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Original

BV continuous sweeping processes / Recupero, Vincenzo. - In: JOURNAL OF DIFFERENTIAL EQUATIONS. - ISSN 0022-0396. - STAMPA. - 259:8(2015), pp. 4253-4272. [10.1016/j.jde.2015.05.019]

Availability: This version is available at: 11583/2615022 since: 2021-04-03T16:07:13Z

Publisher: Elsevier

Published DOI:10.1016/j.jde.2015.05.019

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#### **BV CONTINUOUS SWEEPING PROCESSES**

#### VINCENZO RECUPERO

ABSTRACT. We consider a large class of continuous sweeping processes and we prove that they are well posed with respect to the BV strict metric.

## 1. INTRODUCTION

Let  $\mathcal{X}$  be real Hilbert space with inner product  $\langle \cdot, \cdot \rangle$  and let  $\mathcal{C}(t) \subseteq \mathcal{X}$  be a family of nonempty closed convex sets parametrized by the time variable  $t \in [0, T]$ , where T > 0. A sweeping process is the following evolution differential inclusion in the unknown  $\xi : [0, T] \longrightarrow \mathcal{X}$ :

$$-\xi'(t) \in N_{\mathcal{C}(t)}(\xi(t)), \quad \text{for a.e. } t \in [0,T],$$
 (1.1)

$$\xi(0) = \xi^0, \tag{1.2}$$

where  $\xi^0 \in \mathcal{C}(0)$  is a prescribed initial datum and

$$N_{\mathcal{K}}(x_0) := \{ \nu \in \mathcal{X} : \langle \nu, x_0 - w \rangle \ge 0 \ \forall w \in \mathcal{K} \}$$
(1.3)

is the exterior normal cone to a closed convex set  $\mathcal{K} \subseteq \mathcal{X}$  at the point  $x_0 \in \mathcal{K}$ . Notice that it is implicitly assumed that

$$\xi(t) \in \mathcal{C}(t) \qquad \forall t \in [0, T]. \tag{1.4}$$

Sweeping processes were introduced by J.J. Moreau in the fundamental paper [21] and originated a research which is still active: see, e.g., the monograph [20], the expository papers [17, 29], and the references therein.

In the present paper we continue the analysis of [27], where we studied some continuity properties of the solution operator  $\mathcal{C} \mapsto \xi$  of the sweeping processes by setting it in the wider framework of *rate independent operators*, indeed problem (1.1)–(1.2) has the following property, called *rate independence*: if  $\phi : [0,T] \longrightarrow [0,T]$  is an increasing surjective reparametrization of time and  $\xi$  is the solution associated to  $\mathcal{C}(t)$ , then  $\xi(\phi(t))$  is the solution corresponding to  $\mathcal{C}(\phi(t))$ . Rate independent evolution problems are strictly connected to elasto-plasticity and hysteresis and have been deeply studied from the mathematical point of view in the monographs[12, 30, 6, 13, 19]. The study of continuity properties with respect to various topologies has been recently performed also in, e.g., [17, 5, 16, 31] and these properties are important since they ensure robustness of the model.

Here we address the sweeping process in the following formulation provided in [5]: a Banach space  $\mathcal{Y}$ , two functions  $u: [0,T] \longrightarrow \mathcal{X}$ ,  $r: [0,T] \longrightarrow \mathcal{Y}$ , and a family of closed convex sets  $\mathcal{Z}(r) \subseteq \mathcal{X}$  parametrized by  $r \in \mathcal{Y}$  are given, and we have to find a function  $\xi: [0,T] \longrightarrow \mathcal{X}$  such that

$$\langle u(t) - \xi(t) - z, \xi'(t) \rangle \ge 0,$$
 for a.e.  $t \in [0, T], \quad \forall z \in \mathcal{Z}(r(t)),$  (1.5)

$$u(0) - \xi(0) = x^0. \tag{1.6}$$

<sup>2010</sup> Mathematics Subject Classification. 49J40, 34A60, 74C05.

Key words and phrases. Sweeping processes, Variational inequalities, Differential inclusions, Rate independence.

The author is partially supported by GNAMPA of INdAM.

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Again it is implicitly assumed that  $u(t) - \xi(t) \in \mathcal{Z}(r(t))$  for all  $t \in [0,T]$  (all the precise definitions, assumptions and formulations will be given in the next Sections 2 and 3).

Note that (1.5)-(1.6) is actually a reformulation of (1.1)-(1.2), indeed, as observed in [5], one can reduce (1.5)-(1.6) to (1.1)-(1.2) by setting u(t) = 0, r(t) = t,  $x^0 = -\xi^0$ ,  $\mathcal{C} = -\mathcal{Z}$ ; vice versa with the position  $\mathcal{C}(t) = u(t) - \mathcal{Z}(r(t))$ ,  $\xi^0 = u(0) - x^0$  one can reduce the first problem to the second. However formulation (1.5)-(1.6) introduces the new parameters u(t), r(t) that are relevant in applications, so that it is useful to study the properties of the sweeping process with respect to u and r. This analysis is performed in [5] where it is shown that the solution operator  $\mathsf{S}: (u, r) \longrightarrow \xi$  of (1.5)-(1.6) is continuous with respect to the  $W^{1,1}$ -topology (or the strong BV topology, see (2.9)), i.e. if  $u_n \to u$  in  $W^{1,1}(0,T;\mathcal{X})$  and  $r_n \to r$  in  $W^{1,1}(0,T;\mathcal{Y})$ , then  $\mathsf{S}(u_n, r_n) \to \mathsf{S}(u, r)$  in  $W^{1,1}(0,T;\mathcal{X})$ . This property is essentially proved under some geometrical assumptions on  $\mathcal{Z}(r)$  (cf. Assumption 3.1) which however turn out to be not so restrictive for applications.

In [21, 15, 16] the *BV*-generalization of (1.5)–(1.6) is considered:  $\mathcal{Z}(r)$  is given as above, but u and r are with bounded variation, and one has to find a continuous function  $\xi : [0,T] \longrightarrow \mathcal{X}$  of bounded variation such that (1.6) holds together with the condition

$$\int_{0}^{T} \langle u(t) - \xi(t) - z(t), \mathrm{dD}\xi(t) \rangle \ge 0,$$
  
$$\forall z \in BV([0, T]; \mathcal{X}), \quad z(t) \in \mathcal{Z}(r(t)) \quad \forall t \in [0, T],$$
(1.7)

where the integral is meant in the sense of the Stieltjes or differential measures (see [21, 16]). In [16] it is proved that also in this case the corresponding solution operator  $\overline{S} : (u, r) \mapsto \xi$  is continuous with respect to the *BV*-norm.

Here instead we prove that the well posedness of (1.7)-(1.6) (and (1.5)-(1.6)) with respect to the *BV strict metric* (cf. (2.10)) when *u* and *r* are continuous in time (for non-continuous data the *BV*-strict discontinuity is proved in [26] when  $\mathcal{Z}(r) = \mathcal{Z}$  for every *r*,  $\mathcal{Z}$  belonging to wide class of constant convex sets). The strict metric is very natural, especially when one deals with approximation procedures (see [1]): indeed given a function of bounded variation *v*, by means of the classical convolution operation one can find a sequence of regular functions  $v_n$ converging strictly to *v*. The geometric meaning is clear, two curves *u* and *v* are near with respect to the strict metric if they are near in the  $L^1$ -norm and if their lengths are near.

In connection with rate independent problems the strict metric has been studied for instance in [7, 30, 13, 22, 24, 25]. In particular, concerning the specific sweeping process when the data are continuous and  $\mathcal{Z}(r(t)) = \mathcal{Z}$ , a fixed closed convex subset of  $\mathcal{X}$ , in [13] it is proved its continuity with respect to the strict metric provided the boundary  $\mathcal{Z}$  satisfies certain smoothness assumptions. This requirement was completely removed in [26]. Since in the present paper we address the more general case (1.7)–(1.6), where the product  $\mathcal{X} \times \mathcal{Y}$  of a Hilbert and a Banach space is involved, the Hilbert technique used in [26] does not apply due to some uniform convexity issues (see Remark 4.2).

A byproduct of our result is that only the analysis of the sweeping process for Lipschitz data is needed: then the analogous results for the continuous BV case are a straightworward consequence of standard measure theory arguments.

We conclude this introduction with a brief plan of the paper. In the next section we recall all the necessary rigorous and precise preliminaries. In Section 3 we state the main theorems of the paper and in Section 4 we prove them. Finally in the Appendix we prove some technical properties about the strict convergence of sequences of Banach valued functions of bounded variation.

