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## **Robust Design Approaches for Hybrid Rocket Upper Stage**

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### 9 ABSTRACT

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Computational cost of robust-based design optimization methods may be very high. Evaluation 10 of new procedures for the management of uncertainty with application to hybrid rocket engines is 11 here carried out. Two newly developed procedures are presented (hybrid algorithm and iterated 12 local search) and their performance are compared to those of two previously developed procedures 13 (genetic algorithm and particle swarm optimization). A liquid-oxygen/paraffin-based fuel hybrid 14 rocket engine which powers the third stage of a Vega-like launcher is considered. The conditions 15 at third-stage ignition are assigned and a proper set of parameters are used to define the engine 16 design and compute the payload mass. Uncertainties in the regression rate are taken into account. 17 An indirect trajectory optimization approach is used to determine a mission specific objective 18 function, which takes into account both the payload mass and the ability of the rocket to reach 19 the required final orbit despite uncertainties. Results show that, for this kind of problems, particle 20 swarm optimization and iterated local search outperform the genetic algorithm, but the use of a 21 local search operator may slightly improve its performance. 22

#### 23 INTRODUCTION

24

Tybrid Rocket Engines (HREs) gather many positive features from both Liquid Rocket Engines

(LREs) and Solid Rocket Motors (SRMs). HREs performance are close to semi-cryo or 25 storable LREs while they are cheaper than SRMs. HREs are also safer and more environmentally 26 friendly than both LREs and SRMs. Thus, the development of HREs is the focus of a great number 27 of research programs worldwide. A large number of applications are being studied, including 28 micro gravity platforms, hypersonic accelerators, small satellites, upper stage for small launchers, 29 launchers from Mars, Moon landers, debris removals and commercial space flights (Casalino and 30 Pastrone 2008; Jens et al. 2016; Dornheim 2004; Casalino and Pastrone 2012; Karp et al. 2016). In 31 HREs only one propellant (i.e. the liquid one) flow can be controlled. Therefore, only one of thrust 32 and mixture ratio can be freely set. This typical behavior requires a proper multidisciplinary design 33 optimization approach, which should include a coupled optimization of the propulsion system 34 and trajectory. Moreover, uncertainties may cause severe deviation of the performance from the 35 nominal one, so that the expected mission could be not accomplished. For example, regression rate 36 plays a role in the design and operation in HREs (Pastrone 2012), and even small uncertainty in 37 its determination may jeopardize vehicle performance and threaten seriously the mission (Casalino 38 and Pastrone 2015). In order to reduce the sensitivity of the engine performance to uncertainties, 39 a robust-based design approach can be used. Different definitions of robust design can be found in 40 literature (Taguchi et al. 2000; Suh 2001; Box and Fung 1993), but, anyway, they generally share a 41 common background. In the present article the basic concept of "robustness" can be summarized 42 as "the capability of the system to grant a fixed level of performance" (i.e. to match mission 43 goals), "minimizing the effect of uncertainties in the design parameters without eliminating their 44 causes" (Taguchi et al. 2000; Park et al. 2006). Robust-based design optimization may be a very 45 demanding task, since the computational cost grows exponentially with the number of uncertain 46 quantities taken into account. Then, the selection of fast and reliable procedures is, not only 47 strongly advisable but, in most cases, necessary. Two new robust-based design procedures are here 48 proposed and compared to two other available algorithms, namely a Genetic Algorithm (GA) and a 49 Particle Swarm Optimization (PSO). The purpose is to develop and compare algorithms based on 50 different principles, aiming at the reduction of the computational effort. In the first new procedure, 51

called Hybrid Algorithm (HA), the performance of GA is enhanced by means of a local search 52 operator. The second new proposed procedure is an Iterated Local Search algorithm (ILS). Both 53 of these procedures exploit Taguchi's robust design approach. The design of an upper stage is here 54 considered. Previous studies highlighted that HREs are a viable option for small and/or low-cost 55 launchers and they can grant a very good margin of payload improvement (Casalino et al. 2014). 56 Different propellant combinations were considered for the case study of a hybrid rocket engine upper 57 stage suitable for the replacement of Vega launcher third and fourth stages (Isakowitz et al. 2004) 58 and a deterministic optimization was carried out. The optimization aim was the maximization of 59 the payload inserted into a reference orbit. In subsequent studies, a robust design optimization was 60 performed considering one propellant combination, i.e. hydrogen-peroxide/ polyethylene; throat 61 erosion was neglected to simplify the problem. Results showed that robustness in the design could 62 be achieved with a small payload reduction which is necessary to ensure mission goals matching 63 (Casalino and Pastrone 2016). For the present work the same application is considered, adopting 64 a Liquid OXygen (LOX)/wax propellant combination due to its promising performance (Cantwell 65 et al. 2010). Only uncertainties on the classical regression rate correlation are taken into account. 66 Nozzle throat erosion is regarded from a deterministic point of view (uncertainties in erosion 67 parameters are here neglected). A combined procedure is used (Casalino and Pastrone 2005a): an 68 indirect method optimizes the trajectory for each combination of engine parameters (Casalino et al. 69 1999; Casalino and Pastrone 2005b; Casalino and Pastrone 2013) which, in turn, are selected by a 70 proper robust optimization method. In all optimization runs, the objective function is evaluated as 71 a linear combination of payload (that is not affected by uncertainty) and an index that quantifies the 72 effective reaching of the target orbit, based on the average performance under uncertainty. Many 73 optimization runs are carried out at fixed computational cost (in terms of number of objective 74 function evaluations  $N_{FE}$ , equal to 4000, i.e.  $N_{FE,max} = 4000$ ). In the following sections, first of all 75 the authors sum up the main features of grain geometry, ballistic model and indirect optimization 76 procedure. Then they describe the algorithms used in the robust optimization of engine model 77 parameters. Finally, the authors compare numerical results of the different optimization methods 78

<sup>79</sup> used, making our conclusions.

#### 80 NUMERICAL MODELS

#### **Grain Geometry and Ballistic Model**

LOX/wax is here considered as propellant combination for HREs design. Cryogenic LOX is 82 stored in liquid phase in a tank and injected into the combustion chamber during operation. Wax is 83 stored in solid phase as a cylindrical grain in the combustion chamber. Paraffin-based fuels, such 84 as wax, present an unstable melt liquid layer that causes the entrainment of droplets into the gas 85 stream (Karabeyoglu et al. 2002). This mechanism strongly increases the fuel mass transfer rate 86 into the flame zone where combustion takes place through diffusive mixing of oxidizer and fuel 87 coming from the grain. For this reason, regression rate is relatively large and a single circular port 88 can be adopted for the fuel grain while classical fuels would require a multi-port grain design to 89 avoid excessive length to diameter ratio, L/D (Casalino and Pastrone 2016). The geometry of the 90 circular-port grain is defined by the grain outer radius  $R_g$ , the web thickness w, and the grain length 91  $L_b$ . The initial inner radius, i.e. the port radius before ignition, results to be  $R_i = R_g - w$ . For 92 any given burning distance  $y \ (0 \le y \le w)$  the burning perimeter P and the port area  $A_p$  can be 93 evaluated: 94

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$$P = 2\pi \left( R_i + \gamma \right) \tag{1}$$

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$$A_p = \pi \left( R_i + y \right)^2 \tag{2}$$

