

Arm muscle synergies enhance hand posture prediction in combination with forearm muscle synergies

*Original*

Arm muscle synergies enhance hand posture prediction in combination with forearm muscle synergies / Tanzarella, Simone; Di Domenico, Dario; Forsiuk, Inna; Boccardo, Nicolò; Chiappalone, Michela; Bartolozzi, Chiara; Semprini, Marianna. - In: JOURNAL OF NEURAL ENGINEERING. - ISSN 1741-2552. - ELETTRONICO. - 21:(2024), p. 026043. [10.1088/1741-2552/ad38dd]

*Availability:*

This version is available at: 11583/2991005 since: 2024-07-18T15:20:51Z

*Publisher:*

IOP Publishing

*Published*

DOI:10.1088/1741-2552/ad38dd

*Terms of use:*

This article is made available under terms and conditions as specified in the corresponding bibliographic description in the repository

*Publisher copyright*

(Article begins on next page)

PAPER • OPEN ACCESS

## Arm muscle synergies enhance hand posture prediction in combination with forearm muscle synergies

To cite this article: Simone Tanzarella *et al* 2024 *J. Neural Eng.* **21** 026043

View the [article online](#) for updates and enhancements.

You may also like

- [Concurrent and continuous estimation of multi-finger forces by synergy mapping and reconstruction: a pilot study](#)  
Zhicheng Teng, Guanghua Xu, Xun Zhang et al.
- [Modulation of muscle synergies for multiple forearm movements under variant force and arm position constraints](#)  
Yanjuan Geng, Hanjie Deng, Oluwarotimi Williams Samuel et al.
- [Muscle synergies for predicting non-isometric complex hand function for commanding FES neuroprosthetic hand systems](#)  
Natalie M Cole and A Bolu Ajiboye

# Breath Biopsy Conference

BREATH BIOPSY<sup>®</sup>

Join the conference to explore the **latest challenges** and advances in **breath research**, you could even **present your latest work!**



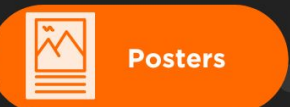
5th & 6th November  
Online



Main talks



Early career sessions



Posters

Register now for free!



## PAPER

## OPEN ACCESS

RECEIVED  
6 September 2023REVISED  
22 March 2024ACCEPTED FOR PUBLICATION  
28 March 2024PUBLISHED  
15 April 2024

Original content from this work may be used under the terms of the [Creative Commons Attribution 4.0 licence](https://creativecommons.org/licenses/by/4.0/).

Any further distribution of this work must maintain attribution to the author(s) and the title of the work, journal citation and DOI.



# Arm muscle synergies enhance hand posture prediction in combination with forearm muscle synergies

Simone Tanzarella<sup>1,\*</sup> , Dario Di Domenico<sup>2,3</sup> , Inna Forsiuk<sup>2</sup>, Nicolò Boccardo<sup>2,5</sup> , Michela Chiappalone<sup>2,4</sup> , Chiara Bartolozzi<sup>1</sup> and Marianna Semprini<sup>2</sup>

<sup>1</sup> Event-Driven Perception, Italian Institute of Technology, Via San Quirico, 19, 16163 Genova, GE, Italy

<sup>2</sup> Rehab Technologies Lab, Italian Institute of Technology, Via Morego, 30, 16163 Genova, GE, Italy

<sup>3</sup> Department of Electronics and Telecommunications, Politecnico di Torino, Turin 10124, Italy

<sup>4</sup> Bioengineering Lab, University of Genova, DIBRIS, Genova, Italy

<sup>5</sup> Open University Affiliated Research Centre at Istituto Italiano di Tecnologia (ARC@IIT), Genova, Italy

\* Author to whom any correspondence should be addressed.

E-mail: [s.tanzarella17@imperial.ac.uk](mailto:s.tanzarella17@imperial.ac.uk)

**Keywords:** arm, forearm, synergistic control, hand, prosthesis control

## Abstract

**Objective.** We analyze and interpret arm and forearm muscle activity in relation with the kinematics of hand pre-shaping during reaching and grasping from the perspective of human synergistic motor control. **Approach.** Ten subjects performed six tasks involving reaching, grasping and object manipulation. We recorded electromyographic (EMG) signals from arm and forearm muscles with a mix of bipolar electrodes and high-density grids of electrodes. Motion capture was concurrently recorded to estimate hand kinematics. Muscle synergies were extracted separately for arm and forearm muscles, and postural synergies were extracted from hand joint angles. We assessed whether activation coefficients of postural synergies positively correlate with and can be regressed from activation coefficients of muscle synergies. Each type of synergies was clustered across subjects. **Main results.** We found consistency of the identified synergies across subjects, and we functionally evaluated synergy clusters computed across subjects to identify synergies representative of all subjects. We found a positive correlation between pairs of activation coefficients of muscle and postural synergies with important functional implications. We demonstrated a significant positive contribution in the combination between arm and forearm muscle synergies in estimating hand postural synergies with respect to estimation based on muscle synergies of only one body segment, either arm or forearm ( $p < 0.01$ ). We found that dimensionality reduction of multi-muscle EMG root mean square (RMS) signals did not significantly affect hand posture estimation, as demonstrated by comparable results with regression of hand angles from EMG RMS signals. **Significance.** We demonstrated that hand posture prediction improves by combining activity of arm and forearm muscles and we evaluate, for the first time, correlation and regression between activation coefficients of arm muscle and hand postural synergies. Our findings can be beneficial for myoelectric control of hand prosthesis and upper-limb exoskeletons, and for biomarker evaluation during neurorehabilitation.

## 1. Introduction

Human motion is characterized by astounding dexterity, capable to achieve a broad range of movements by controlling many actuators. Such dexterity in complex movements could arise from a

modular and hierarchical control of movements, where spinal and supra-spinal neural modules drive the co-activation of group of muscles to execute simple sub-movements [1] that are then combined to perform more complex movements [2–4]. Although this modularity has been observed at the neural level

[5–9], its external manifestation has been mainly appreciated by observing the synergistic organisation of muscle-skeletal activation. In the case of muscle activity measured with electromyography (EMG), the coactivation patterns of a group of muscles have been defined as *muscle synergies*, while the simultaneous execution of a set of angular joints has been mainly defined as *postural synergies* [10]. Among many important types of movements analyzed in terms of modularity in motor control, like standing or gait [11, 12], human reaching has been extensively investigated [13, 14]. Also, hand synergies have been investigated under both the paradigms of muscle [15] and postural synergies [10].

According to these findings on synergic motor control, we would expect that muscle synergies of the entire upper limb would coordinate with hand postural synergies during natural movements combining reaching, grasping and object manipulation. In particular, as discussed by Santello *et al* [7], there is a coherent interrelation between hand synergistic behavior found in contact forces or kinematics and hand muscle synergies, reflecting neural architecture at the level of the central nervous system. The biomechanics of the hand, and of the upper limb in general, plays a fundamental role in constraining joints in limited ranges and coactivating joints together. The findings obtained from forces and joint angles in terms of synergistic control reflects modular neural models of motor control. Experimental results confirm these considerations, by assessing that kinematic synergies have their origin in synergistic muscle activation [16, 17]. Thus, we expect to predict hand posture from the muscle activity of the entire upper limb, since this myoelectric activity would provide additional information correlating with the act of pre-shaping the hand while approaching an object. This could have an important impact on myoelectric prosthetic control, as it would reinforce the command of device pre-shaping, normally obtained just from the residual forearm muscles in the trans-radial stump, when concurrently executing reaching.

Although many studies explored either muscle or postural synergies, few of them compared these two types of motor synergies during the same movements [18]. Furthermore, the simultaneous synergistic organization of shoulder, elbow, wrist, and fingers during reaching, grasping and object manipulation has been poorly investigated. Among the studies investigating reach-to-grasp motor control, some recorded EMG both from arm and extrinsic hand muscles in the forearm [19], eventually computing muscle synergies from both these two body segments [20, 21], or they investigated postural synergies between joints of the entire upper limb [22].

