

Surface Air-Pressure Measurements From Space Using Differential Absorption Radar on the Right Wing of the 60 GHz Oxygen Band

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# Earth and Space Science



## RESEARCH ARTICLE

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### Key Points:

- Differential absorption radars with multiple tones within the oxygen band to enable surface pressure measurements with few hPa accuracy
- Cloud, rain and water vapor produce biases in the surface pressure that must be corrected
- Errors can be minimized by adopting tunable frequencies and frequency diversity

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## Surface Air-Pressure Measurements From Space Using Differential Absorption Radar on the Right Wing of the 60 GHz Oxygen Band

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**Abstract** Surface air pressure is one of the most important parameters used in Numerical Weather Prediction (NWP) models. Although it has been measured using weather stations on the ground for many decades, the numbers of measurements are sparse and concentrated on land. Few measurements from buoys and ships are available over ocean. Global measurements can only be achieved by using remote sensing from Space, which is challenging; however, a novel design using Differential Absorption Radar (DAR) can provide a potential solution. The technique relies on two facts: first the electromagnetic fields are absorbed mainly by oxygen and water vapor, and second that oxygen is well mixed. In this work we discuss a space-borne concept, which aims at providing, over the ocean, consistent, and regular observations for determining surface air pressure from space by a design of a multi-tone radar operating on the upper wing of the O<sub>2</sub> absorption band with tones from 64 to 70 GHz. Simulations of radar vertical profiles based on the output of a state-of-the-art microphysical retrievals applied to the A-Train suite of sensors are exploited to establish the performance of such a system for surface pressure determination. In particular the identification and quantification of errors introduced by the presence of water vapor, cloud liquid water and rain water and the potential of a correction via the three-tone method is discussed. Errors introduced by surface measurement noise and temperature profile uncertainties are discussed as well. Results show that accuracy between 2 and 5 hPa is at reach.

**Plain Language Summary** Pressure is an important atmospheric variable. A radar-based remote sensing technique to measure surface pressure is proposed. The methodology is based on the darkening of the surface return when moving closer to the center of the 60 GHz oxygen absorption line. Results demonstrate that measurements of surface pressure with errors of few hPa are feasible.

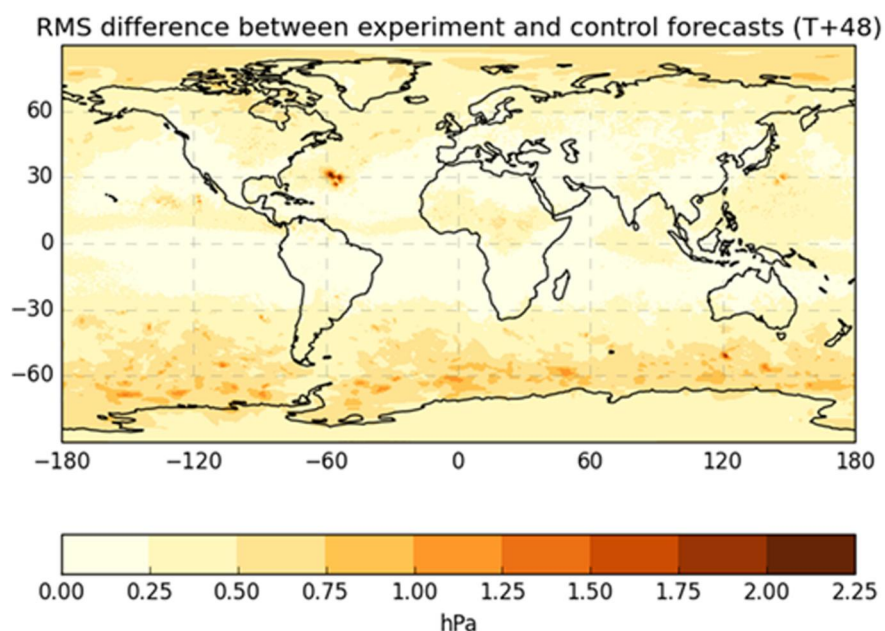
## 1. Introduction

Surface Air-pressure is one of the most important parameters used in Numerical Weather Prediction (NWP) models. It has long been recognized that measurements of surface pressure are of critical importance in assessing the current state of the atmosphere and oceans as well as in forecasting their future evolution. Currently, surface pressure data are available from land-based weather monitoring stations which are supplemented over the oceans by reports from ships and buoys. The coverage of the land-based network is highly in-homogeneous and 90% of stations are on land and concentrated on the Northern Hemisphere. Coverage over oceans is very sparse. Accurate knowledge of surface pressure over the ocean is key for the prediction of hazardous weather such as hurricanes, typhoons, and storm-surge events. Horányi et al. (2017) showed that the removal of drifter surface pressure observations in the ECMWF system degraded the forecast on a lead time of 2–3 days by up to 30% in mean sea-level pressure and up to 15% in the wind field. An NWP trial at the Met Office was performed in which marine pressure observations were withdrawn from the assimilation step, (Candy et al., 2021). The trial period was from 1 July 2016 to 31 August 2016. Figure 1 shows the distribution of the sites reporting surface pressure used for data assimilation. Although this represents a single season, it can clearly be seen that the southern hemisphere forecasts (between 30°S and the Antarctic coast) are strongly affected by the withdrawal of the observations.

Global measurements can only be achieved by remote sensing from space, which is challenging. In recent years, atmospheric sounding by space-based Global Positioning System (GPS) Radio Occultation (RO) is considered to be a valuable data source for numerical weather prediction and climate change studies. Healy (2013) has performed an NWP experiment in 2013 to investigate the impact of surface pressure information retrieved from GPS

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**Figure 1.** Root mean square difference between surface pressure forecasts (units hPa) from the no marine pressure experiment compared to a control run. Trial period was 1 July 2016 to 31 August 2016 Forecast lead time is 48 hr.

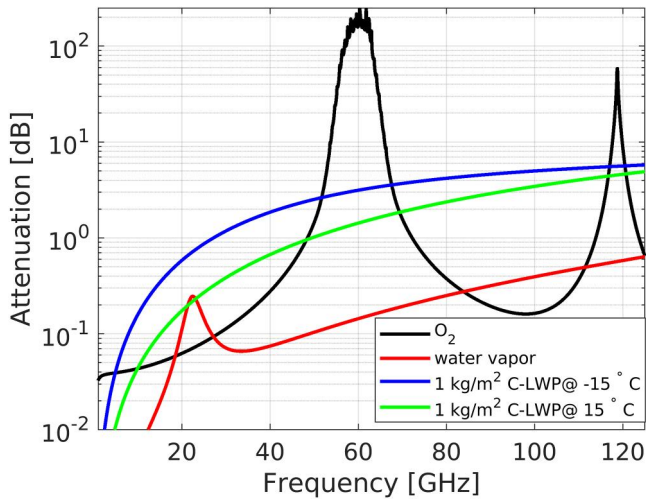
RO measurements. The author found the measurement can constrain global surface pressure errors in analysis and short-range forecasts up to the 1–1.5 hPa. GNSS-RO doesn't measure surface pressure directly, but rather bending angle and refractivity. Although it has high vertical resolution, it suffers from poor horizontal resolution of 300–400 km.

Due to the increasing influence of background information below 15 km in physical atmospheric variables, tropospheric observational errors of temperature and potential temperature were found to decrease about linearly toward the boundary layer, while pressure errors increase about linearly over this range (Scherllin-Pirscher et al., 2017). GNSS-RO is also dependent on satellites network that is owned by other states.

However, a novel design by RAL space using Differential Absorption Radar (DAR) with multiple tones within the right wing of the oxygen band can provide potential solution. Electromagnetic waves are absorbed in the atmosphere as a function of frequency. In the microwave region, two compounds are primarily responsible for the majority of signal absorption: oxygen ( $O_2$ ) and water vapor ( $H_2O$ ) as illustrated in Figure 2 for frequencies below 125 GHz. Three absorption peaks are present in this region: one at 22 GHz due to water vapor, a second and third one at 60 and 118 GHz due to oxygen.

Historically cloud radars have been used with frequencies in atmospheric windows. More recently differential absorption radars (DAR) have been proposed as well with frequency operating in absorption bands. The 183 GHz water vapor absorption band has been proposed for DAR to retrieve water vapor in multiple theoretical studies (Battaglia et al., 2020; Battaglia & Kollias, 2019; Lebsock et al., 2015; Millán et al., 2016) whereas the JPL Vapor In-cloud Profiler Radar (VIPR) system has provided a proof of concept of DAR (Roy et al., 2018, 2020). Similarly Active Microwave Air Pressure Sounders (AMAPS) have been proposed adopting multiple tones selected inside the 60 and 118 GHz oxygen bands (Flower & Peckham, 1978; Lin et al., 2021; Lin & Min, 2017; Millán et al., 2014; Privé et al., 2023). Oxygen is a well-mixed gas, thus the total oxygen column is a proxy to the dry-air surface pressure. Since inside an oxygen band, the differential attenuation between two tones (an inner and an outer tone) is mainly driven by the total oxygen column (with some weak dependence on the temperature profile, discussed later), then also differential attenuation (the quantity measured by AMAPS) is a proxy for dry-air surface pressure. The additional contribution to the surface pressure associated to the “wet” component can be accounted for, if the integrated water vapor is known.

Differential absorption measurements of two tones, one in the oxygen band and another off the band using pulse radar enable surface pressure retrievals, with measurements of additional tones achieving improved accuracy.



**Figure 2.** Nadir optical thickness contribution of  $O_2$ , water vapor and a cloud liquid water path (C-LWP) of  $1 \text{ kg/m}^2$  at  $-15$  and  $+15^\circ\text{C}$  in the microwave region between 1 and 125 GHz for the U.S. standard atmosphere.

Recent observing system simulation experiments have demonstrated the large forecast impact of data assimilation of surface oceanic pressure measurements, especially in the southern hemisphere extra-tropics (Privé et al., 2023). The particular advantage of microwave over optical radiation is its ability to penetrate clouds. However, clouds do absorb millimeter waves and both the degree of absorption and its variation with frequency affects the system design and therefore the accuracy of the retrieval of surface air pressure (Flower & Peckham, 1978). The same applies to water vapor. Temperature dependent pressure broadening occurs in the oxygen band which affects the line profile. Therefore the AMAPS concept must be supported by the use of a co-located multi-spectral Microwave Radiometer in order to provide measurements for temperature and water vapor profiles. Identification, quantification and correction of errors introduced by the presence of water vapor, cloud liquid water and rain water will enhance accuracy of pressure measurements. Note that water vapor and temperature fields have less variability compare to cloud fields so that mismatch in the footprints between radar and radiometer can be better tolerated if the former effects need to be mitigated. Adopting a tuneable frequency band for the in-band tone will support minimization of errors. Finally, implementation of frequency diversity will increase the total number of independent samples to increase accuracy.

