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Design, implementation, and metrological characterization of a wearable, integrated AR-BCI hands-free system for health 4.0 monitoring

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ABSTRACT

An integrated real-time monitoring system based on Augmented Reality (AR) and Brain-Computer Interface (BCI) for hands-free acquisition and visualization of remote data is proposed. As a case study, the monitoring of patients' vitals in the operating room (OR) is considered; in particular, through the suitable combination of BCI and AR, the anesthetist can monitor in real-time (through a set of AR glasses), the patient's vitals acquired from the electromedical equipment. Healthcare-related applications are particularly demanding in terms of real-time requirements; hence, the considered scenario represents an interesting and challenging testbed for the proposed system. Experimental tests were carried out at the University Hospital Federico II (Naples, Italy), employing pieces of equipment that are generally available in the OR. After the preliminary functional validation, accuracy and delay were measured, demonstrating the effectiveness and reliability of the proposed AR-BCI-based monitoring system.

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1. Introduction

The use of the 4.0 enabling technologies is rapidly extending also to other application contexts, such as finance, agriculture, public administration, constructions, and healthcare [1, 2]. In particular, information technologies such as the Internet of Things [3]; brain-computer interface (BCI) [4, 5]; artificial intelligence [6, 7]; machine learning [8]; cloud computing [9]; additive manufacturing [10]; wearable sensors [11–14]; as well as augmented, virtual, and mixed realities (AR, VR, & MR) [15, 16] are fostering the digital transformation in healthcare. These technologies represent the pillars of medical cyber-physical systems, which represent the most notable expression

of the 4.0 Era, able to provide a more effective service and environment of healthcare [17–19]. Indeed, the health 4.0 paradigm is leaning towards a user-centered approach, with the aim to guarantee a flawless and natural interaction of the user with the technological systems, also resorting to novel computer/human interfaces such as BCI and AR.

BCIs can interpret human intentions through the analysis of the user's neuronal activity. BCIs can be considered as a powerful system to communicate with the external world [20], capable to create a direct link between man and computer. Originally, BCIs were mostly used as a communication means to support people with neurological disabilities [21]. However, in the last decade, the adoption of BCI has extended also to new application fields [22], such as gaming, entertainment, education, or robotics [23–26].

With regard to augmented reality, this technology overlays digitally-created content (often, in the form of images or text)

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to the surrounding reality, thus *augmenting* the user's perception of reality. Healthcare is benefiting from the technological growth of AR, as this is being investigated for a number of medical applications, such as preoperative surgical planning and image guided surgery [27–31]. AR is also employed to display on a set of wearable smart glasses the information related to the patient's health (e.g., the electronic medical records or the patient's vitals acquired from the medical instrumentation). For example, during surgical procedures, the anesthetist can access the patient's information directly through the AR glasses, without having to turn around and look at instrumentation [32–34]. The adoption of AR glasses, in fact, can reduce by more than one third the number of times the operator has to shift attention from the patient to the equipment; as a result, the operator can intervene promptly in case of alert.

The suitable integration of AR with BCIs represents a promising solution to achieve and improve a hands-free, human-machine interaction [35]. Recent works, related to Industry 4.0 worker stress monitoring, and ADHD/ASD children rehabilitations [5, 36–38] have managed to overcome cost and wearability issues [39], using off-the-shelf components and single-channel systems. However, so far, the combination of AR and BCI has not been addressed in a very critical application context, such as the medical one. Healthcare-related applications are particularly demanding in terms of real-time requirements; hence, the considered scenario represents an interesting test-bed for the proposed AR-BCI system.

The typical requirements for AR applications include display resolution, field of view, rendering capability, connectivity, wearability, and latency [40]. In particular, latency is one of the most critical: in fact, real-time applications generally require maximum delays in the order of 75 ms for online gaming and 250 ms [41, 42] for telemetry, to prevent phenomena such as motion sickness. In the literature, a clinical assessment of a real-time wireless transmission was carried out in [43], where the transmission bandwidth, the number and the duration of the stops, and the monitoring delay were analyzed to assess the quality of the transmission. Also, in [44], the main challenges related to mobile healthcare applications were explored, dealing with latency, reliability, bandwidth, energy efficiency, and security. Results reported in [44] indicate that, to guarantee a proper interaction between the user and the system, video/audio delay should not exceed 300 ms.

On the basis of the aforementioned considerations, the case study considered in this work is a typical scenario during surgical procedures, where the anesthetist has to monitor in real-time the patient's vitals. In such a context, a wearable BCI-AR system for real-time monitoring of patient's vitals is proposed and experimentally characterized. More specifically, a wearable, differential single-channel BCI based on *Steady State Visually Evoked Potentials* (SSVEPs) is presented, wherein AR smart glasses are used for the generation of the flickering stimuli and for displaying the patient's vital parameters coming from the medical equipment. Dry, noninvasive electrodes are used, together with off-the-shelf components, to acquire and process the Electroencephalographic (EEG) signal. With respect to recent literature [5, 36, 45], in this work, also the possibility of using

four flickering stimuli instead of two is investigated, while preserving the single-channel configuration and at the same time, aiming to keep the current performance.

The present paper is organized as follows. Section 2 summarizes provides an overview of the theoretical background of BCI. In Section 3, the proposed monitoring system is described in detail, focusing both on the overall architecture and on the integration of AR and BCI. Section 4 addresses the implementation of the system: particular attention is dedicated to the AR-BCI hardware and to the communication between the devices. Section 5 presents the performance analysis of the implemented AR-BCI integrated system. Section 6 describes the experimental setup, the function validation and the metrological characterization of the monitoring system. Finally, in Section 7, conclusions are drawn.

2. BCI theoretical background

Brain signals can be captured by means of functional magnetic resonance (fMRI), magnetoencephalography (MEG), or near-infrared spectroscopy (NIRS). However, EEG is considered as the best choice for its non-invasiveness, time-response, high accuracy, usability and low cost [20, 46]. The most used BCI paradigms are (i) P300; (ii) SSVEPs; (iii) event-related potentials (ERPs); and (iv) sensorimotor rhythms (SMR).

In particular, SSVEPs and ERPs (event-related potentials) are potentials triggered by an event.