However, to the best of our knowledge, no studies were conducted about the simultaneous investigation of upper-limb synergies from the shoulder to the hand, both at the muscular and kinematic level, during the execution of daily-life movements to reach, grasp and manipulate objects as a continuous sequence of movements. We thus aim to extend the work of Ajiboye and Weir [18] on the hand and seek for correlation and proportional prediction between activation coefficients of arm muscle and postural synergistic [18].

We expect that, given the complexity of control required for a fast and harmonious movement to reach and grasp an object, all the muscles actuating the joints of the entire upper limb should be maximally coordinated and coactivated, and thus most of the involved joints would show highly correlated excursions. The consequence of this hypothesis would be that synergy computed on muscles of the entire upper limb would provide a better estimation of hand postures, with respect to synergy compute from the forearm only. To test this hypothesis, we concurrently recorded the activity of forearm, arm, shoulder and elbow muscles during hand movements and the kinematics of the hand.

This evidence would be particularly useful for myoelectric control of hand prostheses. In fact, muscle synergy theory has been already adapted as a framework for proportional myoelectric control [23]. In this context, synergy computation results in the dimensionality reduction of many input EMG signals, by obtaining few time-varying continuous signals used to control the actuators of a robotic prosthesis [24]. However, such an approach implies that each synergy must represent one of the two rotational directions (clockwise and anti-clockwise) of a DC electric motor actuating a single degree of freedom (DoF) [23]. In this way, the number of synergies scales with the double of the number of DoF to control, jeopardizing the original aim of dimensionality reduction for a high number of controlled DoF. We here propose instead to regress hand postural synergies from muscle synergies, and then reconstructing the wrist and finger angles from the regressed postural synergies for prosthetic control.

Thus, in this study, we aim to assess whether arm muscle activity can be useful for the estimation of hand gestures, and we hypothesise that the main reason for a correlation between arm muscles and hand posture is to be found in synergistic motor control. To do so, we identify muscle synergies responsible of actuating joints from the shoulder to the hand, and we look for correlation and regression with hand kinematic synergies in their time-varying behavior (given by synergistic activation coefficients). For the first time, we specifically look for a correlation and

a regression between arm muscle synergies, actuating joints from the shoulder to the elbow, and hand postural synergies, obtained from wrist and finger joints, thus distal from the elbow. We also evaluate correlation and regression between forearm muscles and hand kinematics, and the combination of both arm and forearm muscles for hand posture estimation. Finally, we propose hand myoelectric control as a future application of this findings, by discussing how our framework fits in that scenario.

## 2. Methods

### 2.1. Subjects

Ten healthy participants, six males and four females, (age,  $30.3 \pm 4.0$  years; weight,  $69.5 \pm 15.4$  kg; height,  $172.4 \pm 8.0$  cm) voluntarily took part to the experiments after having signed an informed consent. The Italian Institute of Research Ethics Committee [CER Liguria Ref. 11 554 of 18 October 2021] approved the study protocol and procedures, assessing that all the requirements of the *Declaration of Helsinki* were followed.

### 2.2. Measurements

We recorded both hand movement kinematics and myoelectric activity with the experimental setup shown in figure 1.

Hand movement kinematics consisted in the motion capture recording of 16 reflective markers placed on arm, forearm, and hand, as in figure 1(a), by targeting the subject movements with 10 infra-red cameras (Nexus, Vicon, Denver, USA). Specifically, we placed a marker on the lateral bone prominence of the elbow (RELB), one in the middle of the forearm (RFRM), one on radius and one on ulna bone (SR and SU). The other markers were placed on the hand (figure 1(a)).

Motion capture recordings were sampled at 100 Hz and an analogic-to-digital (A/D) converter on 10-bit grayscale data was used. The DoFs targeted with motion capture were forearm pronation-supination, wrist flexion-extension, ulnar-radial deviation of the wrist, flexion-extension of the five fingers, abduction of thumb, index and little finger, and thumb adduction. We selected abduction and adduction only for the fingers where it was considered most important, i.e. thumb, index and little finger, to reduce the total number of features to be considered for the analysis. This selection was made after a preliminary manual inspection of pilot data appositely collected, by evaluating the range of motion of all the DoFs of the fingers.

Myoelectric activity was measured by recording EMG signals with two different approaches, in terms of acquiring systems and channel montages (figure 1). The first approach consisted in using 10 bipolar EMG sensors from respectively upper trapezius, anterior deltoid, middle deltoid, posterior deltoid, long head

of biceps, short head of biceps, lateral head of triceps, medial head of triceps, brachioradialis and pronator teres muscles [25]. Each of these bipolar surface EMG measurements was recorded with a sensor amplifying, band-pass filtering (10–500 Hz) and digitalizing the signal locally to then send the data via wireless communication to a central station (Wave Plus, Cometa Systems, Bareggio, Italy). These signals were discretized at a sampling frequency of 2 kHz and A/D converted on 16 bits. The central station was synchronized with the motion capture system. The Wave Plus station from Cometa was connected via USB to the PC running the Vicon Nexus acquisition software. This software already accounts for the synchronization of the data coming from the Wave Plus station with the kinematics data measured through the Vicon system.

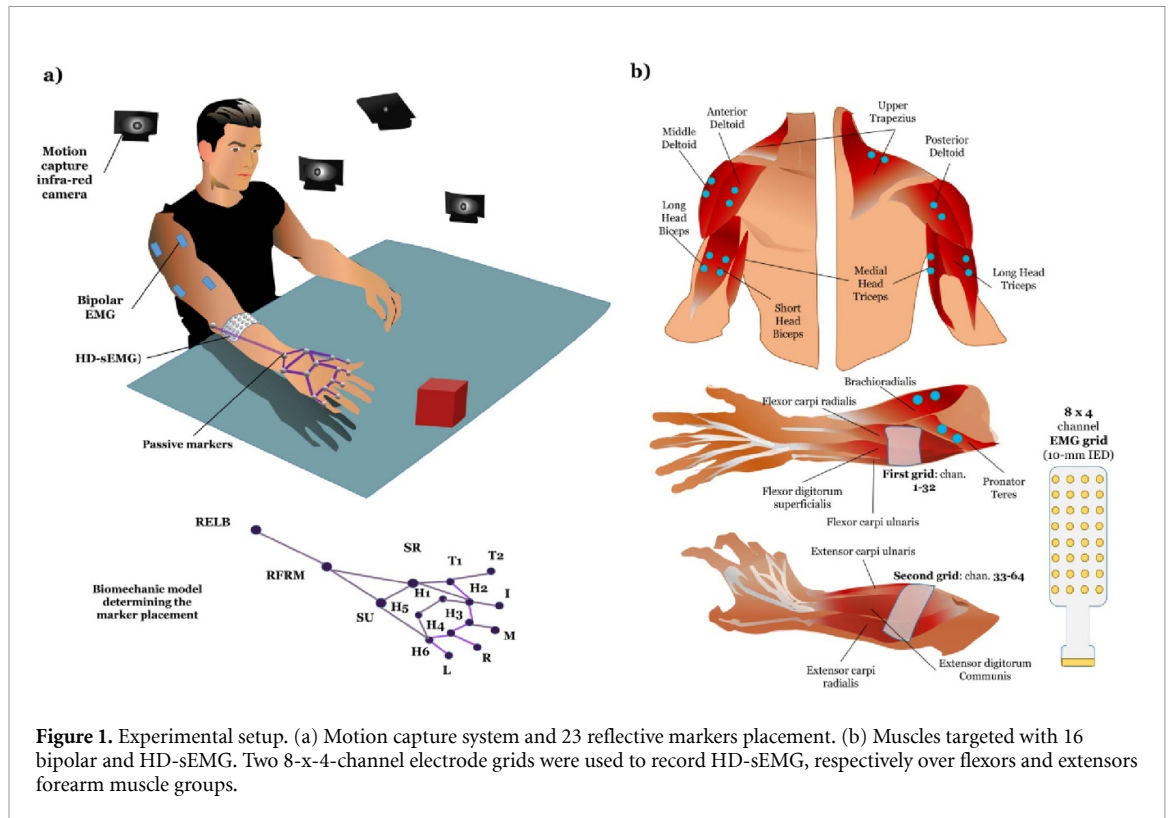
The other EMG recording approach was via high-density surface EMG (HD-sEMG) with a portable 64-channel amplifier (Sessantaquattro, OT Bioelettronica, Torino, Italy) to which two patches containing a 32-channel electrode grid each were connected (figure 1(b)). The portable system was attached to the forearm of the subject proximally 5 cm below the olecranon. The first patch (namely electrodes 1–32) was placed on flexor muscles of the fingers, while the second patch (namely electrodes 33–64) was placed on the extensor muscles of the fingers. The position of the patches was chosen as to cover the entire circumference of the forearm. Electrode grids were configured in  $8 \times 4$  electrodes, with an interelectrode distance of 10 mm. The first grid was placed over flexor extrinsic hand muscles, in the mid of the ventral side of the forearm, while the second grid was placed over extensor extrinsic hand muscles on the dorsal side of the forearm, in the first proximal third of the forearm. Ulna palpation was used as a reference, as well as muscle palpation during required repeated contraction actuating the muscles of interest, according to [26]. The signal was sampled at 2 kHz and A/D converted on 24 bits. The HD-sEMG recording was synchronized with the Vicon motion capture system. Data acquisition was driven by two computers (Windows operating system). One ran the Vicon software, while the other ran a GUI implemented in Matlab (Mathworks) to acquire HD-sEMG data. A real-time target machine (Speedgoat) was used to synchronize all the connected devices.

