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Article

# Digital volume correlation applied to X-ray micro-tomography images in uniaxial creep tests on anisotropic clayey rock

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**Abstract:** Creep tests are commonly performed to characterize time-dependent deformation of geological materials. Classical measuring methods are not suitable for long term tests and not able to provide full three-dimensional strain fields. In this study, Digital Volume Correlation (DVC) is applied to X-ray micro-tomography (XRMT) images from creep tests on a hard clayey rock. *In situ* uniaxial compression creep tests are performed under different levels of stress and with different loading orientations with respect to the structural anisotropy of rock. Based on the XRMT images taken during the creep tests, DVC is applied to computer the full three dimensional strain fields and global averages strains of tested samples. The effects of bedding planes and hard inclusions are the non-uniform distribution of strains are analyzed.

**Keywords:** X-ray micro-tomography; Digital Volume Correlation; Creep strain; Creep test; Clayey rocks; Structural anisotropy

## 1. Introduction

Creep deformation is commonly observed in geological materials, in particular in clayey rocks. Description of time-dependent behavior of these materials is an essential issue for long-term stability analysis of related structures. Creep tests are widely performed to characterize time-dependent deformation. Such tests are generally realized for a relative long period and sometimes under complex environmental conditions, for instance under controlled temperature and moisture content. On the other hand, most geological materials contain different kinds of heterogeneities such as mineral inclusions and pores. As a consequence, strain fields in these materials can be strongly non-uniform even at sample scale. Classical measuring methods such as strain gauges are not suitable for long duration tests and not able to provide non-uniform full strain fields.

During the last decades, different kinds of non-destructive techniques have been rapidly developed for the characterization of non-uniform deformation and micro-structural evolution. For instance, the optical microscopy, scanning electron microscopy and other techniques have been used for studying micro-structural evolutions at selected two-dimensional sections [1–5]. In order to get three-dimensional evolutions of micro-structure, the X-ray micro-tomography has been increasingly used in a very large range of materials from biological tissues to geological formations [6–10]. On the other hand, in order to computer quantitatively local strain fields from images obtained from the different kinds of observation techniques, the Digital Image Correlation (DIC) method has been

30 developed for two-dimensional images [11]. The DIC has further been extended to Digital Volume  
31 Correlation (DVC) for dealing with three-dimensional deformation processes [6,12].

32 In the present study, we shall apply the combination of DVC and X-ray micro-tomography  
33 to investigate creep deformation of the Callovo-Oxfordian (COx) claystone. This clayey rock is  
34 selected as a potential geological barrier for underground disposal of radioactive waste in France. In  
35 this context, the time-dependent behavior of claystone is a crucial feature, affecting the long-term  
36 thermo-hydromechanical responses of storage facilities [13? –16]. On the other hand, the claystone has  
37 also complex mineralogical compositions and its macroscopic mechanical properties can significantly  
38 vary with the mineralogy [16,17]. Due to the diagenetic history, most sedimentary rocks contain  
39 sub-horizontal bedding planes. This generally leads to a transversely isotropy on both mechanical and  
40 transport properties at the macroscopic scale [18–24].

41 In addition to macroscopic studies mentioned above, a number of works have also been conducted  
42 to studying deformation and cracking processes in clayey rocks, by using different types of imaging  
43 methods and the DIC or DVC. For instance, some studies have been devoted to the three-dimensional  
44 strain characterization of the COx claystone in uniaxial or triaxial compression tests [10,25,26]. By  
45 considering heterogeneities of different scales, it was found that the deformation of the COx claystone  
46 was dominated by the clay matrix [9]. Interface debonding and crack growth inside the clay matrix  
47 have been highlighted in [21,27] during drying and hydration processes. Some authors have used  
48 a combination of Scanning Electron Microscopy (SEM), Broad Ion Beam (BIB) polishing and DIC to  
49 investigate the evolution of microstructure in deformed samples of the COx claystone [5]. Recently,  
50 the dynamics of water absorption in the COx claystone was revealed by using multi-modal X-ray and  
51 neutron tomography [28]. However, few studies have so far been devoted to the characterization of  
52 non-uniform local strain fields during creep tests in the COx claystone. In this study, a series of new *in*  
53 *situ* creep tests are performed by using a specially designed device. Different stress levels are applied  
54 on the samples with three different loading orientations with respect to the bedding planes. X-ray  
55 micro-tomographic images are taken during each creep tests. Based on these images, non-uniform  
56 local strains fields and global averaged strains of tested samples are calculated by using DVC. The  
57 effects of materials anisotropy on the creep deformation are analyzed.

## 58 2. X-ray micro-tomography and digital volume correlation

### 59 2.1. X-ray micro-tomography

60 X-ray micro-tomography is a versatile and non-destructive imaging tool that aims at obtaining  
61 a three dimensional map of X-ray absorption coefficient of the material components. Basically, the  
62 sample is irradiated by a beam coming from an X-ray source. A generally planar detector measures  
63 the transmitted intensity. A 2D projection (radiograph) of the sample is therefore obtained, containing  
64 information on average attenuations along the different paths from source to detector through the  
65 material. Then, this acquisition is performed for various angles. Usually the sample is rotated  
66 a fraction of degree along its vertical axis until a 180 or 360-degree turn. The series of acquired  
67 2D radiographs allows a 3D reconstruction through different existing computational methods as  
68 filtered back-projection algorithm for instance [29]. The resolution of this 3D map of attenuation  
69 highly relies on the configuration of the performed acquisition: size of the sample, size of the  
70 focal spot, size of the detector, magnification used (ratio between the sample-to-detector and the  
71 sample-to-source distances)... In addition, the X-ray attenuation of a given constituent is linked  
72 to its chemical properties (atomic number and density) and to X-ray energy [30]. The attenuation  
73 contrast between the constituents should be high enough to be able to identify the microstructure.  
74 Acquisitions have been performed at the In Situ Innovative Set-ups under X-ray Micro-tomography  
75 (ISIS4D) platform [31] using a computed tomography system Ultra Tom<sup>R</sup> from RX Solutions. This  
76 device allows to scan a wide range of material samples and sizes (from hundreds of micrometres  
77 up to several tens of centimetres) under various loading conditions thanks to the different X-ray

78 generators, detectors, in situ devices and parameters of acquisition. For this study, the X-ray source is  
 79 a nanofocus X-ray tube from Hamamatsu. The operation voltage was set at 100 kV in order to discern  
 80 the constituents of the hard clayey rock. To achieve a voxel size of  $4.5 \mu\text{m}$  required to investigate its  
 81 microstructure and its evolution under creep, the filament current was set to  $45 \mu\text{A}$  (maintaining the  
 82 focal spot of the X-ray cone beam smaller than the resolution) and the geometrical magnification was a  
 83 compromise between diameter of the specimen and duration of each acquisition. The specimen, placed  
 84 the closest to the source, has a diameter limited to 5 mm, a flat-panel detector (1874x1496 pixels, pixel  
 85 size  $127 \mu\text{m}$ ) has been selected and 1440 radiographs were taken through a 360-degree turn. Six images  
 86 were averaged at a given angular position to reduced noise. The reconstruction of the tomographic  
 87 data is performed with a filtered back-projection algorithm using X-act<sup>R</sup> software.

