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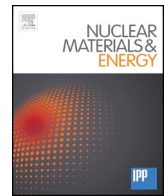
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## Improved ERO modelling of beryllium erosion at ITER upper first wall panel using JET-ILW and PISCES-B experience

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### ABSTRACT

ERO is a 3D Monte-Carlo impurity transport and plasma-surface interaction code. In 2011 it was applied for the ITER first wall (FW) life time predictions [1] (critical blanket module BM11). After that the same code was significantly improved during its application to existing fusion-relevant plasma devices: the tokamak JET equipped with an ITER-like wall and linear plasma device PISCES-B. This has allowed testing the sputtering data for beryllium (Be) and showing that the “ERO-min” fit based on the large (50%) deuterium (D) surface content is well suitable for plasma-wetted areas (D plasma). The improved procedure for calculating of the effective sputtering yields for each location along the plasma-facing surface using the recently developed semi-analytical sheath approach was validated. The re-evaluation of the effective yields for BM11 following the similar revisit of the JET data has indicated significant increase of erosion and motivated the current re-visit of ERO simulations.

### 1. Introduction

The life time of ITER beryllium (Be) first wall (FW) plasma-facing components (PFC) as well as other issues impacting the availability of the fusion device (e.g. tritium (T) retention due to co-deposition with Be and Be-induced sputtering of tungsten divertor PFCs) are strongly dependent on the Be erosion and transport in the boundary plasma [2,3]. In recent years, a number of studies at JET [4,5] equipped with the ITER-like wall (ILW) and on the PISCES-B linear plasma device [6,7] have helped to improve the data for physical sputtering (PhSp) [8] and demonstrate the significance of the chemically-assisted physical sputtering (CAPS) [9]. These PhSp data are used in the ERO 3D Monte-Carlo plasma-surface interaction (PSI) and impurity transport code, which has been widely deployed for Be erosion studies, including modelling of the PSI on a single FW panel “blanket module 11” (BM11) in the vicinity of the secondary X-point region in ITER [1]. It was shown that net erosion is nearly proportional to the effective sputtering yields assumed. However, other effects like distribution of the plasma parameters along the PFC surface, magnetic shadowing, local angle of magnetic field with the surface and local transport and re-deposition of Be are also of importance. They determine the point, where net erosion

is at its maximum, and the balance between gross erosion and deposition at this (as well as any other) location.

The significance of the following effects has been demonstrated by ERO application to the existing devices. JET-ILW applications have shown the importance of angular and energy distributions of sputtering ions  $D^+$ ,  $Be^{n+}$  determined to a large extent by the acceleration in the magnetic surface sheath. The angular distribution is especially important at grazing angles of the B-field to the PFC surface, treated now using a new semi-analytic approach [10] which leads to a significant correction (increase up to factor 2 at certain BM locations) of the effective sputtering yields used in earlier ERO predictive modelling for ITER [1]. From the other side, spectroscopic measurements interpreted with ERO indicate that, at least for the plasma-wetted areas, the more optimistic ITER life time predications [1] based on the “ERO-min” sputtering assumptions should be used (Section 2), which are based on the large (50%) D content in the surface interaction layer. This fit is  $\sim 4$  times lower than the binary-collision approximation (BCA) [8] produced by the SDTrimSP code for the pure Be surface.

Application of ERO to PISCES-B has complemented the JET-ILW experience. Continuous plasma operation and straightforward geometry are advantageous for interpretive simulations. Normal incidence

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of the magnetic field to the Be sample surface in PISCES-B leads to very narrow angular distribution of the sputtering ions which can thus be neglected. Plasma ions fall almost normally to the sample surface leading to the angular factor  $\sim 1$  (see (1) in Section 3). From the other side, sputtering by the molecular ions  $D_2^+$ ,  $D_3^+$ , the role of various Be-D molecules (e.g. BeD, BeD<sup>+</sup>, Be<sub>2</sub>D<sub>2</sub>, Be<sub>3</sub>D<sub>3</sub>), elastic collisions with neutral gas, etc. lead to additional uncertainties, which can partially be irrelevant for tokamaks. The most recent ERO application to PISCES-B [12] was to the He plasma case, which has also confirmed that Be sputtering yields at normal incidence that are lower than those predicted by BCA ( $\sim 2$ –4 times). It is worth noting that detailed spectroscopic measurements at PISCES-B have assisted in validation of the respective part in ERO and interpretation of the JET-ILW observations, but this is out of the topic of the current study.

