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Facile preparation of antifouling nanofiltration membrane by grafting zwitterions for reuse of shale gas wastewater

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1	Facile preparation of antifouling nanofiltration
2	membrane by grafting zwitterions for reuse of
3	shale gas wastewater
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17 Abstract: Complex organic matter causes severe fouling when membranes are applied for 18 shale gas wastewater (SGW) treatment. This study reports the grafting of a zwitterionic 19 polymer brush consisting of poly (sulfobetaine methacrylate) (PSBMA) onto the surface of a 20 commercial nanofiltration (NF) membrane via electron transfer-atom transfer radical 21 polymerization (ARGET-ATRP) to achieve anti-fouling property, especially against organic 22 foulants. Compared to the pristine NF membranes, the PSBMA-grafted NF membrane showed high performance when challenged by SGW as a feed stream: (1) The productivity 23 24 was significantly improved during long-term operation, with a 64.28% increase in flux at 50% 25 recovery rate of SGW, while maintaining a near constant ion removal rate; (2) According to 26 fluorescence regional integration under the Excitation-Emission-Matrix Spectra, the 27 removal of protein-like organic matters and humus-like organic matters increased by 34.01% 28 and 16.48%, respectively; (3) The XDLVO theory demonstrated that the hydrophobic 29 interactions between the membrane surface and organic foulants were reduced by increasing 30 the Lewis acid-base interaction energy. The proposed anti-fouling zwitterionic membranes 31 has potential in industrial application for the on-site reuse of SGW.

32 Keywords: Shale gas wastewater (SGW); Membrane fouling; Surface modification; Poly
33 (sulfobetaine methacrylate) (PSBMA); Nanofiltration (NF)

35 **1. Introduction**

36 The "shale gas revolution" was first successfully practiced in the USA to ensure energy security. China is currently accelerating the pace of shale gas exploration and development [1, 37 2]. According to evaluations made by the Chinese government, China has 25.08×10^{12} m³ of 38 39 technically recoverable shale gas reserves, positioning it as one of the most promising 40 countries in the world for shale gas development [3, 4]. However, the shale gas exploration 41 process consumes large amounts of freshwater resources and generates vast quantities of shale 42 gas wastewater (SGW) [5, 6]. In the Sichuan Basin, China, the average freshwater demand of a shale gas well is 23,650–34,000 m³, and 8-70% of the shale gas flowback and produced 43 44 water is returned to the ground [7-9].

45 SGW in the Sichuan Basin contains low to moderate salinity (total dissolved solids < 3046 g/L), complex and heterogeneous organic compounds, and its treatment to safe standard is 47 costly and challenging [10]. Currently, most SGW both in China and USA are reused for 48 fracturing in new wells, since the recycling of the fracturing fluid can achieve both cost 49 savings and environmental pollution reduction [11-13]. The effective removal of divalent ions 50 is critical for SGW reuse to avoid scaling on production equipment and in the shale formation 51 [14-16]. Based on the salinity and composition of the Sichuan Basin SGW, nanofiltration (NF) 52 membrane technology is a suitable and promising candidate for purification with the aim to 53 remove divalent ions and maintain a stable production of shale gas [9, 17, 18]. However, 54 membrane fouling is a major drawback that restricts the application of NF membranes and 55 can lead to increased energy consumption, alongside increased frequency of chemical 56 cleaning and shortened membrane life [19-21]. In general, membrane fouling is the result of a 57 complex series of physicochemical interactions between the membrane surface and the fouling agents [21, 22][23, 24]. The complex organic mixture in SGW is arguably the main 58 59 cause of NF membrane fouling in this application [25]. Effective pretreatments are often used

to improve the NF performance and ensure its sustainable operation [13, 26]. The combination of coagulation [27], adsorption [28], ozone pre-oxidation [29], or biological treatment technology [30] with UF has been applied for pre-treatment. However, NF fouling is still inevitable and few studies have focused on the properties of the NF membrane itself to improve their resistance to contamination from SGW foulants.

65 The synthesis of new antifouling membranes through surface modification is an effective 66 way to control membrane fouling [22], and direct modification of the membrane surface is 67 ideal for large-scale processing applications [31-33]. Antifouling membrane modification 68 materials are mainly polymers, and the introduction of hydrophilic monomers or polar groups 69 can significantly improve the membrane antifouling performance [34, 35]. Commonly used 70 modifiers include: poly(vinyl alcohol) (PVA) [36], polyethylene glycol (PEG) [37], MXene 71 [38], polydopamine (PDA) [39], and polyurethane[40]. Compared to other hydrophilic 72 materials, zwitterionic polymer brushes (e.g., sulfobetaine methacrylate, SBMA) comprising 73 both anionic and cationic end groups exhibit excellent antifouling performance at high salt 74 concentrations [41], because of their overall electrical neutrality and strong hydration ability. 75 SBMA can form a tight hydration layer on the surface of membrane materials, which can 76 weaken the interaction force between organic pollutants and the membrane surface [21, 31, 77 41-48]. In particular, this mechanism is effective against hydrophobic organic substances, 78 such as protein-like and humic-like matter, which accounts for a relatively high proportion of 79 the organics in SGW [28, 29, 35, 49]. Typical modification methods include chemical 80 grafting, physical coating, and polymer modification [44, 45, 47]. Modification through 81 activators regenerated by electron transfer-atom transfer radical polymerization (ARGET-82 ATRP) is suitable to modify the membrane surface at large scale under routine industrial 83 conditions in an easy and quick way, because it only require a low dosage of copper catalyst 84 and has high tolerance to oxygen presence [50, 51]. Our previous study used ARGET-ATRP

