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The Linear Elasticity Tensor of Incompressible Materials

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Dedicated to Prof. Raymond W. Ogden, on occasion of his 70th birthday

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Abstract

With a universally accepted abuse of terminology, materials having much larger stiff-2 ness for volumetric than for shear deformations are called *incompressible*. This work 3 proposes two approaches to the evaluation of the correct form of the linear elasticity 4 tensor of the so-called incompressible materials, both stemming from the non-linear 5 theory. In the approach of strict incompressibility, one imposes the kinematical con-6 straint of isochoric deformation. In the approach of quasi-incompressibility, which is 7 often employed to enforce incompressibility in numerical applications such as the Fi-8 nite Element Method, one instead assumes a decoupled form of the elastic potential (or 9 strain energy), which is written as the sum of a function of the volumetric deformation 10 only and a function of the distortional deformation only, and then imposes that the 11 bulk modulus be much larger than all other moduli. The conditions which the elas-12 ticity tensor has to obey for both strict incompressibility and quasi-incompressibility 13 have been derived, regardless of the material symmetry. The representation of the lin-14 ear elasticity tensor for the quasi-incompressible case differs from that of the strictly 15 incompressible case by one parameter, which can be conveniently chosen to be the 16 bulk modulus. Some important symmetries have been studied in detail, showing that 17 the linear elasticity tensor for the cases of isotropy, transverse isotropy and orthotropy 18 is characterised by 1, 3, 6 independent parameters, respectively, for the case of strict 19 incompressibility, and 2, 4, 7 independent parameters, respectively, for the case of 20 quasi-incompressibility, as opposed to the 2, 5, 9 parameters, respectively, of the gen-21 eral compressible case. 22

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Keywords: covariant representation, Elasticity, elasticity tensor, incompressibility,
 quasi-incompressibility, incompressible, quasi-incompressible, nearly-incompressible,
 material symmetry, anisotropy.

27 1 Introduction

In several contexts of Continuum Mechanics, and particularly for materials such as elas-28 tomers and soft biological tissues, whose stiffness under volumetric compression is usually 29 several orders of magnitude higher than the stiffness in shear, the mechanical behaviour 30 of materials is studied under the assumption of either strict incompressibility or quasi-31 incompressibility. The constraint of isochoric (i.e., volume-preserving) deformation is often 32 employed to approximate the behaviour of incompressible materials. To be more precise, 33 we recall here that an idealised material body is said to be strictly incompressible when 34 the substantial derivative of its mass density vanishes identically [1], i.e., when $D_t \rho = 0$, 35 with ρ being the mass density of the body, and D_t the substantial derivative operator. In 36 the case in which the mass of the body is locally conserved, the mass balance law of the 37 body reads 38

$$D_t \rho + \rho \operatorname{div}(\boldsymbol{v}) = 0, \tag{1}$$

³⁹ where v is the velocity. Thus, setting $D_t \rho$ equal to zero implies that Equation (1) reduces ⁴⁰ to

$$\operatorname{div}(\boldsymbol{v}) = 0, \tag{2}$$

in which case the velocity field is said to be divergence-free. Since the divergence of the

velocity field is related to the time derivative of the volume ratio $J = \det F$ (where F is the deformation gradient) by

$$\dot{J} = J \operatorname{div}(\boldsymbol{v}),\tag{3}$$

the vanishing of $\operatorname{div}(v)$ implies that the volume ratio J is constant in time, and therefore isochoric motions are compatible with the requirement of incompressibility.

The assumption of strict incompressibility, however, yields both theoretical and com-46 putational issues. Within the framework of the Finite Element Method, it requires the de-47 velopment of robust and efficient numerical schemes that prevent from mesh locking (e.g., 48 the Lagrange multiplier method, penalty methods, the Hu-Washizu variational principle, 49 methods based on higher-order shape functions), while ensuring flexibility and containing 50 computational cost [2, 3, 4]. Granted hyperelastic material behaviour from some given 51 natural configuration of a body, these schemes generally express the elastic potential into 52 a part depending solely on the volumetric deformation and a part depending solely on the 53 distortional deformation. Whereas the former one depends solely on parameters that, in 54 the linear theory, reduce to the bulk modulus (this can be either the true one or some 55 suitably chosen constant, as in penalty methods), the latter one strongly depends on the 56 body's material symmetries (see, e.g., [5, 6]) and, in non-linear theories, is either obtained 57 by fitting experimental data or given from the outset. In any case, the total non-linear 58 elastic potential should lead to an elasticity tensor that is consistent with its linear coun-59 terpart [7], which is, in principle, always measurable experimentally. In linear elasticity, 60 the usual approach to study incompressibility is based on the compliance elasticity tensor, 61 the inverse of the (stiffness) elasticity tensor \mathbb{L} , and on making the bulk modulus diverge 62 (e.g., [8]). In this way, the (stiffness) elasticity tensor diverges and is thus not defined. 63

Here, we propose a rigorous framework for determining the correct form of the linear elasticity tensor of incompressible and quasi-incompressible materials, starting from the theory of Non-Linear Elasticity. We shall start by performing a full inverse Piola transform of the standard material elasticity tensor, so to derive the standard spatial elasticity tensor, and then evaluate the latter at zero strain, to finally obtain the (spatial)

linear elasticity tensor. This approach can be exploited to enforce that the non-linear 69 elastic material is consistent with its linearised counterpart [7]. We had previously [9] 70 worked out the calculations for the case of isotropic quasi-incompressible materials and 71 now aim at giving the general expression of the elasticity tensor for the strictly incom-72 pressible and the quasi-incompressible cases, regardless of material symmetry, and then 73 retrieve the important particular cases of isotropy, transverse isotropy and orthotropy. For 74 the case of strict incompressibility, we show that the number of independent elastic con-75 stants decreases from 2 to 1 for isotropy, from 5 to 3 for transverse isotropy, and from 9 76 to 6 for orthotropy. For the case of quasi-incompressibility, the bulk modulus is an ad-77 ditional independent elastic constant in all cases. The framework we propose also allows 78 to conveniently check for the positive semi-definiteness (strictly incompressible case) or 79 definiteness (quasi-incompressible case) of the elasticity tensor. Positive definiteness or 80 semi-definiteness determine the strict convexity or convexity, respectively, of the quadratic 81 potential of the linear theory, and influence the mathematical properties of the solutions, 82 such as existence, uniqueness, smoothness, etc. (see, e.g., [10]). 83

This work is motivated by the importance that the elasticity tensor has in general, in 84 both linear and non-linear Elasticity. Indeed, the elasticity tensor plays an essential role in 85 Computational Mechanics, as it is the main "ingredient" defining the large stiffness matrices 86 that are then employed by the solver modules of Finite Element packages. In Non-Linear 87 Elasticity, the choice of the form of the elasticity tensor is various, depending on the choice 88 of objective stress rate and measure of rate of deformation (see, e.g., [11, 12]). In contrast. 89 in the small-strain theory, since all measures of stress converge to the Cauchy stress, and 90 all measures of strain converge to the infinitesimal strain, also all possible elasticity tensors 91 converge to the "classical" elasticity tensor of Linear Elasticity. 92

The paper is structured in six sections (including Introduction and Discussion). Section 93 2 introduces the notation, reports some results from Tensor Algebra that are relevant to 94 our purposes, and recalls the expressions of the elasticity tensor of the non-linear and linear 95 theory for the general compressible case when the volumetric-distortional decomposition 96 of the deformation [13, 14, 15] is used. Section 3 deals with incompressible elasticity and 97 includes our results on the representation of the elasticity tensor in the non-linear and the 98 linear theory, regardless of material symmetry. Section 4 consists of the study of the linear 99 elasticity tensor for incompressible materials for the case of isotropy, transverse isotropy 100 and orthotropy. Section 5 is devoted to a discussion about the issues of invertibility and 101 positive definiteness of the linear elasticity tensor for the cases of strict incompressibility 102 and quasi-incompressibility. 103

¹⁰⁴ 2 Theoretical Background

Here, we briefly introduce the general notation employed in this work, report some re-105 sults from Tensor Algebra that are related to the Theory of Elasticity [16], and recall the 106 representation of the material, spatial and linear elasticity tensors when the volumetric-107 distortional decomposition of the deformation is used [17, 16]. Furthermore, we also refer 108 to Walpole's formalism for the representation of fourth-order tensors [18] in all possible 109 symmetries, although here we limit ourselves to the most common cases: isotropy, trans-110 verse isotropy, orthotropy. Walpole had introduced this formalism in an earlier work [19]. 111 which we used extensively in the past (see. e.g., [20]). The newer representation devised by 112 Walpole [18], which we employ here, introduces a very convenient matrix-based formalism. 113 With respect to, e.g., Spencer's [21] representation (which has several convenient features 114 on which we shall not elaborate here), one of the greatest advantages of Walpole's repre-115

sentation [18] is that it makes it extremely easy to check for the positive definiteness or invertibility of a fourth-order tensor, seen as an operator between spaces of second-order tensors.

Although differentiable manifolds are the most general and appropriate theatre for the 119 description of Mechanics [22, 23], we restrict ourselves to the (much) simpler case of a three-120 dimensional affine space, which avoids the long series of theoretical intricacies brought 121 about by high-level Differential Geometry. Roughly speaking, an affine space is a vector 122 space in which any point can be a "local origin", thereby allowing vectors to be attached 123 at any point. More rigorously, an affine space is given by a set \mathcal{S} , called the point space, 124 considered together with a vector space \mathcal{V} , called the modelling space, and a map $\mathcal{F}: \mathcal{S} \times$ 125 $\mathcal{S} \to \mathcal{V}$ that, for every pair of points x, y of \mathcal{S} , yields a vector of \mathcal{V} denoted $\mathcal{F}(x,y) = y - x = y$ 126 \boldsymbol{v} , called the oriented segment from x to y. This map has to satisfy anti-commutativity, 127 i.e., [x-y] = -[y-x], the triangle rule, i.e., y-x = [y-z] + [z-x], and the axiom of 128 arbitrary origin, i.e., for every $x \in S$ and $v \in V$ there exists one, and only one, $y \in S$, such 129 that y - x = v. Given any point $x \in S$, the axiom of arbitrary origin permits to define the 130 set $T_x \mathcal{S} = \{ v_x = y - x : y \in \mathcal{S} \}$ of all the vectors emanating from x. The space $T_x \mathcal{S}$ and 131 its dual space $T_x^{\star} \mathcal{S}$ are called the tangent space and the cotangent space, respectively, at 132 point x, and their elements are called tangent vectors and tangent covectors, respectively, 133 at point x. The disjoint union of all tangent spaces $T_x \mathcal{S}$ for all $x \in \mathcal{S}$ is called the tangent 134 bundle of \mathcal{S} , and is denoted by $T\mathcal{S}$; the cotangent bundle $T^{\star}\mathcal{S}$ is defined analogously. A 135 thorough introduction to affine spaces is given, e.g., by Epstein [23]. 136

The structure of affine space is the minimal structure needed for Differential Calculus. 137 since a derivative is in fact a tangent vector. This is immediately reflected in the descrip-138 tion of Classical Physics, where the structure of affine space allows for attaching a vector 139 representing a given physical quantity at any point of space. The prime example is that 140 of the velocity, which, being the time derivative of a trajectory, is in fact a tangent vector 141 in the sense of affine spaces, aside from being also tangent to the trajectory of the parti-142 cle. The modelling space used in the definition of the physical affine space \mathcal{S} of Classical 143 Physics is the familiar \mathbb{R}^3 . This space \mathcal{S} is indeed very similar to \mathbb{R}^3 and one barely sees 144 the difference, as long as vectors from the same tangent space are involved. Therefore, in 145 many works in the literature (among which some of our past works), the affine space \mathcal{S} 146 of Classical Physics is simply denoted \mathbb{R}^3 . However, following a didactical approach, we 147 prefer to keep the distinction between the affine space \mathcal{S} and its modelling space \mathbb{R}^3 . 148

Throughout this work, we employ the covariant formalism, i.e., we keep the distinction 149 between a vector space and its dual space or, equivalently, between vectors and covectors. 150 Aside from the fact that this allows for introducing general curvilinear coordinates, and 151 for accounting for geometrical non-linearities, it is of fundamental importance to clarify 152 the transformation laws that each physical quantity obeys. Indeed, vectors and covectors 153 obey different transformation laws, and therefore the pull-back and push-forward opera-154 tions, crucial in Continuum Mechanics, are performed in a different way (see Section 2.1). 155 Furthermore, as has also been remarked by Marsden and Hughes [22], the operations of 156 pull-back/push-forward and of index raising/lowering do not commute, which means that 157 even extra care must be taken when transforming vectorial or covectorial objects. The 158 covariant formalism helps avoid errors, since it makes this non-commutativity evident. 159

In conclusion, we deem the small additional pain of using the structure of affine space and the covariant formalism worth it for the exposition of our results. The notation in this and in some previous works [16, 24], to which we shall extensively refer, mostly follows the classical treatise by Marsden and Hughes [22], with some relatively small variations.

