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# Experimental Validation of QoT Computation in Mixed 10G/100G Networks

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**Abstract:** We validate experimentally a quality-of-transmission estimator of the non-linear impairment on coherent 100G channels propagating with 10G channels on dispersion-managed links for network planning and path computation. Presented estimations are conservative, spectrally and spatially disaggregated. © 2021 The Author(s)

## 1. Introduction

To cope with increasing traffic demands and to attain greater network flexibility, operators are pushing towards the concepts of open and disaggregated networking, targeting the implementation of open hardware/software management and devices interoperability in order to overcome the vendor lock-in and retain sustainable network expansion [8, 10, 14]. The long-haul market is dominated by coherent transceivers capable of 100+ Gbps transmission on dispersion uncompensated (DU) optical line systems (OLS). Meanwhile, the metro segment of up to hundreds of kilometers is still widely operated by intensity modulated, direct-detected (IMDD) transceivers at 10 Gbps on dispersion-managed (DM) OLSs [3], as the coherent upgrade remains too costly. In this scenario, the ability to route a 100G lightpath through a DM OLS section loaded with existing 10G traffic would be beneficial in improving routing flexibility and provide CAPEX leverage. For this interoperability, a path computation engine (PCE) able to determine the feasibility of these mixed transmission paths is crucial, and requires a fast and efficient quality of transmission estimator (QoT-E) for the QoT degradation estimation experienced by 100G channels. Open software tools such as GNPY [9] already exist as QoT-Es for full coherent transmission on DU OLS, but do not hold for mixed 10G-100G transmission on DM-OLS. In this paper we experimentally validate the analytical model presented in [5, 6], showing that it provides a conservative estimation of the QoT degradation due to the 10G-to-100G cross phase modulation (XPM), i.e. the XPM written by 10G channels upon a 100G channel, tailored to a network control plane implementation.

## 2. Spectrally/Spatially Disaggregated Modeling

QoT degradation due to amplified spontaneous emission (ASE) and non-linear interference (NLI) noise in coherent-only propagation on DU links is comprehensively described by the generalized signal-to-noise ratio (GSNR), considering both noise sources as additive and Gaussian, assuming that non-linear phase noise (NLPN) is completely recovered by the carrier phase estimation (CPE) stage in digital signal processing (DSP) based receivers [1, 11]. In this case, as depicted in Fig. 1a, the network can be abstracted as a topological graph whose nodes and edges are the add-drop sites and OLSs linking them, respectively, with the latter weighted by the GSNR degradation of the corresponding OLS, allowing for easy path feasibility computation as the cascade of GSNR degradations sum up in parallel [1]. Due to the polarized nature of the 10G signals and the optical dispersion compensation of DM OLSs, by means of inline dispersion compensating units (DCU), the Gaussian noise (GN)

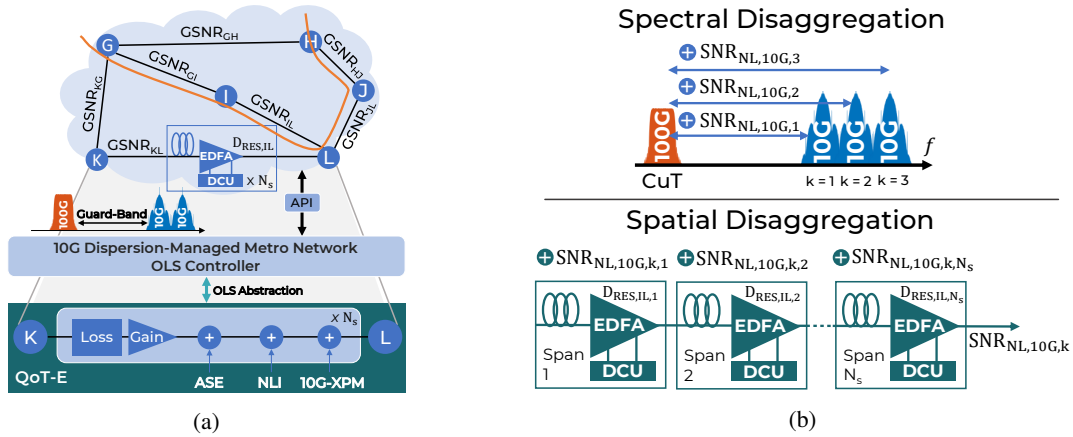


Fig. 1: (a) Network abstraction as a GSNR-weighted graph. ASE and NLI are modeled as equivalent additive Gaussian noises. (b) Outline of *spectral* and *spatial* disaggregation. The sum sign stands for inverse SNR summing.