method to graft [2-(methacryloyloxy) ethyl] dimethyl(3-sulfopropyl) ammonium hydroxide
(DMAPS) on the surface of self-made green ultrafiltration membranes showing highly
promising results also for other membrane processes and applications [52].

88 In this work, we tune and apply this procedure to fabricate a high-performance NF 89 membrane deployed to treat SGW with the goal of reuse. The relationship between membrane 90 surface modification and improved antifouling performance is investigated upon grafting a 91 zwitterionic polymer brush PSBMA onto the surface of a commercial NF membranes via an 92 ARGET-ATRP method. The water flux decline rate is analyzed and evaluated in the light of 93 the membrane surface properties and the degree of organic deposition. The effect of 94 membrane fouling is discussed using the XDLVO theory. The purpose of this paper is to 95 provide valuable information on ways to reduce NF membrane fouling by designing NF 96 membranes suitable for shale gas wastewater treatment.

97

98 2. Materials and methods

99 2.1. Pretreatment of the raw water

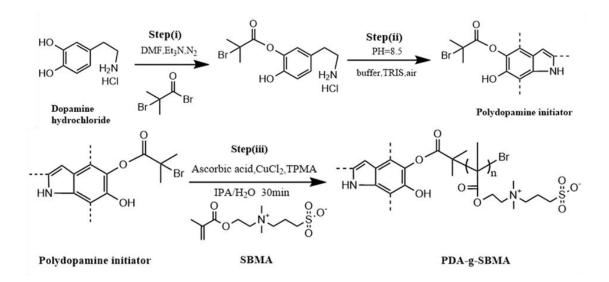
100 The raw water in this study was acquired from one of the reservoirs of the Weiyuan shale 101 gas play, Sichuan, China. The detailed water quality and the optimal pretreatment conditions 102 were comprehensively analyzed in our previous study [13]. In brief, the optimal pretreatment 103 condition for coagulation involved addition of 900 mg/L ferric chloride hexahydrate 104 (FeCl₃·6H₂O) followed by 30 min settling. The supernatant was then introduced to the tank of 105 a ultrafiltration system comprising a submerged hollow fiber poly (vinylidene fluoride) 106 (PVDF) UF membrane (Litree Purifying Technology Co., Ltd. Haikou, China with nominal molecular weight cut-off 100 kDa under a constant flux of 50 L m^{$-2h^{-1}$}). 107

108 2.2. Materials

109 Two types of commercially available NF membranes were employed in this work, namely, 110 the membranes referred to as VNF1 (Vontron Membrane Technology Co., Ltd., Guiyang, 111 China), and NF90 (DuPont, USA). Ultrapure water was supplied by a Ulupure ultrapure water 112 purification system (Chengdu, China) with a resistivity of 18.25 M Ω . Sulfobetaine 113 methacrylate (SBMA), sometimes referred to as 3-dimethyl (methacryloyloxyethyl) 114 ammonium propane sulfonate (DMAPS), N,N-dimethylformamide (DMF), 1-ascorbic acid, 115 copper (II) chloride, tris (2-pyridylmethyl) amine (TPMA), magnesium sulfate (MgSO₄, 116 anhydrous, reagent plus, \geq 99.5%), and sodium chloride (NaCl, reagent grade, 99%) were 117 obtained from Sigma Aldrich (USA), Dopamine hydrochloride, α-bromoisobutyryl bromide 118 (BiBB, 98%), isopropyl alcohol (IPA), tris (hydroxymethyl) aminomethane (Tris) (>99.8%), 119 and triethylamine (TEA) (>99%), were purchased from Aladdin (China). Sodium sulfate 120 (Na_2SO_4) (anhydrous, $\geq 99\%$), magnesium chloride $(MgCl_2)$ ($\geq 98\%$), and ethylene glycol 121 (99%) were all analytical reagents (Kelong Chemical, Chengdu, China). Glycerol (99.7%) 122 was purchased from VWR (PA, USA). Diiodomethane (99%) was purchased from Macklin 123 (Shanghai, China).