### 2.3. Experimental protocol

Participants sat in a comfortable posture in front of a table on which different objects were placed at the beginning of each task. Each task consisted in 10 repetitions of movements, starting from a rest position with the elbow bent at  $90^\circ$  and the wrist aligned with the forearm. The six tasks consisted in:

- Frontal reaching with spherical grasp, bringing the spherical object close to the mouth (like eating





**Figure 1.** Experimental setup. (a) Motion capture system and 23 reflective markers placement. (b) Muscles targeted with 16 bipolar and HD-sEMG. Two 8-x-4-channel electrode grids were used to record HD-sEMG, respectively over flexors and extensors forearm muscle groups.

- some fruit), then placing back the object on the table and come back to rest (*EatFruit*);
- Frontal reaching without grasp and come back to rest (*FroRea*);
  - Frontal reaching to grasp a cylindrical glass, acting to pour water from the glass, then placing back the glass on the table and come back to rest (*Pour*);
  - Frontal reaching to grasp a cylindrical object and come back to rest (*ReaCyl*);
  - Frontal reaching to grasp a spherical object and come back to rest (*ReaSph*);
  - Frontal reaching to grasp the cap of a bottle with a tripod pinch, screwing the cap to open and then close the bottle, and come back to rest (*Screw*).

The tasks were chosen to investigate how the same synergies could simultaneously explain (a) reaching without grasp (*FroRea*), (b) differences in the type of grasp (*ReaCyl* vs *ReaSph*), and (c) differences among two different reach-to-grasp movements (with complex movements like *EatFruit*, *Pour* and *Screw*)

#### 2.4. Computational procedures and rationale

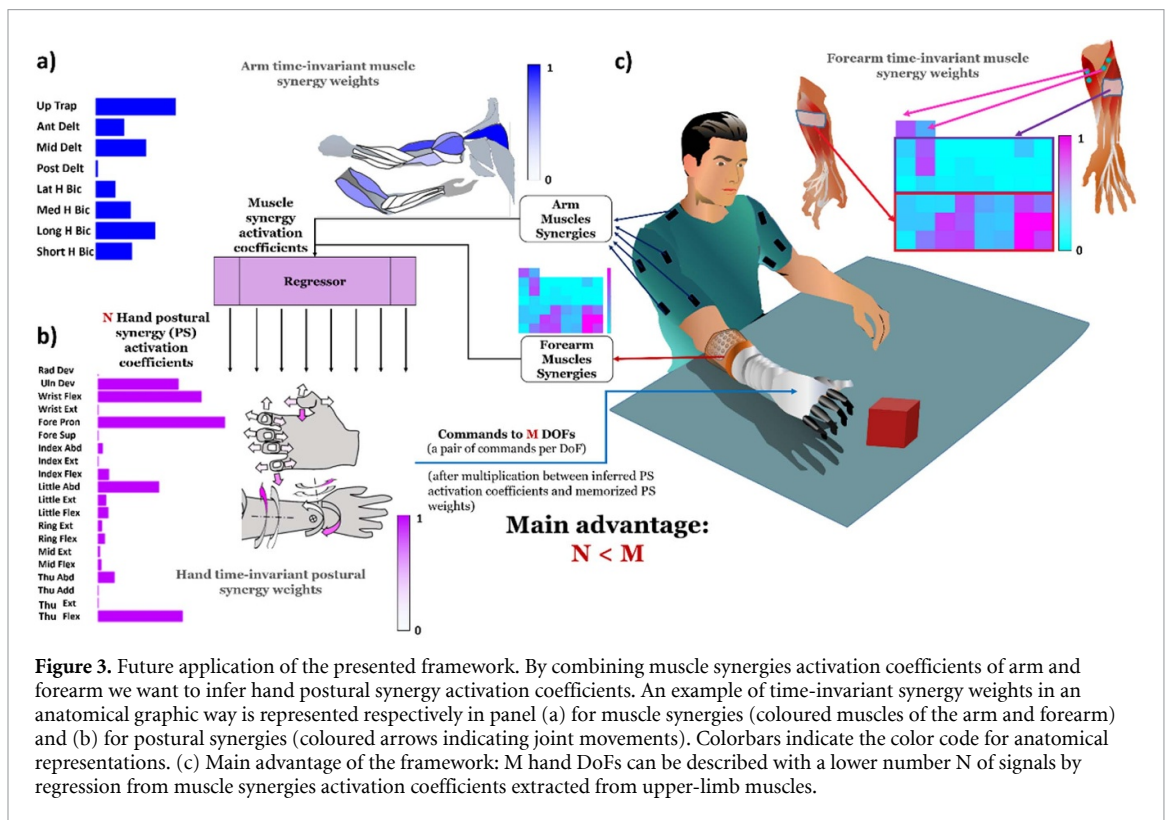
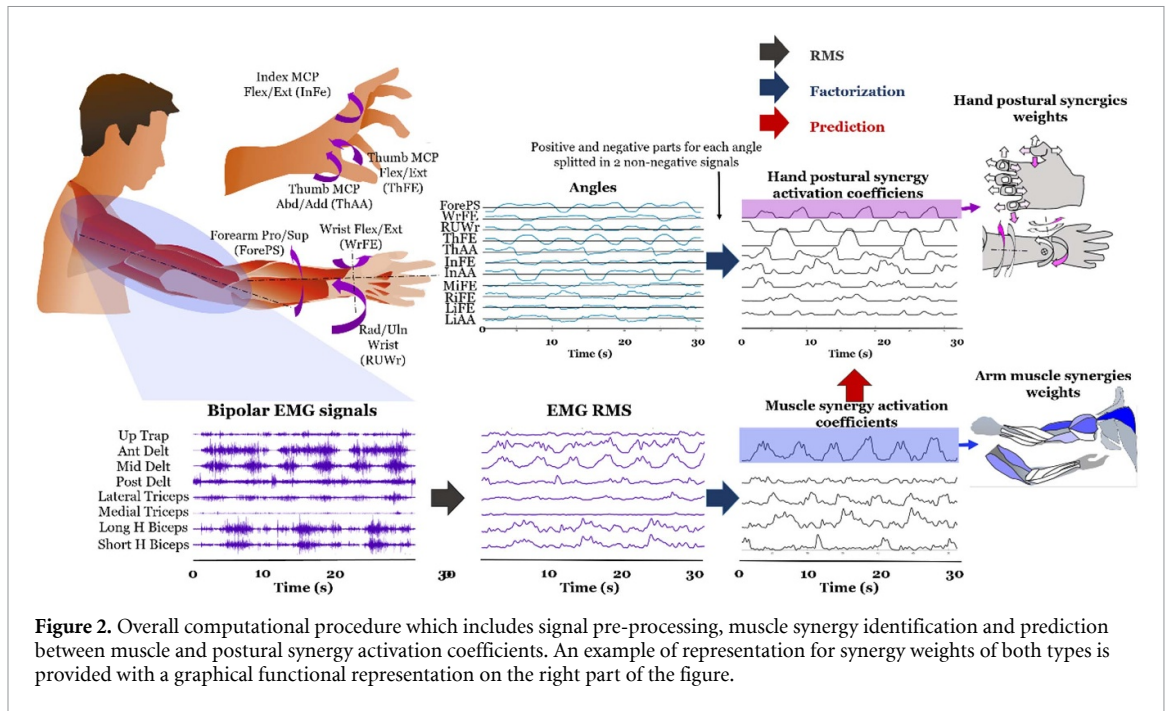
The overall computational procedure for this study is described in figure 2. As explained in detail in the following section, synergy extraction by non-negative matrix factorization (NMF) implies the identification of a spatial time-invariant pattern, the *synergistic weights*, and time-varying *synergistic activation coefficients*. Each activation coefficient is a signal in time representing how a synergy is modulated by the central nervous system in time, and it presents the