## 88 2.2. Digital image and volume correlation

Digital Image Correlation (DIC) is a technique for measuring displacements on surfaces under different load conditions [32]. If grey scale conservation is assumed, the difference between taken pictures at different instants depends only on the displacement field:

$$f(\bar{x}) = g(\bar{x} + \bar{u}(\bar{x})) \quad (1)$$

89 with  $f(\bar{x})$  and  $g(\bar{x})$ , are respectively the reference and deformed states seen as scalars (grey levels) and  
 90  $\bar{u}$  is the displacement vector for each position  $\bar{x}$ . Finding the best  $\bar{u}$  field is achieved by minimizing the  
 91 optical flow equation (Eq. (1)) over the region of interest.

92 Digital volume correlation (DVC) approach corresponds to the extension of two-dimensional DIC  
 93 method in three dimensions [33]. In this study, DVC is carried out with YaDICs software, developed in  
 94 Laboratoire de Mécanique de Lille [34]. The platform is based on C++, and optimized to process 3D  
 95 volumes in a reduced time. To identify displacements, several parameters have to be defined: a metric,  
 96 a sampling, an interpolator, a transformation, an optimizer and finally a regularization method (Fig. 1).  
 97 More details can be found in [34].

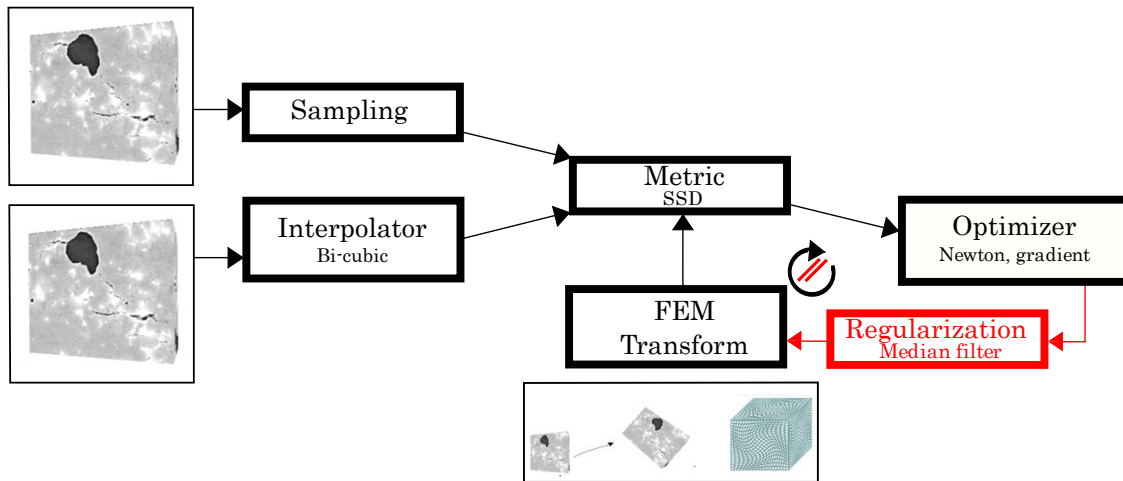


Figure 1. Different steps of the DVC process with YaDICs software [35].

Displacements can be searched using a decomposition on a discrete basis:

$$\bar{u}(\bar{x}) = \sum a_n \bar{\psi}_n(\bar{x}) \quad (2)$$

98 with  $a_n$ , the sought degrees of freedom and  $\bar{\psi}_n$ , the shape functions. In this study, finite elements  
 99 method shape functions are used [36], providing a continuous displacements field on the whole studied

100 volume. An interest is to present the same formalism for numerical simulations so that interpolation  
 101 errors can be reduced for material parameters identification for example [37].

102 A multi-scale resolution strategy is adopted for the DVC. This pyramid scheme reduces the  
 103 problem size and thus avoids some local minimum traps. In the present case, six scales (or resolutions)  
 104 are employed; the coarsest one is the 'scale 5' with one 'macro' voxel, which is averaged over  $2^5 \times$   
 105  $2^5 \times 2^5$  voxels while the full resolution image corresponds to the 'scale 0' (Figure 2).