The focus of the present paper is the revisit of the earlier ITER simulations [1] using the improved understanding and updated effective yields (Section 3). Section 4 presents the main results, discusses the erosion/deposition balance along the BM11 surface and model limitations. Section 2 contains a concise description of the current status of the sputtering data used, including the role of CAPS in the view of insight brought by the recent simulations [11]. For the revisit discussed in this paper, we utilize the very same general version of the ERO code, despite recent development of the more advanced, however essentially different ERO2.0 [12]. The aim of the current work is to check the interplay of the erosion-deposition balance using the new effective yields, however keeping the simulation case similar to the earlier one without the impact of improved plasma parameter extrapolation, detailed wall geometry and refined shadowing, which would confuse the comparison.

## 2. Basic sputtering data used in the simulations

The physical sputtering yield dependence on the angle of the sputtering species at which they fall to the surface can be factorized [8] as an “angular part” (see (1) in Section 3). The normal incidence part of the yield (Fig. 1, left) is often at the focus of the data validation efforts at various devices. However, due to large scattering of the experimental data, which are partially explainable by the difficulty of measurement interpretation (angular part is often neglected despite its significance), ERO utilizes the fits [13] based on the combination of the BCA and molecular dynamics (MD) simulated points which are of particular significance at the lower impact energies close to the sputtering threshold. The “ERO-max” fit is based on simulations for pure Be

surface (it is close to earlier BCA simulations [8]) and for the “ERO-min” 50% D content is assumed [13]. The latter was proved to be well suitable for the plasma-wetted areas by ERO interpretive simulations for JET-ILW and PISCES-B. A large D content is also observed by the *post mortem* analysis of Be samples exposed to D plasma [14], in particular for Be-deposits (up to 70%); due to outgassing after the plasma exposure an actual D surface content could have been even larger. The concentrations up to 40% just due to the implantation are reported as well. “ERO-min” is also well in line (Fig. 1) with the most recent sophisticated MD simulations coupled with the object kinetic Monte-Carlo (OKMC), which allows treatment of additional processes like outgassing [11]. In all simulations discussed in the present paper we use the “ERO-min” fit.

MD-OKMC simulations [11] are very demanding on the CPU power. This constrains the number of ion impacts with the surface simulated and leads to large statistical errors shown in Fig. 1, right. The MD-OKMC yields have a maximum at  $E_{in} \sim 100$  eV though BCA yields reach maximum at larger impact energies. MD simulations allow following the Be that was sputtered as molecules (mostly BeD according to MD-OKMC [11]) and separate the pure physical sputtering (PhSp) from the chemically assisted one (CAPS), whereas BCA does not include most of the chemical effects.

To get a feeling about the surface temperature  $T_{surf}$  effect on the PhSp to CAPS relation, the MD-OKMC points relevant for the parameter range characteristic for JET-ILW experiment [9] (points at  $E_{in} < 40$  eV and  $T_{surf} < 400$  K are excluded) were used to produce a linear fit for the PhSp fraction in the total yield:  $PhSp/(PhSp + CAPS)$  (Fig. 2, left). The CAPS suppression at  $T_{surf} = 820$  K was ensured by the fitting procedure. Surprisingly, such simple approach allows reproducing the decay of CAPS observed in [9] by shape and  $T_{surf}$  scale (Fig. 2, right). The absolute CAPS fraction at low temperatures is about  $\sim 30\%$  of the total in comparison to  $\sim 50\%$  in the experiment. It should be noted that these are first steps aimed mostly to get a general insight about the role of CAPS. It is also of importance to mention that ITER is expected to operate with significantly colder first wall than JET excluding the PFC heating by plasma (all JET measurements are made for  $T_{surf}$  above 200 °C) which may increase the significance of CAPS for the total erosion of Be in ITER.

## 3. Revisit of ERO simulations for ITER

The new ERO simulations assume exactly the same geometry of the critical FW element BM11, plasma parameters, magnetic configuration