same time support of the original factorized signals (either EMG root mean square (RMS) or hand joint angles). The synergistic weights are seen as the synergies themselves, which represent a time-invariant synergistic pattern prescribed by the central nervous system and modulated by supra-spinal descending signals [4].

For each muscle synergy identified for different muscle grouping, we looked for a correlation between muscle and hand postural synergistic activation coefficients in the time domain. We then predicted hand postural synergies by regression of muscle synergies. Muscle synergies were extracted from arm (more proximal than the elbow) and forearm muscles, separately. For calculation of postural synergies, the negative values of angles were rectified and used as additional input to the NMF algorithm.

We tested whether we could predict time-varying hand postural synergies from the time-varying activation coefficients of either arm or forearm muscle synergies. As represented in figure 3, the main goal was to describe  $M$  hand DoFs with a lower  $N$  number of control signals, constituted by the predicted hand postural activation coefficients by regression from muscle synergies activation coefficients.

Finally, we investigated shared synergistic behavior among subjects and calculated synergy prototypes in space and time, representative of all the subjects, by clustering muscle synergies as described in the following section. The reason for performing this analysis is twofold. First, we aimed to assess synergy variability across subjects. Second, we



aimed to investigate the feasibility of a synergy-based myoelectric prosthesis control. For example, in the context of multi-DoFs system, in which the low-level control (i.e. the reference control signals provided to the actuators of each DoF of the prosthesis) is driven by the synergies. In this context, a control architecture based on data from many subjects, may provide a more robust and reliable control.

**2.5. Signal processing**

EMG signals were band-pass filtered between 20 and 500 Hz [27]. The HD-sEMG signals were reconfigured, for each signal, as single-differential recordings, by subtracting each 8-channel row of a grid to the following, to obtain  $3 \times 8$  single-differential HD-sEMG channels per grid. This was done to attenuate crosstalk and common noise [28], and to

be consistent with the bipolar recording of the other single-channels recordings from sensors over arm and forearm muscles.

The RMS value of the EMG signals was computed with non-overlapping 100 ms windows [29], and with low pass filtering the RMS signals at 2 Hz. The resulting sampling frequency of the filtered RMS signals was then 10 Hz.

Angles from the anatomical joints targeted with motion capture (described in section 2.2) were computed from the 3D marker positions, which consisted in a numerical matrix with dimension *number-of-samples*  $\times$  *number-of-measured variables*, where the number of measured variables was the number of markers per the three coordinates ( $x,y,z$ ) of each marker. The Vicon Nexus software was utilized to extract anatomical joint angles from markers' trajectories. For this purpose, two Vicon models, namely Plug-in-Gait and RHand bodybuilder, were employed. A reduced version of the Plug-in-Gait model was used to calculate the joint angles for the whole upper arm, while the RHand bodybuilder model was utilized for the finger joints. These Vicon models define the rigid body segments linking two consecutive markers on the body. Then, a standard inverse kinematics approach was applied through the Vicon Nexus software to obtain the anatomical joint angles for the whole upper arm based on the markers' trajectories. Angles were down-sampled to 10 Hz and low-pass filtered to 2 Hz to be consistent with the myoelectric signal processing.

## 2.6. Signal extraction and number of synergies

The 2 Hz down sampled signals (both myoelectric and kinematic) obtained for each repetition and task, were concatenated to identify synergistic organization by NMF across all tasks. Each signal was then normalized by its absolute maximum across all tasks [13]. Hand angles signals were divided in two signals, respectively the positive part and the rectified negative part, to have non-negative quantities to factorize by NMF. So, for each DoF, the two directions of movement were encoded by two separated non-negative signals. In other words, we split the positive and the negative part of each angle to create two angle signals for each original angle. Once the 'negative' part was separated from the 'positive', each part was considered between 0 and a positive maximum, to be compliant with NMF. By doing so, we simply established that for each DoF there are two excursion angles instead of one, e.g. one for flexion and one for extension, each ranging from 0 to a positive value.

As mentioned in Sec. *Measurement*, the angles selected to be factorized by NMF were flexion and extension of the wrist, pronation and supination of the forearm, ulnar and radial deviation of the wrist,

flexion and extension of the five fingers (10 non-negative signals), adduction and abduction of the thumb, and abduction of index and little fingers, for a total of 20 non-negative signals.

NMF of such concatenated signals allowed to compute: (a) arm muscle synergies from the eight bipolar EMG signals more proximal than the elbow; (b) forearm muscle synergies from the two bipolar EMG signals on the forearm plus the two single-differential HD-sEMG grids ( $3 \times 8$  signals); and (c) hand postural synergies from the angles of the hand.

The NMF factorization decomposed each input, shaped as a non-negative matrix  $X$ , with  $I$  rows representing the number of variables and  $T$  columns representing their temporal samples, as follows [30]:

$$X \approx W \times H,$$

where  $W$  is the time-invariant  $I \times S$  matrix of the synergies, and  $H$  is the time-varying  $S \times T$  matrix of the activation coefficients, with  $S$  being the number of identified synergies ( $S \leq I$ ). How each input variable contributes to a synergy is defined in matrix  $W$  for each column, while the temporal trend of each synergy during the execution of the six tasks is described by the rows of matrix  $H$ .

The NMF algorithm was iterated 100 times, but stopped when a termination tolerance equal to  $1 \times 10^{-4}$  was reached for the residual error between  $X$  and  $W \times H$ . Each of these iterations was repeated 10 times [13] and matrices  $W$  and  $H$  were each time initialized with different random values. To quantify the reconstruction of the original input matrix  $X$ , for the different types of input, by the product of  $W$  and  $H$ , the coefficient of determination ( $R^2$ ) was computed as in [31]. For each number of synergies, the greatest  $R^2$  among the 10 NMF repetitions was considered as representative  $R^2$ , to obtain a  $R^2$  curve in function of the number of identified synergies [31].

For each input (e.g. EMG or kinematic data), we run the NMF imposing a number of synergies ranging from 1 to a maximum number of 10, following a pilot processing of the data, where for all types of synergies a dimensionality lower than 10 was sufficient for good reconstruction. The final number of identified synergies was determined by finding the number of synergies corresponding to the change in slope of the  $R^2$  curve [13]. To quantify this, the mean squared error (MSE) was computed for a line fitting the part of the  $R^2$  curve from each number of synergies between 1 and 9, to the maximum number evaluated, i.e. 10 [13]. The number of synergies was determined for the minimum MSE value exceeding  $10 \times 10^{-4}$  [13].

## 2.7. Cross-correlation between muscle and hand postural synergistic activation coefficients

Cross-correlation, normalized between  $-1$  and  $1$ , was computed for each subject between each pair



of activation coefficients of a muscle synergy and a hand postural synergy, separately for arm or forearm muscle synergies. So, given two activation coefficient matrices  $H_{\text{musc}}$  and  $H_{\text{ang}}$ , with size  $S1 \times T$ , and  $S2 \times T$ , for each  $j \in [1, S1]$  and  $k \in [1, S2]$ , the cross-correlation function is defined as

$$\sum_{m=-T}^T H_{\text{musc}}[j, m-n] H_{\text{ang}}[k, m]$$

where  $m$  is the discrete time support and  $n$  is called displacement or lag.