In this work, we propose a space-borne concept based on the design of a multi-tone radar operating on the upper wing of the  $O_2$  absorption band with tones from 64 to 70 GHz. The selection of the upper rather than lower wing of the 60 GHz absorption band (e.g., like done in Lawrence et al. (2011)) is mainly driven by the presence in the upper wing of an ITU band allocated for active transmission between 65 and 66 GHz with another band being available in the far wing at 78.2 GHz. The oxygen line at 118 GHz, though of interest for its sharper structure (Lin et al., 2021), is excluded for the same reason. Note that this set-up resembles that of the Microwave Barometric Radar and Sounder (MBARS), funded by the National Aeronautics and Space Administration (NASA) for airborne test missions. MBARS specifics have only been published at the time of this paper writing (Privé et al., 2023). Though the underpinning idea is the same, compared to previous studies (Lin et al., 2021; Lin & Min, 2017), here we introduce a different way to optimize the tone selection, we discuss cloud and precipitation effects and a way to correct them with a third tone and we propose frequency diversity in order to increase the number of independent samples (the latter two ideas have also been adopted by MBARS).

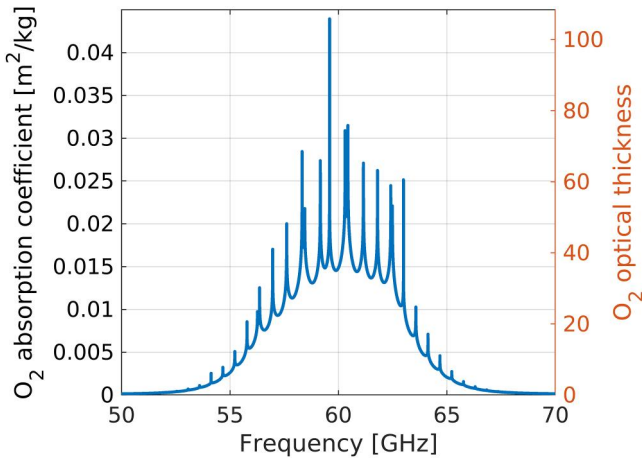
The theory underpinning the concept is outlined in Section 2. Then error budget and sources of uncertainties are thoroughly discussed (Section 3). Simulations of radar vertical profiles based on the output of a state-of-the-art microphysical retrievals applied to the A-Train suite of sensors are exploited to establish the performance of a space-borne system for surface pressure determination (Section 4). Conclusions and recommendations are drawn in Section 5.

## 2. Background Theory

The surface peak return for a nadir incidence radar with a Gaussian circular antenna and for a homogeneous surface with surface normalized radar (backscattering) cross section (NRCS,  $\sigma_0$ ) and height of  $r$  can be written as (Battaglia et al., 2017; Lin & Hu, 2005):

$$P_r(f) = P_t \underbrace{\frac{G_0^2 \lambda^2 \theta_{3dB}^2}{2^9 \pi^2 \log(2) l_{tx} l_{rx}}}_{C(f)} \frac{T^2(f) \sigma_0(f)}{r^2} = C(f) \frac{\pi^5 |K_w|^2}{\lambda^4} c \tau_p \frac{Z_{surf}^{att}(f)}{r^2} \quad (1)$$

where  $G_0$  is the antenna gain along the boresight,  $\theta_{3dB}$  is the antenna 3 dB beamwidth,  $l_{tx}$  and  $l_{rx}$  are the loss between the antenna and receiver port and between the transmitter and the antenna port, respectively,  $C(f)$  is the radar constant,  $K_w$  is the dielectric factor of water ( $|K_w|^2$  is assumed to be 0.93 throughout this paper),  $\tau_p$  is the pulse length,  $T(f)$  is the atmospheric transmittance at the radar wavelength ( $\lambda$ ) caused by precipitation, cloud liquid water, water vapor and gases:



**Figure 3.** Average  $O_2$  mass absorption coefficient (left axis) or equivalently  $O_2$  total atmospheric optical thickness (right axis) for frequencies across the oxygen absorption band based on a US standard atmosphere profile. Right panel: optical thickness due to  $O_2$  as a function of the frequency based on a standard atmosphere profile. The absorption model is based on the model Liebe et al. (1992) with the line width  $1/T$  temperature dependence proposed by Schwartz (1998).

$$T(f) = e^{-\tau_{rain} - \tau_{cloud} - \tau_{O_2} - \tau_{wv}} \quad (2)$$

where we have separated the contributions of the different components to the optical thickness  $\tau$ . In the right hand side of Equation 1  $Z_{surf}^{att}$  is the attenuated surface peak reflectivity (expected value without noise). Note that in Equation 1 practically the surface return can be expressed either in terms of attenuated NRCS ( $\sigma_0^{att} = T^2 \sigma_0$ ) or in terms of the  $Z_{surf}^{att}$  (which depends on the specific selection of the radar pulse length). The expression in terms of the latter is useful when comparing the surface with the hydrometeor return (as in Section 4.1).

In Equation 2, for instance the optical thickness due to  $O_2$  can be written as:

$$\tau_{O_2}(f) = \alpha_{O_2}(f) C_{O_2} = 0.232 \alpha_{O_2}(f) \frac{P_{surf}^{dry}}{g} \quad (3)$$

where  $C_{O_2}$  is the columnar  $O_2$  content per unit surface in  $kg/m^2$  and  $\alpha_{O_2}$  is the absorption coefficient per unit mass (see example in Figure 3, left y-axis). The corresponding optical thickness for a standard atmosphere is shown in the right axis of Figure 3. Note that for frequencies between 54.6 and 65 GHz  $\tau_{O_2}$  exceeds 5 (therefore causing two-way path integrated attenuation (PIA) larger than 43.43 dB).

Because of the exponential dependence of pressure in the Earth's atmosphere the optical thickness is generally completely saturated within the troposphere and certainly has its biggest contribution in the levels closed to the ground. The column  $O_2$  amount is proportional to the column dry air mass,  $C_{air}^{dry}$ , via the  $O_2$  mass mixing ratio of  $O_2$  to total dry air (equal to 0.232). Since  $C_{air}^{dry} = \frac{P_{surf}^{dry}}{g}$ , Equation 1 can be rewritten as:

$$P_r(f) = \frac{C(f) \sigma_0(f)}{r^2} e^{-2\tau_{O_2} - 2\tau_{rain} - 2\tau_{cloud} - 2\tau_{wv}} = \frac{C(f) \sigma_0(f)}{r^2} e^{-0.464 \alpha_{O_2} \frac{P_{surf}^{dry}}{g} - 2\tau_{rain} - 2\tau_{cloud} - 2\tau_{wv}} \quad (4)$$

When two radar tones, the inner band frequency,  $f_i$ , and the outer band frequency,  $f_o$ , are used, then the ratio of the radar received powers from these two channels is

$$\frac{P_r(f_i)}{P_r(f_o)} = \frac{C(f_i) \sigma_0(f_i)}{C(f_o) \sigma_0(f_o)} e^{-2\Delta\tau_{O_2} - 2\Delta\tau_{rain} - 2\Delta\tau_{cloud} - 2\Delta\tau_{wv}} \quad (5)$$

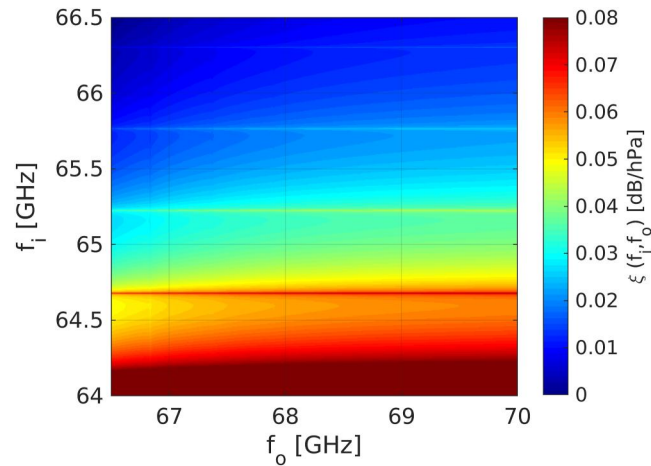
where  $\Delta\tau_{O_2}(f_i, f_o) \equiv \tau_{O_2}(f_i) - \tau_{O_2}(f_o)$  and similarly for the other  $\Delta$  quantities. The ratio in Equation 5 is predominantly driven by the surface atmospheric pressure, with the details of the temperature and pressure profiles having secondary influences on effective  $O_2$  absorption coefficients in Equation 5.

Rearranging Equation 5 by using Equation 1 we find:

$$10 \log_{10} \left[ \frac{Z_{surf}^{att}(f_i) f_i^4 \sigma_0(f_o)}{Z_{surf}^{att}(f_o) f_o^4 \sigma_0(f_i)} \right] = -\Delta_2 PIA_{O_2}(f_i, f_o) - \Delta_2 PIA_{hydro}(f_i, f_o) - \Delta_2 PIA_{wv}(f_i, f_o) \quad (6)$$

where the subscript “hydro” accounts for the combined effect of cloud and rain and where the same pulse length has been assumed for all tones and where  $\Delta_2 PIA$  indicates the 2-way differential path integrated attenuation (i.e.,  $\Delta_2 PIA(f_i, f_o) \equiv 2[PIA(f_i) - PIA(f_o)]$ ) expressed in dB with the subscripts indicating the source of the attenuation. Equation 6 can be used to invert for  $\Delta_2 PIA_{O_2}(f_i, f_o)$ ; then by using Equation 3 it can be seen that there is a very simple near-linear relationship between surface air pressure and the surface reflectivities measured in dBZ at frequencies  $f_i$  and  $f_o$ , that is:





**Figure 4.** Sensitivity of the differential surface radar return to the surface pressure for a U.S. Standard Atmosphere for pairs of frequencies in the upper wing of the  $O_2$  60 GHz line.

$$p_{surf}^{dry} = \frac{\Delta Z_{surf}^{att}(f_o, f_i) - \Delta \sigma_0(f_o, f_i) + 40 \log_{10} \left[ \frac{f_o}{f_i} \right] + \Delta_2 PIA_{hydro}(f_o, f_i) + \Delta_2 PIA_{wv}(f_o, f_i)}{\xi(f_i, f_o)} \quad (7)$$

where we have introduced the surface reflectivity sensitivity to surface pressure for the given pair of frequencies,  $f_i$  and  $f_o$ :

$$\xi(f_i, f_o) \equiv \frac{\alpha_{O_2}(f_i) - \alpha_{O_2}(f_o)}{0.4962 \text{ g}} \quad (8)$$

This quantity is shown for the 60 GHz upper wing within the region of not overwhelming absorption in Figure 4. Note that the maximum sensitivity is typically reached when there is maximum separation between the two frequencies and that for this range of frequencies never exceeds 0.06 dB/hPa. Note that this parameter has been used throughout the paper to estimate uncertainties for the error budget.