However, ERPs are endogenous [47] potentials: ERPs are triggered by the mental act of the subject; hence, they have higher latency as they involve stronger mental processes. For example, P300 is an ERP potential occurring 300 ms after a stimulus, and it is largely used in BCI speller application [48]. Sensorimotor rhythm are related to variations of power in the band 8-25 Hz, generated by the execution or the imagination of a movement of a part of the body [49].

On the other hand, SSVEPs are exogenous potentials [50], since the response is physiological and can be measured even after less than 100 ms. Compared to the aforementioned ERP signals, SSVEPs have a fixed frequency oscillation that allows easier detection, even when using fewer electrodes, or in more noisy conditions. SSVEPs [51–53] represent a promising choice for practical applications, as they achieve high levels of accuracy and reproducibility [51, 54, 55] without the need of training for the user [45, 56]. Additionally, the BCI-SSVEP paradigm guarantees an optimal trade-off between wearability and performance, ensuring low response times.

SSVEPs are induced in the primary visual cortex when observing intermittent visual stimuli [57]. For most of the subjects, a majority of signal energy lies within the band 8-15 Hz [51]. SSVEP signals have the same periodicity of the external stimuli and have been used in many applications in the last decade, from home appliances control to spelling systems, video-games, robots, quadcopters and prosthesis control [45, 58–62].

Extracting meaningful information from noisy SSVEP signals in reasonable time and with high precision (while preserving user comfort), is a major challenge: the main difficulties arise

from the type of electrodes, which often require the application of specific solutions to improve the quality of the contact and, therefore, the signal-to-noise ratio (SNR). The number of electrodes also contributes to signal identification, where the combined information increases the SNR, especially at short intervals. In [63], for example, a robotic arm has been controlled using a 10-channels SSVEP-based BCI reaching an accuracy of 92.78% and 4 s response time.

Different studies investigated the effects of stimuli properties on the brain response [64, 65]. In particular, it has been observed that SSVEP power increases considerably with increasing contrast and decreasing distance of the user from the visual stimuli. Moreover, the number of simultaneous stimuli and their inter-distance affect both the user's attention and the brain response. Hence, VR head-mounted display and AR glasses are optimal candidates for generating visual stimuli, since the images of the flickering stimuli can be projected straight towards the eyes, thus reducing the noise factors of the surrounding environment.

3. Proposal

3.1. Basic ideas

As aforementioned, the present work proposes an integrated BCI-AR system, in which AR glasses are used 1) to monitor the patient's vitals acquired in real time from the medical instrumentation, and 2) to render the visual stimuli for the BCI-SSVEP system. The brain-driven selection is used to navigate the AR menu, showing the patient's vital signs in real-time. The proposed BCI-AR system operates as follows. The user wears the AR glasses and the EEG electrodes; the system receives the patient's vitals from the operating room equipment, and displays them in real time on the AR glasses. Through a BCI, the user can select which parameters they want to be displayed. In the following section, the conceptual architecture and his described in detail.

3.2. Architecture

As shown in Fig. 1, the general architecture of the proposed system includes three major blocks:

- The *Monitoring Equipment*;
- The *Equipment Control Unit (ECU)*; and
- The *AR-BCI Integrated System*.

The expression *Monitoring Equipment* is used to indicate a generic set of measuring instruments, whose output data can be monitored in real time through the AR-BCI integrated system.

The *AR-BCI* subsystem allows the user to select which information, acquired from the *Monitoring Equipment*, he/she would like to be displayed in AR. More specifically, the *AR Glasses* render the flickering visual stimuli that are used to elicit the SSVEP in the user's brain. Each flickering visual stimulus is associated to one possible user's selection.

Then, the *EEG Wearable Transducer* (which includes the *Electrodes* and an *Acquisition Unit*), acquires and digitizes the EEG

signal. This signal is elaborated by the *Processing Unit*, and the result is sent by the *Wireless Transceiver* to the *ECU*.

The *ECU* collects the data from the *Monitoring Equipment*. Once the data are received by the *Data Collector* unit, the *ECU* sends the output data (as selected by the user through the BCI) to display on the *AR Glasses*. This communication occurs by means of the *Wireless Transceiver*.

The *ECU* is also equipped with a *Measurement System*, which can assess the performance of the system. In particular, the quality of the transmission is assessed, expressed in terms of accuracy and latency for both data update and communication delay.

Finally, a *Metrological Characterization* feature is also included in the *ECU*, to report the results related to the quality of the transmission.

3.3. AR-BCI Integrated System

The *AR-BCI Integrated System* (Fig. 2) allows (i) the rendering of the visual stimuli for BCI-SSVEP, and (ii) the visualization in real time of the acquired parameters.

The highly-wearable BCI equipment includes EEG active dry *Electrodes* to acquire the EEG signal from the user scalp, and an *Acquisition Unit* to digitize the signal.

This BCI equipment, integrated with the AR Glasses, allows to select which data output to display among those available from the *Monitoring Equipment*. The result of the processing is sent by the *Wireless Transceiver* to the *ECU*, which collects the data from the instrumentation and sends them wirelessly to the AR Glasses, according to the user's selection.

Overall, the *AR/BCI platform* includes:

- A *Processing Unit* to detect the observed stimulus;
- The *AR glasses* which provide the visual stimuli for the BCI and also the visual feedback; and
- The *Wireless Transceiver* for the communication.

3.3.1. EEG wearable transducer

The *AR Glasses* are used to generate the visual stimuli to elicit SSVEP response in the user's EEG [51]. Then, three electrodes, placed on the user scalp, are used to acquire the EEG signal in a single-channel differential measurement. As can be seen from Fig. 2, according to International 10-20 System [5, 36], brain signals are captured using two active electrodes positioned at the Frontal Midline (Fz) and Occipital Midline (Oz) positions, connected to the negative and positive input of the acquisition unit, respectively. A passive electrode, DRL (Driven Right Leg), is positioned on the earlobe and acts as a reference. The Fz and DRL electrodes contacts are gold-plated, flat surfaces, while the Oz electrode was modified by adding eight gold-plated spring connectors, to ensure a more effective skin contact through the hair. Signals acquired through the electrodes are digitized by the *Acquisition Unit*.