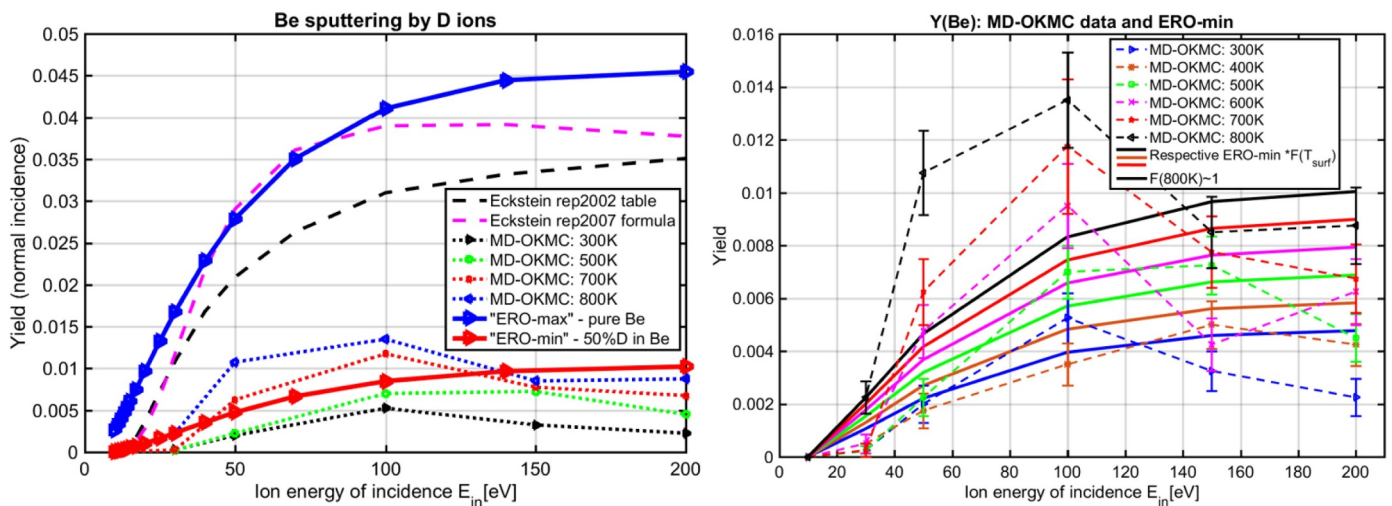


Fig 1. The normal incidence sputtering yields (left): systematically used by ERO for ITER, JET, PISCES-B “ERO-min” and “ERO-max” as well as various BCA (original simulated data tables 2002 and the same data fitted by the general formula in 2007 [8]) and MD [11] simulations. The right figure shows the MD-OKMC data [11] with statistical error bars together with the “ERO-min” fit scaled using the factor  $F(T_{surf})$ , coming from the linear fit shown in Fig. 2.

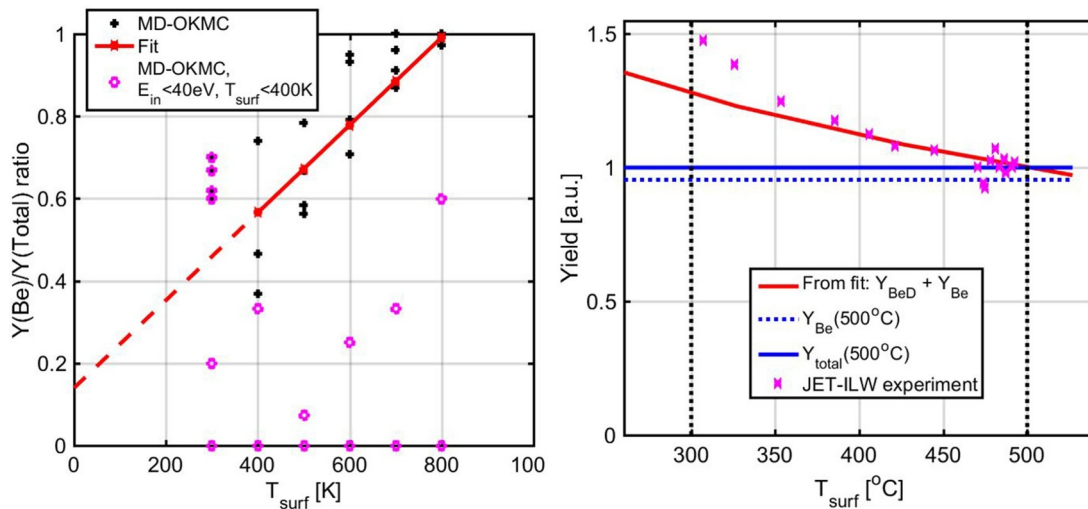


Fig 2. A linear fit for the Be yield to total yield ratio (left) for the MD-OKMC data [11]. The points at low  $T_e$  and  $E_{\text{in}}$  are excluded. The fit allows to reproduce the general behaviour (right) of the total erosion observed during JET-ILW experiment [9].