### 3. Error Budget for Pressure Measurements

It is important to review and assess all the error sources involved in determining surface air-pressure from Equation 7. With ESA funded feasibility study RAL Space proposed a space-borne system design options as shown in Table 1. These specifics will be used as a reference. All different error sources associated to each one of the terms present in the numerator and the denominator of Equation 7 will now be discussed. The total error can be computed assuming that the different error sources are independent.

#### 3.1. Uncertainties in the Measurement of the Surface Spectral Differential Signal

The first error is associated with the measurement of  $\Delta Z_{surf}^{att}$ , the spectral change of surface reflectivity. Because what matters in the derivation of  $p_{surf}^{dry}$  is only the difference in surface reflectivities no biases will arise from miscalibration of the two channels as far as the two channels are properly cross-calibrated. Any relative miscalibration between the two channels will of course produce a bias in the surface pressure estimation.

Let's us now consider the random errors associated to noisiness of reflectivity measurements. If we differentiate Equation 5 and by following the derivation in Appendix A for the estimate of the noise subtracted signal (Equation 19) the error induced in the surface pressure is:

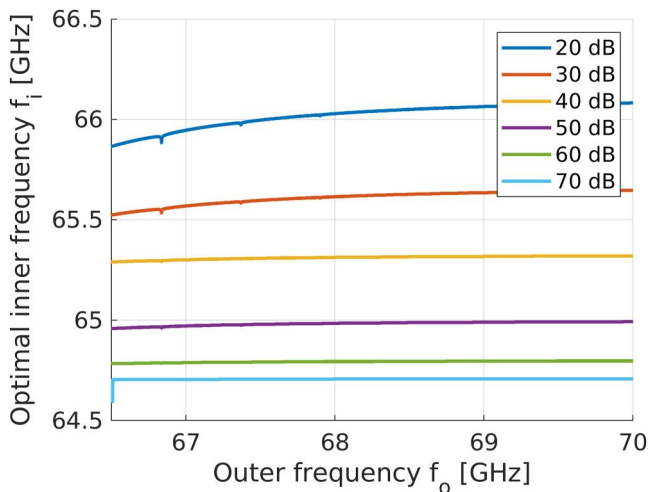
**Table 1**  
Spaceborne Instrument Baseline Design Specifications With a “Pressure” and “Cloud” Mode (See Text in Section 4.4 for Details)

DAR instrument	“Pressure” mode	“Cloud” mode
TX power		1 kW
Frequencies	65–66, 70 GHz	70 GHz
Antenna diameter		2 m
Altitude		500 km
Pulse width	1.0 μ s	3.3 μ s
Bandwidth	1,000 kHz	300 kHz
PRF		6 kHz
System losses		2 dB
Receiver Noise Figure		5 dB
Receiver noise power	−109.2 dBm	−114.3 dBm
surface peak power for $\sigma_0 = 10$ dB@70 GHz	−56.2 dBm	−56.2 dBm
surface peak return for $\sigma_0 = 10$ dB	49.0 dBZ	43.8 dBZ
$SNR_o$	52.9 dB	58.1 dB
Single pulse sensitivity@70 GHz	−3.9 dBZ	−14.3 dBZ
Footprint diameter		1.3 km

Note.  $SNR_o$  indicates the outer-band signal-to-noise ratio (important parameter in the discussion in Section 3.1) in correspondence to a surface with NRCS equal to 10 dB (which is considered a good characteristic value for ocean surfaces).

$$\delta p_{surf}^{dry} = \frac{\delta \Delta Z_{surf}^{att}(f_o, f_i)}{\xi(f_i, f_o)} = \frac{4.343}{\xi(f_i, f_o)} \sqrt{\frac{1}{N_i} \left(1 + \frac{1}{SNR(f_i)}\right)^2 + \frac{1}{N_o} \left(1 + \frac{1}{SNR(f_o)}\right)^2} \quad (9)$$

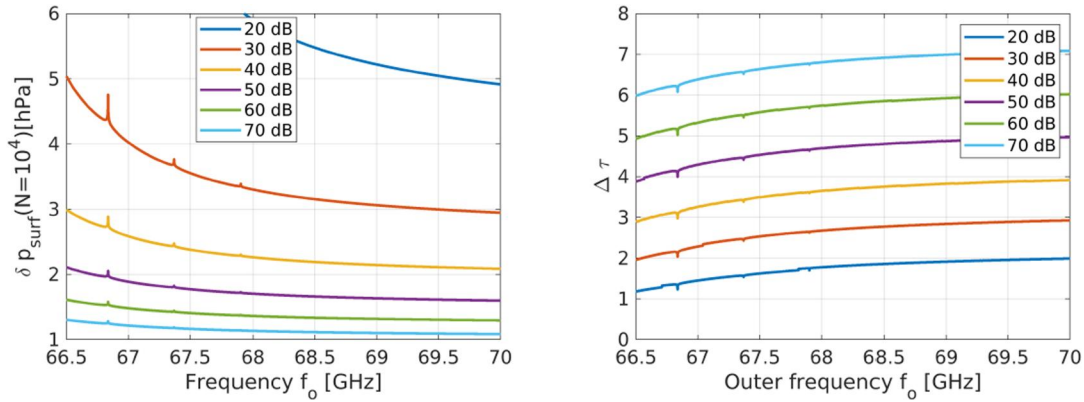
where  $SNR(f)$  is the single pulse signal to noise ratio of the surface return at the given frequency and  $N_i$  and  $N_o$  are the number of independent samples (see discussion later in Section 4.2) collected at the inner and outer frequency. In Equation 9 there are two counteracting effects: if we select  $f_i$  close to the absorption peak then the sensitivity  $\xi(f_i, f_o)$  becomes larger, thus suppressing the error but simultaneously  $SNR(f_i)$  becomes small, thus increasing the argument of the square root.



**Figure 5.** Optimal frequency to perform pressure DAR measurements for different levels of  $SNR_o$  for the right wing of the  $O_2$  line.

Consider a level of  $SNR_o$  for the surface return at the outer frequency. Since in that case the attenuation of the  $O_2$  can be assumed negligible such value is driven by the system design and the possible presence of cloud/rain/water vapor. Then it is possible to assess which is the optimal selection of  $f_i$  and  $f_o$  that minimize the error in  $p_{surf}^{dry}$  under the assumption that a given amount of total power is transmitted by the radar but that the power can be different in the outer compared to the inner tone. The result of the minimization process is illustrated in Figure 5. For  $f_o = 70$  GHz or above the optimal inner frequency is typically between 64.3 and 65.5 GHz depending on  $SNR_o$  (different colored curves as indicated in the legend). Note that the behavior of the curves with a lot of oscillations is associated to multiple secondary absorption lines present within the  $O_2$  band (see our Figure 3 and the right panel of Figure 1 in Lin and Min (2017)).

The corresponding minimum errors computed when averaging  $10^4$  independent samples are plotted in Figure 6, left panel. Clearly better results are found for high  $SNR_o$  (i.e., more sensitive radars or absence of clouds/precipitation and low water vapor contents) and when the outer frequencies is away from the center of the absorption band. In the right panel the differences



**Figure 6.** Left panel: minimum error associated to the noisiness of reflectivities expected in the retrieval of  $p_{surf}^{dry}$  in correspondence to  $N = 10^4$  independent samples. The error is computed according to Equation 9 with the use of the optimal frequencies shown in Figure 5 as a function of the outer frequency for different levels of  $SNR_o$  as indicated in the legend. Right panel: difference in  $\tau_{O_2}$  in correspondence to the optimal combination of frequencies shown in Figure 5.

in the optical thicknesses for the optimal frequency pairs are shown. With increasing  $SNR_o$  or moving away from the center of the absorption band larger  $\Delta\tau$  minimize the error in the surface pressure. These findings contradict the conclusions of the study by Lin and Min (2017) and Lin et al. (2021) who concluded that the optimized frequency selection is achieved when the two-way differential absorption optical depth between the inner and outer frequencies is equal to 1.0. In our cases,  $\Delta\tau$  may be larger than that (much larger if the system has a very good sensitivity) and in general depends on the  $SNR$  attained for the outer band.

### 3.2. Uncertainties in Differential $\sigma_0$

Normalized surface backscatter cross section variation between  $f_i$  and  $f_o$  must be corrected for. In this work only water surfaces are considered. For incidence close to nadir, the quasi-specular scattering theory is considered valid in the modeling of the surface return. Then, the ocean surface is assumed isotropic and the surface wave distribution probability density is only a function of the surface mean-square slope,  $s(v)$ , according to the Fresnel approximation (Li et al., 2005):

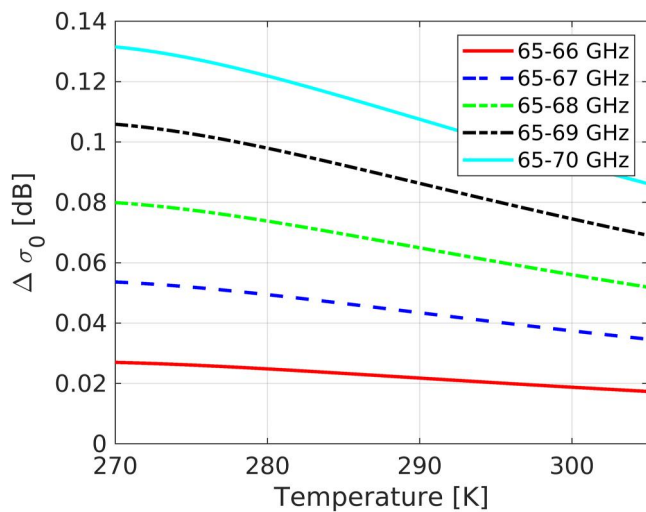
$$\sigma_0(\theta, v, f) = \frac{|\Gamma(\theta = 0, f)|^2}{[s(v)]^2 \cos^4 \theta} \exp\left(-\frac{\tan^2 \theta}{[s(v)]^2}\right) \quad (10)$$

where  $v$  is surface wind speed in meters per second, and  $[s(v)]^2$  is the effective mean-square surface slope. The ocean surface effective Fresnel reflection coefficient at normal incidence is