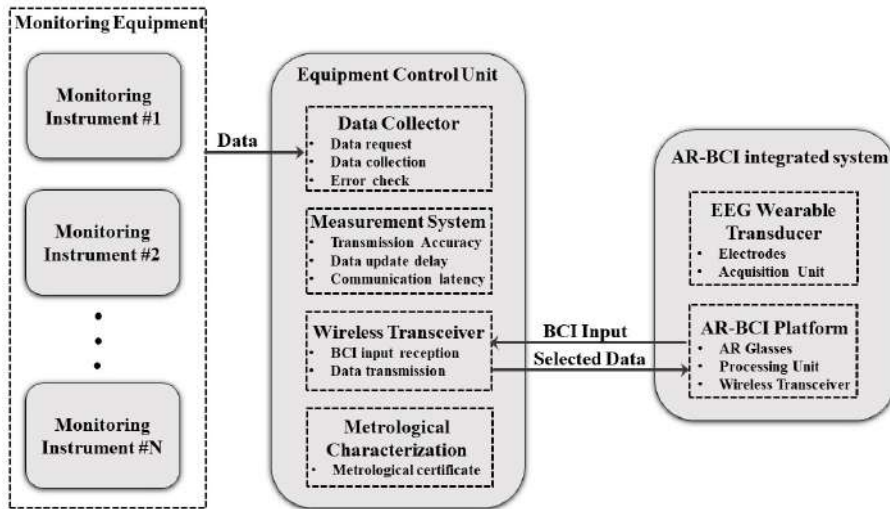


Fig. 1: Concept architecture of the proposed AR-BCI monitoring system.

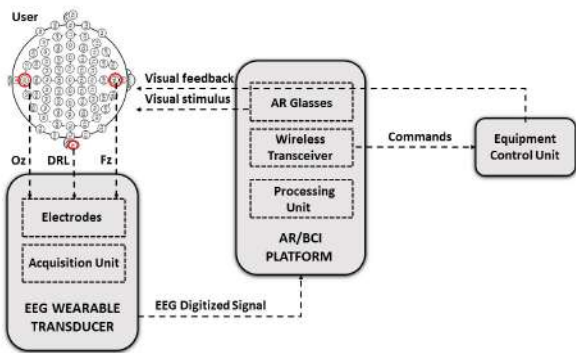


Fig. 2: AR-BCI architecture based on the SSVEP paradigm.

242 3.3.2. SSVEP Processing

The *EEG Digitized Signal* is sent to the processing unit to provide the information received from the *Acquisition Unit*. The result of the processing is received by the *ECU* by means of the *Wireless Transceiver*: this step allows the user to see²⁴³ through the *AR Glasses* the information coming from the *Mon-*²⁴⁴
itoring Equipment.

Fig. 3 summarizes the SSVEP acquisition and processing. A²⁴⁵ correlation-based algorithm [36] is used to detect the frequency²⁴⁶ elicited by the observed stimulus. Given a time window of²⁴⁷ length T , the corresponding signal fragment is filtered using a²⁴⁸ band-pass finite impulse response (FIR) filter between 5 Hz and²⁴⁹ 25 Hz. Then, the filtered signal fragment is correlated with four²⁵⁰ sine waveforms Φ_i where $i = 1, \dots, 4$. Each waveform has a fre-²⁵¹quency corresponding to a flickering visual stimulus, and vari-²⁵²able phase ϕ , obtaining the maximum values among the Pearson²⁵³ correlation coefficients ρ_i where $i = 1, \dots, 4$, as expressed by the²⁵⁴ following equation:
²⁵⁵
²⁵⁶

$$\rho_i = \max_{\phi \in [0, 2\pi]} \frac{\text{cov}(D_f, \Phi_i(\phi))}{\sigma_{D_f} \sigma_{\Phi_i(\phi)}} \quad (1) \quad \begin{matrix} 257 \\ 258 \end{matrix}$$

where D_f are the filtered Data; Φ_i represents the i^{th} sinewave; ϕ is the phase; σ_D is the standard deviation of the filtered data; and σ_{ϕ_i} is the standard deviation of the sinewaves.

Hence, the following features are extracted:

$$F1 = 1^{\text{st}} \max_{i \in [1, 4]} (\rho_i) \quad (2)$$

$$F2 = 2^{\text{nd}} \max_{i \in [1, 4]} (\rho_i) \quad (3)$$

$$F3 = \frac{F1 - F2}{F2} \quad (4)$$

where $F1$ represents the maximum value among the correlation coefficients for all the four frequencies; $F2$ is the second largest correlation coefficient corresponding to one of the remaining three frequencies of stimuli; and, finally, $F3$ represents the relative difference between $F1$ and $F2$.

Given any two threshold values $T1$ and $T2$, a signal fragment can be marked as recognized if the following condition is satisfied:

$$F1 > T1 \quad \cap \quad F3 > T2. \quad (5)$$

If condition (5) is not satisfied, a new fragment of duration T , overlapping with the previous one by $T/2$, is processed.

3.4. Metrological characterization of the data transmission

An off-line feature for metrological characterization, related to the communication between the devices, is included in the AR-based system. The corresponding block of *Metrological Characterization* (as shown in Fig. 1) allows to assess (i) the transmission accuracy between the *ECU* and the *Monitoring Equipment*; (ii) the data-update delay; and (iii) the communication latency.

The data-update delay depends on the communication between the *Monitoring Equipment* and the *ECU*, while the communication latency refers to the communication between the *ECU* and the *AR-BCI Integrated System*, and depends on the communication protocol used. To this aim, different experimental sessions, each consisting of several runs, are carried out automatically.

