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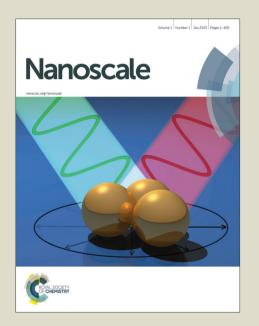
WO3 Nanorolls self-assembled as thin films by hydrothermal synthesis

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ARTICLE TYPE

WO₃ Nanorolls Self-Assembled as Thin Films by Hydrothermal **Synthesis**

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We report a Novel type of WO₃ nanostructure, i.e. nanorolls obtained as self-assembled thin film on a transparent conductive substrate. The mild conditions of preparation, avoiding the use of HCl, result in a eco-friendly hydrothermal 10 method with reduced crystallization time. FESEM and HR-TEM show that WO₃ nanocrystals are made of rolled nanoflakes with a telescope-like appearance in their tip. For their nano-porosity, electrochemical accessibility, good adhesion to substrate and the envisaged presence of 15 nanocavities between WO₃ layers, these material hold tremendous promise for nano-electronics, electrochromic devices, water photo-splitting cells, Li-ion batteries and nanotemplated filters for UV radiation.

Nanostructured thin films of WO₃ hold currently a pivotal role in 20 different fields as energy efficient buildings^{1,2}, flexible power saving displays³, light driven water oxidation^{4,5},Li-ion batteries⁶ and nano-electronics⁷. Tungsten oxide has been one of the first and more widely studied electrochromic inorganic materials ^{8,9}. In the last years several types of WO₃ nanocrystals have been 10,11,12,13,14,15,16 . A simple and customizable 25 developed solvothermal technique to prepare WO3 nanowires and nanoflakes arrays directly on Fluorine-doped tin oxide was recently reported by Su¹⁷. In the last months¹⁸ the possibility to avoid the seed layer pre-deposition has been also demonstrated 30 by the use of WO₃•0.33H₂O; another method to introduce easily Metal nanoparticles in Urchin-like WO3 nanostructures was recently demonstrated¹⁹. In the direction of the flexibility on the nano layer, very recently wearable electrochromic devices were obtained by depositing WO₃ on a layer of silvers nanowires²⁰. 35 Starting from the recent advances in the hydrothermal (HT) preparation of WO₃ nanoflakes, we report a novel type of electrochromic nanocrystal, namely WO3 nanorolls (NR), grown in two steps as thin films on FTO/glass substrate. WO₃ Nanorolls are fibrous multi-layer structures with nano-cavities between 40 single foils and envisaged multiple exploitation directions in nano-electronics, water photo-splitting cells, Li-ion batteries and nano-templated filters for UV radiation. The previously unreported nanostructure has been obtained by modifying the Su method¹⁷. It is worthy to note that in general in HT preparation 45 small changes in pH, reactants concentration, reaction time and temperature can affect substantially the nanocrystal growth. Here starting from Su method, the WO3 NR were obtained

