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Research Note

Progressive collapse of framed structures: Suggestions for robustness assessment

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Abstract. The term “progressive collapse” has been used to describe the spread of local failure in a manner analogous to a chain reaction that leads to partial or total collapse of a structure. Robustness is defined as a fundamental property of structural systems to prevent damage propagation and to mitigate the potential of progressive collapse. In this paper, the progressive collapse capacity of steel moment-resisting frames was first investigated using the alternative load path method, then suggestions are made for assessment of structural robustness, and the robustness of frames is quantified. According to the results, the robustness and progressive collapse potential of the frames varied significantly, depending on the location of the initial local failure and number of building stories.

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1. Introduction

The term “progressive collapse” has been used to describe the spread of an initial local failure in a manner analogous to a chain reaction that leads to partial or total collapse of a building. The underlying characteristic of progressive collapse is that the final state of failure is disproportionately greater than the initial failure [1]. Progressive collapse first attracted the attention of researchers from the partial failure of Ronan Point, a 22-story apartment in London, UK, in 1968. After the event of 11th September, 2001, more researchers around the world have refocused on the causes of progressive collapse. After such a disaster, concepts of progressive collapse and structural robustness have been reflected in new guidelines and codes [2,3]. Robustness is the ability of a structure to resist damage without premature or brittle failure, due to events like impact, blast, fire or consequences

of human error, because of its vigorous strength and toughness [2]. According to this definition, robustness is a structural property, defined as the insensitivity of a structure to local failure. Parameters such as ductility, redundancy, continuity and energy absorption have an influence on progressive collapse resistance and are listed as factors that influence the robustness of structures [4].

Among different approaches to analyzing and designing buildings against progressive collapse, the guidelines recommend the alternative load path method. In this method, the building is analyzed and designed, such that, if one structural element fails, alternative paths are available for the loads and, therefore, collapse does not occur. The alternative load path method is a threat-independent methodology. This method does not consider the type of triggering event, but, rather, considers the structural response after the initial local failure.

Most of the published progressive collapse analyses are based on the alternative load path method with sudden column removal, as recommended in previously mentioned guidelines [2,3]. In most of the published

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numerical studies of progressive collapse, commercial and open source nonlinear FEA packages are used, such as Abaqus [5-7], SAP2000 [8-10] and Opensees [11-13]. Most considerations are confined to 2D frames using a beam element. Detailed 3D numerical studies using a shell element are rare, due to the required computational time and the poor pre-processing ability of most general purpose FEA packages. An example of complete 3D finite element modeling by Ls-Dyna is provided in [14]. As mentioned above, all these papers are based on numerical study, but, in recent years, some parametric [15,16] or experimental [17-19] studies also have been presented in the literature.

The potential abnormal loads that can trigger progressive collapse are categorized as: aircraft impact, design error, construction error, fire, gas explosions, accidental overload, hazardous materials, vehicular collision, and bomb explosions, etc. [1]. As mentioned before, most of the published papers use a threat-independent methodology, but, in recent years, more research has been focused on progressive collapse due to certain triggering events, such as fire-induced progressive collapse [20-22], seismic progressive collapse [23-25], blast-induced progressive collapse [7,26,27] and impact-induced progressive collapse [28,29].

To date, there is no uniform theory of robustness assessment. A large variety of different approaches for quantification of structural robustness has been suggested. These approaches include both deterministically defined and probabilistically defined. Most of them are based on assuming damage and either comparing the property of damaged and undamaged structures [30,31] or examining the response of a structure after initial local failure [32]. An exception to the mentioned approaches is presented in [33]. Starossek classified these approaches into three basic categories: Stiffness-based, damage-based and energy-based measures of robustness, and compared the applicability of these approaches to different structures and different types of progressive collapse [4].

In this paper, the progressive collapse capacity of steel moment resisting frames is first investigated using the alternate load path method. The structural responses of models under sudden loss of columns under different scenarios of column removal were studied. Since progressive collapse is inherently a nonlinear and dynamic event, nonlinear dynamic analysis is more desirable when investigating progressive collapse potential and the collapse mechanism of frames. Accordingly, in this study, the nonlinear dynamic method was used for progressive collapse analysis. The linear dynamic analysis method was used for comparison. Then, suggestions are made for assessment of the robustness of steel frames. Using these approaches, structural robustness is quantified, and the results are compared and contrasted.