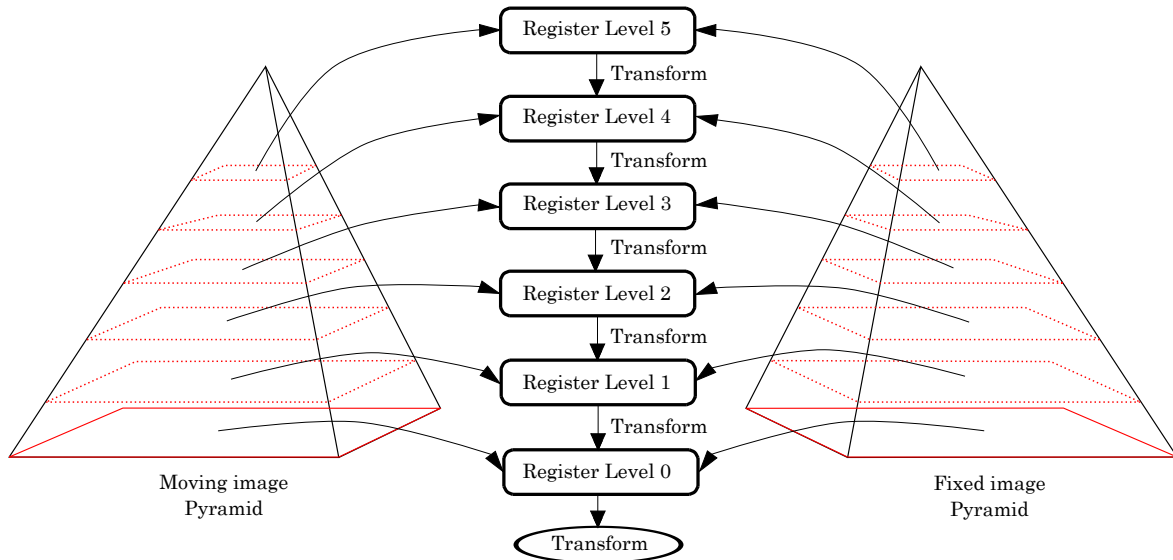


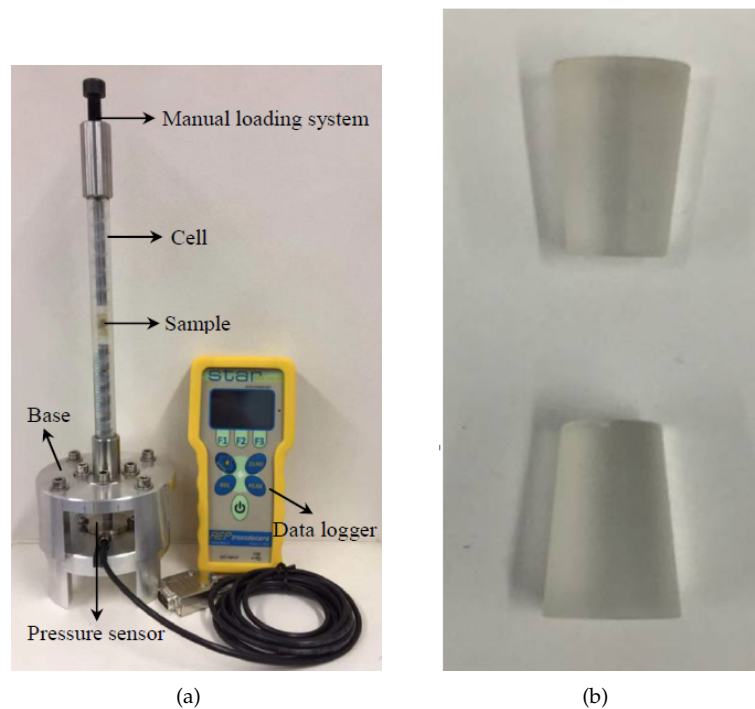
Figure 2. Pyramidal process employed in YaDICs.

106 In this study, DIC procedure is achieved with  $8 \times 8 \times 8$  pixels windows ensuring a good spatial  
 107 resolution thanks to the good natural speckle pattern of the studied material (claystone) in X-ray  
 108 micro-tomography. This size of correlation window thus helps to have a fine description of localization  
 109 phenomena in the material while having a moderate uncertainty, i.e. less than 0.1 voxel. Finally, the  
 110 YaDICs software enables a regularization with a median filter to limit uncertainties. Considering a  
 111 set of pixels including an aberrant value, the median filter will sort the values in ascending order to  
 112 determine the median value. It allows the outlier to be replaced by a consensus value for neighbouring  
 113 values [38]. The median filter thus reduces measurement uncertainties in images correlation while  
 114 maintaining discontinuities [39].

### 115 3. Experimental program

#### 116 3.1. *In situ* creep test device

117 A special loading system is designed in order to carry out *in situ* uniaxial compression creep  
 118 tests with X-ray micro-photography imaging [40]. As shown in Figure 3(a), the experimental system  
 119 includes a manual axial loading frame, a long transparent tube, a stiff base, a force sensor and a data  
 120 logger. The transparent tube is made of polycarbonate with a high X-ray transmission and a good  
 121 mechanical stability. The use of such a long tube allows placing the sample as close as possible to  
 122 the X-ray source in order to obtain a high resolution. The range of the force sensor is 100 kg and its  
 123 accuracy is about 0.01 kg. In order to reduce the friction at the top and bottom surfaces of sample and  
 124 also to obtain clear boundaries in X-ray scanning, the tested sample is placed between two transparent  
 125 polycarbonate pistons, as shown in Figure 3(b). The axial force is manually applied with a slow rate.

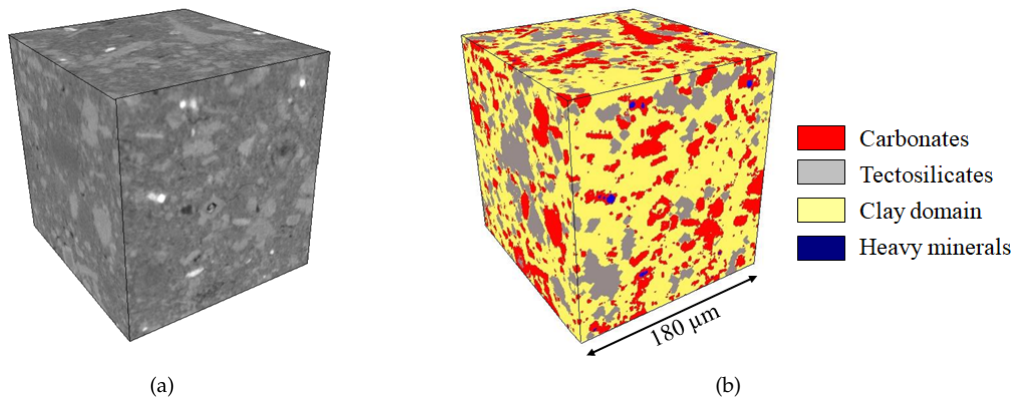


**Figure 3.** (a) Overall view of *in situ* creep test system, (b) Translucent polycarbonate pistons [40].

### 126 3.2. Tested material

127 The geological material tested in this study is the Callovo-Oxfordian (COx) claystone, which is  
 128 investigated in France as a potential geological barrier for underground disposal of nuclear waste  
 129 [15,41]. Cores used in this study were drilled at the Underground Research Laboratory (URL) at Bure  
 130 at a depth of about 500 m, operated by Andra (French National Agency for management of radioactive  
 131 waste). The COx claystone is mainly composed of clay minerals (illite, montmorillonite, kaolinite  
 132 and a small amount of chlorite), carbonate and quartz particles. The clay content is about 40-45%,  
 133 the carbonate (mainly calcite) 25-35% and the quartz 30%. Other secondly minerals, namely feldspar,  
 134 mica and pyrite, can also be found [7,42]. The COx claystone has complex multi-scale structures. As a  
 135 first approximation, two scales are generally considered as relevant for the description of mechanical  
 136 behavior. At the so-called mesoscopic scale (up to *mm*), carbonate and quartz particles are distributed  
 137 in an almost continuous clay-rich matrix [7,26,42]. The average size of these particles is generally  
 138 smaller than 100  $\mu\text{m}$ . An example of 3D image by synchrotron X-ray micro-tomography is shown  
 139 in Figure 4. Then at the microscopic scale (less than 1  $\mu\text{m}$ ), the clay-rich matrix is composed of clay  
 140 particles and pores between them. Therefore, the clay matrix is seen as a porous medium. Similar  
 141 structures are also found in other clay-rich rocks [43,44].

