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Modelling thermal recycling occurring in groundwater heat pumps (GWHPs) / Casasso, Alessandro; Sethi, Rajandrea. -In: RENEWABLE ENERGY. - ISSN 0960-1481. - ELETTRONICO. - 77:(2015), pp. 86-93. [10.1016/j.renene.2014.12.003]

Availability: This version is available at: 11583/2583144 since:

Publisher: Elsevier

Published DOI:10.1016/j.renene.2014.12.003

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Modelling thermal recycling occurring in Groundwater Heat Pumps (GWHPs)

Authors: Alessandro Casasso, Rajandrea Sethi *

* corresponding author

DIATI – Politecnico di Torino, corso Duca degli Abruzzi 24, 10129 Torino (Italy)

Telephone number: +39 0110907735

alessandro.casasso@polito.it, rajandrea.sethi@polito.it

Highlights

- Thermal recycling should be studied when dimensioning GWHPs.
- The potential flow theory accurately reproduces the hydraulics of a GWHP.
- The potential flow theory applied to GWHPs was implemented in a MATLAB[™] function.
- A practical formula was deduced for the calculation of thermal recycling in a GWHP.
- TRS and the practical formula were validated through simulations with FEFLOW™.

Abstract

11 The performance of a Ground Water Heat Pump (GWHP) is often impaired by the thermal 12 recycling between the injection and the extraction well(s), and hence this phenomenon 13 should be evaluated in the design of open loop geothermal plants. The numerical flow and 14 heat transport simulation of a GWHP requires an expensive characterization of the aquifer 15 to obtain reliable input data, which is usually not affordable for small installations. To 16 provide a simple, fast and inexpensive tool for preliminary and sensitivity analyses, an 17 open-source numerical code was developed, which solves the hydraulic and thermal 18 transport problem of a well doublet in the presence of a subsurface flow. The code, called 19 TRS (Thermal Recycling Simulator), is based on a finite-difference approximation of the 20 potential flow theory. The method was validated through the comparison with flow and 21 heat transport simulations with FEFLOW. Subsequently, TRS was run with different values 22 of the aquifer and plant parameters. The correlation observed between some characteristic 23 non-dimensional quantities permitted an empirical correlation to be developed, that 24 describes the time evolution of the extracted water temperature. An example is given for 25 the use of the numerical code and the formula in the dimensioning of an open loop 26 geothermal plant.

27

Keywords

28 Ground Water Heat Pump; groundwater; geothermal; thermal recycling; thermal
29 breakthrough; potential flow theory.

31 **1.** Introduction

32 Geothermal Heat Pump (GHP) installations are spreading fast all over the world, with a 33 total installed power of 33 GW [1]. Half of the world's shallow geothermal energy 34 production takes place in Europe, with a positive occupational and environmental impact, as 7000 people are employed in this sector [2] and a reduction of 5.5 Mton CO₂ per year is 35 achieved by using GHPs instead of more carbon-intensive technical solutions [3]. GHPs 36 37 are divided into closed loop or Ground Coupled Heat Pumps (GCHPs), where a heat 38 carrier fluid circulates in a pipe circuit buried in the ground, and open loop or Groundwater 39 Heat Pumps (GWHPs), where the thermal exchange takes place directly on the extracted 40 groundwater, which is then usually re-injected into the same aguifer [4]. While closed loop 41 systems (i.e. Borehole Heat Exchangers, energy piles, earth coils) are based mainly on 42 conductive heat exchange with the surrounding ground and, to a lesser extent, advection 43 and dispersion [5-7], the thermal exchange for GWHPs is mostly advective [8]. Since water 44 is usually reinjected after the heat exchange with the evaporator/condenser, a plume of 45 chilled/warmed groundwater around the injection well is generated, which can return to the 46 abstraction well with a gradual worsening of the performance of the system. This 47 phenomenon was firstly observed in the Thirties in Long Island (New York), as re-injection 48 was prescribed to avoid the depletion of the shallow coastal aquifer [9], and it was then 49 either called thermal breakthrough, short-circuit, feedback, recycling etc., usually without 50 any clear distinction. Recently, however, Milnes and Perrochet [10] defined thermal 51 feedback as occurring when the value of the injection temperature is imposed, and thermal 52 recycling when a temperature difference between abstraction and injection is set (Fig.1).



54

Fig. 1 – Difference between thermal feedback (on the left) and thermal recycling (on the right).