#### SWEEPING PROCESSES

#### 2. Preliminaries

If  $\mathcal{B}$  is a real Banach space with norm  $\|\cdot\|_{\mathcal{B}}$ , then  $\mathcal{B}^*$  will denote its topological dual space and  $\mathcal{B}^*\langle\cdot,\cdot\rangle_{\mathcal{B}}$  the duality between  $\mathcal{B}$  and  $\mathcal{B}^*$ . We use the notation  $B_{\rho}(v_0) := \{v \in \mathcal{B} : \|v-v_0\|_{\mathcal{B}} < \rho\}$  for open balls with center  $v_0 \in \mathcal{B}$  and radius  $\rho > 0$ . The topological interior of a set  $\mathcal{S}$  is indicated by  $\operatorname{int}(\mathcal{S})$ . If  $v, v_n \in \mathcal{B}$  for every  $n \in \mathbb{N}$  and  $v_n$  converges weakly to v as  $n \to \infty$ , we will write  $v_n \to v$  in  $\mathcal{B}$  as  $n \to \infty$ . We also set

$$\mathscr{C}_{\mathcal{B}} := \{ \mathcal{K} \subseteq \mathcal{B} : \mathcal{K} \text{ nonempty, closed and convex} \}.$$
(2.1)

If

$$\mathcal{K} \in \mathscr{C}_{\mathcal{B}}$$
 is bounded and  $0 \in int(\mathcal{K})$ , (2.2)

we recall that the Minkowski functional associated with  $\mathcal{K}$  is the function  $M_{\mathcal{K}} : \mathcal{B} \longrightarrow [0, \infty[$  defined by

$$M_{\mathcal{K}}(v) := \inf \left\{ \lambda > 0 : \frac{v}{\lambda} \in \mathcal{K} \right\}, \qquad v \in \mathcal{B}.$$

$$(2.3)$$

Here are some properties of the Minkowski functional that will be implicitly used in the sequel (cf., e.g., [28, Theorems 1.34–1.36] and recall that (2.2) holds):

$$M(x+y) \le M(x) + M(y), \quad M(\lambda x) = \lambda M(x) \qquad \forall x, y \in \mathcal{B}, \ \forall \lambda \ge 0,$$
(2.4)

$$M$$
 is continuous,

$$\mathcal{K} = \{ x \in \mathcal{K} : M(x) \le 1 \}, \tag{2.6}$$

$$M(x) = 0 \iff x = 0. \tag{2.7}$$

In the sequel T > 0 will be a fixed positive number denoting the final time of the sweeping process. If  $\mathcal{L}^1$  is the one-dimensional Lebesgue measure and if  $p \in [1, \infty]$ , then the space of  $\mathcal{B}$ -valued Lebesgue functions which are integrable on [0, T] with respect to  $\mathcal{L}^1$  will be denoted by  $L^p(0, T; \mathcal{B})$  (see [18, Chapter III]).

For a function  $v : [0,T] \longrightarrow \mathcal{B}$  we set  $||v||_{\infty} := \sup_{t \in [0,T]} ||v(t)||_{\mathcal{B}}$ . Moreover if  $J \subseteq [0,T]$  is an interval, the variation of v on J is the real extended number V(v,J) defined by

$$V(v,J) := \sup\left\{\sum_{j=1}^{m} \|v(t_j) - v(t_{j-1})\|_{\mathcal{B}} : m \in \mathbb{N}, \ t_j \in J, \ t_1 < \ldots < t_m\right\},$$
(2.8)

and we say that v is of bounded variation on J if  $V(v, J) < \infty$ . We set

$$BV([0,T];\mathcal{B}) := \left\{ v : [0,T] \longrightarrow \mathcal{B} : V(v,[0,T]) < \infty \right\}$$

Let us recall two natural topologies in BV: the strong topology induced by the semimetric

$$d_{BV}(u,v) := \|u - v\|_{L^1(0,T;\mathcal{B})} + |V(u - v, [0,T])|, \qquad u, v \in BV([0,T];\mathcal{B}),$$
(2.9)

and the *strict topology*, induced by the strict semimetric

$$d_s(u,v) := \|u - v\|_{L^1(0,T;\mathcal{B})} + |\mathcal{V}(u,[0,T]) - \mathcal{V}(v,[0,T])|, \qquad u,v \in BV([0,T];\mathcal{B}).$$
(2.10)

When we restrict to continuous functions, then  $d_{BV}$  and  $d_s$  are actually metrics. If  $v, v_n \in BV([0,T]; \mathcal{B})$ , we say that  $v_n \to v$  strictly on [0,T] if  $d_s(v_n, v) \to 0$  as  $n \to \infty$ . Geometrically this means that  $v_n \to v$  in  $L^1$  and the lengths of the curves  $v_n$  converge to the length of v.

If  $p \in [1, \infty]$  we denote by  $W^{1,p}(0, T; \mathcal{B})$  the Sobolev spaces of  $\mathcal{B}$ -valued function: we recall that  $v \in W^{1,p}(0,T;\mathcal{B})$  if and only if there exists  $w \in L^p(0,T;\mathcal{B})$  such that  $v(t) = v(0) + \int_0^t w(s) \, ds$  for every  $t \in [0,T]$ , in other words w is the distributional derivative of v. If  $v \in W^{1,p}(0,T;\mathcal{B})$  then we have that v is differentiable  $\mathcal{L}^1$ -a.e. and any representative of v' is the distributional derivative of v, moreover  $v \in BV([0,T];\mathcal{B})$  and  $V(v,[0,T]) = \int_0^T \|v'(t)\|_{\mathcal{B}} \, dt$ . If  $1 \leq p \leq q \leq \infty$  we obviously have that  $W^{1,q}([0,T];\mathcal{B}) \subseteq W^{1,p}([0,T];\mathcal{B}) \subseteq C([0,T];\mathcal{B})$ , the space of  $\mathcal{B}$ -valued continuous functions. For any  $v : [0,T] \longrightarrow \mathcal{B}$  we set  $\operatorname{Lip}(v) := \sup_{t \neq s} \|v(t) - v(t)\|_{\mathcal{B}} \, dt$ .

(2.5)

 $v(s)||_{\mathcal{B}}/|t-s|$  and  $Lip([0,T];\mathcal{B}) := \{v: [0,T] \longrightarrow \mathcal{B} : Lip(v) < \infty\}$ . Clearly  $W^{1,\infty}(0,T;\mathcal{B}) \subseteq Lip([0,T];\mathcal{B})$ . If  $\mathcal{B}$  is reflexive then  $W^{1,\infty}(0,T;\mathcal{B}) = Lip([0,T];\mathcal{B})$  (we refer to [3, Appendix] for vector valued Sobolev spaces).

## 3. Main results

In the sequel of the paper we will assume that

 $\mathcal{X} \text{ is a real Hilbert space with inner product } \langle \cdot, \cdot \rangle \text{ and norm } \| \cdot \|_{\mathcal{X}} = \langle \cdot, \cdot \rangle^{1/2}, \qquad (3.1)$  $\mathcal{Y} \text{ is a reflexive real Banach space with norm } \| \cdot \|_{\mathcal{Y}}, \qquad (3.2)$  $\mathcal{R} \in \mathscr{C}_{\mathcal{Y}} \text{ and } \operatorname{int}(\mathcal{R}) \neq \emptyset. \qquad (3.3)$ 

There will be given a multivalued map

$$\mathcal{Z}: \mathcal{R} \longrightarrow \mathscr{C}_{\mathcal{X}} \tag{3.4}$$

and the functional  $M: \mathcal{X} \times \mathcal{Y} \longrightarrow [0, \infty]$  defined by

$$M(x,r) := M_{\mathcal{Z}(r)}(x), \qquad (x,r) \in \mathcal{X} \times \mathcal{R}.$$
(3.5)

the Minkowski functional of  $\mathcal{Z}(r)$ .

Now we can state the problem defining the sweeping process in the absolutely continuous framework.

**Problem 3.1.** Assume that  $\mathcal{Z} : \mathcal{R} \longrightarrow \mathscr{C}_{\mathcal{X}}, u \in W^{1,1}(0,T;\mathcal{X}), r \in W^{1,1}(0,T;\mathcal{Y})$ , and  $x^0 \in \mathcal{Z}(r(0))$  are given such that  $r([0,T]) \subseteq \mathcal{R}$ . Find  $\xi \in W^{1,1}(0,T;\mathcal{X})$  such that

$$u(t) - \xi(t) \in \mathcal{Z}(r(t)) \qquad \forall t \in [0, T],$$
(3.6)

$$u(0) - \xi(0) = x^0, \tag{3.7}$$

$$\langle u(t) - \xi(t) - z, \xi'(t) \rangle \ge 0, \quad \text{for } \mathcal{L}^1 \text{-a.e. } t \in [0, T], \quad \forall z \in \mathcal{Z}(r(t)).$$
 (3.8)

We need the following set of assumptions (cf. [5]).