2. Finite element model

In this study finite element analysis is performed using the general purpose finite element package, Abaqus/Explicit, version 6.10. An explicit method solves dynamic response problems using an explicit direct-integration procedure. In an implicit dynamic analysis, the integration operator matrix must be inverted and a set of nonlinear equilibrium equations must be solved at each time increment. On the other hand, in an explicit dynamic analysis, problems are solved incrementally, and displacements are calculated in terms of quantities that are known at the beginning of an increment. There is no need to form or invert stiffness matrices, which means that each increment is relatively inexpensive compared to the increments in an implicit integration. Therefore, the explicit method is very robust and great for highly nonlinear problems and short-term events, such as blast, impact and collapse [34].

2.1. Analytical model

The model structures are the 3, 5, and 10-story steel moment resisting frames, the floor height is 3.2 m and the span length is 5m, as shown in Figure 1. Box and I sections are used for columns and beams, respectively. More input data can be found in [7]. Connections between beams and columns are perfectly rigid, and the bottoms of the first story columns are fixed. In column removal analysis, beam to beam continuity is assumed to be maintained across a removed column, according to [2]. The structures were assumed to be located in a high seismic zone, and the steel moment frames were designed to carry gravity and seismic loads. The seismic design was performed using an equivalent static method, according to the Iranian Building Code [35].

In this study, the beam element in the Abaqus element library was used to model the beams and columns. The selection of the type of element to be used is based on the fact that the study considers the global response of the structures; therefore, beam theory is sufficient. All beam elements in Abaqus

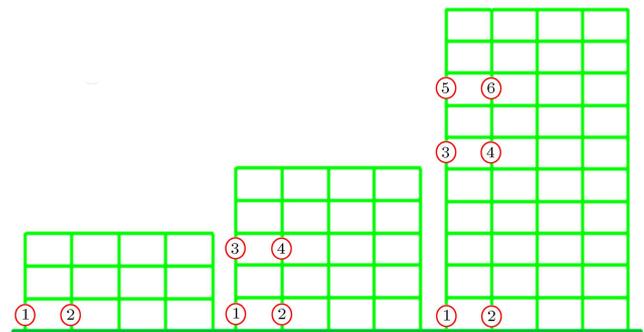


Figure 1. Elevation of model structure and column removal cases.

are beam-column elements, and means that they allow axial, bending, and torsional deformation [34]. However, torsion is not applicable to the in-plane behavior of the 2D frames. The beam properties are input by defining the cross-section from the Abaqus cross-section library. At each increment of the analysis, the stress over the cross-section of the elements is numerically integrated to define the beams response as the analysis proceeds [34]. The influence of mesh size has been studied, and it is sufficiently fine to ensure the accuracy of the model structure. The analyses were conducted with 5% mass proportional damping, which is common for analysis of structures subjected to extreme loads [36].

3D and slab effects were not involved in this study. As found by Qian and Li [37], 3D and slab effects are important in progressive collapse analysis, but, in this paper, these effects are ignored. Also, the speed of column removal will affect the dynamic response. Sudden column removal provides a larger structural response than gradual column removal [38,39]. These effects are not considered in this study and columns are removed suddenly.

2.2. Material property

The adopted material properties were: Young’s modulus, $E = 210 \text{ GPa}$, Poisson coefficient, $\nu = 0.3$, and density $\rho = 7850 \text{ kg/m}^3$. The static yield stress was $f_y = 240 \text{ MPa}$. The plastic property is shown in Figure 2. Abaqus provides the classical metal plasticity; the elastic part being defined by Young’s modulus and Poisson’s ratio. The plastic part is defined as the true stress and logarithmic plastic strain. During the analysis, Abaqus calculates the values of yield stress from the current values of plastic strain. It approximates the stress-strain behavior of the material with a series of straight lines joining the given data points to simulate the actual material behavior. The first piece of data given defines the initial yield stress of the material and, therefore, should have a plastic strain value of zero. In this study, bilinear curves were used. The material will behave as a linear elastic material up to the yield stress of the material. After this stage,

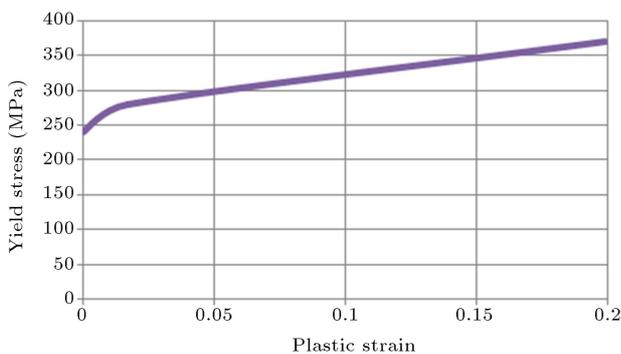


Figure 2. Plastic property.