model [15] for coherent, DU transmission does not apply for the 10G-to-100G XPM estimation, as the interaction between XPM and random birefringence becomes significant due to the random 10G state of polarization evolution. Such scenario strongly impacts 100G QoT, commonly controlled setting a guardband between 10G comb and 100G channels [2, 12]. In [5, 6] we have proposed and validated a simple approach to model the 10G-to-100G XPM as a further additive noise source (Fig. 1a) by means of split-step Fourier method (SSFM) simulations, as the NLPN part is recovered by the CPE stage [4]. Hence, the overall 10G-to-100G SNR degradation contribution sums in parallel to the GSNR with other SNR degradations due to the ASE and NLI.

$$\text{SNR}_{\text{NL},10\text{G},k,n}^{-1} = \sin^2 2\theta_n E[|\sin \Delta\phi_{k,n}|^2] \quad (1)$$

Eq. 1 gives the 10G-to-100G XPM SNR degradation originating from the  $k$ -th 10G channel and the  $n$ -th span of the crossed OLS.  $\theta_n$  is a birefringence equivalent polarization rotation for the  $n$ -th span and  $\Delta\phi_{k,n}$  depends on the polarization aligned phase noise introduced by the  $k$ -th 10G channel on the  $n$ -th span [5, 6]. The model assumes that random birefringence couples the  $\Delta\phi_{k,n}$  phase noise term in an additive polarization crosstalk noise.  $\Delta\phi_{k,n}$  has been shown to converge after some spans to a worst-case asymptotic value depending only on the inline residual dispersion  $D_{\text{RES,IL}}$  left at the span end rather than on the dispersion coefficient [5, 6], so that the model is worst-case and *spatially disaggregated*, i.e. the QoT degradation introduced on the  $n$ -th span depends solely on the span itself and exhibits no coherency w.r.t. the propagation history. The model is also *spectrally disaggregated*, i.e. the QoT degradation on the 100G by the  $k$ -th 10G pump does not require knowledge of the other 10G pumps. Hence, the overall degradation after  $N_s$  spans due to  $N_p$  10G pumps is given by the inverse SNR sum of the Eq. 1 terms:

$$\text{SNR}_{\text{NL},10\text{G}}^{-1} = \sum_{k=1}^{N_p} \sum_{n=1}^{N_s} \text{SNR}_{\text{NL},10\text{G},k,n}^{-1} \quad (2)$$

The spectral and spatial disaggregation properties, as outlined in Fig. 1b, represent a strong requirement for network orchestration since they reduce the PCE complexity in real-time lightpath deployment operations.

### 3. Experimental Setup and Validation

We here report the methodology and results of the experimental validation of the model carried out synergistically with SM-Optics laboratories. The 16-span setup is outlined in Fig. 2a. 17 amplified nodes are cascaded, using G.652 fiber spans with average fiber lengths of 50 km. The dispersion map is set to the nominal value of  $D_{\text{RES,IL}} = 50$  ps/nm by placing a DCU at the end of each fiber span. The actual  $D_{\text{RES,IL}}$  values at the end of the  $n$ -th span may deviate significantly from the nominal value due to DCU granularity, however they were arranged in such a way that their average value is of 49.2 ps/nm. Terminal 2 is used to loopback the system, in order to use the same nodes twice (in different directions). Two power shaper nodes are used in the chain to control channel power equalization. Amplifier gain is set to transparency, so that the variation of the first node power is equally reflected in all subsequent spans, guaranteeing an equal power per channel of -1 dBm in all fiber spans. The OLS has been loaded with 12x 10G channels spaced 50 GHz apart, placed at a guardband of 100 GHz away from a commercial 100G probe with 28 GBaud PM-QPSK modulation. The DSP includes CPE algorithms which are able to substantially recover the NLPN. The first step has been to characterize the 100G performance when operating within the linear regime. This was performed by propagating the 100G probe alone with a sufficiently low channel power in order to avoid non-linearity generation. The signal is then progressively loaded at the receiver with ASE noise. We thus obtained the curve of the  $\text{OSNR}_{\text{lin}}$  set by noise loading and measured with an OSA, and its corresponding BER measured by error counting. In such conditions,  $\text{OSNR}_{\text{lin}}$  thus represents the overall available GSNR for that transceiver, as no NLI noise is present. Secondly, we characterize the single-channel non-linear