124 2.3 Surface modification of NF membranes

The commercial membrane was subject to surface ARGET-ATRP grafting reaction through a typical three-step strategy. The first step involved the preparation of a BiBBinitiator-dopamine solution; after addition of the Tris buffer into this solution to adjust the pH, the commercial membrane was placed into the buffered solution to form a PDA surface coating (seond step); the third step encompassed the grafting of SBMA to the membrane surface through the ARGET-ATRP reaction. The detailed steps can be found in **Text S1 (SI**).



132

Scheme. 1. Proposed modification of NF membranes and reaction products: Step (i) involved the reaction of dopamine hydrochloride and 2-bromoisobutyryl bromide; Step (ii) in which the reaction mixture from Step (i) was added to Tris buffer (pH=8.5) to form a BiBBinitiator-PDA coating; Step (iii) involved the surface initiated ARGET-ATRP reaction with SBMA from the BiBB-initiator-PDA coating to produce modified membranes with a surfacegrafted SBMA polymer brush.

140 2.4. Membrane Characterization

141 The surface chemical composition of the membranes was analyzed via X-ray photoelectron spectroscopy (XPS) (Axis Supra, Kratos Analytical Ltd., UK) and with a Fourier transform 142 143 infrared (FTIR) spectrometer equipped with a diamond attenuated total reflection (ATR) 144 attachment (Nicolet is iS20, Thermo Fisher Scientific Inc., USA). The membrane surface 145 morphology and roughness characteristics were obtained by scanning electron microscopy 146 (SEM) (Regulus 8230, Hitachi, Japan) and atomic force microscopy (AFM, Dimension Icon, Bruker, Germany), respectively. The zeta potential was evaluated by a SurPASSTM 3 147 148 electrokinetic analyzer (Anton Paar, Austria). The dynamic water contact angles were 149 determined by a contact angle measurement equipment (KRÜSS GmbH, Germany) at room 150 temperature. More details can be found in our previous study [53] and in Text S2 (SI).

151 2.5 Separation performance of the NF membranes

152 The NF lab unit was thoroughly cleaned before and after each fouling experiment. Prior to 153 each experiment, the membranes were soaked in ultrapure water at room temperature for 24 h 154 and then compacted with ultrapure water at a pressure of 6.89 bar (100 psi) for at least 30 min. 155 The separation performance of the membranes was evaluated at an applied pressure of 20.7 156 bar (300 psi) in a stainless-steel dead-end membrane module (HP 4750, Sterlitech Corp., Kent, WA), with an effective testing area, A, of 14.6 cm^2 . The separation equipment was operated 157 158 under constant stirring of 300 rpm to minimize concentration polarization effects. Eq. (1) was 159 employed to determine the flux of pure water:

$$160 J = \frac{V}{A \times \Delta t} (1)$$

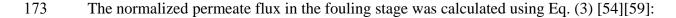
161 where V (L) is calculated by dividing the permeate water mass collected in a certain 162 interval (Δt) by the water density.

163 The ion filtration performance of the NF membranes was evaluated using inorganic salts 164 (Na₂SO₄, MgSO₄, MgCl₂, NaCl) in water with a concentration of 2000 mg/L. The salt solute 165 rejection (R) was obtained at steady-state by Eq (2).

166
$$R = 1 - \frac{C_p}{(C_0 + C_f)/2}$$
(2)

where C_P and C_0 represent the concentration of the solute in the permeate and that in the feed, respectively, while C_f is the concentration of the solute in the final concentrated feed. Electric conductivity measured by calibrated ultrameter II 6PFC conductivity meter (Myron L Company) was used as a proxy for salt concentration. The presented rejection values for each sample are the average of three different measurements collected over a 60 min period.

172 2.6 Anti-fouling properties



174 normalized flux =
$$\frac{J}{J_0}$$
 (3)

where J is the permeate flux at any given time during the test, and J_0 is the initial permeate flux. The slope of the plot of J/J_0 versus recovery rate (%, v/v) yielded the fouling propensity of the NF membranes [54].

178 To determine the surface tension characteristics of a solid surface, two of the three probe 179 liquids should be polar and the other should be non-polar, and the test reagents must have 180 high surface tension parameters [55]. In this study, the surface of the pristine NF membranes 181 and the modified NF membranes are regarded as solid. The two polar liquids were ultrapure 182 water and glycerol, while the non-polar liquid was diiodomethane. The surface tension 183 properties of each probe liquid are listed in Table S1 (SI). The surface tension parameters of 184 the materials were calculated by measuring the direct contact angle of the three probe liquids 185 and using a modified form of the extended Young equation given by:

$$186 \qquad \gamma_{L}^{TOT} = \gamma_{L}^{LW} + \gamma_{L}^{AB}$$
(4)

$$187 \qquad \gamma_{\rm L}^{\rm AB} = 2\sqrt{\gamma_{\rm L}^{+}\gamma_{\rm L}^{-}} \tag{5}$$