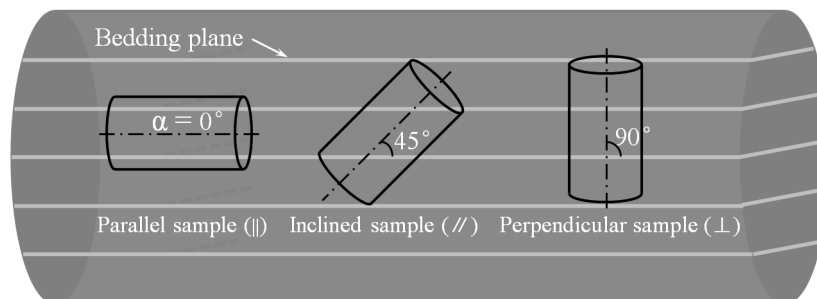
142 In the present study, the emphasis is put on the measuring of creep strain field at the mesoscopic  
 143 scale. At this scale, for most sedimentary clayey rocks, a layered morphology is generally observed  
 144 with the presence of so-called bedding (or sedimentation) planes. Indeed, after the initial deposition,  
 145 the sediment is gradually transformed into hard rock by physical (compaction, dehydration), chemical  
 146 (precipitation, dissolution, epigenization) and biological (bacterial action, bioturbation) processes  
 147 [45]. These diagenetic processes cause a change in the initial arrangement of sediment particles. As  
 148 a consequence, most sedimentary rocks exhibit a transversely isotropy on thermal hydraulic and  
 149 mechanical properties [19–22,24].



**Figure 4.** (a) 3D image by synchrotron X-ray micro-tomography (with a spatial resolution of  $0.7 \times 0.7 \times 0.7 \mu\text{m}^3/\text{pixel}$ ), (b) 3D distribution of a simplified mineralogy [7,42].

### 150 3.3. Samples preparation

151 The cylindrical samples used in this study have a diameter of  $\sim 5$  mm and a height of  $\sim 10$  mm.  
 152 They are drilled from large cores conserved in special containers of about 79 mm in diameter and 300  
 153 mm in length (with Andre reference of EST58125) [46]. In spite of special cares taken to prevent the loss  
 154 of moisture, due to the small size samples and complex preparation procedure, the moisture content of  
 155 the samples were inevitably reduced, in average from  $\sim 7\%$  to  $\sim 4\%$ . The samples were successfully  
 156 drilled with three different orientations with respect to the bedding planes ( $\alpha = 0^\circ, 45^\circ$  and  $90^\circ$ ), as  
 157 shown in Figure 5, which are called the parallel sample ( $\parallel$ ), inclined sample ( $//$ ) and perpendicular  
 158 sample ( $\perp$ ) respectively in this paper.



**Figure 5.** Schematic illustration of sample preparation from initial big core and definition of loading angle between bedding planes and sample axis ( $\alpha = 0^\circ, 45^\circ, 90^\circ$ )

## 159 4. Creep strain fields and discussions

160 The initial 3D image of each tested sample is first taken by using X-ray micrography, and  
 161 considered as the reference configuration. The parallel and perpendicular samples are then successively  
 162 subjected to four steps of creep under constant axial stresses while the inclined sample to three creep  
 163 steps. Each creep step may last for several weeks. During each creep step, 3D images are taken  
 164 at different instances. The images obtained at the deformed configurations are compared with the  
 165 reference ones to computer the displacement and strain fields of tested samples. Some representative  
 166 results obtained are presented and discussed in this section, in relation with material heterogeneity.  
 167 However, with the resolution of ISIS-4D platform and the size of samples adopted here, only big  
 168 mineral particles can be identified in X-ray images.

169 All the tests are conducted in a air-conditioned room with a constant temperature of  $15 \pm 0.5^\circ\text{C}$ .  
 170 Further, the stress levels prescribed for different creep steps are calculated as ratios to the corresponding  
 171 uniaxial compression strength for three loading orientations. For this purpose, three preliminary  
 172 uniaxial compression tests are first performed to determine the peak strengths. It can be already

173 noticed that the uniaxial compression strength of the inclined sample is significantly lower than those  
 174 of the parallel and perpendicular samples for which the peak strength is almost identical. Therefore,  
 175 the failure strength of the COx claystone exhibits a clear anisotropy. As mentioned in many previous  
 176 studies [19,22,24], the small strength of the sample inclined at 45° is due to the fact that the sliding  
 177 along bedding planes enhances the failure of sample. Further, it is worth noticing that the values  
 178 of uniaxial strength obtained here are higher than the average value reported in previous works  
 179 [15,16,47]. The difference is probably due to the low moisture content of the tested samples. The  
 180 values of axial elastic modulus and Poisson's ratio are also given in Table 1. One can observe that  
 181 the elastic properties are also anisotropic. The axial elastic modulus decreases continuously from the  
 182 parallel to perpendicular directions. The kind of evolution is consistent with many previous studies on  
 183 sedimentary rocks [19,22,24]. The evolution of elastic modulus is generally related to compaction of  
 184 bedding planes.