¹⁶⁴ 2.1 General Notation

Lowercase symbols and indices are reserved to spatial quantities in the natural three-165 dimensional space \mathcal{S} of Classical Mechanics. Uppercase symbols and indices denote ma-166 terial quantities in the reference configuration $\mathcal{B}_R \subset \mathcal{S}$ (or in the body manifold \mathcal{B} , if no 167 particular reference configuration is chosen [22, 25, 23]). At each point $x \in \mathcal{S}$, the tangent 168 and cotangent spaces are denoted $T_x \mathcal{S}$ and $T_x^* \mathcal{S}$, respectively. The tangent and cotangent 169 bundles are denoted TS and T^*S , respectively. Similarly, one defines the tangent and 170 cotangent spaces $T_X \mathcal{B}_R$ and $T_X^* \mathcal{B}_R$ at $X \in \mathcal{B}_R$, and the tangent and cotangent bundles 171 $T\mathcal{B}_R$ and $T^*\mathcal{B}_R$. The spaces of spatial and material tensors of order m = r + s, with r 172 vector feet and s covector feet (i.e., with r contravariant indices and s covariant indices) 173 are denoted $[TS]^r{}_s$ and $[TB_R]^r{}_s$, respectively. The simple contraction of two tensors such 174 that the last foot of the first tensor is a vector and the first foot of the second tensor 175 is a covector (or vice versa) is indicated by simply juxtaposing the two tensors, e.g., for 176 $a \in [TS]_0^2$ and $c \in [TS]_2^0$, the contraction a c has components $a^{ab}c_{bc}$. The double contrac-177 tion of two tensors is similar to the simple contraction, except that the last two feet of the 178 first tensor and the first two feet of the second tensor contract, and is denoted by a colon, 179 e.g., for $\mathbb{T} \in [TS]_2^2$ and $a \in [TS]_0^2$, the contraction $\mathbb{T} : a$ has components $\mathbb{T}^{ab}_{cd} a^{cd}$. 180

The spaces TS and TB_R are assumed to be equipped with metric tensors g and G, 181 respectively. The scalar products induced by the metric tensors g and G are denoted by 182 the symbol $\langle \cdot, \cdot \rangle$ for tensors of any order. For vectors or covectors, this is replaced by a 183 simple low dot, e.g., for the case of spatial vectors, $q(u, v) = u q v = \langle u, v \rangle = u v$. For the 184 case of higher-order tensors (of the same type), each couple of homologous indices has to be 185 contracted with the appropriate metric tensor, e.g., for the case of spatial "contravariant" 186 fourth-order tensors (i.e., tensors in $[T\mathcal{S}]_0^4$), we have $\langle \mathbb{A}, \mathbb{B} \rangle = \mathbb{A}^{abcd} g_{ai} g_{bj} g_{ck} g_{dl} \mathbb{B}^{ijkl}$. Note 187 that we employ the usual identification $g^{ab} \equiv (g^{-1})^{ab}$ throughout. The metric tensor g188 lowers contravariant indices, e.g., for the case of a vector \boldsymbol{v} , it gives the associated covector 189 $v^{\flat} = gv$, with components $v_a = g_{ab}v^b$. Analogously, the inverse metric tensor g^{-1} raises 190 covariant indices, e.g., for the case of a covector φ , it gives the associated vector $\varphi^{\sharp} = g^{-1}\varphi$, 191 with components $\varphi^a = g^{ab}\varphi_b$. Moreover, we use a single low dot to indicate that the metric 192 tensor (or its inverse) is involved in the contraction of two tensors such that the last foot of 193 the first tensor and the first foot of the second tensor are of the same type. For instance, 194 if $a, b \in [TS]_0^2$, the expression a.b stands for a g b, which has components $a^{ab} g_{bc} b^{cd}$. 195

The deformation, $\chi : \mathcal{B}_R \to \mathcal{S}$, maps material points $X \in \mathcal{B}_R$ into spatial points 196 $x = \chi(X) \in \mathcal{S}$, and its tangent map, the deformation gradient $F: T\mathcal{B}_R \to T\mathcal{S}$, maps 197 material tangent vectors $\boldsymbol{W} \in T\mathcal{B}_R$ into spatial tangent vectors $\boldsymbol{w} = \boldsymbol{F}\boldsymbol{W} \in T\mathcal{S}$, such 198 that the directional derivative of χ with respect to \boldsymbol{W} at point X is $(\partial_{\boldsymbol{W}}\chi)(X) = \boldsymbol{F}(X)\boldsymbol{W}$, 199 and the components of F are $F^a{}_A = \chi^a{}_A$. Given a material tensor field \mathbb{P} valued 200 in $[T\mathcal{B}_R]^r$, its push-forward $\chi_*[\mathbb{P}] = \mathbb{P}$ is the tensor field valued in $[T\mathcal{S}]^r$ obtained 201 by contracting each contravariant index with F and each covariant index with F^{-T} , 202 which in components reads $P^{a...}_{...b} = F^a{}_A ... (F^{-T})_b{}^B P^{A...}_{...B}$. Analogously, given a spa-203 tial tensor field \mathbb{Q} valued in $[TS]^r_s$, its pull-back $\chi^*[\mathbb{Q}] = \mathbb{Q}$ is the tensor field valued 204 in $[T\mathcal{B}_R]^r{}_s$ obtained by contracting each contravariant index by F^{-1} and each covari-205 ant index by \mathbf{F}^T , i.e., $\mathbf{Q}^{A...}_{...B} = (\mathbf{F}^{-1})^A{}_a \dots (\mathbf{F}^T)_B{}^b \mathbf{Q}^{a...}_{...b}$. Note that the operations 206 of pull-back/push-forward and of index raising/lowering do not commute: indeed, e.g., 207 $\chi^*[\boldsymbol{v}^{\flat}] = \boldsymbol{F}^T[\boldsymbol{g}\boldsymbol{v}] \neq \boldsymbol{G}[\boldsymbol{F}^{-1}\boldsymbol{v}] = [\chi^*[\boldsymbol{v}]]^{\flat} \text{ (see, e.g., [22])}.$ 208

The right and left Cauchy-Green deformation tensors are the pull-back $C = F^T \cdot F = F^T \cdot F$ $F^T \cdot g \cdot F$ of the spatial metric g, and the push-forward $b = F \cdot F^T = F \cdot G^{-1} \cdot F^T$ of the inverse material metric G^{-1} , respectively. Their inverses $B = C^{-1} = F^{-1} \cdot F^{-T} = F^{-1} \cdot g^{-1} \cdot F^{-T}$ and $c = b^{-1} = F^{-T} \cdot F^{-1} = F^{-T} \cdot G F^{-1}$ are the pull-back of the inverse spatial metric g^{-1} and the push-forward of the material metric \boldsymbol{G} , respectively. The Green-Lagrange strain, comparing the pull-back \boldsymbol{C} of the spatial metric \boldsymbol{g} to the material metric \boldsymbol{G} , is given by $\boldsymbol{E} = \frac{1}{2}(\boldsymbol{C} - \boldsymbol{G})$. The volume ratio can be defined as $J = \det \boldsymbol{F} \equiv \sqrt{\det \boldsymbol{C}} = \sqrt{\det \boldsymbol{b}}$ [24] and its time derivative is $\dot{J} = J \operatorname{div}(\boldsymbol{v}) = J \boldsymbol{B}$: $\dot{\boldsymbol{E}} = \frac{1}{2}J \boldsymbol{B}$: $\dot{\boldsymbol{C}}$ [3]. In the volumetricdistortional decomposition of the deformation [13, 14, 15], we have $\boldsymbol{F} = J^{1/3} \bar{\boldsymbol{F}}, \boldsymbol{C} = J^{2/3} \bar{\boldsymbol{C}}$, where $\bar{\boldsymbol{C}} = \bar{\boldsymbol{F}}^T \cdot \bar{\boldsymbol{F}}$, and $\boldsymbol{E} = J^{2/3} \bar{\boldsymbol{E}} + \frac{1}{2}(J^{2/3} - 1)\boldsymbol{G}$, where $\bar{\boldsymbol{E}} = \frac{1}{2}(\bar{\boldsymbol{C}} - \boldsymbol{G})$.

219 2.2 Identity, Spherical, Deviatoric Operators; Tensor Basis for Isotropy

In the space $[TS]^2_2$ of symmetric fourth-order tensors (symmetric in the sense of metric transposition [16]) with the first two feet being vectorial and the second two being covectorial (in terms of components, with the first two indices being contravariant and the second two being covariant), the symmetric identity, spherical, and deviatoric operators [16], defined by using the special tensor products $\underline{\otimes}$ and $\overline{\otimes}$ introduced by Curnier et al. [26], read

$$\mathbb{I} = \frac{1}{2} (\mathbf{i} \otimes \mathbf{i} + \mathbf{i} \otimes \mathbf{i}), \tag{4a}$$

$$\mathbb{K} = \frac{1}{3} \, \boldsymbol{g}^{-1} \otimes \boldsymbol{g},\tag{4b}$$

$$\mathbb{M} = \mathbb{I} - \mathbb{K},\tag{4c}$$

226 and have components

$$I^{ab}{}_{cd} = \frac{1}{2} (\delta^a{}_c \delta^b{}_d + \delta^a{}_d \delta^b{}_c), \tag{5a}$$

$$\kappa^{ab}{}_{cd} = \frac{1}{3} g^{ab} g_{cd}, \tag{5b}$$

$$M^{ab}{}_{cd} = \frac{1}{2} (\delta^a{}_c \delta^b{}_d + \delta^a{}_d \delta^b{}_c) - \frac{1}{3} g^{ab} g_{cd}.$$
(5c)

²²⁷ When applied to a symmetric second-order tensor $a \in [TS]_0^2$, \mathbb{K} and \mathbb{M} yield the spherical ²²⁸ and deviatoric parts of a, respectively, i.e.,

$$\operatorname{sph}(\boldsymbol{a}) = \mathbb{K} : \boldsymbol{a} = \frac{1}{3} \operatorname{tr}(\boldsymbol{a}) \boldsymbol{g}^{-1}, \quad \operatorname{dev}(\boldsymbol{a}) = \mathbb{M} : \boldsymbol{a} = \boldsymbol{a} - \frac{1}{3} \operatorname{tr}(\boldsymbol{a}) \boldsymbol{g}^{-1},$$
(6)

where tr(·) is the natural trace operator, such that tr(a) = g^{-1} : $a = g^{ab}a_{ab}$. Furthermore, {K, M} is the canonical basis of the subspace of symmetric isotropic tensors in $[TS]^2_2$, where isotropy is defined as the symmetry (i.e., invariance) with respect to arbitrary rotations. The spherical and deviatoric operators enjoy the properties of idempotence and orthogonality [19, 18, 16], i.e.,

$$\mathbf{K}:\mathbf{K}=\mathbf{K},\qquad \mathbf{M}:\mathbf{M}=\mathbf{M},\tag{7a}$$

$$\mathbb{K}:\mathbb{M}=\mathbb{O},\qquad \mathbb{M}:\mathbb{K}=\mathbb{O},\tag{7b}$$

where \mathbb{O} is the null fourth-order tensor in $[TS]^2_2$.