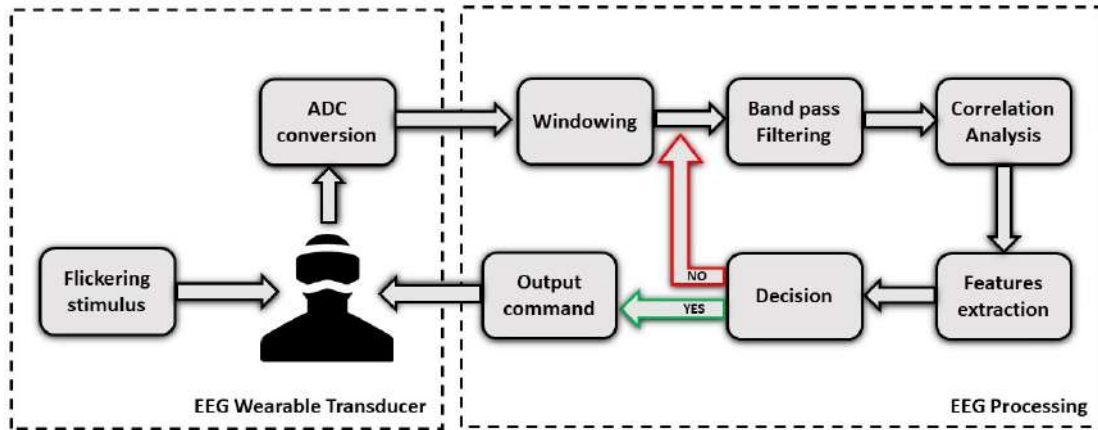


Fig. 3: Workflow of the SSVEP acquisition and processing.

For each run, the transmission accuracy, A (%), is assessed as:

$$A = \frac{N_{packets} - E}{N_{packets}} \cdot 100 \quad (6)$$

where $N_{packets}$ is the number of packets sent, and E is the error count when a packet is not correctly decoded.

Then, for each session, the accuracy mean value μ_A and the standard deviation σ_A are assessed. Hence, the 3-sigma uncertainty is computed, by taking into account the total number of runs, according to the following equation:

$$u_A = \frac{k \cdot \sigma_A}{\sqrt{N}} \quad (7)$$

where $k = 3$ is the coverage factor, corresponding to 99.7% confidence interval, and N is the total number of runs.

After the accuracy evaluation, the time interval necessary to update the data coming from the monitoring instruments is measured. In particular, the time related to: (i) data-update, and (ii) wireless communication is assessed for each packet sent within a run. At the end of each run, the mean value and the standard deviation of these quantities are evaluated.

Successively, at the end of the session, the weighted mean and the 3-sigma uncertainty are assessed, considering the different number of packets sent for each run, in order to give the best estimate of the measurand. In particular, the weighted mean of the time delay μ_t is evaluated through the following equation:

$$\mu_t = \frac{\sum_{i=1}^N \mu_{ti} \cdot l_i}{\sum_{i=1}^N l_i} \quad (8)$$

where μ_{ti} is the mean of the time delay evaluated for each run; and l_i is the number of packets for each run. The evaluation of the 3-sigma uncertainty is carried out taking into account the law of propagation of uncertainty.

Assuming μ_t as the weighted mean among the runs, as defined by (8), the uncertainty is evaluated through the following equation:

$$u_{tpr} = \sqrt{\sum_{i=1}^N \left(\frac{\partial \mu_t}{\partial \mu_{ti}} \cdot u_{ti} \right)^2} \quad (9)$$

where u_{tpr} is the 3-sigma uncertainty (assessed through (7)) of the time delay evaluated with the law of propagation of uncertainty, assuming the independence between each run (an hypothesis considered acceptable based on the previous experimental campaigns); and u_{ti} is the uncertainty of the time delay evaluated for each run.

When the metrological self-characterization of the system is completed, a metrological report, summarizing the (i) transmission accuracy, (ii) the data-update delay, and (iii) the communication latency is produced for the user.

4. Implementation

As mentioned in the introduction, for the implementation, a specific healthcare-related scenario was considered. In fact, the medical environment is generally very demanding in terms of real-time requirements; hence, it represents an optimal testbed for the proposed system. The proposed AR-BCI system was implemented to be used in the operating room, to allow the OR operators (and, in particular, the anesthetist) to monitor in real-time through AR glasses the patient's vitals acquired from the OR equipment. The user interacts with a BCI to select which vital parameters he/she wants to be displayed.

This section describes the implementation of the proposed system. In particular, more details are given about

- (i) the *ECU*;
- (ii) the *OR Equipment*; and
- (iii) the *AR-BCI Integrated System*, from the AR Glasses to the BCI Hardware.

The implementation of the system and the experimental tests were carried out at the Academic Hospital of Federico II University (Naples, Italy), employing monitoring equipment available in the operating room.

4.1. ECU

For the implementation of the system, a laptop was used as an *ECU*. The laptop has an AMD A10-9600P Processor, 16 GB RAM, and two USB 2.0 ports, which are used to communicate with the *OR Equipment* and the *AR-BCI Integrated System*. A WiFi technology *IEEE 802.11a/b/g/n* is also provided

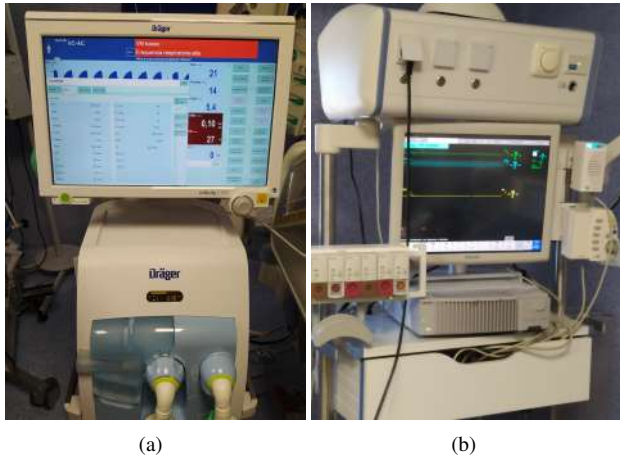


Fig. 4: OR Equipment: a) Dräger Evita Infinity V500 ventilator; b) Philips IntelliVue MP90 patient monitor.

for the wireless communication with the aforementioned system blocks. A software running in MATLAB environment collects the data from the *OR Equipment*, checks if any error has occurred, and sends the data to the *AR-BCI Integrated System*, according to the user's selection. Moreover, the developed software provides a measurement of the transmission performance in terms of accuracy, data update and communication delay.

4.2. OR equipment

For the implementation of the proposed system, two electromedical instruments were used, namely a ventilator for intensive care and a patient monitor: these are pieces of equipment that are typically available in the OR [37].