188
$$(1+\cos\theta)\gamma_{\rm L} = 2(\sqrt{\gamma_{\rm S}^{\rm LW}}\gamma_{\rm L}^{\rm LW} + \sqrt{\gamma_{\rm S}^{\rm +}}\gamma_{\rm L}^{-} + \sqrt{\gamma_{\rm S}^{\rm -}}\gamma_{\rm L}^{+})$$
(6)

According to the 'extended DLVO' or 'XDLVO' theory, in the water environment, the interfacial energy between membranes and foulants is the sum of the Lifshitz–van der Waals (LW), electrostatic double layer (EL), and Lewis acid–base (AB) interactions. The electrostatic force (EL) interaction energy (ΔG_{mlf}^{EL}) is also one component of the total interaction free energy, but it is much smaller than ΔG_{mlf}^{TOT} , and can thus be neglected, resulting in:

195
$$\Delta G_{mlf}^{TOT} = \Delta G_{mlf}^{LW} + \Delta G_{mlf}^{AB}$$
(7)

196
$$\Delta G_{\rm mlf}^{\rm LW} = -2(\sqrt{\gamma_{\rm f}^{\rm LW}} - \sqrt{\gamma_{\rm l}^{\rm LW}})(\sqrt{\gamma_{\rm m}^{\rm LW}} - \sqrt{\gamma_{\rm l}^{\rm LW}})$$
(8)

197
$$\Delta G_{\text{mlf}}^{\text{AB}} = 2\left[\sqrt{\gamma_1^+} \left(\sqrt{\gamma_m^-} + \sqrt{\gamma_f^-} - \sqrt{\gamma_1^-}\right) + \sqrt{\gamma_1^-} \left(\sqrt{\gamma_m^+} + \sqrt{\gamma_f^+} - \sqrt{\gamma_1^+}\right) - \left(\sqrt{\gamma_m^+ \gamma_f^-} + \sqrt{\gamma_m^- \gamma_f^+}\right)\right]$$
(9)

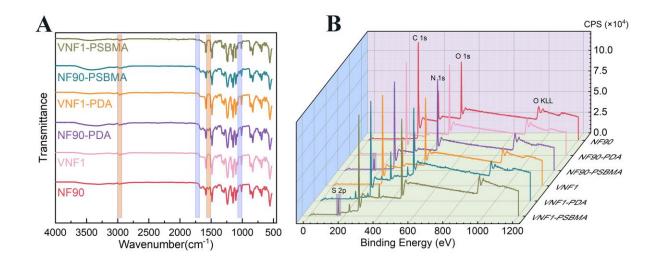
198 The subscripts f, l, and m represent the foulant, the liquid medium, and the membrane 199 surface, respectively. Statistical analysis was performed with the statistical software SPSS 200 18.0.

201 **3. Results and discussion**

202 3.1 Membrane surface properties

203 Membrane surface characteristics, such as chemical structure, hydrophilicity, roughness, 204 and charge determine the separation performance and the anti-fouling properties of the 205 membrane [42, 56]. Fig. 1(A) presents that the ATR-FTIR spectra determined between 500 cm^{-1} and 4000 cm^{-1} for the pristine NF90 and VNF1 membranes, the BiBB-initiation-PDA 206 207 mobilized membranes (NF90-PDA, VNF1-PDA), and the PDA-g-PSBMA modified NF 208 membranes (NF90-PSBMA, VNF1-PSBMA). The stretching vibration peak at 1578, 1504, 1487 cm⁻¹ is attributed to the superposition of the peak of the polyamide layer and the 209 210 polysulfone layer in the benzene ring plane. The characteristic peaks of the polysulfone 211 support layer can be observed: specifically, the symmetric stretching vibration peaks of O=S=O at 1167 and 1149 cm⁻¹, and the asymmetric stretching vibration peaks of O=S=O at 212 213 1320 and 1296 cm⁻¹. VNF1 series membranes are significantly different from NF90 series membranes in that only the latter showed peaks at 1610 cm^{-1} (H-bonded C=O stretching) and 214 1540 cm⁻¹ (N-H in-plane bending and C-N bending vibration stretching vibration of the 215 216 group -CO-NH-, amide II band) [57, 58]. Therefore, the characteristic peaks of amide II and 217 arylamide were not observed for the VNF1 series membranes, suggesting that the latter consist of piperazine amide. The common peak between the two membranes at 1664 cm⁻¹ is 218 219 attributed to the amide I band (C=O and C-N stretching vibration, C-C-N deformation 220 vibration) [59, 60]. After the grafting of the PSBMA brushes, new peaks at 1715 and 1038

cm⁻¹ emerged, attributed to ester and sulfonate groups of SBMA, respectively. These results
 preliminarily indicate that PSBMA was successfully grafted on the NF membrane surfaces
 [48, 61].