Stiffness and compliance elasticity tensors belong to $[TS]_0^4$ and $[TS]_4^0$, respectively and, for our purposes, it is important to recall the expressions of the identity, spherical and deviatoric operators in these spaces. These are obtained by raising and lowering all indices of the tensors in Equation (4), respectively, to obtain [16]

$$\mathbb{I}^{\sharp} = \frac{1}{2} (\boldsymbol{g}^{-1} \underline{\otimes} \boldsymbol{g}^{-1} + \boldsymbol{g}^{-1} \overline{\otimes} \boldsymbol{g}^{-1}), \qquad (8a)$$

$$\mathbb{K}^{\sharp} = \frac{1}{3} \, \boldsymbol{g}^{-1} \otimes \, \boldsymbol{g}^{-1}, \tag{8b}$$

$$\mathbb{M}^{\sharp} = \mathbb{I}^{\sharp} - \mathbb{K}^{\sharp}, \tag{8c}$$

239 and

$$\mathbf{I}^{\flat} = \frac{1}{2} (\boldsymbol{g} \otimes \boldsymbol{g} + \boldsymbol{g} \overline{\otimes} \boldsymbol{g}), \tag{9a}$$

$$\mathbb{K}^{\flat} = \frac{1}{3} \, \boldsymbol{g} \otimes \boldsymbol{g}, \tag{9b}$$

$$\mathbb{M}^{\flat} = \mathbb{I}^{\flat} - \mathbb{K}^{\flat}, \tag{9c}$$

²⁴⁰ which have component expressions

$$I^{abcd} = \frac{1}{2} (g^{ac} g^{bd} + g^{ad} g^{bc}),$$
(10a)

$$\mathbf{K}^{abcd} = \frac{1}{3} g^{ab} g^{cd}, \tag{10b}$$

$$\mathbf{M}^{abcd} = \frac{1}{2}(g^{ac}g^{bd} + g^{ad}g^{bc}) - \frac{1}{3}g^{ab}g^{cd}, \tag{10c}$$

241 and

$$I_{abcd} = \frac{1}{2} (g_{ac} g_{bd} + g_{ad} g_{bc}), \tag{11a}$$

$$K_{abcd} = \frac{1}{3} g_{ab} g_{cd}, \tag{11b}$$

$$M_{abcd} = \frac{1}{2}(g_{ac} g_{bd} + g_{ad} g_{bc}) - \frac{1}{3} g_{ab} g_{cd}.$$
 (11c)

Again, $\{\mathbb{K}^{\sharp}, \mathbb{M}^{\sharp}\}$ and $\{\mathbb{K}^{\flat}, \mathbb{M}^{\flat}\}$ are the canonical bases of the subspaces of symmetric isotropic tensors in $[TS]_0^4$ and $[TS]_4^0$, respectively. Also the tensors \mathbb{K}^{\sharp} and \mathbb{M}^{\sharp} , and the tensors \mathbb{K}^{\flat} and \mathbb{M}^{\flat} enjoy idempotence and orthogonality, and a thorough analysis can be found in a previous work [16], which reports the results obtained by Walpole [19, 18] in the covariant formalism also adopted here.

Note that a symmetric isotropic fourth-order tensor in $[TS]_2^2$ (or $[TS]_0^4$ or $[TS]_4^0$) is positive definite if, and only if, its components in the basis { \mathbb{K},\mathbb{M} } (or { $\mathbb{K}^{\sharp},\mathbb{M}^{\sharp}$ } or { $\mathbb{K}^{\flat},\mathbb{M}^{\flat}$ }, respectively), are strictly positive, and invertible if, and only if, its components are both different from zero.

In the Theory of Elasticity, the pulled-back material counterparts of the spatial operators in $[TS]^2_2$, $[TS]^4_0$ and $[TS]^0_4$ are of particular relevance, and we recall them here [16], omitting the component forms, which can be deduced by analogy with those of the spatial operators [16]. The pull-back of the operators in $[TS]^2_2$ yields the operators in $[TB_R]^2_2$,

$$\mathbb{I} = \frac{1}{2} (\boldsymbol{I} \otimes \boldsymbol{I} + \boldsymbol{I} \overline{\otimes} \boldsymbol{I}), \qquad (12a)$$

$$\mathbb{K}^* = \frac{1}{3} \mathbf{B} \otimes \mathbf{C},\tag{12b}$$

$$\mathbb{M}^* = \mathbb{I}^* - \mathbb{K}^*,\tag{12c}$$

where we note that the pull-back \mathbb{I}^* coincides with the material identity \mathbb{I} . When applied to a symmetric second-order tensor $A \in [T\mathcal{B}_R]_0^2$, \mathbb{K}^* and \mathbb{M}^* yield the pulled-back spherical and deviatoric parts of A, respectively, evaluated with respect to the pulled-back metric $C = \chi^*[g]$, i.e.,

$$\operatorname{Sph}^*(\boldsymbol{A}) = \mathbb{K}^* : \boldsymbol{A} = \frac{1}{3} \operatorname{Tr}^*(\boldsymbol{A}) \boldsymbol{B}, \quad \operatorname{Dev}^*(\boldsymbol{A}) = \mathbb{M}^* : \boldsymbol{A} = \boldsymbol{A} - \frac{1}{3} \operatorname{Tr}^*(\boldsymbol{A}) \boldsymbol{B}, \quad (13)$$

where $\operatorname{Tr}^*(\cdot)$ is the material pulled-back trace operator [16], i.e., the trace evaluated with respect to the pulled-back metric $C = \chi^*[g]$, such that $\operatorname{Tr}^*(A) = C : A = C_{AB}A^{AB}$. The pull-back of the operators in $[T\mathcal{S}]_0^4$ yields the operators in $[T\mathcal{B}_R]_0^4$

$$\mathbb{I}^{\ddagger \ast} = \frac{1}{2} (\boldsymbol{B} \underline{\otimes} \ \boldsymbol{B} + \boldsymbol{B} \overline{\otimes} \ \boldsymbol{B}), \tag{14a}$$

$$\mathbb{K}^{\sharp *} = \frac{1}{3} \mathbf{B} \otimes \mathbf{B},\tag{14b}$$

$$\mathbb{M}^{\sharp *} = \mathbb{I}^{\sharp *} - \mathbb{K}^{\sharp *},\tag{14c}$$

and the pull-back of the operators in $[T\mathcal{S}]_4^0$ yields the operators in $[T\mathcal{B}_R]_4^0$

$$\mathbb{I}^{\flat *} = \frac{1}{2} (\boldsymbol{C} \otimes \boldsymbol{C} + \boldsymbol{C} \overline{\otimes} \boldsymbol{C}), \qquad (15a)$$

$$\mathbb{K}^{\flat *} = \frac{1}{3} C \otimes C, \tag{15b}$$

$$\mathbb{M}^{\mathfrak{b}*} = \mathbb{I}^{\mathfrak{b}*} - \mathbb{K}^{\mathfrak{b}*}.$$
 (15c)

We recall that C is the right Cauchy-Green deformation and $B = C^{-1}$ is its inverse.

264 2.3 Tensor Basis For Transverse Isotropy

Let $m \in TS$ be a unit vector with respect to the metric g, i.e., such that its Euclidean norm is unitary:

$$\|\boldsymbol{m}\|^2 = \boldsymbol{m}.\boldsymbol{m} = \boldsymbol{m}\,\boldsymbol{g}\,\boldsymbol{m} = 1.$$
 (16)

Transverse isotropy with respect to m is defined as the symmetry (i.e., the invariance) with respect to rotations about m. The direction identified by m is called symmetry axis and the class of equivalence of the planes orthogonal to m is called transverse plane.

The basis of all second-order tensors in $[TS]_0^2$ with transverse isotropy with respect to direction m is given by

$$\boldsymbol{a} = \boldsymbol{m} \otimes \boldsymbol{m}, \tag{17a}$$

$$\boldsymbol{t} = \boldsymbol{g}^{-1} - \boldsymbol{a}. \tag{17b}$$

Note that t is the complement of tensor a to g^{-1} (the "contravariant identity", i.e., the identity in the tensor space $[TS]_0^2$), and that both a and t are invariant under the transformation mapping m into -m, i.e., the sense of m is irrelevant. Tensor a is often called structure tensor or fabric tensor of direction m. By means of the metric tensor g, it is possible to contract tensors a and t with a vector v, and to obtain the axial and transverse components of v:

$$v_{\parallel} = \boldsymbol{a}.\boldsymbol{v},\tag{18a}$$

$$v_{\perp} = \boldsymbol{t}.\boldsymbol{v}.\tag{18b}$$

By means of suitable tensor products, Walpole [18] derived a basis for fourth-order tensors with transverse isotropy with respect to \boldsymbol{m} , which we report for tensors in $[TS]_0^4$:

$$\mathbb{U}_{11} = \boldsymbol{a} \otimes \boldsymbol{a}, \tag{19a}$$

$$\mathbb{U}_{22} = \frac{1}{2} \boldsymbol{t} \otimes \boldsymbol{t}, \tag{19b}$$

$$\mathbb{U}_{12} = \frac{\sqrt{2}}{2} \, \boldsymbol{a} \otimes \boldsymbol{t},\tag{19c}$$

$$\mathbb{U}_{21} = \frac{\sqrt{2}}{2} \boldsymbol{t} \otimes \boldsymbol{a}, \tag{19d}$$

$$\mathbb{V}_1 = \frac{1}{2} \left(\boldsymbol{t} \underline{\otimes} \boldsymbol{t} + \boldsymbol{t} \,\overline{\otimes} \, \boldsymbol{t} - \boldsymbol{t} \otimes \boldsymbol{t} \right), \tag{19e}$$

$$\mathbb{V}_2 = \frac{1}{2} \left(\boldsymbol{a} \otimes \boldsymbol{t} + \boldsymbol{a} \,\overline{\otimes} \, \boldsymbol{t} + \boldsymbol{t} \otimes \boldsymbol{a} + \boldsymbol{t} \,\overline{\otimes} \, \boldsymbol{a} \right). \tag{19f}$$

In this basis, a tensor $\mathbb{T} \in [T\mathcal{S}]_0^4$, transversely isotropic with respect to m, is expressed as

$$\mathbb{T} = \tilde{\mathrm{T}}^{pr} \mathbb{U}_{pr} + \tilde{\mathrm{T}}^{\alpha} \mathbb{V}_{\alpha}, \tag{20}$$

where we call the collection $\{\tilde{T}\}$ of Walpole's components \tilde{T}^{pr} and \tilde{T}^{α} Walpole's representation of \mathbb{T} [20]. Since the tensors \mathbb{U}_{pr} constitute an algebra isomorphic to that of 2 × 2 matrices, Walpole's components can be grouped as [18]

$$\{\tilde{\mathbf{T}}\} = \left\{ \begin{bmatrix} \tilde{\mathbf{T}}^{11} & \tilde{\mathbf{T}}^{12} \\ \tilde{\mathbf{T}}^{21} & \tilde{\mathbf{T}}^{22} \end{bmatrix}, \tilde{\mathbf{T}}^{1}, \tilde{\mathbf{T}}^{2} \right\},$$
(21)

and all operations on transversely isotropic tensors \mathbb{T} can be performed by working on their representations $\{\tilde{T}\}$. The four \tilde{T}^{pr} and the two \tilde{T}^{α} are obtained by the scalar product of \mathbb{T} with each of the basis tensors, with some normalisation constants:

$$\widetilde{\mathbf{T}}^{pr} = \langle \mathbb{T}, \mathbb{U}_{pr} \rangle, \qquad \widetilde{\mathbf{T}}^{\alpha} = \frac{1}{2} \langle \mathbb{T}, \mathbb{V}_{\alpha} \rangle.$$
(22)

Since $\mathbb{U}_{12}^T = \mathbb{U}_{21}$, tensor \mathbb{T} possesses diagonal symmetry if, and only if, $\tilde{T}^{12} = \tilde{T}^{21}$, in which case it has only 5, rather than 6, independent components.