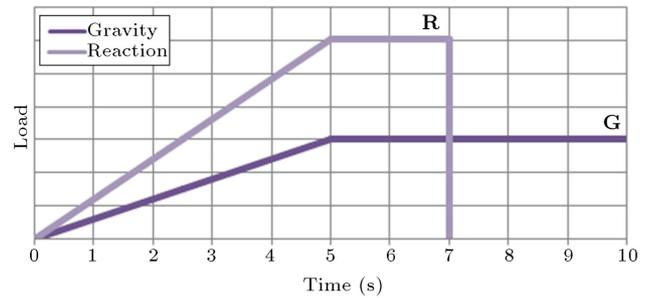


Figure 3. Applied loads for column removal analysis.

it goes into the strain hardening stage until reaching ultimate stress [34].

2.3. Applied loads for dynamic column removal analysis

For nonlinear dynamic analysis, load $DL+0.25LL$ was uniformly applied to the entire span of frame as a vertical load [2]. To carry out dynamic analysis, the axial force acting on a column is determined before its removal. Then, the column is removed and replaced by the concentrated load equivalent of its forces. To simulate the phenomenon of progressive collapse, the member forces are removed after a certain time elapses, as shown in Figure 3, where the variables, R , denote the reaction forces and G is the vertical gravity load. In this paper, the forces were increased linearly for five seconds until they reached their maximum amounts. Then, they were kept unchanged for two seconds until the structure reached a stable condition, and the concentrated forces were suddenly removed at seven seconds to simulate the dynamic effect caused by the sudden removal of the column [36]. More information about dynamic column removal is presented in [39]. Different cases for column removal are presented in Figure 1.

3. Results and discussion

Nonlinear dynamic analysis is performed using the general purpose finite element package, Abaqus/Explicit, version 6.10. In this paper, the words “displacement” and “response” are used to refer to the “vertical displacement of the column removal point”.

The nonlinear analysis method is more sophisticated than the linear method in characterizing the response of a structure under extreme loading conditions. When this method is used, the codes allow less restrictive acceptance criteria. In this paper, the nonlinear dynamic method was performed for progressive collapse analysis, and the linear dynamic analysis method was used for comparison.

3.1. Column removal analysis

Nonlinear dynamic time-history analyses were carried out by removing the selected column, as shown in

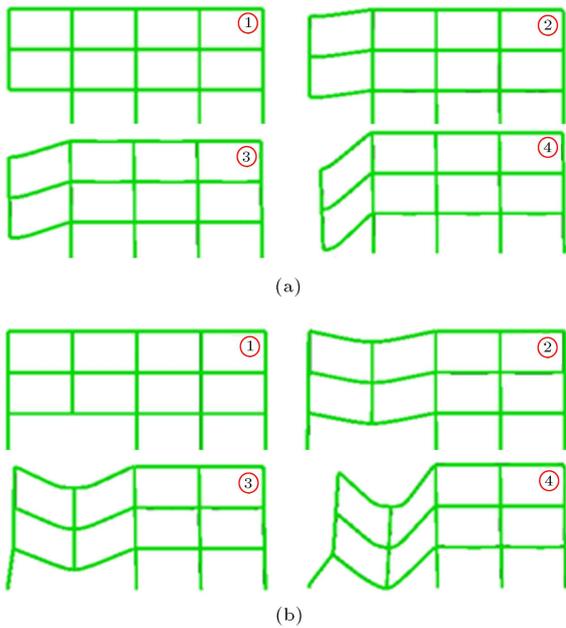


Figure 4. Collapse mode of 3-story frame: a) Corner column is removed; and b) second column is removed.

Figure 1. When the corner column in the first story of a 3-story structure was suddenly removed, the entire corner of the building collapsed, as shown in Figure 4(a). When the second column in the first story was suddenly removed, again, collapse occurred (see Figure 4(b)). Collapse modes are drastically dependent on the location of removed columns. This is related to the affected members of the structure after column removal. Figure 5 shows the vertical displacements of the model structures obtained from time-history analyses, when the first and second columns in the first story of a 3-story structure were removed.

