pointed out that the largest fraction of Piedmontese dams has a parallelepiped shape.

3.3 Dambreak mechanism.

A total instantaneous collapse represents the worst scenario. Since the base flow in such a case can often be neglected, the dam-break wave propagates over a dry bed. This hypothesis is not intended to be exhaustive but it allows the assessment of upper boundary values of flood wave arrival time and peak discharge. Furthermore the analysis herein developed provides a reference to further studies on partial, gradual dam break scenarios.

4 DAM BREAK FLOOD WAVE ROUTING

A sudden release of a mass of fluid in a channel generates a flood wave propagating in the tail water valley and a negative wave propagating up along the reservoir. When the dam is instantaneous removed there is a rarefaction wave centered at the dam section ($x=0$) and the motion is unaffected by the finite length $l$ of the reservoir until the first backward-propagating wave has reached the rear of the lock. Thereafter the flow becomes affected by the finite basin length. As far as the first negative wave reaches the reservoir upstream cross section, it is reflected downstream. Whenever the disturbance due to the reservoir finite length catches up with the front wave, it affects the flood wave routing both in terms of arrival time and peak discharge values.

Using BreZo 4.0 and the information of the Piedmontese dams a numerical lab was built to investigate dam break flood wave routing main parameters, i.e. negative, positive wave, and peak discharge celerity as well as peak discharge values.

4.1 Wave time arrival

4.1.1 Negative wave celerity

The simple reservoir morphology with a constant depth yields to a constant value of the negative front wave celerity $c_{NF}$ depending exclusively on the dam height $h_o$ (4)

$$c_{NF} = \sqrt{g \cdot h_o}$$

(4)

Our numerical analyses yielded to an identical result to that proposed by Ritter (1892).

4.1.2 Front wave and peak discharge celerity

Referring to a two-dimensional simulation of flooding from the 1928 failure of St. Francis dam in southern California, Begnudelli & Sanders (2007) demonstrated that their numerical code
BreZo 4.0 over predicts the speed of the flood wave by a significant factor; nevertheless peak discharge arrival time prediction appears much more accurate.

Actually, CADAM Final Report of January 2000 pointed out that all the numerical models analyzed, i.e. the numerical codes listed in ICOLD Bulletin 111 (1998), fail to predict the front wave celerity, and there isn’t a clear relationship between wave speed, results accuracy and method adopted.

A dam failure flood hydrograph generally has a sharp rising limb with a very short interval between the initial discharge rise and the peak outflow. As a consequence the arrival time of a properly defined characteristic discharge value may represent both front wave and peak outflow arrival time. Referring to Lauber and Hager’s experimental studies on the positive wave celerity (1998), we defined the characteristic discharge value as a fraction of the maximum outflow at the dam site \( q_{\text{dam}} \), i.e. the outflow at the dam site \((x=0)\) at the collapse time \((t=0)\):

\[
q_c = 0.05 \, q_{\text{dam}} \tag{5}
\]

In case of total failure Ritter’s analytical solution (1892) allows \( q_{\text{dam}} \) evaluation as (6)

\[
q_{\text{dam}} = \frac{8}{27} \cdot g^{\frac{1}{2}} \cdot b \cdot h_o^{\frac{3}{2}} \tag{6}
\]

Our numerical lab proved eq. (6) effectiveness.

4.1.2.1 Infinite reservoir length
As explained earlier the perturbation due to the reservoir finite length may affect the arrival time value. The implementation of a theoretical unlimited reservoir upstream length avoids the negative wave reflection at the upstream reservoir wall.

Referring to Piedmontese dams and valleys features we demonstrated that a total, instantaneous collapse involves, almost in its earliest phases, a supercritical flow. According to hydro-dynamics theory derivative terms of momentum equation (1b) in shallow water equations, i.e. acceleration and pressure terms, are negligible and friction and mass forces lead the motion. The resulting wave is called kinematic; the conceptually simpler kinematic model states that the flow is steady for momentum conservation while unsteady effects are taken into account through the continuity equation. The resulting quasi-linear hyperbolic differential system has analytical solutions. Referring to a rectangular prismatic channel equation (7) allows the evaluation of the celerity \( c_q \) of a constant discharge \( q \).

\[
c_q = \frac{dx_q}{dt} = \alpha^{-1} \cdot \left( \frac{n \cdot b^{2/3}}{i_f^{1/2}} \right)^{-1} \cdot q^{1/2} \tag{7}
\]

Our numerical lab demonstrated equation (7) effectiveness in predicting the celerity of the characteristic discharge \( q_c \) provided that

\[
\alpha = \frac{3}{8} \tag{8}
\]

Figure 2 compares numerical model and analytical equations (5-6) results.