$$\Gamma(\theta = 0, f) = \frac{n(f) - 1}{n(f) + 1} \quad (11)$$

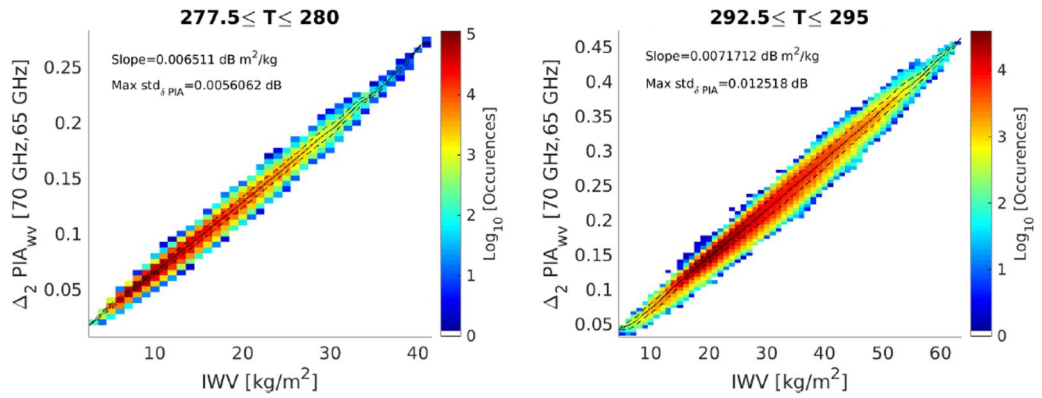
where  $n$  is the frequency-dependent complex refractive index for seawater. As a result, the only dependence on the radar frequency is in the term in Equation 11. Since the refractive index is varying gently across frequencies also this term will show a gentle variation. This is demonstrated in Figure 7 that shows how the  $\Delta\sigma_0[dB] = \sigma_0(f_i)[dB] - \sigma_0(f_o)[dB]$  between frequencies slightly depends on temperature.

From Equation 7 it is clear that errors  $\delta\Delta\sigma_0[dB]$  translates in errors in surface pressure according to:



**Figure 7.** Difference between  $\sigma_0$  values in dB when changing frequencies in the range of frequencies listed in Table 1 on the right wing of the  $O_2$  absorption band.





**Figure 8.** Density plot for the differential two-way PIAs for the 65–70 GHz pair as a function of the IWV for profiles having near surface temperatures in the range 277.5–280 K (left panel) and 292.5–295 K (right panel).

$$\delta p_{surf}^{dry} = \frac{\delta \Delta \sigma_0(f_o, f_i)}{\xi(f_i, f_o)} \quad (12)$$

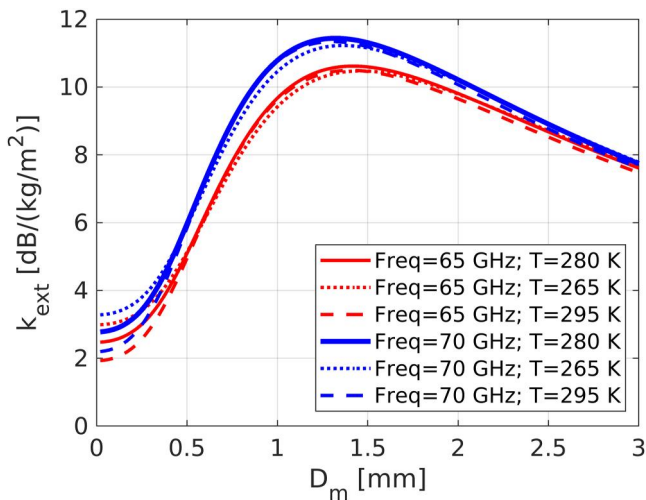
Corrections for the frequency variability of  $\sigma_0$  must be certainly performed but even assuming a residual 5% error on  $\Delta \sigma_0$  we do not expect this error to be dominant unless the frequency pairs are separated by more than 5 GHz. For instance if 65 and 78 GHz are adopted then  $\Delta \sigma_0$  is of the order of 0.3 dB; the residual error  $\delta \Delta \sigma_0 = 0.015$  dB will contribute to 0.5 hPa error for pairs with  $\xi = 0.03$  dB/hPa. In order to minimize this error it is recommended to keep frequencies as close as possible. The results of this simplified approach confirm more sophisticated sea surface  $\sigma_0$  simulations recently performed by Lin et al. (2023) who confirmed that, with incidence angles lower than  $15^\circ$ , the ratios of frequency pairs for the three frequencies 65.5, 67.5, and 70 GHz depend very weakly (less than 1%, i.e. 0.04 dB) on temperature and wind speed and incidence angle. This has also been confirmed by aircraft measurements with the DiBAR airborne demonstrator (Lawrence et al., 2011).

Note that the DAR principle should work over land surfaces as well, although the surface return at small incidence angles is expected to be smaller than over water surfaces (Durden et al., 2011). More measurements from airborne systems like done in Lawrence et al. (2011) are recommended to assess the variability of  $\sigma_0$  for land, coastal and marginal sea ice surfaces over the spectral range of the DAR system and to identify correction strategies for differential effects.

### 3.3. Uncertainties Related to Water Vapor Differential Attenuation

Water vapor absorption tends to increase with frequency. This will introduce the differential attenuation term represented by the last term in the numerator of Equation 7. It is important to establish to which accuracy the IWV needs to be derived from ancillary measurements (e.g., radiometer channels). A large database of temperature and water vapor profiles over ocean surfaces has been constructed. It is based on the full 2008 years of CloudSat orbits and co-located ECMWF auxiliary product. Profiles have been clustered according to classes of near surface temperature,  $T_{ns}$  (with 2.5 K binning width) and integrated water vapor,  $IWV$  (with 1 kg/m<sup>2</sup> binning width). The two-way path integrated differential attenuation for the pair 65 and 70 GHz are shown in Figure 8 for  $T_{ns} = 278.75$  K and  $T_{ns} = 293.75$  K.

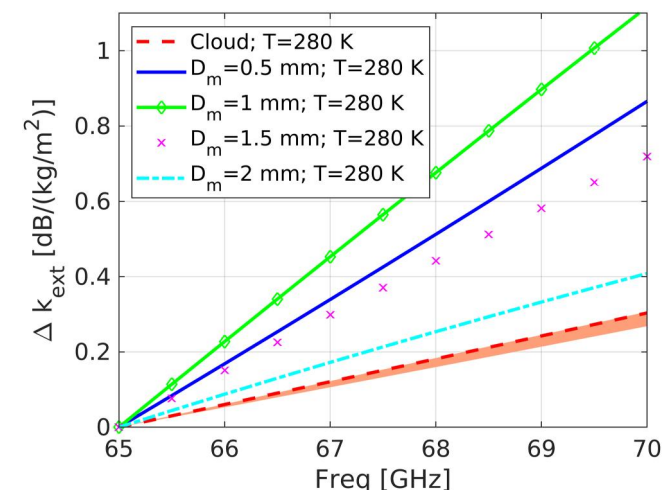
The continuous line shows the mean value whereas the dashed lines indicate the variability for any given  $IWV$  in the  $\Delta_2 PIA_{wv}$  introduced by the  $T_{ns}$  and by the water vapor and temperature vertical distribution variability. In both cases such variability is less than 0.012 dB (value achieved for the warmest temperature interval with  $IWV = 30$  kg/m<sup>2</sup>). The impact of uncertainties on the knowledge of IWV can be accounted for using the slope of the fitting to these data sets. When normalized to the frequency range a value of about  $0.00135$  dB m<sup>2</sup> kg<sup>-1</sup> GHz<sup>-1</sup> is found. The uncertainty in the  $\Delta_2 PIA_{wv}(f_i, f_o)$  introduced by an uncertainty in  $IWV$  of  $\delta IWV$ , can be computed by multiplying this value by the slope value and by  $f_o - f_i$ . For example, for a pair separated by 5 GHz a 3 kg/m<sup>2</sup> uncertainty in the IWV will propagate into an uncertainty of 0.02 dB. Depending on the targeted pressure



**Figure 9.** Extinction coefficients of rain as a function of the mean mass diameter for different temperatures at 65 and 70 GHz, that is, in the frequency range practically available for the pressure technique in the right wing of the Oxygen line. An exponential drop size distribution is assumed.

Around 60 GHz attenuation is mainly caused by liquid hydrometeors. Figure 9 shows the extinction coefficients of rain per unit mass as a function of the mean mass weighted diameters,  $D_m$ , for two frequencies in the right wing of the 60 GHz band. For the same columnar rain water path (e.g.,  $1 \text{ kg/m}^2$ ) DSD with  $D_m$  around 1.5 mm produce an attenuation which is about four times (e.g., 11 dB) the attenuation caused by the cloud droplets (e.g., 3 dB) with the same mass water path.

For large liquid water paths this attenuation will produce a significant drop in the level of the signal (i.e., it will reduce  $SNR_o$ ) but it will also cause some differential signal as shown in Figure 10. The amplitude of the differential attenuation signal increases linearly with the separation of the tones and it is also a strong function of  $D_m$  for any given integrated liquid content.



**Figure 10.** Differential extinction coefficients of rain as a function of frequency in the right wing of the Oxygen line for a temperature of 280 K and for different mean mass-weighted diameters as indicated in the legend. An exponential drop size distribution is assumed. For cloud the shading corresponds to temperature of 275 K (295 K) for the upper (lower) boundary.

uncertainty that is required, this analysis, combined with Equation 7 allows to estimate what is the required knowledge of the IWV.

In addition to the error introduced because of the differential attenuation, water vapor is contributing to the wet air mass and therefore to the total pressure. As a result the uncertainties in the IWV will contribute to the overall pressure uncertainty with an uncertainty of  $1 \text{ kg/m}^2$  in the IWV translating into an uncertainty of 1 hPa in the total pressure. Thus, this will be an important source of uncertainty; for making this error source not the dominant one, as a rule of thumb, uncertainties in IWV about the maximum between  $2 \text{ kg/m}^2$  and 10% of the total IWV are required. In humid environments this error contribution may become really relevant in the overall error budget. Thus these conditions are expected to be the most challenging ones.

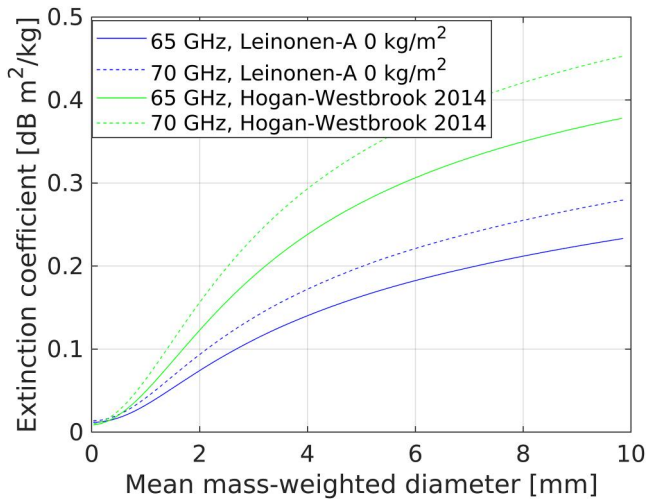
### 3.4. Uncertainties in Rain and Cloud Differential Attenuation

If clouds and rain are present in the atmosphere they cause an additional differential attenuation signal. If not accounted for, such signal could be interpreted as coming from a pressure variation, thus causing a bias. Since hydrometeor attenuation is typically increasing with frequency, in the right wing of the  $O_2$  line, overestimating the rain/cloud amount will produce a smaller differential signal between the inner and outer frequencies, thus will produce a negative pressure bias.