In a 5-story structure, for case 1, when the corner column in the first story (as shown in Figure 1), was suddenly removed, the node on the top of the removed column vibrated and reached a peak vertical displacement of 98 mm in the nonlinear procedure and 70 mm in the linear procedure. For case 2, when the second column in the first story was suddenly

removed, the node on the top of the removed column vibrated and reached a peak vertical displacement of 59 mm in the nonlinear procedure and 51 mm in the linear procedure. From the comparison of case 1 and case 2, it can be seen that the building is more vulnerable to the removal of corner columns. The time history of the column removal point vertical displacement for the two mentioned cases is shown in Figure 6(a) and (b), respectively. It is obvious that maximum vertical displacements obtained by linear analysis are meaningfully smaller than those obtained by nonlinear analysis. It also can be observed that, in comparison with the linear analysis results, the results of nonlinear analysis vary significantly, depending on model parameters such as the location of the removed column and the number of building stories.

When a column at a higher story was removed, the vertical displacement of the column removal point significantly increased, because less structural members contributed to energy absorption after column removal. In this analysis, when the corner column in the third story of a 5-story structure was suddenly removed (case 3, 5-story structure), the node on the top of the removed column vibrated and reached a peak vertical displacement of 186 mm in the nonlinear procedure and 96mm in the linear procedure. For case 4, when the second column in the third story was suddenly removed, the node on the top of the removed column vibrated and reached a peak vertical displacement of 81mm in the nonlinear procedure and 64 mm in the linear procedure. This conclusion can be obtained for other higher stories; column removal at a higher level will induce larger vertical displacement than column removal in the first story. This conclusion is consistent with the findings presented in [6]. Displacements of the column removal point for cases 3 and 4 are shown in Figure 6(c) and (d), respectively.

It was also observed that as the number of stories increases, the displacement of the column removal point decreases, because more structural members participate in resisting collapse and, therefore, more load path is available. In a 10-story structure, for

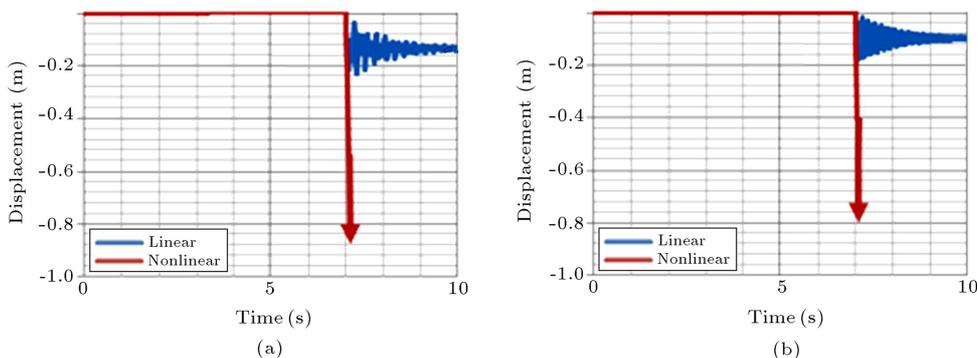


Figure 5. Displacement time history in a 3-story structure: a) Case 1; and b) case 2.