Given an orthonormal basis $\{e_a\}_{a=1}^3$, such that $e_1 = m$, the components $\{\tilde{T}\}$ are related to the conventional components T^{abcd} of T by

$$\{\tilde{\mathbf{T}}\} = \left\{ \begin{bmatrix} \mathbf{T}^{1111} & \sqrt{2} \ \mathbf{T}^{1122} \\ \sqrt{2} \ \mathbf{T}^{2211} & 2 \ \mathbf{T}^{2222} - 2 \ \mathbf{T}^{2323} \end{bmatrix}, 2 \ \mathbf{T}^{2323}, 2 \ \mathbf{T}^{1212} \right\}.$$
(23)

Note that the full-symmetric "contravariant" fourth-order identity, spherical and deviatoric operators in $[TS]_0^4$, defined in Equation (8), have Walpole's representations

$$\{\tilde{\mathbf{i}}^{\sharp}\} = \left\{ \begin{bmatrix} 1 & 0\\ 0 & 1 \end{bmatrix}, 1, 1 \right\}, \tag{24a}$$

$$\{\tilde{\mathbf{K}}^{\sharp}\} = \left\{ \begin{bmatrix} \frac{1}{3} & \frac{\sqrt{2}}{3} \\ \frac{\sqrt{2}}{3} & \frac{2}{3} \end{bmatrix}, 0, 0 \right\},$$
(24b)

$$\{\tilde{\mathbf{M}}^{\sharp}\} = \left\{ \begin{bmatrix} \frac{2}{3} & -\frac{\sqrt{2}}{3} \\ -\frac{\sqrt{2}}{3} & \frac{1}{3} \end{bmatrix}, 1, 1 \right\}.$$
 (24c)

It is very important to notice that *all* the associated tensors obtained from a tensor in 293 $[TS]_0^4$ by lowering any of its indices (i.e., by transforming any of its vector feet into covector 294 feet by means of the metric tensor g) share the same Walpole representation, as it becomes 295 clear by looking at the scalar products (22) in components (e.g., $T^{abcd} g_{ai} g_{bj} g_{ck} g_{dl} [\mathbb{U}_{pr}]^{ijkl}$), manipulating the metric tensors, and exploiting identities of the type $g^{hm}g_{mn} = \delta^h_n$. In 296 297 practice, the transformation is entirely shifted onto the basis tensors, leaving Walpole's 298 components untouched. This allows for exploiting the isomorphism between transversely 299 isotropic fourth-order tensors and their Walpole's representation to perform any operation. 300 For example, the double contraction of a tensor in $[TS]_2^2$ and one in $[TS]_0^4$ can be performed 301 by multiplying the matrix of the former with the matrix of the latter, and the individual 302 scalars of the former with those of the latter, without worrying about which indices are 303 contravariant and which covariant, as this is all taken into account by the basis tensors. 304

For the case of transverse isotropy, a tensor \mathbb{T} is positive definite if its Walpole's representation $\{\tilde{T}\}$ is such that the 2 × 2 matrix $[\tilde{T}^{pq}]$ is positive definite, and the two scalars \tilde{T}^{α} are strictly positive. Similarly, \mathbb{T} is invertible if $[\tilde{T}^{pq}]$ is invertible and the two scalars \tilde{T}^{α} are different from zero, and the inverse \mathbb{T}^{-1} (which belongs to $[TS]_4^0$, if \mathbb{T} belongs to $[TS]_0^4$) has Walpole's representation

$$\{\tilde{\mathbf{T}}^{-1}\} = \left\{ \begin{bmatrix} \tilde{\mathbf{T}}^{11} & \tilde{\mathbf{T}}^{12} \\ \tilde{\mathbf{T}}^{21} & \tilde{\mathbf{T}}^{22} \end{bmatrix}^{-1}, 1/\tilde{\mathbf{T}}^{1}, 1/\tilde{\mathbf{T}}^{2} \right\}.$$
 (25)

310 2.4 Tensor Basis For Orthotropy

Let $\{m_p\}_{p=1}^3$ be a basis for TS, satisfying the condition of orthonormality with respect to the metric g, i.e.,

$$\boldsymbol{m}_p.\boldsymbol{m}_q = \boldsymbol{m}_p \, \boldsymbol{g} \, \boldsymbol{m}_q = \delta_{pq}, \tag{26}$$

313 Given such a basis, the inverse metric tensor can be expressed as

$$\boldsymbol{g}^{-1} = \sum_{p=1}^{3} \boldsymbol{m}_p \otimes \boldsymbol{m}_p.$$
⁽²⁷⁾

Orthotropy with respect to the basis $\{m_p\}_{p=1}^3$ is defined as the symmetry (i.e., invariance) under reflection of any of the three m_p .

The orthonormal basis $\{m_p\}_{p=1}^3$ can be used to construct the basis for the space of second-order tensors in $[TS]_0^2$ as

$$\boldsymbol{z}_{pq} = \boldsymbol{m}_p \otimes \boldsymbol{m}_q, \tag{28}$$

and the basis for the space of fourth-order tensors in $[TS]_0^4$ as

$$\mathbb{Z}_{pqrs} = \boldsymbol{z}_{pq} \otimes \boldsymbol{z}_{rs} = \boldsymbol{m}_p \otimes \boldsymbol{m}_q \otimes \boldsymbol{m}_r \otimes \boldsymbol{m}_s.$$
⁽²⁹⁾

The basis for the subspace of the space of $[TS]_0^2$ with orthotropy with respect to $\{m_p\}_{p=1}^3$ is obtained by defining the three tensors [18]

$$\boldsymbol{a}_p = \boldsymbol{z}_{pp} = \boldsymbol{m}_p \otimes \boldsymbol{m}_p, \quad no \text{ sum on } p,$$
(30)

which are often called structure tensors or fabric tensors of the directions m_p . It is immediate to verify that the tensors (30) are invariant for reflections of the m_p (transformations mapping m_p into $-m_p$), i.e., are orthotropic with respect to $\{m_p\}_{p=1}^3$, linearly independent, and generate the space of orthotropic tensors with respect to $\{m_p\}_{p=1}^3$. The corresponding basis for the subspace of the space of fourth-order tensors in $[TS]_0^4$ with orthotropy with respect to $\{m_p\}_{p=1}^3$ was obtained by Walpole [18] as

$$\mathbb{U}_{pr} = \mathbb{Z}_{pprr}, \quad \forall p, r \in \{1, 2, 3\}, \text{ no sum on } p \text{ and } r,$$
(31a)

$$\mathbb{V}_1 = \frac{1}{2} \left[\mathbb{Z}_{2323} + \mathbb{Z}_{3232} \right],\tag{31b}$$

$$\mathbb{V}_2 = \frac{1}{2} \left[\mathbb{Z}_{1313} + \mathbb{Z}_{3131} \right],\tag{31c}$$

$$\mathbb{V}_3 = \frac{1}{2} \left[\mathbb{Z}_{1212} + \mathbb{Z}_{2121} \right]. \tag{31d}$$

A fourth-order tensor $\mathbb{T} \in [TS]_0^4$, orthotropic with respect to $\{m_p\}_{p=1}^3$, can be thus written as

$$\mathbb{T} = \tilde{\mathrm{T}}^{pr} \, \mathbb{U}_{pr} + \tilde{\mathrm{T}}^{\alpha} \, \mathbb{V}_{\alpha}, \tag{32}$$

where we call the collection $\{\tilde{T}\}\$ of Walpole's components \tilde{T}^{pr} and \tilde{T}^{α} Walpole's representation of the tensor \mathbb{T} . Similarly to the case of transverse isotropy, Walpole [18] showed that the basis tensors \mathbb{U}_{pr} constitute an algebra isomorphic to that of 3×3 matrices and that the components \tilde{T}^{pr} and \tilde{T}^{α} can be grouped as

$$\{\tilde{\mathbf{T}}\} = \left\{ [\tilde{\mathbf{T}}^{pr}], \tilde{\mathbf{T}}^1, \tilde{\mathbf{T}}^2, \tilde{\mathbf{T}}^3 \right\}.$$
(33)

The nine \tilde{T}^{pr} and the three \tilde{T}^{α} are obtained as the scalar product of T with each of the basis tensors:

$$\widetilde{\mathbf{T}}^{pr} = \langle \mathbb{T}, \mathbb{U}_{pr} \rangle, \qquad \widetilde{\mathbf{T}}^{\alpha} = \frac{1}{2} \langle \mathbb{T}, \mathbb{V}_{\alpha} \rangle.$$
(34)

Since $\mathbb{U}_{pr} = \mathbb{U}_{rp}^T$, diagonal symmetry of \mathbb{T} is attained if, and only if, the matrix $[\tilde{\mathbb{T}}^{pr}]$ is symmetric. In this case, \mathbb{T} has 9, rather than 12, independent components.

Note that the relation of Walpole's components \tilde{T}^{pr} and \tilde{T}^{α} with the conventional components T^{abcd} of T is quite more straightforward in the case of orthotropy compared to the case of transverse isotropy, indeed:

$$\{\tilde{\mathbf{T}}\} = \left\{ \begin{bmatrix} \mathbf{T}^{1111} & \mathbf{T}^{1122} & \mathbf{T}^{1133} \\ \mathbf{T}^{2211} & \mathbf{T}^{2222} & \mathbf{T}^{2233} \\ \mathbf{T}^{3311} & \mathbf{T}^{3322} & \mathbf{T}^{3333} \end{bmatrix}, 2 \, \mathbf{T}^{2323}, \, 2 \, \mathbf{T}^{1313}, \, 2 \, \mathbf{T}^{1212} \right\}.$$
(35)

The full-symmetric "contravariant" fourth-order identity, spherical and deviatoric operators in $[TS]_0^4$ of Equation (8) have Walpole's representations

$$\{\tilde{\mathbf{i}}^{\sharp}\} = \left\{ \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}, 1, 1, 1 \right\},$$
(36a)

$$\{\tilde{\mathbf{K}}^{\sharp}\} = \left\{ \begin{bmatrix} \frac{1}{3} & \frac{1}{3} & \frac{1}{3} \\ \frac{1}{3} & \frac{1}{3} & \frac{1}{3} \\ \frac{1}{3} & \frac{1}{3} & \frac{1}{3} \end{bmatrix}, 0, 0, 0, 0 \right\},$$
(36b)

$$\{\tilde{\mathbf{M}}^{\sharp}\} = \left\{ \begin{bmatrix} \frac{2}{3} & -\frac{1}{3} & -\frac{1}{3} \\ -\frac{1}{3} & \frac{2}{3} & -\frac{1}{3} \\ -\frac{1}{3} & -\frac{1}{3} & \frac{2}{3} \end{bmatrix}, 1, 1, 1, 1 \right\}.$$
 (36c)

Analogously to the case of transverse isotropy, all the associated tensors obtained from a tensor in $[TS]_0^4$ by lowering any of its indices by means of the metric tensor g share the same Walpole representation, as the transformation is entirely ascribed to the basis tensors. Again, this allows for orthotropic fourth-order tensors to be inverted, summed and double-contracted by working on their Walpole's representations.

positive definiteness and invertibility of an orthotropic fourth-order tensor \mathbb{T} are checked analogously to the case of transverse isotropy. \mathbb{T} is positive definite if the 3×3 matrix $[\tilde{T}^{pq}]$ in its Walpole's representation \tilde{T} is positive definite, and the three scalars \tilde{T}^{α} are strictly positive, and invertible if $[\tilde{T}^{pq}]$ is invertible and the three scalars \tilde{T}^{α} are different from zero. The Walpole representation of the inverse is analogous to that of the transversely isotropic case seen in Equation (25).