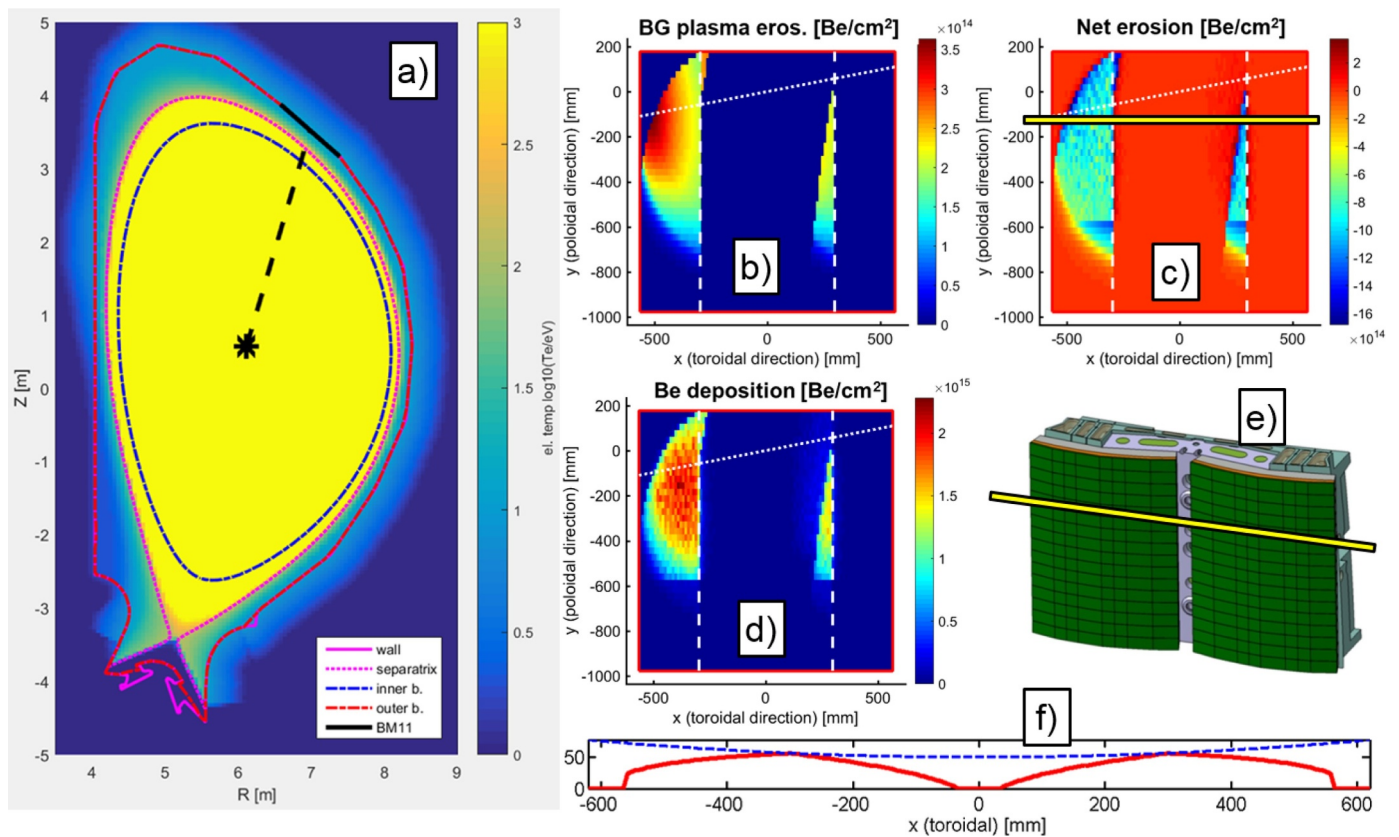


Fig 3. Illustration of the general geometry of the simulation case: a) The poloidal cross-section of ITER including the spatial distribution of the electron temperature, separatrix, the position of the BM11 and the first wall contour; b) ERO simulated distribution of erosion by the  $D^+$  background plasma ions (without the ERO traced particles); c, d) net erosion and deposition of Be along the BM11 plasma-facing surface; white dotted lines show the B-field direction; the revised simulations are similar in many aspects to the [1] results; e) BM11 in 3D; f) toroidal shape of BM11 and FLFS touching its ridges. Yellow bar at e) and c) shows the position of the toroidal profile depicted in the Figs. 6 and 7.

and shadowing pattern resulting from it as in [1]. The plasma configuration and parameters are for the baseline  $Q = 10$  ITER discharges [15]. Fig. 3 illustrates the poloidal position of the BM11 (1a) and its toroidal shape (Fig. 3e, f). 3b, c, d show the ERO simulated Be erosion and deposition along the BM11 surface. The main difference to the earlier (2011) simulations are the effective sputtering yields depicted in Fig. 5 and discussed below. The point of maximal erosion to the left from the left ridge of the BM11 has remained approximately at the same

location (Fig. 3c). The erosion near the right ridge has considerably increased and become comparable to the one on the left side. Still, the same toroidal profile as in [1] (marked in Fig. 3c, e) can be used to study the erosion/deposition balance.