57 According to this classification, thermal feedback has been studied for a long time, since 58 Gringarten and Sauty [11] developed a formula for the calculation of the temperature 59 variation in the abstraction well through time. Instead, thermal recycling has only been 60 studied more recently, since its formulation is more complicated from the mathematical 61 point of view. However, the time it takes for reinjected water to reach the extraction well, which is hereby called thermal breakthrough time (t_{tb}) , does not vary depending on the 62 injection temperature. Lippmann and Tsang [12] calculated its value for three different 63 hydrogeological setups: no groundwater flow, regional flow from the injection to the 64 65 abstraction well and regional flow from the abstraction to the injection well.

66 While thermal breakthrough inevitably occurs in the first two cases, in the third case it is 67 not observed if:

68

69

$$X = \frac{2Q_w}{\pi b k J L} < 1$$

Equation 1

where *Q* is the flow rate exchanged by the wells $[m^3s^{-1}]$, *b* is the aquifer thickness [m], *k* is the hydraulic conductivity of the aquifer $[ms^{-1}]$, *J* is the hydraulic gradient [-] and *L* is the distance between the wells [m]. 73 This equation is only valid for groundwater flow aligned with the well doublet, and the 74 parameter x is the measure of how strong will the thermal breakthrough be. The minimum value of L required to cope with the criterion of Eq.1 is too large for most GWHP well 75 doublets, but the breakthrough time t_{th} could be longer than the duration of a heating or 76 77 cooling season, thus avoiding the occurrence of this phenomenon. In addition, the thermal 78 recycling can develop over long time scales and/or at a low rate, permitting the plant 79 operation to be continued with a slight reduction of COP (Coefficient Of Performance) or of 80 the EER (Energy Efficiency Ratio). For these reasons, the main focus of the design of an 81 open loop geothermal heat pump is not determining whether the thermal breakthrough is 82 theoretically possible or not, but whether the impact of thermal recycling is sustainable 83 during the heating/cooling seasons and through years. For this task, transient numerical modelling would be the optimal solution, both with programs able at modelling coupled 84 85 flow and heat transport, like FEFLOW™ [13-16], or flow and solute transport, like 86 MODFLOW, applying the similarity between solute and heat transport [17-19]. In fact, 87 these programs can simulate complicated hydrogeological setups and well arrangements. 88 variable thermal loads, variable flow rates, and optimize the arrangement of the wells and 89 the flow rate patterns. On the other hand, a thorough characterization of the aquifer, which 90 would be necessary for an appropriate use of these softwares, is not affordable for small 91 GWHPs and hence it is usually not performed. In these cases, it is advisable to use 92 simplified models analyzing a broad range of conditions, rather than using sophisticated 93 models with arbitrarily imposed input data. Poppei et al. [20] developed a software called 94 GED (Groundwater Energy Design) which calculates the spatial distribution of 95 groundwater temperatures around a GWHP with simplified models, but not the time 96 evolution of the extracted and injected water temperatures. The analytical formulae 97 reported in Stauffer et al. [21] can be used to calculate the thermal alteration in the 98 extraction well if the injection temperature is known *a priori* (thermal feedback). No
99 simplified methods were found in the literature to simulate the thermal recycling.

100 A numerical code was therefore developed, starting from the modelling framework of the 101 potential flow theory described by Strack [22] and Luo and Kitanidis [23] that can be 102 adopted for the calculation of velocities and pathlines of a geothermal well doublet. The 103 use of particle tracking (PT) for the design of a GWHP was also proposed by Ferguson 104 [24], who calculated the thermal feedback with a finite-difference flow and solute transport 105 numerical models (MODFLOW with MODPATH) to simulate the thermal feedback with well 106 schemes more complex than a doublet. These articles above provided the conceptual 107 basis for the thermal recycling modelling carried out in this study, where the potential flow 108 theory was used to implement the TRS (Thermal Recycling Simulator) MATLAB™ 109 function, able to determine the time series of the extracted water temperature in a GWHP. 110 The adopted numerical method was validated through finite-element simulations 111 developed under FEFLOW[™], achieving a good agreement between computed water 112 temperatures, in a wide range of parameter values (well distance, flow rate, hydraulic 113 conductivity etc.) that can be met in real installations. Subsequently, TRS has been used 114 for a larger number of simulations, in order to understand how the thermal recycling 115 evolves depending on these quantities. The time series of the abstraction well temperature 116 have been analyzed, deriving an empirical correlation that can be used to assess the 117 feasibility of a GWHP setup. Finally, an example of the use of the formula and of TRS is 118 given in this paper, comparing their results with those obtained with FEFLOW™.