The value of the peak of the cross-correlation function was taken as measure of correlation between each pair. By progressively considering the most correlating pair, we defined in descending order the most correlating pairs of synergies between arm/forearm synergies and hand postural synergies.

## 2.8. Clustering of synergies and shared spatio-temporal pattern across subjects

The time-invariant weights describing the synergies were clustered across all participants by hierarchical clustering [32], separately for muscle synergies and postural synergies. To do so, synergies of all subjects were concatenated together, separately for arm muscle, forearm muscle and hand postural synergies. Thus, for each identified cluster, we expected to have one or more synergies of different subjects. To determine the number of clusters, we took the highest integer lower than the mean number of synergies identified across subjects, respectively for muscle and postural synergies. After computing the clusters, we adjusted each cluster by a criterion forcing to have no more than one synergy of the same subject per cluster [12]. To implement this criterion, for each cluster we first computed the similarity of each synergy with all the other synergies of that cluster. Then, among synergies of the same subject found into the same cluster, we kept only the one presenting the highest similarity with the other synergies of the cluster. Spare synergies excluded by the clusters were relocated with the following approach: (a) check for each cluster whether some subject is not represented with one synergy (b) if so, check if among the spare synergies there is one synergy with highest similarity with the elements of the cluster, then insert this synergy in the cluster. With this approach, the final number of clustered synergies could change with respect to the identified number of synergies following single subject analysis. The centroid of each cluster was computed by averaging the synergies into that cluster. Each cluster prototype represents the shared information across similar synergies of all subjects (all-subjects synergies). All-subjects synergies centroids were then used to reconstruct the activation coefficients for each subject. Importantly, while for single-subject synergies, the number of synergies could differ for each subject, for all-subjects synergies, by imposing the same

set of synergies to each subject, we obtained the same number of synergies and thus of activation coefficient for each subject. Mathematically, this operation can be computed by having the matrix of the centroids (the all-subject synergies) as the  $W$  matrix mentioned above to describe the NMF computation, and then multiplying the inverse of  $W$  for the input matrix  $X$ , where  $X$  is the original data of each subject (RMS for muscle synergies, angles for postural synergies). So, for each subject we obtained a new matrix  $H$  of the activation coefficients.

As done for the time-invariant all-subjects synergies, averaged across all subjects for each cluster to get the cluster centroids, we calculated an averaged estimation of the time-varying all-subjects synergistic activation coefficients across subjects. This was done by segmenting the reconstructed activation coefficients of each subject by the onset and offset of each of the 10 repetitions of each task, obtained by with simple thresholding of the kinematics data. Then, the 10 repetition segments for each participant, each task and each synergy, were resampled by the mean number of samples of the onset-offset intervals for that task across the 10 subjects. By doing so, instead of resampling all the segments of every task for the same fixed number of samples, we obtained a different time support for each task proportional to the duration of that task.

Finally, we computed the cross-correlation between the new all-subjects synergistic activation coefficients for muscles versus postures. To do so, we computed the cross-correlation between all-subjects muscle and hand postural synergistic activation coefficients, separately for arm and forearm muscle synergies, for all the possible pairings of synergies for each task. Then, the mean across tasks of cross-correlation peak value was taken to form the most correlating pairings between all-subjects synergies in descending order, like done for subject-specific synergies, described in section 2.7.

## 2.9. Hand postural synergy regression from muscle synergies

We evaluated the regression of hand postural synergies from muscle synergies extracted respectively from arm and forearm muscles, and from their combination. Also, we compared this analysis with the regression of hand joint angles directly from the RMS values used by NMF to compute the muscle synergies. Again, the RMS values for regressing hand joint angles were considered for arm and forearm muscles separately, and for their combination.

We compared the regression obtained with linear regressor (LR), k-nearest neighbor (KNN), random forest (RFR), and support vector machine (SVR) [33]. Train and test dataset were divided with a ratio of respectively 80% 20% of the whole data. Regression was performed separately on the data of each subject. The performance both for training and test of

each model was evaluated in terms of the coefficient of reconstruction  $R^2$ , as defined for computing synergy reconstruction of the original data.

### 2.10. Statistical analysis

A paired t-test was conducted to establish significant difference between (a) numerosity of arm muscle, forearm muscle and hand postural synergies, (b) reconstruction of hand postural quantities (postural synergy activation coefficients or joint angles) from arm, forearm and arm + forearm muscle synergies, (c) prediction of hand posture from muscle synergies or with original signals (EMG RMS signals to predict hand joint angles). The significance level was set to 0.05.

## 3. Results

### 3.1. Number of identified synergies

The number synergies identified for the 10 subjects was respectively 4, 3, 4, 4, 4, 5, 5, 4, 5 ( $4.3 \pm 0.6$ ) for arm muscle synergies, 6, 5, 5, 5, 6, 4, 5, 6, 5, 6 ( $5.3 \pm 0.6$ ) for forearm muscle synergies, and 7, 7, 7, 6, 6, 7, 6, 6, 7, 7 ( $6.6 \pm 0.5$ ) for hand postural synergies. Thus, the dimensionality of hand postural synergies identified is significantly higher than the one of respectively arm ( $p = 4.6 \times 10^{-8}$ ) and forearm muscle synergies ( $p = 6.6 \times 10^{-5}$ ). Also, the dimensionality of arm muscle synergies was significantly lower than the one of forearm muscle synergies ( $p = 1.9 \times 10^{-3}$ ). The value of  $R^2$  for the number of synergies identified with the change in slope criterion described in section 2.6 of methods was respectively  $0.93 \pm 0.03$  for arm muscle synergies,  $0.82 \pm 0.02$  for forearm muscle synergies, and  $0.79 \pm 0.04$  for hand postural synergies, across subjects.

### 3.2. Correlation among different types of synergies per subject

Figure 4 reports the values of cross-correlation peaks between muscle and hand postural synergistic activation coefficients for each subject, respectively for arm muscle synergies and for forearm muscle synergies, separately paired with postural synergies.

Figure 5 shows, for a randomly chosen subject (#3), the time-invariant weights and the time-varying activation coefficients for pairs between arm muscle synergies and hand postural synergies. The pairs are sorted in descendent order by their cross-correlation ( $XC$ ) peak value, from top to bottom. As described in figure 3, time-invariant weights are represented graphically with bar graphs, and with anatomical maps for muscle synergies and with angle directions for postural synergies, to enable an easier functional interpretation. Time-varying activation coefficients are represented as the mean and the standard deviation (shadow area) of the 10 repetitions

for each task. The values of cross-correlation between the synergy pairs are also reported.