- *Ventilator*: Fig. 4(a) shows a picture of the mechanical ventilator used for the implementation, namely the Dräger Evita Infinity V500 [66]. This ventilator is equipped with a LAN interface and three serial interfaces, and it is possible to fetch the parameters using the MEDIBUS protocol at different Baud Rates.
- *Monitor*: Fig. 4(b) shows the monitor used for the implementation, namely the Philips IntelliVue MP90 [67]. IntelliVue MP90 has a conventional diagnostic 12-lead ECG, arrhythmia, arterial blood pressure and oxygen saturation analysis. It is equipped with a LAN interface; data are collected by means of a dedicated proprietary software, namely *Medicollector*.

In this application, the vital signs coming from the instrumentation are collected by the laptop, which communicates (i) with the Ventilator over MEDIBUS protocol via RS-232 to USB adapter, and (ii) with the Monitor via Medicollector adapter, LAN to RS-232 adapter. To establish a LAN-USB connection between the patient monitor and the laptop, an additional RS-232 to USB adapter was used. The parameters acquired from the instruments are displayed in real-time on the AR glasses which receive wirelessly the data collected from the laptop.

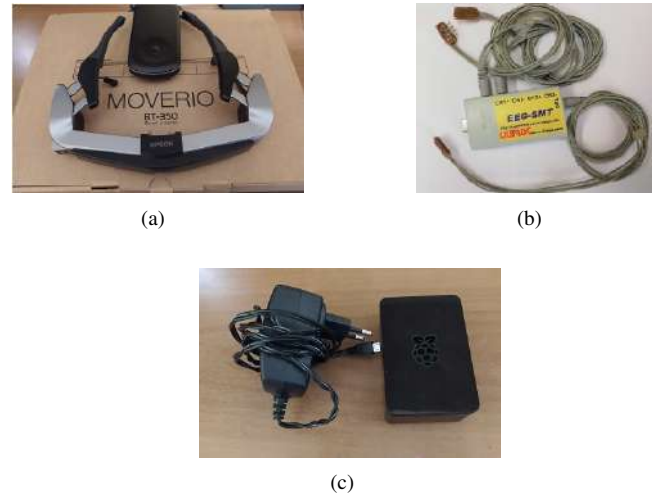


Fig. 5: AR-BCI Equipment: a) Moverio BT-350; b) Olimex EEG-SMT; c) Raspberry Pi 3.

4.3. AR-BCI integrated system

The AR-BCI integrated system was implemented using components off-the-shelf. It includes

- a pair of *AR Glasses*;
- Electrodes and Acquisition Unit*, constituting the *EEG Wearable Transducer* in Fig. 2; and
- a *Processing Unit*, integrating the *Wireless transceiver*.

- *AR glasses*: In this work, the Epson Moverio BT-350 [68] glasses were used (Fig. 5(a)). This is an AR optical see-through (OST) device with a 30 Hz nominal refresh rate; an angle of view of 23 degrees diagonally; and a 720p display. These AR smart glasses are equipped with Android 5.1. A dedicated Android application was developed and built with a twofold aim: (i) for generating the flickering visual stimuli for the BCI-based input; and (ii) for receiving and displaying in real-time the vital signs from the OR equipment
- *Electrodes and Acquisition Unit*: the Olimex EEG-SMT was used as an Acquisition Unit [69], a 10-bit, 256 Sa/s, differential input Analog-Digital Converter (ADC). The electrodes and the Olimex are shown in Fig. 5(b).
- *Processing Unit*: This includes a Raspberry Pi 3 (Fig. 5(c) [70]), connected via USB to the Acquisition Unit. The Raspberry Pi 3 is also used as a *Wireless Transceiver*, to communicate the results of the SSVEP detection to the laptop. In this way, the user is capable to move smoothly in the OR thanks to the high wearability.

Overall, this configuration of the AR-BCI System represents a single-channel BCI, which guarantees:

- high wearability, thanks to the low number of EEG electrodes and the small dimensions of the hardware used, and
- high accuracy and low latency, even employing low-cost hardware.

4.4. Communication

Dedicated software was developed to handle (i) the communication between the laptop and the OR Equipment; (ii) the communication between the EEG Acquisition Unit and the EEG Processing Unit; (iii) the communication between the EEG Processing Unit and the laptop; and (iv) the communication between the laptop and the AR Smart Glasses. Fig. 6 describes in detail the communication between the devices.

(i) *Communication between the laptop and the Equipment:* A code running in MATLAB environment was developed to implement the acquisition from the instrumentation and sending of the data. A subsection of the MATLAB code implemented the MEDIBUS protocol at a Baud rate of 38400 bit/s to configure and receive in real-time the ventilator parameters. Furthermore, a second subsection is in charge of exchanging data with Medicollector (i.e., the proprietary software for acquiring the waveform from the monitor). While Medicollector is running on the laptop, the MATLAB code acquire in real-time the desired Monitor waveforms over TCP/IP protocol.

(ii) *Communication between the EEG Acquisition Unit and the EEG Processing Unit:* The digitized EEG signal is sent via USB to the EEG Processing Unit. The software installed on the Processing Unit is written in C, and acquires via UART the EEG signal digitized by the Acquisition Unit. The Baud Rate is set to 57600 bit/s, the packet size is equal to 17 bytes, and no parity bit is foreseen. The software also provides the function of TCP Client, sending to the laptop (acting as a TCP Server) the result of the processing.

(iii) *Communication between the EEG Processing Unit and the laptop:* For the laptop, a TCP Server was implemented and integrated in MATLAB with the code for the acquisition of the parameters from the OR equipment. The TCP Server is used to establish the communication with the EEG Processing Unit. Once the connection with the the Processing Unit (acting as a TCP Client) is initialized, the Server receives the results of the processing over TCP/IP protocol. Consequently, the laptop sends to the Glasses the parameters according to the user's selection.

(iv) *Communication between the laptop and the Glasses:* The aforementioned TCP Server is also used to establish the communication between the laptop and the Glasses. Once the connection with the the AR Glasses (acting as a TCP Client) is established, the laptop (Server) can send the parameters to the user over TCP/IP protocol. An Android application developed in Android Studio is implemented to receive over TCP/IP protocol the vital signs, and display them in real-time.

5. Preliminary metrological characterization of BCI-SSVEP

Before proceeding with the experimental validation and metrological characterization of the proposed system, an offline analysis of the BCI dataset was carried out. With respect to [36], this analysis was focused on taking into account the effect of frame rate drop in the visualization of the flickering stimuli.