224

Fig. 1. (A) ATR-FTIR spectra and (B) XPS spectra of pristine NF90 and VNF1 membranes
and modified membranes prepared from PDA immobilization and PSBMA grafting.

227

228 To further analyze the chemical composition of the NF90/VNF1 membrane surfaces 229 before and after modification, XPS analysis was conducted and the resulting surface elemental compositions and spectra are presented in Table 1 and Fig. 1(B), respectively. An 230 231 obvious increase in the O atom content and a decrease in N atom content were clearly 232 observed upon PBSMA grafting. Consequently, the O/C atomic ratios of NF90-PSBMA and 233 VNF1-PSBMA were respectively higher than those of NF90 and VNF1, while the N/C atomic 234 ratios were lower. This result is rationalized with the fact that the grafted PSBMA has high 235 O/C atomic ratio and low O/N atomic ratio compared with the pure polyamide. These results 236 further confirm that PSBMA was successfully introduced onto the NF membrane surfaces.

237

Table 1 Surface elemental composition of the membranes.

Membrane No.	Atomic percent (%)	Atomic ratio

С	Ν	0	Br	O/N	O/C
74.29	10.56	15.15	0	1.43	0.20
72.86	7.63	19.47	0.04	2.55	0.27
72.33	7.33	20.31	0.03	2.77	0.28
74.52	8.54	16.81	0	1.97	0.23
75.04	8.14	16.76	0.13	2.06	0.22
73.64	4.62	21.67	0.07	4.69	0.29
	74.29 72.86 72.33 74.52 75.04	74.2910.5672.867.6372.337.3374.528.5475.048.14	74.2910.5615.1572.867.6319.4772.337.3320.3174.528.5416.8175.048.1416.76	74.29 10.56 15.15 0 72.86 7.63 19.47 0.04 72.33 7.33 20.31 0.03 74.52 8.54 16.81 0 75.04 8.14 16.76 0.13	74.2910.5615.1501.4372.867.6319.470.042.5572.337.3320.310.032.7774.528.5416.8101.9775.048.1416.760.132.06

240 3.2. Morphology analysis and surface charge

241 The morphology and roughness of the membrane surface influence the membrane 242 hydrophilicity, water flux, and the interactions between the surface and foulants. The surface morphology of the NF90 and VNF1 membranes did not change significantly upon 243 244 modification with PSBMA (Fig. 2). According to the AFM results, the root means square 245 roughness parameters (Rq) of the NF90, NF90-PDA, and NF90-PSBMA membrane were 246 54.4±2.1 nm, 38.7±3.7 nm, and 29.0±0.6 nm, respectively, while those of VNF1, VNF1-PDA, and VNF1-PSBMA membrane were 5.04±0.1 nm, 4.68±0.2 nm, and 3.87±0.7 nm, 247 248 respectively. Therefore, the roughness of the membrane surfaces decreased by approximately 249 20% after coating with PDA and by approximately 45% upon modification with the 250 zwitterion, with respect to the pristine membranes (Fig. 3A). This result suggest that the 251 modification steps may level out the surface features of polyamide. However, the initial 252 roughness of the two membranes was already small and the observed reduction was not 253 substantial in absolute terms, thus likely not resulting in significant changes in membrane 254 performance during operation.

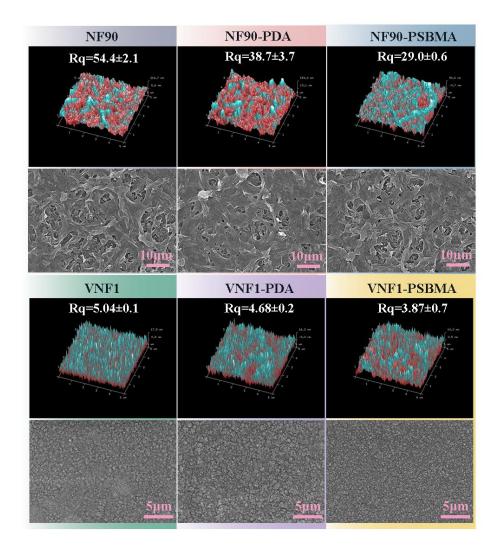


Fig. 2. AFM and SEM images of pristine NF90 and VNF1 membranes and modifiedmembranes prepared by immobilizing PDA and by grafting PSBMA.

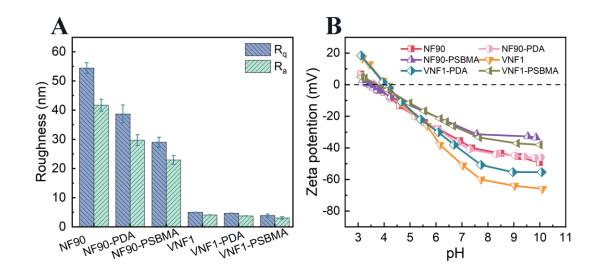


Fig. 3. (**A**) The average values of root mean-square (Rq) and average roughness (Ra) were calculated from AFM images using at least six different locations on each membrane sample (NF90, NF90-PDA, NF90-PSBMA, VNF1, VNF1-PDA and VNF1-PSBMA); (**B**) Surface zeta potential values of pristine NF90 and VNF1 membrane and modified membranes as a function of pH.