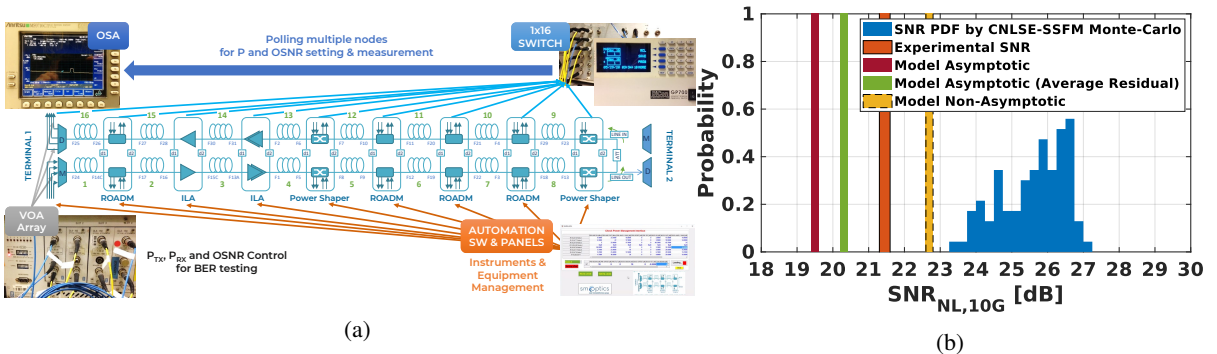


Fig. 2: (a) Description of the 16 span OLS experimental setup using commercial equipment. (b) 10G-to-100G XPM experimental SNR of Fig. 2a setup compared to PDF estimated by CNLSE-SSFM simulations and analytical model results.

interference (SCI) by setting the 100G probe power to the -1 dBm target level and repeated the noise loading process to obtain the  $\text{OSNR}_{\text{SCI}}$  (by OSA) vs BER curve. Since the OSA only catches up the ASE noise and we assume the SCI as additive noise, its QoT degradation  $\text{SNR}_{\text{SCI}}$  is obtained by the inverse SNR difference as  $(1/\text{OSNR}_{\text{lin}} - 1/\text{OSNR}_{\text{SCI}})^{-1}$ , after interpolation of the linearity and SCI curves to the same BER value. We then lit up the 12x 10G pumps comb and repeated the process to obtain the aggregated  $\text{SNR}_{\text{SCI,XPM}}$  due to SCI and 10G-to-100G XPM as  $(1/\text{OSNR}_{\text{lin}} - 1/\text{OSNR}_{\text{SCI,XPM}})^{-1}$ . Finally, the experimental  $\text{SNR}_{\text{NL,10G}}$  is obtained by removing the SCI contribution as the inverse difference in linear units  $(1/\text{SNR}_{\text{SCI,XPM}} - 1/\text{SNR}_{\text{SCI}})^{-1}$  and averaging over the noise loading points. Fig. 2b reports the experimental  $\text{SNR}_{\text{NL,10G}}$  compared to the model results and its probability density function (PDF) estimation (in blue) obtained with an SSFM-based Monte-Carlo simulation campaign that averages over 100 realizations of the random birefringence polarization rotation process solving the coupled non-linear Schroedinger equation (CNLSE) [13]. This was performed upon the same experimental setup using our FFSS [7] simulation framework, where the 10G-to-100G XPM has been isolated with ideal noiseless amplifiers and a sufficiently low 100G probe power, in order to intercept the theoretical worst case of the phenomenon. Both the experimental and model values are conservative w.r.t. the SSFM minimum SNR, with a 2.1 dB experimental deviation, presumably due to the measurement uncertainties deriving from the differential measuring process and amplifier working points. The yellow bar reports the model estimation using the non-asymptotic  $\Delta\phi_{k,n}$  phase noise values obtained from polarization aligned simulations on identical residuals sequence to the experimental setup. As this adheres to the actual noise accumulation, there is a difference of only 0.67 dB from the SSFM theoretical worst case, confirming a good accuracy of the modeling approach, although being non-conservative w.r.t. the experimental value. The other two bars report the worst-case version of the model, obtained using the asymptotic  $\Delta\phi_{k,n}$  values. The red uses the asymptotic  $\Delta\phi_{k,n}$  corresponding to the exact  $D_{\text{RES,IL}}$  values found at the end of each experimental setup span. The green assumes that all the spans have  $D_{\text{RES,IL}}$  equal to the 49.2 ps/nm average residual value. Both bars deliver a conservative value w.r.t. the experimental one, with a gap of 1.83 and 1.00 dB, respectively, which we consider an acceptable accuracy when estimating a single part of the total non-linear effects in this transmission scenario. This confirms a good match of the QoT-E with a commercial equipment setup and still allows conservative operation, even when the exact residual dispersion distribution of the deployed OLS is not known and one should rely only on its nominal average value.

#### 4. Conclusions

We have experimentally validated a QoT-E for conservative estimation of the QoT degradation induced by the non-linear crosstalk from 10G channels to a 100G coherent channel in a *spectrally* and *spatially* disaggregated manner.

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