For rain, the fact that the differential extinction signal depends both on the rain water path and on  $D_m$  make corrections quite tricky. The importance of the impact of the drop size distribution of rain was also found in the study by Millán et al. (2014). Also the overall signal can be up to five times bigger than cloud, with the same amount of integrated liquid path. Viceversa for clouds (see red curves in Figure 10) corrections are simpler because there is only a slight dependence on temperature. Errors in the retrieved cloud water path up to  $50 \text{ g/m}^2$  seem acceptable. In fact the increase of cloud extinction coefficient when moving from 65 to 70 GHz is of the order of  $0.25 \text{ dB/(kg/m}^2)$  which produce a 2-way attenuation of 0.025 dB when encountering a liquid water path  $LWP = 50 \text{ g/m}^2$ .

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With a two tone system cloud and rain must be identified and flagged. Then only if we can achieve retrieval with uncertainties smaller than  $50 \text{ g/m}^2$  for cloud and less than  $25 \text{ g/m}^2$  for rain the differential absorption signal can be corrected; otherwise pressure retrievals will be very uncertain with large biases. Such accuracy is challenging and could be achieved via the synergistic exploitation of the radar signal in the atmosphere together with a multi-frequency radiometer that should possibly have a matched beam with the



**Figure 11.** Extinction coefficients for ice clouds for two frequencies (65 and 70 GHz) and for two different model of ice scattering (fluffy aggregate from Leinonen et al. (2017) and denser ice from Hogan and Westbrook (2014)) as described in Tridon et al. (2019).

AMAPS footprint. In order to avoid gross errors it may be necessary to restrict the pressure retrieval to cloud-only conditions with small/moderate LWPs. An alternative approach to better correct for these effects is to adopt a triple-tone approach (see detailed discussion in Appendix B). The introduction of a third tone between the inner and outer tones and the rather different spectral dependence of hydrometeor extinction compared to the oxygen absorption allows to properly reconstruct (thus subtract) the hydrometeor effect. This correction is very effective (uncertainties lower than 0.5 hPa) even in presence of LWP up to  $1 \text{ kg/m}^2$  (see Appendix B). Larger LWPs are easily flagged by the combined radar-radiometer observations. Although having triple tones requires more components than that for two tones, the selected design of the system is symmetrical. The complication can be if two selected channels are too close to each other. In this case the choice of diplexer instead of triplexer was the solution to enable transmitting and receiving from the same antenna.

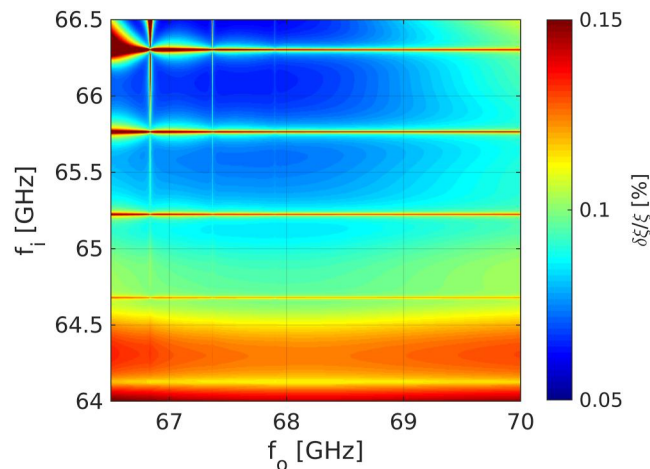
The effect of ice differential attenuation is certainly more negligible as shown in Figure 11.

### 3.5. Uncertainties in Pressure Sensitivity Parameter

The last error source to consider is the one associated with the surface pressure sensitivity,  $\xi(f_i, f_o)$ . Uncertainties in the temperature profile are the

main drivers of uncertainties in  $\xi(f_i, f_o)$ . Figure 12 shows the impact of a 1.5 K (one sigma) temperature perturbations. The relative errors remain of the order of 1% for typical frequency pair selections (which is in line with few hPa precision target).

Table 2 summarizes the errors discussed in previous sections. Overall the dominant error source is expected to be that associated with the noise in  $\Delta Z_{surf}^{att}$  with values between 2 and 4 hPa in correspondence to sensible  $SNR_o$  and with at least 10,000 independent sample. The uncertainty in the integrated water vapor is expected to be the second major error source. The error due to hydrometeors can become quite large in presence of rain; with only two channels rain flagging is mandatory. If three channels are available (or if radiometric ancillary measurements are available to constrain LWP within  $50 \text{ g/m}^2$ ) it is expected that this error can be reduced to values smaller than 0.5 hPa. Another 1 hPa is expected from temperature profile uncertainties whereas NCRS spectral dependence error contribution will be generally negligible. Overall, when adding quadratically all errors (here assumed to be independent) the magnitude of the total error will be roughly between 3 and 5 hPa. Better performances can be achieved in drier environment and when averaging for longer integration length (to get many more independent samples).



**Figure 12.** Relative uncertainty in the  $\xi$  quantity for temperature perturbations of 1.5 K (1-sigma) on the right wing of the  $O_2$  line for a US-standard atmosphere.

**Table 2**  
*Error Budget Summary Table*

Error type	Characteristics	Magnitude
NRCS spectral dependence	$\Delta\sigma_0 \propto \Delta f$ ( $\approx 0.025$ dB GHz <sup>-1</sup> )	$\approx 0.005$ dB GHz <sup>-1</sup>
noise in $\Delta Z_{surf}^{att}$	It depends on $\xi(f_i, f_o)$ , $SNR_o$ , $N$	2–4 hPa ( $N = 10,000$ )
Water vapor	Two contributions: 1) wet mass 2) differential attenuation	1 hPa m <sup>2</sup> kg <sup>-1</sup> $\approx 10^{-3}$ dB m <sup>2</sup> kg <sup>-1</sup> GHz <sup>-1</sup>
Hydrometeor	Strong differential signal Rain challenging (size dependent) $\Delta p_{surf}$ with 3rd channel	cloud: 0.05 dB m <sup>2</sup> kg <sup>-1</sup> GHz <sup>-1</sup> , rain: up to 3 × bigger than cloud less than 0.5 hPa for LWP < 1 kg/m <sup>2</sup>
Temperature profile	$\Delta\xi/\xi \approx 0.1\%$	1 hPa

*Note.* Note that a value in the range between 20 and 40 hPa/dB (see Figure 4) can be used to convert dB errors into pressure errors.

## 4. Considerations for the Design of a Space-Borne System

### 4.1. Spaceborne Simulator

In order to provide an idea about the performances of a pressure DAR system we have developed a space-borne simulator for a nadir-looking system. In principle AMAPS could adopt cross-track scanning strategy as proposed for MBARS (Privé et al., 2023) but here the study is restricted to a basic design for a non-scanning system. The simulator follows the logic implemented in Battaglia and Kollias (2019): orbits of the CloudSat satellite and the A-Train (sunsynchronous, polar, local time 2 a.m.) are used to sample the natural variability over a variety of surface, temperature, water vapor, hydrometeor conditions. The CloudSat 94 GHz (3.2 mm) Cloud Profiling Radar (CPR, Tanelli et al. (2008)) in combination with the CALIOP lidar of CALIPSO and the MODIS radiometer in the A-Train provide global observations of ice, rain and cloud profiles via the CAPTIVATE algorithm (Mason et al. (2022); Courtier et al. (2024)) at a vertical resolution of 60 m and an along-track horizontal resolution of 1.5 km. Three classes of hydrometeors are retrieved: ice, cloud and rain with mass contents for all and characteristic sizes only for ice and rain. ECMWF auxiliary data are used as input for temperature, pressure and relative humidity, thus allowing the computation of gas attenuation. These profiles can be used to produce single scattering properties (backscattering and extinction), hence radar profiles.

An example of a stratiform system over ocean is shown in Figure 13. The CloudSat reflectivity and the CAPTIVATE retrieved hydrometeor contents (where we have added up cloud, ice and rain contents) are depicted in the left and right panel, respectively. Different cloud systems are encountered during the orbit with different depths and equivalent water path amounts.

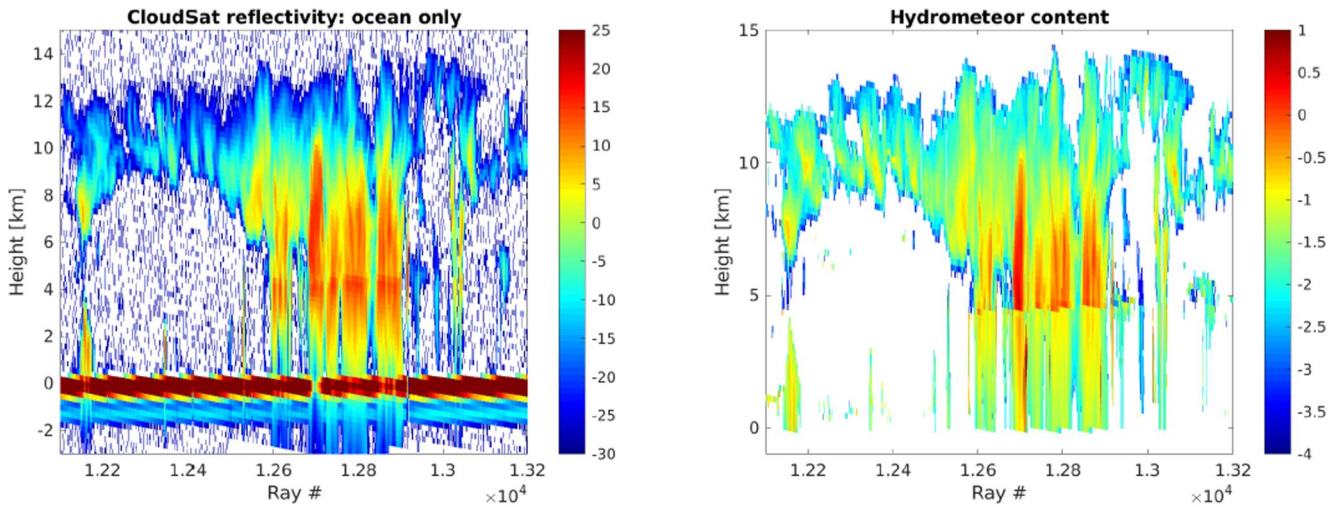
The simulation of two channels in the right wing of the 60 GHz band at 65.6 and 70 GHz is shown in Figure 14. The reflectivity at 70 GHz resembles the one from CloudSat at 94 GHz, with less attenuation in the rain layer thanks to the smaller frequency. On the other hand the impact of moving toward the center of the oxygen absorption line is pretty obvious when looking at the simulation at 65.6 and 70 GHz with increased levels of path integrated attenuation when going toward the surface. The surface returns also show a stark reduction when decreasing the frequency.