It should be noted that the ERO simulations used in Fig. 3 are without Be impurity, though in some of our simulations an assumed concentration of Be in the background plasma represents the material migrating from other PFCs. This effect does not change the general



pattern, and respective simulations are discussed in Section 4. It is also worth noting that the ERO code has evolved since 2011 including, for instance, improved numeric test particle tracking just before a collision with the surface. The comparison with new results has revealed some minor artefacts in the old runs like overestimated deposition in the very boundary surface cells. Fortunately, none of these very local improvements have a significant impact on the net erosion or its distribution, thus this work is in good agreement with earlier results.

ERO tracks the impurity particles e.g. Be, using the plasma background as an input. However, the most significant part of the erosion is caused not just by the Be atoms or molecules starting from the PFC included into the simulation box, but rather by the D plasma impact and its intrinsic impurity content with a significant fraction of  $\text{Be}^{4+}$  coming from the core. The erosion by these background species ( $\text{D}^+$  and  $\text{Be}^{n+}$  with various concentrations assumed in ERO simulation runs) is treated based on the effective sputtering yields obtained by the averaging of the basic sputtering data (discussed in Section 2, “ERO-min”) with the appropriate distributions of the ion energies  $E_{in}$  and angles  $\alpha$  on impact:

$$Y^{eff}(\eta, T_e) = \langle Y(E_{in}, \alpha) \rangle = \langle Y(0, \alpha) * A(E_{in}, \alpha) \rangle, \quad (1)$$

where  $\eta$  is the local surface angle with magnetic field  $B$ ,  $T_e$  is the local electron temperature at the sheath entrance and  $A(E_{in}, \alpha)$  – the angular part of the sputtering yield. These distribution come from the consideration of the model situation depicted in Fig. 4 for each BM11 location. In general, more parameters can affect  $Y^{eff}$ , for instance plasma density or deliberate surface biasing like at PISCES-B, however for the considered case it is just a 2D function. The yield angular part in (1) is discussed in [4]; it comes from the BCA simulations [8] and its discussion is out of the scope of the present paper.

In 2011 the dedicated ERO preliminary runs for  $\text{D}^+$  and Be ions were utilized to obtain the  $(E_{in}, \alpha)$  distributions. However, later on this approach was substituted by the semi-analytical approach [10], which uses CPU power more efficiently and free of numerical issues due to strong sheath E-field gradients. The new effective sputtering yields (Fig. 5), which have motivated the revisit, were obtained in the frame of the very same procedure, which was applied earlier for JET and PISCES-B (only energy distribution of sputtering ions matters for the latter) ERO simulations. The main difference is for  $Y_{Be-D}^{eff}$  (Fig. 5, left) reaching a factor  $\sim 2$  for large inclinations of the PFC surface with the B-field, thus at toroidal boundaries of BM11 ( $B$  is nearly parallel to the surface at the ridges). Larger inclination also leads to a higher plasma ion flux determining the gross erosion maximum location (Fig. 3b).

#### 4. Results and discussion

Fig. 6 shows the net erosion toroidal profiles (Fig. 3c) extracted from the ERO simulations using  $Y^{eff}$  based on the “ERO-min” fit. The  $Y(E_{in}, \alpha)$  are averaged by distributions of  $E_{in}, \alpha$  obtained using the semi-analytical approach for the trajectories in the surface sheath. The role of

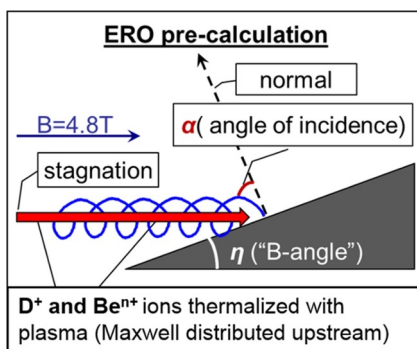


Fig 4. Model situation considered for generation of the energy  $E_{in}$  and angle  $\alpha$  distributions on the ion impact with the surface inclined by the angle  $\eta$  with the B-field ( $\sim 4.8 \text{ T}$  at ITER B11 location).