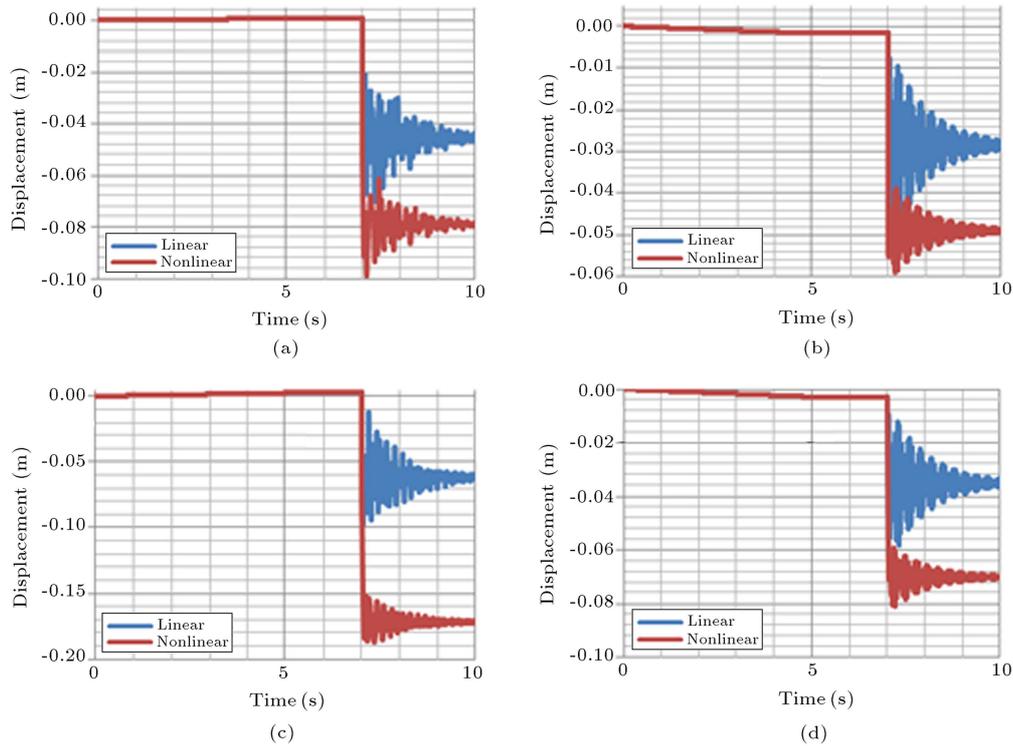


Figure 6. Displacement time history in a 5-story structure: a) Case 1; b) case 2; c) case 3; and d) case 4.

case 1, when the corner column in the first story (as shown in Figure 1), were suddenly removed, the node on the top of the removed column vibrated and reached a peak vertical displacement of 47 mm in the nonlinear procedure and 45 mm in the linear procedure. For case 2, when the second column in the first story was suddenly removed, the node on the top of the removed column vibrated and reached a peak vertical displacement of 32 mm in the nonlinear procedure and 31 mm in the linear procedure. It can be concluded that the progressive collapse potential decreased as the number of stories increased. The findings obtained are consistent with the findings presented in [36]. The time histories of the column removal point vertical displacement for two mentioned cases are shown in Figure 7(a) and (b), respectively. It is obvious that maximum vertical displacements obtained by linear analysis are smaller than those obtained by nonlinear analysis in all considered cases. But, it was also observed that the difference in results obtained by the two approaches decreased as the number of stories increased.

The overall results obtained in the previous structures are also true in the case of a 10-story structure. That means that column removal at a higher level will induce larger vertical displacement than column removal in the first story, and that the building is more vulnerable to the removal of corner columns. It can be concluded that, as long as an alternative load path is available in damaged structures, the above results will be true. The time histories of the column

removal vertical displacement of cases 3–6 are presented in Figure 7(c) to (f).

3.2. Robustness analysis

Robustness indicates the overall performance of the damaged structure after initial local failure. The progressive collapse of the building is more likely to occur for lack of structural robustness. Although the robustness of structures in abnormal events such as explosion and impact has become a worldwide research topic, there has been neither a uniform theory of structural robustness assessment nor a methodology for quantification of robustness in the progressive collapse scenario [4].

The usefulness of the measure of robustness is linked to certain requirements. The measure should quantify the structure's robustness with one single value. It should be possible to derive the measure from the property or response of the structure, and the input data must be quantifiable. The measure should be defined in as simple a manner as possible and applicable to any kind of structure, as far as possible [4].

In this section, three simple approaches are proposed for robustness assessment, and, using these approaches, structural robustness is quantified and results are compared. A simple measure of robustness from examining the stiffness of the structure is presented in Eq. (1):