avoiding the use of HCl (pH=1 instead of -0.73) and by limiting the reaction time to 3 h instead of 6 resulting in a eco-friendly 50 and quick HT process. The nanoscale morphology of WO₃ NR is reproducible and can be tuned by changing the polyvinyl alcohol (PVA) chain length during seed layer preparation as discussed in detail below. In the first step, a sol-gel method was adopted to produce a WO₃ seed layer on the FTO/Glass surface, which is 55 fundamental to address the geometry of crystallization and the pattern of substrate coverage. 1.25 g of H₂WO₄, 0.5 g of PVA (from Sigma-Aldrich), and 10 mL of 50% H₂O₂ were mixed and stirred for 30 minutes at room temperature, producing a yellow colloidal solution. This solution was spin-coated at 100 rps into 60 FTO/glass substrate; the sample was then heated at 500 ℃ for 2h to remove the organic component and to decompose $(PVA)_2[WO_2(O_2)_2]$ precursor to WO_3 . The second step consisted in the in-situ Hydrothermal growing of WO3 nanorolls on the WO3 seed layer in mild conditions. 15 ml of Tungstic acid 65 (H₂WO₄) 0.05 M, 12.5 ml of distilled H₂O, and 0.150 g of oxalic acid were added to 60 ml of acetonitrile. The raw materials were mixed and stirred at RT for 10 minutes. The resulting solution (pH = 1) was poured into the vessel of a Teflon lined autoclave. The WO₃/FTO/glass substrates were then placed in the reaction 70 vessel with the WO₃ seed layer facing upward at 180 °C for 3 h. The samples were then cleaned with ethanol and distilled water, and dried at room temperature for 1 h (See Figure S1 for the typical layer by layer FESEM cross section). FESEM pictures (Figure 1) show that the sample has fibers few hundreds of 75 nanometers long in a moderate parallel organization with the fibers pointing approximately up in a narrow size distribution. At large magnification is evident that these fibrous structures appear as discontinuously rolled sheets that can be called nanorolls. Powder XRD revealed that WO₃ NR are composed of hexagonal 80 WO₃, with some reflections coming from the FTO substrate (Figure S2). Refinement of the hexagonal WO₃ cell yielded a 0.734(2) nm, c = 0.763(2) nm and V = 0.356 nm³. A cell with halved c lattice can be also fitted by HR-TEM show that a faint disordered c periodicity at 0.763 nm. observations. Figure 2a 85 shows HR-TEM images of a nanoroll fragment. Most of nanorolls are formed by multilayer aggregates. Fourier transform of images show that nanorolls are elongated along [001] and have [100] parallel to the nanoroll surfaces. Streaking along [100] is related to the curvature of the foils. When the nanoroll fragments 90 are thinner a more ordered pattern is observed (see FFT inset in Figure 2b obtained from the lower left image, where faint 001

periodicities are observed).

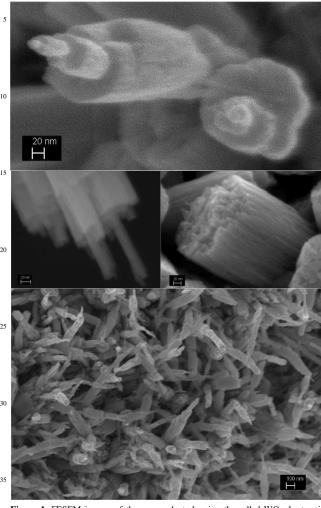


Figure 1. FESEM images of the run product showing the rolled WO_3 sheets with envisaged nanocavities between layers.

⁴⁰ After few minutes of observation some thin layers may eventually detach (black arrow in upper right image in Figure 2b). A previous work suggested that Li⁺ is incorporated through migration along [001]-hexagonal channels ^{21,22}. More recently it has been hypothesized that Li⁺ is located in square windows ²⁵ perpendicular to hexagonal windows²³. The observed orientation of the foils is consistent with a large exposure of square windows to the external areas of the nanorolls, increasing the possibility of Li⁺ exchange.

The effect of the preparative conditions on the single WO₃ nanoroll morphology has been investigated by FESEM imaging; three different PVA chain lengths have been tested in seed layer preparation i. e. 31000-50000, 85000-124000 and 146000-186000 UMA. To try measuring the diameter and length of single WO₃ NR the samples have been observed in two different ways i.e. as obtained on FTO glass and by scratching away the thin layer on Lacey Carbon/200 nm Cu mesh (SPI Supplies). In particular the estimation of the length of a single nanoroll fiber is quite difficult. If we consider the prepared sample in fact the

single NR are quite tangled with a prevalent upright orientation preventing the possibility to estimate their length (Figure 1). Additionally if one try to isolate single fibers by removing mechanically the thin

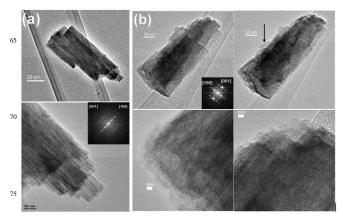


Figure 2. HR-TEM images of nanoroll fragments: (a) detail showing superimposed foils with disordering along [100] due to curvature; (b) another nanoroll fragment showing thinner areas in which is possible observe ordered areas – after observation, so from the nanoroll fragment (upper left) a foil is detached (marked with a black arrow in upper right).