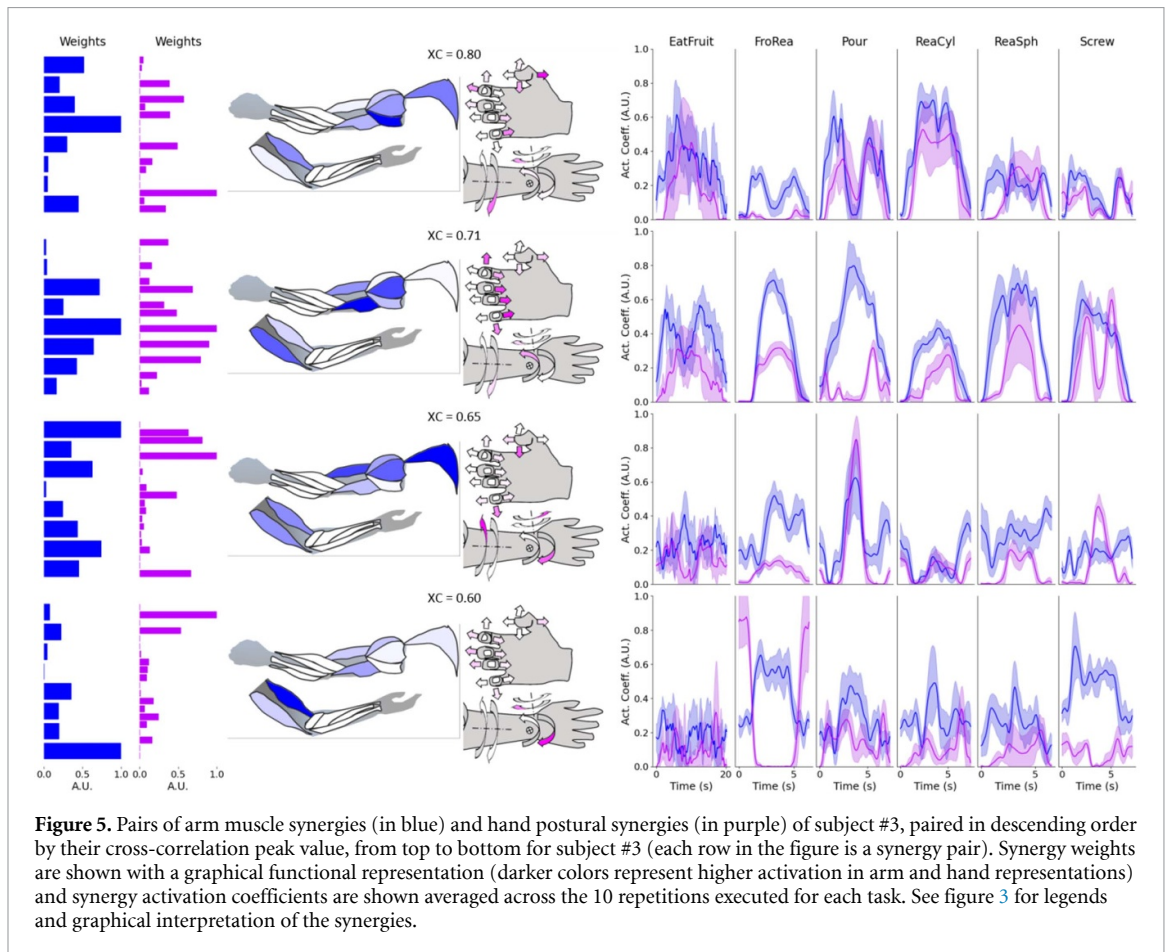
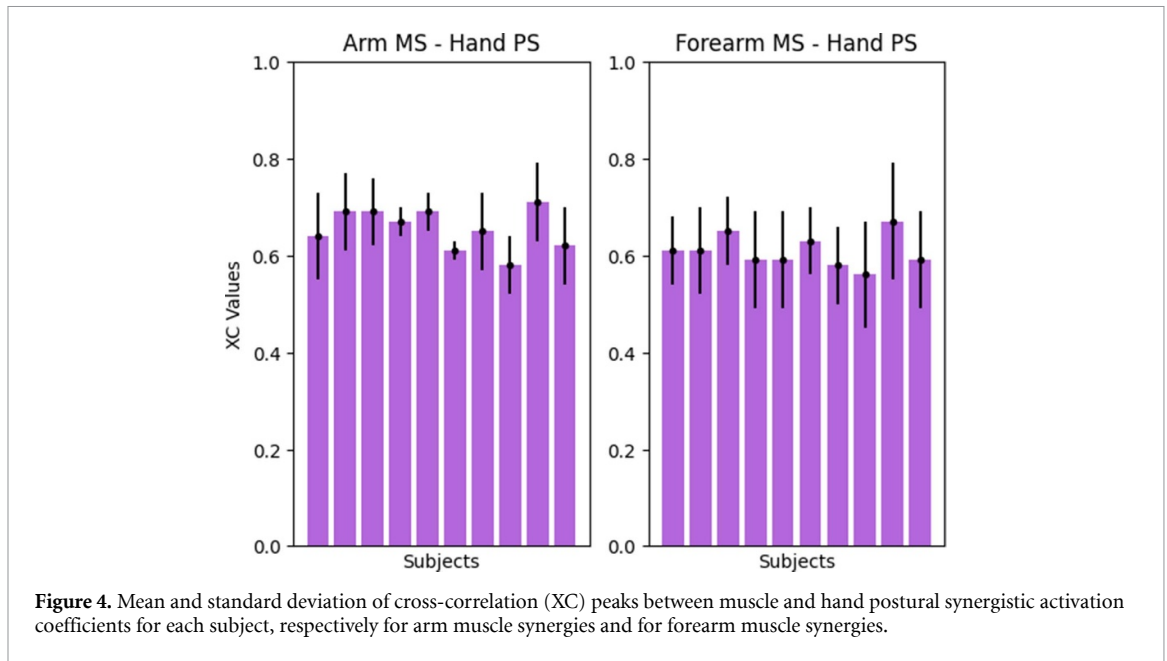
The first pair of synergies ( $XC = 0.80$ ) suggests a correlation between the posterior deltoid and the act of shaping the hand by abducting the thumb, extending the index finger, and flexing the little finger, while supinating. The hand postural synergies for all the tasks have a peak at the middle of the reaching repetition, except for the frontal reaching without grasp (*FroRea*). It presents its maximal activity for cylindrical grasp (*ReaCyl*). Also, it is present in the act of grasping a glass before pouring the water in it (*Pour*), in the phase of opening the hand to grasp and to release (depression at the very center of the task, in the act of pouring). Finally, it is present during spherical grasps in *EatFruit* and *ReaSph*. The act of the posterior deltoid has mainly a stabilisation function. This is true also for reaching followed by screwing a bottle cap (*Screw*), in the phase of opening the hand to grasp and to release the bottle cap (depression at the very center of the task, when screwing the cap).

The second synergy pair ( $XC = 0.71$ ) is clearly associated to closing the hand while grasping (postural synergy) and it positively correlates with muscle activity of the triceps which extend the elbow in the act of stretching the arm for reaching the object.

The third synergy pair ( $XC = 0.65$ ) is maximal in temporal activity for *Pour* and it matches in the functional interpretation of the corresponding postural synergy which pronates the wrist. In fact, pronation is also largely used for spherical grasping, used in *EatFruit* and *ReaSph*, which present peaks for this synergy in the act of grasp the spherical object. Also, the postural synergy includes thumb flexion and wrist ulnar deviation, confirmed in the peak of postural coefficients during *Screw*, which needs these movements in the act of screwing the bottle cap. Interestingly, the most activated arm muscles in this action are the long head of the biceps and the upper trapezius, supposedly to stabilize the pronation movement.

Finally, the fourth synergy pair ( $XC = 0.60$ ) may suggest that the short head of the biceps brachii stabilize the elbow, meanwhile ulnar deviation is executed during grasping. Interestingly, in the only reaching not followed by a grasp (*FroRea*) the postural synergy is maximally expressed at the beginning and at the end of the movement for the considered subject.

Figure 6 shows the comparison between forearm muscle synergies and hand postural synergies paired and ordered with the same criterion of figure 5, for the same subject (#3). The first pair of synergies ( $XC = 0.76$ ) expresses hand opening, as indicated by the time-invariant activation of the extensors of the forearm (radial part) and finger extension of all angles. The time-varying coefficients indicate that this pair of synergies consists in the pre-shaping for a cylindrical grasp (mainly activated in *ReaCyl* and