The authors use an approximate relation between chamber head-end pressure  $p_1$  and chamber nozzle-stagnation pressure  $p_c$  to take into account pressure losses inside the combustion chamber (Barrere et al. 1960):

$$p_1 = \left[1 + 0.2 \left(\frac{A_{th}}{A_p}\right)^2\right] p_c \tag{3}$$

where  $A_{th}$  is the throat area. The regression rate is assumed to be uniform along the port axis and the combustion of the lateral end is neglected. Its value is determined by the oxidizer mass flow rate  $\dot{m}_O$  and grain geometry:

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$$\dot{\mathbf{y}} = a \left( \dot{m}_O / A_p \right)^n \tag{4}$$

In the present work *a* and *n* are assumed to be uncertain parameters. Their reference nominal values are  $a = 9.1 \cdot 10^{-5} \text{ m}^{2n+1} \text{s}^{n-1} \text{kg}^{-n}$  and n = 0.69 when the International System of Units is used (Karabeyoglu et al. 2002). The hydraulic resistance *Z* in the oxidizer flow path from the tank to the combustion chamber determines the oxidizer flow rate. Under the assumption of incompressible turbulent flow:

$$\dot{m}_O = \sqrt{(p_t - p_1)/Z} \tag{5}$$

where  $p_t$  is the oxidizer tank pressure. The authors assume a constant value of Z during the operation. One can obtain fuel mass flow  $\dot{m}_F$  as:

$$\dot{m}_F = \rho_F \dot{y} A_b = \rho_F \dot{y} L_b P \tag{6}$$

where  $\rho_F$  is the fuel grain density,  $A_b$  is the burning area. The relative contribution of lateral end to combustion at the beginning of the burn  $\left(\frac{A_{le}}{A_b}\right)_{\eta_0}$  can be computed by means of Eq. (7) and will be checked "a posteriori" for optimal solutions.

$$\left(\frac{A_{le}}{A_b}\right)_{\gamma_0} = \frac{(R_i + R_g)w}{2R_i L_b} \cdot 100 \tag{7}$$

The mixture ratio  $\alpha$  can be computed as:

$$\alpha = \frac{\dot{m}_O}{\dot{m}_F} \propto \dot{m}_O^{1-n} A_p^n / A_b \tag{8}$$

An isentropic expansion in the nozzle is assumed, and the chamber nozzle-stagnation pressure  $p_c$ is determined by:

123 
$$p_c = \frac{(\dot{m}_O + \dot{m}_F)c^*}{A_{th}}$$
(9)

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A chamber pressure of  $10^6$  Pa is used in performance evaluation of the propellant combination as 124 a function of the mixture ratio  $\alpha$ . Even though the actual pressure in the combustion chamber 125 can span over a wide range during engine operations, the error is small for chamber pressures 126 and mixture ratios considered in this article. The authors assume frozen equilibrium expansion: 127 exhaust gas composition is kept constant throughout the nozzle and equal to combustion chamber 128 one. The conservative assumption of frozen equilibrium expansion is adopted to account for the 129 low combustion efficiency of HREs. Moreover a  $0.96c^*$ -efficiency (Sutton and Biblarz 2001) is 130 introduced. In order to compute accurately and quickly the proper values as the mixture ratio 131 changes during operation, the authors embed in the code third-degree polynomial curves, fitting 132 the characteristic velocity and specific heat ratio, in the code (Mc Bride et al. 1994). A partially 133 regulated feed system is considered with two operational modes: a first phase with constant tank 134 pressure, maintained by means of helium flowing from an auxiliary tank, and a second Blow-Down 135 (BD) phase. 136

<sup>137</sup> The initial ullage volume  $(V_g)_i$  is assumed to be 3% of the oxidizer volume. In this way one <sup>138</sup> can obtain a stable regulator response when the out flow starts (Brown 1992). Two additional <sup>139</sup> parameters are needed: the auxiliary gas tank volume  $V_a$  and the initial pressurizing gas pressure <sup>140</sup>  $p_a$ . The first is conveniently replaced by the exhausted oxidizer mass at the beginning of the BD <sup>141</sup> phase  $(m_O)_{BD}$  and the latter is fixed at  $p_a = 2.00 \cdot 10^7$  Pa (Casalino and Pastrone 2010). During <sup>142</sup> the first operational mode  $p_t = (p_t)_i$  whereas during the BD phase  $p_t$  is calculated assuming an <sup>143</sup> isentropic expansion of the pressurizing gas in the oxidizer tank:

$$p_t = (p_t)_i \left[ \frac{(V_g)_{BD}}{V_g} \right]^{\gamma_g}$$
(10)

where the gas volume in the tank  $V_g = (V_g)_i + m_O/\rho_O$  depends on the oxidizer mass  $m_O$  that has been exhausted,  $(V_g)_{BD} = (V_g)_i + (m_O)_{BD}/\rho_O$  and  $\gamma_g$  is the specific heat ratio of the pressurizing

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gas. Thrust coefficient  $C_F$  can be evaluated as:

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$$C_F = 0.98 \left\{ \sqrt{\frac{2\gamma^2}{\gamma - 1} \left(\frac{2}{\gamma + 1}\right)^{\frac{\gamma + 1}{\gamma - 1}} \left[1 - \left(\frac{p_e}{p_c}\right)^{\frac{\gamma - 1}{\gamma}}\right]} + E\frac{p_e}{p_c} \right\} - E\frac{p_0}{p_c} \tag{11}$$

where a 0.98 correction factor is introduced to modify the vacuum thrust coefficient of a 1-D isentropic expansion to the exit pressure  $p_e$  with constant heat ratio  $\gamma$  (Sutton and Biblarz 2001). The term related to the atmospheric pressure  $p_0$  is always small since the third stage always flies at high altitude. Mass flow rate at ignition (i.e. at t=0) can be found as:

$$(\dot{m}_p)_i = (1 + \alpha_i)(\dot{m}_F)_i = \frac{1 + \alpha_i}{\alpha_i}(\dot{m}_O)_i$$
 (12)

Initial throat area  $(A_{th})_i$  and initial port area  $(A_p)_i$  are then determined:

<sup>155</sup> 
$$(A_{th})_i = \frac{(\dot{m}_p)_i}{(p_c)_i c_i^*} ; \qquad (A_p)_i = \frac{(A_{th})_i}{J}$$
 (13)

where the initial throat area to port area ratio *J* is set equal to 0.5. Nozzle throat erosion is here considered. The authors use Bartz's method (Ellis 1975; Casalino et al. 2014) to model the dependence of the rate of throat erosion  $\dot{s}$  on throat radius  $R_{th}$  and chamber pressure  $p_c$ :

is 
$$\dot{s} = \dot{s}_{ref} \left(\frac{p_c}{p_{c,ref}}\right)^{0.8} \left(\frac{R_{th,ref}}{R_{th}}\right)^{0.2}$$
 (14)

 $R_{th}$  and *E* values are computed by integrating Eq. 14. The authors adopt  $\dot{s}_{ref} = 0.1$  mm/s obtained from Computational Fluid Dynamics (CFD) analysis on the ablation of a carbon/carbon nozzle for LOX/wax HREs (Bianchi and Nasuti 2013). Our model does not consider erosion along the nozzle, thus obtaining a greater reduction of *E* and a conservative solution. The authors do not take into account eroded mass, either for thrust augmentation or for rocket mass reduction.