Assumption 3.1. There exists C > 0 such that

$$0 \in \mathcal{Z}(r) \subseteq B_C(0) \qquad \forall r \in \mathcal{R}.$$
(3.9)

There exist the partial (Fréchet) derivatives  $\partial_x M(x,r) \in \mathcal{X}$ ,  $\partial_r M(x,r) \in \mathcal{Y}^*$  for every  $(x,r) \in \mathcal{X} \times \mathcal{R}$ , and there are positive constants  $K_0$ ,  $C_J$ ,  $C_K$  such that the maps  $J : (\mathcal{X} \setminus \{0\}) \times \operatorname{int}(\mathcal{R}) \longrightarrow \mathcal{X}$ ,  $K : (\mathcal{X} \setminus \{0\}) \times \operatorname{int}(\mathcal{R}) \longrightarrow \mathcal{Y}^*$  defined by

$$J(x,r) := M(x,r)\partial_x M(x,r), \qquad (x,r) \in (\mathcal{X} \setminus \{0\}) \times \operatorname{int}(\mathcal{R}), \tag{3.10}$$

$$K(x,r) := M(x,r)\partial_r M(x,r), \qquad (x,r) \in (\mathcal{X} \setminus \{0\}) \times \operatorname{int}(\mathcal{R}), \tag{3.11}$$

can be continuously extended to  $(0,r) \in \mathcal{X} \times \mathcal{R}$  for any  $r \in \mathcal{R}$ , and

$$\|J(x_1, r_1) - J(x_2, r_2)\|_{\mathcal{X}} \le C_J(\|x_1 - x_2\|_{\mathcal{X}} + \|r_1 - r_2\|_{\mathcal{Y}}),$$
(3.12)

$$||K(x_1, r_1) - K(x_2, r_2)||_{\mathcal{Y}^*} \le C_K(||x_1 - x_2||_{\mathcal{X}} + ||r_1 - r_2||_{\mathcal{Y}}),$$
(3.13)

$$||K(x,r)||_{\mathcal{Y}^*} \le K_0. \tag{3.14}$$

for every  $x_1, x_2 \in B_C(0)$  and  $r_1, r_2 \in \mathcal{R}$ .

**Remark 3.1.** The map J can be seen as the partial derivative with respect to x of the function  $(x,r) \mapsto (M(x,r))^2/2$ , i.e. J associates to every (x,r) the vector  $\partial_x M(x,r)$  multiplied by the scalar M(x,r). A similar remark holds for K.

Let us recall two consequences of Assumption 3.1. In [16, Lemma 2.3] it is proved that there exists  $c \in [0, C[$  such that

$$B_c(0) \subseteq \mathcal{Z}(r) \qquad \forall r \in \mathcal{R}.$$
 (3.15)

Moreover if  $r \in \mathcal{R}$  then (cf. [5, Lemma 3.1])

$$J(x,r) \neq 0, \qquad N_{\mathcal{Z}(r)}(x) = \left\{ \lambda \frac{J(x,r)}{\|J(x,r)\|_{\mathcal{X}}} : \lambda \ge 0 \right\} \qquad \forall r \in \mathcal{R}, \ \forall x \in \partial \mathcal{Z}(r)$$
(3.16)

where  $N_{\mathcal{Z}(r)}(x) := \{ \nu \in \mathcal{X} : \langle \nu, x_0 - w \rangle \ge 0 \ \forall w \in \mathcal{K} \}$  is the normal cone of convex analysis. In other words the normal cone to  $\mathcal{Z}(r)$  at x is a half-line whose direction is  $J(x,r)/||J(x,r)||_{\mathcal{X}}$ .

Observe that condition (3.9) assumed here and in [5] is not very restrictive for applications, indeed the function u(t) allows a translation of the moving convex set C(t) of (1.2), whereas (3.9) and (3.15) require that C(t) remains uniformly bounded and does not shrink to a point.

In [5, Proposition 4.1, Theorem 7.1] the following theorem is proved.

**Theorem 3.1.** Let us assume that Assumption 3.1 holds. Then Problem 3.1 admits a unique solution. Let

$$D := \left\{ (u, r, x^0) \in W^{1,1}(0, T; \mathcal{X}) \times W^{1,1}(0, T; \mathcal{Y}) \times \mathcal{X} : r([0, T]) \subseteq \mathcal{R}, \ x^0 \in \mathcal{Z}(r(0)) \right\}$$
(3.17)

and let  $S: D \longrightarrow W^{1,1}(0,T;\mathcal{X})$  be the operator assigning to each  $(r, u, x^0) \in D$  the unique  $\xi \in W^{1,1}(0,T;\mathcal{X})$  satisfying (3.6)–(3.8). Then S is continuous with respect to the  $W^{1,1}$ -topology, in the following sense: if  $(u, r, x^0), (u_n, r_n, x_n^0) \in D$  for every  $n \in \mathbb{N}$  and

$$u_n \to u \text{ in } W^{1,1}(0,T;\mathcal{X}),$$
 (3.18)

$$r_n \to r \text{ in } W^{1,1}(0,T;\mathcal{Y}), \tag{3.19}$$

$$x_n^0 \to x^0 \text{ in } \mathcal{X}$$
 (3.20)

 $as \ n \to \infty \,, \ then \ \mathsf{S}(u_n,r_n,x_n^0) \to \mathsf{S}(u,r,x^0) \ in \ W^{1,1}(0,T;\mathcal{X}) \,.$ 

A key tool in our arguments will rely on the following proposition whose proof is straightforward. Its content is described by saying that Problem 3.1 (or the operator S) is *rate independent*.

**Proposition 3.1.** Let  $S : D \longrightarrow W^{1,1}(0,T;\mathcal{X})$  be the operator defined by Theorem 3.1. If  $\phi : [0,T] \longrightarrow [0,T]$  is absolutely continuous and increasing, then

$$\mathsf{S}(u \circ \phi, r \circ \phi, x^0) = \mathsf{S}(u, r, x^0) \circ \phi \tag{3.21}$$

for every  $(u, r, x^0) \in D$ .

**Remark 3.2.** In the previous proposition the function  $\phi$  may have some constancy intervals.

In [16] it is considered the following BV version of the sweeping processes (analogous to the BV-version in [21]):

**Problem 3.2.** Assume that  $\mathcal{Z} : \mathcal{Y} \longrightarrow \mathscr{C}_{\mathcal{X}}, u \in BV([0,T];\mathcal{Y}) \cap C([0,T];\mathcal{Y}), r \in BV([0,T];\mathcal{Y}) \cap C([0,T];\mathcal{Y}), \text{ and } x^0 \in \mathcal{Z}(r(0)) \text{ are given such that } r([0,T]) \subseteq \mathcal{R}.$  Find  $\xi \in BV([0,T];\mathcal{X}) \cap C([0,T];\mathcal{X})$  such that

$$u(t) - \xi(t) \in \mathcal{Z}(r(t)) \qquad \forall t \in [0, T],$$
(3.22)

$$u(0) - \xi(0) = x^0, \tag{3.23}$$

$$\int_{0}^{T} \langle u(t) - \xi(t) - z(t), \mathrm{dD}\xi(t) \rangle \ge 0,$$
  
$$\forall z \in BV([0, T]; \mathcal{X}), \quad z(t) \in \mathcal{Z}(r(t)) \quad \forall t \in [0, T],$$
(3.24)

where the integral in (3.24) is meant in the Riemann-Stieltjes sense (cf., e.g., [18, Chapter 10]) or equivalently in the ordinary Lebesgue sense with respect to the Stieltjes vector measure  $D\xi$ , the function  $\xi$  being continuous (see [8, Section III.17] or [26, Section 2]).

**Remark 3.3.** In the reference [16], the integral of (3.24) is considered in the sense of Kurzweil or Young (cf. [14, 15]). However in [26] it is proved that when  $\xi$  is left continuous and with bounded variation, then these integrals coincide with the ordinary Lebesgue integral with respect to the differential measure D $\xi$ . Moreover in [16] the test functions of (3.24) are allowed to belong to  $Reg([0,T]; \mathcal{Y})$ , the space of *regulated functions on* [0,T], i.e. those functions  $v : [0,T] \longrightarrow \mathcal{Y}$ such that there exist the left and right limits u(t-), u(t+) in  $\mathcal{Y}$  at any point  $t \in [0,T]$ , with the convention that u(0-) = u(0) and u(T+) = u(T). Actually this more restrictive condition is implied by (3.24), indeed it is enough to approximate any  $z \in Reg([0,T]; \mathcal{X})$  with a uniformly convergent sequence  $z_n \in BV([0,T]; \mathcal{X})$  (cf. [2, Section II.1.3]) and pass to the limit in (3.2) where z is replaced by  $z_n$  (see also [14, Theorem 3.9]).

In [15] it is shown that Problem 3.2 admits a unique solution by means of an *approximation-a* priori estimates-limit procedure. In Theorem 4.1 below we will give a different short proof of this result making use of basic measure theory tools. This proof will provide a sort of representation formula for the solution that will allow to prove our main result, i.e. that Problem 3.2 is well-posed with respect to he strict metric. Here is the precise formulation.

Theorem 3.2. Let us assume that Assumption 3.1 holds. Let

$$\overline{D} := \left\{ (r, u, x^0) \in \left[ BV([0, T]; \mathcal{X}) \cap C([0, T]; \mathcal{X}) \right] \times \left[ BV([0, T]; \mathcal{Y}) \cap C([0, T]; \mathcal{Y}) \right] \times \mathcal{X} : r([0, T]) \subseteq \mathcal{R}, \ x^0 \in \mathcal{Z}(r(0)) \right\}.$$
(3.25)

For every  $(r, u, x^0) \in \overline{D}$  there exists a unique  $\xi =: \overline{\mathsf{S}}(r, u, x^0) \in BV([0, T]; \mathcal{X}) \cap C([0, T]; \mathcal{X})$ satisfying (3.22)–(3.24). The resulting solution operator  $\overline{\mathsf{S}} : \overline{D} \longrightarrow BV([0, T]; \mathcal{X}) \cap C([0, T]; \mathcal{X})$ is continuous with respect to the strict metric, in the following sense: if  $(u, r, x^0), (u_n, r_n, x_n^0) \in \overline{D}$ for every  $n \in \mathbb{N}$ , and

$$u_n \to u \text{ strictly on } [0, T],$$
 (3.26)

$$r_n \to r \text{ strictly on } [0, T],$$
 (3.27)

$$x_n^0 \to x^0 \text{ in } \mathcal{X} \tag{3.28}$$

as  $n \to \infty$ , then  $\overline{\mathsf{S}}(u_n, r_n, x_n^0) \to \overline{\mathsf{S}}(u, r, x^0)$  strictly on [0, T].