When considering all possible scenarios sampled by a polar orbiting satellite like CloudSat the cumulative pdf of cloud, rain and total (cloud + rain) liquid water path is obtained (left panel of Figure 15). The pdf of  $SNR_o$  for a spaceborne radar with the specifics of Table 1 is shown in the right panel. For more than 90% of the time it exceeds 45 dB and it is basically bounded between 40 and 60 dB. These values certainly consent to substantially reduce the errors associated to the reflectivity measurement noisiness (Section 3.1).

### 4.2. Number of Independent Samples

The number of independent samples,  $N$  is a critical quantity to achieve the targeted precision in the differential absorption signal between two tones (see Equation 9), with reflectivity uncertainties decreasing with  $\sqrt{N}$ .





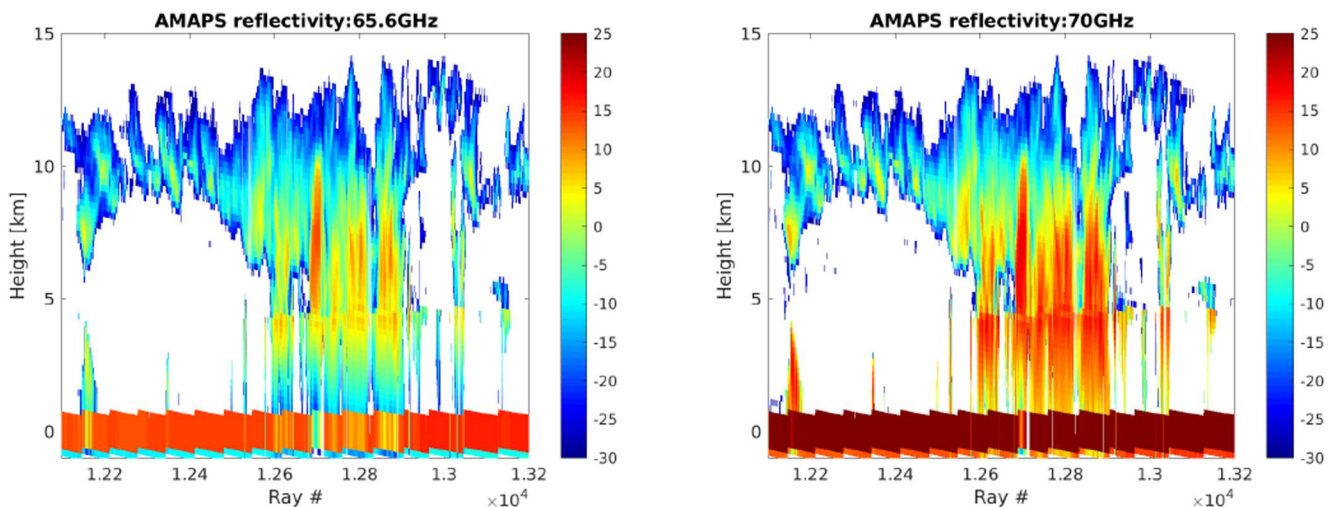
**Figure 13.** Detail of the CloudSat reflectivity (with colorbar values in dBZ, left panel) and corresponding hydrometeor contents (with colorbar values corresponding to the  $\log_{10}$  of the contents expressed in  $\text{g/m}^3$ ) as retrieved from the CAPTIVATE algorithm (right panel) for a stratiform system.

Therefore longer integration times mean more independent samples and therefore better estimation accuracy but also poorer spatial resolution. For moving platforms in Low Earth Orbits the decorrelation between pulses is mainly driven by the satellite motion (more than by the intrinsic decorrelation of the ocean surface that at the selected wavelengths of about 4–6 mm can be as long as 4–12 ms in presence of calm sea). Independent samples are collected when the platform moves by approximately half the antenna diameter,  $D$ , that is, the time to decorrelation or to independence,  $\tau_i$  is given by Meneghini and Kozu (1990) as:

$$\tau_i = 0.48 \frac{D}{v_{sat}} \quad (13)$$

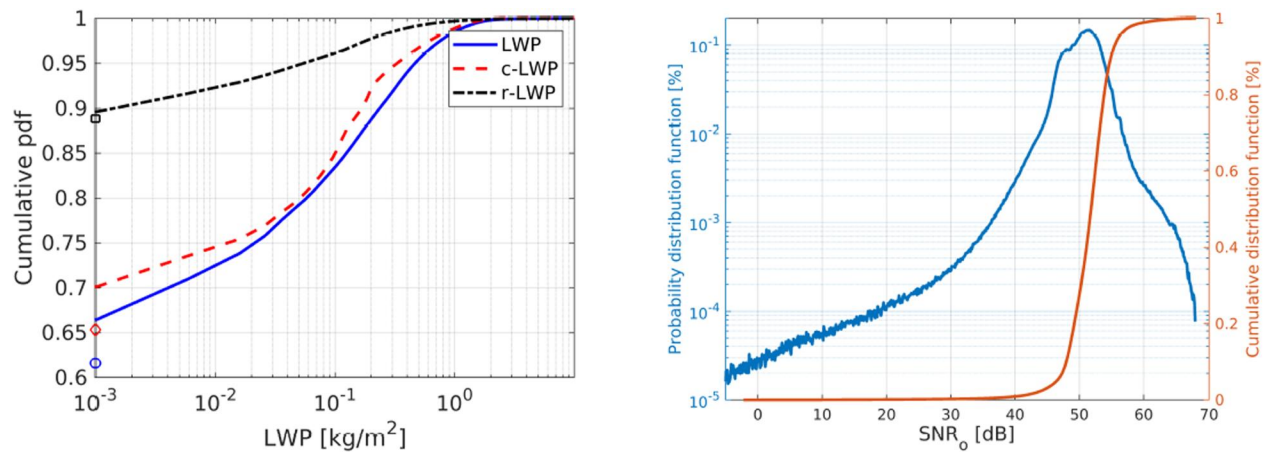
With  $D = 2$  m and  $v_{sat} \approx 7.6$  km/s (orbit at 500 km height) this gives a decorrelation time of the order of 130  $\mu\text{s}$ . Therefore, for all PRF lower than 8 kHz, successive pulses will tend to be decorrelated. So potentially a PRF = 8 kHz produces 1,143 independent sample per km of integration length.

A PRF of 8 kHz gives an unambiguous range of 18.7 km. Increasing the PRF can be troublesome because second trip echoes (Battaglia, 2021) (e.g., coming from low reflecting ice clouds) can fold into the surface return and bias



**Figure 14.** Simulation of reflectivities (with colorbar values in dBZ) for an AMAPS radar with frequency at 65.6 and 70 GHz in correspondence to the scene shown in Figure 13. A pulse width of 3.3  $\mu\text{s}$  is assumed.





**Figure 15.** Left panel: cumulative PDF for the cloud, rain and total LWP. Right panel: distribution and cumulative distribution of  $SNR_o$  (a 70 GHz channel has been assumed). The specs of Table 1 for the pressure mode have been used. The full 2008 years CloudSat data set over the ocean has been used to build the statistics for both panels.

the estimate of the surface reflectivity. This is particularly relevant for the inner tone, for which the surface return will be dimmed because of the strong  $O_2$  attenuation occurring in the lower layers. A biases lower than 0.01 dB corresponds in linear units to a relative change of about 0.2%, which will be caused by a target with a reflectivity  $-27$  dB lower than the surface return. For a pulse length of  $1 \mu\text{s}$  the inner tone reflectivity peak is expected to be  $5\text{--}10$  dB above the single pulse sensitivity (i.e., between 0 and 5 dBZ) so very tenuous ice clouds (between  $-27$  and  $-22$  dBZ) can cause biases. Therefore it is recommended to keep the PRF to values lower than 6 kHz (and unambiguous ranges higher than 25 km). This produces 857 independent samples per km of along track integration. Instead of increasing the PRF, frequency diversity could be used to transmit more pulses and avoid second trip echoes (Meneghini & Kozu, 1990).

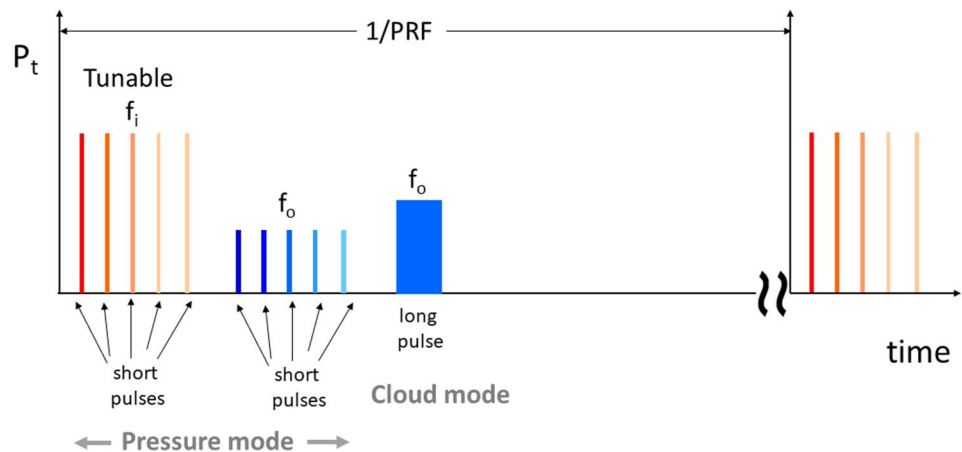
### 4.3. Pulse Length

In general a longer (shorter) pulse requires smaller (larger) receiver bandwidth. Under the assumption that the same amount of energy is transmitted for any given pulse (i.e., the peak power is inversely proportional to the pulse length) then an increase of the pulse length (with a corresponding reduction of the receiver bandwidth to match the inverse of the pulse length) has the advantage of improving the radar sensitivity to hydrometeors but there is no impact for surface  $SNR$ . The same applies when adopting pulse compression (see discussion in Appendix C). The only advantage of adopting shorter pulses is of increasing the surface to hydrometeor ratio. This will curb possible biases in surface return introduced by low level cloud and precipitation contamination and by second trip echoes; in addition shorter pulses are conducive to lower duty cycles.