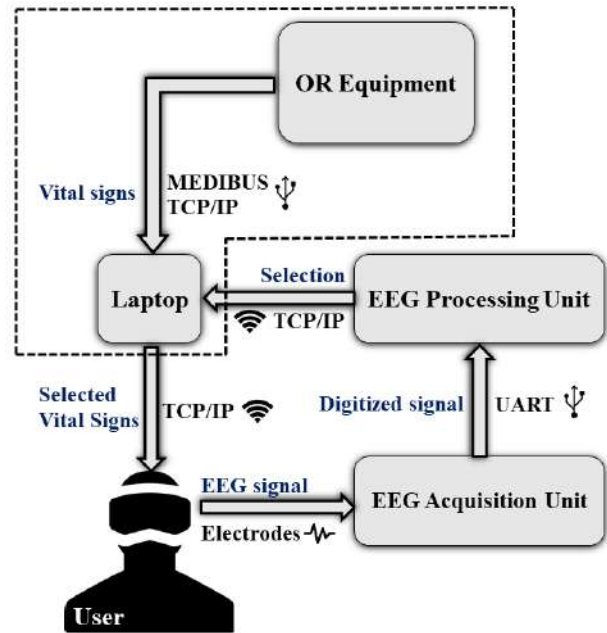


Fig. 6: Details of the communication between the devices.

The analysis of the performance of the BCI-SSVEP system allowed to assess the accuracy and the latency of the SSVEP detection algorithm, compared with the demanded requirements for medical application.

The accuracy A is defined as the number of signal fragments correctly classified, divided by the total number of signals, and it is typically expressed as a percentage, as in (6). On the other hand, the latency is the time needed by the algorithm to classify a signal fragment.

Brain signals of 20 healthy and untrained volunteers were analyzed, after acquiring 24 brain signals per subject.

It should be mentioned that for creating the dataset, the Epson Moverio BT-200 was used as AR Glasses, with a nominal refresh rate of 60 Hz. While the AR glasses used for the experiments at the Federico II Hospital were the Epson Moverio BT-350. Nevertheless, thanks to the modularity of the system, this does not represent an issue because the only parameter that changes for the SSVEP stimuli generation and detection is the refresh rate, which has a nominal value of 60 Hz for the Moverio BT-200 and a nominal value of 30 Hz for the Moverio BT-350. However, for practical applications, the Moverio BT-350 represents a better choice because it is more powerful in terms of CPU and RAM: this translates into a better stability of the frame rate.

The luminosity of the environment was (97 ± 2) lx. In this characterization, two stimuli were used, at a nominal frequency of 10.0 Hz and 12.0 Hz. Each subject was asked to focus on one stimulus at a time, for 10 s. After collecting the acquired data, an analysis of the frame rate drop was carried out, obtaining an average frame rate of approximately 59.0 Hz. This leads to a shift of the stimuli frequency from 12.0 Hz to 11.8 Hz. By taking into account this shift, the new performances were evaluated. In Table 1 and Tab. 2 the accuracy and the latency values measured at $3\text{-}\sigma$ (99.7% confidence level) as a function of T

Table 1: 3- σ Accuracy (%) of SSVEP detection algorithm for different time windows T and threshold values $T1$.

		$T1$					
		0.50	0.52	0.54	0.56	0.58	0.60
T (s)	0.5	79.6 \pm 9.7	81.0 \pm 9.3	82.7 \pm 8.3	83.3 \pm 8.3	83.7 \pm 8.0	85.7 \pm 7.8
	0.6	86.0 \pm 7.9	86.4 \pm 8.2	87.2 \pm 8.1	87.7 \pm 8.1	88.6 \pm 7.6	89.7 \pm 6.8
	0.8	91.0 \pm 6.2	90.7 \pm 6.5	91.2 \pm 6.6	93.3 \pm 4.7	93.9 \pm 4.4	94.6 \pm 4.6
	1.0	93.8 \pm 6.0	95.0 \pm 5.4	94.2 \pm 5.9	96.1 \pm 3.4	96.0 \pm 3.7	96.9 \pm 3.8

Table 2: 3- σ Time response (s) of SSVEP detection algorithm for different time windows T and threshold values $T1$.

		$T1$					
		0.50	0.52	0.54	0.56	0.58	0.60
T (s)	0.5	1.23 \pm 0.13	1.30 \pm 0.13	1.45 \pm 0.16	1.58 \pm 0.18	1.82 \pm 0.22	2.16 \pm 0.25
	0.6	1.61 \pm 0.18	1.81 \pm 0.21	1.99 \pm 0.23	2.28 \pm 0.27	2.55 \pm 0.29	2.85 \pm 0.32
	0.8	2.54 \pm 0.27	2.85 \pm 0.30	3.16 \pm 0.32	3.50 \pm 0.35	4.01 \pm 0.37	4.46 \pm 0.37
	1.0	3.42 \pm 0.31	3.82 \pm 0.33	4.17 \pm 0.34	4.73 \pm 0.37	5.13 \pm 0.38	5.72 \pm 0.38

Table 3: SSVEP detection algorithm for $T = 0.8$ s and $T1 = 0.56$.

Volunteer	Accuracy (%)	Latency (s)
#1	91.7	2.85 \pm 1.39
#2	95.5	3.71 \pm 1.97
#3	73.7	4.55 \pm 2.08
#4	100.0	1.96 \pm 0.68
#5	95.5	3.30 \pm 1.65
#6	95.5	4.73 \pm 1.68
#7	100.0	1.55 \pm 0.60
#8	94.4	5.23 \pm 1.95
#9	95.8	1.40 \pm 0.80
#10	95.8	1.95 \pm 0.73
#11	95.8	1.00 \pm 0.26
#12	91.7	1.78 \pm 0.69
#13	100.0	6.05 \pm 1.82
#14	95.2	4.28 \pm 2.00
#15	95.8	1.53 \pm 0.64
#16	100.0	6.10 \pm 2.39
#17	87.0	3.06 \pm 1.15
#18	76.5	5.50 \pm 2.07
#19	95.5	4.93 \pm 1.83
#20	90.9	4.56 \pm 1.81
Results	93.3 \pm 4.7	3.50 \pm 0.35

and $T1$ are evaluated. The threshold $T2$ was set to 0.5, which means that the feature $F1$ must be at least the 50% greater than the feature $F2$.