#### **165** Trajectory Optimization

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Once engine design parameters have been defined, the orbit insertion trajectory is optimized by means of an indirect procedure, aiming to maximize the insertion orbit (Casalino et al. 1999; Casalino and Pastrone 2013). The authors consider a point mass rocket for the trajectory optimization. The derivative of position r (radius, latitude and longitude), velocity v (radial, eastward and northward components) and rocket mass M are provided by state equations. In a vectorial form one has:

$$\frac{\mathrm{d}\boldsymbol{r}}{\mathrm{d}t} = \boldsymbol{v} \qquad \frac{\mathrm{d}\boldsymbol{v}}{\mathrm{d}t} = \boldsymbol{g} + \frac{\boldsymbol{F} - \boldsymbol{D}}{\boldsymbol{m}} \qquad \frac{\mathrm{d}\boldsymbol{M}}{\mathrm{d}t} = -\frac{|\boldsymbol{F}|}{c^* C_F} \tag{15}$$

<sup>173</sup> The authors assume an inverse-square gravity field:

$$\boldsymbol{g} = -\frac{GM_{\oplus}}{||\boldsymbol{r}^3||}\boldsymbol{r} \tag{16}$$

where G is the gravitational constant and  $M_{\oplus}$  is Earth mass. Density and pressure evaluation are 175 computed by means of an interpolation of the standard atmosphere as a function of the rocket 176 altitude. The authors choose to write equations of motion in a non-dimensional form to improve the 177 integration numerical accuracy. Indirect optimization procedure details are here only summarized 178 and can be found in the references (Casalino and Pastrone 2005a). An adjoint variable is associated 179 to each equation; Euler-Lagrange equations, algebraic equations that determine the control variables 180 (i.e., the thrust direction), and the boundary conditions for optimality (which also implicitly define 181 the engine switching times) are provided by the theory of optimal control. A procedure based on 182 Newton's method is used to solve the multi-point boundary value problem which arises. Details 183 can be found in the references (Colasurdo and Pastrone 1994). Tentative values are initially chosen 184 for the problem unknowns and progressively modified to fulfill the boundary conditions. The time 185 lengths of each phase, the initial values of five adjoint variables (the variable corresponding to 186 longitude is null, the one corresponding to the mass is fixed at one, as the problem is homogeneous 187 in the adjoint variables, which can therefore be arbitrarily scaled) are the unknown parameters. 188 Moreover, the overall oxidizer mass and the grain radius are additional unknowns. 189

Constraints (dynamic pressure, heat flux, acceleration) are not explicitly imposed during the trajectory optimization but are checked "a posteriori". However a constraint that forces horizontal flight at the end of the first burn is added to prevent the rocket from reentering the lower layers of the atmosphere (where the heat flux would become larger). An additional unknown (the adjoint variable corresponding to the horizontal velocity component has a free discontinuity at the end of the first burn) is introduced in the trajectory optimization procedure.

In the present case, the authors consider a hybrid rocket engine suitable for the replacement 196 of third (solid) and fourth (liquid) stage of the Vega launcher (Isakowitz et al. 2004). First and 197 second stage performance and exhausted masses are given, and the conditions at the ignition of the 198 third stage, consistent with a launch from Kourou, are assigned: altitude h = 86.88 km, latitude 199  $\phi = 9.11^\circ$ , velocity components in the radial, northward and eastward directions  $u_r = 0.142$  km/s, 200  $v_n = 0.230$  km/s,  $w_e = 4.146$  km/s, respectively, total mass 14,522 kg and fairing mass 540 kg 201 (Casalino and Pastrone 2016). Target final orbit is specified by assigning altitude, eccentricity and 202 inclination (700 km, zero and 90 deg. respectively). The longitude of the ascending node is left 203 free and the fairing is assumed to be jettisoned during the first burn, when the free molecular heat 204 flux reaches 1135  $W/m^2$ . 205

Robust optimization problem can be formally cast as (Park et al. 2006):

#### 206 Robust Design Model

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find  $\boldsymbol{b} \in \mathbb{R}^{n}$ to maximize  $\Phi_{avg}(\boldsymbol{b}, \boldsymbol{p})$ subject to  $g_{j}(\boldsymbol{b}, \boldsymbol{p} + \boldsymbol{z}^{p}) \leq 0, j = 1, ..., r$ and to  $\boldsymbol{b}_{L} \leq \boldsymbol{b} \leq \boldsymbol{b}_{U}$ (17)

where **b** is the design variables vector, **p** is the uncertain variables vector,  $z^p$  is the noise vector of **p**,  $g_j$  is the j-th inequality constraint,  $b_L$  and  $b_U$  are the lower and upper boundary of the design variables, respectively. In the present approach the initial mass of the upper-stage is given at

ignition, and the payload weight is determined once the propulsion system is defined. Combustion 212 chamber, nozzle, tanks, rocket casing and propellant sliver masses are evaluated by means of 213 suitable assumptions and approximations, whereas feed systems masses are neglected. Details about 214 propulsion system mass evaluation can be found in the references and are here only summarized 215 (Casalino and Pastrone 2010). A 6 mm insulating liner, with a density equal to solid fuel one, and 216 aluminum alloy cylindrical wall are used in the combustion chamber. Aluminum cylindrical oxidizer 217 tank diameter is fixed at 1.9 m, equal to the diameter of Vega's third stage, and the pressurizing gas 218 is contained in a spherical aluminum tank. The wall thickness of tanks and combustion chamber are 219 determined to withstand internal pressure during engine operation, assuming a 1.25 safety factor. 220 In addition, a 1-mm-thick cylindrical aluminum casing encapsulates the HRE. A 45 deg convergent 221 and a 20 deg divergent nozzle, with an ablative layer, is considered. The hydraulic resistance Z222 is evaluated in order to have  $p_t/p_c = 2.5$  at ignition in nominal condition, and six parameters are 223 required to define the propulsion system according to the proposed model. The chosen engine 224 design parameters are: the grain outer radius  $R_g$ , the web thickness w, the fuel grain length  $L_b$ , 225 the final exhausted oxidizer mass  $(m_O)_f$ , the exhausted oxidizer mass at the beginning of the BD 226 phase  $(m_O)_{BD}$  and the nozzle area ratio  $E_i$ . Therefore  $\boldsymbol{b} = [R_g, w, L_b, (m_O)_f, (m_O)_{BD}, E_i]$ . Upper 227 and lower boundary of the design variables are shown in Table 1. The choice of lower and upper 228 boundaries for the engine design parameters is done according to requirements relative to the present 229 application (e.g.  $2R_g$  lower than outer Vega diameter) and on the basis of user knowledge and past 230 experience (i.e. reduce the range to improve computational speed, without allowing the optimal 231 solution to be on the edges of or outside the design space). Uncertain parameters are the regression 232 rate coefficients a and n, i.e. p = [a, n]. The authors take into account uncertainties assigning 233 three different levels for each uncertain variables:  $a_i \cdot 10^5 = 9, 9.1, 9.2 \text{ m}^{2n+1} \text{s}^{n-1} \text{kg}^{-n}$  for i = 1, 2, 3, 3234 respectively, and  $n_j = 0.68, 0.69, 0.7$  for j = 1, 2, 3, respectively. The altitude of the attained orbit 235  $h_{ij}$  is evaluated for each of the nine possible couples  $a_i, n_j$ . Since two objectives are relevant (i.e. 236 payload  $\mu$  and altitude h) the authors adopt an  $\epsilon$ -constraint approach (Haimes et al. 1971) to find 237 the Pareto front of robust solutions. Only average performance is considered here. The average 238

constraint violation  $\Delta_{avg} = \sum_{ij} p_i p_j \max_{ij} (0, h^* - h_{ij})$  is considered. A binomial distribution is 239 assumed giving  $p_1 = p_3 = 0.25$  and  $p_2 = 0.5$ . The average altitude is then  $h_{avg} = h^* - \Delta_{avg}$  and 240 the objective function can be computed as: 241

$$\Phi_{avg} = \mu - k \max(0, \epsilon - h_{avg}) \tag{18}$$

The authors select k = 20 kg/km to force the average altitude at  $\epsilon$ . Only the case  $\epsilon = h^* = 700 \text{ km}$ 243 (that is the most demanding in terms of robustness) is here considered. 244