CAPS (Section 3) is neglected. The role of self-sputtering is treated based on the assumed concentration of  $\text{Be}^{3+}$  (1% or 2%) or  $\text{Be}^{4+}$  (1%) ions in the plasma flux to the surface. The thick blue curve reproduces the 2011 ERO predictions [1] (both assuming zero Be plasma impurity concentration) except for the insignificant artefacts at the boundary cells in the old run. The new 2018 yields lead to factor  $\sim 2$  increase of the net erosion at the point of its maximum near the left ridge. Remarkably, the re-visited erosion close to the right ridge is about the same value as near the left ridge, though in earlier runs it was significantly lower. Both absolute and qualitative changes in net erosion are mainly determined by the new  $Y^{eff}$ .

The Be plasma impurity in the range of a few percent leads to a decrease of the net erosion. Fig. 7 based on the same ERO runs as Fig. 6 is useful to understand the balance between the erosion and deposition. At larger Be impurity concentration the erosion is increased due to the larger yields for the self-sputtering compared to the lighter  $\text{D}^+$  ions. However, the  $\text{D}^+$  sputtering flux decreases according to the electro-neutrality condition:

$$n_e = 1 * n(\text{D}^+) + 3 * n(\text{Be}^{3+}) + 4 * n(\text{Be}^{4+}) + \dots \quad (2)$$

Note, that a single  $\text{Be}^{4+}$  ion is equivalent to 4  $\text{D}^+$  ions in the sputtering flux, however the self-sputtering yields are typically significantly larger. At the end due to the latter the gross erosion slightly increases with growing impurity content, however this increase of erosion is over-compensated by the increase of the deposition from the background plasma. The reflection of Be is negligible. The resulting net erosion, gross erosion and deposition profiles are different including the maxima position.

Obviously, the erosion of Be PFCs including BM11 is one of the main factors determining the Be concentration in the plasma. The self-consistent runs including the whole of ITER FW and its volume are possible only using the new ERO2.0 [12]. It takes into account the realistic 3D wall geometry based on the technical drawings. Also, it utilizes a more advanced approach for the extrapolation of the edge plasma profile below the wall contour determined by the poloidal BM ridges facing the plasma.

The CAPS discussed in Section 2 can further increase the Be PFC gross erosion up to 30%–50%, however this process strongly decreases at large  $T_{surf}$ . This process is clearly proportional to the  $\text{D}^+$  ion flux, thus, the places where CAPS will be most significant should also be relatively hot due to the heat loads, which may reduce the CAPS. Many parameters for CAPS are uncertain: the yields including the dependence on angles and energies of the sputtering ions, the type and initial angular distributions of released atoms or molecules. The erosion, increased due to CAPS contribution, may be partially compensated by the increased Be plasma concentration and thus, re-deposition. One can expect that CAPS will not have too dramatic impact on the ITER FW life time. On the other hand, the decay of BeD and other Be-containing molecules in the plasma will cause significantly different transport, which may have impact on Be migration and D (T) co-deposition. The reaction data for the  $\text{Be}_x\text{D}_y$  dissociation and ionization in plasma are scarce [16] and uncertain, only 3 reactions are implemented in ERO and validated [17] by application to PISCES-B.

Using the recent ERO experience and improved code infrastructure, it was shown that plasma parameters and shadowing patterns on the BM11 surfaces should be corrected. For instance, a significantly lower  $n_e$  will lead to a decrease of erosion. The more detailed 2D mapping of the plasma parameters has revealed that the  $T_e$  at sheath entrance will not be constant at  $T_e = 10 \text{ eV}$  along the BM11 surface as in the 2011 ERO runs and the current simulations, but may be  $\sim 3\text{--}5 \text{ eV}$  lower at certain locations. Fig. 5 contains the effective yields for  $T_e = 5 \text{ eV}$ , which can be significantly, up to factor  $\sim 2$ , lower than for  $10 \text{ eV}$ .

Sputtering by the CX plasma ions is a dominant effect in the shadowed areas [18,12]. Including this effect will also affect Be re-deposition.