layer from the underlying FTO substrate, nanorolls fibers are fragmented (see Figure S3). Anyway an approximate estimation 85 of the diameter and length of NR as function of the PVA chain length during HT preparation has been obtained by at least 20 different single quite well visible NR (see Figure S4) and reported in Table S1. It is interesting to note that in general nanorolls fibers show two different regions i.e. the portion close 90 to substrate that we call log and the lower diameter ending segment i. e. the tip. Due to this spontaneous segmentation the diameter and length of the log and the tip are indicated separately in table S1. The effect of the PVA chain length on the morphology of the single WO₃ NR is well visible in Figure 3 and 95 S3. By increasing PVA molecular weight the seed layer is progressively more rough as evidenced by the lower stability of nanostructured layer that is more easily detached during electrochemical testing. In fact the NR log tend to reduce is diameter (about 100 nm) affecting the adhesion to substrate and 100 the tip is not clearly distinguishable while NR fibers tend to be longer (900 to 1200 nm ca.). When the lower molecular weight PVA is employed (Figure S3 left) the NR log diameter is larger (ca. 200-250 nm) the overall fiber length is reduced (500-600 nm) and the log/tip length ratio is high; in this case due to the 105 enhanced surface of contact with the substrate and to the solidity of the massive logs the thin film stability is much higher. For intermediate PVA chain lengths interestingly the thin tip appears longer (diameter 20-40 nm) with respect to log (diameter of 100-150 nm, Figure 3). The WO₃ NR sheet resistance R_s has been measured by a four collinear, equally spaced contacts²⁴. The total measured resistance R_T = 8.06 Ω /sq, is due to the parallel between the WO₃ NR film and the conducting substrate resistance. Taking into account the measured substrate resistance (glass with FTO) R_{FTO} = 13.73 Ω /sq, the WO₃ NR sheet resistance is R_{NR} =19.5 Ω/sq , and is the same for all the three PVA chain lengths showing interestingly a moderate lateral conductivity probably supported

by the tangled structure of NR. The electrolyte ionic conductivity (R_{EL}) and the WO₃ NR/FTO thin film polarization resistance (R_{POL}) were determined by electrochemical impedence spectroscopy analysis at ambient temperature in PC 1M LiTFSi 5 solution (See Figure S5 for the typical Nyquist Plot). By analysing samples with different PVA chain length, values of $R_{EL} \!\!= 25\text{-}35~\Omega$ and $R_{POL} \!\!= \!\!120\text{-}200~\Omega$ were estimated.

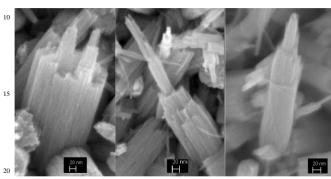


Figure 3. FESEM pictures showing the effect of PVA chain length on the substructure morphology of a nanoroll fiber. PVA molecular weight is increasing from left to right.

25 The typical Cyclic voltammogram of WO₃ Nanorolls on FTO substrate in 1 M LITFSI/PC electrolyte is shown in Figure 4. The shape and position of the oxidation and reduction processes are in good agreement with the literature²⁵ with a well-defined oxidation peak and resistance-like i vs. E curve in the region 30 where Li⁺ insertion occurs, with a change of electrode coloration from transparent to blue well visible by naked eye. The reader can easily see the effect of the length of the nanorolls on the shape of oxidation peak in Figure 4. When a higher Molecular weight PVA (85000-124000 M.W.) is employed in seed layer 35 preparation the resulting nanorolls are longer than those obtained by using lower M. W. PVA (31000-50000 M.W.).

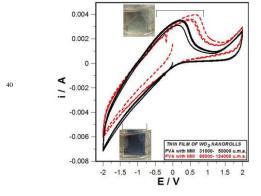


Figure 4. Cyclic Voltammetric curve of WO3 Nanorolls film on FTO with typical 45 color appearance and effect of the length of the nanowires on the shape of oxidation peak. Electrolyte: LiTFSI 1 M in PC. Electrodes setup: WO3 NR on FTO as Working, Platinum plate as counter and Ag/AgCl electrode as reference. Scan rate : 0.1 V/s.