119 2. Derivation of the numerical code

120 The thermal recycling in a GWHP is caused by the hydraulic recirculation from the 121 injection to the extraction well(s), and hence it is necessary to study the path and the travel 122 times of water injected into the aquifer, discretizing it into fractions and assessing which Page 6 of 28 123 ones will flow downstream and which ones will be captured by the pumping well(s) located 124 upstream. The potential flow theory of Strack [22] can be effectively applied for this 125 purpose, provided that some simplifying assumptions are made (homogeneous aquifer 126 properties distributions, constant flow rate etc.). In this way, the superposition principle can be applied in the modelling of two wells, one with a positive (extracted) flow rate Q_{w} and 127 one with a negative (injected) flow rate $-RF \cdot Q_{w}$ (with $RF \leq 1$ being the fraction of the 128 extracted flow rate which is reinjected), and a homogeneous groundwater flow $\bar{Q}_{_{gw}}$ with a 129 130 generic orientation \mathcal{G} . Partial reinjection is guite uncommon, and therefore the analyses 131 conducted in this study are focused on the case of a full reinjection (RF = 1), which is the 132 usual solution adopted in these plants. Nevertheless, the program is also capable of 133 dealing with partial reinjection, which will be considered in the mathematical derivation 134 presented in this chapter.

135 The complex potential of a well doublet in the presence of a regional flow can be 136 formalized as follows [23]:

 $Q_{aw} = kJbe^{i\vartheta}$

137
$$\Omega(z) = \frac{Q_w}{2\pi} \log(z - z_E) - \frac{RF \cdot Q_w}{2\pi} \log(z - z_I) - \overline{Q}_{gw} z$$

138

Equation 2

139 140

Equation 3

141 where Q_w is the extraction well flow rate $[m^3s^{-1}]$, z_e and z_i are the planar positions of the 142 extraction and the injection wells [m] expressed as complex numbers (z = x + iy), \overline{Q}_{gw} is the 143 complex conjugate of the groundwater flow vector $[m^2s^{-1}]$, k is the hydraulic conductivity of 144 the aquifer $[ms^{-1}]$, J is the modulus of the hydraulic gradient in the aquifer [-], b is the 145 thickness of the aquifer [m] and ϑ is the direction angle of the groundwater flow 146 (measured counter-clockwise with respect to the conjunction between the extraction and147 the injection well).

148 The vector of the effective velocity field is a function of the spatial derivate of the complex 149 potential, which in turns depends on the planar position z:

150
$$v_e(z) = -\frac{1}{b \cdot n_e} \frac{d\Omega}{dz} = \frac{1}{b \cdot n_e} \cdot \left[\frac{Q_w}{2\pi} \left(\frac{RF}{z - z_i} - \frac{1}{z - z_E} \right) + \bar{Q}_{gw} \right]$$

151

Equation 4

The spatial distribution of groundwater effective velocities permits particles to be tracked backward or forward from a generic starting point, by means of finite difference schemes. Since the saturated aquifer thickness *b* is considered as homogeneous and constant, Eq.4 is valid, strictly speaking, only for confined aquifers: nevertheless, the influence of the variation of the saturated thickness on groundwater velocities in unconfined aquifers is not appraisable when computing particle travel times.

158 A forward particle tracking procedure was implemented in a MATLAB[™] numerical code 159 called TRS (Thermal Recycling Simulator), in order to draw the pathlines and calculate the 160 travel times of particles starting from the injection well. Considering a uniform radial 161 distribution of the flow rate, the injection well pipe wall can be subdivided into N sectors 162 with equally spaced particles, each one separated by an angle of $2\pi/N$ radians and 163 representative of 1/N of the total flow rate circulated. Through the calculation of the 164 pathlines, it is possible to ascertain how many of them will reach the extraction well and, 165 by sorting the particle travel times, the time series of the recycled flow rate fraction 166 RR(t) can be derived.

167 The PT procedure explained so far only takes into account the hydraulic particle travel 168 times, neglecting the fact that the heat exchange between the injected water and the 169 aquifer results in a slower propagation of the thermal alteration with respect to 170 groundwater. Since the transport equations of solute and heat have a similar form, the Page 8 of 28 thermal retardation factor [25] can be defined, which is the ratio between hydraulic andthermal particle effective velocities:

173
$$R_{th} = 1 + \frac{(1 - n_e)\rho_s c_s}{n_e \rho_w c_w} \ge 1$$

174

175
$$v_{e-th}(z) = \frac{v_e(z)}{R_{th}}$$

176

177 Depending on the velocity flow field described by Eq.4 and 6, a maximum number of 178 particles $n_{max} \le N$ can return to the extraction well, each one after a time $t_p(i)$ which is 179 computed by TRS.

180 The maximum flow rate fraction which is recycled between the wells is:

181
$$RR_{\max} = \frac{n_{\max}}{N}$$

1	82
---	----

183 At the time $t \ge t_p(n)$, *n* particles have reached the extraction well, and the water 184 temperature is therefore:

185
$$T_{E}(t) = (1 - RR_{\max}) \cdot T_{0} + \left(RR_{\max} - \frac{n}{N}\right) \cdot T_{0} + \sum_{i=1}^{n} \frac{1}{N} T_{i}(t - t_{P}(i))$$

186

187 The three terms of Eq. 8 respectively represent the following flow rate fractions:

a constant fraction which is always extracted from upstream, and therefore it is not
thermally altered;

190 - the variable thermally unaltered flow rate fraction, which diminishes through time 191 reaching a value of zero as the asymptote RR_{max} is reached and n_{max} particles on a 192 total of *N* have returned to the abstraction well;

Equation 6

Equation 5

Equation 7

Equation 8

193 - the flow rate fraction which comes from the injection well, which is composed of 194 n(t) particles, each one started at a time $t - t_{\rho}(i)$ with a different injection 195 temperature:

196

 $T_{\mu}(t-t_{\mu}(i))=T_{\mu}(t-t_{\mu}(i))+\Delta T$

Equation 9

197

198 where ΔT is the constant temperature difference between the injection and the 199 extraction wells.

The TRS code is available at the website of Groundwater Engineering research group of Politecnico di Torino [26], and further details about the implementation of this mathematical model in TRS are reported in the supporting information, while the conceptual steps of the procedure described in this chapter are summarized in Fig. 2.

204



205

Fig. 2 – Graphical synthesis of the procedure implemented in TRS. On the left, the particle tracking is shown, with n_{max} particles being recycled between the wells and $N - n_{max}$ particles flowing downstream from the injection well. On the right, the recycled fraction RR(t) is plotted with the ordinate on the left (dotted blue line), while the extracted water temperature is plotted with the ordinate on the right (black line).

3. Validation of the Thermal Recycling Simulator

213 The method previously described was validated through simulations with the 3D numerical 214 flow and heat transport modelling program FEFLOW™ [14]. This software includes a 215 special package (OpenLoop IFM plugin [27]) for simulating a well doublet with a prescribed 216 (constant or variable) temperature difference. The parameter values and the numerical 217 settings adopted in the simulations for the verify of TRS are summarized on Tab. 1. A very 218 large rectangular mesh (5x3 km) was built around the well doublet to avoid boundary 219 effects. The aguifer was set as unconfined, and the hydraulic gradient was imposed with 220 appropriate boundary conditions at each slice. A very low thermal conductivity $(\lambda_s = 0.01 Wm^{-1} K^{-1})$ was assigned to the solid matrix of the aquifer, with the aim of 221 222 reproducing the simplifying assumption of purely advective heat transport. An assessment 223 of the error introduced by neglecting the heat conduction and dispersion is included in the 224 supporting information, proving that this leads to an overestimation of the thermal 225 alteration of the extracted water. A total number of 13 simulations was run, with different 226 aquifer parameters, well distances and flow rates, in order to cover a wide range of case 227 studies. The non-dimensional parameter X, which represents the strength of the thermal 228 recycling, varies between 1.27 (very weak) and 63.66 (very strong). A graphical 229 comparison of the results of FEFLOW[™] and TRS is reported in Fig. 3, while further analyses of the agreement between the results of these tools are reported in the 230 231 supporting information. The thermal recycling is reproduced accurately by TRS for small 232 and medium values of X (i.e. less than 10), which are the most likely in GWHP plants, 233 while a worse agreement is obtained for large values (larger than 10), which are however 234 not met in reality.



Fig. 3 – Example of a graphical comparison of extracted water temperatures calculated by the
 FEFLOW[™] model and by TRS. Further similar plots are reported in the supporting information.

239

The streamlines calculated with TRS according to the potential flow field were compared
with the ones calculated by FEFLOW[™], and a good agreement is observed between them
(Fig. 4).

243



244

Fig. 4 – Comparison between particle tracking in the FEFLOW[™] model (on the left) and with the finite-difference potential flow theory implemented in TRS (on the right).

Two other quantities can be examined to check the correctness of the mathematical model: the thermal breakthrough time t_{tb} , which is the shortest particle travel time, and the maximum recirculated flow rate fraction RR_{max} . Both these quantities are described by explicit analytical formulae reported in Milnes and Perrochet [10]:

252
$$t_{tb} = R_{th} \cdot \frac{n_e L}{kJ} \cdot \left(\frac{X}{\sqrt{X-1}} \tan^{-1}\left(\frac{1}{\sqrt{X-1}}\right) - 1\right)$$

253

Equation 10

254
$$RR_{\max} = \frac{2}{\pi} \left(\tan^{-1}(\sqrt{X-1}) - \frac{\sqrt{X-1}}{X} \right)$$

Equation 11

256

255

The scatterplots of the values of t_{tb} and RR_{max} calculated analytically and numerically for a large set of simulations are reported in Fig. 5 and Fig. 6 respectively, showing a good alignment. TRS also correctly simulates the asymptotical maximum thermal alteration reached in the case of thermal recycling, which is also described by an analytical formula [9]:

$$T_{E}(\infty) - T_{0} = \frac{RR_{\max}}{1 - RR_{\max}} \Delta T$$

263

264

Equation 12





Fig. 5 – Scatterplot of thermal breakthrough times t_{tb} according to Milnes and Perrochet [10] (on the abscissa) versus the ones resulting from TRS (on the ordinate).



269

Fig. 6 – Scatterplot of the recycled flow rate ratio RR_{max} according to Milnes and Perrochet [10] (on the abscissa) versus the ones resulting from TRS (on the ordinate).

272

273 4. Derivation of an empirical relationship for thermal 274 recycling

Thermal recycling can occur in the well doublets where the parameter *X* exceeds the value of 1, as stated in Eq. 1. The following properties influence the significance of this phenomenon and the time scales for its occurrence: the flow rate, the well distance, thehydraulic conductivity and the gradient, the flow direction and the aquifer thickness.

A similarity in the time scales can also be found among different setups, because well doublets characterized by a long thermal breakthrough time (t_{tb}) reach the asymptotical maximum thermal alteration after a long time. This was originally observed by Clyde and Madabhushi [29] for the thermal feedback in a well doublet in the absence of groundwater flow. In this case, the variation of the extracted water temperature is a function of the ratio between the time *t* and the breakthrough time t_{tb} :

$$285 \qquad \frac{T_{E}(t) - T_{I}}{T_{0} - T_{I}} = 0.34 \cdot \exp\left(-0.0023 \frac{t}{t_{tb}}\right) + 0.34 \cdot \exp\left(-0.109 \frac{t}{t_{tb}}\right) + 1.37 \cdot \exp\left(-1.33 \frac{t}{t_{tb}}\right) \qquad for \ t > t_{tb}$$

286

The temperature plots represented in Fig. 3 and in the supporting information demonstrate that the pattern of thermal recycling in the presence of groundwater flow resembles an asymptotical exponential more closely than a sum of exponentials. A more suitable structure of the formula was therefore chosen:

291
$$T_{E}(t) - T_{0} = \Delta T \cdot \frac{RR_{\max}}{1 - RR_{\max}} \cdot \left[1 - \exp\left(m \cdot \frac{t}{t_{tb}}\right)\right] \quad \text{for } t > t_{tb}$$

292

Equation 14

Equation 13

In order to estimate the coefficient m < 0 of Eq. 14, a total number of 62 simulations with TRS was run, covering a wide range of the *X* parameter (from 1.27 to 63.67). The ranges of values for each parameter adopted in this study are reported in Tab. 2, and further data on these simulations are available in the supporting information. Two criteria were adopted for the choice of typical settings to be simulated:

a better fit should be found for small and medium values of *X*, since larger ones are
 typical of an unsustainable thermal exploitation of the aquifer. For this purpose, a
 larger number of simulations were run with a small *X* (i.e. less than 10);

Page 15 of 28

for the same (or similar) value of *X*, different hydrogeological and well doublet
 parameters were adopted (e.g. a large well distance and a small hydraulic
 conductivity vs a small well distance and a large hydraulic conductivity), in order to
 verify if the coefficient *m* also depends on parameters other than *X*.

The fitting of the coefficient *m* of the asymptotic exponential function on Eq. 14 was performed by comparing the times at which 90% of the asymptotic maximum temperature change occurred (t_{90}) . In particular, the ratio between t_{90} and the thermal breakthrough time t_{tb} can be approximated by a polynomial function of *X* (Fig. 7):

309
$$\frac{t_{90}}{t_{tb}} = 0.0372X^2 + 1.7136X - 1.7508$$

Equation 15



310



312

Fig. 7 – Plots of the ratio between t_{90} and the thermal breakthrough time t_{bt} against the nondimensional parameter *X*.

315

318

316 The interval function of the extracted water temperature was then calculated:

317
$$\frac{T_{E}(t) - T_{0}}{\Delta T} = H(t - t_{tb}) \cdot \frac{RR_{max}}{1 - RR_{max}} \cdot \left[1 - \exp\left(\frac{\log(0.1)}{0.0372X^{2} + 1.7136X - 1.7508} \cdot \frac{t}{t_{tb}}\right) \right]$$

Equation 16

where the parameters t_{tb} and RR_{max} are calculated respectively with the formulae reported in Eq.10 and 11, and $H(t - t_{tb})$ is the Heaviside function.

321 **5.** Example of the applications of the models for thermal 322 recycling

323 The mathematical methods provided in this paper (TRS and the formula reported in Eq.16) 324 can be used in the preliminary dimensioning of a GWHP. An example is shown in this chapter, comparing the results of these methods with the output of numerical flow and heat 325 transport simulations with FEFLOW[™]. The results commented hereby are reported in Tab. 326 327 3. A small block of flats equipped with a GWHP needs a maximum cooling power of 210 328 kW during the cooling season (which lasts 120 days). A flow rate of 16.666 l/s with a temperature difference of 3°C are therefore set. The aquifer is 30m thick, with a hydraulic 329 conductivity of 3x10⁻⁴ m/s and a hydraulic gradient of 5.10⁻³. Given a thermal capacity of 330 the solid matrix $(\rho c)_s = 2.52 \frac{MJ}{m^3 \kappa}$, a thermal capacity of water $(\rho c)_w = 4.2 \frac{MJ}{m^3 \kappa}$ and an 331 effective porosity $n_e = 0.2$, the thermal retardation factor according to Eq.5 is $R_{th} = 3.4$. The 332 undisturbed aquifer temperature is 14°C and the upper limit temperature imposed by the 333 334 environmental authority is 20°C. A preliminary evaluation of the feasibility of the plant is 335 requested.

According to Eq.1, the minimum distance between wells to avoid thermal breakthrough would be equal to 236 m, provided that they are aligned with the groundwater flow direction. Since this is a very large value and it is not compatible with the extension of the property, a value of L=100m is set. As reported in Tab. 3, this choice would result in a thermal breakthrough time t_{tb} which is longer than the cooling season, and the extracted water temperature will not experience any variation. Nevertheless, such a large distance 342 implies a noticeable increment of the cost of installation, and a reduction of this value 343 would be highly desirable. By setting L = 40 m, a shorter breakthrough time is obtained and 344 the asymptotical thermal alteration $T_{i}(\infty)$ in the injection well would be very close to the limit imposed by the authority. However, a smaller variation occurs at the end of the 345 346 cooling season $T_{t}(t=120d)$, that can also be calculated with the empirical relationship 347 reported in Eq. 16, and hence this configuration can also be considered as sustainable. A 348 slightly larger thermal alteration occurs if the groundwater flow is not aligned with the well doublet (e.g. $\mathcal{G} = 45^{\circ}$), which can be calculated both with FEFLOWTM and TRS with an 349 350 acceptable agreement between results, but not with Eq.16.

In general, an acceptable agreement is achieved between calculation results with different methods, confirming the robustness of the models presented in this paper. As for the thermal breakthrough time, a slight difference is observed between the value calculated by FEFLOW[™] and those obtained with TRS and the empirical formula.

Besides the results, the calculation times on a 30 years simulation on the same computer
(Pentium i7 4771 @3.50GHz with 12 GB DDR3 of RAM memory) are respectively of some
8 hours for FEFLOW[™] and 10 seconds for TRS.