# 4. Proofs

In general, for a real Banach space  $\mathcal{B}$  and a function  $v \in BV(0,T;\mathcal{B}) \cap C([0,T];\mathcal{B})$ , we can define the following increasing (continuous) surjective arc length function  $\ell_v : [0,T] \longrightarrow [0,T]$  by setting

$$\ell_{v}(t) := \begin{cases} \frac{T}{\mathcal{V}(v, [0, T])} \mathcal{V}(v, [0, t]) & \text{if } \mathcal{V}(v, [0, T]) \neq 0\\ 0 & \text{if } \mathcal{V}(v, [0, T]) = 0 \end{cases}$$
(4.1)

(the only difference with the usual arc length function is given by a multiplicative factor allowing the range of  $\ell_v$  to be [0,T]). Arguing as in [11, Section 2.5.16, p. 109] we infer that there exists a unique  $\tilde{v} \in Lip([0,T]; \mathcal{B})$  such that

$$v(t) = \widetilde{v}(\ell_v(t)) \qquad \forall t \in [0, T], \tag{4.2}$$

$$\|\widetilde{v}'\|_{L^{\infty}(0,T;\mathcal{B})} \le \frac{\mathcal{V}(v,[0,T])}{T}.$$
(4.3)

The function  $\tilde{v}$  is the reparametrization of v by the arc length  $\ell_v$ . Clearly we have

$$V(\tilde{v}, [0, T]) = V(v, [0, T]).$$
(4.4)

In the sequel we will set

$$\mathcal{B} := \mathcal{X} \times \mathcal{Y} \tag{4.5}$$

endowed with the norm

$$\|(x,y)\|_{\mathcal{B}} := \|x\|_{\mathcal{X}} + \|y\|_{\mathcal{Y}}, \qquad (x,y) \in \mathcal{B}.$$
(4.6)

Note that with this norm the space  $\mathcal{B}$  is not uniformly convex because  $\mathbb{R}^2$  is not uniformly convex with the 1-norm. This fact prevents from applying the Hilbert techniques used in [26] (cf. Remark 4.2 below). Nevertheless  $\mathcal{B}$  is reflexive, due to the reflexivity of  $\mathcal{X}$  and  $\mathcal{Y}$  and to Kakutani's theorem (cf., e.g., [4, Theorem 3.17]). In this case if

$$v = (v_x, v_y) : [0, T] \longrightarrow \mathcal{B}, \tag{4.7}$$

from (2.8), (4.5) and (4.6) we immediately infer that

$$V(v, [0, T]) = V(v_x, [0, T]) + V(v_y, [0, T]).$$
(4.8)

Therefore if  $v_x \in BV([0,T];\mathcal{X}) \cap C([0,T];\mathcal{X})$  and  $v_y \in BV([0,T];\mathcal{Y}) \cap C([0,T];\mathcal{Y})$  then  $v = (v_x, v_y) \in BV([0,T];\mathcal{B}) \cap C([0,T];\mathcal{B})$  and there exist  $\overline{v}_x \in Lip([0,T];\mathcal{X}), \ \overline{v}_y \in Lip([0,T];\mathcal{Y})$  such that

$$\widetilde{v} = (\overline{v}_x, \overline{v}_y) : [0, T] \longrightarrow \mathcal{B}$$
(4.9)

and

$$(v_x(t), v_y(t)) = v(t) = \widetilde{v}(\ell_v(t)) = (\overline{v}_x(\ell_v(t)), \overline{v}_y(\ell_v(t))) \qquad \forall t \in [0, T].$$

$$(4.10)$$

By Proposition 3.1 we immediately have that

$$\mathsf{S}(u, r, x^0) = \mathsf{S}(\overline{u}, \overline{r}, x^0) \circ \ell_v \qquad \forall (u, r, x^0) \in D.$$
(4.11)

We start by showing that such formula also holds for BV-solutions. The following theorem also provides an alternative proof for the existence of Problem 3.2.

**Theorem 4.1.** If  $(u, v, x^0) \in \overline{D}$  then

$$\overline{\mathsf{S}}(u, r, x^0) = \mathsf{S}(\overline{u}, \overline{r}, x^0) \circ \ell_v \tag{4.12}$$

is the unique solution of Problem 3.2.

*Proof.* The uniqueness of a solution for Problem 3.2 is standard and we refer to [15]. Now we prove formula (4.12). We set  $v := (u, r) \in BV([0, T]; \mathcal{B}) \cap C([0, T]; \mathcal{B})$  and we prove that

$$\xi := \mathsf{S}(\overline{u}, \overline{r}, x^0) \circ \ell_u$$

solves Problem 3.2. Formulas (3.22), (3.23) are obvious. In order to check (3.24) let  $z \in Reg([0,T]; \mathcal{Y})$  be such that  $z(t) \in \mathcal{Z}(r(t))$  for every  $t \in [0,T]$ . Then by a change of variable in the Stieltjes integral (cf. [23, Lemma 5.1]) we have

$$\int_{0}^{T} \langle u(t) - \xi(t) - z(t), \mathrm{dD}\xi(t) \rangle$$

$$= \int_{0}^{T} \left\langle \overline{u}(\ell_{v}(t)) - (\mathsf{S}(\overline{u}, \overline{r}, x^{0}) \circ \ell_{v})(t) - z(t), \mathrm{d}(\mathsf{S}(\overline{u}, \overline{r}, x^{0}) \circ \ell_{v})(t) \right\rangle$$

$$= \int_{0}^{T} \left\langle \overline{u}(\ell_{v}(t)) - \mathsf{S}(\overline{u}, \overline{r}, x^{0})((\ell_{v})(t)) - z(t), (\mathsf{S}(\overline{u}, \overline{r}, x^{0}))'(\ell_{v}(t)) \right\rangle \mathrm{dD}\ell_{v}(t)$$

$$(4.13)$$

Now let

$$A = \left\{ \sigma \in [0,T] : \left\langle \overline{u}(\sigma) - \mathsf{S}(\overline{u},\overline{r},x^0)(\sigma) - z, \left(\mathsf{S}(\overline{u},\overline{r},x^0)\right)'(\sigma) \right\rangle < 0 \ \forall z \in \mathcal{Z}(\overline{r}(\sigma)) \right\}.$$

From (3.8) it follows that A has Lebesgue measure zero, hence  $D\ell_v(\ell_v^{-1}(A)) = 0$  (cf. [23, Proposition 2.2]) and, since  $z(t) \in \mathcal{Z}(r(t)) = \mathcal{Z}(\bar{r}(\ell_v(t)))$ , we find that

$$D\ell_{v}\left(\left\{t\in[0,T] : \left\langle\overline{u}(\ell_{v}(t))-\mathsf{S}(\overline{u},\overline{r},x^{0})(\ell_{v}(t))-z(t),\left(\mathsf{S}(\overline{u},\overline{r},x^{0})\right)'(\ell_{v}(t))\right\rangle<0\right\}\right)$$
  
$$\leq D\ell_{v}\left(\left\{s\in[0,t] : \ell_{v}(s)\in A\right\}\right)=0$$

Consequently from (4.14) we infer that  $\int_0^T \langle u(t) - \xi(t) - z(t), dD\xi(t) \rangle \ge 0$  and we are done.  $\Box$ 

**Remark 4.1.** Let us observe that Theorem 4.1 provides a proof for the existence/uniqueness of Problem 3.2 which allows to reduce to the Lipschitz case by means of basic measure theoretical facts. The same argument shows that the operator  $\overline{S}$  is rate independent.