Hence, design parameters and uncertain parameters, alongside with optimal ascent trajectory, 245 that is optimized by an indirect procedure, determine the value of the robust performance index. 246 The research of the optimal robust design (i.e. the optimal choice of the design parameters of b247 that maximizes the value of  $\Phi_{avg}$  despite the presence of uncertainties in **p**) is then performed by 248 means of one of the procedures described in the following section. 249

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### **ROBUST OPTIMIZATION PROCEDURES**

#### **Evolutionary Algorithms: GA and PSO** 251

Two previously developed procedures, namely a GA and a PSO, are used as reference. GA and 252 PSO are both optimization procedures belonging to the class of Evolutionary Algorithms (EAs), 253 that look for an optimal solution in a prescribed search space. GAs apply the biological principle 254 of survival of the fittest in a population of potential solutions called individuals. Basic steps of 255 GAs are shown in Fig. 1. After proper initialization of population, a selection is performed. Then 256 individuals are bred together using operators (such as crossover and mutation) borrowed from 257 natural genetics. The process is iterated and makes the population evolve generation by generation 258 and individuals, which are better suited for the environment, are found (Goldberg 1997; Goldberg 259 and Deb 1991). 260

PSO is a stochastic optimization technique. It is inspired by the social behavior showed by flock 261 of birds and school of fishes (Kennedy and Eberhart 1995; Eberhart and Kennedy 1995). Unlike 262 GA, PSO has no operators that drive the search. Solutions, here called particles, fly through the 263

problem space by following the optimum particle (i.e. the alpha-member of the flock or school).
The motion of each particle is driven by cognitive and social acceleration and their position and
speed are updated at each iteration. Basic steps of PSO are shown in Fig. 2. In most cases PSO
tends to converge to global optimal solutions quicker than GA due to its unique information-sharing
mechanism (Sentinella and Casalino 2009).

In the present article the maximum number of objective function evaluation is fixed ( $N_{FE,max}$  = 4000) for all the algorithms. EAs settings are presented in Table 2 and Table 3 for GA and PSO respectively. Additional details about GA and PSO implementation and tuning can be found in the references (Sentinella and Casalino 2009; Sentinella 2008).

#### 273 Hybrid Algorithm

The first new procedure is a Hybrid algorithm (HA). Hybrid Algorithms combine EAs with some kind of Local Search Operators (LSOs). EAs excel at exploring solutions space but they are pretty slow to converge to the global optimal solution. LSOs, instead, are able to push quickly a solution to its local optimum but can not explore wide areas of the solution space due to their lack of hill-climbing capabilities.

In this work the authors use the aforementioned GA coupled with a brand new crossover operator 279 which implements Taguchi's parameter design method. Parameter design method (also knows as 280 Taguchi's robust design method) was initially developed in the 1980s to improve Japanese mass 281 production and then, it was broadly applied to engineering design problems (Taguchi et al. 2000). 282 The new crossover operator here proposed is an improvement of the one proposed by T.K. Liu and 283 J.H. Chou (Liu and Chou 2004). The basic GA, introduced in the previous subsection, features a 284 starting population of  $N_I$  individuals randomly generated, a probability distribution-based crossover 285 (Deb Crossover Operator, DCO), a fixed percentage mutation operators and a tournament selection 286 operator. Elitism principle is used to avoid the loss of information through generations (Sentinella 287 and Casalino 2009). In our new procedure a Taguchi's Crossover Operator (TCO) generates 288  $N_{TG}$  enhanced offspring which replace the same number of individuals generated by DCO. The 289 enhancing procedure is based on a 2-level 1-step Taguchi's parameter design method. A pseudo 290

291	code for this new TCO can be written as follows:
292	1. evaluation of the objective function for each of the $N_I$ individuals;
293	2. ranking of the $N_I$ individuals based on the values of their objective functions;
294	3. selection of the best $2N_{TG}$ individuals;
295	4. random coupling of best $2N_{TG}$ individuals ( $N_{TG}$ couples, each one made of A and B parents);
296	5. implementation of Taguchi's 2-level 1-step parameter design method to each couple:
297	(a) level 1 assignment to A-parent's parameters and level 2 to B-parent's parameters;
298	(b) execution of experimental tests prescribed by suitable orthogonal arrays;
299	(c) computation of the mean effect of each level of each parameter;
300	(d) selection of the level with the greater mean effect for each parameter;

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<sup>301</sup> 6. *enhanced offspring* random replacement in the DCO generated population.

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In the prescribed tests, uncertain model parameters (i.e. a and n) are taken into account in objective 302 function evaluation, as previously detailed. The new TCO is different from Liu and Chou's crossover 303 operator because they selected randomly the individuals to perform Taguchi's enhancing procedure, 304 while the authors select the better ones after a ranking phase. Basic steps of our HA are shown 305 in Fig. 3: starting random population is initialized and a tournament selection operator is applied. 306 Then the crossover phase starts with the subsequent use of DCO and TCO (see the pseudo code 307 for the details about our TCO). In the end, mass mutation and selection operator are employed 308 and a new generation is created. This process is iterated until the maximum number of function 309 evaluation is reached. In the present article, the authors use  $N_I = 40$  in the GA. Tuning procedure 310 of the TCO (see Fig. 4) shows that the selection of a proper value for  $N_{TG}$  is crucial. Lower 311 and higher values show poor performance, slowing down the basic GA used in the hybridization 312 process. Intermediate values of  $N_{TG}$  seems to be able to boost GA convergence capability without 313 slowing down too much the optimization process. Thus the authors set  $N_{TG} = 5$  in our TCO. 314

Casalino, June 6, 2019

The HRE design parameters are 6 and the suitable orthogonal 2-level array is  $L_8$ , shown in Figure 5. It requires to execute  $n_T = 8$  tests to obtain an *enhanced offspring*. The computational effort for the execution of a single generation of the HA is:

$$N_{FE,G} = N_I + n_T \cdot N_{TG} \tag{19}$$

where  $N_{FE,G}$  indicates the number of function evaluation performed for each generation. Note that for the original GA:

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$$N_{FE,G} = N_I = 40\tag{20}$$

Hence, setting  $N_{TG} = 5$  in the TCO, the *computational cost per generation* of the HA is exactly twice the GA one. The maximum number of objective function evaluation is fixed ( $N_{FE,max} = 4000$ ) and thus the number of generation is equal to 50 ( $N_G = 50$ ) for the HA. HA settings are summarized in Table 4 for the sake of clarity.