A focus on the SSVEP detection algorithm for $T = 0.8$ s and $T1 = 0.56$ is given in Table 3. These two threshold values were chosen so as to guarantee an accuracy compatible with the healthcare requirements. In particular, the SSVEP recognition reaches an accuracy of about 93.3 % with a Latency of about 3.50 s.

For comparison, Fig. 7 shows the the difference in terms of accuracy and latency between the current analysis and the one reported in [36]. It can be noticed that, between 2 s and 4 s the accuracy rises by approximately 1.5 %.

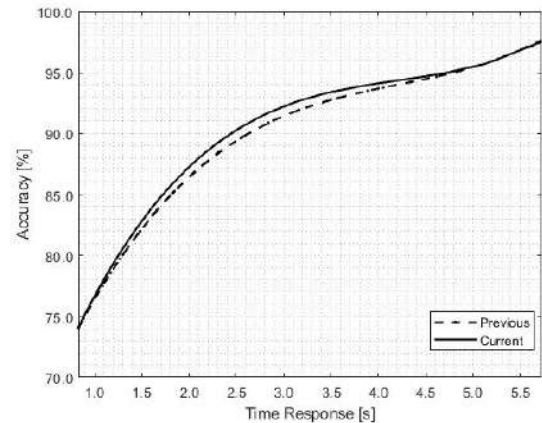


Fig. 7: Accuracy of SSVEP processing: comparison of the results from this preliminary analysis and the results reported in [36].

6. Experimental results

First, the system functionality was validated, with a focus on the frame rate drop related to the generation of the flickering stimuli. Then, the on field results related to the BCI accuracy and latency were obtained. Furthermore, the performances of the BCI System with four flickering stimuli are discussed. Successively, the reliability of the proposed AR-BCI integrated monitoring system was evaluated by measuring the accuracy of the transmission, and the delay time needed by the display to be updated with the vital parameters acquired from the OR equipment.

6.1. Functional Validation

The preliminary validation was carried out to ensure each block of the system architecture worked properly. In the experiments, the patient's lung was emulated by means of a non-self-inflating bag plugged to the Ventilator. The MED-IBUS communication was established with a Baud Rate set to 38500 bit/s. The patient monitor was used to monitor the vitals of a healthy volunteer. Table 4 summarizes the set of parameters that were collected.

Table 4: Vitals monitored during the experimental tests.

Parameter	Symbol	Unit	Ventilator/Monitor
Compliance	Cdyn	l/bar	Ventilator
Minimum Airway Pressure	Pmin	mbar	Ventilator
Mean Airway Pressure	Pmean	mbar	Ventilator
Peak Airway Pressure	PIP	mbar	Ventilator
Minute Volume	MV	l/min	Ventilator
Spontaneous expired total volume	VTespon	ml	Ventilator
O ₂ Saturation	SpO ₂	%	Monitor
Compound ECG	ECG	mV	Monitor
Respiratory Rate	RR	1/min	Monitor
Heart Rate	FC	1/min	Monitor



Fig. 8: Picture of the user wearing the AR-BCI system.



Fig. 9: Flickering squares to select waveforms.

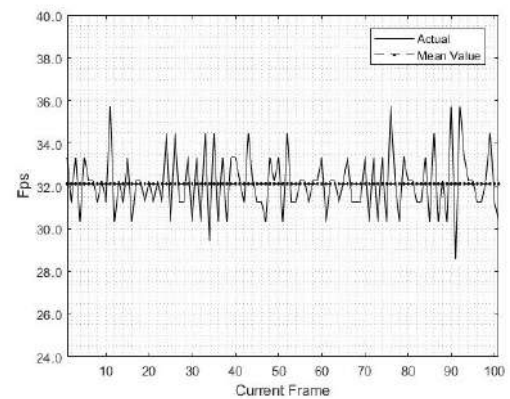


Fig. 10: Moverio BT-350 frame rate while running the Android application.

6.2. Operation

Fig. 8 shows a picture of the user wearing the AR Glasses and electrodes, with the OR Equipment in background. Once the laptop and the OR equipment are connected via cable, the MATLAB code and the Medicollector software can be launched. The user launches the dedicated Android application and inserts the Server IP address and Port number. Then, four squares flickering at different frequencies appear on the AR glasses display, as shown in Fig. 9. Each square corresponds to the selection of a waveform coming from the patient monitor; in the considered case, the possible selection was among Electrocardiogram (ECG), Oxygen Saturation (O₂Sat), Respiration Rate (RR), and Heart Rate (HR).

Before acquiring the SSVEP elicited by the flickering stimuli generated by the Moverio BT-350, the effort needed by the system to produce each time a new frame was measured, obtaining an average frame rate of about 32 fps, higher than the 30 Hz of BT-350 nominal refresh rate. This leads to the presence of undesired multiple frames. Therefore, the code working on the Android application and related to the rendering of the visual stimuli was modified taking into account the average fps obtained. Fig. 10 shows the variation of the fps while the Android application is in execution.

After executing the code on the Raspberry, the acquisition and processing of the EEG signal starts. The Raspberry sends the results of the processing to the laptop and, finally, the laptop forwards the collected parameters (as selected by the user) to the AR glasses. Fig. 11 shows a snapshot of what the user sees after selecting the ECG waveform by SSVEP. The user sees the main parameters from the ventilator and, at the bottom, the

real-time variation of ECG. In this way, the user has complete control of the information.

6.3. Experimental characterization of the BCI performance

After validating the functionalities of the system in relation to (i) the acquisition and visualization of the vital signs and (ii) to the rendering of the flickering stimuli and the EEG processing, the on-field BCI performance was assessed. The flickering frequencies chosen to let the user select the waveforms coming from the patient monitor were 8 Hz, 10 Hz, 12 Hz and 15 Hz. At each run, the user was asked to declare which visual stimulus he was looking at. Table 6 summarizes the user's answers, with the time needed by the algorithm to detect the SSVEP. It was observed that, in three cases, the algorithm could not identify the correct frequency observed.