4.1.2.2 Finite reservoir length
A more realistic finite upstream length requires to focus on the disturbance due to negative waves upstream reflection and downstream routing. Figure 3 shows the limited basin effect on flood hydrograph at the dam site.

Although curve “\( a_{\text{dam}} \)” has a monotone decreasing trend, curve “\( b_{\text{dam}} \)” shows a flex point and a concavity change. Curve “\( b_{\text{dam}} \)” lays on curve “\( a_{\text{dam}} \)” as far as at time \( t_l \), i.e. as far as the first reflected negative wave reaches the dam site. For time \( t \) greater than \( t_l \) curve “\( b_{\text{dam}} \)” shows a concavity change and a downward translation. The reservoir depth attenuation negligibility allows to evaluate the characteristic time \( t_l \) as (9)

\[
t_l = \frac{2 \cdot l}{\sqrt{g \cdot h_o}} \tag{9}
\]

Since the reservoir is parallelepiped-shaped the first instant at which the flow is affected by the rear of the lock depends exclusively on dam height and basin length.

For time \( t \) greater than \( t_l \) the disturbance generated by the rear of the lock travels downstream: as far as it reaches the front wave it affects the flood wave routing. In Figure 3 curves “\( a_{\text{max}} \)” and “\( b_{\text{max}} \)” represent the peak discharge values evaluated at many downstream cross sections as a function of time. Provided that we replace the reservoir characteristic time \( t_l \) with a greater value \( t_{D_0} \) curves “\( a_{\text{dam}} \)” and “\( b_{\text{dam}} \)” behave exactly like curves “\( a_{\text{max}} \)” and “\( b_{\text{max}} \)”.
Figure 3. Limited basin effect on dam site hydrograph and peak discharge attenuation.

Figure 4 shows the disturbance routing; as explained later we refer to the disturbance discharge as $q_l$. Obviously, although such disturbance has effects on each hydrograph, maximum discharge value is affected by reservoir emptying process despite that the laps of time employed by the negative wave to arrive at the basin upstream cross section, reflect and reach the cross section itself is smaller than the peak flow arrival time.

Since diffusive terms, i.e. acceleration and pressure terms, of the momentum equation (1b) are negligible, mass and friction force terms lead the quasi-steady motion and the kinematic model properly describes the flood wave routing. Hunt (1982) showed how kinematic model can be used to obtain closed-form approximations; calculations and experiments suggested that these kinematic wave solutions become valid after the shock has traveled a number of reservoir lengths downstream.

Our numerical analyses pointed out that the disturbance discharge $q_l$ is constant and equals dam site discharge value at time $t_l$. Referring to the kinematic model, equation (7) allows the evaluation of the celerity of a constant discharge. Numerical efforts pointed out equation (7) effectiveness provided that (10)

$$\alpha = \frac{3}{5}$$

As stated so far, equations (7, 8) and (7, 10) respectively allow the evaluation of flood and disturbance wave celerities: Figure 6 shows that point D ($x_D, t_D$) named detachment point is the only common solution. The detachment point D marks both the first instant and the nearest location to the dam at which the peak discharge is affected by the presence of the rear wall of the lock.

Obviously the assessment the reservoir emptying disturbance celerity requires the evaluation of the disturbance discharge value $q_l$. The assessment of the discharge value $q_l$ requires the reservoir emptying equations. In case the flow is supercritical the downstream flood routing doesn’t have any influence upstream and the reservoir geometric and hydraulic features, i.e. dam height and width and basin length and roughness, lead the emptying hydrograph. On the contrary, in case the downstream flow is subcritical it influences upstream motion and the reservoir emptying depends both on reservoir and downstream valley features. We developed our numerical analyses referring to the former condition and the hypothesis of a flat downstream valley. A barely flat downstream valley provides the limit solution for the latter hypothesis; intermediate conditions of subcritical flow lay between the previous conditions.