**Proposition 4.1.** Assume that  $u, u_n \in BV([0,T]; \mathcal{X}) \cap C([0,T]; \mathcal{X})$  and  $r, r_n \in BV([0,T]; \mathcal{Y}) \cap C([0,T]; \mathcal{Y})$  for every  $n \in \mathbb{N}$  and set

$$v := (u, r) : [0, T] \longrightarrow \mathcal{B}, \tag{4.15}$$

$$v_n := (u_n, r_n) : [0, T] \longrightarrow \mathcal{B}, \qquad n \in \mathbb{N}.$$
 (4.16)

If  $u_n \to u$  and  $r_n \to r$  strictly as  $n \to \infty$ , then

$$\widetilde{v}_n \to \widetilde{v} \text{ strictly on } [0, T],$$
(4.17)

where  $\tilde{v}_n$  and  $\tilde{v}$  are the arc length reparametrizations defined above in (4.2)–(4.3). Moreover if  $\tilde{v} := (\bar{u}, \bar{r})$  and  $\tilde{v}_n := (\bar{u}_n, \bar{r}_n)$ , then

$$\overline{u}_n \to \overline{u} \text{ uniformly on } [0, T],$$

$$(4.18)$$

$$\overline{r}_n \to \overline{r} \text{ uniformly on } [0, T].$$
 (4.19)

*Proof.* From the continuity of the functions involved and from (4.8), it follows that  $v, v_n \in BV([0,T]; \mathcal{B}) \cap C([0,T]; \mathcal{B})$  for every  $n \in \mathbb{N}$  and

$$v_n \to v$$
 strictly in  $BV([0,T]; \mathcal{B})$  (4.20)

as  $n \to \infty$ . Moreover  $\overline{u}, \overline{u}_n \in Lip([0,T]; \mathcal{X}), \overline{r}, \overline{r}_n \in Lip([0,T]; \mathcal{Y})$  and

$$u(t) = \overline{u}(\ell_v(t)), \qquad r(t) = \overline{r}(\ell_v(t)), \qquad (4.21)$$

$$u_n(t) = \overline{u}_n(\ell_{v_n}(t)), \qquad r_n(t) = \overline{r}_n(\ell_{v_n}(t))$$
(4.22)

for every  $t \in [0, T]$  and every  $n \in \mathbb{N}$ .

If  $s \in [0, T]$  and  $n \in \mathbb{N}$  we have that

$$\|\widetilde{v}_n(s)\|_{\mathcal{B}} \le \|\widetilde{v}_n(0)\|_{\mathcal{B}} + \mathcal{V}(\widetilde{v}_n, [0, T]) = \|v_n(0)\|_{\mathcal{B}} + \mathcal{V}(v_n, [0, T]),$$

therefore from (4.2), (4.20) and Lemma 5.4 of the Appendix we infer that

$$\|\widetilde{v}_n\|_{L^{\infty}(0,T;\mathcal{B})} \le C_1 \tag{4.23}$$

for some  $C_1 > 0$  independent of  $n \in \mathbb{N}$ . Moreover by (4.3) we have  $\|\tilde{v}'_n\|_{\infty} \leq V(v_n, [0, T])/T$ for every  $n \in \mathbb{N}$ , hence there exists  $C_2 > 0$  such that

$$\|\widetilde{v}_n'\|_{\infty} \le C_2 \tag{4.24}$$

for all  $n \in \mathbb{N}$ . It follows that  $\tilde{v}_n$  is bounded in  $W^{1,p}(0,T;\mathcal{B})$  for every  $p \in [1,\infty]$ . The reflexivity of  $L^p(0,T;\mathcal{B})$  for  $p \in ]1,\infty[$  (cf. [10, Theorem 8.20.5, p. 607]) and a standard Sobolev spaces argument imply that there exists  $\hat{v} \in W^{1,1}(0,T;\mathcal{B})$  such that, at least for a subsequence that we do not relabel,

$$\widetilde{v}_n \to \widehat{v} \quad \text{in } W^{1,p}(0,T;\mathcal{B}) \qquad \forall p \in ]1,\infty[.$$

$$(4.25)$$

Now let us fix  $\sigma \in [0,T]$  and for every  $x^* \in \mathcal{B}^*$  let us consider the linear functional  $\phi_{x^*}^{\sigma}$ :  $W^{1,p}(0,T;\mathcal{B}) \longrightarrow \mathbb{R} : v \longmapsto_{\mathcal{B}^*} \langle x^*, v(\sigma) \rangle_{\mathcal{B}}$ . Since  $W^{1,p}(0,T;\mathcal{B})$  is continuously embedded in  $C([0,T];\mathcal{B})$  (cf. Corollary 5.2), we have that  $\phi_{x^*}^{\sigma}$  is also continuous, thus from (4.25) we infer that

$$\lim_{n \to \infty} \mathcal{B}^* \langle x^*, \widetilde{v}_n(\sigma) \rangle_{\mathcal{B}} = \lim_{n \to \infty} \phi_{x^*}^{\sigma}(\widetilde{v}_n) = \phi_{x^*}^{\sigma}(\widehat{v}) = \mathcal{B}^* \langle x^*, \widehat{v}(\sigma) \rangle_{\mathcal{B}},$$

i.e.

$$\widetilde{v}_n(\sigma) \rightharpoonup \widehat{v}(\sigma) \quad \text{in } \mathcal{B} \qquad \forall \sigma \in [0, T]$$

$$(4.26)$$

as  $n \to \infty$ . Now for every  $x^* \in \mathcal{B}^*$  and every  $n \in \mathbb{N}$  let us define the functions  $f_n^{x^*} : [0,T] \longrightarrow \mathbb{R}$ and  $f^{x^*} : [0,T] \longrightarrow \mathbb{R}$  by

$$f_n^{x^*}(\sigma) := {}_{\mathcal{B}^*}\langle x^*, \widetilde{v}_n(\sigma) \rangle_{\mathcal{B}}, \qquad f^{x^*}(\sigma) := {}_{\mathcal{B}^*}\langle x^*, \widehat{v}(\sigma) \rangle_{\mathcal{B}}, \qquad \sigma \in [0, T].$$
(4.27)

From the continuity of  $\tilde{v}_n$  and  $\tilde{v}$  we infer that  $f_n^{x^*}$  and  $f^{x^*}$  are continuous, moreover from (4.29) it follows that  $f_n^{x^*} \to f^{x^*}$  pointwise in [0,T]. Moreover if  $\sigma, \tau \in [0,T]$  we have, thanks to (4.24), that

$$|f_n^{x^*}(\sigma) - f_n^{x^*}(\tau)| \le ||x^*|| ||\widetilde{v}_n(\sigma) - \widetilde{v}_n(\tau)|| \le ||x^*|| ||\widetilde{v}_n'||_{L^{\infty}(0,T;\mathcal{B})} |\tau - \sigma| \le C ||x^*|| ||\sigma - \tau|,$$

thus  $(f_n^{x^*})_n$  is equicontinuous and  $f_n^{x^*} \to f^{x^*}$  uniformly on [0,T] for every  $x^* \in \mathcal{B}^*$ . But  $\ell_{v_n}(t) \to \ell_v(t)$  pointwise on [0,T] by Lemma 5.2, hence  $f_n^{x^*}(\ell_{v_n}(t)) \to f^{x^*}(\ell_v(t))$  for every  $t \in [0,T]$ , i.e.

$$\widetilde{v}_n(\ell_{v_n}(t)) \rightharpoonup \widehat{v}(\ell_v(t)) \quad \text{in } \mathcal{B} \quad \forall t \in [0, T].$$

$$(4.28)$$

On the other hand by the strict convergence of  $v_n$  and by Lemma 5.4 we have that

$$\lim_{n \to \infty} \widetilde{v}_n(\ell_{v_n}(t)) = \lim_{n \to \infty} v_n(t) = v(t) = \widetilde{v}(\ell_v(t)) \qquad \forall t \in [0, T]$$

hence, as  $\ell_v$  is surjective, we get that  $\hat{v} = \tilde{v}$ . Hence from (4.25)–(4.26) we infer that

$$\widetilde{v}_n(\sigma) \rightharpoonup \widetilde{v}(\sigma) \quad \text{in } \mathcal{B} \qquad \forall \sigma \in [0, T]$$

$$(4.29)$$

and

$$\widetilde{v}_n \rightharpoonup \widetilde{v} \quad \text{in } W^{1,p}(0,T;\mathcal{B}) \qquad \forall p \in ]1,\infty[.$$

$$(4.30)$$

If  $\sigma \in [0,T]$  is fixed, then for every  $n \in \mathbb{N}$  there exists  $t_n \in [0,T]$  such that

$$\widetilde{v}_n(\sigma) = \widetilde{v}_n(\ell_{v_n}(t_n)) = v_n(t_n).$$
(4.31)

Passing to a subsequence, not relabeled, we have that  $t_n \to t_*$  for some  $t_* \in [0,T]$ . Hence, thanks to the uniform convergence of  $v_n$ ,  $v_n(t_n) \to v(t_*)$  as  $n \to \infty$ . It follows, as  $v(t_*) = \tilde{v}(\ell_v(t_*))$ , that

$$\widetilde{v}_n(\sigma) \to \widetilde{v}(\ell_v(t_*))$$
(4.32)

as  $n \to \infty$ . From (4.29) we get that

$$\widetilde{v}_n(\sigma) \to \widetilde{v}(\sigma) \quad \text{in } \mathcal{B} \qquad \forall \sigma \in [0, T]$$

$$(4.33)$$

and the whole sequence is converging by the uniqueness of the limit. Hence, taking into account (4.23), we can apply the dominated convergence theorem and infer that  $\tilde{v}_n \to \tilde{v}$  in  $L^1(0,T;\mathcal{B})$ . Since it is clear that  $V(\tilde{v}_n, [0,T]) \to V(\tilde{v}, [0,T])$ , we have that  $\tilde{v}_n \to \tilde{v}$  strictly on [0,T]. Therefore, by Proposition 5.1, we get that  $\tilde{v}_n \to \tilde{v}$  uniformly on [0,T] and (4.18)–(4.19) follow.