### **Iterated Local Search**

The second new proposed procedure is a meta-heuristic procedure called Iterated Local Search (ILS). Key features of ILS are the use of a specific local search procedure and a solution perturbation criteria (Lourenco et al. 2002). Basic steps of our ILS are shown in Fig. 6 and the relative pseudocode can be written as follows:

1. find an initial solution  $s_0$  (for instance from previous optimization works on the same problem);

- 2. apply a local search procedure to  $s_0$  to find the local optimal solution  $s^*$ ;
- 3. apply a perturbation criteria to  $s^*$  to obtain the perturbed solution s';
- 4. apply a local search procedure to s' to find the new optimal solution  $s^{*'}$ ;
- 5. apply an acceptance criterion to the new optimal solution  $s^{*'}$  (i.e.  $s^{*'}$  performance improved  $s^{*}$  ones);

338

339

6. repeat steps 3-5 until a termination condition is reached (i.e.  $N_{FE}$  performed equal to  $N_{FE,max}$ ).

ILS is different from the aforementioned EAs because it is not a population-based optimization 340 algorithm. In EAs population is randomly initialized at each generation and thus many objective 341 function evaluation are "wasted" on bad individuals while the algorithm explores the design space. 342 The authors develop ILS with the scope to capitalize on each single objective function evaluation 343 using an efficient local search procedure, since the evaluation of the problem specific objective 344 function is really expensive in terms of computational time. On the other hand, EAs are not 345 influenced by the selection of the initial tentative solution because they do not require it at all. The 346 effectiveness of an optimization procedure strongly depends on the problem to be optimized itself, 347 but both EAs and ILS have promising features. The authors implement ILS in particular to compare 348 its capabilities, in the optimization of our HRE, with EAs. In addition, from an engineering point 349 of view, it is generally better to have more than a single optimization tool available (i.e. PSO and 350 ILS) when a new problem comes out. 351

In the present work, the authors take advantage of Taguchi's Multi-step 3-level parameter design 352 Method (TMM) as a local search procedure. Moreover, the authors also develop a perturbation 353 criteria that is driven at each iteration by the results of TMM. TMM is based on Taguchi's robust 354 design method (Taguchi et al. 2000; Yao et al. 2011; Park et al. 2006; Lee et al. 1996), 355 whose core idea is that design parameters can be split into two groups: noise factors and control 356 factors. Noise factors are parameters whose values are affected by uncertainties and variations that 357 could be impossible or too costly to avoid. Control factors are, instead, design parameters whose 358 values could be freely chosen by the designers. Taguchi's parameter design method main tools 359 were two orthogonal experimental arrays (called outer and inner arrays) that were used to assign 360 discrete values (called levels) to each factor and perform experimental tests (i.e. objective function 361 evaluation). Experimental tests results were then used to perform an ANalysis Of Means (ANOM) 362 and an ANalysis Of VAriance (ANOVA) of the objective function values. In the end, ANOM and 363 ANOVA prescribed the optimal level for each control factor that gave the best objective function 364

value and minimized the effect of the noise factors.

In our original TMM this procedure is executed iteratively. Levels are assigned at each step 366 according to an equally spaced experimental grid which discretized the design space. At each step 367 the solution is pushed closer to the local optimal solution by ANOM and ANOVA. When a local 368 optimal solution is reached, ILS kicks in and perturbs that solution according to the last ANOVA. 369 Our idea is that control factors that show a smaller impact on objective function should be perturbed 370 the most and vice versa. This perturbation criteria is used to enhance ILS hill-climbing capabilities 371 and, hopefully, to drive the local search procedure to a global optimal solution. ILS settings are 372 summarized in Table 5. The choice of the perturbation constant K (i.e. the maximum magnitude 373 of the perturbation) is the most critical, as presented in Fig. 7. A small constant (i.e. K = 10) leads 374 to poor hill-climbing capabilities while a large constant (i.e. K = 100) results in slowing down 375 the algorithm moving the tentative solution too far away from feasible solutions at every restart of 376 the local search procedure. After several tuning runs of the optimization procedure, the authors set 377 K = 40. Each control parameter perturbation size depends on the product of the reciprocal of its 378 ANOVA contribution, a random number in the range [0,1] and the perturbation buffer K. In this 379 way the perturbation can span from zero to K discretization steps. 380

The perturbation buffer B is defined as the number of iteration of the local search procedure, 381 without solution improvement, that the ILS has to wait before the perturbation kicks in. In Fig. 8 382 optimization runs, for different values of the buffer, are presented. One can notice that the higher 383 is the value of B, the lower results to be the mean performance index. This behavior seems to be 384 caused by searching loops among very similar solutions when the local search procedure reaches 385 a local optimal point. Thus, B is set equal to one to minimize the waste of function evaluation 386 inside these loops. Since the authors deal with 6 control factors with 3 levels each,  $L_{18}$  (Figure 9) is 387 used as inner arrays for the TMM. Analogously, since 2 noise factors (i.e. regression rate classical 388 formulation coefficients a, n with 3 levels each are present, the authors use  $L_9$  (Figure 10) as outer 389 arrays. The factors combinations, prescribed by  $L_9$ , have been already taken into account in the 390 objective function formulation as nine  $a_i$ ,  $n_j$  couples used in the evaluation of  $h_{ij}$ . 391

#### 392 NUMERICAL RESULTS

As described in the previous sections, a two-layer optimization is employed for trajectory and 393 engine design: the indirect trajectory optimization maximizes the final mass given engine geometry, 394 which, in turn, is optimized by means of a robust-based design approach. The authors repeat the 395 optimization process five times using each robust-based procedure. Given  $N_{FE,max} = 4000$ , the 396 average run on a 3 GHz machine is 15 hours. Note that the final burn of the HRE has a very 397 short duration and a limited influence on the rocket performance. Hence subsequent figures show 398 only the first burn for the sake of simplicity. Table 7 shows a comparison between the best robust 399 solutions and the relative design parameters values found out by each algorithm. Deterministic 400 optimum design and performance is also reported as DET. 401

Looking at the values of  $R_g$ , w and  $L_b$  for the optimized robust solutions, one can notice that  $\begin{pmatrix} A_{le} \\ A_b \end{pmatrix}_{r_0} \approx 10^{c_0}$  (exact values are shown in Table 6). Nevertheless, the relative contribution of lateral end decreases from these values down to zero during the engine operation. Moreover the regression rate in the lateral end of the grain is actually smaller than cylindrical burning area one. Thus, the assumption about the negligible extent of the lateral end contribution to combustion, made in our model, results to be valid.

Due to the large value for k in Eq. 18, the average height violation is forced to zero and  $\Phi$ 408 coincides with the payload for the robust designs. On the other hand, the deterministic design 409 has the largest payload (2280.9 kg) but a large average height violation (171.8 km) too. From 410 an objective function point of view ILS and PSO converge to optimum points characterized by 411  $\Phi_{avg} \approx 2070 \,\mathrm{kg}$  while GA and HA provide worse solutions. These results confirm that PSO has 412 better convergence capabilities than GA. Moreover ILS has a similar performance compared to 413 PSO, since its optimum design point is comparable to PSO, and better than GA. However PSO and 414 ILS solutions differ in terms of design parameters: the optimal values of some parameters are close 415 to each other  $(R_g, w, (m_O)_f \text{ and } (m_O)_{BD})$  while others are quite different  $(L_b \text{ and } E)$ . This could 416 suggest that PSO and ILS solutions maximize the objective function in different ways: PSO tries to 417 reduce propellant consumption improving propulsion system performance (larger expansion ratio, 418

shorter grain, higher mixture ratio and  $I_{SP}$  as shown in Fig. 11 and Fig. 12), while ILS tries to 419 reduce engine dry mass (smaller expansion ratio and longer grain). Moreover the two optimum 420 solutions (or at least one of them) seems to be only a locally optimal solutions. HA finds an 421 optimum point which is better than the one found by GA, and quite close to the PSO and the ILS 422 ones. Furthermore, one can notice, thanks to Fig. 13, that also the thrust histories are close to 423 each other for PSO, ILS and HA, whereas GA exhibits an higher initial thrust level. Thus, GA 424 performance results to be enhanced by the hybridization process (i.e. the use of TCO instead of 425 standard crossover operators). The payload gain of the robust optimized solutions, with respect to 426 the Vega launcher, is roughly equal to 600 kg. The main sources of performance improvement are 427 a remarkable saving in the dry masses of the upper stages (only one HRE powered stage vs. two 428 upper stages), slightly higher specific impulse and reduced  $\Delta v$  losses. 429