353 2.5 Hyperelasticity and Volumetric-Distortional Decomposition

Within a purely mechanical framework, the dissipation density D per unit volume of the undeformed configuration of a body comprised of a simple material is defined by [27]

$$D = -\dot{W} + \boldsymbol{S} : \dot{\boldsymbol{E}} \ge 0.$$
(37)

In the inequality (37), which has to hold at all points X of \mathcal{B}_R and at all times, W is the stored energy function per unit volume of \mathcal{B}_R , S is the second Piola-Kirchhoff stress tensor, and E is the Green-Lagrange strain tensor. For the case of a hyperelastic material, W and S are expressed as constitutive functions of E, such that

$$W = \hat{W}(\boldsymbol{E}), \quad \boldsymbol{S} = \hat{\boldsymbol{S}}(\boldsymbol{E}),$$
(38)

and W is referred to as the elastic potential (or strain energy) density. We remark that the constitutive functions may depend explicitly on the position X, in which case the material is inhomogeneous, but we omit indicating this dependence for the sake of a lighter notation. Substituting (38) into (37) yields

$$D = -\frac{\partial \hat{W}}{\partial \boldsymbol{E}}(\boldsymbol{E}) : \dot{\boldsymbol{E}} + \hat{\boldsymbol{S}}(\boldsymbol{E}) : \dot{\boldsymbol{E}}$$

$$= \left[\hat{\boldsymbol{S}}(\boldsymbol{E}) - \frac{\partial \hat{W}}{\partial \boldsymbol{E}}(\boldsymbol{E})\right] : \dot{\boldsymbol{E}} \ge 0.$$
(39)

The inequality (39) implies that D is a function of \boldsymbol{E} and $\dot{\boldsymbol{E}}$, i.e. $D = \hat{D}(\boldsymbol{E}, \dot{\boldsymbol{E}})$. Since $\dot{\boldsymbol{E}}$ is neither an independent nor a dependent constitutive variable, \hat{D} depends linearly on $\dot{\boldsymbol{E}}$ (in particular, $\hat{D}(\boldsymbol{E}, \mathbf{O}) = 0$), which therefore can be varied arbitrarily. Consequently, in order to ensure that the inequality is always respected, it must hold that

$$\left[\hat{\boldsymbol{S}}(\boldsymbol{E}) - \frac{\partial \hat{W}}{\partial \boldsymbol{E}}(\boldsymbol{E})\right] : \dot{\boldsymbol{E}} = 0,$$
(40)

which implies that the second Piola-Kirchhoff stress is given by the derivative of the elasticpotential with respect to the Green-Lagrange strain:

$$\boldsymbol{S} = \hat{\boldsymbol{S}}(\boldsymbol{E}) = \frac{\partial \hat{W}}{\partial \boldsymbol{E}}(\boldsymbol{E}).$$
(41)

The second derivative of the elastic potential is the material elasticity tensor

$$\mathbb{C} = \hat{\mathbb{C}}(\boldsymbol{E}) = \frac{\partial^2 \hat{W}}{\partial \boldsymbol{E}^2}(\boldsymbol{E}), \tag{42}$$

³⁷¹ which, evaluated at zero strain, yields the material linear elasticity tensor

$$\mathbb{L} = \hat{\mathbb{C}}(\mathbf{O}) = \frac{\partial^2 \hat{W}}{\partial \boldsymbol{E}^2}(\mathbf{O}).$$
(43)

The inverse Piola transform of the material elasticity tensor \mathbb{C} is the spatial elasticity tensor \mathbb{C} is the spatial elasticity tensor

$$\mathbb{C} = J^{-1} \chi_*[\mathbb{C}], \quad \mathrm{C}^{abcd} = J^{-1} F^a{}_A F^b{}_B F^c{}_C F^d{}_D \,\mathrm{C}^{ABCD}, \tag{44}$$

which, evaluated at zero strain, yields the spatial linear elasticity tensor \mathbb{L} . Equivalently, the spatial linear elasticity tensor \mathbb{L} can be obtained as the inverse Piola transform of the material linear elasticity tensor \mathbb{L} performed in the undeformed state, when J = 1 and F = 1, where 1 is the shifter [1, 22], i.e., in components,

$$\mathbf{L}^{abcd} = \mathbf{1}^{a}{}_{A}\mathbf{1}^{b}{}_{B}\mathbf{1}^{c}{}_{C}\mathbf{1}^{d}{}_{D}\mathbf{L}^{ABCD}.$$
(45)

Physically, the shifter parallel transports tangent vectors from a material point to a spatial 378 point and, in the most general case, its representing matrix is orthogonal, which means that 379 the components of \mathbb{L} and \mathbb{L} differ merely by a rigid rotation. Moreover, for the particular 380 case of collinear Cartesian coordinates in \mathcal{B}_R and \mathcal{S} , the components of the shifter 1 are 381 simply $\mathbf{1}^{a}_{A} = \delta^{a}_{A}$, and therefore the components of the material and spatial linear elasticity 382 tensors coincide. For this reason, in Linear Elasticity, it is practically equivalent to speak 383 about the material or the spatial linear elasticity tensor. Therefore, it is indifferent to 384 speak about material symmetries in the material or in the spatial picture, and this is why, 385 in Sections 2.2, 2.3, 2.4, we reported the tensor bases in the spatial picture only. We remark 386 that, in the general non-linear case, the material symmetries of a body are studied in the 387 material picture of Mechanics (e.g., [28, 1, 22, 15]). 388

When the volumetric-distortional decomposition of the deformation [13, 14] is employed, the elastic potential is written as a function

$$\hat{W}(\boldsymbol{E}) = \hat{\Psi}(J(\boldsymbol{E}), \bar{\boldsymbol{E}}(\boldsymbol{E})) \tag{46}$$

of the determinant J of the deformation gradient F and the distortional Green-Lagrange strain \bar{E} , which are both regarded as explicit functions of the "full" Green-Lagrange strain E. Note the slight abuse of notation in writing $J = J(E) = \sqrt{\det(2E+G)} = \sqrt{\det C}$ and $\bar{E} = \bar{E}(E)$. It has been shown [17, 16] that, with the decomposition (46), the material elasticity tensor reads

$$\mathbb{C} = -J p \left[3 \mathbb{K}^{\sharp *} - 2 \mathbb{I}^{\sharp *} \right] + 3 J^{2} \mathbb{K} \mathbb{K}^{\sharp *} +
+ J^{1/3} \left[\mathbf{B} \otimes (\mathbb{M}^{*} : \mathbf{Y}) + (\mathbb{M}^{*} : \mathbf{Y}) \otimes \mathbf{B} \right] +
+ J^{-4/3} \mathbb{M}^{*} : \tilde{\mathbb{C}} : \mathbb{M}^{*T} +
+ \frac{2}{3} J^{-2/3} \operatorname{Tr}^{*}(\tilde{\mathbf{S}}) \mathbb{M}^{\sharp *} -
- \frac{2}{3} \left[\mathbf{B} \otimes \operatorname{Dev}^{*}(\mathbf{S}) + \operatorname{Dev}^{*}(\mathbf{S}) \otimes \mathbf{B} \right],$$
(47)

where $p = -\partial \hat{\Psi}/\partial J$ is the hydrostatic pressure, $\tilde{S} = \partial \hat{\Psi}/\partial \bar{E}$ is the second Piola-Kirchhoff pseudo stress, $K = \partial^2 \hat{\Psi}/\partial J^2$ is the (large strain) bulk modulus, $Y = \partial^2 \hat{\Psi}/\partial J \partial \bar{E}$ is the coupling tensor (or interaction tensor), $\tilde{\mathbb{C}} = \partial^2 \hat{\Psi}/\partial \bar{E}^2$ is the pseudo elasticity tensor, $\mathrm{Tr}^*(\tilde{S}) = C : \tilde{S}$ is the pulled-back trace of \tilde{S} , $\mathrm{Dev}^*(S) = \mathbb{M}^* : S = J^{-2/3}\mathbb{M}^* : \tilde{S}$ is the pulled-back deviatoric part of S. The spatial elasticity tensor reads

$$C = -p \left[3\mathbb{K}^{\sharp} - 2\mathbb{I}^{\sharp} \right] + 3 J \mathrm{K} \mathbb{K}^{\sharp} + + J^{1/3} \left[g^{-1} \otimes (\mathbb{M} : \boldsymbol{y}) + (\mathbb{M} : \boldsymbol{y}) \otimes g^{-1} \right] + + J^{-4/3} \mathbb{M} : \tilde{C} : \mathbb{M}^{T} + + \frac{2}{3} J^{-2/3} \operatorname{tr}(\tilde{\sigma}) \mathbb{M}^{\sharp} - - \frac{2}{3} \left[g^{-1} \otimes \operatorname{dev}(\boldsymbol{\sigma}) + \operatorname{dev}(\boldsymbol{\sigma}) \otimes g^{-1} \right],$$
(48)

where $\tilde{\mathbb{C}} = J^{-1}\chi_*[\tilde{\mathbb{C}}], \, \tilde{\boldsymbol{\sigma}} = J^{-1}\chi_*[\tilde{\boldsymbol{S}}], \, \operatorname{dev}(\boldsymbol{\sigma}) = J^{-1}\chi_*[\operatorname{Dev}^*(\boldsymbol{S})], \, \operatorname{and} \, \boldsymbol{y} = J^{-1}\chi_*[\boldsymbol{Y}] \text{ are the}$ inverse Piola transforms of $\tilde{\mathbb{C}}, \, \tilde{\boldsymbol{S}}, \, \operatorname{Dev}^*(\boldsymbol{S}), \, \operatorname{and} \, \boldsymbol{Y}, \, \operatorname{respectively}, \, \operatorname{and} \, \operatorname{tr}(\tilde{\boldsymbol{\sigma}}) = J^{-1}\operatorname{Tr}^*(\tilde{\boldsymbol{S}}).$

If the undeformed configuration, achieved when E vanishes and J is identically one, is also stress-free, then both p and σ vanish identically, and the linear elasticity tensor is obtained from Equation (48) as

$$\mathbb{L} = 3\kappa \,\mathbb{K}^{\sharp} + \boldsymbol{g}^{-1} \otimes [\mathbb{M} : \boldsymbol{\alpha}] + [\mathbb{M} : \boldsymbol{\alpha}] \otimes \boldsymbol{g}^{-1} + \mathbb{M} : [\tilde{\mathbb{L}} + 2\beta \,\mathbb{M}^{\sharp}] : \mathbb{M}^{T},$$
(49)

where the (linear elasticity) bulk modulus κ , α , β and $\tilde{\mathbb{L}}$ are the values of K, \boldsymbol{y} , $\frac{1}{3}$ tr($\tilde{\boldsymbol{\sigma}}$) and $\tilde{\mathbb{C}}$, respectively, in the undeformed configuration.