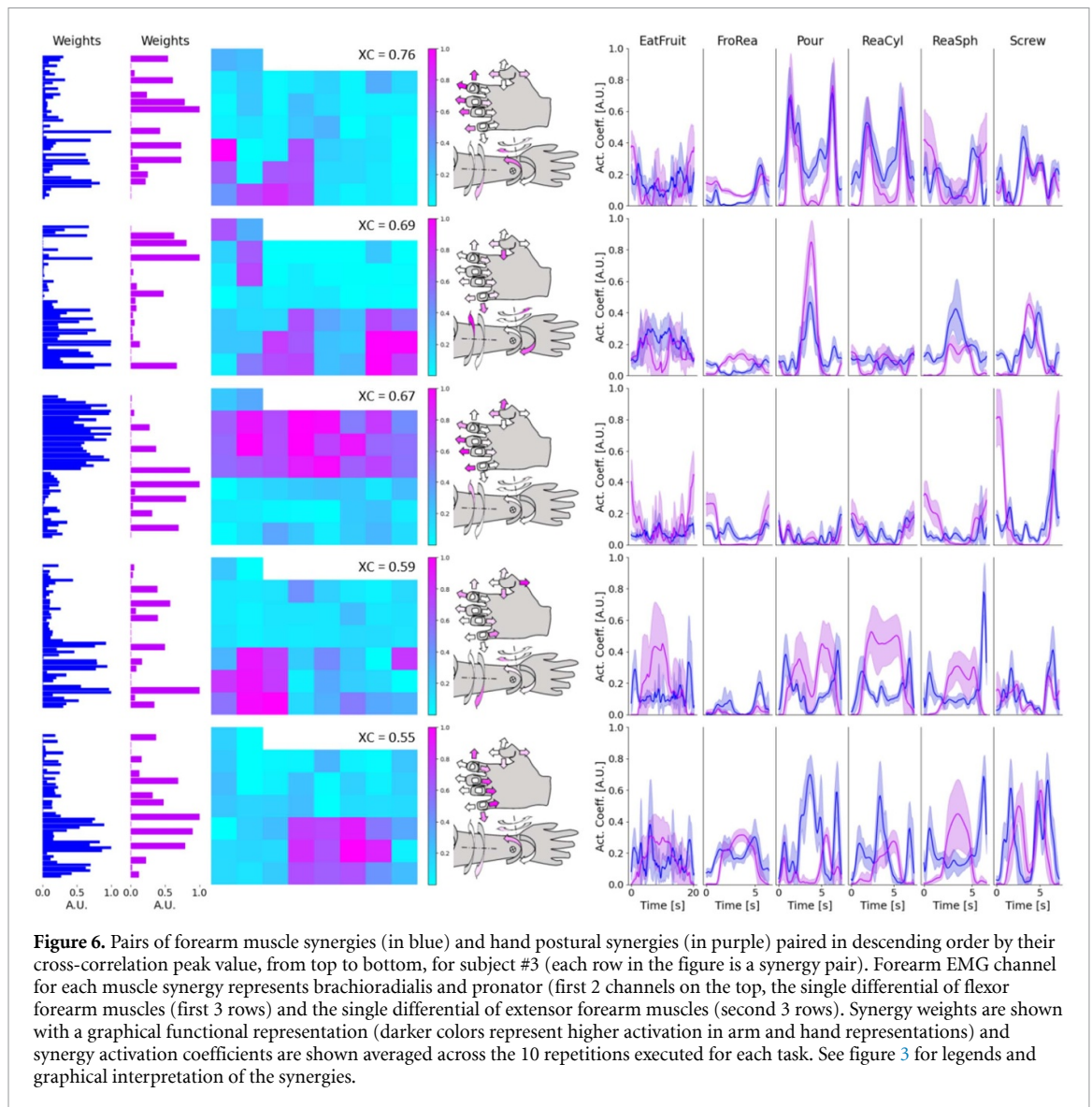
*Pour*) and in the act of releasing the object (2 activation peaks are present in these tasks).

The second pair of synergies ( $XC = 0.69$ ) represents wrist pronation with activation of the pronator, the brachioradialis and of extensors of the forearm.

The third pair of synergies ( $XC = 0.67$ ) represents the phase of opening the hand before starting a

new repetition of any reaching (as can be deduced by observing the activation coefficients), with a concurrent activation of the flexor muscles.

The fourth pair of synergies ( $XC = 0.59$ ) represents wrist supination and thumb adduction for grasping an object, and the radial part of the extensor muscles is more activated.



**Figure 6.** Pairs of forearm muscle synergies (in blue) and hand postural synergies (in purple) paired in descending order by their cross-correlation peak value, from top to bottom, for subject #3 (each row in the figure is a synergy pair). Forearm EMG channel for each muscle synergy represents brachioradialis and pronator (first 2 channels on the top, the single differential of flexor forearm muscles (first 3 rows) and the single differential of extensor forearm muscles (second 3 rows). Synergy weights are shown with a graphical functional representation (darker colors represent higher activation in arm and hand representations) and synergy activation coefficients are shown averaged across the 10 repetitions executed for each task. See figure 3 for legends and graphical interpretation of the synergies.

The fifth pair of synergies ( $XC = 0.55$ ) is maximally active during object grasping, as it includes fingers flexion and activation of the ulnar part of the extensor muscles.

### 3.3. Spatiotemporal evaluation of all-subjects synergies

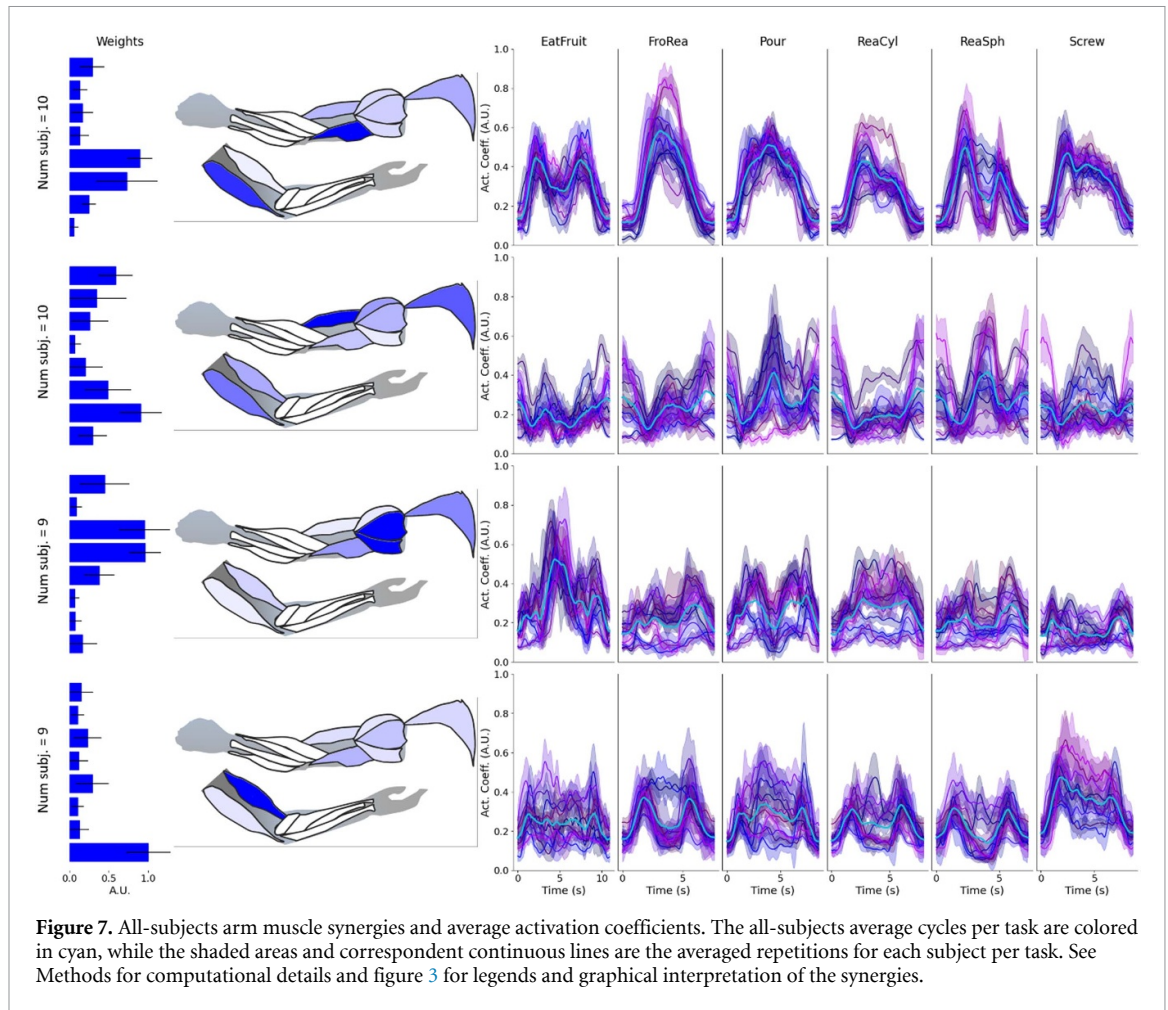
To find shared common synergistic spatiotemporal patterns across subjects, synergies of all subjects were clustered by forcing to have only one synergy per subject into a cluster (see *Methods*). With this method, we found respectively four cluster for arm muscle synergies, four clusters for forearm muscle synergies and six clusters for postural hand synergies. Figures 7 and 8 show the cluster centroids for the three types of identified synergies, respectively for arm and forearm muscles. Centroids are represented both functionally and with bar graphs to show variability among elements in the cluster. In order to analyze and interpret the time-varying component of synergies, the 10 repetitions per task were segmented and resampled

for each subject for each all-subjects synergy from the reconstructed activation coefficients starting from the all-subjects weights (for more details see *Methods*). Then, by averaging all these segments across subjects, we obtained one segment for each all-subjects synergy and for each task.