As confirmation the samples employed in CV were observed at 50 FESEM showing a thickness of the WO₃ nanorolls layer of 807 nm and 360 nm respectively (Figure S1). When the WO₃ fibres are longer their oxidation peak in CV is broadened toward the

region of positive potentials; this could be due to the presence of a higher amount of WO3 redox centres that are more distant from 55 the FTO layer with a different electrochemical neighbourhood. To monitor the effect of the Li⁺ insertion and de-insertion on the UV-VIS light absorption, the typical spectrum of WO₃ nanorolls on FTO glass has been registered in 1 M LiTFSI PC solution after biasing for 1 minute at different potential (Figure 5 left). The 60 UV-VIS spectrum was recorded at potentials ranging from 0 V to -2.5V (vs. Ag/AgCl). In the negative potentials region, the electrode becomes progressively more intensely coloured (T% decrease), as it is clearly evident from ΔT% in 350-1000 nm interval. The change in colour was already detectable by naked 65 eye at -1V; with a bias of -2 V the colour change was almost complete. To estimate the switching times, steps of negative and positive potential have been sequentially applied for several cycles with the following program: $E_1 = +0$ V, $E_2 = -2$ V vs. Ag/AgCl; $t_1=t_2=40$ s (Figure 5 Right). According to the 70 definition given in S. I. we found for nanorolls/FTO electrodes $ST_B=8$ s and $ST_C=9$ s. The differences between ST_B and ST_C are in general due to surface charge effect on the rate of Li+ intercalation/extraction²⁵. The results seems to indicate that the surface charge of WO₃ nanorolls is positive and there is a slight 75 repulsive electrostatic field that slow down Li⁺ insertion and facilitate Li+ extraction from WO3 thin layer." To test the durability of electrochromic performances the effect of 2000 cycles on the switching time and contrast of the WO3 NR electrode has been studied in 1M LiTFSi PC solution by 80 summarizing the basic opto-electrochemical features in Table S2. The experiments evidenced the stability of the switching times and an acceptable decrease of contrast (ca. 80 % of initial Δ T%(550 nm) and Δ T%(700 nm) after 2000 cycles) suggesting a certain structural stability of the thin layer during prolonged 85 electrochemical switching in solution.

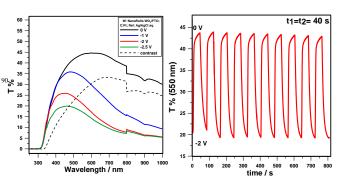


Figure 5. Spectroelectrochemical features of WO₃ NR thin film. (Left) Typical 95 Spectrum vs. E; (Right) T%(550) vs. time for ten cycles of bleaching and colouring. Electrolyte: LiTFSI 1 M in Propylene Carbonate. Electrodes setup: Nanorolls film on FTO as Working, Platinum plate as counter and Ag/AgCl electrode as reference. Finally the coloration efficiency was measured at 700 nm for the three types of WO₃ NR (Low, middle and high 100 M.W. PVA) and compared with WO₃ nanoflakes prepared by us with the Su method¹⁷. The results are summarized in a Table S3. For WO₃ NR \mathbf{n} was found in the range of 39-67 cm²C⁻¹ very similar to that of nanoflakes of 60 cm²C⁻¹ showing the low energy consumption of the novel nanocrystalline thin layers.

Conclusions

In summary novel nanocrystals i.e. WO₃ nanorolls were self-assembled on FTO/glass by a quick and HCl free hydrothermal synthesis. The WO₃ nanorolls, as evidenced by FESEM and HR-TEM analysis are fibrous nano-structures made of rolled nanoflakes with a telescope-like ending portion. Due to their nano-porosity, excellent electrochemical accessibility and to the envisaged presence of nanocavities between the rolled layers, the thin films of WO₃ nanorolls obtained here hold tremendous promise for nano-electronics, electrochromic devices, water photo-splitting cells, Li-ion batteries and nano-templated filters for UV radiation.

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- 25 \dagger Electronic Supplementary Information (ESI) available: Characterization Techniques; Additional FESEM micrographs; Typical XRD pattern of WO $_3$ nanorolls thin film; Typical Nyquist Plots at ambient temperature; Indicative diameter and length of WO $_3$ NR by varying PVA chain length; Effect of 2000 cycles of electrochemical switching on the ST $_B$, ST $_C$ and 30 $\Delta T\%$; Coloration Efficiency of the WO $_3$ NR.

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85 Graphical Abstract:

