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Polynomial Closed Form Model for Ultra-Wideband Transmission Systems

P. Poggiolini, *Fellow, IEEE*, Y. Jiang, Y. Gao, and F. Forghieri, *Fellow, IEEE*

Abstract—Ultrafast and accurate physical layer models are essential for designing, optimizing and managing ultra-wideband optical transmission systems. We present a closed-form Gaussian-Noise (GN)/Enhanced-GN (EGN) model, named Polynomial Closed-Form Model (PCFM). The key to deriving PCFM is expressing the spatial power profile of each channel along a span as a polynomial. Then, under reasonable approximations, the integral calculation can be carried out analytically, for any chosen degree of the polynomial. We present a full detailed derivation of the model. We then validate it vs. the numerically integrated GN-model in a 60/100 km challenging multiband (C+L+S) scenario, including Raman amplification and inter-channel Raman scattering. We then show that the approach works well also in the special case of the presence of multiple lumped loss along the fiber. Overall, the approach shows high reliability and broad generality, achieving a generalized Signal-to-Noise Ratio (GSNR) accuracy of nonlinear interference (NLI) within 0.3 dB of the GN-model benchmark. A software implementing the model, fully reconfigurable to any type of system layout, is available for download under the Creative Commons 4.0 License.

Index Terms—ultra-wideband, multiband, closed-form model, polynomial closed form model, Raman amplification, ISRS, coherent transmission, optical fiber, GN-model, EGN-model, non-linearity, NLI

I. INTRODUCTION

Ultra-wideband (UWB) optical transmission systems (also called ‘multiband’) serve as a promising technology to meet the ever-increasing capacity demands of modern communication networks. Systems using C+L bands are being widely deployed. Research is exploring adding further bands, such as S and E, and others [1]-[5]. However, UWB systems are affected by very significant inter-channel Raman scattering (ISRS) which together with the deterioration of most propagation parameters towards higher optical frequencies, makes the design of UWB systems challenging. Careful optimization of launch power and other system parameters is then required. In addition, UWB systems greatly benefit from backward Raman amplification, but this in turn requires the optimization of the number, frequency and power of the pumps [2], [6].

Optimization is carried out by means of iterative algorithms that require ultra-fast system performance assessment. Non-linear-interference (NLI) estimation is a key component of

performance assessment and typically relies on Gaussian-noise (GN) or enhanced Gaussian noise (EGN) models. Since solving the related integrals numerically is not a practicable option due to the large computational effort, these models are implemented as closed-form approximate formulas (CFMs). Over the decade, mainly two groups have pursued the derivation of CFMs, one from University College London (UCL, see [7], [8]), and one from Politecnico di Torino (PoliTo) in collaboration with CISCO (see [9], [10]). Recently, other groups have started looking into similar investigations too [11]-[13].

This paper reports on a new approach at handling the core integrals of the GN model [14] to obtain a CFM. It consists of expressing the spatial power-profile (SPP) of each channel along a span as a polynomial. Once this is done, some of the core GN model integrals admit closed-form near-exact solutions, involving less drastic approximations than previous CFMs needed to take the SPP into account, especially in the presence of Raman amplification and ISRS. We call the newly derived result ‘PCFM’, where ‘P’ stands for ‘polynomial’.

The PCFM can model UWB systems with ISRS, forward and backward Raman amplification, short spans, lumped loss and other possible SPPs perturbations. It enjoys better accuracy and reliability than previous CFMs, because it does not hinge on either neglecting intra-span NLI coherence or resorting to infinite series expansions, or other problematic approximations. The coherent beat of NLI noise along a single span, especially in the presence of Raman amplification, is fully captured, as opposed for instance to our previous most advanced model, CFM6 [10].

In addition, PCFM makes it possible to remove some of the other approximations that CFMs generally make. In particular, the PCFM approach potentially allows to retain the Multi-Channel Interference (MCI) contribution to NLI, which has been recently addressed in [15], as well as in [16], making it possible to handle low-dispersion and low-symbol-rate scenarios where the MCI ‘islands’ in the integration domain of the GN and EGN model [18] core integrals need to be considered. In this paper, however, the focus is on the modern long-haul single model fiber (SMF) systems with high symbol rate, for which the MCI contribution is negligible. In principle, it can also allow to remove other approximations that are typically made by CFMs, such as that channel spectra are rectangular and that the power spectral density of NLI is flat over each channel. However, these extensions mentioned in this paragraph are left for a specifically devoted forthcoming submission and will not be dealt with here.

This paper is a follow-up to the OFC 2025 invited paper

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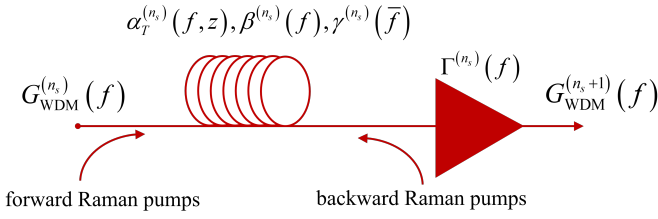


Fig. 1: Pictorial representation of a generic span. The symbols appearing in it are explained in the text.

[19]. Compared to [19], we provide a full and detailed derivation of the PCFM. Starting with the GNRF (GN-model reference formula), we work out the complete set of integrals for all types of NLI contributions, which can be solved in closed form using the SPP polynomial representation. In particular, the Self-Channel Interference (SCI) and Cross-Channel Interference (XCI) contributions are explicitly expressed in closed form. We discuss in depth the polynomial fitting of the channel SPPs. We expand on the testing of the model, including the case of multiple lumped losses in a 100 km fiber with significant ISRS and backward Raman amplification.

This paper is organized as follows. In Sect. II, we provide the theoretical background involved in the derivation of the PCFM. In Sect. III, we present the PCFM derivation in detail, focusing on the use of a polynomial to express the SPP of each channel. In Sect. IV, we show accuracy tests of SPP representation and of NLI estimation in UWB systems. Detailed mathematical derivations are provided in the appendices. We provide at the end some hints on what directions the development of the approach is taking and the link to a software implementation which is available for download. Comments and conclusions follow.

II. PREMISES

The NLI noise is produced by the Wavelength Division Multiplexing (WDM) signal in each span. We assume the approximation of *incoherent NLI accumulation*, that is, the NLI produced in each span sums up in power at the end of the link. This approximation can be removed a posteriori, similar to what was done in [20], but it is necessary in this phase. So, the power spectral density (PSD) of NLI at the end of the link, $G_{\text{NLI}}^{\text{end}}(f)$ is:

$$G_{\text{NLI}}^{\text{end}}(f) \approx \sum_{n_s=1}^{N_s} G_{\text{NLI}}^{(n_s),\text{end}}(f) \quad (1)$$

where N_s is the number of spans in the link and the ‘approximately equal’ symbol is used here to point out that incoherent NLI accumulation is an approximation. However, henceforth we will use an ‘equal-to’ symbol for ease of readability. Note that $G_{\text{NLI}}^{(n_s),\text{end}}(f)$ represents the NLI noise produced within the n_s -th span, then propagated linearly till the end of the link.

For an illustration of the definition of ‘span’, please see Fig. 1. A span is comprised first of a stretch of fiber, which we assume to be *of the same type within the span*. It may of course differ from span to span. The span fiber is characterized

through its dispersion $\beta^{(n_s)}(f)$, attenuation $\alpha^{(n_s)}(f)$ and non-linearity coefficient $\gamma^{(n_s)}(f)$. Note that $\gamma^{(n_s)}$ depends on multiple frequencies, as it is discussed later, hence the vector symbol above f . Both forward and backward-pumped optional Raman amplification may be present in the span, as indicated by the pump injection in Fig. 1.

We then make a distinction between fiber attenuation $\alpha^{(n_s)}(f)$, which is assumed z -independent, and *total* distributed span attenuation/gain, which also includes possible lumped loss due to splicing and connectors along the span length, as well as forward/backward pumped Raman amplification and ISRS, which is z -dependent: $\alpha_T^{(n_s)}(f, z)$.

The span then includes a lumped element at the end, shown as a red triangle. Such lumped-element can account for the following: a Doped-Fiber-Amplifier (DFA), a linear filtering element as well as lumped loss before and after amplification. Overall, the lumped-element is modeled using a frequency-dependent lumped power gain/loss $\Gamma^{(n_s)}(f)$.

At the input of the span, there is the WDM overall signal PSD $G_{\text{WDM}}^{(n_s)}(f)$. The output of the n_s -th span is the input of the $(n_s + 1)$ -th span $G_{\text{WDM}}^{(n_s+1)}(f)$.

We call the transfer function accounting for all linear elements and effects from the input of the n_s -th span to the output of the m_s -th span as $|\text{H}(f; n_s, m_s)|^2$. We can then rewrite Eq. (1) as follows:

$$G_{\text{NLI}}^{\text{end}}(f) = \sum_{n_s=1}^{N_s} G_{\text{NLI}}^{(n_s)}(f) \cdot |\text{H}(f; n_s + 1, N_s)|^2 \quad (2)$$

where $G_{\text{NLI}}^{(n_s)}(f)$ is the NLI noise produced in the n_s -th span, as it shows up *at the end* of the n_s -th span, i.e., after the lumped element.

Note that the last term in the summation has index $n_s = N_s$, which generates a transfer function: $|\text{H}(f; N_s + 1, N_s)|^2$. The fact that the first index is larger than the second may appear to generate an inconsistency. We could easily remove the problem by simply imposing $|\text{H}(f; N_s + 1, N_s)|^2 = 1$. There is however a justification for doing so. The writing $|\text{H}(f; N_s + 1, N_s)|^2$ means that we are looking at the transfer function between two points that coincide: the input of the $(N_s + 1)$ -th span and the output of the N_s -th span are the same location. Since they spatially coincide, it makes sense that $|\text{H}(f; N_s + 1, N_s)|^2 = 1$.

A. The linear transfer function

We first focus on expressing $|\text{H}(f; n_s, m_s)|^2$ in a general closed form. To express it, we first point out that:

$$|\text{H}(f; n_s, m_s)|^2 = \prod_{n_l=n_s}^{m_s} |\text{H}(f; n_l, n_l)|^2 \quad (3)$$

So, we can concentrate on the simpler problem of finding $|\text{H}(f; n_l, n_l)|^2$, which is the square modulus of the linear transfer function from input to output of the n_l -th span. We have:

$$\text{H}(f; n_l, n_l) = \sqrt{\Gamma^{(n_l)}(f)} \cdot e^{\int_0^{L_s^{(n_l)}} \kappa^{(n_l)}(f, z) dz} \quad (4)$$

where $\kappa^{(n_l)}(f, z)$ is the complex propagation constant defined as:

$$\kappa^{(n_l)}(f, z) = -j\beta^{(n_l)}(f) - \alpha_T^{(n_l)}(f, z) \quad (5)$$

where, for the n_l -th span, $\beta^{(n_l)}(f)$ is the propagation constant at frequency f and $\alpha_T^{(n_l)}(f, z)$ is the total distributed span attenuation/gain, at frequency f and location z in the span. Note that in Eq. (4) it is assumed that the spatial variable z is re-initialized to zero at the start of each span, that is, it is local to the span. It runs from 0 to the n_l -th span length $L_s^{(n_l)}$. This assumption does not affect the local transfer function and is adopted solely for ease of notation. Otherwise, the integration limits would need to reflect the span's actual position along the link.

Also, we remind the reader that distributed gain due to ISRS or Raman amplification is incorporated within $\alpha_T^{(n_l)}(f, z)$. This means that there may be stretches of fiber whose $\alpha_T^{(n_l)}(f, z) < 0$. Eq. (3) then reads:

$$|\mathbf{H}(f; n_s, m_s)|^2 = \prod_{n_l=n_s}^{m_s} \Gamma^{(n_l)}(f) e^{-2 \int_0^{L_s^{(n_l)}} \alpha_T^{(n_l)}(f, z) dz} \quad (6)$$

and note the important aspect that, due to the absolute value squared on the left-hand side, dispersion disappears completely from Eq. (6). As a consequence, it also disappears from Eq. (2) which becomes:

$$G_{\text{NLI}}^{\text{end}}(f) = \sum_{n_s=1}^{N_s} G_{\text{NLI}}^{(n_s)}(f) \cdot \prod_{n_l=n_s+1}^{N_s} \Gamma^{(n_l)}(f) e^{-2 \int_0^{L_s^{(n_l)}} \alpha_T^{(n_l)}(f, z) dz} \quad (7)$$

This equation embodies our fundamental premises, including the incoherent NLI accumulation assumption. If all spans were transparent, i.e., with gain exactly compensating for loss, at all frequencies, the linear propagation factors would all be 1 and NLI at the receiver would simply be:

$$G_{\text{NLI}}^{\text{end}}(f) = \sum_{n_s=1}^{N_s} G_{\text{NLI}}^{(n_s)}(f) \quad (8)$$

Of course, if transparency is not verified, Eq. (7) accounts for the general case.

B. NLI PSD

According to the assumptions and derivations of the incoherent GN model [25], Eq. (9) provides the NLI PSD produced by the generic n_s -th span, $G_{\text{NLI}}^{(n_s)}(f)$.

We first focus on the role of the WDM signal $G_{\text{WDM}}^{(n_s)}(f)$ at the input of the n_s -th span, which appears three times in the integrand function of Eq. (9). An example of a possible $G_{\text{WDM}}^{(n_s)}(f)$ is shown in Fig. 2. Note that the superscript n_s is present because we assume that in each span there can be a different set of WDM channels, that is, a different $G_{\text{WDM}}^{(n_s)}(f)$. We also assume that the channel under test (CUT) is present in each span, at the same frequency. All other channels can change, in number, frequency and bandwidth. Specifically, the number of WDM channels in the n_s -th span is $N_{\text{ch}}^{(n_s)}$. The set

of center frequencies and the set of channel bandwidths in the n_s -th span are:

$$\left\{ f_{n_{\text{ch}}}^{(n_s)} \right\}_{n_{\text{ch}}=1}^{N_{\text{ch}}^{(n_s)}}, \quad \left\{ B_{n_{\text{ch}}}^{(n_s)} \right\}_{n_{\text{ch}}=1}^{N_{\text{ch}}^{(n_s)}}$$

We explicitly write the CUT channel index in the n_s -th span as $n_{\text{CUT}}^{(n_s)}$. Of course, $n_{\text{CUT}}^{(n_s)}$ is one of the channel indices of that span, that is $n_{\text{CUT}}^{(n_s)} \in \{1, \dots, N_{\text{ch}}^{(n_s)}\}$. The frequency and bandwidth of the CUT in the n_s -th span should then be written $f_{n_{\text{CUT}}^{(n_s)}}^{(n_s)}$, $B_{n_{\text{CUT}}^{(n_s)}}^{(n_s)}$. However, the frequency and bandwidth of the CUT do not change span-by-span, so for ease of notation we will write them as: f_{CUT} , B_{CUT} , dropping all indices.

We then made a series of assumptions and approximations:

- rectangular channel spectra : the bandwidth is $B_{n_{\text{ch}}}^{(n_s)}$, as shown in Fig. 2. This topic has been discussed in depth in the past, and possibly for the first time in [14]. As time goes by, this approximation seems to become increasingly immaterial, as modern transceivers operate at very small roll-offs, typically on the order of 0.1 or less.
- ‘locally white’ NLI noise over each channel: the PSD value equals the value that it assumes at the center frequency of that channel [14]. This limits our interest to finding the PSD of NLI at the *center frequency* of the CUT, that is $G_{\text{NLI}}^{(n_s)}(f_{\text{CUT}})$. Note that the CUT can be any of the WDM comb channels, so there is actually no loss of generality in this assumption.
- rectangular islands in the integration domain: the lozenge-shaped islands in the GN model in Fig. 3 are approximated by rectangles in Fig. 4. This approximation does not cause excessive error, for various reasons. One reason is the interesting aspect that the lozenge-shaped integration islands tend to become rectangle-shaped when the channel spacing Δf becomes close to the channel bandwidth B_{ch} . Specifically, this manifests itself with the appearance of smaller triangular sub-islands, when $\Delta f < 3/2 \cdot B_{\text{ch}}$, which then grow and merge with the lozenge-shaped islands to form a rectangle ([14], Fig. 20). Given the trend towards ultra-high Baud-rate channels (>100 GBaud), with tight spacing (guard bands of 15%, or less, of the symbol rate), this condition is increasingly met.
- negligible MCI contribution: only SCI and XCI islands are taken into account. The MCI islands are neglected based on the decay features of the integrand function $|\rho^{(n_s)}(f_1, f_2, f_{\text{CUT}})|^2$ in Fig. 6 (b). This is a common approximation in CFMs, which leads to negligible error provided that the value of $|\rho^{(n_s)}(f_1, f_2, f_{\text{CUT}})|^2$ evaluated at $f_1 = f_2 = f_{\text{INT}_1}^{(n_s)} + B_{\text{INT}_1}^{(n_s)}/2$ is at least 30 dB lower than the maximum value obtained at $f_1 = 0$ or $f_2 = 0$, where INT₁ indicates the first interfering channel to the right of the CUT.
- constant fiber characteristics over each channel: including fiber attenuation, ‘effective’ dispersion $\beta_{2, \text{eff}, x}^{(n_s)}$ in Eq. (A.15) and nonlinearity. They may differ channel by channel.

$$G_{\text{NLI}}^{(n_s)}(f) = \frac{16}{27} \Gamma^{(n_s)}(f) \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} G_{\text{WDM}}^{(n_s)}(f_1) G_{\text{WDM}}^{(n_s)}(f_2) G_{\text{WDM}}^{(n_s)}(f_1 + f_2 - f) \cdot \left(\gamma^{(n_s)}(f_1, f_2, f) \right)^2 \cdot \left| \rho^{(n_s)}(f_1, f_2, f) \right|^2 df_1 df_2 \quad (9)$$

$$\left| \rho^{(n_s)}(f_1, f_2, f) \right|^2 = \left| e^{-\int_0^{L_s^{(n_s)}} \alpha_T^{(n_s)}(f, z) dz} \int_0^{L_s^{(n_s)}} e^{\int_0^z \Delta \kappa^{(n_s)}(f_1, f_2, f, z') dz'} dz \right|^2$$

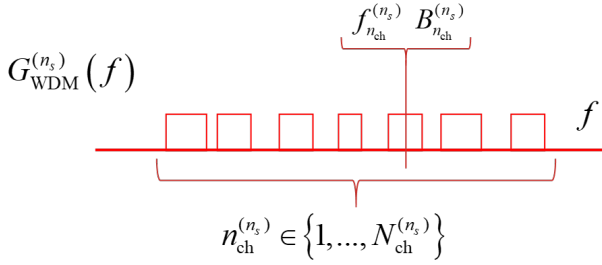


Fig. 2: Pictorial representation of an example of a WDM spectrum $G_{\text{WDM}}^{(n_s)}(f)$. Symbols are explained in the text.

All of these have been used in our previous CFMs [9], [10], [19], [20]. More details can be found in Appendix A.

We then obtain the NLI PSD of any island x with the indices $(m_{\text{ch}}^{(n_s)}, k_{\text{ch}}^{(n_s)}, n_{\text{ch}}^{(n_s)})$ in Eq. (A.4):

$$G_{\text{NLI},x}^{(n_s)}(f_{\text{CUT}}) = \frac{16}{27} \cdot \left(\gamma_x^{(n_s)} \right)^2 \cdot \Gamma^{(n_s)}(f_{\text{CUT}}) \cdot p_{\text{CUT}}^{(n_s)}(L_s^{(n_s)}) \cdot G_{\text{WDM},m_{\text{ch}}}^{(n_s)} \cdot G_{\text{WDM},k_{\text{ch}}}^{(n_s)} \cdot G_{\text{WDM},n_{\text{ch}}}^{(n_s)} \cdot K_x^{(n_s)}(f_{\text{CUT}}) \quad (10)$$

where $\gamma_x^{(n_s)}$ is the nonlinear coefficient, defined in Eq. (A.7). $p_{\text{CUT}}^{(n_s)}(L_s^{(n_s)})$ is the accumulated loss/gain along the entire fiber of length $L_s^{(n_s)}$, due to fiber intrinsic loss, Raman amplification, ISRS and possible lumped loss along the fiber. $K_x^{(n_s)}(f_{\text{CUT}})$ is the ‘core’ integrals, which is the key analytical hurdle to achieving a CFM:

$$K_x^{(n_s)}(f_{\text{CUT}}) = \int_{f_{k_{\text{ch}}}^{(n_s)} - f_{\text{CUT}} - B_{k_{\text{ch}}}^{(n_s)}/2}^{f_{k_{\text{ch}}}^{(n_s)} - f_{\text{CUT}} + B_{k_{\text{ch}}}^{(n_s)}/2} \int_{f_{m_{\text{ch}}}^{(n_s)} - f_{\text{CUT}} - B_{m_{\text{ch}}}^{(n_s)}/2}^{f_{m_{\text{ch}}}^{(n_s)} - f_{\text{CUT}} + B_{m_{\text{ch}}}^{(n_s)}/2} \left| \int_0^{L_s^{(n_s)}} p_x^{(n_s)}(z) e^{j4\pi^2 f_1' f_2' \beta_{2,\text{eff},x}^{(n_s)} z} dz \right|^2 df_1' df_2' \quad (11)$$

where $p_x^{(n_s)}(z)$ is the combination of the SPPs as a single function which depends on the island x being addressed:

$$p_x^{(n_s)}(z) = \sqrt{\frac{p_{m_{\text{ch}}}^{(n_s)}(z) p_{k_{\text{ch}}}^{(n_s)}(z) p_{n_{\text{ch}}}^{(n_s)}(z)}{p_{\text{CUT}}^{(n_s)}(z)}} \quad (12)$$

with $p_{i_{\text{ch}}}^{(n_s)}(z)$ the ‘normalized SPP’, as discussed in Appendix A, Sect. (B3).

This form of the integrand function departs substantially from what has been so far used or proposed for all other CFMs in the literature. Typically, a completely different approach at performing calculations was used, focusing on the loss/gain

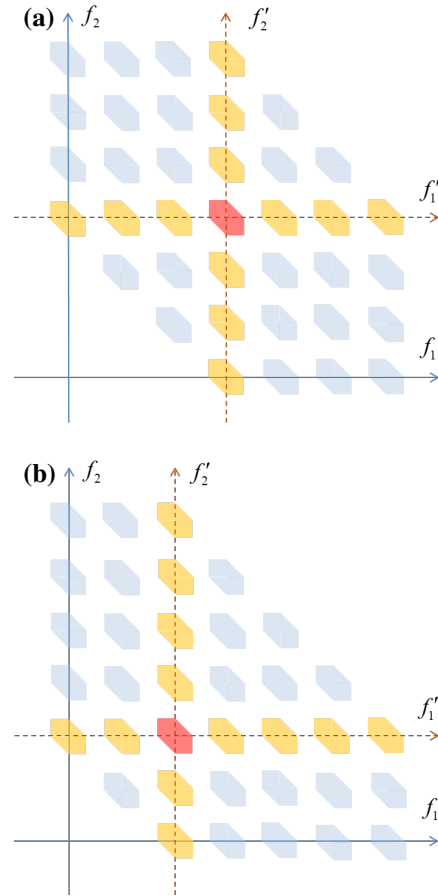


Fig. 3: Integration domain ‘islands’ of Eq. (9), for a 7-channel WDM comb of equally spaced and identical-bandwidth channels. In red the SCI island, in yellow the XCI islands, in light blue the MCI islands. (a): the CUT is the center channel in the WDM comb. (b): the CUT is the channel to the left of the center channel in the WDM comb. In both plots, the origin of the frequency axes (f_1, f_2) is set to the frequency of the lowest-frequency WDM channel, whereas the origin of the (f_1', f_2') axes is set to the center frequency of the CUT (see origin shift, Eq. (A.12)). Note that the choice of where to place the origin is irrelevant as to the result of the calculations and is only a matter of convenience.

coefficient α_T . Here instead, we focus on the SPP of each channel. Henceforth we will focus on analytically dealing with these core integrals.

III. PCFM DERIVATION

A. Integrals for SCI and XCI

The SCI and XCI islands are the red and yellow islands, respectively, in Fig. 3. As discussed in Sect. (II-B), we have

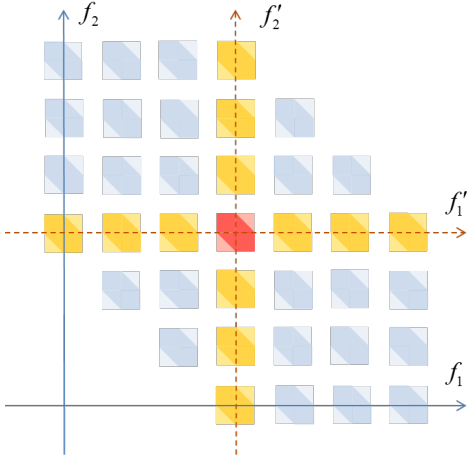


Fig. 4: The lighter colored shading shows how the lozenge-shaped integration domains are approximated as squares, with reference to the case shown in the example of Fig. 3 (a).

approximated each lozenge-shaped island with the rectangle or square that inscribes it, as shown in Fig. 4. This approximation is already reflected in the integration domain of the ‘core’ frequency integrals Eqs. (10) and (11).

Note that, if channels had non-uniform spacing and non-identical bandwidths, the corresponding island layout would be much less regular than shown in Fig. 3. See [27] for a few examples of islands in these cases. However, it is always possible to inscribe an island into a square or rectangle and therefore the following results are completely general. They can deal with non-uniform combs too, with no change.

1) *XCI contribution*: We first focus on the yellow XCI islands straddling the horizontal f'_1 axis, i.e., the axis with $f'_2 = 0$. They are found by imposing $k_{\text{ch}}^{(n_s)} = n_{\text{CUT}}^{(n_s)}$ and $m_{\text{ch}}^{(n_s)} = n_{\text{ch}}^{(n_s)}$, resulting in island identifiers of the form:

$$x = \left(n_{\text{ch}}^{(n_s)}, n_{\text{CUT}}^{(n_s)}, n_{\text{ch}}^{(n_s)} \right) \quad (13)$$

with $n_{\text{ch}} = 1 \dots N_{\text{ch}}$, and $n_{\text{ch}} \neq n_{\text{CUT}}$ because $n_{\text{ch}} = n_{\text{CUT}}$ would be SCI. We call $\mathcal{X}_{\text{XCI}_h}$ the set of these ‘horizontal axis’ XCI islands.

Focusing on these islands in Eqs. (10) and (11), we obtain:

$$G_{x \in \mathcal{X}_{\text{XCI}_h}}(f_{\text{CUT}}) = \frac{16}{27} \cdot \left(\gamma_{x \in \mathcal{X}_{\text{XCI}}}^{(n_s)} \right)^2 \cdot \Gamma^{(n_s)}(f_{\text{CUT}}) \cdot p_{\text{CUT}}^{(n_s)}(L_s^{(n_s)}) \cdot G_{\text{CUT}}^{(n_s)} \cdot \left(G_{\text{WDM}, n_{\text{ch}}}^{(n_s)} \right)^2 \cdot K_{x \in \mathcal{X}_{\text{XCI}_h}}^{(n_s)} \quad (14)$$

$$K_{x \in \mathcal{X}_{\text{XCI}_h}}^{(n_s)} = \int_{-B_{\text{CUT}}/2}^{B_{\text{CUT}}/2} \int_{f_{\text{ch}}^{(n_s)} - f_{\text{CUT}} - B_{\text{ch}}^{(n_s)}/2}^{f_{\text{ch}}^{(n_s)} - f_{\text{CUT}} + B_{\text{ch}}^{(n_s)}/2} \left| \int_0^{L_s^{(n_s)}} p_{x \in \mathcal{X}_{\text{XCI}_h}}^{(n_s)}(z) e^{j4\pi^2 f'_1 f'_2 \beta_{2,\text{eff}, n_{\text{ch}}}^{(n_s)} z} dz \right|^2 df'_1 df'_2 \quad (15)$$

where the nonlinear coefficient, specializing the general expression Eq. (A.7) to the XCI case, can be written:

$$\gamma_{x \in \mathcal{X}_{\text{XCI}}}^{(n_s)} = \frac{2\pi f_{\text{CUT}}}{c} \cdot \frac{2n_2}{A_{\text{eff}}(f_{\text{CUT}}) + A_{\text{eff}}(f_{n_{\text{ch}}}^{(n_s)})} \quad (16)$$

The quantity $A_{\text{eff}}(f)$ is the effective area of the fiber at the frequency f , for which we used [12] Eq. (4) (derived from

[26]). Importantly, the power-profile factor $p_x^{(n_s)}(z)$ becomes simply the SPP of the channel with index n_{ch} , once the islands indices $x \in \mathcal{X}_{\text{XCI}_h}$ are plugged into Eq. (12) :

$$p_{x \in \mathcal{X}_{\text{XCI}_h}}^{(n_s)}(z) = \sqrt{\frac{p_{n_{\text{ch}}}^{(n_s)}(z) p_{n_{\text{CUT}}}^{(n_s)}(z) p_{n_{\text{ch}}}^{(n_s)}(z)}{p_{n_{\text{CUT}}}^{(n_s)}(z)}} = p_{n_{\text{ch}}}^{(n_s)}(z) \quad (17)$$

This way, the core integrals simply become:

$$K_{x \in \mathcal{X}_{\text{XCI}_h}}^{(n_s)} = \int_{-B_{\text{CUT}}/2}^{B_{\text{CUT}}/2} \int_{f_{\text{ch}}^{(n_s)} - f_{\text{CUT}} - B_{\text{ch}}^{(n_s)}/2}^{f_{\text{ch}}^{(n_s)} - f_{\text{CUT}} + B_{\text{ch}}^{(n_s)}/2} \left| \int_0^{L_s^{(n_s)}} p_{n_{\text{ch}}}^{(n_s)}(z) e^{j4\pi^2 f'_1 f'_2 \beta_{2,\text{eff}, n_{\text{ch}}}^{(n_s)} z} dz \right|^2 df'_1 df'_2 \quad (18)$$

We then focus on the yellow XCI islands straddling the vertical f'_2 axis, i.e., the axis with $f'_1 = 0$. They are found by imposing $m_{\text{ch}}^{(n_s)} = n_{\text{CUT}}^{(n_s)}$ and $k_{\text{ch}}^{(n_s)} = n_{\text{ch}}^{(n_s)}$, resulting in island identifiers of the form:

$$x = \left(n_{\text{CUT}}^{(n_s)}, n_{\text{ch}}^{(n_s)}, n_{\text{ch}}^{(n_s)} \right) \quad (19)$$

again with $n_{\text{ch}} = 1 \dots N_{\text{ch}}$ and $n_{\text{ch}} \neq n_{\text{CUT}}$. We call $\mathcal{X}_{\text{XCI}_v}$ the set of these ‘vertical axis’ XCI islands.

Going through the same procedure used in the case of the horizontal axis XCI islands, we get:

$$K_{x \in \mathcal{X}_{\text{XCI}_v}}^{(n_s)} = \int_{-B_{\text{CUT}}/2}^{B_{\text{CUT}}/2} \int_{f_{\text{ch}}^{(n_s)} - f_{\text{CUT}} - B_{\text{ch}}^{(n_s)}/2}^{f_{\text{ch}}^{(n_s)} - f_{\text{CUT}} + B_{\text{ch}}^{(n_s)}/2} \left| \int_0^{L_s^{(n_s)}} p_{n_{\text{ch}}}^{(n_s)}(z) e^{j4\pi^2 f'_1 f'_2 \beta_{2,\text{eff}, n_{\text{ch}}}^{(n_s)} z} dz \right|^2 df'_2 df'_1 \quad (20)$$

The only difference between Eq. (20) and Eq. (18) is the inverted order of the differentials df'_1 and df'_2 . However, a simple change of variables, i.e., swapping f'_1 with f'_2 , shows that, given $n_{\text{CUT}}^{(n_s)}$ and $n_{\text{ch}}^{(n_s)}$, the result of Eq. (20) and Eq. (18) are identical. This implies that, to account for all of XCI, we only need to carry out the integrals on, for instance, the horizontal axis XCI islands and then multiply the result by two, as depicted in Fig. 5 with reference to the example of Fig. 4.

This also means that we can merge together Eqs. (18) and (20) and simplify the notation for the XCI equations as follows:

$$G_{\text{XCI}, n_{\text{ch}}}^{(n_s)}(f_{\text{CUT}}) = \frac{32}{27} \cdot \left(\gamma_{\text{XCI}, n_{\text{ch}}}^{(n_s)} \right)^2 \cdot \Gamma^{(n_s)}(f_{\text{CUT}}) \cdot p_{\text{CUT}}^{(n_s)}(L_s^{(n_s)}) \cdot G_{\text{CUT}}^{(n_s)} \cdot \left(G_{\text{WDM}, n_{\text{ch}}}^{(n_s)} \right)^2 \cdot K_{\text{XCI}, n_{\text{ch}}}^{(n_s)} \quad (21)$$

$$K_{\text{XCI}, n_{\text{ch}}}^{(n_s)} = \int_{-B_{\text{CUT}}/2}^{B_{\text{CUT}}/2} \int_{f_{\text{ch}}^{(n_s)} - f_{\text{CUT}} - B_{\text{ch}}^{(n_s)}/2}^{f_{\text{ch}}^{(n_s)} - f_{\text{CUT}} + B_{\text{ch}}^{(n_s)}/2} \left| \int_0^{L_s^{(n_s)}} p_{n_{\text{ch}}}^{(n_s)}(z) e^{j4\pi^2 f'_1 f'_2 \beta_{2,\text{eff}, n_{\text{ch}}}^{(n_s)} z} dz \right|^2 df'_1 df'_2 \quad (22)$$

where we recognize that the only index needed to identify the XCI islands is $n_{\text{ch}}^{(n_s)}$. Each contribution physically represents the XCI produced by the WDM channel $n_{\text{ch}}^{(n_s)}$ onto the CUT. Also, $\gamma_{\text{XCI}, n_{\text{ch}}}^{(n_s)}$ coincides with Eq. (16).

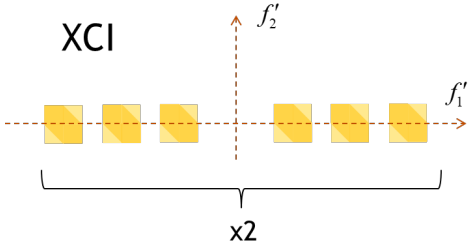


Fig. 5: With reference to the example of Fig. 4, these are the XCI islands on the horizontal axis that need to be evaluated to obtain the overall XCI contribution, provided that the result is multiplied by two to account for the equal contribution from the vertical XCI islands.

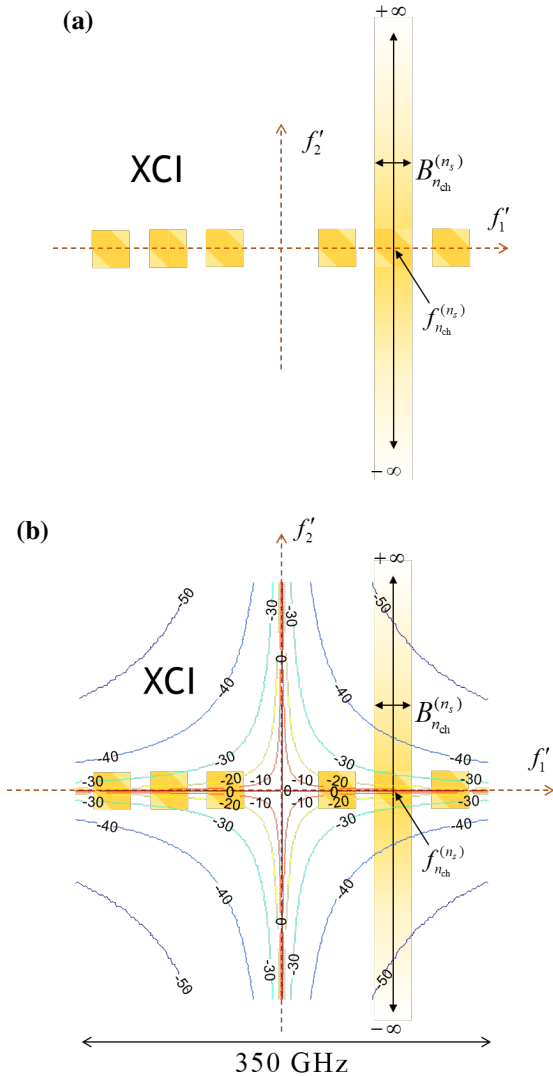


Fig. 6: (a) the XCI island centered at $f_{n_{ch}}^{(n_s)}$ along the f_1 axis is stretched vertically to $[-\infty, \infty]$. (b) same as above, with superimposed the contour lines (in dB) of an example of the integrand function which results from assuming a single span of SMF of length 100km. The integrand function achieves its maximum on the axes and is normalized to 0 dB there. The islands are drawn assuming 7 channels at 28 GBaud with 50 GHz spacing. It is apparent that the stretched island adds a region of the plane where the integrand function is far attenuated. The same approximation is done for all islands, with similar justification.

B. SPP polynomial representation

The next step towards reaching a CFM is to express the SPP $p_{n_{ch}}^{(n_s)}(z)$ of the $n_{ch}^{(n_s)}$ -th channel as a polynomial in the distance variable z :

$$p_{n_{ch}}^{(n_s)}(z) = \sum_{n=0}^{N_p} p_{n,n_{ch}}^{(n_s)} z^n \quad (23)$$

This step, to the best of our knowledge, has not been previously tried in the context of CFMs.

A similar approach was used in the previous work [17] to speed up the link function calculation in the GN/EGN model. That quantity did not coincide with the SPP, though. It differed by a loss-related exponential term. In that context, it made sense to use that definition, to achieve the intended results. Eq. (23) instead coincides with the SPP of each channel. The key aspect of this representation is that it ultimately allows us to obtain fully closed-form solutions for the core NLI integrals, for all types of islands. This said, the idea in [17] is certainly a key precursor to the present approach.

These closed-form solutions may however become rather complex, specifically as the polynomial degree N_p is increased. In the next section, we present instead a remarkably simple general closed-form solution for XCI, of limited complexity for any polynomial degree N_p , which, however, requires one further approximation. Such approximation causes negligible error in systems with highly dispersive fibers and high symbol rates (see below) and therefore it covers the majority of modern systems. We will also discuss in detail accuracy vs. N_p in Sects. IV-B and IV-C.

1) *The XCI contribution:* To achieve a simple general closed-form solution for XCI, a further approximation is needed. The approximation consists of stretching the XCI islands to $[-\infty, \infty]$ in the dimension where their size would be $[-B_{CUT}/2, B_{CUT}/2]$. An example is shown in Fig. 6. This may seem a quite substantial approximation but it is very common in CFMs since it typically leads to negligible error in modern high-capacity, long-haul SMF systems.

In Fig. 6 (b), the contour lines of the integrand (resulting from the inner integral in z in Eq. (18)) are shown, in dB, normalized to 0 dB at their maximum, which occurs all along the axes, assuming 100 km of SMF. Note that it is essentially dispersion, and specifically $\beta_{2,eff,n_{ch}}^{(n_s)}$, that sets the decay of the integrand moving away from the axes. The more dispersion, the faster the decay. In the figure, the channels are relatively low-rate: 28 GBaud, with spacing 50 GHz. The plot clearly shows that at the edge of the stretched island, a decay of -30 dB has already occurred. If we consider the next island closer to the origin, even though less decay has occurred at its edges, it is still a decay greater than -20 dB. So, stretching upward that island does not cause major error either. The argument gets stronger as one moves away from the origin.

Note that this general feature of the integrand was originally pointed out already in [14], and has since been exploited in many papers to achieve CFMs of various types. Whether this approximation is acceptable or not, it depends on the system parameters. With low dispersion fibers, the decay of

the integrand is slower. But also the size of the island before stretching is important, which is set by the CUT symbol rate.

As a reasonable guideline, it must be that the product $\beta_{2,\text{eff},n_{\text{ch}}}^{(n_s)} \cdot R_{\text{CUT}}^2 > 0.02$ (1/km). In addition, the symbol rate should not be lower than 28 GBaud. These limits are satisfied by any system on SMF or PCSF (with symbol rate greater than 28 GBaud). On NZDSF, E-LEAF or other types of low-dispersion fibers, compliance should be checked. Note that these are not hard thresholds. Going below degrades accuracy gradually. Within the limits, the error in GSNR_{NLI} is roughly within 0.2–0.8 dB, with the higher error at lower symbol rates, with the ‘machine-learning correction’ in place (see Sect. IV-C for details). This in turn means an error of 0.1–0.3 dB in total GSNR at the optimum launch power, due to the ‘1/3 rule’ (Sect. IV-C).

We are working on a version of the PCFM that removes these limitations. To obtain it, it is necessary to consider all islands (also MCI) and not stretch to infinity the XCI islands. Also, other approximations must be removed, such as the ‘locally white NLI noise’ assumption. This is work in progress and will be dealt with in a separate paper. Note, though, that the polynomial approach is a facilitator in this respect, as it makes these further steps easier to achieve.

Quite remarkably, once the stretching of the islands has been performed, the XCI kernel integrals admit a compact fully closed-form exact solution, with no further approximation:

$$K_{\text{XCI},n_{\text{ch}}}^{(n_s)} = \frac{L_s^{(n_s)}}{2\pi|\beta_{2,\text{eff},n_{\text{ch}}}^{(n_s)}|} \cdot \left| \log \left(\frac{f_{n_{\text{ch}}}^{(n_s)} - f_{\text{CUT}} + B_{n_{\text{ch}}}^{(n_s)}/2}{f_{n_{\text{ch}}}^{(n_s)} - f_{\text{CUT}} - B_{n_{\text{ch}}}^{(n_s)}/2} \right) \right| \cdot \sum_{n=0}^{N_p} \sum_{k=n}^{N_p} \frac{(2 - \delta_{nk}) p_{n,n_{\text{ch}}}^{(n_s)} p_{k,n_{\text{ch}}}^{(n_s)} \left(L_s^{(n_s)} \right)^{(n+k)}}{n+k+1} \quad (24)$$

where δ_{nk} is Kronecker delta. When $n = k$, $\delta_{nk} = 1$, otherwise $\delta_{nk} = 0$. A detailed derivation is provided in Appendix B. Notice that the summations are finite and run over the degree of the polynomial that is needed to describe the SPP. We investigated what degrees are typically needed. The results are shown in Sects. IV-B and IV-C.

2) *The SCI contribution:* The SCI integration takes place over the red islands represented in the examples of Fig. 3. Formally, it corresponds to the triple:

$$x = \left(n_{\text{CUT}}^{(n_s)}, n_{\text{CUT}}^{(n_s)}, n_{\text{CUT}}^{(n_s)} \right) \quad (25)$$

As in the case of XCI, we first approximate the SCI lozenge with the square that inscribes it, as shown in Fig. 4. Unlike the XCI case, here it is not possible to stretch the integration domain to infinity, along either one of the axes. The reason is that integration to infinity straddling either one of the axes inevitably results in divergence. The SCI core integrals therefore need to be integrated without any further approximations.

In the SCI island, we have:

$$\begin{aligned} f_{n_{\text{ch}}}^{(n_s)} &= f_{k_{\text{ch}}}^{(n_s)} = f_{n_{\text{ch}}}^{(n_s)} = f_{\text{CUT}} \\ B_{n_{\text{ch}}}^{(n_s)} &= B_{k_{\text{ch}}}^{(n_s)} = B_{n_{\text{ch}}}^{(n_s)} = B_{\text{CUT}} \end{aligned}$$

Therefore, taking the above into account in Eqs. (10) and (11), we get for SCI:

$$G_{\text{SCI}}^{(n_s)}(f_{\text{CUT}}) = \frac{16}{27} \cdot \left(\gamma_{\text{SCI}}^{(n_s)} \right)^2 \cdot \Gamma^{(n_s)}(f_{\text{CUT}}) \cdot p_{\text{CUT}}^{(n_s)}(L_s^{(n_s)}) \cdot \left(G_{\text{CUT}}^{(n_s)} \right)^3 \cdot K_{\text{SCI}}^{(n_s)} \quad (26)$$

where $\gamma_{\text{SCI}}^{(n_s)}$ is calculated using Eq. (A.8), with all four effective areas at the numerator equal to $A_{\text{eff}}(f_{\text{CUT}})$:

$$\gamma_{\text{SCI}}^{(n_s)} = \frac{2\pi f_{\text{CUT}}}{c} \cdot \frac{n_2}{A_{\text{eff}}(f_{\text{CUT}})} \quad (27)$$

$$K_{\text{SCI}}^{(n_s)} = \int_{-B_{\text{CUT}}/2}^{B_{\text{CUT}}/2} \int_{-B_{\text{CUT}}/2}^{B_{\text{CUT}}/2} df_1' df_2' \left| \int_0^{L_s^{(n_s)}} p_{\text{SCI}}^{(n_s)}(z) e^{j4\pi^2 f_1' f_2' \beta_{2,\text{eff,CUT}}^{(n_s)} z} dz \right|^2 \quad (28)$$

Similarly to the case of XCI, the SPP-related factor $p_x^{n_s}(z)$ of Eq. (12) gets drastically simplified to just the SPP of the CUT, which we then express here too as a polynomial:

$$p_{\text{SCI}}^{(n_s)}(z) = p_{\text{CUT}}^{(n_s)}(z) = \sum_{n=0}^{N_p} p_{n,\text{CUT}}^{(n_s)} z^n \quad (29)$$

Quite remarkably, the core integral $K_{\text{SCI}}^{(n_s)}$ can be fully integrated analytically and exactly:

$$K_{\text{SCI}}^{(n_s)} = \frac{1}{\pi^2 \beta_{2,\text{eff,CUT}}^{(n_s)} B_{\text{CUT}}^2} \sum_{n=0}^{N_p} \sum_{k=n}^{N_p} (2 - \delta_{nk}) p_{n,\text{CUT}}^{(n_s)} p_{k,\text{CUT}}^{(n_s)} \left[\sum_{i=0}^n \binom{n}{i} \frac{\mathcal{I}_{i,k+n-i+1}(L_s^{(n_s)}; \lambda)}{k+n-i+1} + \sum_{j=0}^k \binom{k}{j} \frac{\mathcal{I}_{j,k+n-j+1}(L_s^{(n_s)}; \lambda)}{k+n-j+1} \right] \quad (30)$$

where $\lambda = \pi^2 \beta_{2,\text{eff,CUT}}^{(n_s)} B_{\text{CUT}}^2$. The function $\mathcal{I}_{p,q}(L; \lambda)$ is defined as:

1) $p \geq 1$:

$$\begin{aligned} \mathcal{I}_{p,q}(L; \lambda) &= \text{Si}(\lambda L) L^{p+q} \mathcal{B}(p, q+1) \\ &\quad - \sum_{r=0}^q \binom{q}{r} (-1)^r \frac{L^{q-r}}{p+r} S_{p+r-1}(L; \lambda) \end{aligned}$$

where Si is the sine integral function, $\mathcal{B}(p, q+1)$ is the Beta function, and $S_{p+r-1}(L; \lambda)$ can be found by:

$$\begin{aligned} S_k(L; \lambda) &= \sum_{p=0}^k \frac{(-1)^p k!}{(k-p)!} \frac{L^{k-p}}{\lambda^{p+1}} \sin\left(\lambda L - \frac{\pi}{2}(p+1)\right) \\ &\quad + \frac{k!}{\lambda^{k+1}} \sin\left(\frac{\pi}{2}(k+1)\right) \end{aligned}$$

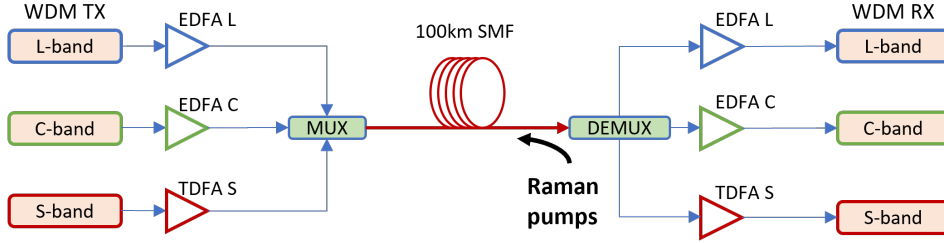


Fig. 7: Schematic of the 100 km C+L+S system with backward Raman pumps.

2) $p = 0$:

$$\mathcal{I}_{0,q}(L; \lambda) = \sum_{r=0}^q \binom{q}{r} (-1)^r L^{q-r} \mathcal{J}_r(L; \lambda)$$

$$\mathcal{J}_0(L; \lambda) = J(\lambda L),$$

$$\mathcal{J}_r(L; \lambda) = \frac{L^r}{r} \text{Si}(\lambda L) - \frac{1}{r} S_{r-1}(L; \lambda), \quad (r \geq 1)$$

and

$$J(X) = \int_0^X \frac{\text{Si}(t)}{t} dt$$

$$= X {}_2F_3\left(\frac{1}{2}, \frac{1}{2}; \frac{3}{2}, \frac{3}{2}, \frac{3}{2}; -\frac{X^2}{4}\right)$$

where ${}_2F_3$ is a generalized hyper-geometric function. More details can be found in [15].

However, without the possibility to resort to the stretching of the island towards infinity, the expressions for SCI turn out to be rather complex. In Appendix C we show as examples the individual formulas for $N_p = 0, 1, 2, 3$. Higher- N_p formulas, such as for $N_p = 5, 9$, which would be too long to reproduce here, are reported in [27]. For a detailed discussion of the appropriate value of N_p please see Sects. IV-B and IV-C.

IV. PCFM APPLICATIONS AND TESTS

The polynomial representation of the SPPs in PCFM opens up several avenues of application that have been difficult to deal with otherwise. The problem of accounting for backward-pumped Raman amplification, which required cumbersome and unreliable approximations, is overcome. Low loss fibers, ISRS, forward Raman, but also lumped loss and other critical conditions can be dealt with using these formulas. In the following, we focus on testing PCFM in single-span systems that exhibit the above SPPs behaviors, including lumped loss.

The general methodology of using polynomials has great potential even in situations such as low dispersion or small symbol rates, but this requires using XCI formulas obtained without resorting to stretching the islands to infinity, and the inclusion of MCI islands too. Thanks to the SPP polynomial approach, this is possible in closed-form too, but we leave these special applications for a forthcoming submission.

To carry out the testing of the PCFM presented here, we first define a test system which will be our reference throughout.

A. Test system description

The system schematic is shown in Fig. 7. It consists of one span of 100 km, which was the first span in the 10-span

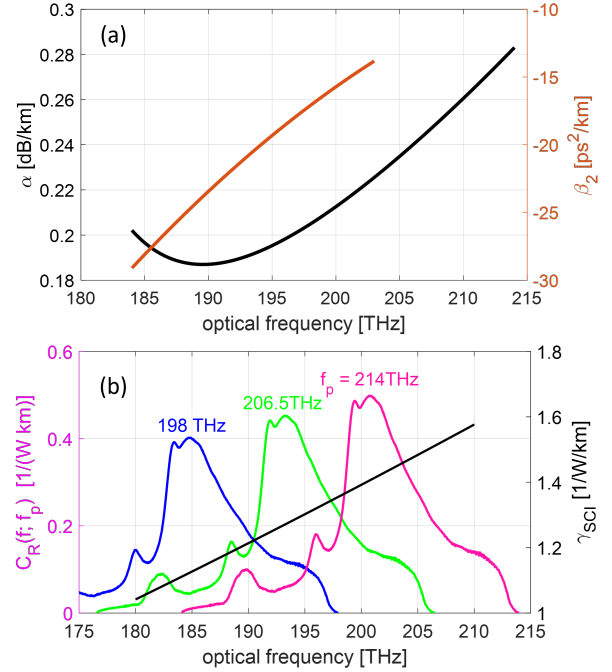


Fig. 8: (a) Loss and dispersion; (b) Raman gain spectrum and SCI coefficient γ .

system in [34]. It was characterized from the experimental setup used for CFM5 validation in [33]. Loss and dispersion were measured in the C and L bands and then extrapolated to the S band (Fig. 8(a)) using well-known formulas [28]. The Raman gain spectrum $C_R(f, f_p)$ was experimentally characterized using a pump at $f_p = 206.5$ THz (the green curve in Fig. 8(b)). It was shifted and scaled as a function of f and f_p , according to [24]. The black line in Fig. 8(b) shows the SCI Kerr non-linearity coefficient γ_{SCI} of Eq.(27) vs. frequency. Its values and those of γ_{XCI} were obtained as indicated next to Eq. (16).

Table I lists all main parameters of WDM settings and backward Raman pumps. Their frequency and power were jointly optimized with the launch power of each channel, to maximize the overall system throughput, please see Fig.4 (a) of [34]. The resulting optimum signal launch power is shown in Fig. 9. The figure clearly shows significant Raman amplification, especially in the C and S bands, as well as obvious signs of ISRS, especially in the L-band, where the low-frequency channels initially propagate at zero loss for a few km.

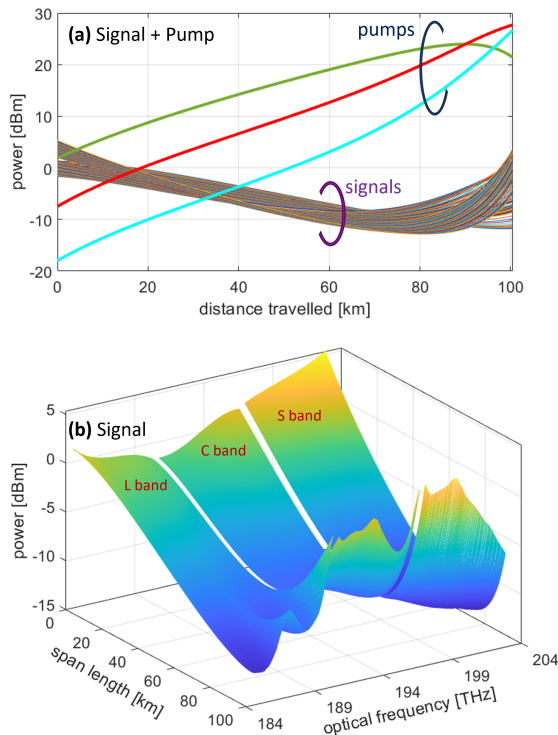


Fig. 9: Power evolution along the 100 km fiber. (a): 2D plot of all channels and pumps. (b): 3D plot of all channels.

TABLE I: Simulation Configuration

| Parameter | Value |
|-----------------------------|---------------------|
| Fiber type | SMF |
| Span length | 60 km / 100 km |
| Symbol rate per channel | 100 GBaud |
| Channel spacing | 118.75 GHz |
| Roll-off factor | 0.1 |
| Modulation format | Gaussian-shaped |
| L band | |
| Frequency range | 184.50–190.35 THz |
| Number of channels | 50 |
| Average power (per channel) | −0.3 dBm |
| C band | |
| Frequency range | 190.75–196.60 THz |
| Number of channels | 50 |
| Average power (per channel) | 0 dBm |
| S band | |
| Frequency range | 197.00–202.85 THz |
| Number of channels | 50 |
| Average power (per channel) | 3.6 dBm |
| Backward Raman pumps | |
| Pump 1 | 205.1 THz, 21.5 dBm |
| Pump 2 | 211.5 THz, 27.7 dBm |
| Pump 3 | 214.0 THz, 26.6 dBm |

B. Accuracy of the SPP polynomial representation

In multiband systems, the computation of the SPPs is typically based on numerically solving the coupled differential Raman equations, which account for both ISRS and Raman amplification [29]. Conventional solvers, such as the `bvp4c` algorithm in MATLAB, provide accurate results but they are

not optimized for this specific use. We developed a faster SPP estimation algorithm, which we recently reported in [34]. The SPPs in Fig. 9 are computed using such algorithm.

Starting from the SPPs, a 9th-degree polynomial is then used to bestfit the SPP of each channel. Fig. 10 displays the polynomial fit for three channels in each band.

Note that the L-band channels experience very low loss, or no loss, at the start, while the S-band channels experience high loss at the start. This is only partially due to the fiber having lower intrinsic loss in the L-band than in the S-band. Much of the behavior is due to the S-band channels losing power to the benefit of the L-band channels, because of ISRS. C-band and S-band channels also experience substantial gain at the end of the fiber due to backward Raman amplification.

The $N_p = 9$ polynomial fit of Fig. 10 appears excellent across all the different SPPs. To provide an idea of what type of error can otherwise be incurred in SPP fitting when using lower degree polynomials, we focus on one of the most challenging channels of the system. It is channel 100 of Fig. 10(f), which exhibits a very steep power increase towards the end of the span. Its polynomial fitting with $N_p=1, 3, 5$ and 7 is displayed in Fig. 11. Expectedly, degrees 1, 3 do not look good.

As for 5, it still does not appear fully satisfactory. However, as we shall see shortly, when the actual error on NLI estimation is computed, it turns out that already $N_p = 5$, or even lower, return quite accurate results. This seem to indicate that some deviation of the polynomial fitting from the SPP, such as visible for $N_p=5$, may be ‘averaged out’ during integration and eventually have quite limited impact on NLI assessment. We will come back to this in detail in the next section.

C. Accuracy of NLI estimation

After SPP polynomial fitting, we use the PCFM to compute a key NLI indicator for all 150 channels in the system. We focus on GSNR_{NLI} , defined as:

$$\text{GSNR}_{\text{NLI}} = \frac{P_{\text{ch}}}{P_{\text{NLI}}} \quad (31)$$

where P_{ch} is the signal power per channel, and P_{NLI} is the NLI power. We then compare the result of GSNR_{NLI} obtained through the PCFM with that of the numerically-integrated full GN model [14], which is our benchmark. We call $\Delta\text{GSNR}_{\text{NLI}}$ the error, in dB scale:

$$\Delta\text{GSNR}_{\text{NLI}} = \text{GSNR}_{\text{NLI,PCFM}} - \text{GSNR}_{\text{NLI,GN}} \quad (32)$$

Before looking at the result, we would like to remind the Readers that an error of δ dB in GSNR_{NLI} estimation causes an error in total GSNR of only $\delta/3$ dB, if operating at the optimum launch power. This is a well-known result from the early days of NLI modeling [30], [31], which has helped make the use of CFMs more viable over the years, by substantially quelling the error coming from the approximations needed to achieve closed-forms. The factor 1/3 should be kept in mind while looking at any NLI assessment accuracy, and we are going to call it the ‘1/3 rule’ henceforth.

Fig. 12(a) shows the violin plot of $\Delta\text{GSNR}_{\text{NLI}}$ across all channels, where different polynomial degrees are used to fit

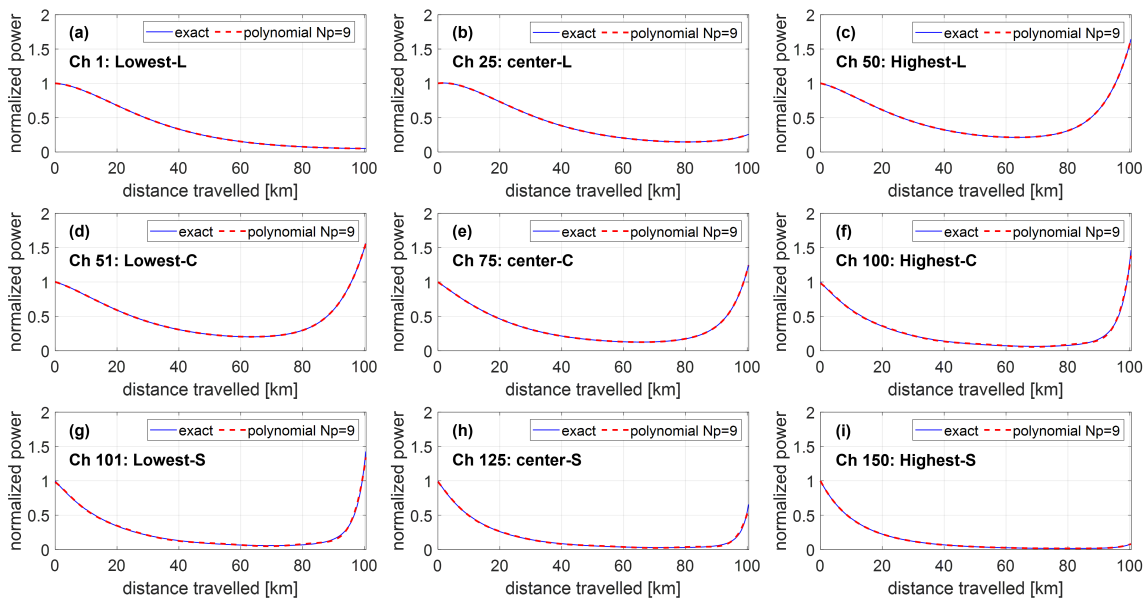


Fig. 10: Polynomial representation of normalized SPPs along the 100 km fiber in L, C and S bands on a linear scale: blue solid curves are the exact SPPs, and red dashed curves are the polynomial fit with $N_p = 9$.

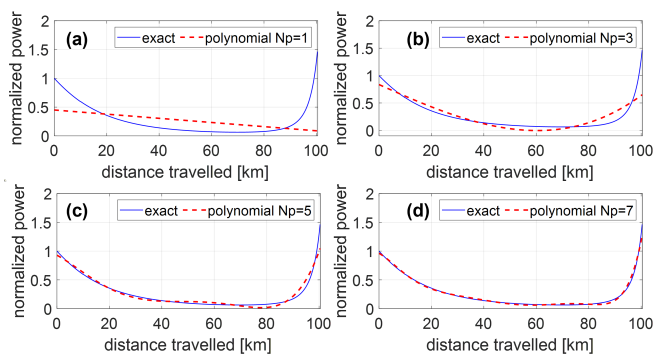


Fig. 11: Polynomial representation of the normalized SPP along the 100 km fiber in the 100-th (highest-frequency C-band) channel on a linear scale: the blue solid curves are the exact SPPs, and the red dashed curves are the polynomial fit with (a) $N_p = 1$, (b) $N_p = 3$, (c) $N_p = 5$, (d) $N_p = 7$.

the SPPs. The '+' marker indicates the mean. The violin width is proportional to the kernel density estimate (KDE) of the distribution. The violin vertical boundaries correspond to the KDE support and therefore show where the KDE approaches zero. Isolated outliers appear as markers, but are not present in the PCFM KDEs. As N_p increases, the error distribution stabilizes already for $N_p = 4$. This is a somewhat unexpected result given that the plots of Fig. 11 show significant SPP fitting error still for $N_p = 5$. We conjecture that the repeated integration in the core integrals $K_x^{(n_s)}(f_{\text{CUT}})$ averages out the fitting errors in the SPPs. Notwithstanding, the violin plots of Fig. 12(a) suggest that, even in complex systems like the one being probed, low values of N_p are potentially usable to get fast preliminary NLI estimation, if speed is critical. Then, $N_p = 5$ seems already a viable and even conservative value for accurate NLI computation. In case of doubt, one could always

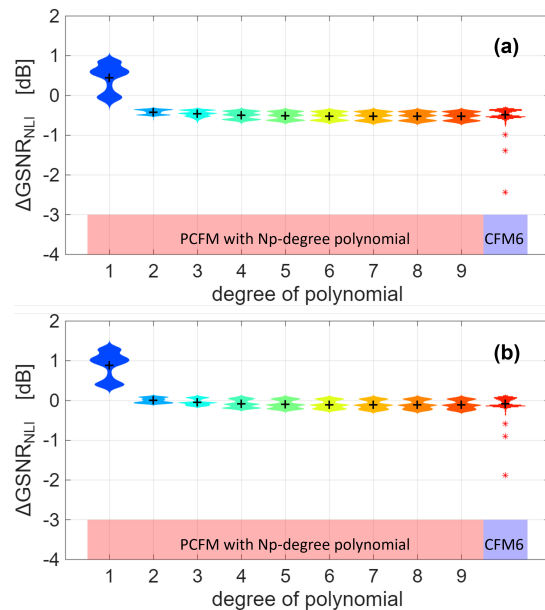


Fig. 12: NLI estimation error of PCFM vs. the GN-model, in the 100 km C+L+S system. (a): violin plot of $\Delta\text{GSNR}_{\text{NLI}}$ calculated using Eq. (32), where PCFM uses $N_p = 1$ to 9; (b) violin plot of $\Delta\text{GSNR}_{\text{NLI}}$ with the machine-learning correction ρ introduced in Eq. (14) of [20]. In both plot the $\Delta\text{GSNR}_{\text{NLI}}$ of CFM6 vs. the GN-model is also shown. The outliers in CFM6 are highlighted using red '*' markers.

run targeted checks using higher degrees, such as $N_p = 7$ or 9.

The violin plots for $N_p \geq 4$ have a very small standard deviation of 0.1 dB, an excellent result. However, the picture shows a systematic bias of approximately -0.5 dB, meaning that the PCFM overestimates NLI by 0.5 dB, leading to a lower GSNR_{NLI} than the GN benchmark. This systematic over-estimation mostly arises from the two main approximations described in detail in the previous sections, namely: assuming

that the NLI spectrum over the CUT is flat at the value that it assumes at the center frequency of the CUT (whereas it tapers off somewhat); approximating the lozenge-shaped integration islands with bigger shapes. On the other hand, the 1/3 rule means that the bias on the *total* GSNR at optimum launch power would be only about -0.2 dB. Note also that, since negative, it would be conservative.

Nonetheless, it would be nice to remove such bias. In previous CFM versions we confronted a similar problem and resorted to an analytical machine-trained correction, which we called the ‘machine-learning coefficient’ ρ , denoted as $\rho_{n_{\text{ch}}}^{(n_s)}$ and $\rho_{\text{CUT}}^{(n_s)}$ in Eq. (14) of [20]. We decided to apply such coefficient to the PCFM too, with no change vs. [20], such that $G_{\text{XCI},n_{\text{ch}}}^{(n_s)}(f_{\text{CUT}})$ in Eq. (21) and $G_{\text{SCI}}^{(n_s)}(f_{\text{CUT}})$ in Eq. (26) are multiplied by $\rho_{n_{\text{ch}}}^{(n_s)}$ and $\rho_{\text{CUT}}^{(n_s)}$ respectively. As the violin diagrams of Fig. 12(b) show, the bias disappears almost completely. We are currently re-training ρ specifically for the PCFM so that it is possible that even better results, for both residual bias and standard deviation, could be achieved.

The plots of Fig. 12 also show the violin diagram for CFM6. The result for most channels is comparable to those of the PCFM but there are several outliers whose error is as large as -2.5 dB. This is due to a problem intrinsic to the analytical derivation of CFM6 where a series expansion followed by integration produces a denominator that can go to zero. When calculating NLI values near these points, we conjecture that loss of machine precision occurs, leading to errors. While such singularities are removable by means of ad-hoc workarounds, and the outliers can be brought within the violin main body, there remains the need to check for their occurrence during the calculations and then apply the workarounds, a procedure which is cumbersome. This is one of the reasons that compelled us to pursue a new approach, resulting in the PCFM, which is free from any singularity.

There were other reasons too, as mentioned before, such as the difficulty or impossibility of upgrading CFM6 to situations such as low loss, short spans, multi-subcarrier multiplexing and ultra-low dispersion, where PCFM provides a solution or promises to make one possible. One more important reason had to do with modeling intra-span NLI coherence. This aspect is clarified in the following.

We explore a new test scenario where we reduce the fiber length to 60 km. All SPPs are shown in Fig. 13 (a). Some are shown again in detail, together with their 9-th degree polynomial fit, in Fig. 13 (b). From the plots, it can be seen that with such shorter fiber length, in the presence of ISRS and Raman amplification, some SPPs experience almost ideal distributed amplification. For instance, channel 25 in the L-band sees a maximum loss of less than 3 dB, and its overall profile along the fiber is remarkably flat.

The violin plot of $\Delta\text{GSNR}_{\text{NLI}}$ is depicted in Fig. 13 (c). The distribution gets stable at $N_p = 5$, again with a quite contained standard deviation of about 0.1 dB. As in the 100 km example, a -0.5 dB bias is present. Once again, applying the machine-learning coefficient ρ from [20] the bias is eliminated (Fig. 13 (d)).

What is different between the violin plots of Fig. 13 and

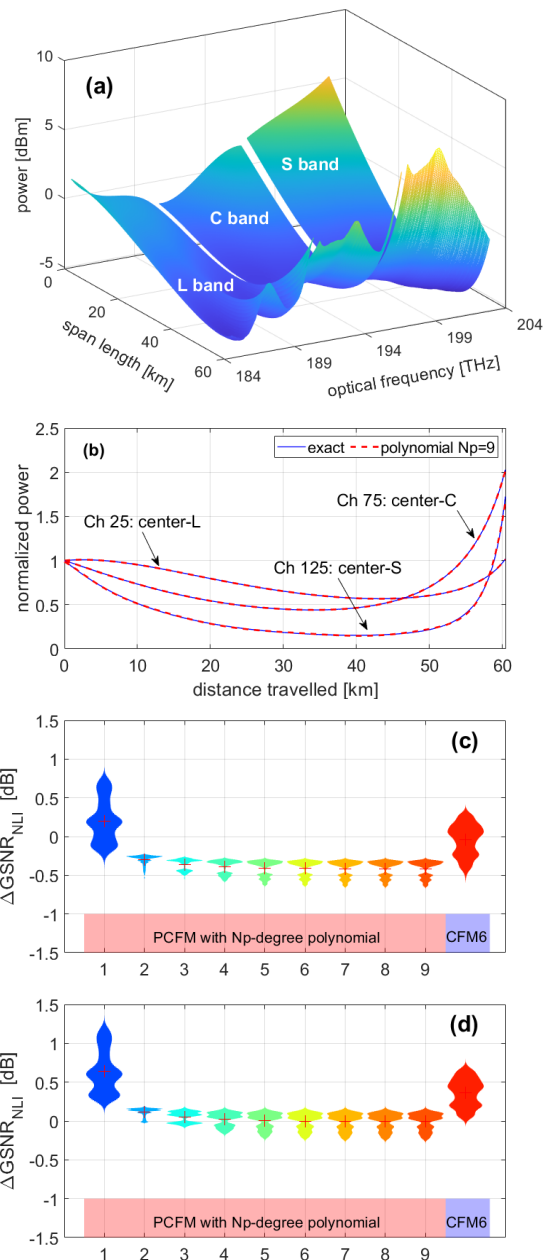


Fig. 13: 60 km fiber system example. (a): 3D plot of all channel SPPs. (b): 9th-degree polynomial representations of the center-channel SPPs in each band on a linear scale. (c): violin plot of PCFM and CFM6 results. (d): violin plots with machine-learning correction incorporated in CFMs.

Fig. 12 is that CFM6 has a much wider distribution than PCFM, which remains such also after applying the machine-learning coefficient. Note that this greater error is not due to the removable singularity problem of CFM6 previously mentioned. Here it is a fundamental shortcoming, which we explain in the following.

CFM6 resorts to breaking up the span into two sub-spans, approximately in the middle of the span. It then separately calculates NLI for both sub-spans. The two contributions are incoherently summed at the end of the span. As Fig. 12 shows, the approach works well in the 100 km case, where mid-span

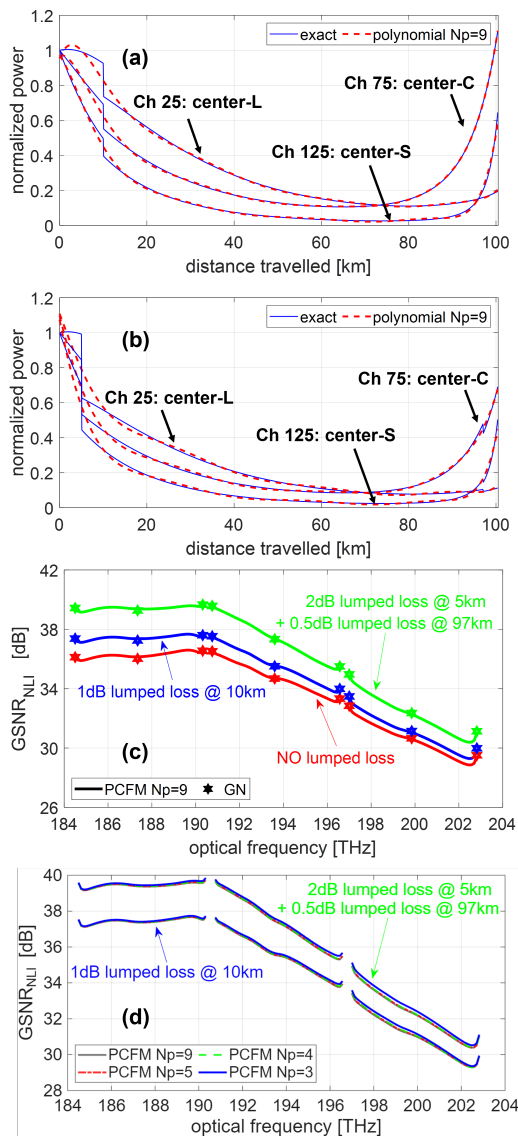


Fig. 14: Results with lumped loss. **(a)**: 9th-degree polynomial fitting of the center-channel SPPs in each band on a linear scale, with 1 dB lumped loss at 10 km. **(b)**: 9th-degree polynomial fitting of the center-channel SPPs in each band on a linear scale, with added 2 dB lumped loss at 5 km and 0.5 dB lumped loss at 97 km. **(c)**: GSNR_{NLI} calculated by the PCFM with $N_p = 9$ for all channels. The markers are the numerically-integrated GN-model benchmark results. **(d)**: GSNR_{NLI} calculated by the PCFM with different values of N_p for all channels.

loss is substantial (8-10 dB, see Fig. 10). When this is not the case, such as in the 60 km system, where mid-span loss is as low as 3 dB, a sizable error is incurred by CFM6, as visible in Fig. 13 (c) and (d), because of the neglected coherence between the NLI contributions of the two sub-spans. PCFM has no such problem, since the span is dealt with in its entirety, faithfully accounting for intra-span NLI coherence.

D. SPP polynomial representation and lumped loss

Polynomials are clearly effective in accurately describing SPPs subject to ‘smooth’ influences, such as ISRS or Raman

gain. It is not immediately evident that they could be equally effective when abrupt or ‘lumped’ perturbations are experienced, such as the case of lumped loss.

To assess this capability, we revisit the 100 km configuration and introduce lumped losses of varying magnitudes at different locations along the fiber. Fig. 14 (a) displays the SPPs of the center channels of each of the three bands, with a 1 dB lumped loss introduced at 10 km, such as due to a bad splice or a lossy connector.

Even though an intrinsically continuous curve cannot perfectly fit a discontinuous profile, for $N_p = 9$ it appears that the polynomial fit does a reasonable job of closely interpolating the SPP. The polynomial curve then goes through repeated integration and it is conceivable that SPP fitting inaccuracy can be averaged out. The resulting GSNR prediction by the PCFM indeed aligns closely with the GN-model markers, as shown by the blue curve in Fig. 14 (c), with no apparent loss of accuracy with respect to the case of no lumped loss.

A more extreme test includes *two* lumped losses along the span: 2 dB at 5 km and 0.5 dB at 97 km. Both losses have a significant impact on the SPPs, the first directly and the second because it affects both the signal and the Raman pumps power. Here too the SPPs are not perfectly fitted by the polynomials. However, the interpolation they provide results again in a final GSNR_{NLI} prediction by PCFM (green curve in Fig. 14 (c)) which appears as accurate as the no-loss case. Furthermore, Fig. 14 (d) provides the PCFM results for different values of N_p . When $N_p = 3$, a discernible deviation from $N_p = 9$ is observed. However, starting already with $N_p = 4$, the results are almost indistinguishable from $N_p = 9$. This confirms that even in this challenging scenario, a choice such as $N_p = 5$ appears more than adequate.

Overall, considering the complexity of the SPP shapes of Fig. 14 (a) and (b), characterized by substantial ISRS, strong backward-pumped Raman amplification and multiple lumped loss, resulting in profiles that are very far from the simple decreasing exponential of a conventional fiber, we consider the accuracy displayed by the NLI prediction of Fig. 14 (c) as remarkable. Note that the plot displays GSNR_{NLI}, so there is no 1/3-rule at play here to attenuate the error.

E. Non-Gaussian formats and inter-span coherence

So far we have focused on the discussion of the PCFM performance in the context of Gaussian-shaped modulation.

As debated previously, the PCFM, like CFM6 and essentially all CFMs in the literature, is derived from the GN-model, which is most accurate when Gaussian-shaped modulation is used. The GN-model can be used to model QAM systems too, but there would be some error. Such error is relatively modest in links with high dispersion, long spans and high symbol rates, see [14], and [23] Sect. V. However, some error is present and it gets larger at low symbol rates, low dispersion and in short spans, and this is the reason why the EGN-model was developed [18], [32].

To achieve accurate format-dependent, EGN-like prediction with a GN-model derived CFM, we worked out in the past suitable corrections which account for the effect of different

formats, as well as the coherence of NLI among multiple spans (inter-span coherence). In long-haul dispersion-uncompensated links with high symbol rate, several spans and high dispersion, incoherent accumulation with the ‘machine learning correction’ provides a good approximation, please see [20] and the experimental validations [10], [33]. We are also in the process of developing and training an improved set of corrections, in the quest for greater accuracy, which we leave for a forthcoming paper.

V. DOWNLOADABLE SOFTWARE AND DEVELOPMENTS

We have implemented in software the SCI+XCI PCFM model described in this paper, with SPP fitting polynomial degree $N_p = 9$. It includes the corrections mentioned above for multi-span and multi-format (EGN-model) support.

The software is quite flexible and allows to estimate the GSNR_{NLI} and total GSNR of rather general WDM UWB systems, where each span can be different from any other. It also handles forward and backward-pumped Raman amplification, as well as ISRS and Double Rayleigh Backscattering, the latter being significant when Raman amplification is very powerful. ASE is computed for lumped amplification as well as Raman. Fiber data is fully customizable, span by span. The WDM comb does not need to be uniform: channels can have all different: spacing, symbol rate, modulation format and launch power. The launch powers can change span by span.

Currently, the main file and parameter file are in editable Matlab code, whereas the model is provided as executable files for Windows and Linux [35]. It is available for download under the Creative Commons 4.0 License. Editing the main file and parameter file provides full system customization flexibility.

We will be upgrading it to provide more pre-programmed examples and to add further features. Future releases will include XCI without the island-stretching approximation, as well as MCI with rectangular islands, to support low dispersion and multi-subcarrier systems.

VI. CONCLUSION

We have presented a closed-form GN/EGN model, called Polynomial-CFM or PCFM, based on a new analytical approach consisting of expressing the spatial power profile, or SPP, of each channel as a polynomial. Once this is done, closed-form analytical solutions of the core integrals of the GN-model can be found with few approximations. This leads to improved CFM reliability and generality. The polynomial SPP representation allows to model quite general system conditions: we have shown results and examples of the accuracy and generality of the PCFM, for UWB systems with ISRS and Raman amplification, as well as lumped loss along the span. The shown examples assume a C+L+S multiband system. However, the PCFM could manage any spectrum, with fewer or more bands than C+L+S.

The main limitations of the PCFM presented in this paper stem from some key assumptions used in its derivation (see Sect. II-B): the neglect of the MCI islands and the stretching of the XCI islands, as well as the locally white-noise assumption for NLI. As a result, the PCFM presented here cannot deal with

very low dispersion systems, or very low symbol-rates, such as in multi-subcarrier transmission. However, the polynomial SPP representation has the potential to allow removing the approximations listed above, so that these systems can be supported too. This is work in progress whose results will be the subject of a separate paper.

We provide a software implementation of the model described in this paper, available for download and fully customizable [35].

APPENDIX A

NLI PSD OF EACH ISLAND

We start by approximating the channel spectra as rectangles with bandwidth $B_{n_{\text{ch}}}^{(n_s)}$. As a result, we can write the WDM comb at the input of the n_s -th span as:

$$G_{\text{WDM}}^{(n_s)}(f) = \sum_{n_{\text{ch}}=1}^{N_{\text{ch}}^{(n_s)}} G_{\text{WDM},n_{\text{ch}}}^{(n_s)} \cdot \Pi_{B_{n_{\text{ch}}}^{(n_s)}}\left(f - f_{n_{\text{ch}}}^{(n_s)}\right) \quad (\text{A.1})$$

where $\Pi_B(f)$ is a Heaviside ‘pi’ function (i.e., a rectangle) of bandwidth B , defined as:

$$\Pi_B(f) = \begin{cases} 1, & f \in \left[-\frac{B}{2}, \frac{B}{2}\right] \\ 0, & \text{otherwise} \end{cases} \quad (\text{A.2})$$

and $G_{\text{WDM},n_{\text{ch}}}^{(n_s)}$ is the value of the channel PSD, setting the height of the rectangular spectrum.

We then concentrate on calculating $G_{\text{NLI}}^{(n_s)}(f_{\text{CUT}})$. Given the formalism that we are introducing in this paper, it would be possible to calculate in closed-form the PSD of NLI at frequencies other than the center frequency of the CUT. On the other hand, it has been consistently found (for the first time in [14]) that, while not perfectly, $G_{\text{NLI}}^{(n_s)}(f)$ is rather flat over the bandwidth occupied by each channel. As a result, the assumption of ‘locally white’ NLI noise over each channel, with a PSD value equal to the value that it assumes at the center frequency of that channel, is an accepted approximation. We do however mean to investigate the possibility of removing such approximation, in a forthcoming study.

Each of the terms of the triple summation then creates a specific integration domain in f_1 and f_2 . Specifically, considering a generic term of the triple summation, the integration domain for f_1 and f_2 results from the intersection of three conditions:

$$f_1 \in \left[f_{m_{\text{ch}}}^{(n_s)} - \frac{B_{m_{\text{ch}}}^{(n_s)}}{2}, f_{m_{\text{ch}}}^{(n_s)} + \frac{B_{m_{\text{ch}}}^{(n_s)}}{2} \right]$$

which is where $\Pi_{B_{m_{\text{ch}}}^{(n_s)}}\left(f_1 - f_{m_{\text{ch}}}^{(n_s)}\right) \neq 0$,

$$f_2 \in \left[f_{k_{\text{ch}}}^{(n_s)} - \frac{B_{k_{\text{ch}}}^{(n_s)}}{2}, f_{k_{\text{ch}}}^{(n_s)} + \frac{B_{k_{\text{ch}}}^{(n_s)}}{2} \right]$$

which is where $\Pi_{B_{k_{\text{ch}}}^{(n_s)}}\left(f_2 - f_{k_{\text{ch}}}^{(n_s)}\right) \neq 0$,

$$f_1 + f_2 \in \left[f_{\text{CUT}} + f_{n_{\text{ch}}}^{(n_s)} - \frac{B_{n_{\text{ch}}}^{(n_s)}}{2}, f_{\text{CUT}} + f_{n_{\text{ch}}}^{(n_s)} + \frac{B_{n_{\text{ch}}}^{(n_s)}}{2} \right]$$

which is where $\Pi_{B_{n_{\text{ch}}}^{(n_s)}}\left(f_1 + f_2 - f_{\text{CUT}} - f_{n_{\text{ch}}}^{(n_s)}\right) \neq 0$. (A.3)

The intersection of the above three conditions creates distinct sub-domains, sometimes called ‘islands’. Looking for instance at an example of a 7-channel WDM comb, made up of equally-spaced, identical-bandwidth channels, where the CUT is the center channel, then the integration islands that contribute to the NLI PSD at the center of the CUT are those shown in Fig. 3(a).

Since each island accounts for the NLI which is produced by the ‘beating’ of three specific channels of the WDM comb, We can therefore label each island by means of an identifier x made up of a triple of integers, where each integer is the index of one of the three WDM channels that produce the NLI contribution related to that specific island. Not all triples of channels create an island, though, because the intersection of the conditions Eq. (A.3) may turn up empty. The set \mathcal{X} of the triples of channel indices x that do create an island for a given CUT is formally:

$$\begin{aligned} x &= (m_{\text{ch}}^{(n_s)}, k_{\text{ch}}^{(n_s)}, n_{\text{ch}}^{(n_s)}) \in \mathcal{X} \\ \mathcal{X} &= \{(m_{\text{ch}}^{(n_s)}, k_{\text{ch}}^{(n_s)}, n_{\text{ch}}^{(n_s)}) \in \mathbb{N}^3 \mid \\ &1 \leq m_{\text{ch}}^{(n_s)}, k_{\text{ch}}^{(n_s)}, n_{\text{ch}}^{(n_s)} \leq N_{\text{ch}}^{(n_s)} \\ &f_{\text{CUT}} = f_{m_{\text{ch}}^{(n_s)}}^{(n_s)} + f_{k_{\text{ch}}^{(n_s)}}^{(n_s)} - f_{n_{\text{ch}}^{(n_s)}}^{(n_s)}\} \end{aligned} \quad (\text{A.4})$$

Degeneracy is possible, in the sense that the three indices need not be all different. With reference to the example of Fig. 3, the red island has indeed all three indices identical, corresponding to the channel index of the CUT, $n_{\text{CUT}}^{(n_s)}$. This island accounts for the beating of the CUT with itself, i.e., SCI. The yellow islands have one index that is the CUT and the other two indices identical, spanning all WDM channels other than the CUT. These islands account for the NLI caused by each single channel onto the CUT, called XCI. The light blue islands have all three indices different from that of the CUT and account for MCI. The NLI PSD on the CUT is calculated by summing up the contribution $G_{\text{NLI},x}^{(n_s)}(f_{\text{CUT}})$ of each individual island x , as follows:

$$G_{\text{NLI}}^{(n_s)}(f_{\text{CUT}}) = \sum_{x \in \mathcal{X}} G_{\text{NLI},x}^{(n_s)}(f_{\text{CUT}}) \quad (\text{A.5})$$

Each contribution therefore requires integrating over a lozenge-shaped island like the ones in Fig. 3. However, this is quite challenging to do analytically. The problem would be much simpler if we could approximate each island with the square or rectangle that inscribes it, such as shown in Fig. 4.

Introducing Eq. (A.1) into Eq. (9) and converting the islands into the inscribing rectangles, we get:

$$\begin{aligned} G_{\text{NLI},x}^{(n_s)}(f_{\text{CUT}}) &= \frac{16}{27} \Gamma^{(n_s)}(f_{\text{CUT}}) G_{\text{WDM},m_{\text{ch}}}^{(n_s)} G_{\text{WDM},k_{\text{ch}}}^{(n_s)} \\ &\cdot G_{\text{WDM},n_{\text{ch}}}^{(n_s)} \int_{f_{k_{\text{ch}}}^{(n_s)} - B_{k_{\text{ch}}}^{(n_s)}/2}^{f_{k_{\text{ch}}}^{(n_s)} + B_{k_{\text{ch}}}^{(n_s)}/2} \int_{f_{m_{\text{ch}}}^{(n_s)} - B_{m_{\text{ch}}}^{(n_s)}/2}^{f_{m_{\text{ch}}}^{(n_s)} + B_{m_{\text{ch}}}^{(n_s)}/2} \\ &\left(\gamma^{(n_s)}(f_1, f_2, f_{\text{CUT}}) \right)^2 \left| \rho^{(n_s)}(f_1, f_2, f_{\text{CUT}}) \right|^2 df_1 df_2 \end{aligned} \quad (\text{A.6})$$

We will focus on this integral to derive its closed-form solution for any generic island x in the integration domain.

A. $\gamma^{(n_s)}(f_1, f_2, f_{\text{CUT}})$

Focusing on the integrand in Eq. (A.6), we find the fiber non-linearity coefficient $\gamma^{(n_s)}(f_1, f_2, f_{\text{CUT}})$. It is indicated as a function of three frequencies but it actually depends on four frequencies. However, in this context, the fourth frequency would be $(f_1 + f_2 - f_{\text{CUT}})$. Since it is a function of the other three, we omit to indicate it.

As a reasonable approximation, we assume that $\gamma^{(n_s)}$ is a constant over each island x . Then, according to [21], $\gamma_x^{(n_s)}$ can be written as:

$$\begin{aligned} \gamma_x^{(n_s)} &\triangleq \gamma^{(n_s)}(f_1, f_2, f_{\text{CUT}}) \approx \gamma^{(n_s)}(f_{m_{\text{ch}}}^{(n_s)}, f_{k_{\text{ch}}}^{(n_s)}, f_{\text{CUT}}) \\ &= \frac{2\pi f_{\text{CUT}}}{c} \cdot \frac{n_2}{A_{\text{eff}}(f_{\text{CUT}}, f_{m_{\text{ch}}}^{(n_s)}, f_{k_{\text{ch}}}^{(n_s)}, f_{n_{\text{ch}}}^{(n_s)})} \end{aligned} \quad (\text{A.7})$$

where c denotes the speed of light in vacuum and n_2 is the nonlinear refractive index of the fiber. The quantity $A_{\text{eff}}(f_{\text{CUT}}, f_{m_{\text{ch}}}^{(n_s)}, f_{k_{\text{ch}}}^{(n_s)}, f_{n_{\text{ch}}}^{(n_s)})$ is the cross-effective area of the four frequency components involved in the non-linear Kerr interaction, which can be approximately expressed as [21], [24]:

$$\begin{aligned} A_{\text{eff}}(f_{\text{CUT}}, f_{m_{\text{ch}}}^{(n_s)}, f_{k_{\text{ch}}}^{(n_s)}, f_{n_{\text{ch}}}^{(n_s)}) \\ \approx \frac{A_{\text{eff}}(f_{\text{CUT}}) + A_{\text{eff}}(f_{m_{\text{ch}}}^{(n_s)}) + A_{\text{eff}}(f_{k_{\text{ch}}}^{(n_s)}) + A_{\text{eff}}(f_{n_{\text{ch}}}^{(n_s)})}{4} \end{aligned} \quad (\text{A.8})$$

The above formulas show that $\gamma^{(n_s)}$ depends on the type of NLI contribution. To compute the A_{eff} terms in Eq. (A.8), we used [12] Eq. (4) (derived from [26]). Note that A_{eff} depends on the type of fiber and hence on the span index n_s , but we omitted it to avoid clutter. Since $\gamma^{(n_s)}$ is now a constant in each island x , we can pull it out of the integrals in Eq. (A.6).

B. $\rho^{(n_s)}(f_1, f_2, f_{\text{CUT}})$

We then focus on the link function $\rho^{(n_s)}(f_1, f_2, f_{\text{CUT}})$ in Eq. (9). We have:

$$\begin{aligned} \Delta\kappa^{(n_s)}(f_1, f_2, f_{\text{CUT}}, z) &= -j\Delta\beta^{(n_s)}(f_1, f_2, f_{\text{CUT}}) \\ &\quad - \Delta\alpha_T^{(n_s)}(f_1, f_2, f_{\text{CUT}}, z) \\ \Delta\beta^{(n_s)}(f_1, f_2, f_{\text{CUT}}) &= \beta^{(n_s)}(f_1) + \beta^{(n_s)}(f_2) \\ &\quad - \beta^{(n_s)}(f_{\text{CUT}}) - \beta^{(n_s)}(f_1 + f_2 - f_{\text{CUT}}) \\ \Delta\alpha_T^{(n_s)}(f_1, f_2, f_{\text{CUT}}, z) &= \alpha_T^{(n_s)}(f_1, z) + \alpha_T^{(n_s)}(f_2, z) \\ &\quad - \alpha_T^{(n_s)}(f_{\text{CUT}}, z) + \alpha_T^{(n_s)}(f_1 + f_2 - f_{\text{CUT}}, z) \end{aligned} \quad (\text{A.9})$$

In the following we discuss the various quantities appearing in the above formula.

1) *Dispersion*: To accurately capture dispersion in UWB systems, we assume that it is expressed through its fourth-order series expansion as:

$$\begin{aligned} \beta^{(n_s)}(f) &= \beta_0^{(n_s)} + 2\pi\beta_1^{(n_s)}(f - f_c^{(n_s)}) \\ &\quad + 2\pi^2\beta_2^{(n_s)}(f - f_c^{(n_s)})^2 + \frac{4}{3}\pi^3\beta_3^{(n_s)}(f - f_c^{(n_s)})^3 \\ &\quad + \frac{2}{3}\pi^4\beta_4^{(n_s)}(f - f_c^{(n_s)})^4 + O(f - f_c^{(n_s)})^5 \end{aligned} \quad (\text{A.10})$$

where $f_c^{(n_s)}$ is the arbitrary frequency where the expansion is taken in the n_s -th span. Substituting Eq. (A.10) into the expression of $\Delta\beta^{(n_s)}$ in Eq. (A.9), we then get the expression:

$$\begin{aligned} \Delta\beta^{(n_s)}(f_1, f_2, f_{\text{CUT}}) &= -4\pi^2(f_1 - f_{\text{CUT}})(f_2 - f_{\text{CUT}}) \\ &\cdot \left(\beta_2^{(n_s)} + \pi\beta_3^{(n_s)}(f_1 + f_2 - 2f_c^{(n_s)}) + \frac{2}{3}\pi^2\beta_4^{(n_s)} \right. \\ &\cdot \left((f_1 - f_c^{(n_s)})^2 + (f_1 - f_c^{(n_s)})(f_2 - f_c^{(n_s)}) \right. \\ &\left. \left. + (f_2 - f_c^{(n_s)})^2 + \frac{1}{2}(f_1 - f_{\text{CUT}})(f_2 - f_{\text{CUT}}) \right) \right) \end{aligned} \quad (\text{A.11})$$

For reasons of convenience, we now change the integration variables of Eq. (A.6) as follows:

$$f'_1 = f_1 - f_{\text{CUT}}, \quad f'_2 = f_2 - f_{\text{CUT}} \quad (\text{A.12})$$

This is equivalent to placing the origin of the new integration variables f'_1 at the center frequency of the CUT. As each channel becomes the CUT, such origin is moved onto its frequency. This important quantity depends on the value of $\beta_2^{(n_s)}$ and on a 'correction' that in turn depends on $\beta_3^{(n_s)}$, $\beta_4^{(n_s)}$. Then, as an approximation, only in the inner bracket of Eq. (A.11) we replace f_1 and f_2 with their center values over the integration island x , that is:

$$f'_1 \approx f_{m_{\text{ch}}}^{(n_s)} - f_{\text{CUT}}, \quad f'_2 \approx f_{k_{\text{ch}}}^{(n_s)} - f_{\text{CUT}} \quad (\text{A.13})$$

We get:

$$\begin{aligned} \Delta\beta^{(n_s)}(f'_1 + f_{\text{CUT}}, f'_2 + f_{\text{CUT}}, f_{\text{CUT}}) &\approx -4\pi^2 f'_1 f'_2 \\ &\cdot \left(\beta_2^{(n_s)} + \pi\beta_3^{(n_s)} [f_{m_{\text{ch}}}^{(n_s)} + f_{k_{\text{ch}}}^{(n_s)} - 2f_c^{(n_s)}] \right. \\ &+ \frac{2}{3}\pi^2\beta_4^{(n_s)} \left((f_{m_{\text{ch}}}^{(n_s)} - f_c^{(n_s)})^2 + (f_{k_{\text{ch}}}^{(n_s)} - f_c^{(n_s)}) \right. \\ &\cdot (f_{k_{\text{ch}}}^{(n_s)} - f_c^{(n_s)}) + (f_{k_{\text{ch}}}^{(n_s)} - f_c^{(n_s)})^2 \\ &\left. \left. + \frac{1}{2}(f_{m_{\text{ch}}}^{(n_s)} - f_{\text{CUT}})(f_{k_{\text{ch}}}^{(n_s)} - f_{\text{CUT}}) \right) \right) \end{aligned} \quad (\text{A.14})$$

To better understand the meaning of this approximation, we point out that the result would be exact if $\beta_2^{(n_s)}$ was piecewise constant over the bandwidth of each channel. So, the above approximation does account for the change over frequency of $\Delta\beta^{(n_s)}$, due to the presence of a non-zero $\beta_3^{(n_s)}$, $\beta_4^{(n_s)}$. We are simply assuming that dispersion is locally constant over each channel, a reasonable assumption given the typical bandwidth of a channel and the small values of $\beta_3^{(n_s)}$, $\beta_4^{(n_s)}$. This approximation however turns out to be crucial in achieving a closed-form solution of the integrals. We can now define:

$$\begin{aligned} \beta_{2,\text{eff},x}^{(n_s)} &= \beta_2^{(n_s)} + \pi\beta_3^{(n_s)} [f_{m_{\text{ch}}}^{(n_s)} + f_{k_{\text{ch}}}^{(n_s)} - 2f_c^{(n_s)}] \\ &+ \frac{2}{3}\pi^2\beta_4^{(n_s)} \left((f_{m_{\text{ch}}}^{(n_s)} - f_c^{(n_s)})^2 + (f_{k_{\text{ch}}}^{(n_s)} - f_c^{(n_s)}) \right. \\ &\cdot (f_{k_{\text{ch}}}^{(n_s)} - f_c^{(n_s)}) + (f_{k_{\text{ch}}}^{(n_s)} - f_c^{(n_s)})^2 \\ &\left. + \frac{1}{2}(f_{m_{\text{ch}}}^{(n_s)} - f_{\text{CUT}})(f_{k_{\text{ch}}}^{(n_s)} - f_{\text{CUT}}) \right) \end{aligned} \quad (\text{A.15})$$

which turns out to be a sort of 'effective' value of $\beta_2^{(n_s)}$, constant over the island x . For SCI and XCI islands, the last term $\frac{1}{2}(f_{m_{\text{ch}}}^{(n_s)} - f_{\text{CUT}})(f_{k_{\text{ch}}}^{(n_s)} - f_{\text{CUT}})$ is 0 since $f_{k_{\text{ch}}}^{(n_s)} = f_{\text{CUT}}$ or

$f_{m_{\text{ch}}}^{(n_s)} = f_{\text{CUT}}$. This corresponds to Eq. (3) in [9]. Eq. (A.14) can then be written as:

$$\Delta\beta^{(n_s)}(f'_1 + f_{\text{CUT}}, f'_2 + f_{\text{CUT}}, f_{\text{CUT}}) \approx -4\pi^2 f'_1 f'_2 \beta_{2,\text{eff},x}^{(n_s)} \quad (\text{A.16})$$

2) *Loss*: We can then apply the same strategy to the loss term:

$$\begin{aligned} \Delta\alpha_T^{(n_s)}(f'_1 + f_{\text{CUT}}, f'_2 + f_{\text{CUT}}, f_{\text{CUT}}, z) \\ \approx \alpha_T^{(n_s)}(f_{m_{\text{ch}}}^{(n_s)}, z) + \alpha_T^{(n_s)}(f_{k_{\text{ch}}}^{(n_s)}, z) \\ - \alpha_T^{(n_s)}(f_{\text{CUT}}, z) + \alpha_T^{(n_s)}(f_{m_{\text{ch}}}^{(n_s)} + f_{k_{\text{ch}}}^{(n_s)} - f_{\text{CUT}}, z) \end{aligned} \quad (\text{A.17})$$

Once more, the result would be exact if loss was frequency-flat over the bandwidth of each of the channels involved, a very reasonable assumption.

We then obtain the NLI PSD of any island x :

$$\begin{aligned} G_{\text{NLI},x}^{(n_s)}(f_{\text{CUT}}) &= \frac{16}{27} \Gamma^{(n_s)}(f_{\text{CUT}}) \\ &\cdot G_{\text{WDM},m_{\text{ch}}}^{(n_s)} G_{\text{WDM},k_{\text{ch}}}^{(n_s)} G_{\text{WDM},n_{\text{ch}}}^{(n_s)} \left(\gamma_x^{(n_s)} \right)^2 \\ &\int_{f_{k_{\text{ch}}}^{(n_s)} - f_{\text{CUT}} - B_{k_{\text{ch}}}^{(n_s)}/2}^{f_{k_{\text{ch}}}^{(n_s)} - f_{\text{CUT}} + B_{k_{\text{ch}}}^{(n_s)}/2} \int_{f_{m_{\text{ch}}}^{(n_s)} - f_{\text{CUT}} - B_{m_{\text{ch}}}^{(n_s)}/2}^{f_{m_{\text{ch}}}^{(n_s)} - f_{\text{CUT}} + B_{m_{\text{ch}}}^{(n_s)}/2} \\ &\left| \rho^{(n_s)}(f'_1 + f_{\text{CUT}}, f'_2 + f_{\text{CUT}}, f_{\text{CUT}}) \right|^2 df'_1 df'_2 \end{aligned} \quad (\text{A.18})$$

with the integrand function:

$$\begin{aligned} \left| \rho^{(n_s)}(f'_1 + f_{\text{CUT}}, f'_2 + f_{\text{CUT}}, f_{\text{CUT}}) \right|^2 \\ \approx e^{-2\int_0^{L_s} \alpha_T^{(n_s)}(f_{\text{CUT}}, z) dz} \\ \cdot \left| \int_0^{L_s} e^{j4\pi^2 f'_1 f'_2 \beta_{2,\text{eff},x}^{(n_s)} z} \cdot e^{-\int_0^z \alpha_T^{(n_s)}(f_{m_{\text{ch}}}^{(n_s)}, z') dz'} \right. \\ \cdot e^{-\int_0^z \alpha_T^{(n_s)}(f_{k_{\text{ch}}}^{(n_s)}, z') dz'} \cdot e^{\int_0^z \alpha_T^{(n_s)}(f_{\text{CUT}}, z') dz'} \\ \left. \cdot e^{-\int_0^z \alpha_T^{(n_s)}(f_{m_{\text{ch}}}^{(n_s)} + f_{k_{\text{ch}}}^{(n_s)} - f_{\text{CUT}}, z') dz'} \right|^2 \end{aligned} \quad (\text{A.19})$$

3) *Spatial power-profile formalism*: We now define the 'normalized Spatial Power-Profile', or SPP, of the i_{ch} -th channel along the n_s -th span as:

$$p_{i_{\text{ch}}}^{(n_s)}(z) = \frac{P_{i_{\text{ch}}}^{(n_s)}(z)}{P_{i_{\text{ch}}}^{(n_s)}(0)} \quad (\text{A.20})$$

Note that $p_{i_{\text{ch}}}^{(n_s)}(0) = 1$. We then point out that such SPP can be expressed in terms of the generalized loss/gain coefficient α_T as follows:

$$p_{i_{\text{ch}}}^{(n_s)}(z) = e^{-2\int_0^z \alpha_T^{(n_s)}(f_{i_{\text{ch}}}^{(n_s)}, z') dz'} \quad (\text{A.21})$$

Using Eq. (A.21) in Eq. (A.19), we get:

$$\begin{aligned} \left| \rho^{(n_s)}(f'_1 + f_{\text{CUT}}, f'_2 + f_{\text{CUT}}, f_{\text{CUT}}) \right|^2 &\approx p_{\text{CUT}}^{(n_s)} \left(L_s^{(n_s)} \right) \\ &\cdot \left| \int_0^{L_s} \sqrt{\frac{p_{m_{\text{ch}}}^{(n_s)}(z) p_{k_{\text{ch}}}^{(n_s)}(z) p_{n_{\text{ch}}}^{(n_s)}(z)}{p_{\text{CUT}}^{(n_s)}(z)}} e^{j4\pi^2 f'_1 f'_2 \beta_{2,\text{eff},x}^{(n_s)} z} dz \right|^2 \end{aligned} \quad (\text{A.22})$$

Taking it back to Eq. (A.18), we obtain Eq. (10).

APPENDIX B DERIVATION OF XCI KERNEL

We start from Eq. (22), which is simplified by defining:

$$\begin{aligned} f_{1,min} &= f_{n_{ch}}^{(n_s)} - f_{\text{CUT}} - B_{n_{ch}}^{(n_s)}/2 \\ f_{1,max} &= f_{n_{ch}}^{(n_s)} - f_{\text{CUT}} + B_{n_{ch}}^{(n_s)}/2 \\ L &= L_s^{(n_s)}; \quad p(z) = p_{n_{ch}}^{(n_s)}(z); \\ \beta_2 &= \beta_{2,\text{eff},n_{ch}}^{(n_s)} \end{aligned}$$

This yields:

$$K_{\text{XCI}} = \int_{-B_{\text{CUT}}/2}^{B_{\text{CUT}}/2} \int_{f_{1,min}}^{f_{1,max}} \left| \int_0^L p(z) e^{j4\pi^2 f_1 f_2 \beta_2 z} dz \right|^2 df_1 df_2 \quad (\text{B.1})$$

Note that when $f_{n_{ch}}^{(n_s)} > f_{\text{CUT}}$, $f_{1,min} > 0$, whereas when $f_{n_{ch}}^{(n_s)} < f_{\text{CUT}}$, $f_{1,max} < 0$, since the WDM channels do not overlap. Next, we expand the squared magnitude, and reorder the integrals:

$$\begin{aligned} K_{\text{XCI}} &= \int_0^L \int_0^L p(z_1) p(z_2) \\ &\left(\int_{f_{1,min}}^{f_{1,max}} \int_{-B_{\text{CUT}}/2}^{B_{\text{CUT}}/2} e^{j4\pi^2 f_1 f_2 \beta_2 (z_1 - z_2)} df_2 df_1 \right) dz_1 dz_2 \end{aligned} \quad (\text{B.2})$$

We then extend the finite integration over f_2 by an infinite interval, and obtain:

$$\begin{aligned} \int_{-B_{\text{CUT}}/2}^{B_{\text{CUT}}/2} e^{j4\pi^2 f_1 f_2 \beta_2 (z_1 - z_2)} df_2 &\approx \int_{-\infty}^{+\infty} e^{j4\pi^2 f_1 f_2 \beta_2 (z_1 - z_2)} df_2 \\ &= \frac{1}{2\pi\beta_2 |f_1|} \delta(z_1 - z_2) \end{aligned} \quad (\text{B.3})$$

Substituting Eq. (B.3) and Eq. (23) into Eq. (B.2) results in:

$$\begin{aligned} K_{\text{XCI}} &\approx \frac{1}{2\pi |\beta_2|} \left(\int_{f_{1,min}}^{f_{1,max}} \frac{1}{|f_1|} df_1 \right) \\ &\sum_{n=0}^{N_p} \sum_{k=0}^{N_p} p_n p_k \int_0^L \int_0^L z_1^n z_2^k \delta(z_1 - z_2) dz_1 dz_2 \end{aligned} \quad (\text{B.4})$$

where $p_n = p_{n,n_{ch}}^{(n_s)}$. The remaining integrals can be computed by:

$$\begin{aligned} \int_{f_{1,min}}^{f_{1,max}} \frac{1}{|f_1|} df_1 &= \left| \log \left(\frac{f_{1,max}}{f_{1,min}} \right) \right| \\ \int_0^L \int_0^L z_1^n z_2^k \delta(z_1 - z_2) dz_1 dz_2 &= \int_0^L z_1^{(n+k)} dz_1 \\ &= \frac{L^{n+k+1}}{n+k+1} \end{aligned} \quad (\text{B.5})$$

Hence:

$$K_{\text{XCI}} \approx \frac{1}{2\pi |\beta_2|} \left| \log \left(\frac{f_{1,max}}{f_{1,min}} \right) \right| \sum_{n=0}^{N_p} \sum_{k=0}^{N_p} p_n p_k \frac{L^{n+k+1}}{n+k+1} \quad (\text{B.6})$$

Which corresponds to Eq. (24) after applying the symmetry between n and k .

APPENDIX C SCI KERNEL WITH LOWER N_p

We show as examples the individual formulas for $N_p = 0, 1, 2, 3$ in Eq. (C.1). Note that these formulas could be optimized for computational efficiency, such as by appropriate factorization. Different strategies are available to do so, but we will not investigate them here. Also notice the presence of the hypergeometric function ${}_2F_3$ in the formulas. Computationally, it is not a problem since a *single evaluation* of it is needed for each island, independently of the value of N_p . Also, it is implemented in Matlab, which typically takes less than 1 ms to compute it on a conventional PC.

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$$B = B_{\text{CUT}}, \quad L = L_s^{(n_s)}, \quad p_n = p_{n,\text{CUT}}^{(n_s)}, \quad b_2 = \beta_{2,\text{eff,CUT}}^{(n_s)}, \quad x = \pi^2 b_2 B^2 L, \quad S = \sin(x),$$

$$C = \cos(x), \quad \text{SI} = \text{SinIntegral}(x), \quad \text{H} = {}_2F_3 \left(\left\{ \frac{1}{2}, \frac{1}{2} \right\}, \left\{ \frac{3}{2}, \frac{3}{2}, \frac{3}{2} \right\}, -\frac{1}{4}[x]^2 \right)$$

$$K_{\text{SCI}}^{(n_s)} \Big|_{N_p=0} = 2B^2 L^2 p_0^2 \text{H} + \frac{2p_0^2(1-C)}{\pi^4 b_2^2 B^2} - \frac{2Lp_0^2 \text{SI}}{\pi^2 b_2}$$

$$K_{\text{SCI}}^{(n_s)} \Big|_{N_p=1} = 1/(9\pi^8 b_2^4 B^6) \left[2p_1^2 + 9\pi^4 b_2^2 B^4 (2p_0^2 + 2Lp_0 p_1 + L^2 p_1^2) \right. \\ \left. - 2(p_1^2 + \pi^4 b_2^2 B^4 (9p_0^2 + 9Lp_0 p_1 + 4L^2 p_1^2))C + 6\pi^8 b_2^4 B^8 L^2 (3p_0^2 + 3Lp_0 p_1 + L^2 p_1^2) \text{H} \right. \\ \left. - 2\pi^2 b_2 B^2 L p_1^2 S - 2\pi^6 b_2^3 B^6 L (9p_0^2 + 9Lp_0 p_1 + 4L^2 p_1^2) \text{SI} \right]$$

$$K_{\text{SCI}}^{(n_s)} \Big|_{N_p=2} = 1/(450\pi^{12} b_2^6 B^{10}) \left[144p_2^2 + 100\pi^4 b_2^2 B^4 (p_1^2 - 4p_0 p_2) + 450\pi^8 b_2^4 B^8 (2p_0^2 + 2Lp_0 p_1 \right. \\ \left. + L^2 p_1^2 + 2L^2 p_0 p_2 + 2L^3 p_1 p_2 + L^4 p_2^2) + \left(-144p_2^2 - 4\pi^4 b_2^2 B^4 (25p_1^2 - 100p_0 p_2 - 18L^2 p_2^2) \right. \right. \\ \left. \left. - \pi^8 b_2^4 B^8 (900p_0^2 + 900Lp_0 p_1 + 400L^2 p_1^2 + 650L^2 p_0 p_2 + 675L^3 p_1 p_2 + 306L^4 p_2^2) \right) C \right. \\ \left. + 30\pi^{12} b_2^6 B^{12} L^2 (30p_0^2 + 30Lp_0 p_1 + 10L^2 (p_1^2 + 2p_0 p_2) + 15L^3 p_1 p_2 + 6L^4 p_2^2) \text{H} \right. \\ \left. + \pi^2 b_2 B^2 L \left(-144p_2^2 - \pi^4 b_2^2 B^4 (100p_1^2 + 50p_0 p_2 + 225Lp_1 p_2 + 126L^2 p_2^2) \right) S \right. \\ \left. + \pi^{10} b_2^5 B^{10} L (-900p_0^2 - 900Lp_0 p_1 - 400L^2 p_1^2 - 650L^2 p_0 p_2 - 675L^3 p_1 p_2 - 306L^4 p_2^2) \text{SI} \right] \quad (\text{C.1})$$

$$K_{\text{SCI}}^{(n_s)} \Big|_{N_p=3} = 1/(22050\pi^{16} b_2^8 B^{14}) \left[32400p_3^2 + 7056\pi^4 b_2^2 B^4 (p_2^2 - 3p_1 p_3) + 2450\pi^8 b_2^4 B^8 (2p_1^2 \right. \\ \left. - 8p_0 p_2 - 12Lp_0 p_3 - 6L^2 p_1 p_3 - 4L^3 p_2 p_3 - 3L^4 p_3^2) + 22050\pi^{12} b_2^6 B^{12} (2p_0^2 + 2Lp_0 p_1 \right. \\ \left. + L^2 p_1^2 + 2L^2 p_0 p_2 + 2L^3 p_1 p_2 + L^4 p_2^2 + 2L^3 p_0 p_3 + 2L^4 p_1 p_3 + 2L^5 p_2 p_3 + L^6 p_3^2) \right. \\ \left. + \left(-32400p_3^2 + 24\pi^4 b_2^2 B^4 (-294p_2^2 + 883p_1 p_3 + 675L^2 p_3^2) + 4\pi^8 b_2^4 B^8 (-1225p_1^2 \right. \right. \\ \left. \left. + 4900p_0 p_2 + 882L^2 p_2^2 + 7335Lp_0 p_3 + 1029L^2 p_1 p_3 + 2450L^3 p_2 p_3 + 1500L^4 p_3^2) \right. \right. \\ \left. \left. + \pi^{12} b_2^6 B^{12} (-44100p_0^2 - 44100Lp_0 p_1 - 19600L^2 p_1^2 - 31875L^2 p_0 p_2 - 33000L^3 p_1 p_2 \right. \right. \\ \left. \left. - 15024L^4 p_2^2 - 25725L^3 p_0 p_3 - 28524L^4 p_1 p_3 - 26952L^5 p_2 p_3 - 12444L^6 p_3^2) \right) C \right. \\ \left. + 210\pi^{16} b_2^8 B^{16} L^2 (210p_0^2 + 210Lp_0 p_1 + 70L^2 p_1^2 + 140L^2 p_0 p_2 + 105L^3 p_1 p_2 + 42L^4 p_2^2 \right. \\ \left. + 105L^3 p_0 p_3 + 84L^4 p_1 p_3 + 70L^5 p_2 p_3 + 30L^6 p_3^2) \text{H} + \left(-32400\pi^2 b_2 B^2 L p_3^2 \right. \right. \\ \left. \left. + 24\pi^6 b_2^3 B^6 L (-294p_2^2 + 883p_1 p_3 + 225L^2 p_3^2) + \pi^{10} b_2^5 B^{10} L (-4900p_1^2 - 2450p_0 p_2 \right. \right. \\ \left. \left. - 11025Lp_1 p_2 - 6174L^2 p_2^2 - 3675Lp_0 p_3 - 10884L^2 p_1 p_3 - 12252L^3 p_2 p_3 - 6156L^4 p_3^2) S \right. \right. \\ \left. \left. + 3\pi^{14} b_2^7 B^{14} L \left(-14696p_0^2 - 14696Lp_0 p_1 - L^2 (6536p_1^2 + 10620p_0 p_2) - L^3 (11020p_1 p_2 \right. \right. \right. \\ \left. \left. \left. + 8575p_0 p_3) - L^4 (5004p_2^2 + 9508p_1 p_3) - 8984L^5 p_2 p_3 - 4148L^6 p_3^2 \right) \text{SI} \right] \right]$$

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