**Table 1.** Elastic and strength properties of the COx claystone and stress steps for creep tests

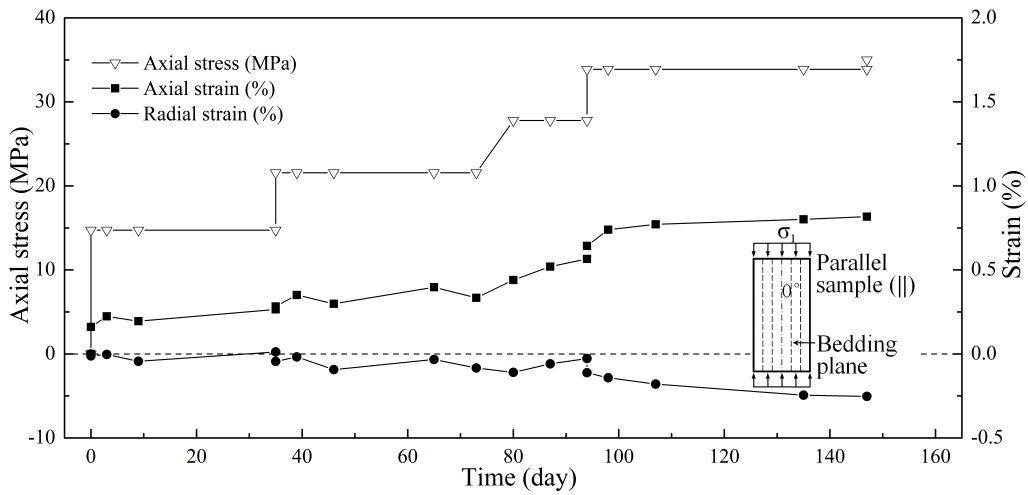
| Rock core | Direction | Diameter (mm) | Height (mm) | Creep steps | Failure stress $q_{peak}$ (MPa) | Stress ratios for creep tests $q_c / q_{peak}$ (%) | Axial elastic modulus $E$ (GPa) | Axial Poisson's ratio $\nu$ |
|-----------|-----------|---------------|-------------|-------------|---------------------------------|--|---------------------------------|-----------------------------|
| EST58125  |           | 4.87          | 10.65       | 4           | 35.0                            | 41%, 60%, 77%, 94%                                 | ~ 10.0                          | 0.18 – 0.20                 |
| EST58125  | //        | 4.84          | 10.88       | 3           | 27.7                            | 40%, 67%, 90%                                      | ~ 8.0                           | 0.15 – 0.24                 |
| EST58125  | ⊥         | 4.96          | 9.54        | 4           | 36.0                            | 40%, 60%, 70%, 90%                                 | ~ 6.3                           | 0.26 – 0.30                 |

#### 185 4.1. Evolution of average strains

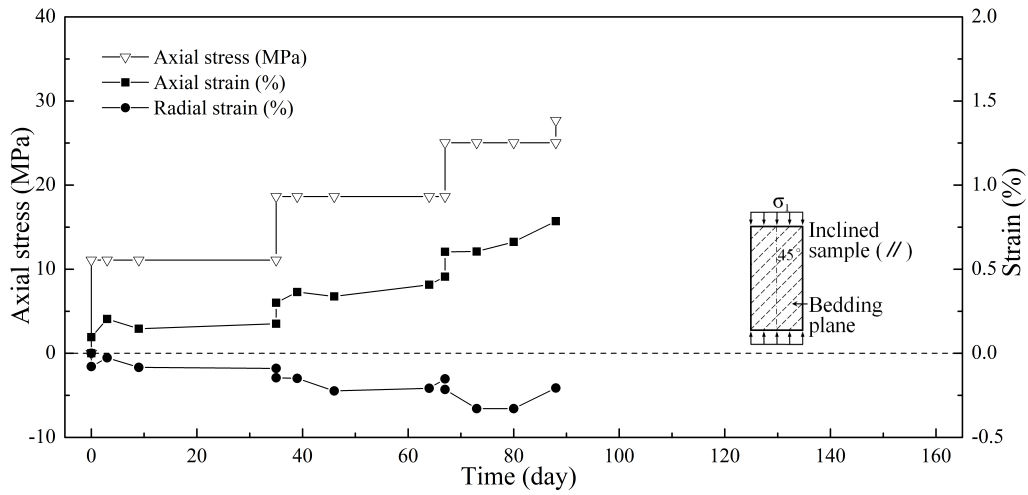
186 The DVC is applied to the X-ray micro-tomographic images obtained at the different steps of  
 187 creep tests. This allow computing three-dimensional strain fields of all tested samples. From these  
 188 fields, the macroscopic strains of each sample are calculated as volumetric averages of full strain field.  
 189 In Figures 6, the evolutions of both axial and radial strains with time are presented for three samples  
 190 with different orientations.

191 At first sight, the strains evolutions are clearly different between three samples, indicating a strong  
 192 anisotropy of creep behavior of the COx claystone. Comparing the parallel and perpendicular samples  
 193 (see Figures 6(a) and 6(c)), despite the very similar peak strength of these two samples, the axial strain  
 194 of the perpendicular sample (⊥) is almost two times larger than that of the parallel one (||), both for  
 195 the instantaneous strain when the axial stress is increased and the creep strain under the constant  
 196 stress. This difference is clearly related to the progressive compaction of bedding planes under the  
 197 axial stress in the perpendicular sample. It is also interesting to compare the radial strain between  
 198 these two samples. Under low stress levels, the radial strain of the perpendicular sample is smaller  
 199 than that of the parallel one. It is even compressive (positive value) during the first step of loading.  
 200 This means that due to the important compaction of bedding planes, the application of axial stress  
 201 does not induce extensive radial strain. The sample exhibits a compaction in both the axial and radial  
 202 direction. When the prescribed axial stress becomes higher, the radial strain becomes extensive and  
 203 more and more larger. During the third and fourth loading steps, the radial strain of the perpendicular  
 204 sample becomes larger than that of the parallel one, due to its higher creep deformation. As mentioned  
 205 above, only three loading steps are realized on the inclined sample due to the sample failure at the  
 206 third step. Its axial strain is larger than the parallel sample and smaller than the perpendicular one. For  
 207 this sample, the compressive axial stress can generate the time-dependent sliding of bedding planes,  
 208 enhancing the creep deformation of sample. As a consequence of inclination of bedding planes, the  
 209 radial strain is also amplified. Therefore, the radial strain of the inclined sample is larger than those of  
 210 two other samples. From these results, one can remark that both the instantaneous and creep strains of  
 211 the COx claystone are significantly dependent on the loading orientation and the motion of bedding  
 212 planes (compaction or sliding) plays an essential role in the deformation process of material.