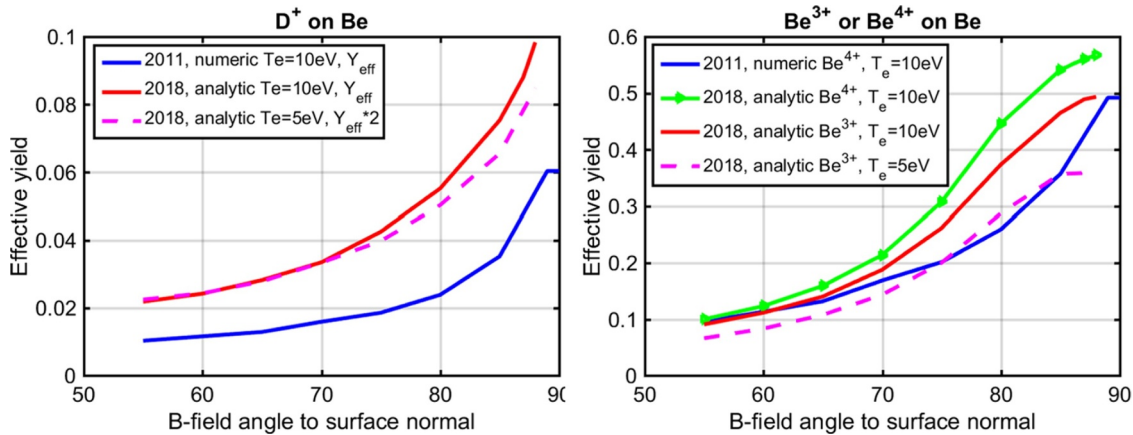


Fig 5. The effective sputtering yields for Be by D+ ions (left) and Be ions (right) simulated using the semi-analytical sheath approach [10] and pure numerical procedure used in 2011.  $T_e = 5\text{ eV}$  curve for D+ impact (left) is multiplied by 2 to simplify the shape comparison with the  $T_e = 10\text{ eV}$ .

### 5. Summary and conclusions

This work presents a revisit of the ERO code simulations [1] motivated by the update of the effective sputtering yields due to the semi-analytic approach for ion trajectories in the surface magnetic sheath [10]. It was also important to confirm that multiple technical and numerical improvements in the code, which were incorporated during the application to the existing plasma devices with Be (the JET-ILW tokamak and PISCES-B linear device), have not affected the earlier results.

The paper gives also a status of the currently available sputtering data, including the validated at JET and PISCES-B “ERO-min” fit and the most recent MD-OKMC simulations. The possible role of CAPS is discussed, including a fit allowing the JET-ILW experiment on the CAPS suppression by the surface temperature to be reproduced to a certain extent [9]. The CAPS data remains scarce and uncertain, moreover the uncertainties related to simulation and extrapolation of the background plasma parameters (ERO input) are even higher. Therefore, in the present revisited ERO simulations only physical sputtering is included.

The increased effective yields lead to the increase by factor  $\sim 2$  of the net erosion in relation to that predicted in 2011. As always, such predictions are dependent to zero order on the assumptions made for

the ITER background plasma under burning plasma conditions (ITER baseline  $Q = 10$  pulses). Measurements of far SOL plasma parameters on current devices are still sufficiently diverse that no firm extrapolation can be made with confidence for ITER, which is thus forced to make conservative assumptions based on worst case findings in present experiments. The uncertainties regarding the plasma operation scenario and predictive modelling may easily exceed the erosion yield change found in this most recent revisit of the Be first wall panel interaction. The erosion near the right ridge of BM11 in new ERO runs is now stronger than found earlier and comparable to that predicted near the left ridge. Beryllium plasma impurity leads predominantly to deposition, which decreases the net erosion, thus the simulations neglecting the Be plasma content give lower limit estimate for the life time of the FWP at the location of BM11.

It is not right, however, to see this work as a correction to the predictions in [1], because it considers an improvement for just one of several competing factors which may have an impact. In general:

- Self-consistent treatment of self-sputtering by Be impurity [12] (unlike an assumed concentration in the background plasma) will lead to more reliable estimates for Be impurity content and charge state as well as Be re-deposition.