**Lemma 4.1.** Assume that  $(u, r, x^0), (u_n, r_n, x_n^0) \in \overline{D}$  for every  $n \in \mathbb{N}$ ,  $u_n \to u$ ,  $r_n \to r$  strictly on [0,T], and  $x_n^0 \to x^0$  in  $\mathcal{X}$ , as  $n \to \infty$ . With the same notations of Proposition 4.1, we have that  $\mathsf{S}(\overline{u}_n, \overline{r}_n, x_n^0) \to \mathsf{S}(\overline{u}, \overline{r}, x^0)$  strictly on [0,T].

*Proof.* Let us set

and

$$\overline{\xi} := \mathsf{S}(\overline{u}, \overline{r}, x^0), \qquad \overline{\xi}_n := \mathsf{S}(\overline{u}_n, \overline{r}_n, x_n^0)$$

$$\overline{x} := \overline{u} - \xi, \qquad \overline{x}_n := \overline{u}_n - \xi_n$$
t from (4.24) we get

for every  $n \in \mathbb{N}$ . Observe that from (4.24) we get

$$\max\{\|\overline{u}_n'\|_{\infty}, \|\overline{r}_n'\|_{\infty}\} \le \|\widetilde{v}_n'\|_{\infty} \le C_2.$$

$$(4.34)$$

Since 
$$\overline{u}, \overline{r}, \overline{u}_n, \overline{r}_n$$
 are Lipschitz continuous, the following basic estimate holds (cf. [17, Theorem 4] or [5, Formulas (36)–(38), (46)]):

$$\|\overline{\xi}(t) - \overline{\xi}_{n}(t)\|_{\mathcal{X}} \leq (\|x^{0} - x_{n}^{0}\|_{\mathcal{X}} + \|\overline{u}(0) - \overline{u}_{n}(0)\|_{\mathcal{X}})^{2} + L_{n} \int_{0}^{t} (\|\overline{u}(s) - \overline{u}_{n}(s)\|_{\mathcal{X}} + C^{3}K_{0}\|\overline{r}(s) - \overline{r}_{n}(s)\|_{\mathcal{Y}}) \,\mathrm{d}s$$
(4.35)

where

$$L_n := 2\left(\|\overline{u}'\|_{\infty} + \|\overline{u}'_n\|_{\infty} + C^3 K_0(\|\overline{r}'\|_{\infty} + \|\overline{r}'_n\|_{\infty})\right).$$
(4.36)

The sequence  $L_n$  is bounded by virtue of (4.34), therefore from (4.18)–(4.19) and from (4.35)–(4.36) we infer that

$$\overline{\xi}_n \to \overline{\xi}$$
 uniformly on  $[0,T]$ , (4.37)

which together with (4.18) yields

$$\overline{x}_n \to \overline{x}$$
 uniformly on  $[0, T]$ . (4.38)

Therefore from (3.12), (4.38), and (4.19) we infer that

$$J(\overline{x}_n(t), \overline{r}_n(t)) \to J(\overline{x}(t), \overline{r}(t)) \qquad \forall t \in [0, T].$$
(4.39)

as  $n \to \infty$ . If  $(v, \rho, z^0) \in D$ ,  $\eta := \mathsf{S}(v, \rho, z^0)$ , and  $y := v - \eta$ , then [5, Lemma 5.2] yields the following implication:

$$\eta'(t) \neq 0 \implies \begin{cases} y(t) \in \partial \mathcal{Z}(\rho(t)) \\ \|\eta'(t)\|_{\mathcal{X}} = \left\langle \eta'(t), \frac{J(y(t), \rho(t))}{\|J(y(t), \rho(t))\|_{\mathcal{X}}} \right\rangle & \text{for } \mathcal{L}^1 \text{-a.e. } t \in [0, T]. \end{cases}$$
(4.40)

Let us define  $H: \mathcal{X} \times \mathcal{R} \longrightarrow \mathcal{X}$  by

$$H(y,\rho) := \begin{cases} M(y,\rho) \frac{J\left(\frac{y}{M(y,\rho)},\rho(t)\right)}{\left\|J\left(\frac{y}{M(y,\rho)},\rho(t)\right)\right\|_{\mathcal{X}}} & \text{if } x \neq 0\\ 0 & \text{if } x = 0 \end{cases}$$
(4.41)

The map H is well-defined thanks to (3.16) and to the fact that  $y/M(y,\rho) \in \partial \mathcal{Z}(\rho)$ , therefore we have that

$$\|\eta'(t)\|_{\mathcal{X}} = \langle \eta'(t), H(y(t), \rho(t)) \rangle$$
 for  $\mathcal{L}^1$ -a.e.  $t \in [0, T]$ . (4.42)

Moreover, since  $M(x) \to 0$  as  $x \to 0$ , from (3.5) and Assumption 3.1 we infer that H is continuous, hence  $H(\overline{x}_n(t), \overline{r}_n(t)) \to H(\overline{x}(t), \overline{r}(t))$  for every  $t \in [0, T]$ . On the other hand the sequence  $H(\overline{x}_n(\cdot), \overline{r}_n(\cdot))$  is uniformly bounded, thus by the dominated convergence theorem

$$H(\overline{x}_n(\cdot),\overline{r}_n(\cdot)) \to H(\overline{x}(\cdot),\overline{r}(\cdot)) \quad \text{in } L^q(0,T;\mathcal{X}) \quad \forall q \in ]1,\infty[.$$
(4.43)

Observe that (cf. [5, Formula 50])

$$\|\overline{\xi}'_n(t)\|_{\mathcal{X}} \le \|\overline{u}'_n(t)\|_{\mathcal{X}} + CK_0 \|\overline{r}'_n(t)\|_{\mathcal{Y}} \quad \text{for } \mathcal{L}^1\text{-a.e. } t \in [0,T],$$

$$(4.44)$$

hence, thanks to (4.34),  $\overline{\xi}_n$  is bounded in  $W^{1,p}(0,T;\mathcal{X})$  for every  $p \in ]1,\infty[$ , and (4.37) implies that

$$\overline{\xi}_n \rightharpoonup \overline{\xi} \quad \text{in } W^{1,p}(0,T;\mathcal{X}) \text{ for every } p \in ]1,\infty[.$$
(4.45)

Thus from (4.42), (4.43), and (4.45) we get that

$$\lim_{n \to \infty} \mathcal{V}(\overline{\xi}_n, [0, T]) = \lim_{n \to \infty} \int_0^T \|\overline{\xi}'_n(t)\|_{\mathcal{X}} \, \mathrm{d}t = \lim_{n \to \infty} \int_0^T \left\langle \overline{\xi}'_n(t), H(\overline{x}_n(t), \overline{r}_n(t)) \right\rangle \, \mathrm{d}t \\= \int_0^T \left\langle \overline{\xi}'(t), H(\overline{x}(t), \overline{r}(t)) \right\rangle \, \mathrm{d}t = \int_0^T \|\overline{\xi}'(t)\|_{\mathcal{X}} \, \mathrm{d}t = \mathcal{V}(\overline{\xi}, [0, T]), \qquad (4.46)$$

which together with (4.37) proves the lemma.

Proof of Theorem 3.2. We are left to prove the continuity property. to this aim let  $(u, r, x^0)$ ,  $(u_n, r_n, x_n^0) \in \overline{D}$  be such that  $u_n \to u$ ,  $r_n \to r$  strictly on [0, T] and  $x_n^0 \to x^0$  in  $\mathcal{X}$ . If v = (u, r) and  $v_n = (u_n, r_n)$  then by Lemma 5.2 we have that

$$\ell_{v_n}(t) \to \ell_v(t) \qquad \forall t \in [0, T].$$
 (4.47)

Observe that by Theorem 4.1 we have

$$\mathsf{S}(u, r, x^0)(t) = \mathsf{S}(\overline{u} \circ \ell_v, \overline{r} \circ \ell_v, x^0)(t) = \mathsf{S}(\overline{u}, \overline{r}, x^0)(\ell_v(t)), \tag{4.48}$$

$$\mathsf{S}(u_n, r_n, x_n^0)(t) = \mathsf{S}(\overline{u}_n \circ \ell_{v_n}, \overline{r}_n \circ \ell_{v_n}, x_n^0)(t) = \mathsf{S}(\overline{u}_n, \overline{r}_n, x_n^0)(\ell_v(t)).$$
(4.49)

Moreover from Lemma 4.1 we get that

$$\mathsf{S}(\overline{u}_n, \overline{r}_n, x_n^0) \to \mathsf{S}(\overline{u}, \overline{r}, x^0) \quad \text{strictly on } [0, T],$$

$$(4.50)$$

in particular  $\mathsf{S}(\overline{u}_n, \overline{r}_n, x_n^0) \to \mathsf{S}(\overline{u}, \overline{r}, x^0)$  uniformly on [0, T] thanks to Proposition 5.1, therefore from (4.47) we get

$$\lim_{n \to \infty} \mathsf{S}(u_n, r_n, x_n^0)(t) = \lim_{n \to \infty} \mathsf{S}(\overline{u}_n \circ \ell_{v_n}, \overline{r}_n \circ \ell_{v_n}, x_n^0)(t)$$
$$= \lim_{n \to \infty} \mathsf{S}(\overline{u}_n, \overline{r}_n, x_n^0)(\ell_{v_n}(t))$$
$$= \mathsf{S}(\overline{u}, \overline{r}, x^0)(\ell_v(t))$$
$$= \mathsf{S}(\overline{u} \circ \ell_v, \overline{r} \circ \ell_v, x^0)(t)$$
$$= \mathsf{S}(u, r, x^0)(t)$$
(4.51)