It has also been shown [17] that, in the purely algebraic decomposition of the linear elasticity tensor, obtained by premultiplying \mathbb{L} by the identity \mathbb{I} , post multiplying by \mathbb{I}^T , and decomposing the identity into $\mathbb{K} + \mathbb{M}$, i.e.,

$$\mathbb{L} = \mathbb{I} : \mathbb{L} : \mathbb{I}^T = (\mathbb{K} + \mathbb{M}) : \mathbb{L} : (\mathbb{K} + \mathbb{M})^T$$
$$= \mathbb{K} : \mathbb{L} : \mathbb{K}^T + \mathbb{K} : \mathbb{L} : \mathbb{M}^T + \mathbb{M} : \mathbb{L} : \mathbb{K}^T + \mathbb{M} : \mathbb{L} : \mathbb{M}^T,$$
(50)

411 the identities

$$\mathbb{K}:\mathbb{L}:\mathbb{K}^T = 3\kappa \,\mathbb{K}^\sharp,\tag{51a}$$

$$\mathbb{K}: \mathbb{L}: \mathbb{M}^T = \boldsymbol{g}^{-1} \otimes [\mathbb{M}: \boldsymbol{\alpha}], \tag{51b}$$

$$\mathbb{M}: \mathbb{L}: \mathbb{K}^T = [\mathbb{M}: \boldsymbol{\alpha}] \otimes \boldsymbol{g}^{-1}, \qquad (51c)$$

$$\mathbb{M} : \mathbb{L} : \mathbb{M}^T = \mathbb{M} : [\tilde{\mathbb{L}} + 2\beta \,\mathbb{M}^\sharp] : \mathbb{M}^T, \tag{51d}$$

hold, implying that the expression (49) of the linear elasticity tensor, obtained by use of the decomposition of the deformation, is term-by-term equivalent to the purely algebraic decomposition (50). In Equations (51), the term (51a) is *purely spherical*, the terms (51b) and (51c) are *mixed*, and the term (51d) is *purely deviatoric*. Equations (51) are the key result in the evaluation of the linear elasticity tensor of strictly incompressible and quasi-incompressible materials.

It is very important to note that, because of the orthogonality of the spherical and deviatoric operators, each of the four terms (51) is orthogonal to the other three in the scalar product induced by the metric \boldsymbol{g} in the space $[TS]_0^4$ of fourth-order "contravariant" tensors. In particular, we note that, since $\mathbb{K} : \mathbb{L} : \mathbb{K}^T = 3\kappa \mathbb{K}^{\sharp}$ is orthogonal to the other three terms, and $\langle \mathbb{K}^{\sharp}, \mathbb{K}^{\sharp} \rangle = 1$, it is possible to obtain the bulk modulus as

$$\kappa = \frac{1}{3} \langle \mathbb{K}^{\sharp}, \mathbb{L} \rangle = \frac{1}{3} \langle \mathbb{K}^{\sharp}, \mathbb{K} : \mathbb{L} : \mathbb{K}^{T} \rangle = \frac{1}{3} \langle \mathbb{K}^{\sharp}, 3\kappa \mathbb{K}^{\sharp} \rangle = \frac{1}{9} g_{ab} g_{cd} \mathbb{L}^{abcd}.$$
 (52)

423 **3** Incompressibile Hyperelasticity

This section is dedicated to the derivation of the conditions that the linear elasticity tensor must obey for the cases of strict incompressibility and quasi-incompressibility. Strict incompressibility is a kinematical constraint on the volumetric deformation $J = \det F$, whereas quasi-incompressibility is obtained by requiring that a very large elastic energy is needed to make the volumetric deformation J change from its initial value of 1.

429 3.1 Strict Incompressibility

When the deformation is isochoric (strict incompressibility), \dot{E} in Equation (40) is no longer arbitrary. Rather, it is subjected to the constraint

$$\dot{J} = J\operatorname{div}(\boldsymbol{v}) = J\boldsymbol{B} : \dot{\boldsymbol{E}} = \frac{1}{2}J\boldsymbol{B} : \dot{\boldsymbol{C}} = 0,$$
(53)

which states that the only admissible deformations are those such that $B = C^{-1}$ is orthogonal to \dot{C} in the sense of (53), i.e., $B : \dot{C} = B^{AB}\dot{C}_{AB} = 0$. Since the constraint (53) is holonomic, it can be put into algebraic form by direct integration with respect to time. Setting, with the usual abuse of notation, J = J(E), and performing the integration under the condition that J is equal to one in the undeformed configuration leads to J = J(E) = 1.

438 Combining Equations (40) and (53) one obtains

$$\boldsymbol{S} - \frac{\partial \hat{W}}{\partial \boldsymbol{E}}(\boldsymbol{E}) = \lambda \, J \, \boldsymbol{B},\tag{54}$$

where λ is an arbitrary scalar, Lagrange multiplier arising from the kinematical constraint of isochoric motion. If we denote the hydrostatic pressure by π , in order to distinguish it from the "constitutive" hydrostatic pressure $p = -\partial \hat{\Psi}/\partial J$ introduced in the previous section, and recall the definition of hydrostatic pressure as the scalar of the spherical part (hydrostatic stress) of the Cauchy stress $\boldsymbol{\sigma}$,

$$-\pi \, \boldsymbol{g}^{-1} = \mathbb{K} : \boldsymbol{\sigma} = \frac{1}{3} \operatorname{tr}(\boldsymbol{\sigma}) \, \boldsymbol{g}^{-1} = \frac{1}{3} \left(\boldsymbol{g} : \boldsymbol{\sigma} \right) \boldsymbol{g}^{-1}, \tag{55}$$

and its full Piola transform,

$$-J\pi \boldsymbol{B} = J \mathbb{K}^*: \boldsymbol{S} = \frac{1}{3} J \operatorname{Tr}^*(\boldsymbol{S}) \boldsymbol{B} = \frac{1}{3} J (\boldsymbol{C}: \boldsymbol{S}) \boldsymbol{B},$$
(56)

involving the second Piola-Kirchhoff stress S, it can be shown that $\lambda = -\pi$ if, and only if,

$$\operatorname{Tr}^{*}\left(\frac{\partial \hat{W}}{\partial \boldsymbol{E}}(\boldsymbol{E})\right) = \frac{\partial \hat{W}}{\partial \boldsymbol{E}}(\boldsymbol{E}) : \boldsymbol{C} = 0,$$
(57)

where we recall that Tr^* is the pulled-back trace operator [16] described in Section 2.2. If \hat{W} is regarded a function of C rather than of E, Equation (57) means that the potential has to be a homogeneous function of order zero in C. Exploiting Euler's theorem on homogeneous functions (see [3]) and going back to the argument E, one shows that the potential \hat{W} must have the form

$$\hat{W}(\boldsymbol{E}) = \hat{W}_d(\bar{\boldsymbol{E}}(\boldsymbol{E})), \tag{58}$$

⁴⁵¹ i.e., \hat{W} must be given by an explicit function \hat{W}_d of the distortional strain \bar{E} , called ⁴⁵² distortional potential. The second Piola-Kirchhoff stress reads

$$\boldsymbol{S} = \hat{\boldsymbol{S}}(\boldsymbol{E}) = \operatorname{Sph}^{*}(\boldsymbol{S}) + \operatorname{Dev}^{*}(\boldsymbol{S}) = -J \pi \boldsymbol{B} + \frac{\partial W}{\partial \boldsymbol{E}}(\boldsymbol{E}),$$
(59)

where we recall that Sph^{*} and Dev^{*} are the pulled-back spherical and deviatoric operators associated with \mathbb{K}^* and \mathbb{M}^* , respectively (Equation (12)). The material elasticity tensor is evaluated as in Equation (60), keeping in mind that all derivatives of $\hat{W}(\boldsymbol{E}) = \hat{W}_d(\bar{\boldsymbol{E}}(\boldsymbol{E}))$ with respect to J vanish identically:

$$\mathbb{C} = -J \pi \left[3 \mathbb{K}^{\sharp *} - 2 \mathbb{I}^{\sharp *} \right] + + J^{-4/3} \mathbb{M}^* : \tilde{\mathbb{C}} : \mathbb{M}^{*T} + + \frac{2}{3} J^{-2/3} \operatorname{Tr}^*(\tilde{\boldsymbol{S}}) \mathbb{M}^{\sharp *} - - \frac{2}{3} \left[\boldsymbol{B} \otimes \operatorname{Dev}^*(\boldsymbol{S}) + \operatorname{Dev}^*(\boldsymbol{S}) \otimes \boldsymbol{B} \right].$$
(60)

457 The spatial elasticity tensor is therefore

$$C = -\pi \left[3\mathbb{K}^{\sharp} - 2\mathbb{I}^{\sharp} \right] + + J^{-4/3} \mathbb{M} : \tilde{\mathbb{C}} : \mathbb{M}^{T} + + \frac{2}{3} J^{-2/3} \operatorname{tr}(\tilde{\boldsymbol{\sigma}}) \mathbb{M}^{\sharp} - - \frac{2}{3} \left[\boldsymbol{g}^{-1} \otimes \operatorname{dev}(\boldsymbol{\sigma}) + \operatorname{dev}(\boldsymbol{\sigma}) \otimes \boldsymbol{g}^{-1} \right],$$
(61)

458 and the linear elasticity tensor reduces to

$$\mathbb{L} = \mathbb{M} : [\tilde{\mathbb{L}} + 2\beta \,\mathbb{M}^{\sharp}] : \mathbb{M}^{T}.$$
(62)

Comparing Equations (62) and (51) we conclude that the linear elasticity tensor L of a strictly incompressible material must obey the three conditions

$$\mathbb{K} : \mathbb{L} : \mathbb{K}^T = \mathbb{O}, \quad \mathbb{K} : \mathbb{L} : \mathbb{M}^T = \mathbb{O}, \quad \mathbb{M} : \mathbb{L} : \mathbb{K}^T = \mathbb{O}, \tag{63}$$

i.e., it must not contain spherical or mixed terms, but exclusively the deviatoric one. This
result is valid in general, *regardless* of the material symmetry.

463 3.2 Quasi-Incompressibility

In this case, the elastic potential admits the particular *decoupled* form

$$\hat{W}(\boldsymbol{E}) = \hat{\Psi}(J(\boldsymbol{E}), \bar{\boldsymbol{E}}(\boldsymbol{E})) = \hat{U}(J(\boldsymbol{E})) + \hat{W}_d(\bar{\boldsymbol{E}}(\boldsymbol{E})).$$
(64)

The mixed derivative $Y = \partial^2 \hat{\Psi} / \partial J \partial \bar{E}$ vanishes identically, which yields the material elasticity tensor

$$\mathbb{C} = -J p \left[3 \mathbb{K}^{\sharp *} - 2 \mathbb{I}^{\sharp *} \right] + 3 J^{2} \mathbb{K} \mathbb{K}^{\sharp *} +
+ J^{-4/3} \mathbb{M}^{*} : \tilde{\mathbb{C}} : \mathbb{M}^{*T} +
+ \frac{2}{3} J^{-2/3} \operatorname{Tr}^{*}(\tilde{\boldsymbol{S}}) \mathbb{M}^{\sharp *} -
- \frac{2}{3} \left[\boldsymbol{B} \otimes \operatorname{Dev}^{*}(\boldsymbol{S}) + \operatorname{Dev}^{*}(\boldsymbol{S}) \otimes \boldsymbol{B} \right],$$
(65)

the spatial elasticity tensor

$$C = -p \left[3 \mathbb{K}^{\sharp} - 2 \mathbb{I}^{\sharp} \right] + 3 J \mathrm{K} \mathbb{K}^{\sharp} + + J^{-4/3} \mathbb{M} : \tilde{\mathbb{C}} : \mathbb{M}^{T} + + \frac{2}{3} J^{-2/3} \operatorname{tr}(\tilde{\boldsymbol{\sigma}}) \mathbb{M}^{\sharp} - - \frac{2}{3} \left[\boldsymbol{g}^{-1} \otimes \operatorname{dev}(\boldsymbol{\sigma}) + \operatorname{dev}(\boldsymbol{\sigma}) \otimes \boldsymbol{g}^{-1} \right],$$
(66)

⁴⁶⁵ and the linear elasticity tensor

$$\mathbb{L} = 3\kappa \,\mathbb{K}^{\sharp} + \mathbb{M} : [\tilde{\mathbb{L}} + 2\beta \,\mathbb{M}^{\sharp}] : \mathbb{M}^{T}.$$
(67)

Comparing Equations (67) and (51), we deduce that the linear elasticity tensor of a quasi-incompressible material must obey the two conditions

$$\mathbb{K} : \mathbb{L} : \mathbb{M}^T = \mathbb{O}, \quad \mathbb{M} : \mathbb{L} : \mathbb{K}^T = \mathbb{O}, \tag{68}$$

i.e., it must contain no mixed terms, but only the spherical and the deviatoric ones. By comparing Equations (62) and (67) and recalling (end of Section 2.5) that the term \mathbb{K} : $\mathbb{L} : \mathbb{K}^T = 3\kappa \mathbb{K}^{\sharp}$ is orthogonal to the other three terms in Equation (51), it is evident that, as one would expect, the linear elasticity tensor for the quasi-incompressible case has one additional parameter with respect to the strictly incompressible case. It is convenient to identify this one additional parameter with the bulk modulus κ , obtained in Equation (52). We emphasise again that this is valid *regardless* of the material symmetry.