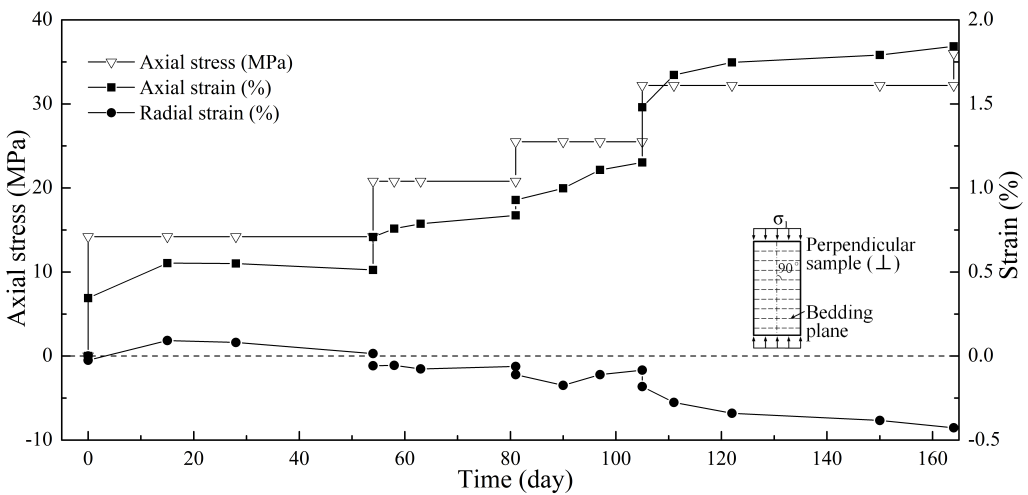




(a) Evolutions of strains of the parallel sample (||)



(b) Evolutions of average strains of the inclined sample (//)



(c) Evolutions of average strains of the perpendicular sample (⊥)

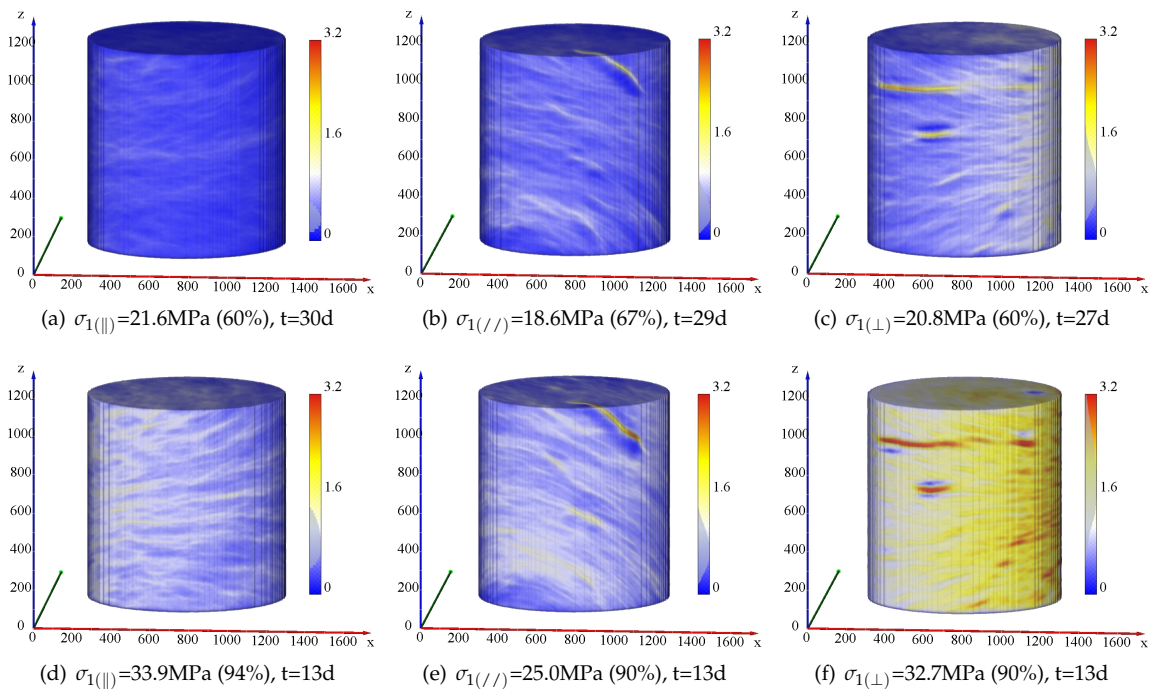
**Figure 6.** Evolutions of average axial and radial strains during *in situ* creep tests on three samples with different loading orientations

## 213 4.2. Non-uniform strain fields

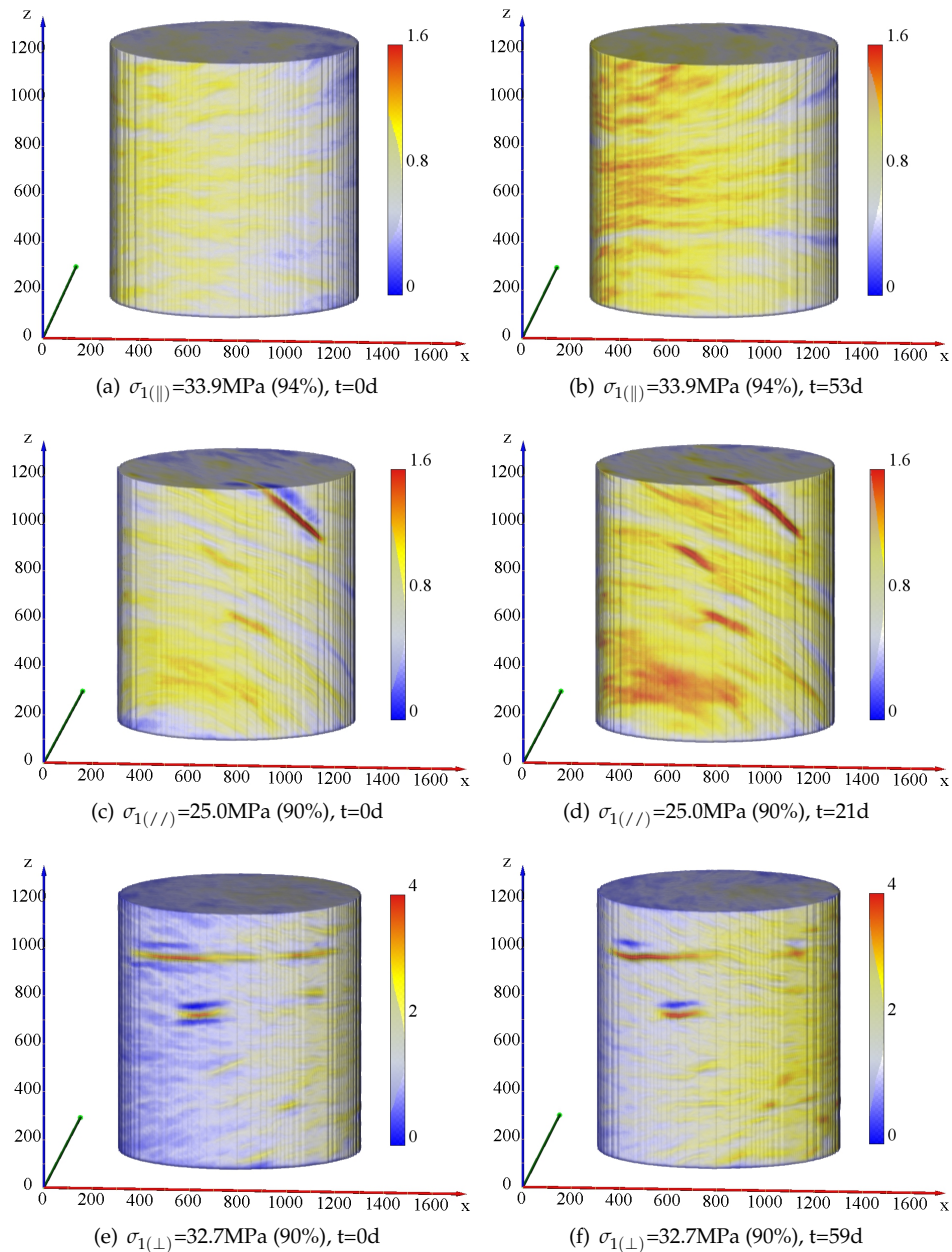
214 As an important advantage with respect to classical measuring techniques, the application of  
 215 DVC to X-ray tomographic images allows the computation and analysis of non-uniform strain fields  
 216 inside samples. In Figure 7, one shows the full axial strain fields in the three samples under two similar  
 217 stress levels and time periods, respectively at 60%-67% and 27-30 days, and 90%-94% and 13 days.