$$R_s = \frac{K_d}{K_i}, \quad (1)$$

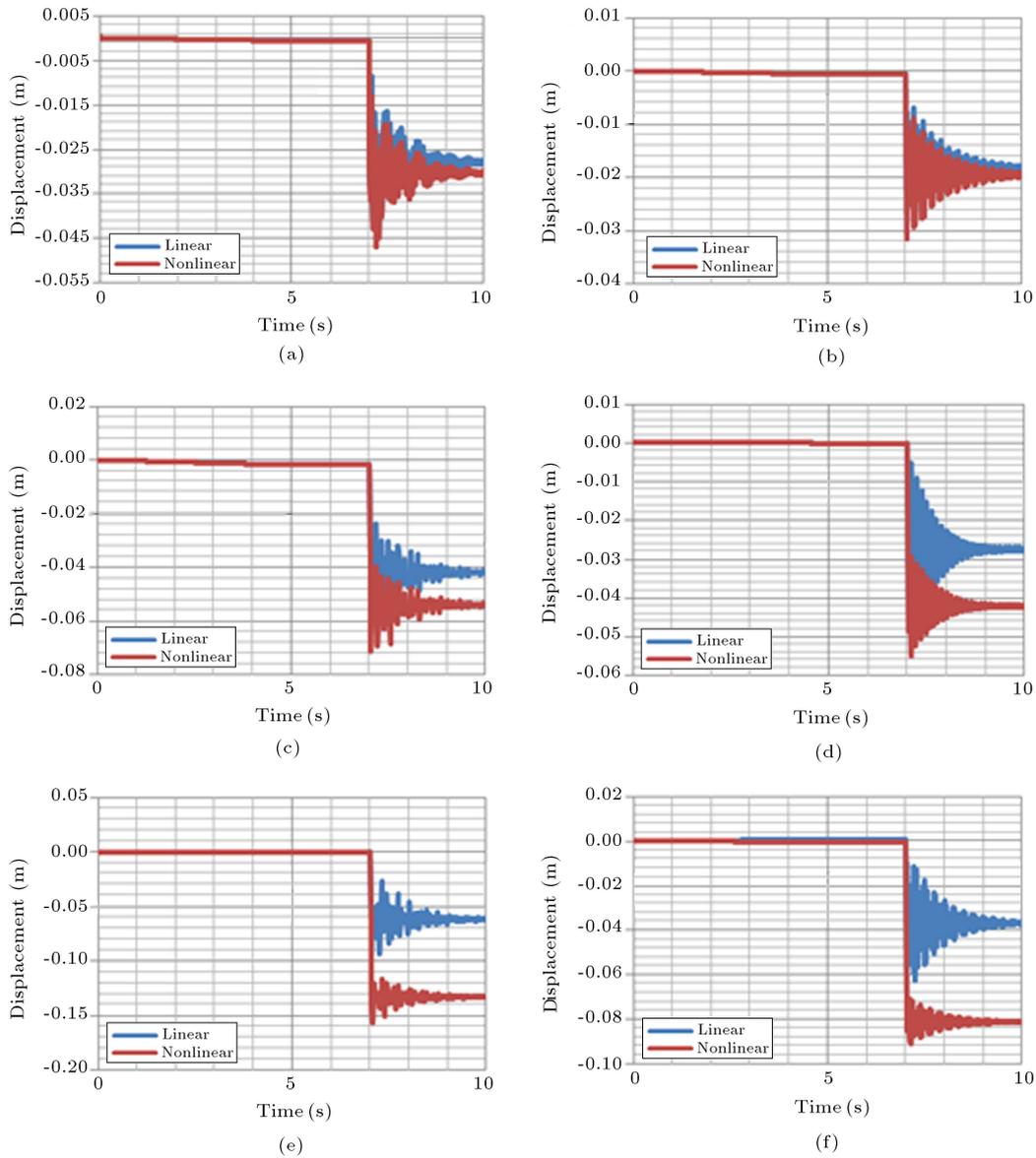


Figure 7. Displacement time history in a 10-story structure: a) Case 1; b) case 2; c) case 3; d) case 4; e) case 5; and f) case 6.

where R_s is the stiffness-based measure of robustness and K_d and K_i are the stiffness of the damaged and intact structure, respectively. In this paper, a simple frequency approach was used for stiffness assessment. Since, in the column removal scenario, the mass of the frames do not change considerably, changes in the frequency are due to changes in the stiffness of the frames. Using Eq. (1), the robustness value is automatically in the range of 0 and 1. In this formulation, the value of 1 represents a complete robust structure, while value 0, represents total lack of robustness.

Results show that the robustness value does not change meaningfully when different scenarios of column removal are considered. As discussed before, a 3-story structure collapsed in both column removal scenarios. But, as shown in Figure 8(a), the robustness value is

examined very highly using Eq. (1). Furthermore, the most vulnerable case in a 5-story structure is case 3, according to nonlinear dynamic column removal analysis. But, as shown in Figure 8(b), less structural robustness is obtained in case 1. It can be concluded that robustness indexes obtained by Eq. (1) do not correlate well with corresponding column removal scenarios, and, therefore, this method is not suitable for robustness assessment, at least in the current simple form.