Remark 3.1. We take this chance to remark that the decoupled potential (64) can be used 475 exclusively for quasi-incompressible materials, and yields inconsistent material behaviour 476 in the general compressible case: this has been reported a few decades ago by Musgrave 477 [29] in the context of crystal elasticity, and demonstrated in a previous work [17] with 478 the same methodology used here, i.e., by linearising the spatial elasticity tensor of the 479 non-linear theory. Indeed, if the potential (64) were used for a compressible material, 480 the linear elasticity tensor would be subjected to the conditions (68), which would reduce 481 the number of independent elastic constants with respect to the general case. Therefore, 482 one would find a compressible material with a given symmetry having less independent 483 constants than expected (e.g., 4 rather than 5 for transverse isotropy, and 7, rather than 9, 484 for orthotropy, as we shall show in Section 4 for quasi-incompressible materials). Whereas 485 nothing, in principle, prevents conditions (68) from occurring for a compressible material, 486 such material cannot certainly be considered a general case. Indeed, a first consequence 487 of the adoption of (64) for the compressible case would be that an anisotropic material 488 would not undergo distortional deformations under a hydrostatic stress, which is contrary 489 to experimental observation (this has also been remarked in a recent paper by Vergori et 490 al. [30]). 491

⁴⁹² 4 Some Particular Material Symmetries

The conditions (63) for strict incompressibility and (68) for quasi-incompressibility are general, and hold regardless of material symmetry. When a material symmetry is given, conditions (63) and (68) can be employed to find the number of independent components of the elasticity tensor. As we shall show, the case of isotropy is trivial. For the cases of transverse isotropy and orthotropy, it is convenient to enforce conditions (63) and (68) within Walpole's formalism [18], which, due to the isomorphism between fourth-order tensors and the corresponding Walpole's representations, allows for evaluating the double contractions of tensors in conditions (63) and (68) by means of the matrix multiplication of the matrix parts and regular multiplication of scalars of the scalar parts of the corresponding Walpole's representations of the tensors.

503 4.1 Isotropy

The linear elasticity tensor of a generic isotropic material is a fourth-order tensor in $[TS]_0^4$ with the form

$$\mathbf{L} = 3\kappa\,\mathbf{K}^{\sharp} + 2\mu\,\mathbf{M}^{\sharp},\tag{69}$$

where κ is the bulk modulus and μ is the shear modulus. If strict incompressibility is enforced, conditions (63) impose the that the linear elasticity tensor has only one independent elastic modulus, the shear modulus μ , and representation

$$\mathbb{L}_{\text{strict}} = 2\mu \,\mathbb{M}^{\sharp}.\tag{70}$$

In contrast, the quasi-incompressibility conditions (68), are always identically verified, and therefore the elasticity tensor keeps two independent elastic constants, as in the general compressible case, and reads

$$\mathbb{L}_{\text{quasi}} = 3\kappa \,\mathbb{K}^{\sharp} + 2\mu \,\mathbb{M}^{\sharp},\tag{71}$$

where the bulk modulus κ is much larger than the shear modulus μ .

Remark 4.1. Note that a quite common representation for isotropic elasticity tensors is 513 in the form $\mathbb{L} = 3\lambda \mathbb{K}^{\sharp} + 2\mu \mathbb{I}^{\sharp}$, where λ and μ are called *Lamé's constants*, and μ is still 514 the shear modulus. This representation is very useful in several circumstances, such as, 515 for example, in computations based on the Finite Element Method, where the term $2\mu I^{\sharp}$ 516 generates the symmetric, positive definite modified stiffness operator relating the nodal 517 displacements with the nodal pressures and the external generalised forces (cf., e.g., [31]). 518 Nevertheless, we believe that there are cases in which the representation $\mathbb{L} = 3\kappa \mathbb{K}^{\sharp} + 2\mu \mathbb{M}^{\sharp}$ 519 is more advantageous and physically sound. Indeed, the algebraic computations involving 520 the elasticity tensor are easier (and their physical meaning becomes clearer), since \mathbb{K}^{\sharp} and 521 \mathbb{M}^{\sharp} form an orthogonal basis [18, 20, 17, 16] (in contrast, \mathbb{K}^{\sharp} and \mathbb{I}^{\sharp} do not). Moreover, the 522 constants κ and μ , which must be both strictly positive, have a direct physical meaning. 523 For this reason, we prefer the representation terms of in \mathbb{K}^{\sharp} and \mathbb{M}^{\sharp} . 524

525 4.2 Transverse Isotropy

⁵²⁶ Using Walpole's formalism (Section 2.3), the linear elasticity tensor L of a generic trans-⁵²⁷ versely isotropic material has representation

$$\{\tilde{\mathbf{L}}\} = \left\{ \begin{bmatrix} n & \sqrt{2} \ l \\ \sqrt{2} \ l & 2c \end{bmatrix}, 2\mu_t, 2\mu_a \right\},\tag{72}$$

where *n* is the elastic modulus in uniaxial strain (compare with the Young's modulus, which is the modulus in uniaxial stress), *c* is the plane-strain bulk modulus (in the transverse plane), *l* is called cross modulus, μ_t is the shear modulus in the transverse plane, and μ_a is the shear modulus in any plane containing the symmetry axis.

⁵³² The three strict incompressibility conditions (63) reduce to the two scalar conditions

$$n + 4(c + l) = 0, \qquad n - 2c + l = 0,$$
(73)

where we note that $\mathbb{K} : \mathbb{L} : \mathbb{M}^T = \mathbb{O}$ and $\mathbb{M} : \mathbb{L} : \mathbb{K}^T = \mathbb{O}$ both yield n - 2c + l = 0. These two conditions state that only one of n, c and l is independent. Mathematically, electing any of the three as the independent parameter is indifferent. However, looking at the physical meaning of each, we note that the most appropriate choice is

$$\alpha = -l. \tag{74}$$

Indeed, both uniaxial strain and plane strain, to which n and c refer, respectively, are strain 537 states that *cannot* be attained under the constraint of isochoric motion. The parameter l, 538 instead, can be thought to be related to a triaxial state of strain that is compatible with 539 isochoric motion. The cross-modulus l is the transversely isotropic equivalent of the first 540 Lamé's modulus λ of isotropic elasticity, to which it reduces in the limit case, as it can 541 be easily verified with Spencer's representation [21]. Note that, in general, similarly to λ , 542 l can be negative, and must indeed be negative to ensure positive semi-definiteness and 543 therefore convexity for the case of strict incompressibility, as we shall see in Section 5. 544 With this choice, the linear elasticity tensor for strict incompressibility is represented by 545

$$\{\tilde{\mathbf{L}}_{\text{strict}}\} = \left\{ \begin{bmatrix} 2\alpha & -\sqrt{2} \ \alpha \\ -\sqrt{2} \ \alpha & \alpha \end{bmatrix}, 2\mu_t, 2\mu_a \right\},\tag{75}$$

⁵⁴⁶ with only three (from the original five) independent elastic constants.

⁵⁴⁷ The quasi-incompressibility conditions (68) yield the single scalar condition

$$n - 2c + l = 0, (76)$$

meaning that only two of n, c and l are independent. Here we choose, as independent parameters, the bulk modulus

$$\kappa = \frac{1}{3} \left\langle \mathbb{K}^{\sharp}, \mathbb{L} \right\rangle = \frac{1}{9} g_{ab} g_{cd} \mathbb{L}^{abcd} = \frac{1}{9} [n + 4 (c + l)], \tag{77}$$

which is a linear combination of n, c and l, obtained by applying Equation (52) to the case of transverse isotropy, and

$$\alpha' = \kappa - l. \tag{78}$$

With this choice, the linear elasticity tensor for the transversely isotropic quasi-incompressible case reads

$$\{\tilde{\mathbf{L}}_{\text{quasi}}\} = \left\{ \begin{bmatrix} \kappa + 2\alpha' & \sqrt{2} (\kappa - \alpha') \\ \sqrt{2} (\kappa - \alpha') & 2\kappa + \alpha' \end{bmatrix}, 2\mu_t, 2\mu_a \right\},\tag{79}$$

with four independent elastic constants: one more than for the case of strict incompressibility. Recalling Walpole's transversely isotropic representation of \mathbb{K}^{\sharp} (Equations (24)), the elasticity tensor can be written as

$$\{\tilde{\mathbf{L}}_{\text{quasi}}\} = 3\kappa \{\tilde{\mathbf{K}}\} + \{\tilde{\mathbf{L}}'_{\text{strict}}\},\tag{80}$$

where $\{\tilde{L}'_{\text{strict}}\}\$ has the same form as $\{\tilde{L}_{\text{strict}}\}\$ of Equation (75), except for α being replaced by α' . Equation (80) emphasises that the quasi-incompressible case has one additional independent elastic constant with respect to the strictly incompressible case.

560 4.3 Orthotropy

Using Walpole's formalism (Section 2.4), the linear elasticity tensor L of a generic orthotropic material has representation

$$\{\tilde{\mathbf{L}}\} = \left\{ \begin{bmatrix} \mathbf{L}^{1111} & \mathbf{L}^{1122} & \mathbf{L}^{1133} \\ \mathbf{L}^{1122} & \mathbf{L}^{2222} & \mathbf{L}^{2233} \\ \mathbf{L}^{1133} & \mathbf{L}^{2233} & \mathbf{L}^{3333} \end{bmatrix}, 2\mu_{23}, 2\mu_{13}, 2\mu_{12} \right\},$$
(81)

where the diagonal elements of the symmetric 3×3 matrix are the moduli in uniaxial strain in the three orthotropic directions, the off-diagonal elements are the cross moduli, and μ_{pq} are the shear moduli in the pq-planes.

Conditions (63) for strict incompressibility reduce to the three independent scalar conditions

$$L^{1111} + L^{2222} + L^{3333} + 2L^{2233} + 2L^{1133} + 2L^{1122} = 0,$$
(82a)

$$2L^{1111} - L^{2222} - L^{3333} - 2L^{2233} + L^{1133} + L^{1122} = 0,$$
(82b)

$$-L^{1111} + 2L^{2222} - L^{3333} + L^{2233} - 2L^{1133} + L^{1122} = 0, \qquad (82c)$$

which imply that only three of the six L^{ppqq} (no sum on p and q) are independent. Supported by arguments analogical to those made for the case of transverse isotropy, we choose, as independent parameters, the negatives of the cross moduli, i.e.,

$$\alpha_{pq} = -L^{ppqq}, \quad p \neq q, \text{ no sum on } p \text{ and } q,$$
(83)

571 and obtain the representation

$$\{\tilde{\mathbf{L}}_{\text{strict}}\} = \left\{ \begin{bmatrix} \alpha_{12} + \alpha_{13} & -\alpha_{12} & -\alpha_{13} \\ -\alpha_{12} & \alpha_{12} + \alpha_{23} & -\alpha_{23} \\ -\alpha_{13} & -\alpha_{23} & \alpha_{13} + \alpha_{23} \end{bmatrix}, 2\mu_{23}, 2\mu_{13}, 2\mu_{12} \right\},$$
(84)

⁵⁷² with six independent elastic constants (from the original nine).