### 4.4. Tone Selection

The tone selection must be driven by different consideration:

- Try to maximize pressure sensitivity (Figure 4) but at the same time keep the tone as close as possible to minimize the impact of spectral variability of  $\sigma_0$  and differential attenuation due to cloud and rain (and water vapor). Ceteris paribus (e.g., with the same PRF) the first priority to achieve better performances is to improve SNR. This is accomplished by producing the highest possible energy per pulse. With the same SNR, shorter pulses are preferable because there will be less contamination associated to low level clouds and precipitation.
- Avoid ITU-prohibited regions. Currently the only bands allocated for active transmissions are between 65 and 66 GHz and near 78 GHz. The band between 65 and 66 GHz is very favorable because as demonstrated in Figure 5 it coincides with the optimal inner frequency selections for the characteristic  $SNR_o$  values. Ideally the outer frequency should not be higher than 70 GHz because the error associated to the surface reflectivity measurement does not decrease for higher values (Figure 6) whereas all other errors are proportional to  $f_0 - f_i$ .
- Avoid secondary absorption lines at about 64.68, 65.22, 65.76, 66.30 GHz (see Figure 3) that are more sensitive to temperature errors (Figure 12).



**Figure 16.** Pulse sequence for a DAR system with a pressure mode consisting of two trains of frequency-diverse short pulses around  $f_i$  and  $f_o$ , and a cloud/precipitation mode with a single long pulse at  $f_o$ . For this configuration five different frequencies (indicated by different colors) slightly different from  $f_i$  (reddish colors) and  $f_o$  (blueish colors) are envisaged.

One proposed pulse scheme for a DAR system is illustrated in Figure 16. The radar has a “pressure” and a “cloud” mode characterized by short (e.g., 1  $\mu$ s) and long (e.g., 3.3  $\mu$ s) pulses. The longer pulse in fact has the advantage of improving by roughly ( $2 \times 5.2 = 10.4$  dB) the hydrometeor sensitivity of the system.

In order to increase the number of independent samples frequency diversity is adopted in the pressure mode around both the inner and the outer frequency. The different colored tones should be only separated by few MHz. Ideally the inner frequency should be tunable in order to optimize the precision of the differential radar reflectivities (as discussed in Section 3.1). Also it can be advantageous to try to balance the different channels in terms of SNR by sending more power to the inner than to the outer tones.

Similarly to what already suggested in the past (Kollias et al., 2007), an interlaced (“cloud”) mode with longer pulse duration should be envisaged to profile clouds and precipitation. This could be particularly effective for flagging rain contaminated profiles (drizzles occur at reflectivities larger than  $-20/-15$  dBZ, Liu (2008)) and weaker echoes high in the troposphere that, when folded, could produce harmful biases.

## 5. Conclusions and Recommendations

We summarize some of the key findings of this study.

- AMAPS systems that uses the differential signal of the surface return from tones inside the  $O_2$  absorption band have great potential to retrieve the surface pressure with errors of the order of few hPa. Because such errors correspond to uncertainties of the order of few ‰, the AMAPS measurements require a tight control of all potential sources of errors.
- There are random and systematic errors associated to the AMAPS measurements linked to the different terms that appear in Equation 7.
  1. Uncertainties in the temperature profile are the main error sources for the pressure sensitivity term  $\xi(f_i, f_o)$ . Typically temperature profiles must be known within 1K (random errors) to be able to estimate  $\xi(f_i, f_o)$  within few ‰. Uncertainties in temperature profiles can be more severe inside clouds and precipitation because of the presence of latent heat release and radiative effects. Therefore such conditions may be more challenging than clear sky conditions.
  2. Water vapor differential attenuation signal shall be estimated and corrected. Random and biases on IWV contents will translate into errors in pressure estimate. Example of how uncertainties in IWV propagates into uncertainties of pressure are shown in Figure 8. Again estimates of IWP are more uncertain in presence of clouds and precipitation.
  3. Cloud and precipitation contribute to differential attenuation; even in presence of 50 and 100  $g/m^2$  columnar contents of rain and cloud, respectively, biases in pressure estimates of the order of few hPa are

expected. To minimize the biases the different tones of the DAR should be selected as close as possible, compatibly with pressure sensitivity. The impact of rain can be up to 5 times bigger than cloud for the same amount of columnar water and it is strongly dependent on the DSD details. Methodologies to flag the presence of rain and cloud should be developed. Otherwise differential attenuation corrections could be developed based on methods capable of estimating cloud liquid water path for cloud only and of rain liquid water path and characteristic sizes for rain only or both in presence of cloud and rain.

If three tones are available it is possible to use the extra tone to estimate and correct for the impact of the hydrometeor differential attenuation. The methodology seems to work very well for cloud conditions; in presence of rain the correction deteriorates at large rain water paths (exceeding  $1 \text{ kg/m}^2$ ). Such situations can be easily flagged.

4. The variability of  $\sigma_0$  with frequency also contribute to the overall error. Again this error, like 2. and 3. will increase with the separation between the channels and Fresnel models can be used to mitigate biases introduced by this effect. Such corrections should bring errors in surface pressure well below the hPa value. More studies are recommended to understand if the correction based on Equation 10 can actually achieve such level of errors.
- The selection of the optimal frequency pair is driven by the  $SNR_o$ , that is, the signal to noise ratio that would be measured in the outer band. Such level depends on the radar sensitivity and on the specific scene under observation (water vapor and hydrometeors cause attenuation). If  $SNR_o$  is quite large then the in-band channel can be positioned closer to the band center. Ideally this selection could be adaptive if the system had the possibility of changing the inner frequency in a spectral band (e.g., between 65 and 66 GHz).
  - The first priority to improve AMAPS SNR, thus its performances, should be to produce the highest possible energy per pulse. Pulse compression could be (marginally) useful in this respect. With the same SNR shorter pulses are preferable because there will be less contamination associated to low level clouds and precipitation. An interlaced mode with longer pulse duration should be envisaged to detect and flag precipitation and to profile clouds, especially if high PRF (thus short unambiguous ranges) are foreseen.
  - To reduce the uncertainties in the reflectivity estimates it maybe potentially useful to increase the number of samples, thus, with the same amount of mean transmitted power, to operate at high duty cycles, in other words to trade-off SNR with number of pulses. However high PRF may be extremely dangerous for second trip echoes biasing the surface signal. Solutions like frequency diversity should be explored to be able to collect a sufficient number of pulses for achieving the required precision. This balance between SNR and number of pulses needs to be carefully studied for each ad-hoc configuration.
  - The water vapor (particularly the integrated amount which is directly proportional to its partial pressure) must be known very well, ideally within  $1 \text{ kg/m}^2$ , in order to avoid that uncertainties associated to this quantity propagate into surface pressure uncertainty. This can be challenging in very humid conditions and/or where strong horizontal moisture gradients are present and requires to have a co-located dedicated radiometer. The definition of the specifics of such an ancillary radiometer should be the topic of further studies.

## Appendix A: Estimation of Surface Signal Error

In general the voltage at the input detector is the sum of the contributions from the surface and the system noise:

$$V_{tot} = V_{surf} + V_{noise} \quad (A1)$$

The goal here is to compute the error on the surface power after noise subtraction. Assuming that the in-phase (in-quadrature) components of  $V_{surf}$  and  $V_{noise}$  are uncorrelated zero-mean Gaussian random variables, the in-phase (in-quadrature) component of  $V_{tot}$  is also a zero-mean Gaussian with a variance equal to the sum of the variances of the in-phase (in-quadrature) components. An estimate of the power formed from  $N$  independent samples is:

$$\hat{P}_{tot} = \frac{1}{N} \sum_{i=1}^N V_{tot}[i] V_{tot}^*[i] = (\bar{P}_{surf} + \bar{P}_{noise}) \quad (A2)$$

where  $\bar{P}_{surf}$  and  $\bar{P}_{noise}$  are the mean values of the surface and system noise powers and where the probability distribution of  $P_{tot}$  is a simple exponential (Doviak & Zrnić, 1993) with a standard deviation equal to the mean, so that the standard error of  $\hat{P}_{tot}$  is given by:

$$\sigma(\hat{P}_{tot}) = \frac{\hat{P}_{tot}}{\sqrt{N}} \quad (A3)$$

To find the surface return power,  $P_{surf}$ , consider the estimate:

$$\hat{P}_{surf} = \hat{P}_{tot} - \hat{P}_{noise} \quad (A4)$$

where the second term is the estimate of the noise derived by  $M$  independent samples in the absence of a surface signal. An estimate of the quality of the estimate of  $P_r^{surf}$  is the ratio of its standard deviation,  $\sigma(\hat{P}_{surf})$  and its mean estimate,  $\hat{P}_{surf}$ :

$$\frac{\sigma(\hat{P}_{surf})}{\hat{P}_{surf}} = \sqrt{\frac{1}{N} \left[ \left(1 + \frac{1}{SNR}\right)^2 + \frac{1}{SNR^2} \frac{N}{M} \right]} = \frac{1}{SNR} \sqrt{\frac{1}{N} \left[ (1 + SNR)^2 + \frac{N}{M} \right]} \quad (A5)$$

where  $SNR = \frac{\hat{P}_{surf}}{\hat{P}_{noise}}$  is the signal-to-noise per pulse. In general we expect that  $M \gg N$  because we can get many more independent samples of the noise so that Equation A5 becomes:

$$\frac{\sigma(\hat{P}_{surf})}{\hat{P}_{surf}} \approx \sqrt{\frac{1}{N} \left(1 + \frac{1}{SNR}\right)} \quad (A6)$$

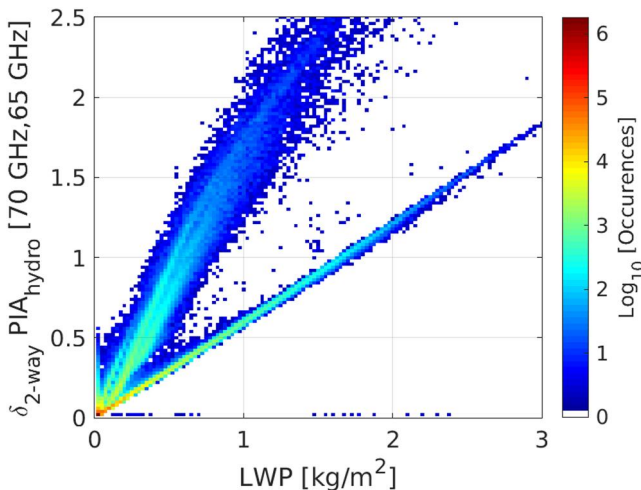
## Appendix B: Triple Tone Approach

Hydrometeor can be very detrimental for AMAPS. This is illustrated in Figure B1 which shows that the  $\Delta PIA_{hydro}$  can reach almost 2 dB for the pair (65,70) GHz. With closer frequencies the result is expected to scale with frequency difference. But this is anyhow equivalent to a pressure bias of several tenths of hPa, clearly hindering the achievement of the system requirements. From Figure B1 it is also clear that the differential attenuation tends to increase with the LWP but with two different modes: a cloud mode and a rain mode (they correspond to the lower and upper clusters in Figure B1). This makes the correction utterly difficult, because not only the LWP but also the partitioning between cloud and rain must be determined. The only viable option would be to flag the presence of rain with the cloud mode and use the radiometer to accurately estimate low LWP contents.