As mentioned before, structural responses obtained by linear analysis are smaller than those obtained by nonlinear analysis in all considered cases, but, it was also observed that the difference in results obtained by the two approaches decreased as the number of stories increased. Using this idea, another simple

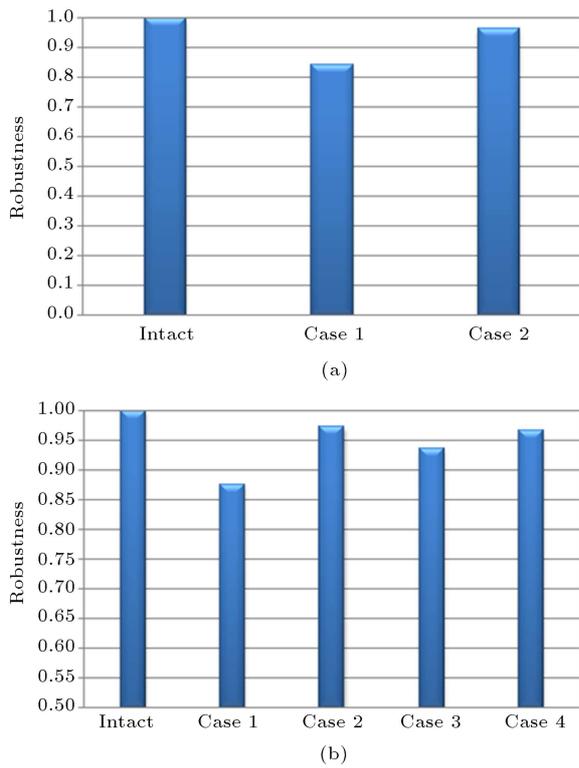


Figure 8. Stiffness-based measure of robustness: a) A 3-story structure; and b) a 5-story structure.

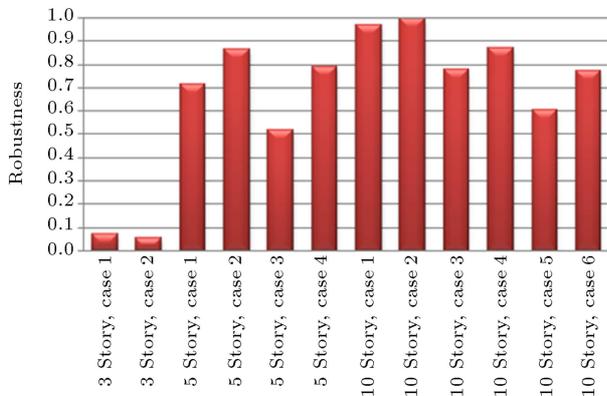


Figure 9. Robustness based on dynamic column removal results.

formulation is proposed for robustness assessment. As shown in Eq. (2), robustness is assumed to be the ratio of maximum structural response in linear dynamic analysis to maximum structural response in nonlinear dynamic analysis:

$$R_d = \frac{\max(d_{ld})}{\max(d_{dn})}, \quad (2)$$

where R_d is robustness based on dynamic analysis and d_{ld} and d_{dn} are structural responses in linear and nonlinear dynamic analyses, respectively. As shown in Figure 9, the robustness index obtained by this method does correlate well with the corresponding

column removal scenario. As expected, more structural robustness is obtained in case 2 of the 10-story structure, while less structural robustness is obtained in the 3-story structure.

As a third method for estimating structural robustness, the energy of the structure is considered and robustness is assumed to be the ratio of different energies in the column loss scenario, as presented in Eq. (3):

$$R_E = 1 - \frac{\max(E_p)}{\max(E_I)}, \quad (3)$$

where R_E is the energy-based assessment of robustness and E_p and E_I are plastic dissipation energy and internal energy in the column removal scenario, respectively. Internal energy was calculated using the following definition:

$$E_I = \int \left(\int \sigma d\varepsilon \right) dv. \quad (4)$$

Comparison of the total internal energy history and the total plastic dissipation history is shown in Figure 10 for two different cases.

This method focused on the amount of energy that is dissipated in a progressive collapse scenario. The ratio of this energy to total energy is a good index for robustness assessment. As shown in Figure 11, the obtained results for structural robustness

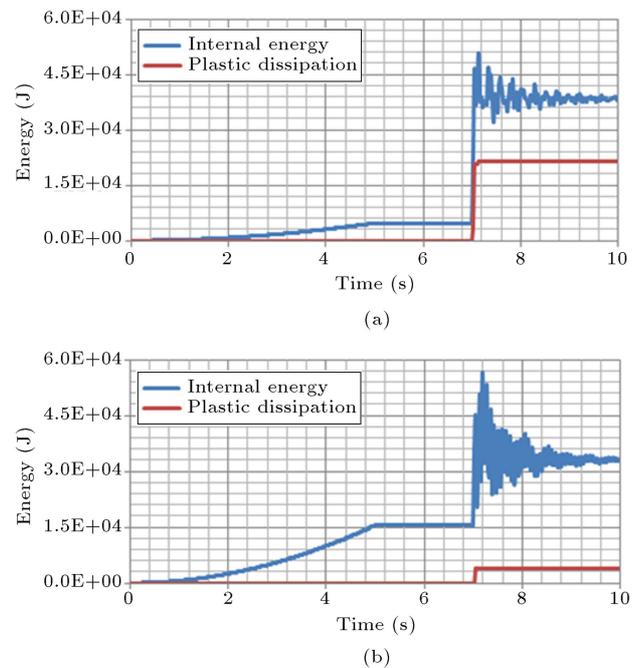


Figure 10. Comparison of the total internal energy history and the total plastic dissipation energy: a) Case 1 of a 5-story structure; and b) case 1 of a 10-story structure.