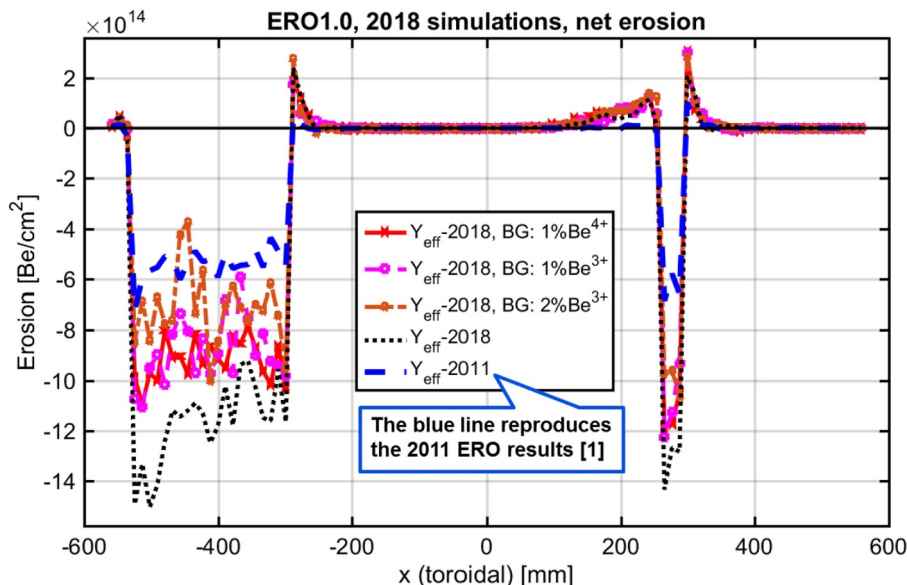


Fig 6. Re-visited ERO simulations of net erosion along the toroidal profile at the BM11 surface as marked in the Fig. 3c, e. The simulations vary by the effective yields assumed (the simulations assuming the 2011 ones reproduce the earlier ERO simulations [1]).

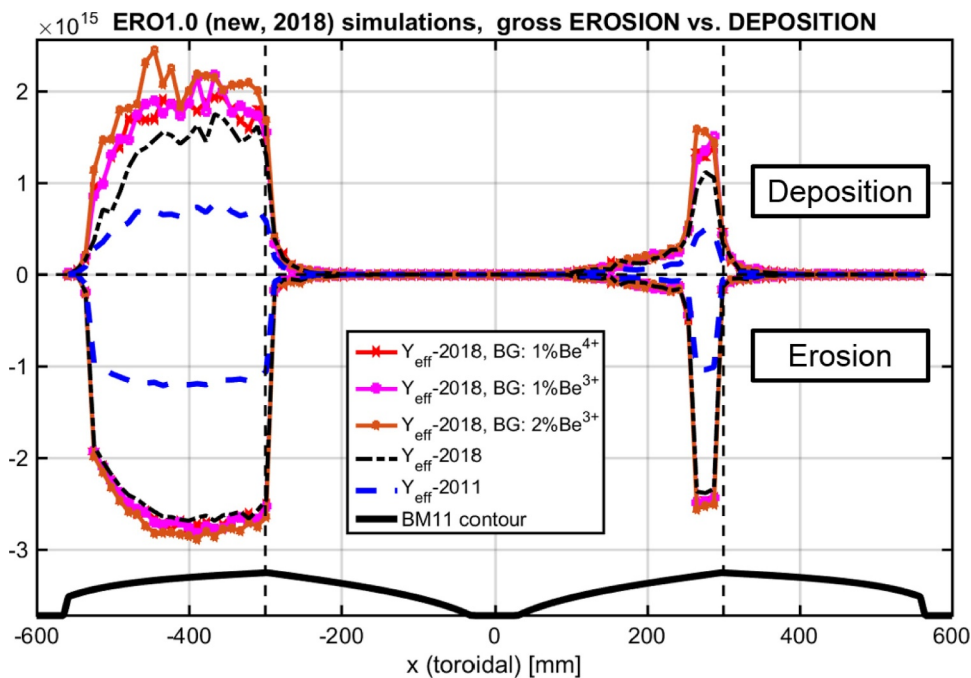


Fig 7. The same ERO runs as in Fig. 6 and similar profiles along the BM11 surface as marked in the Fig. 3c, e. Gross erosion and deposition are shown separately, the toroidal shape (“contour”) of the BM and position of its ridges are indicated. The figure shows clearly that the effect of intrinsic Be<sup>3+</sup> or Be<sup>4+</sup> impurity on gross erosion is much less significant than on the deposition.

- CAPS will contribute to the erosion, moreover it will lead to somewhat different transport of dissociating Be-D radicals. Deposition of molecular species can also be different from Be ions.
- More detailed extrapolation of the plasma parameters, refined shadowing patterns and inclusion of the erosion due to the CX ion flux are necessary.
- PFC surface morphology, e.g. roughness, can have a comparable effect of factor ~2–3 on the effective yields [19] For instance, significant surface morphology change under plasma irradiation was observed at PISCSES-B [20]. However, it should be noted, that *post mortem* analysis of the JET-ILW Be limiters has shown that plasmatwitted surfaces remain very smooth.

Therefore, this work prepares and motivates a more profound revisit of the ITER predictions based on global modelling, including the whole FW and vessel volume, using the recently available ERO2.0 code [12].

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#### Supplementary materials

Supplementary material associated with this article can be found, in the online version, at [doi:10.1016/j.nme.2019.03.016](https://doi.org/10.1016/j.nme.2019.03.016).

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