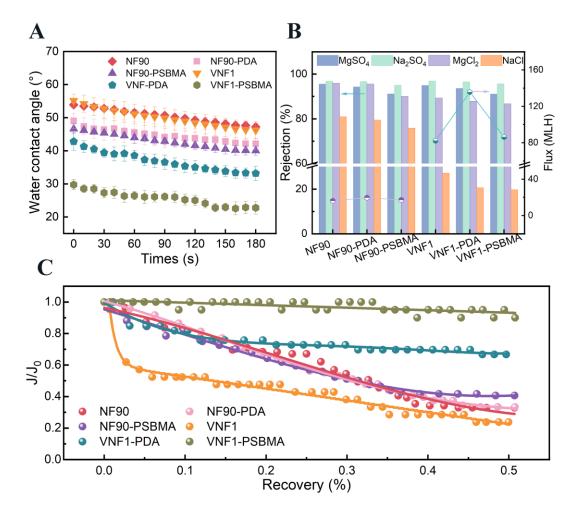
265

266 The surface properties of the modified membranes were further investigated by acquiring 267 zeta potential values. As shown in Fig. 3B, the pristine NF90 and VNF1 membranes had 268 highly negative electric potential, due to the carboxyl groups typically resulting from 269 interfacial polymerization of polyamide. With the coating of PDA, the amine and hydroxyl 270 groups of dopamine generally reduced the magnitude of the negative potential, which was 271 then further reduced upon SBMA grafting due to the net zero charge properties of the 272 zwitterions [62]. This trend was more pronounced in the VNF1 membrane samples, for which 273 a potential of larger magnitude was measured at the surface of the pristine membrane. 274 However, the zeta potential curves of the membranes modified with the PSBMA brush layer 275 was practically thre same, regardless of the starting material, suggesting that the modification 276 was successful in both cases.

The wettability of the membrane surface was evaluated through water contact angle measurements (**Fig. 4A**). The initial contact angles of pristine NF90 membrane, NF90-PDA membrane, and NF90-PSBMA membrane were $55.2\pm0.9^{\circ}$, $48.7\pm1.5^{\circ}$, and $46.0\pm2.3^{\circ}$, respectively, while the initial contact angles of pristine VNF1 membrane, VNF1-PDA membrane, and VNF1-PSBMA membrane were $52.5\pm1.9^{\circ}$, $41.6\pm2.65^{\circ}$, and $28.4\pm0.99^{\circ}$, respectively. The presence of PDA increased the wettability, although this enhancement effect was minor, owing to the short reaction time (10 min). On the other hand, the water contact

angle decreased significantly after growth of the zwitterionic polymers brush, especially for





286

Fig. 4. (A) Water contact angle variation in time of pristine NF90 and VNF1 membranes and
modified membranes; (B) Salt rejection values of pristine NF90 and VNF1 membrane and
modified membranes (2.068 MPa, 25 °C, salt concentration: 2000 mg/L); (C) Permeate flux
as a function of tecovery rate for the different membranes (operating pressure: 2.068MPa;
maximum water recovery: 50%).

293 Considering the selectivity shown in **Fig. 4B**, all the membranes had much higher rejection 294 to divalent ions than monovalent ions, exhibiting a typical NF performance. In general, the 295 observed rejection was in the order $Na_2SO_4 > MgSO_4 > MgCl_2 > NaCl$. With the coating of 296 dopamine and the grafting of PSBMA, the retention rate of all the ions was slightly reduced, 297 but overall, there was no significant loss of the original retention rate. During filtration of 298 ultrapure water, the average flux were 16.2, 19.7, and 17.3 LMH for NF90, NF90-PDA and 299 NF90-PSBMA, while the permeate flux of VNF1-based samples were much higher, with 300 average fluxes equal to 82.2, 135.6, and 86.3 LMH for VNF1, VNF1-PDA and VNF1-301 PSBMA, respectively. Compared to the VNF1 membranes, the NF90 membranes are denser 302 and therefore provide higher retention of monovalent ions and correspondingly lower fluxes. 303 In both cases, the flux increased significantly following PDA coating and returned to lower 304 values upon zwitterion brush formation. Ultimately, the values observed for the zwitterion-305 grafted membranes were slightly higher than those measured for pristine membranes.