Now  $\|\mathsf{S}(u_n, r_n, x_n^0)\|_{\infty} = \|\mathsf{S}(\overline{u}_n, \overline{r}_n, x_n^0) \circ \ell_{v_n}\|_{\infty} = \|\mathsf{S}(\overline{u}_n, \overline{r}_n, x_n^0)\|_{\infty}$ , thus  $\mathsf{S}(u_n, r_n, x_n^0)$  is uniformly bounded because of the strict convergence of  $\mathsf{S}(\overline{u}_n, \overline{r}_n, x_n^0)$ , and by the dominated convergence theorem we infer that

$$\mathsf{S}(u_n, r_n, x_n^0) \to \mathsf{S}(u, r, x^0) \qquad \text{in } L^1(0, T; \mathcal{X}).$$
(4.52)

Finally we have to prove the convergence of the variations. From (4.48)–(4.49) and from the continuity of  $\ell_v$  we have that

$$V(S(u_n, r_n, x_n^0), [0, T]) = V(S(\overline{u}_n, \overline{r}_n, x_n^0), [0, T]),$$
(4.53)

$$V(S(u, r, x^{0}), [0, T]) = V(S(\overline{u}, \overline{r}, x^{0}), [0, T]),$$
(4.54)

moreover (4.50) yields

$$\lim_{n \to \infty} \mathcal{V}(\mathsf{S}(\overline{u}_n, \overline{r}_n, x_0^n), [0, T]) = \mathcal{V}(\mathsf{S}(\overline{u}, \overline{r}, x_0), [0, T])$$

and the theorem is completely proved.

**Remark 4.2.** As we mentioned in the Introduction, when  $\mathcal{Z}(r(t)) = \mathcal{Z}$ , a fixed closed convex subset of the Hilbert space  $\mathcal{X}$ , the solution operator S is actually acting on  $BV([0,T];\mathcal{X}) \cap C([0,T];\mathcal{X})$  only, and its strict continuity was deduced in [26] by applying the general implication

$$R d_{BV}$$
-continuous  $\implies R d_s$ -continuous, (4.55)

holding for a rate independent operator  $\mathsf{R} : BV([0,T];\mathcal{X}) \cap C([0,T];\mathcal{X}) \longrightarrow BV([0,T];\mathcal{X}) \cap C([0,T];\mathcal{X})$ . Property (4.55) (proved in [26, Theorem 3.4]) cannot be applied in our new framework where  $\mathcal{B} = \mathcal{X} \times \mathcal{Y}$  replaces  $\mathcal{X}$  in the domain of  $\mathsf{R}$ , because the norm (4.6) is not uniformly convex, and property (4.55) does not hold in the non-uniformly convex case, even if  $\mathcal{B}$  is reflexive. Let us show this fact with a counterexample by considering the space  $\mathcal{B}_1 = \mathbb{R}^2$  endowed with the 1-norm  $||(x,y)||_{\mathcal{B}_1} := |x| + |y|, (x,y) \in \mathbb{R}^2$ . Notice that  $\mathcal{B}_1$  is reflexive but is not uniformly convex. By  $\mathcal{B}_2$  we denote the space  $\mathbb{R}^2$  endowed with the euclidean norm  $||(x,y)||_{\mathcal{B}_2} := (|x|^2 + |y|^2)^{1/2}, (x,y) \in \mathbb{R}^2$ . If an interval  $J \subseteq [0,T]$  and  $v : [0,T] \longrightarrow \mathbb{R}^2$  are given, for k = 1, 2 we denote by  $V_k(u, J)$  the variation of u on J with respect to the norm  $|| \cdot ||_{\mathcal{B}_k}$ , and we also set  $V_k(u)(t) := V_k(u, [0,t]), t \in [0,T]$ . Accordingly we denote by  $d_{BV}^k$  and by  $d_s^k$  the distances defined in (2.9) and in (2.10) with  $\mathcal{B} = \mathcal{B}_k, k = 1, 2$ , while  $d_{BV}$  will be used for the case  $\mathcal{B} = \mathbb{R}$ . Observe that the metrics  $d_{BV}^1$  and  $d_{BV}^2$  are equivalent, hence they generate the same topology. Let us define  $\mathsf{R} : BV([0,T]; \mathcal{B}_1) \cap C([0,T]; \mathcal{B}_1) \longrightarrow BV([0,T]; \mathcal{B}_1) \cap C([0,T]; \mathcal{B}_1)$  by

$$\mathsf{R}(u)(t) := (\mathsf{V}_1(u)(t), \mathsf{V}_2(u)(t)), \qquad u \in BV([0,T]; \mathcal{B}_1) \cap C([0,T]; \mathcal{B}_1)$$

(we could take  $V_2(u)$  in both components, but we prefer to keep them distinct). Clearly R is rate independent. In order to prove that it is  $d_{BV}^1$ -continuous, assume that  $d_{BV}^1(u_n, u) \to 0$ , thus  $d_{BV}^2(u_n, u) \to 0$  as well. Since  $V_k(u_n)$  and  $V_k(u)$  are increasing functions, a straightforward computation shows that

$$V(V_k(v) - V_k(w), J) = V_k(u - w, J)$$
(4.56)

for every v, w, and J, therefore, using also the inequality  $|V_k(v, J) - V_k(w, J)| \le V_k(v - w, J)$ , we have

$$\begin{aligned} d_{BV}(\mathbf{V}_{k}(u_{n}),\mathbf{V}_{k}(u)) &= \|\mathbf{V}_{k}(u_{n}) - \mathbf{V}_{k}(u)\|_{L^{1}(0,T;\mathbb{R})} + |\mathbf{V}(\mathbf{V}_{k}(u_{n}) - \mathbf{V}_{k}(u)), [0,T])| \\ &= \int_{0}^{T} |\mathbf{V}_{k}(u_{n})(t) - \mathbf{V}_{k}(u)(t)| \,\mathrm{d}t + \mathbf{V}_{k}(u_{n} - u, [0,T]) \\ &\leq \int_{0}^{T} \mathbf{V}_{k}(u_{n} - u, [0,t]) \,\mathrm{d}t + \mathbf{V}_{k}(u_{n} - u, [0,T]) \\ &\leq (T+1) \,\mathbf{V}_{k}(u_{n} - u, [0,T]), \end{aligned}$$

hence  $d_{BV}(V_k(u_n), V_k(u)) \to 0$  as  $n \to \infty$  for k = 1, 2, and this implies that  $d_{BV}^1(\mathbb{R}(u_n), \mathbb{R}(u)) \to 0$  as  $n \to \infty$ , and  $\mathbb{R}$  is  $d_{BV}^1$ -continuous. Now we show that  $\mathbb{R}$  is not  $d_s^1$ -continuous. To this aim we consider a sequence of Lipschitz curves  $u_n$  whose trace is a kind of "staircase with n steps" laid upon the line y = x, going from the origin to the point (1, 1). More precisely, for every  $n \in \mathbb{N}$  we split [0, 1] into n subintervals  $[(j - 1)/2^{n-1}, j/2^{n-1}], j = 1, \ldots, 2^{n-1}$ , and let  $u_n : [0, 1] \longrightarrow \mathbb{R}^2$  be the unique Lipschitz curve such that

$$u_n(t) = \begin{cases} ((j-1)/2^{n-1}, g_n(t)) & \text{if } t \in [(j-1)/2^{n-1}, (2j-1)/2^n] \\ (h_n(t), j/2^{n-1}) & \text{if } t \in [(2j-1)/2^n, j/2^{n-1}] \end{cases}, \qquad j = 1, \dots, 2^{n-1},$$

where  $g_n : [(j-1)/2^{n-1}, (2j-1)/2^n] \longrightarrow [(j-1)/2^{n-1}, j/2^{n-1}]$  and  $h_n : [(2j-1)/2^n, j/2^{n-1}] \longrightarrow [(j-1)/2^{n-1}, j/2^{n-1}]$  are affine increasing surjective functions. If  $u : [0,1] \longrightarrow \mathbb{R}^2$  is defined by u(t) := (t,t), then we have  $||u_n - u||_{L^1(0,1;\mathcal{B}_k)} \to 0$  for k = 1, 2. Since  $V_1(u_n, [0,1]) = V_2(u_n, [0,1]) = 2$  for every  $n \in \mathbb{N}$ , by (4.56) we have that  $V_1(\mathbb{R}(u_n), [0,1]) = V(V_1(u_n), [0,1]) + V_2(u_n, [0,1]) + V_2(u_n, [0,1]) = V(V_1(u_n), [0,1]) + V_2(u_n, [0,1]) + V_2(u_$ 

 $V(V_2(u_n), [0, 1]) = 2 + 2 = 4$ . On the other hand  $V_1(u, [0, 1]) = 2$  and  $V_2(u, [0, 1]) = \sqrt{2}$ , therefore  $V_1(\mathsf{R}(u), [0, 1]) = V(V_1(u), [0, 1]) + V(V_2(u), [0, 1]) = 2 + \sqrt{2}$ , hence  $\mathsf{R}(u_n)$  is not  $d_s^1$ -convergent and  $\mathsf{R}$  is not  $d_s^1$ -continuous.