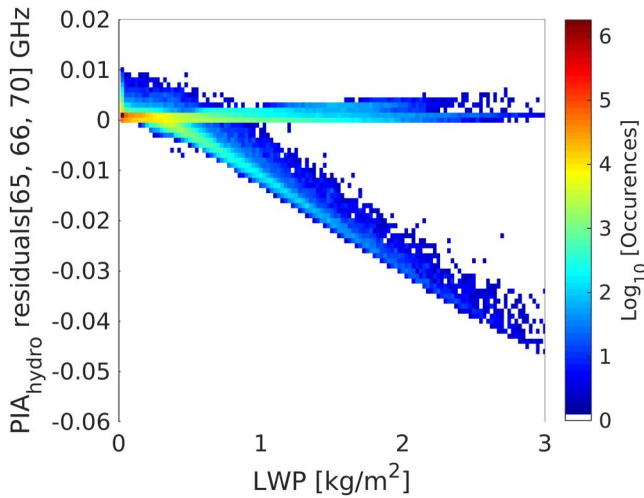
A different approach is here propose that uses at least a triplet of frequencies (with a third frequency located between  $f_i$  and  $f_o$ . With such additional measurement it is possible to write a system of equations:

$$\Delta Z_{surf}^{att}(f_o, f_i) - \Delta \sigma_0(f_o, f_i) = \xi(f_i, f_o) P_{surf}^{dry} + 40 \log_{10} \left[ \frac{f_i}{f_o} \right] - \Delta_2 [PIA_{hydro} + PIA_{wv}](f_o, f_i) \quad (B1)$$

$$\Delta Z_{surf}^{att}(f_o, f_m) - \Delta \sigma_0(f_o, f_m) = \xi(f_m, f_o) P_{surf}^{dry} + 40 \log_{10} \left[ \frac{f_m}{f_o} \right] - \Delta_2 [PIA_{hydro} + PIA_{wv}](f_o, f_m) \quad (B2)$$



**Figure B1.** Density plot for the differential two-way PIAs caused by hydrometeors for the 65–70 GHz pair as a function of the total liquid water content. CloudSat profiles over ocean have been considered where the 2C-product is available (i.e., profiles with extremely high precipitation are excluded).



**Figure B2.** Density plot for the residual term expressed in Equation 26 in dB/GHz caused by hydrometeors for the 65-66-70 GHz triplet as a function of LWP.

where the hydrometeor effect can be eliminated in this system under the assumption that the differential PIA is linearly proportional to the frequency difference, that is:

$$\Delta_2 PIA_{hydro}(f_i, f_j) \propto \Delta f = \gamma_{ij}(c - LWP, r - LWP)\Delta f \quad (B3)$$

where the term  $\gamma(c - LWP, r - LWP)$  will depend on the LWP and the partitioning between rain (r-LWP) and cloud (c-LWP) but very slightly on the frequency. Of course this assumption is more and more valid when considering narrower and narrower range of tone frequencies. By dividing Equations B1 and B2 by  $\Delta f_{i,o}$  and  $\Delta f_{m,o}$ :

$$\frac{\Delta Z_{surf}^{att}(f_o, f_i)}{f_o - f_i} = \frac{\xi(f_i, f_o)}{f_o - f_i} p_{surf}^{dry} - \gamma_{i,o} + A(f_i, f_o, IWP, \sigma_0) \quad (B4)$$

$$\frac{\Delta Z_{surf}^{att}(f_o, f_m)}{f_o - f_m} = \frac{\xi(f_m, f_o)}{f_o - f_m} p_{surf}^{dry} - \gamma_{m,o} + B(f_o, f_m, IWP, \sigma_0) \quad (B5)$$

where  $A$  and  $B$  include the water vapor and  $\sigma_0$  corrections and the frequency scaling factors. By subtracting Equation B6 from Equation B5  $\gamma$  will practically cancel out and  $p_{surf}^{dry}$  will be determined as:

$$p_{surf}^{dry} = \frac{\frac{\Delta Z_{surf}^{att}(f_o, f_i)}{f_o - f_i} - \frac{\Delta Z_{surf}^{att}(f_o, f_m)}{f_o - f_m} - A(f_i, f_o, IWP) + B(f_o, f_m, IWP) + \gamma_{i,o} - \gamma_{m,o}}{\frac{\xi(f_i, f_o)}{f_o - f_i} - \frac{\xi(f_m, f_o)}{f_o - f_m}} \quad (B6)$$

from the measurements of the surface reflectivities at the three tones.

The cancellation between the two terms  $\gamma_{i,o}$  and  $\gamma_{m,o}$  caused by hydrometeor PIA works well. The residuals

$$\eta \equiv \gamma_{m,o} - \gamma_{i,o} = \frac{\Delta PIA_{hydro}(f_o, f_m)}{f_o - f_m} - \frac{\Delta PIA_{hydro}(f_i, f_o)}{f_o - f_i} \quad (B7)$$

are generally small. In Figure B2 we show the result for the triplet 65, 66 and 70 GHz. The two branches correspond to cloud (horizontal one) and rain (descending one). This means that, if Equation B7 is used, biases introduced by cloud and rain are less than 0.5 hPa certainly for cloudy and rainy conditions with LWP less than 1 kg/m<sup>2</sup>. In fact in such conditions the parameter  $\eta$  shown in Figure B2 is less than 0.01 dB/km whereas the term at the denominator of Equation B7 is of the order of 0.02 dB/(hPa GHz) for the examined triplet.

As a rule of thumb, because of the amplitude of the differential attenuation signal certainly in situations with LWP exceeding 2 kg/m<sup>2</sup> it will be very tricky to correct for hydrometeor attenuation. This situation will be very easy to flag because the impact of such hydrometeor will exceed 8 dB in the two way attenuation (see Figure 9). Rain with LWP exceeding 2 kg/m<sup>2</sup> is easy to flag due to its intermittent signal.

### Appendix C: Impact of Pulse Scheme on Surface and Hydrometeor SNR and Number of Samples

Pulse compression methods are known to be useful for improving the range resolution and avoid high peak powers. Let's assume that the desired resolution is  $\Delta r$  (e.g. 100 m). If we used a quasi-monochromatic pulse of length  $\tau$  then  $\Delta r = c\tau/2$ . With pulse compression, with a pulse of duration  $\tau'$  and a modulated frequency with bandwidth  $B'$  an effective range resolution  $\Delta r' = c/(2B')$  can be achieved with a pulse compression gain  $G_{pc} = B'\tau'$ .

In order to derive the performance of a pulse compression system it is useful to remember that a pulse compression system transmitting a power  $P_t$  for a duration  $\tau'$  is equivalent to a quasi-monochromatic pulse of



**Table C1**  
Impact of the Pulse Scheme (Quasi-Monochromatic vs. Pulse Compression) on SNR for Hydrometeor and Surface Targets

Quantity	Quasi-monochromatic pulse	Pulse compression
Transmitted power	$P_t$	$P'_t$
Pulse length	$\tau$	$\tau'$
Pulse energy	$P_t\tau$	$P'_t\tau'$
Noise figure	$F$	$F'$
Resolution	$\Delta r = c\tau/2$	$\Delta r' = c/(2B')$
NOISE		
Noise	$P_r^{noise} \propto BF$	$P_r^{noise'} \propto B'F'$
HYDROMETEORS		
Signal	$P_r^{hydro} \propto P_t\tau$	$P_r^{hydro'} \propto P'_t\tau'$
Signal to noise ratio	$SNR \propto \frac{P_t\tau}{BF}$	$SNR' \propto \frac{P'_t\tau'}{B'F'}$
SURFACE PEAK		
Signal	$P_r^{surf} \propto P_t$	$P_r^{surf'} \propto P'_tB'\tau'$
Signal to noise ratio	$SNR \propto \frac{P_t}{BF}$	$SNR' \propto \frac{P'_t\tau'}{B'F'}$

peak power  $P'_tB'\tau'$  and duration  $1/B'$  (Meneghini & Kozu, 1990). The received powers from noise, hydrometeor and surface peak are derived for the two systems in Table C1.

For *atmospheric targets*, pulse compression has the benefit of typically improving the range resolution compared to the standard pulse ( $\Delta r' < \Delta r$ ). Therefore this corresponds to an increase in the number of independent samples for each backscattering volume of range depth  $\Delta r$  equal to:

$$m = \frac{\Delta r}{\Delta r'} = \tau B' = G_{pc} \frac{\tau}{\tau'}$$

However, this has a drawback on the SNR per pulse. If it is assumed that the average power transmitted remains constant ( $P_t\tau = P'_t\tau'$ ) and that the noise figures  $F$  and  $F'$  are the same, then in the two configurations ( $SNR/SNR'$ )<sub>hydro</sub> =  $B'/B \approx B' \tau = m$ . Therefore, for atmospheric distributed targets, the increased number of samples in the pulse compressed signal associated to the finer range resolution is compensated by a decrease of the same factor in the SNR.

On the other hand, for *the surface return*, no improvement in the number of samples is obtained. No matter the range resolution a single pulse or chirp will

only provide a single independent sample. Similarly, assumed  $P_t\tau = P'_t\tau'$ ,  $F = F'$  there is no change in the SNR, that is:  $(SNR/SNR')_{surf} = 1/(\tau B) \approx 1$ .

As a result, in AMAPS systems, pulse compression does not seem to be a critical feature. Pulse compression can be beneficial because it allows to send out energy with smaller peak power (spread over longer time). On the other hand, frequency diversity allows to trade-off number of independent pulses over single pulse SNR, hence it can play a much more relevant role in AMAPS systems.

A final consideration: for both quasi-monochromatic and chirped pulses the surface peak to hydrometeor ratio is proportional to  $1/\tau$  and  $B'$ , respectively. Thus having finer range resolution is advantageous to enhance the surface compared to the hydrometeor signal. This could be beneficial in presence of low clouds/precipitation.

## Data Availability Statement

The CAPTIVATE data set is available at <https://zenodo.org/records/10552039> website (Courtier et al., 2024).

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