306 Fig. 4C illustrates the fouling behavior (revealing itself as a decrease in permeate flux [63]) 307 of the membranes during the dead-end NF process for feed streams coinciding with the 308 effluents of integrated coagulation-UF pre-treatment. Grafting of SBMA greatly improved the 309 resistance to foulant deposition and to flux reduction. While the water flux was reduced 310 compared to the membranes with only PDA attached, the performance of the membranes 311 modified with PSBMA brushes was maintained for a longer period of time. The effect of VNF1 modification was significantly better than that of NF90, consistent with the results of 312 313 FTIR and previous literature reports [13], likely due to the different chemistry of the 314 polyamide constituting the two membranes. The flux measured by deploying the VNF1-315 PSBMA membrane was nearly constant during the filtration test, indicating negligible or 316 inconsequential deposition of foulants on these samples.

It is usually difficult to draw an overall profile of organic pollutants in shale gas wastewaters and their process variations. Three-dimensional excitation-emission matrix (EEM) fluorescence spectroscopy was used to analyze fluorescent organics compositions in a complicated system such as the SWG of this study, by simultaneously varying the excitation and emission wavelengths in real time (**Fig. 5**) [64]. As detailed in our previous study [29],

322 the fluorescence regional integration (FRI) method allowed classification of the organic 323 compounds in five domains: region I (Ex/Em = 220-250/280-330 nm, aromatic protein), 324 region II (Ex/Em = 220-250/330-380 nm, aromatic protein), region III (Ex/Em = 220-325 250/380-480 nm, fulvic acid-like matters), region IV (Ex/Em = 250-440/280-380 nm, 326 soluble microbial by-product-like matters), and region V (Ex/Em = 250-400/380-540 nm, 327 humic acid-like components). According to the FRI under the EEM corresponding to each 328 region volume, almost no removal of dissolved organic matter was achieved after coagulation, 329 while the hybrid UF process mainly remove fulvic acid-like components, humic acid-like 330 components, and phenolic compounds (Fig 6B). The fraction of dissolved organics removed 331 by NF90 membrane was 94.1% for region III, 93.5% for region V, 87.7% for region II, 74.6% 332 for region IV, and 37.9% for region I. Larger removal rates were observed for the modified 333 NF90-PSBMA membranes, but in the same order: III (96.3%), V (95.4%), II (91.2%), IV 334 (88.7%), I (83.7%). The same order of removal rate was also determined for VNF1 samples, 335 with a higher rate relative to the modified membrane with respect to the pristine one. 336 Specifically, while the removal rate of dissolved organics by VNF1 was III (85.8%), V 337 (84.2%), II (78.6%), IV (51.1%), I (8.2%), that provided by VNF1-PSBMA was III (93.7%), 338 V (91.7%), II (90.5%), IV (85.5%), I (80.3%). These results and those presented in Fig. 5 339 clearly shows that the modified membranes improved the removal rate of organic matter in 340 each of the areas, but more markedly that of protein-like organic matter, which may be due to 341 the combination of increased membrane density and hydrophilicity following the surface 342 modification.

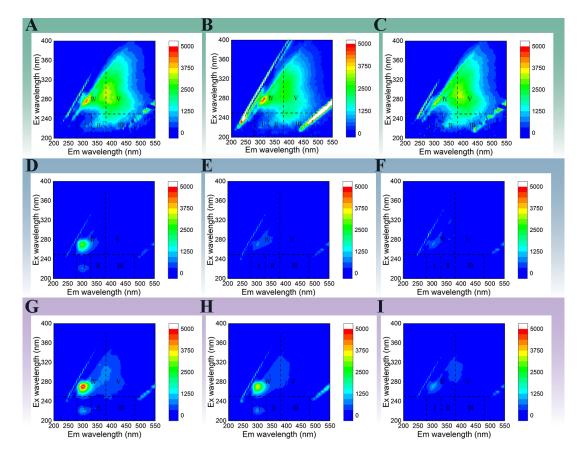


Fig. 5. Fluorescence excitation-emission matrix (EEM) of effluent water from each treatment
process: (A) Raw water; (B) Coagulation; (C) Ultrafiltration; filtration through (D) NF90, (E)
NF90-PDA, (F) NF90-PSBMA (G) VNF1, (H) VNF1-PDA, or (I) VNF1-PSBMA
membranes. (I: tyrosine protein-like substances, II: tryptophan protein-like substances, III:
fulvic-like substances, IV: soluble microbial byproducts, V: humic-like substances.)