As reported in Table 6, the frequency value that showed the best performance in terms of both accuracy and latency was 8 Hz; this is due to the fact that the highest frequency values are more sensitive to the frame rate drop. For instance, a frame rate drop from 32.0 Hz to 30.0 Hz leads to a frequency shift from 15.0 Hz to 14.1 Hz, and from 8.0 Hz to 7.5 Hz. Therefore, the SSVEP detection has a higher probability of success at 8 Hz and 10 Hz, rather than at 12 and 15 Hz. Moreover, the luminosity of the environment (147 ± 2 lx) and the presence of four squares instead of two, also contributed to the drop of the overall accuracy with respect to the results obtained in Section 5. After the user made



Fig. 11: Snapshot of the user's view after the BCI-made selection.

540 the selection through BCI, the vital signs are displayed on the
541 AR Smart Glasses.

542 6.4. Metrological characterization of the system transmission

543 The experimental session consisted in 10 runs. As the Medi-
544 collector was in free-trial mode, each run had a maximum dura-
545 tion of 180 s. For each run, the measurement of the transmission
546 accuracy was carried out through (6). Then, the mean value and
547 the 3-sigma uncertainty were evaluated taking into account the
548 total number of runs.

549 Finally, the system's delay time, namely the time interval neces-
550 sary to update the data coming from the devices, was measured
551 by means of the MATLAB stopwatch timer *tic*.

552 For each packet within a run, it was possible to evaluate the de-
553 lay related to: (i) ventilator update, (ii) monitor update, and (iii)
554 TCP communication. Based on previous experimental cam-
555 paigns carried out by the authors [37], the TCP/IP delay was
556 considered negligible; in fact, its value is typically lower than
557 than 2 ms, which fully satisfies the requirements expressed in
558 [41, 42]). For this reason, only the mean value and the stan-
559 dard deviation related to the Monitor and Ventilator update de-
560 lay were reported at the end of each run. At the end of the
561 session, the assessment of the weighted mean and of the 3- σ
562 propagated uncertainty was carried out (This was done taking
563 into account the different number of packets sent for each run).
564 In particular, the weighted mean of the data-update delay (μ_t)
565 was evaluated through (8). The standard deviation and, conse-
566 quently, the 3-sigma uncertainty, were evaluated according to

Table 5: Results of BCI processing in terms of accuracy and latency for each run during the experimental session.

#Run	Frequency [Hz]	[0-2 s]	[2-4 s]	[4-6 s]
#1	8 Hz	✓		
#2	10 Hz		✓	
#3	12 Hz		✓	
#4	15 Hz			✓
#5	8 Hz	✓		
#6	10 Hz	✓		
#7	12 Hz			✗
#8	15 Hz		✗	
#9	8 Hz		✓	
#10	10 Hz			✗

Table 6: Summary of BCI performance after the experimental session.

Frequency [Hz]	Accuracy [%]	Mean latency [s]
8 Hz	100.0	2.67
10 Hz	66.7	4.00
12 Hz	50.0	5.00
15 Hz	50.0	5.00
Total	70.0	4.00

567 the law of propagation of uncertainty, assuming the indepen-
568 dence of each run, as shown in (9).

569 Table 7 summarizes the details of the experimental session, con-
570 sidering the delay related to the Monitor and Ventilator param-
571 eters update, along with the Accuracy of the transmission. It can
572 be noticed that the accuracy related to the TCP/IP transmission
573 is 100.0%: no errors occurred during the whole test.

Table 7: Details for each run of the experimental session.

#Packets	Mean Delay [s]	Std Delay [s]	Accuracy [%]
(i) 149	0.97	0.19	98.0
(ii) 75	1.95	0.37	100.0
(i) 150	1.01	0.22	96.7
(ii) 78	1.98	0.39	100.0
(i) 145	0.95	0.17	97.2
(ii) 75	1.87	0.44	100.0
(i) 156	0.98	0.19	96.1
(ii) 80	1.95	0.39	100.0
(i) 139	0.99	0.21	97.8
(ii) 73	1.92	0.40	100.0
(i) 143	0.97	0.19	98.0
(ii) 73	1.92	0.45	100.0
(i) 132	0.97	0.19	96.2
(ii) 69	1.89	0.47	100.0
(i) 131	0.99	0.21	99.2
(ii) 68	1.93	0.44	100.0
(i) 122	0.96	0.19	97.5
(ii) 62	1.94	0.39	100.0
(i) 126	0.99	0.21	97.6
(ii) 66	1.93	0.43	100.0
TOTAL	Weighted Mean [s]	Propagated Unc [s]	Mean \pm Unc [%]
(i) 1393	0.98	0.02	97.4 \pm 0.9
(ii) 719	1.92	0.05	100.0 \pm 0.0

Legend:

- (i) Drager update
- (ii) Philips update

574 7. Conclusion

575 An integrated BCI-AR real-time monitoring system for the
576 acquisition and visualization of data from *Monitoring equip-*
577 *ment* was proposed. The system, which relies on the combina-
578 tion of BCI and AR to allow the user to select hands-free which
579 data they want to display in AR, was implemented and validated
580 considering a healthcare application scenario.

581 In particular, as a case study, the real-time AR-based visual-
582 ization of patient's vitals was considered. The data are acquired
583 from the OR equipment in real-time, and displayed on the user's
584 AR glasses. The user can select hands-free which parameter
585 should be displayed on the AR glasses, by means of a highly-
586 wearable, noninvasive and trainingless SSVEP-based BCI.

587 The overall system was designed, implemented and validated

through experimental tests using the equipment typically available in the OR. After a preliminary functional validation, the system accuracy and delay were assessed for both the BCI and the AR subsystems, thus demonstrating the effectiveness and reliability of the proposed AR-BCI-based monitoring system. The obtained measured transmission accuracy of the vital signs is higher than 97%, with a negligible delay introduced by the Android application to receive the parameters, preserving the reliability and real-time requirements that the contexts necessitates and confirming the improvement of AR in the Health 4.0 framework. The on-the-field performance of the single-channel SSVEP-based BCI showed an accuracy of 70% with a latency of approximately 4.00 s. Further work will be dedicated to improve the SSVEP-detection algorithm: in particular, the introduction of a time-frequency analysis in the processing could mitigate the effects caused by the frame-rate drop, thus improving the overall results.

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