According to our analyses, for small times Dressler theoretical solution (1952) fits numerical results both in case of subcritical and supercritical flows (equations 13,16). Referring to larger times our numerical lab yielded to equations (14), (15) for a supercritical flow and to equations (17), (18) in case of a barely flat downstream valley. The Appendix lists the analytical equations pointed out; we normalized discharge values $q(x=0,t)$ and time values $t$ according to Dressler theory (11,12)

$$Q(x=0,t) = \frac{q(x=0,t)}{q_{dam}}$$

$$T = t \cdot \sqrt{\frac{g}{h_o}}$$

Although in this paper we will exclusively use equations (13),(14),(15), Figure 5 shows numerical and analytical reservoir emptying hydrographs. The flatter the valley, the greater the dam section discharge attenuation is.

The reservoir emptying perturbation makes the flood wave slow down and reduce its peak discharge values. Our numerical analyses highlighted that the dam height $h_o$ as well as the basin length $l$ are the flood routing leading parameters. Equation (19) allows the evaluation of the flood wave celerity for times $t$ greater than $t_D$ and cross sections $x$ farther than $x_D$ from the dam site.

$$\frac{x-x_D}{l} = 0.370 \cdot \sqrt{g \cdot h_o \cdot \frac{t-t_D}{l}}$$

(19)
4.2 MAXIMUM DISCHARGE VALUES

As mentioned earlier, flood wave intensity evaluation requires a procedure for estimating the maximum discharge at each cross section \( x \) far from the dam, \( q_{\text{max}} \). In the following, \( q_{\text{max}} \) will be normalized by \( q_{\text{dam}} \). This approach allows us to focus on the factors affecting the wave attenuation effect. As mentioned earlier, according to both Ritter theoretical solution and our numerical analyses, i.e. the discharge value at dam site \( x=0 \) at failure time \( t=0 \), equals (6):

\[
q_{\text{dam}} = \frac{8}{27} \cdot g \cdot t^{\frac{1}{3}} \cdot h_{\text{o}}^{\frac{2}{3}}
\]  

(6)

4.2.1 Total Collapse And Unlimited Reservoir Length:

The implementation of a theoretical unlimited reservoir upstream length avoids the disturbance due to the wall at the rear of the lock and allows to focus on downstream valley parameters influence on peak discharge attenuation.

Numerical analyses pointed out that the ratio \( i_f/n^2 \) is the key parameter to describe the downstream land hydraulic features. Figure 7 shows the normalized discharge values of an unlimited reservoir as a function of downstream cross section distance from the dam. The smaller \( i_f/n^2 \), the greater the influence of diffusive terms in momentum equation (1b), the larger the attenuation of the discharge hydrograph.

\[
q_{\text{max}} = f(x, h_{\text{o}}, n, i_f)
\]

(20)

4.2.2 Total Collapse And Limited Reservoir Length

A more realistic finite upstream reservoir extension requires the introduction of the basin length \( l \) in equation (21).

\[
q_{\text{max}} = f(x, h_{\text{o}}, n, i_f, l)
\]

(21)

As explained earlier (Figure 3) curve “\( a_{\text{max}} \)” lays on curve “\( b_{\text{max}} \)” as far as the detachment point \( D \), i.e. the cross section nearest to the dam affected by the disturbance due to the finite basin length. The disturbance wave makes the flood wave slow down; the second most noticeable effect is a sensible increase in the flood wave peak attenuation.

In case the downstream cross section distance \( x \) is greater than detachment point distance from the dam \( x_D \), our numerical analyses pointed out downstream cross section distance \( x \), basin length \( l \), downstream valley roughness \( n \), and slope \( i_f \) as the leading parameters of the flood wave attenuation. Moreover, sensible changes in dam height \( h_{\text{o}} \) have no influence on peak discharge. Equation (22) reviews equation (21).
\[
\frac{q_{\text{max}}}{q_{\text{dam}}} = f(x, l, n, i_f) \tag{22}
\]

Numerical efforts yielded to the analytical equation (23, 24) listed in Table 2.