343

350 3.4. Membrane-foulant physicochemical interactions

Extended XDLVO calculations are an accepted method to account for the interfacial forces between two surfaces at the microscopic scale [65]. In this paper, this theory was applied to investigate the main forces acting between the NF membranes and the SGW colloids. The contact angles of water, glycerol, and diiodomethane on the membrane surface and when in contact with a layer of SGW colloids are summarized in **Table S2 (SI)**. The resulting surface energy components $(\gamma^{LW}, \gamma^+, \gamma^-)$ were calculated using **eqs. 4-6**, and the

results are shown in Table 2. ΔG_{mlf}^{LW} and ΔG_{mlf}^{AB} were thus obtained with eqs. 8 and 9, 357 respectively, and the results are also presented in Table 2. Following surface grafting of the 358 zwitterionic polymers SBMA, the electron acceptor component (γ^+) slightly increased, while 359 360 the electron donor component (γ^{-}) increased importantly. This phenomenon is rationalized 361 mainly with the fact that the -SO₃ group of SBMA is negatively charged in water, and can 362 enhance the electron donating capability, hence the hydrophilicity of the membrane surface 363 [52]. Repulsion forces thwart the adsorption of contaminants on the membrane surface: the 364 more positive is the interaction energy, the more it is repulsive, and the membrane surface is 365 less likely to be fouled [66, 67]. Both AB and LW components of the membranes-SGW colloids interaction had positive sign, thus the total interaction free energy (ΔG_{mlf}^{TOT}) had 366 367 positive sign for all the membrane samples. Significantly, the surface grafting of SBMA 368 provided much more positive values of the total interfacial free energy, implying that the 369 zwitterionic SBMA brushes effectively reduced the likelihood of foulant adhesion on the 370 membrane surfaces. Furthermore, we found that the Lewis acid-base interaction energy (ΔG_{mlf}^{AB}) was much higher than the Lifshitzvan der Waals interaction energy (ΔG_{mlf}^{LW}) and that 371 ΔG_{mlf}^{LW} remained almost unchanged after surface grafting, while ΔG_{mlf}^{AB} increased significantly. 372 Therefore, the Lewis acid-base interaction energy (ΔG_{mlf}^{AB}) played the key role in controlling 373 374 membrane fouling.

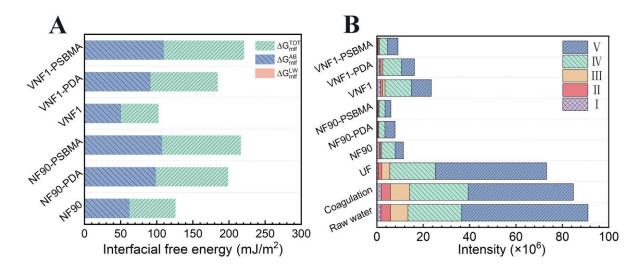


Fig. 6. (A) Total interaction energy (ΔG_{mlf}^{TOT}) , Lifshitz–van der Waals interaction energy (ΔG_{mlf}^{LW}) , and Lewis acid–base (AB) interaction energy (ΔG_{mlf}^{AB}) between the membranes and the SGW particles in aqueous solution; (B) Fluorescence region integral (FRI) results for samples from the effluent of each treatment process.

- **Table 2**

382 The surface tension parameters and interfacial free energy of all membranes and SGW
 383 particles (mJ/m²).

	Surface tension parameter (mJ/m ²)				Free Energy			
Item	$\gamma_{\rm L}^{\rm LW}$	$\gamma^{\rm L}$	γ^{+}_{L}	$\gamma_{\rm L}^{AB}$	$\gamma_{\rm L}^{\rm TOT}$	$\Delta G^{\rm LW}$	ΔG^{AB}	ΔG^{tot}
NF90	33.956	21.135	0.156	3.626	37.582	0.516	62.341	62.857
NF90-PDA	33.388	42.664	0.004	0.797	34.185	0.494	98.839	99.333
NF90-	30.249	49.483	0.002	0.568	30.818	0.370	107.746	108.116
PSBMA								
VNF1	33.608	20.041	2.224	13.354	46.961	0.502	50.695	51.198
VNF1-PDA	33.759	37.450	0.005	0.899	34.659	0.508	91.573	92.081
VNF1-	30.456	53.440	0.113	4.921	35.377	0.378	109.957	110.336

385 Conclusion

386 Zwitterionic SBMA brushes were grafted onto the surface of commercial NF membranes, 387 greatly improving the membrane antifouling ability and organic removal while retaining high 388 values of water permeability and only slightly decreasing the rejection rate of conventional 389 monovalent and divalent ions. The VNF1-PSBMA membrane exhibited very high 390 performance with pure water flux of 86.3 LMH, sodium sulfate removal rate of 95.67%, and 391 with the J/J_0 ratio upon fouling 73.54% higher than that related to the pristine VNF1 392 membrane at 50% recovery of SGW. All the dissolved organics were removed effectively by 393 the VNF1-PSBMA membrane, but especially the protein-like organic matter, which was not 394 rejected effectively by the pristine membranes. Antifouling and organic removal experiments 395 showed that the modification of membranes with grafted zwitterionic has high potential to 396 control membrane fouling and improve membrane performance in SGW reuse. This work 397 proposes a membrane modification that effectively affords better membrane performance, 398 particularly for the treatment of a highly complex wastewater from the shale gas industry, 399 thus providing a successful exploration of modified membrane materials and industrial 400 applications.

401

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Graphical abstract