Looking at Table 7, one can notice that initial expansion ratios  $E_i$  of optimal solutions are much 430 smaller than conventional ones for liquid-powered upper stages. This optimization strategy is due 431 to the trade-off between the increase in nozzle performance and the reduction of its mass. Complex 432 cooling systems for the nozzle, typical of LREs such as regenerative cooling, cannot be employed 433 in HREs. Therefore, an ablative cooling is considered by the authors, similar to SRMs one, for the 434 present test case. Ablative cooling is a simple and cheap solution (i.e. really suitable for HREs), 435 but the resulting nozzle tends to be heavy and thus smaller expansion area ratios are preferred in 436 the optimization process. 437

Table 8 summarizes engine performance of the best solutions presented in Table 7. Looking 438 at Fig. 11 one can notice that the mixture ratio shifting is limited, since  $\alpha$  varies approximately 439 between 2 and 2.5, whereas in Table 8 chamber pressure  $p_c$  roughly varies from  $4 \cdot 10^5$  Pa to  $10 \cdot 10^5$ 440 Pa, showing a wider range of variation. Thus, in the present case, the effect of mixture ratio shifting 441 and chamber pressure variation on characteristic velocity  $c^*$  and specific heat ratio  $\gamma$  is expected 442 to be similar. The authors considered only the effect of  $\alpha$  in the optimization process, neglecting 443 chamber pressure one. However, both effects results to be small and thus no further computations 444 of engine performance are required. 445

Looking at Table 8 one can notice that the maximum chamber pressure  $(p_c)_{max}$  is always equal to  $10 \cdot 10^5$  Pa for all robust solutions, regardless of the optimization algorithm used. In the Robust Design Model subsection, hydraulic resistance Z is reported to be evaluated to grant  $p_t/p_c = 2.5$ . The authors adopted a gas-pressurized feed system in the present application, thus the maximum tank pressure is equal to the initial one, that is fixed to  $25 \cdot 10^5$  Pa. Hence, the maximum chamber pressure  $(p_c)_{max}$  is always equal to  $10 \cdot 10^5$  Pa.

Table 9 shows mean and range of variation of the optimal objective function values distribution. 452 One more time, PSO and ILS optimal solutions are close to each other, both in term of mean value 453 and range of variation of the objective function of the optimum points. On the contrary, HA and 454 GA optimum solutions exhibit a greater fluctuation around a worse mean objective function value. 455 Low mean and high range of variation values of HA and GA solutions can be due to the coupling 456 of their lower convergence speed with the common limitation of the number of function evaluation. 457 Figure 14 shows a comparison of the performance index evolution for the best optimization run 458 for each algorithm. From these curves one can notice that PSO, ILS and GA rate of improvement 459 tends to be negligible after  $N_{FE}$  = 3000 while it is still significant for HA. Hence HA could show 460 a better behavior in longer (in terms of number of function evaluations) optimization runs. In the 461 present article the authors fix the computational effort for all algorithms and therefore they do not 462 examine in depth this aspect of HA. 463

#### 464 CONCLUSIONS

An indirect trajectory optimization procedure has been coupled with different robust-based 465 design methods to optimize a hybrid rocket engine. Two new robust-based design approaches based 466 on different principles (i.e. HA and ILS) have been presented and their performance have been 467 compared to those of two previously developed approaches: PSO and GA. The optimization of an 468 upper stage of a Vega-like launcher has been considered. The optimization is performed for a given 469 insertion orbit and lift-off weight. Regression rate uncertainties are taken into account. A linear 470 combination of payload and average altitude has been used as mission specific performance index. 471 The chosen index formulation forces the solution to nullify the spread of the orbit altitude, thus 472

assuring the required robustness. Performance of the four robust-based approaches are compared
using a constant number of function evaluations. Several runs have been carried out for each of the
considered procedures.

One of the new procedures, i.e. the Iterated Local Search, is able to converge to optimum designs 476 that have performance as good as the ones identified by the best population-based algorithm present 477 in the comparison, i.e. the PSO. The difference of the payload for the best solutions of ILS and 478 PSO is only 0.5 kg, i.e. about 0.02%. Considering the different runs, the spread of the payload is 479 low. GA shows far worse solutions, both considering payload and results spread. Our comparison 480 clearly shows that GA is not suitable for robust optimization of this kind of problems. The other 481 new procedure, Hybrid Algorithm, is able to enhance GA performance. Nevertheless HA range 482 of variation is remarkably high, pointing out that a better and coupled tuning of the chosen local 483 search operator and basic GA is required to match PSO and ILS performance. 484

Looking at the values of the design parameters, one can realize that PSO and ILS follow 485 two different optimization strategies, resulting in very similar performance: PSO improves the 486 propulsion system performance whereas ILS reduces engine dry mass. Nevertheless, at least one of 487 the solutions is only a local optimum. An higher number of runs with a larger number of function 488 evaluation should be required to establish the best robust design approach. On the other hand, 489 from an engineering point of view, both the methods can provide a sufficiently robust design with 490 a reasonable computation effort. ILS and PSO belong to two different class of optimizer allowing 491 for a wider solution capability. In the present application, one run involves 4000 evaluations of the 492 performance index and requires about 15 hours on a 3 GHz machine. Note that here uncertainty has 493 been taken into account for only two parameters (i.e. coefficient a and exponent n in the regression 494 rate correlation). If a larger number of uncertain parameters is considered, the computational 495 time required for the optimization process may explode. For this reason, additional approximate 496 approaches could be considered in future works to deal with the excessive computation effort of 497 the coupled robust optimization procedure. 498

#### 499 NOTATION

Casalino, June 6, 2019

20

500

$A_b$ = burning surface area (m <sup>2</sup>		burning surface area $(m^2)$ .
$A_p$ = port area (m <sup>2</sup> );		
1		
		nozzle throat area (m <sup>2</sup> );
а	=	regression constant (m <sup>1+2n</sup> kg <sup>-n</sup> s <sup><math>n-1</math></sup> );
В	=	ILS perturbation buffer;
b	=	design variables vector;
$\boldsymbol{b}_L$	=	lower bound vector;
$\boldsymbol{b}_U$	=	upper bound vector;
$C_F$	=	thrust coefficient;
$c^*$	=	characteristic velocity (m/s);
D	=	drag vector (N);
D	=	rocket outer diameter (m);
E = nozzle area-ratio;		nozzle area-ratio;
F = thrust vector (N);		thrust vector (N);
F	=	thrust (N);
G	=	gravitational constant (Nm <sup>2</sup> /kg <sup>2</sup> );
g	=	gravity acceleration (m/s <sup>2</sup> );
$g_j(\boldsymbol{b})$	=	j-th inequality constraint;
h	=	altitude (km);
$h^*$	=	target altitude (km);
I <sub>SP</sub>	=	specific impulse (s);
J	=	initial throat area to port area ratio;
K	=	ILS perturbation constant;
k	=	linear combination constant (kg/km);
L	=	overall engine length (m);
$L_b$	=	fuel grain length (m);