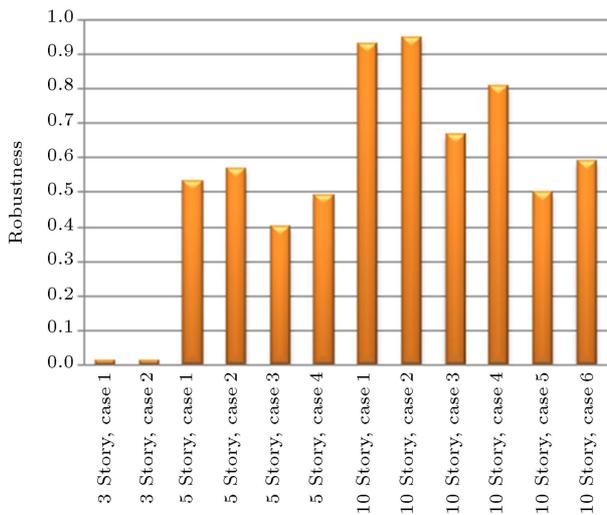


Figure 11. Robustness based on energy of structure.

are compatible with existing expectations for the progressive collapse potential. More value for robustness is obtained in first story column removal cases in a 10-story structure. This method is also consistent with the previous method.

It should be noticed that the energy-based approach in its current form is only applicable to the redistribution-class of progressive collapse. When the structure is subjected to pancake-type or domino-type collapse, the rigid motion and impact of the structure's parts or members have a significant influence on energy conversion in the collapse scenario. Therefore, kinetic energy should be included in the formulation.

4. Conclusions

In this paper, the progressive collapse capacity of steel moment frames was first investigated using the alternative load path method. A nonlinear dynamic method was performed for progressive collapse analysis, and the linear dynamic method was used for comparison. Using these methods, the structural response of 3-, 5- and 10-story steel moment resisting frames under the sudden loss of columns for different scenarios of column removal was assessed. Then, suggestions were made for assessment of the robustness of steel frames, and structural robustness in different column removal scenarios was quantified. It could be concluded that the proposed approaches offer the advantages of computational simplicity and practicality for robustness assessment in framed structures.

The results of this study can be summarized as follows:

- It was observed that as the number of story increases, the displacement of the column removal point decreases, because more structural members participate in resisting progressive collapse. There-

fore, it can be concluded that the progressive collapse potential decreased as the number of stories increased.

- Potential for progressive collapse is highest when a corner column is suddenly removed, either in the first, or higher, story.
- Column removal at a higher level will induce larger vertical displacement than a column removal in the first story, because less structural members contributed to energy absorption when a column at a higher level was removed.
- It was observed that sufficiently tall buildings, designed according to seismic design specifications, have enough strength to resist progressive collapse due to column removal.
- It is obvious that maximum vertical displacements obtained by linear analysis are smaller than those obtained by nonlinear analysis in all considered cases. But, it was also observed that the difference in results obtained by the two approaches decreased as the number of stories increased or the initial local failure location changed.
- Three approaches for structural robustness assessment were proposed in this paper. It can be concluded the methods based on dynamic column removal analysis have a good capability for robustness assessment, especially when the energy of the model is considered, while the methods based on static stiffness are not suitable.

The common structures are usually modeled by either the brace or shear wall or moment resisting frame. However, in this study, only the moment frame has been used for studying sudden column loss and, therefore, the results apply only to the steel moment-resisting systems with almost the same height. However, some general conclusions may be applicable to other framed structures. It should be noted that the current methodology, presented in this paper for assessment of structural robustness due to column loss in steel moment resisting frames, can be easily extended to include other steel framed structures.

Any measure of structural robustness should provide a clear distinction between robust and non-robust structures. Therefore, suggested approaches must be normalized or calibrated for each structural system and each progressive collapse type. For this purpose, the structural analysis of more structures and on more collapse scenarios is necessary.

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