218 As a common feature of three tested samples, the axial strain fields are strongly non-uniform.  
 219 More precisely, the axial strain fields show a clear layered distribution. But the concentration degree  
 220 and orientation of strain layers differ between the three samples. As mentioned above, this layered  
 221 distribution of axial strain is inherently related to the motion of bedding planes. For the perpendicular  
 222 sample ( $\perp$ ), as the bedding planes are strongly compacted by the applied stress which is normal to  
 223 them, one gets regular and clearly identifiable horizontal strain concentration layers. For the parallel  
 224 sample ( $\parallel$ ), even the applied stress is parallel to the bedding planes, one still observes horizontal strain  
 225 concentration layers, probably due to the compaction of initial cracks. But the concentration degree  
 226 of strain layers is less marked than the perpendicular sample ( $\perp$ ). For the inclined sample ( $//$ ) with  
 227 an angle of  $45^\circ$ , the applied axial stress generates both normal and tangential stresses on the bedding  
 228 planes, which can exhibit a frictional sliding. As an interesting result, the strain concentration layers in  
 229 this sample are also inclined and almost parallel to the bedding planes. On the other hand, the strain  
 230 concentration layers are more and more marked and the difference between the three samples is larger  
 231 when the applied stress is higher.

232 In order to investigate the evolution of strain field under a prescribed constant stress, the axial  
 233 strains fields in three samples at two different instances under a fixed axial stress are presented Figure  
 234 8. It is clear that the strain fields evolve with time due to the creep of COx claystone. As the mineral  
 235 inclusions have an elastic behavior, the creep deformation occurs mainly inside the clayey matrix.  
 236 Further, the creep deformation enhances the concentration degree of strain layers, which become more  
 237 and more marked with time.



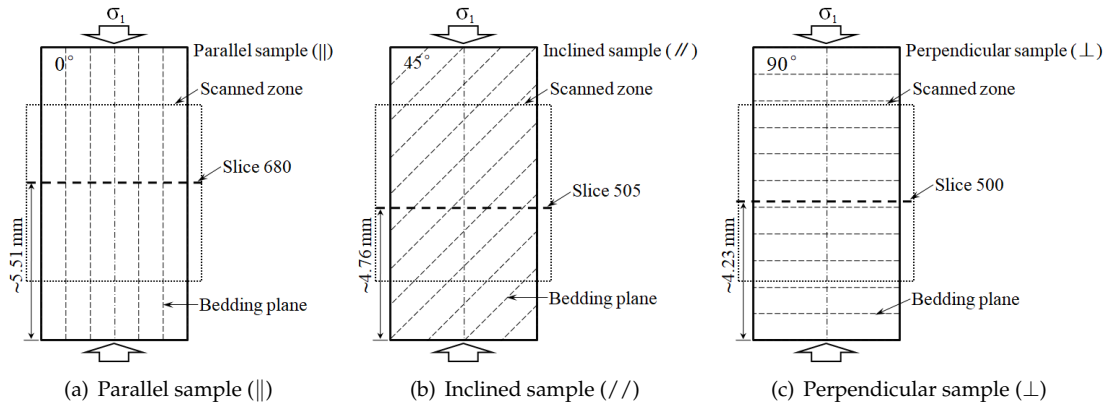
**Figure 7.** Distributions of accumulated axial strain under different stress levels and at different instances: (a) and (d): parallel sample ( $\parallel$ ) with  $q_c/q_{peak}=60\%$  and  $q_c/q_{peak}=94\%$ ; (b) and (e): inclined sample ( $//$ ) with  $q_c/q_{peak}=67\%$  and  $q_c/q_{peak}=90\%$ ; (c) and (d): perpendicular sample ( $\perp$ ) with  $q_c/q_{peak}=60\%$  and  $q_c/q_{peak}=90\%$