 $M = \operatorname{rocket} \operatorname{mass} (\operatorname{kg});$ 

 $M_{\oplus}$  = Earth mass (kg);

- $m = \max(kg);$
- N = number;
- n = mass-flux exponent;
- P =burning perimeter (m);
- p = uncertain variables vector;

p = pressure (Pa);

 $R_g$  = grain outer radius (m);

- $R_i$  = grain initial inner radius (m);
- $R_{th}$  = throat radius (m);
  - r = position vector (m);
  - s = eroded distance (mm);
  - t = time(s);
- $u_r$  = velocity component in the radial direction (km/s);
- $V = \text{volume } (\text{m}^3);$
- $(V_g)_i$  = initial ullage volume (m<sup>3</sup>);
  - $v_n$  = velocity component in the northward direction (km/s);
  - v =velocity vector (m/s);
  - w = web thickness (m);
  - $w_e$  = veocity component in the eastward direction (km/s);
  - y = burning distance (m);
  - Z = hydraulic resistance (1/(kg m));
  - $z^p$  = noise vector of p
  - $\alpha$  = mixture ratio;
  - $\gamma$  = specific heat ratio;
  - $\Delta$  = altitude violation (m);

 $\epsilon$  = multi-objective constraint (km);

 $\Lambda$  = steps lengths;

 $\mu$  = payload (kg);

 $\rho$  = density (kg/m<sup>3</sup>);

 $\Phi$  = objective function (kg);

 $\phi$  = latitude (deg);

### Superscripts

· = time derivative;

### Subscripts

- 0 =ambient;
- 1 = combustion chamber at head-end;

a = auxiliary gas;

avg = average;

BD = beginning of blowdown phase;

- c = combustion chamber at nozzle entrance;
- e = nozzle exit;
- F =fuel;
- *FE* = objective function evaluations;
  - G = generations;
  - g = pressurizing gas;
  - I = individuals;
  - i = initial value;
  - le = lateral end;
- max = maximum;
- min = minimum;
  - O = oxidizer;
  - *p* = overall propellant (oxidizer + fuel);

ref = reference;

stp = steps;

TG = Taguchi enhanced offspring;

t = oxidizer propellant tank;

*vac* = vacuum;

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586	List of T	ables	
587	1	Design parameters ranges.	29
588	2	GA settings	30
589	3	PSO settings.	31
590	4	HA settings	32
591	5	ILS settings	33
592	6	Lateral end contributions.	34
593	7	DET, PSO, ILS, HA e GA results comparison	35
594	8	PSO, ILS, HA e GA engine performance comparison.	36
595	9	PSO, ILS, HA e GA mean results and ranges.	37

Boundary	$R_g$	w	$L_b$	$(m_O)_f$	$(m_O)_{BD}$	$E_i$
	m	m	m	kg	kg	-
$\boldsymbol{b}_L$	0.55	0.25	4.3	6971	3195	15
$\boldsymbol{b}_U$	0.60	0.35	4.5	7697	3631	20

 TABLE 1. Design parameters ranges.

TABLE 2.	GA	settings.
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Setting	Value
Number of generations, $N_G$	100
Number of individuals, $N_I$	40
Dimension of individuals	6
Ranges of individuals	$\boldsymbol{b}_U - \boldsymbol{b}_L$
Selection operator	Tournament
Selection pressure	2.0
Crossover operator	Deb Crossover
Mass mutation parameter	98%

Setting	Value
Number of generations, $N_G$	100
Number of particles, $N_I$	40
Dimension of particles	6
Ranges of particles	$\boldsymbol{b}_U - \boldsymbol{b}_L$
PSO method	1-trelea type 1
Cognitive acceleration, C1	2.0
Social acceleration, C2	2.0
Check population method	Saturation
End velocity weight	0.4
Linear varying factor	0.2
Maximum velocity, V <sub>max</sub>	$0.25(\boldsymbol{b}_U - \boldsymbol{b}_L)$
Mass mutation parameter	98%

### TABLE 3. PSO settings.

Setting	Value
Number of generations, $N_G$	50
Number of individuals, $N_I$	40
Number of enhanced offspring, $N_{TG}$	5
Dimension of individuals	6
Ranges of individuals	$\boldsymbol{b}_U - \boldsymbol{b}_L$
Selection operator	Tournament
Selection pressure	2.0
Crossover operator	DCO + TCO
Mass mutation parameter	98%

### TABLE 4. HA settings.

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TABLE 5.	ILS settings.
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Setting	Value
Discretization steps, N <sub>stp</sub>	100
Perturbation constant, K	40
Perturbation buffer, B	1
Dimension of solutions	6
Ranges of solutions	$\boldsymbol{b}_U - \boldsymbol{b}_L$
Steps length, $\Lambda$	$\left( \boldsymbol{b}_{U} - \boldsymbol{b}_{L} \right) / N_{stp}$

Solutions	$\left(\frac{A_{le}}{A_b}\right)_{e_0}$
	-
DET	9.01%
PSO	10.08%
ILS	9.82%
HA	10.06%
GA	9.63%

Solutions	$R_g$	W	$L_b$	$(m_O)_f$	$(m_O)_{BD}$	$E_i$	μ	$\Delta_{avg}$	$\Phi_{avg}$
	т	m	m	kg	kg	-	kg	km	kg
DET	0.585	0.276	4.430	7350	3269	15.00	2280.9	171.8	-1134.9
PSO	0.591	0.294	4.360	7403	3195	17.32	2069.8	0.0	2069.8
ILS	0.591	0.293	4.452	7372	3212	15.70	2069.3	0.0	2069.3
HA	0.589	0.295	4.404	7369	3318	18.18	2062.2	0.0	2062.2
GA	0.587	0.290	4.482	7391	3598	16.01	2049.8	0.0	2049.8

TABLE 7. DET, PSO, ILS, HA e GA results comparison.

Solutions	$(F_{vac})_{min}$	$(F_{vac})_{max}$	$(I_{SP})_{avg}$	Ýmin	<i>ý</i> max	$\alpha_{avg}$	$(p_c)_{min}$	$(p_c)_{max}$
	kN	kN	S	m/s	m/s	-	Pa	Pa
PSO	104.8	242.6	297.9	$7.70 \cdot 10^{-4}$	$3.53 \cdot 10^{-3}$	2.16	$3.84 \cdot 10^{5}$	$10.00 \cdot 10^5$
ILS	105.5	241.8	296.3	$7.80 \cdot 10^{-4}$	$3.52 \cdot 10^{-3}$	2.12	$3.88 \cdot 10^5$	$10.00 \cdot 10^5$
HA	107.5	237.7	298.7	$7.90 \cdot 10^{-4}$	$3.51 \cdot 10^{-3}$	2.13	$4.00 \cdot 10^5$	$10.00 \cdot 10^5$
GA	111.6	254.6	296.7	$8.50 \cdot 10^{-4}$	$3.51 \cdot 10^{-3}$	2.14	$4.35 \cdot 10^5$	$10.00 \cdot 10^5$

TABLE 8. PSO, ILS, HA e GA engine performance comparison.