Table 2. Analytical equations to assess peak discharge values at each point downstream of the dam

<table>
<thead>
<tr>
<th>Cross section distance</th>
<th>Equations</th>
</tr>
</thead>
</table>
| \(x < x_D \Rightarrow\) | \[
q_{\text{max}} = \alpha \left(\frac{x}{h_o}\right)^\beta + \gamma \tag{23}\]
| \(\alpha = -0.27 \frac{0.30 \cdot i_f}{n^2} + 1\) \tag{23a} |
| \(\beta = \beta_1 \cdot \log \left(\frac{i_f}{n^2}\right) + \beta_2\) \tag{23b} |
| \(\beta_1 = -0.00103 \cdot h_o + 0.08568\) \tag{23c} |
| \(\beta_2 = 0.01088\) \tag{23d} |
| \(\gamma = -\alpha + 1\) \tag{23e} |
| \(x > x_D \Rightarrow\) | \[
q_{\text{max}} = \lambda \left(\frac{x}{l}\right)^\delta \tag{24}\]
| \(\lambda = 0.96010 \cdot \log \left(\frac{i_f}{n^2} + 1\right) + 0.12780\) \tag{24a} |
| \(\delta = -1\) \tag{24b} |

As an example, Figure 8 shows numerical model and analytical equations results.

5 DISCUSSION

Risk analysis assessment of existing dams is a central feature for both land use management and emergency planning. A proper risk analysis involves dam break wave modeling. Analytical solutions available badly fit real cases, while numerical modeling is very expensive in terms of both data entry and time; nevertheless the large number of small dams built in Europe requires a proper synthetic methodology. Referring to Piedmontese dams (Italy), many representative but simple models of dams and valleys features have been built. The complete modeling of dam break wave routing was achieved using the hydraulic software BreZo 4.0. By means of numerical analyses the key parameters leading flood wave routing were pointed out; in particular we focused on the disturbance effect due to the finite basin length. This paper propose an original, simple, effective, synthetic, physically based on statistic analyses and hydraulic principles protocol to assess flood wave arrival time as well as peak discharge values at each point downstream of the dam. Previous studies pointed out the degree of damage caused to an element at risk as a function of flood wave intensity and arrival time. For instance, referring to RESCDAM Final Report of December 2000, Figure 9 shows that if a total, instantaneous dam failure occurs the urban area “Town” will undergo a major damage.
APPENDIX: analytical equations for dam site hydrograph assessing.

**SUPERCRITICAL FLOW**

<table>
<thead>
<tr>
<th>Discharge value</th>
<th>Equations</th>
</tr>
</thead>
<tbody>
<tr>
<td>$1 \geq Q(x=0,t) \geq 0.82$</td>
<td>$Q(x=0,t) = 1 - 0.239 \cdot \frac{g}{\chi^2} \cdot T$ (13a)</td>
</tr>
<tr>
<td>$\chi = \frac{1}{n} \cdot y_o^{\frac{1}{6}}$ (13b)</td>
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<tr>
<td>$0.82 \geq Q(x=0,t) \geq 0.42$</td>
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<tr>
<td>$\alpha_2 = \gamma_2 \cdot n^{\delta_2}$ (14a)</td>
</tr>
<tr>
<td>$\gamma_2 = 0.05046 \cdot \log(h_o) + 0.5265$ (14b)</td>
</tr>
<tr>
<td>$\delta_2 = -0.5300$ (14c)</td>
</tr>
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<td>$\beta_2 = -0.2667$ (14d)</td>
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<tr>
<td>$\alpha_3 = \gamma_3 \cdot n^{\delta_3}$ (15a)</td>
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<tr>
<td>$\gamma_3 = 0.06193 \cdot \log(h_o) + 0.5872$ (15b)</td>
</tr>
<tr>
<td>$\delta_3 = -0.6300$ (15c)</td>
</tr>
<tr>
<td>$\beta_3 = -0.3200$ (15d)</td>
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**SUBCRITICAL FLOW**

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</tr>
<tr>
<td>$\gamma_2 = 0.04259 \cdot \log(h_o) + 0.4401$ (17b)</td>
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<td>$\beta_2 = -0.2667$ (17d)</td>
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<tr>
<td>$\gamma_3 = 0.05154 \cdot \log(h_o) + 0.4570$ (18b)</td>
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<td>$\delta_3 = -0.6300$ (18c)</td>
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<td>$\beta_3 = -0.3200$ (18d)</td>
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**REFERENCES**