573 For the case of orthotropy, the quasi-incompressibility conditions (68) yield the two 574 scalar conditions

$$2L^{1111} - L^{2222} - L^{3333} - 2L^{2233} + L^{1133} + L^{1122} = 0,$$
(85a)

$$-L^{1111} + 2L^{2222} - L^{3333} + L^{2233} - 2L^{1133} + L^{1122} = 0,$$
(85b)

⁵⁷⁵ meaning that only four of the six L^{ppqq} (no sum on p and q) are independent. If the ⁵⁷⁶ independent parameters are chosen to be the bulk modulus

$$\kappa = \frac{1}{3} \langle \mathbb{K}^{\sharp}, \mathbb{L} \rangle = \frac{1}{9} g_{ab} g_{cd} \mathbb{L}^{abcd} =$$

= $\frac{1}{9} (\mathbb{L}^{1111} + \mathbb{L}^{2222} + \mathbb{L}^{3333} + 2 \mathbb{L}^{2233} + 2 \mathbb{L}^{1133} + 2 \mathbb{L}^{1122}),$ (86)

⁵⁷⁷ obtained by applying equation (52) to the case of orthotropy, and

$$\alpha'_{pq} = \kappa - \mathbf{L}^{ppqq}, \quad p \neq q, \text{ no sum on } p \text{ and } q,$$
(87)

⁵⁷⁸ the linear elasticity tensor for the orthotropic quasi-incompressible case reads

$$\{\tilde{L}_{quasi}\} = \left\{ \begin{bmatrix} \kappa + \alpha'_{12} + \alpha'_{13} & \kappa - \alpha'_{12} & \kappa - \alpha'_{13} \\ \kappa - \alpha'_{12} & \kappa + \alpha'_{12} + \alpha'_{23} & \kappa - \alpha'_{23} \\ \kappa - \alpha'_{13} & \kappa - \alpha'_{23} & \kappa + \alpha'_{13} + \alpha'_{23} \end{bmatrix}, 2\mu_{23}, 2\mu_{13}, 2\mu_{12} \right\}, \quad (88)$$

with seven independent elastic constants: again, one more than for the case of strict incompressibility. Similarly to what has been done for the case of transverse isotropy, considering the orthotropic representation of \mathbb{K}^{\ddagger} (Equations (36)), the elasticity tensor can be written

$$\{\tilde{\mathbf{L}}_{\text{quasi}}\} = 3\kappa \{\tilde{\mathbf{K}}\} + \{\tilde{\mathbf{L}}'_{\text{strict}}\},\tag{89}$$

where $\{\tilde{L}'_{\text{strict}}\}\$ has the same form as $\{\tilde{L}_{\text{strict}}\}\$ of Equation (84), except for the parameters $\alpha_{pq}\$ being replaced by α'_{pq} .

585 5 Positive Definiteness and Invertibility

As already remarked at the end of Section 3.2, by comparing Equations (62) and (67), 586 we deduce that the strictly incompressible and the quasi-incompressible cases differ from 587 each other because of the presence of the bulk modulus κ as an additional parameter in 588 the latter case. Here we would like to show that, for this reason, the linear elasticity 589 tensor is positive semi-definite for the case of strict incompressibility and positive defi-590 nite for the case of quasi-incompressibility. For the case of quasi-incompressibility, the 591 positive definiteness of the elasticity tensor implies its invertibility. For the case of strict 592 incompressibility, the positive semi-definiteness of the elasticity tensor, implying its non-593 invertibility, mathematically translates the physical impossibility to have an infinite bulk 594 modulus. This can be shown by looking at the examples of isotropy, transverse isotropy, 595 and orthotropy reported in Section 4. 596

For the isotropic quasi-incompressible case (but this is identical for the general compressible case), the inverse of the elasticity tensor $\mathbb{L}_{quasi} = 3\kappa \mathbb{K}^{\sharp} + 2\mu \mathbb{M}^{\sharp}$ is given by $\mathbb{L}_{quasi}^{-1} = (3\kappa)^{-1}\mathbb{K}^{\flat} + (2\mu)^{-1}\mathbb{M}^{\flat}$, as is immediately verifiable by evaluating $\mathbb{L}_{quasi} : \mathbb{L}_{quasi}^{-1} = \mathbb{I}$ in components, or by accounting for the orthogonality and idempotence of \mathbb{K} and \mathbb{M} [19, 18, 16]. Moreover, \mathbb{L}_{quasi} is positive definite if, and only if, both κ and μ are positive. For the isotropic strictly incompressible case, it is evident that $\mathbb{L}_{strict} = 2\mu \mathbb{M}^{\sharp}$ is not invertible, and therefore it is only positive semi-definite, provided that μ is positive.

Exploiting Walpole's formalism [18], the transversely isotropic and orthotropic cases are treated in a similar way. In Walpole's representation of the elasticity tensors for transverse isotropy (Equations (75) and (79)) and orthotropy (Equations (84) and (88)), the individual scalars (shear moduli) must be positive and the matrix must be positive definite to ensure positive definiteness of the tensor. The positive definiteness of the matrix parts can be checked by evaluating their eigenvalues.

For transverse isotropy, the eigenvalues of the 2×2 matrix are

$$0, \quad 3\alpha, \tag{90}$$

for strict incompressibility (positive semi-definiteness attained for $\alpha > 0$, i.e., l < 0), and

$$3\kappa, \quad 3\alpha' = 3(\kappa - l),\tag{91}$$

for quasi-incompressibility (positive definiteness attained for $\kappa > 0$ and $\kappa > l$).

For orthotropy, the eigenvalues of the 3×3 matrix are

0,
$$(\alpha_{23} + \alpha_{13} + \alpha_{12}) \pm \sqrt{(\alpha_{23} + \alpha_{13} + \alpha_{12})^2 - 3(\alpha_{23}\alpha_{13} + \alpha_{13}\alpha_{12} + \alpha_{12}\alpha_{23})}$$
, (92)

for strict incompressibility (positive semi-definiteness attained for $(\alpha_{23} + \alpha_{13} + \alpha_{12}) > 0$, i.e., $(L^{2233} + L^{1133} + L^{1122}) < 0$, as the symmetry of the matrix ensures that the eigenvalues are all real, and the term under square root is positive and smaller than $(\alpha_{23} + \alpha_{13} + \alpha_{12})$, in absolute value), and

$$3\kappa, \quad (\alpha'_{23} + \alpha'_{13} + \alpha'_{12}) \pm \sqrt{(\alpha'_{23} + \alpha'_{13} + \alpha'_{12})^2 - 3(\alpha'_{23}\alpha'_{13} + \alpha'_{13}\alpha'_{12} + \alpha'_{12}\alpha'_{23})}, \quad (93)$$

for quasi-incompressibility (positive definiteness attained for $\kappa > 0$ and $(\alpha'_{23} + \alpha'_{13} + \alpha'_{12}) > 0$, i.e., $\kappa > \frac{1}{3}(L^{2233} + L^{1133} + L^{1122}))$.

We conclude noting that, regardless of the material symmetry, if the term $3\kappa \mathbb{K}^{\sharp}$, with $\kappa > 0$, is added to $\mathbb{L}_{\text{strict}}$ (which is equivalent to referring to the corresponding quasiincompressible material), the resulting fourth-order tensor can be inverted. Then, the strictly incompressible case is retrieved by performing the limit for $\kappa \to \infty$.

624 6 Discussion

In order to retrieve the correct expression of the linear elasticity tensor for incompress-625 ible materials, we followed the path dictated by the non-linear Theory of Elasticity, and 626 modelled incompressibility in two ways. In the strict incompressibility approach, one im-627 poses the kinematical constraint of isochoric motion, and treats the hydrostatic pressure 628 as the associated Lagrange multiplier. In the quasi-incompressibility approach, one uses 629 the bulk modulus as a penalty number to keep volumetric deformations very small. We de-630 rived the algebraic conditions for a fourth-order tensor to represent the elasticity tensor of 631 strictly incompressible and quasi-incompressible materials, regardless of the material sym-632 metry. This constitutes a rigorous framework for the determination of the correct form 633 of the linear elasticity tensor of incompressible materials, which can be used to enforce 634 the physical requirement of compatibility of a non-linear elastic material with its linear 635 counterpart [7, 17, 16]. 636

By using the elegant formalism introduced by Walpole [18], we studied the cases of 637 isotropy, transverse isotropy and orthotropy. We proved that the linear elasticity tensor 638 for the case of isotropy, transverse isotropy and orthotropy is characterised by 1, 3, 6 in-639 dependent material parameters, respectively, in the strictly incompressible case (i.e. when 640 the kinematically admissible deformations are isochoric), and by 2, 4, 7 independent ma-641 terial parameters, respectively, in the quasi-incompressible case (i.e. when the volumetric-642 deviatoric decoupling of the strain energy function is considered), from the original 2, 5. 643 9 parameters, respectively, of compressible linear elasticity. Walpole's formalism makes 644 the study of the positive definiteness of the elasticity tensor extremely simple: a tensor 645 is positive definite if its Walpole's representation is such that the matrix part is positive 646 definite, and all the scalars are positive (note that if the tensor is positive definite then it 647 is invertible, and that positive semi-definiteness is treated analogously). 648

An immediate application of the results presented here is for all those elastic potentials 649 defined in terms of the linear elasticity tensor. This is the case of Fung-type potentials 650 [32, 33, 34], which are monotonic functions of a quadratic form in the Green-Lagrange 651 strain \boldsymbol{E} , i.e., take the form $\hat{W}(\boldsymbol{E}) = a \, \varphi(\frac{1}{2} \, \boldsymbol{E} : \mathbb{Q} : \boldsymbol{E})$, where a is a material constant, \mathbb{Q} 652 is a symmetric, positive definite (or positive semi-definite) fourth-order tensor in $[T\mathcal{B}_R]_0^4$ 653 and φ is a convex, monotonic function (Fung's original potential is exponential, with 654 $\varphi = \exp{-id}$, where id is the identity in \mathbb{R}). It has been shown [35] that, in order to ensure 655 convexity of the potential, the fourth-order tensor \mathbb{Q} of the quadratic form must be related 656 to the material linear elasticity \mathbb{L} by $\mathbb{Q} = a^{-1}\mathbb{L}$. Therefore, for a strictly incompressible 657 or quasi-incompressible Fung-type potential, since the spatial linear elasticity tensor \mathbb{L} 658 must obey the algebraic conditions (63) or (68), respectively, so must the material linear 659 elasticity tensor \mathbb{L} (see Equation (45)), and therefore so must the tensor \mathbb{Q} of the quadratic 660 form (with the appropriate spherical and deviatoric operators: in this case the material 661 operators \mathbb{K} and \mathbb{M} , which are analogical to the spatial \mathbb{K} and \mathbb{M} , and whose expression is 662 reported in [16]). An application of incompressible Fung-type potentials can be found in 663 the work by Bellini et al. [36]. 664

We note that results equivalent to those presented here have been found, for the case 665 of transverse isotropy, by deBotton and Ponte-Castañeda [37] based on the earlier - but 666 equivalent - version of Walpole's formalism [19]. Based on the spectral decomposition of the 667 compliance tensor, Itskov and Aksel [38] introduced a procedure to study the admissible 668 values of the elastic constants for the cases of strict and quasi-incompressibility, and found 669 a closed expression of the elasticity tensor without explicit use of the eigenvalue problem 670 solution. Moreover, our results for the case of quasi-incompressibility coincide with those 671 recently reported by Vergori et al. [30], who also proved that, for the case of monoclinic 672

symmetry, the number of independent parameters reduce from 13 to 10. A natural exten-673 sion of our work could be to include the monoclinic symmetry to retrieve the results by 674 Vergori et al. [30] in the quasi-incompressible case, and to study the strictly incompressible 675 case. Finally, it is an open problem to understand how the results presented in this work 676 should be generalised to the case of second-gradient continua [39, 40], particularly when 677 used to describe fibre-reinforced composites or porous media [41, 42, 43, 44] saturated with 678 incompressible fluids, or in the case of N-th grade continua [45], or in the case of beams, 679 plates and shells [46, 47]. 680

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