358 6. Conclusions

Ground Water Heat Pumps are a very convenient technology for the heating and cooling of residential, commercial and industrial buildings, in particular for large plants, where the cost of the well drilling and hydrogeological surveys have a minor incidence on the total expense. In addition, noticeable CO_2 savings can be achieved, since the heat pump operates at a very high COP. Usually groundwater is injected after the thermal exchange to avoid the depletion of the aquifer, but this may cause a thermal feedback (if groundwater is reinjected at a fixed temperature) or thermal recycling (if a fixed 366 temperature difference between production and injection well is set). Thermal feedback 367 has already been studied, through the development of numerical models and practical 368 formulae which estimate the time series of extracted water temperature (the injection 369 temperature is known a priori). A practical tool for the study of thermal recycling in the 370 presence of a regional groundwater flow has not yet been developed, which was the 371 objective of this work. A forward finite difference particle tracking procedure, based on potential flow theory, was implemented in a MATLAB[™] numerical code called TRS 372 373 (Thermal Recycling Simulator), in order to calculate the time series of the extracted and 374 injected water temperature in a GWHP with a constant flow rate and temperature 375 difference. Although the code manages to model a partial reinjection and an arbitrarily 376 oriented regional flow, the analysis focused on well doublets aligned with groundwater flow 377 with full reinjection of abstracted water, since this is a standard GWHP setting.

378 The modelling approach was validated through flow and heat transport simulations carried 379 out with FEFLOW[™], the results of which were set as a benchmark. A good agreement 380 was observed for the most important outputs (water temperature time series, pathlines, 381 thermal breakthrough times), except for plants characterized by a very strong thermal 382 recycling, which would however be unsustainable in practice. A practical formula for 383 estimating the time evolution of groundwater temperature was then deduced, that would 384 further speed up the calculation times, while achieving a good agreement both with the 385 TRS code and with the finite-element numerical simulations.

The implemented mathematical models can be used for the design of small GWHPs with conservative parameter values, for the feasibility assessment of larger plants, or for mapping the suitability for GWHP installations on large areas, thus fostering the diffusion of open loop shallow geothermal installations.

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- 459

Tables

Quantity	Symbol	Value	Unit
Domain length		5000	m
Domain width		3000	m
Thickness of the domain		120	m
Thickness of the aquifer	ess of the aquifer b		m
(default value)		20	m
Effective porosity	n	0.02 : 0.2	-
(default value)	''e	0.2	-
Total porosity (equal to the effective porosity)	n	0.02÷0.2	-
(default value)		0.2	-
Isotropic hydraulic conductivity of the aquifer layers	V	10 ⁻⁴ ÷10 ⁻³	m/s
(default value)	ĸ	10 ⁻⁴	m/s
Isotropic hydraulic conductivity of the other layers	К	10 ⁻⁸	m/s
Longitudinal dispersivity	$\alpha_{\rm L}$	0.1	m
Transverse dispersivity	α_{τ}	0.01	m
Well doublet discharge	Q_{w}	0.01	m³/s
Volumetric heat capacity of solid		0.63÷12.6	MJ/(m ³ K)
(default value)	$(\rho c)_s$	2.52	
Volumetric heat capacity of water	(рс) _w	4.2	MJ/(m ³ K)
Thermal conductivity of solid	λ_{s}	0.01	W/(mK)
Thermal conductivity of water	λ_w	0.01	W/(mK)
Boundary conditions (thermal) on all slices	Т	14	°C
Initial conditions (thermal) on all slices	Τ _o	14	°C
Boundary conditions (hydraulic) on all slices (western side)	-	225	m
Boundary conditions (hydraulic) on all slices (eastern side)	-	175÷220	m
(default value)		200	m
Hydraulic gradient imposed	,	0.001÷0.005	-
(default value)	,	0.005	-
Problem class	-	Saturated	-
Aquifer type	-	Unconfined	-
Unconfined aquifer option	-	Free and movable	-
Error tolerance	-	5 ⋅ 10 ⁻⁴	-
Upwinding scheme	-	No upwind (Galerkin FEM)	-
Number of elements of the 3D mesh	-	288333	-
Number of nodes of the 3D mesh	-	151060	-
Number of slices of the 3D mesh	-	28	-
Number of layers of the 3D mesh	-	27	-

487

488 Tab. 1 – Summary of the model settings adopted in the simulation with FEFLOW for the validation of

- 489 the TRS numerical code.
- 490

Parameter	Symbol	Values
Hydraulic conductivity	k	10-5÷10-3 m s ⁻¹
Hydraulic gradient	J	0.001÷0.02
Aquifer thickness	b	5÷50 m
Well distance	L	10÷200 m
Flow rate	Q_{w}	0.001÷0.05 m ³ s ⁻¹

493 Tab. 2 – Parameter values adopted for the simulations with the TRS code, in order to fit the

494 parameters of Eq. 14.