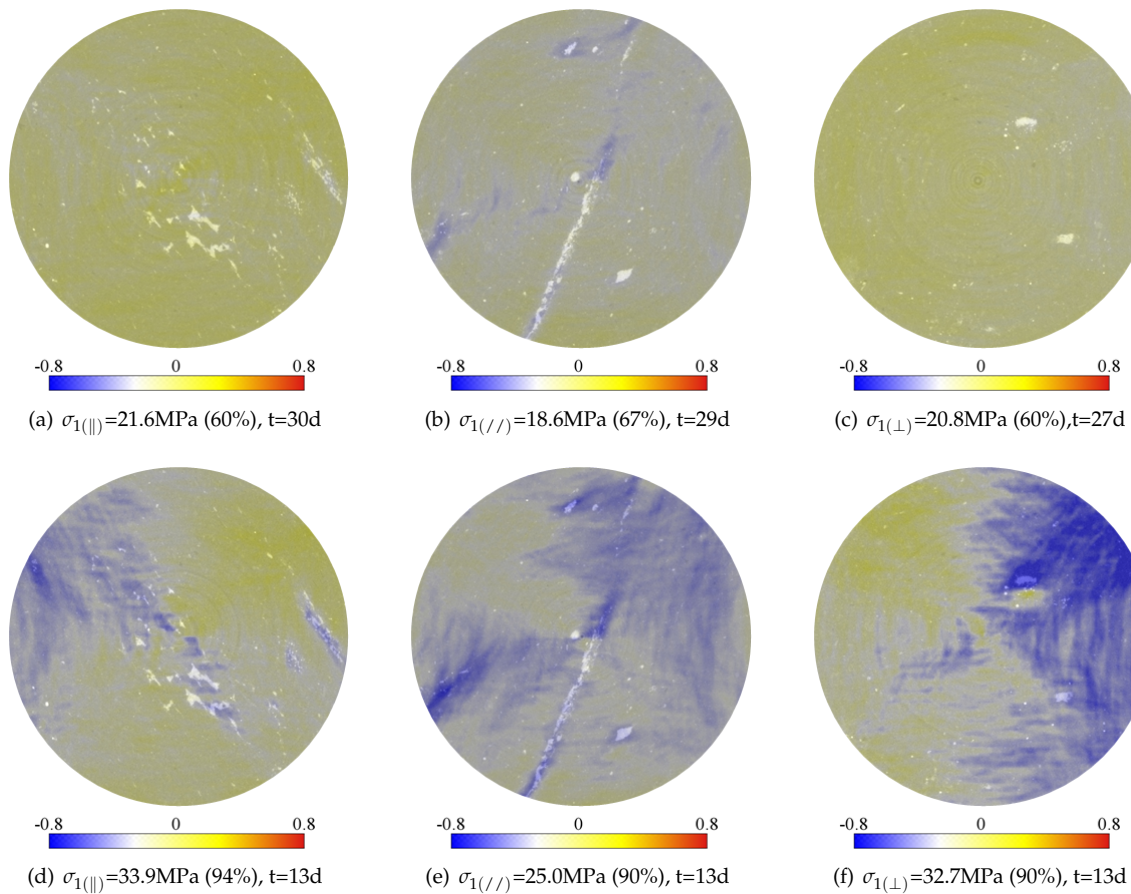
**Figure 8.** Distributions of accumulated axial strain and at different instances under a constant stress level: (a) and (b): parallel sample (||) under  $q_c/q_{peak}=94\%$ ; (c) and (d) inclined sample (//) under  $q_c/q_{peak}=90\%$ ; (e) and (f): perpendicular sample ( $\perp$ ) under  $q_c/q_{peak}=90\%$

238 In order to better investigate the evolutions of radial strains, a representative horizontal slice is  
 239 cut at the mid-height of each tested sample, as shown in Figure 9. The distributions of accumulated  
 240 radial strain on the selected slices are presented in Figure 10 for the three tested samples under two  
 241 different stress levels, the same as those used in Figure 7 for the axial strain fields. It is found that  
 242 at the low axial stress, the concentration degree (or heterogeneity) of radial strain in the parallel (||)  
 243 and perpendicular ( $\perp$ ) samples is significantly less marked than that of the inclined sample (//). This  
 244 is consistent with the average radial strains discussed above. The frictional sliding along bedding  
 245 planes in the inclined sample (//) enhances the non-uniform distribution of radial strain. When the  
 246 applied stress is high, i.e. about 90% to 94% of the peak strength, the distributions of radial strain  
 247 on the slice become strongly non-uniform for the three samples. In these figures, hard inclusions  
 248 are represented by the white colored zones. One can observe a strong strain concentration around

249 those hard inclusions. It seems that the difference of elastic stiffness between the clayey matrix  
 250 and hard inclusions favors the strain concentration process inside the samples. Therefore, the  
 251 material heterogeneity is at the origin of strongly non-uniform distribution of local strain fields. More  
 252 interestingly, the radial strains on the slices are not always in extension (in blue color) like that should  
 253 be found in a homogeneous material. Some compressive radial strain areas (in orange and red color)  
 254 are also found. As a consequence, a compressive average radial strain can be obtained for the whole  
 255 sample even under uniaxial compression loading, as that for the perpendicular one discussed above.  
 256 However, the effects of mineral inclusions on the local strain fields of the COx claystone seem to  
 257 complex. Further in-depth quantitative analyses should be performed in our future works.



**Figure 9.** Positions of the selected slices in the three samples ( $\parallel$ ,  $//$ , and  $\perp$ ).



**Figure 10.** Distributions of accumulated radial strain under two different stress levels: (a) and (d): slice-680 of parallel sample ( $\parallel$ ); (b) and (e) slice-505 of inclined sample ( $//$ ); (c) and (d) slice-500 of perpendicular sample ( $\perp$ )

## 258 5. Conclusions

259 The application of digital volume correlation to X-ray images provides an efficient method for the  
 260 characterization of full strain field in heterogeneous rocks during long term creep tests. This method  
 261 can be extended to complex environmental conditions with variations of temperature and moisture  
 262 content.

263 The creep deformation of the COx claystone has been investigated under uniaxial compression  
 264 condition by using the proposed measuring method and a specially designed device. Both the full  
 265 strain fields and averaged global strains have been computed for the tested samples. In particular,  
 266 the effects of structural anisotropy have been investigated by considering three different loading  
 267 orientations.

268 It is found that due to the material heterogeneities, especially the presence of hard mineral  
 269 inclusions, the distributions of both stress-induced and creep strains are strongly non-uniform inside  
 270 the tested samples. There are strong strain concentration zones around the hard inclusions. Even under  
 271 uniaxial compression loading, local compressive radial strains can be observed. At the mesoscopic  
 272 scale, the motion of bedding planes plays an important role in the deformation and failure processes  
 273 of the COx claystone. The progressive compaction of bedding planes leads to large instantaneous and  
 274 time-dependent axial strains. The frictional sliding along the bedding planes enhances the growth of  
 275 strain localization and controls the failure for inclined loading orientations. The strain localization  
 276 process is strongly affected by the loading orientation with respect to the bedding planes.

277 However, the effects of materials heterogeneities such as mineral inclusions and pores are complex.  
 278 Further in-depth analyses are still needed for getting a quantitative characterization of those effects.

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