Solutions	Mean	Range
	kg	kg
PSO	2065.74	5.84
ILS	2065.81	6.69
HA	2047.17	26.89
GA	2036.08	22.07

 TABLE 9. PSO, ILS, HA e GA mean results and ranges.

## List of Figures GA: flow chart. HA: flow chart. $L_8$ orthogonal arrays. ILS: flow chart. Mixture ratio history. Mean performance index $\Phi_{avg}$ vs. number of function evaluation $N_{FE}$ . . . . .

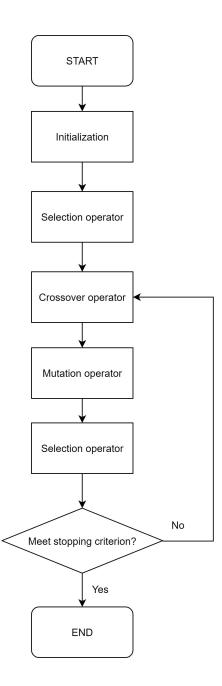


FIG. 1. GA: flow chart.

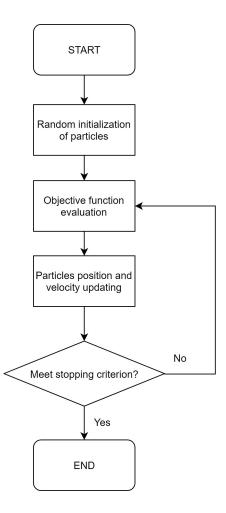
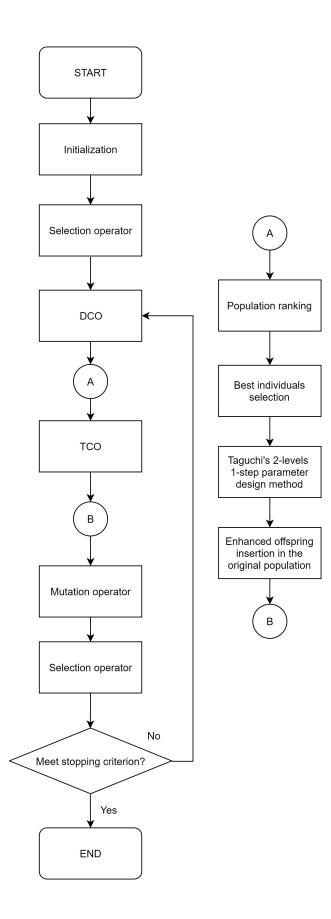


FIG. 2. PSO: flow chart.



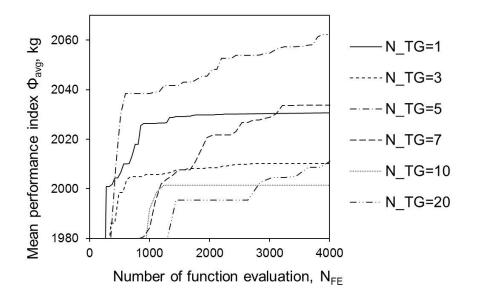


FIG. 4. Tuning of  $N_{TG}$ .

1	1	1	1	1	1
1	1	1	2	2	2
1	2	2	1	2	2
1	2	2	2	1	1
2	1	2	2	1	2
2	1	2	1	2	1
2	2	1	2	2	1
2	2	1	1	1	2

FIG. 5.  $L_8$  orthogonal arrays.

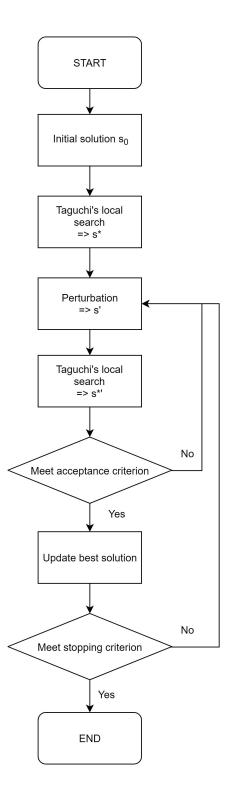


FIG. 6. ILS: flow chart.

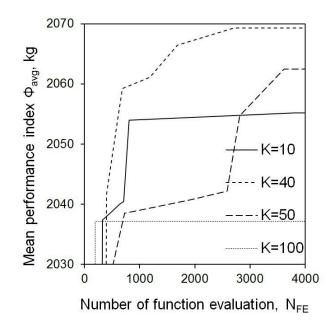


FIG. 7. Tuning of perturbation constant K (with B = 1).

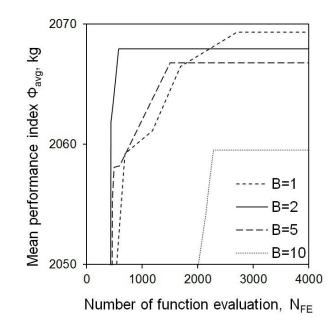


FIG. 8. Tuning of perturbation buffer B (with K = 40).

1	1	1	1	1	1
1	2	2	2	2	2
1	3	3	3	3	3
2	1	1	2	3	3
2	2	2	3	1	1
2	3	3	1	2	2
3	1	2	3	2	3
3	2	3	1	3	1
3	3	1	2	1	2
1	1	3	2	2	1
1	2	1	3	3	2
1	3	2	1	1	3
2	1	2	1	3	2
2	2	3	2	1	3
2	3	1	3	2	1
3	1	3	3	1	2
3	2	1	1	2	3
3	3	2	2	3	1

FIG. 9.  $L_{18}$  orthogonal arrays.

1	1
1	2
1	3
2	1
2	2
2	3
3	1
3	2
3	3

FIG. 10.  $L_9$  orthogonal arrays.

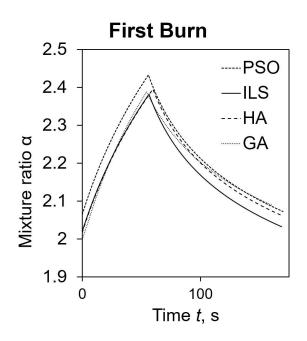


FIG. 11. Mixture ratio history.

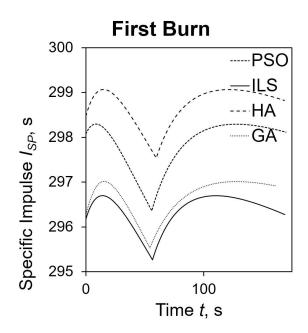


FIG. 12.  $I_{SP}$  history.

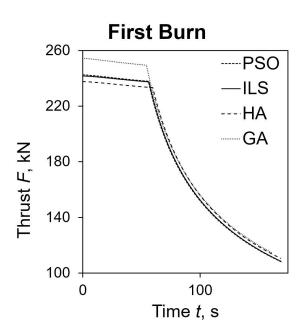


FIG. 13. Thrust history.

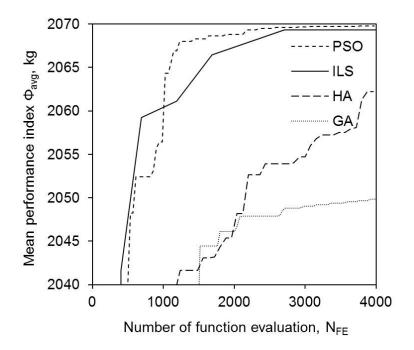


FIG. 14. Mean performance index  $\Phi_{avg}$  vs. number of function evaluation  $N_{FE}$ .