495

L [m]	9 [°]	Х	Quantity	Analyical formulae	TRS	FEFLOW™	
100 0°		2.36	$t_{\scriptscriptstyle tb}$ [d]	228.274 ^a	228.278	243.000	
	00		<i>RR</i> _{max}	0.234 ^b	0.232	n.a.	
	0		<i>T</i> ,(∞) [°C]	17.916 ^c	17.906 ^c	17.759 ^e	
			$T_{_{I}}(t=120d)$ [°C]	17.000 ^d	17.000	17.000	
			$t_{\scriptscriptstyle tb}$ [d]	27.510 ^a	27.504	26.000	
40 0°	00	00	F 00	<i>RR</i> _{max}	0.491 ^b	0.484	n.a.
	0-	5.89	<i>T</i> ,(∞) [°C]	19.892 ^c	19.814 ^c	19.943 ^e	
			$T_{l}(t=120d)$ [°C]	18.871 ^d	19.043	18.624	
40 45°		15° -	$t_{_{tb}}$ [d]	n.a.	26.474	24.000	
	150		<i>RR</i> _{max}	n.a.	0.512	n.a.	
	40		<i>T</i> ,(∞) [°C]	n.a.	20.150 °	20.326 ^e	
			$T_{l}(t=120d)$ [°C]	n.a.	19.254	18.693	

496

- 497 ^a calculated with Eq. 10
- 498 ^b calculated with Eq. 11
- 499 ^c calculated with Eq. 12
- 500 ^d calculated with Eq. 16
- 501 ^e calculated after 10950 days (30 years)

502 Tab. 3 – Application of the TRS numerical code and of the practical formula for thermal recycling:

503 results with different plant setups.

504

Acronyms

Acronym	Meaning
COP	Coefficient of Performance
EER	Energy Efficiency Ratio
GED	Groundwater Energy Design
GWHP	Ground Water Heat Pump
PT	Particle Tracking
TRS	Thermal Recycling Simulator

Symbols (Greek letters)

Symbols	Meaning	Unit measure	of
ΔT	Temperature difference between injected and extracted water	K, °C	
Ģ	Groundwater flow angle (measured counter-clockwise with respect to the conjunction of the extraction and the injection well)	rad	
$ ho_{ m s}$	Density of the solid matrix of the aquifer	kg m⁻³	
$ ho_{w}$	Density of groundwater	kg m⁻³	
Ω	Complex potential	m ³ s ⁻¹	

Symbols (Latin letters)

Symbols	Meaning	Unit of measure
b	Saturated thickness of the aquifer	m
C _s	Specific heat of the solid matrix of the aquifer	J m⁻³ K⁻¹
C _w	Specific heat of groundwater	J m ⁻³ K ⁻¹
J	Hydraulic gradient of the aquifer	-
k	Hydraulic conductivity of the aquifer	ms⁻¹
L	Distance between the extraction and the injection well	m
т	Angular coefficient in the empirical correlation of extracted water temperature vs time	-
n	Number of injected particles that have already reached the extraction well at a certain time	-
N	Total number of injected particles	-
n _e	Effective porosity	-
n _{max}	Maximum number of injected particles that reach the extraction well	-
X	Non-dimensional thermal breakthrough parameter	-
Q_{w}	Well flow rate	m ³ s ⁻¹
Q_{gw}	Groundwater flow rate vector	m ² s ⁻¹
R _{th}	Thermal retardation factor	-
r _w	Well radius	m
RF	Reinjected flow rate fraction	-
RR(t)	Fraction of the injected flow rate that returns to the extraction well	-
RR _{max}	Maximum fraction of the injected thermally altered water flow rate that returns to the extraction well	-
t	Time	S
t ₉₀	Time for which 90% of the maximum thermal alteration in the extraction well is reached	S
t_{P}	Recycled particle travel time	S
t _{tb}	Thermal breakthrough time	S
T _o	Undisturbed groundwater temperature	K, °C
$T_{E}(t)$	Extracted water temperature	K, °C
$T_{\prime}(t)$	Injected water temperature	K, °C
V _e	Groundwater effective velocity	ms⁻¹
V _{e-th}	Effective velocity of the thermal alteration in groundwater	ms⁻¹
Z	Planar position expressed as a complex number	m
Z _E	Planar position of the extraction well	m
Z	Planar position of the injection well	m