**Remark 4.3.** In the simpler case  $\mathcal{Z}(r(t)) = \mathcal{Z}$  the strict continuity of the solution operator S was deduced in [26] without any smoothness assumption on  $\mathcal{Z}$ . Therefore it seems natural to wonder if Assumption 3.1 can be relaxed in the present framework. This question is still open.

# 5. Appendix

In this section we show some properties about the strict convergence in  $BV([0,T]; \mathcal{B})$ .

**Lemma 5.1.** Assume that  $v_n, v \in BV([0,T]; \mathcal{B}) \cap C([0,T]; \mathcal{B})$  and let  $J \subseteq [0,T]$  be an interval. If  $v_n(t) \to v(t)$  for a.e.  $t \in J$ , then  $V(v, J) \leq \liminf_{n \to \infty} V(v_n, J)$ .

*Proof.* Let  $0 = s_0 < \cdots < s_m = T$  be such that

$$V(v,J) < \varepsilon/2 + \sum_{j=0}^{m} \|v(s_j) - v(s_{j-1})\|_{\mathcal{B}}.$$

The set  $E := \{t \in [0,T] : v_n(t) \to v(t) \text{ as } n \to \infty\}$  has full measure in [0,T], therefore we can find points  $t_j \in E$ ,  $j = 1, \ldots, m$  such that  $0 < t_1 < \cdots < t_m = T$  and  $||v(t_j) - v(s_j)||_{\mathcal{B}} < m\varepsilon/4$  for  $j = 1, \ldots, m$ , and we have

$$V(u, [0, T]) < \varepsilon/2 + \sum_{j=0}^{m} \|v(s_j) - v(s_{j-1})\|_{\mathcal{B}}$$
  
$$\leq \varepsilon/2 + \sum_{j=0}^{m} (\|v(s_j) - v(t_j)\|_{\mathcal{B}} + \|v(t_j) - v(t_{j-1})\|_{\mathcal{B}} + \|v(t_{j-1}) - v(s_{j-1})\|_{\mathcal{B}})$$
  
$$< \varepsilon + \sum_{j=0}^{m} \|v(t_j) - v(t_{j-1})\|_{\mathcal{B}}.$$

For every  $n \in \mathbb{N}$  we have

$$V(v_n, [0, T]) \ge \sum_{j=0}^{m} \|v_n(t_j) - v_n(t_{j-1})\|_{\mathcal{B}},$$
(5.1)

therefore taking the lower limit we get

$$\liminf_{n \to \infty} \mathcal{V}(v_n, [0, T]) \ge \liminf_{n \to \infty} \sum_{j=0}^m \|v_n(t_j) - v_n(t_{j-1})\|_{\mathcal{B}}$$
$$\ge \sum_{j=0}^m \liminf_{n \to \infty} \|v_n(t_j) - v_n(t_{j-1})\|_{\mathcal{B}}$$
$$= \sum_{j=0}^m \|v(t_j) - v(t_{j-1})\|_{\mathcal{B}} > \mathcal{V}(v, [0, T]) - \varepsilon.$$

and the statement follows from the arbitrariness of  $\,\varepsilon\,.\,$ 

**Corollary 5.1.** Let  $v, v_n \in BV([0,T]; \mathcal{B}) \cap C([0,T]; \mathcal{B})$  be such that  $v_n \to v$  strictly on [0,T] as  $n \to \infty$ . Let  $J \subseteq [0,T]$  be an interval. Then

$$V(v, J) \le \liminf_{n \to \infty} V(v_n, J).$$

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*Proof.* Let  $(n_k)_k$  be a sequence of positive integers such that  $n_k \to \infty$  and  $V(v_{n_k}, I) \to \ell$  as  $k \to \infty$  for some  $\ell \ge 0$ . By the strict convergence it follows that there is a further subsequence  $n_{k_h}$  such that  $v_{n_{k_h}} \to u$  almost everywhere. Hence by Lemma 5.1  $V(v, J) \le \ell$  and we are done.

**Lemma 5.2.** Assume that  $v_n, v \in BV([0,T]; \mathcal{B}) \cap C([0,T]; \mathcal{B})$  for every  $n \in \mathbb{N}$ . If  $v_n \to v$  strictly on [0,T] as  $n \to \infty$ , then  $V(v_n, [s,t]) \to V(v, [s,t])$  for every  $s, t \in [0,T]$ , s < t.

*Proof.* Thanks to Corollary 5.1 we have that

$$\mathbf{V}(v, [s, t]) = \liminf_{n \to \infty} \mathbf{V}(v_n, [s, t]).$$

On the other hand, using again Corollary 5.1 and the strict convergence, we infer that

$$\limsup_{n \to \infty} \mathcal{V}(v_n, [s, t]) = \limsup_{n \to \infty} (\mathcal{V}(v_n, [0, T]) - \mathcal{V}(v_n, [0, s]) - \mathcal{V}(v_n, [t, T]))$$
  
$$\leq \mathcal{V}(v, [0, T]) - \mathcal{V}(v, [0, s]) - \mathcal{V}(v, [t, T]) = \mathcal{V}(v, [s, t]).$$

**Lemma 5.3.** Assume that  $v_n, v \in BV([0,T]; \mathcal{B}) \cap C([0,T]; \mathcal{B})$  for every  $n \in \mathbb{N}$ . If  $v_n \to v$  strictly as  $n \to \infty$ , then for all  $\varepsilon > 0$  there exists  $\delta > 0$  such that if  $c, d \in [0,T]$  we have

$$0 < d - c < \delta \implies \sup_{n \in \mathbb{N}} \mathcal{V}(v_n, [c, d]) < \varepsilon.$$
(5.2)

Proof. Thanks to Lemma 5.2, the sequence of real functions  $V_n : [0,T] \longrightarrow \mathbb{R} : t \longmapsto V(v_n, [0,t])$  is pointwise converging to the continuous function  $V : [0,T] \longrightarrow \mathbb{R} : t \longmapsto V(v, [0,t])$ . Moreover  $V_n$  is a monotone function for every  $n \in \mathbb{N}$ , therefore from the Polya Lemma (cf. [9, Theorem 10, p. 166]) we deduce that  $V_n \to V$  uniformly on [0,T], hence for every  $\varepsilon > 0$  there exists  $\delta > 0$  such that  $\sup_{n \in \mathbb{N}} |V(d) - V(c)| < \varepsilon$  whenever  $0 < d - c < \delta$ ,  $c, d \in [0,T]$ . This is what we wanted to prove.

**Lemma 5.4.** Assume that  $v_n, v \in BV([0,T]; \mathcal{B}) \cap C([0,T]; \mathcal{B})$  for every  $n \in \mathbb{N}$ . If  $v_n \to v$  strictly as  $n \to \infty$ , then  $v_n(t) \to v(t)$  as  $n \to \infty$  for every  $t \in [0,T]$ .

*Proof.* If  $t \in [0, T]$  is fixed and a subsequence  $v_{n'}(t)$  of  $v_n(t)$  is given, we can extract a further subsequence  $(n'_k)_k$  such that  $v_{n'_k} \to v$  a.e. in [0, T]. If  $\varepsilon > 0$  there exists  $\delta > 0$  such that (5.2) holds. We can find a point  $t_0$  such that  $0 \leq t - t_0 < \delta$  and  $v_{n'_k}(t_0) \to v(t_0)$ . Hence we get

$$\begin{aligned} \|v_{n'_{k}}(t), v(t)\|_{\mathcal{B}} &\leq \|v_{n'_{k}}(t_{0}) - v(t_{0})\|_{\mathcal{B}} + \|v_{n'_{k}}(t) - v_{n'_{k}}(t_{0})\|_{\mathcal{B}} + \|v_{n'_{k}}(t) - v(t_{0})\|_{\mathcal{B}} \\ &\leq \|v_{n'_{k}}(t_{0}) - v(t_{0})\|_{\mathcal{B}} + \mathcal{V}(v_{n'_{k}}, [t_{0}, t]) + \mathcal{V}(v, [t_{0}, t]) \leq 3\varepsilon, \end{aligned}$$

provided k is large enough. The thesis follows.

**Proposition 5.1.** Assume  $v, v_n \in BV([0,T]; \mathcal{B}) \cap C([0,T]; \mathcal{B})$  and  $v_n \to v$  strictly as  $n \to \infty$ . Then  $v_n \to v$  uniformly on [0,T].

*Proof.* It is enough to apply the Ascoli theorem for  $\mathcal{B}$  valued functions (cf. [18, Theorem 3.1, p. 57]). The pointwise convergence of  $v_n$  is proved in Lemma 5.4, the equicontinuity follows immediately from Lemma 5.3.

Notice that as a consequence of Proposition 5.1 we can also obtain the following

**Corollary 5.2.**  $W^{1,1}([0,T];\mathcal{B})$  is continuously embedded in  $C([0,T];\mathcal{B})$ .

#### SWEEPING PROCESSES

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