Remarkably, for 3 out of 4 clusters for arm muscle synergies, the centroids are representative of all the 10 subjects, while the fourth centroid excludes only one subject. For forearm muscle synergy centroids, 2 out of 4 include all subjects, while the other 2 only 7. For hand postural synergies, 4 out of 6 centroids include all subjects, while the other 2 respectively 6 and 8 subjects.

The average cosine similarity between the synergy weights of each cluster and the synergy weights of the relative centroid are respectively 0.81, 0.84, 0.95, 0.81, 0.98, 0.88 for the 6 all-subjects hand postural synergies, 0.95, 0.89, 0.95, 0.94 for the arm muscle synergies, and 0.90, 0.88, 0.87, 0.86 for the forearm muscle synergies.





**Figure 7.** All-subjects arm muscle synergies and average activation coefficients. The all-subjects average cycles per task are colored in cyan, while the shaded areas and correspondent continuous lines are the averaged repetitions for each subject per task. See Methods for computational details and figure 3 for legends and graphical interpretation of the synergies.

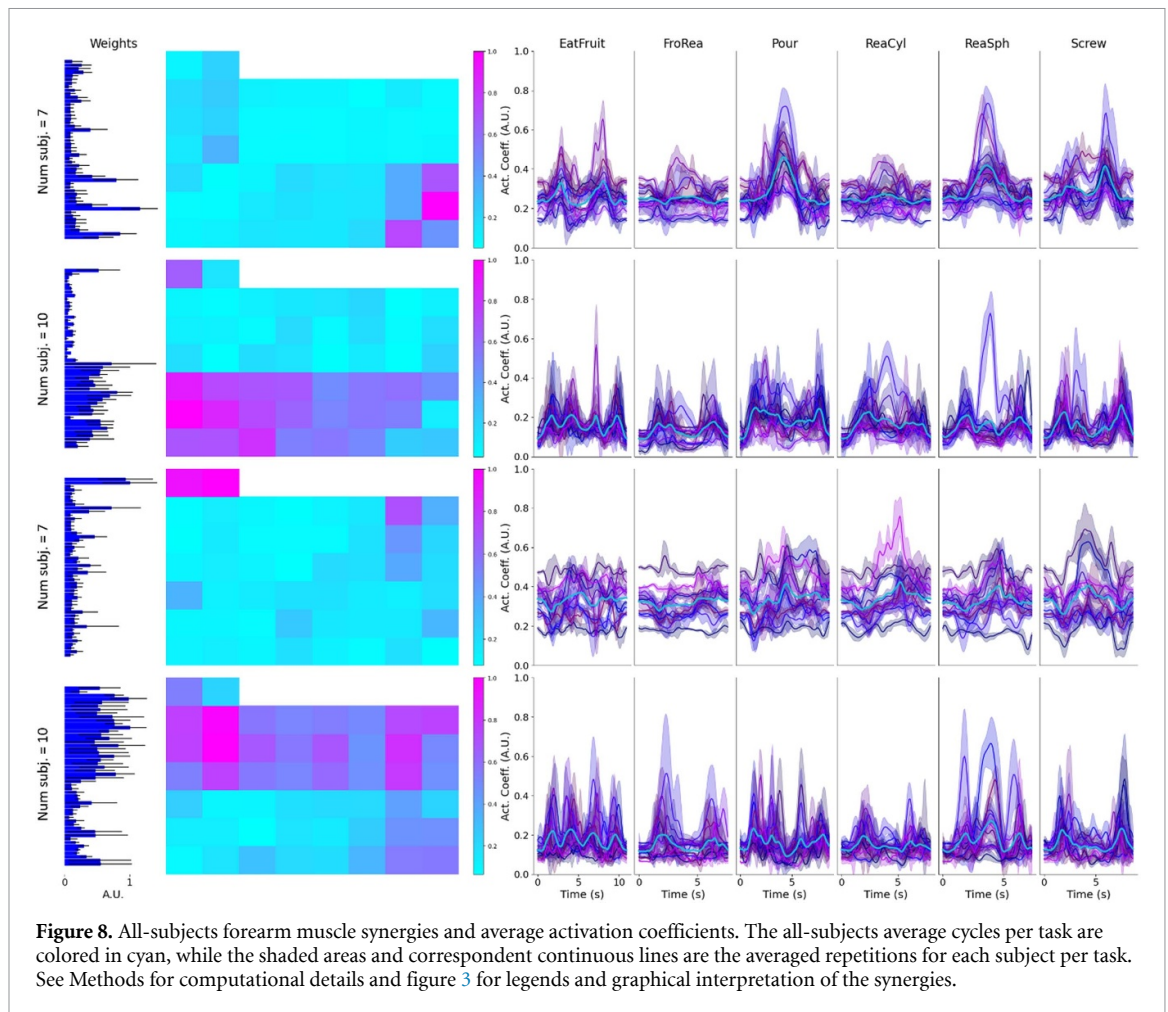
By looking at arm all-subjects synergies (figure 7), the first synergy seems to activate both lateral and medial heads of triceps. This synergy can be functionally interpreted as extension of the elbow and high triceps activation and its time-varying behavior perfectly matches this functionality, since a bell curve is observed for each extension in the task. For *EatFruit* and *ReaSph* the bell curves are 2, while for all the others there is just 1, with *Screw* presenting a unique pattern in between, due to the complexity of the task. The second synergy involves mainly upper trapezius and long head of biceps brachii in its time-invariant representation. Its time-varying behavior presents a peak in correspondence to the grasp execution for all the task, although with different patterns. In fact, the peaks look higher for grasps concurrent with pronation like *EatFruit*, *Pour* and *ReaSph*. This is compatible with the interpretation for the single subject in section 3.2, where long hand biceps in synergy with upper trapezius are supposed to stabilize the arm during pronation. The third synergy activates the middle-posterior deltoid and looks timely activated during reaching and coming back, while presents a depression during grasping. Moreover, for *EatFruit*, this third synergy is responsible of positioning the arm in the act of ‘eating’ the fruit. Finally, the fourth synergy

activates the short head of biceps brachii and indeed it is timely activated to flex the elbow at the beginning of the reaching and to bring back the arm after the grasp.

Observing forearm muscle synergies (figure 8), the first synergy activates more the ulnar part of the extensor forearm muscles. This synergy clearly activates during pronation, mainly in *EatFruit*, *Pour*, *ReaSph* and *Screw* (in the act of screwing the bottle cap). In terms of their time-invariant activations, the second synergy involves the radial part of the extensor forearm muscles and brachioradialis, the third mainly coactivates brachioradialis and pronator teres, while the fourth presents a major activity for the flexor forearm muscle group. However, for these last three forearm muscle synergies, a clear pattern across all subjects does not emerge. We provide an interpretation of this in *Discussion*.

Finally, for the hand postural synergies (figure 9), we identified (proceeding top to bottom) functional interpretation respectively for (a) thumb-little flexion while index extends, (b) supination and radial deviation of the wrist, (c) hand closing, (d) thumb extension-abduction, (e) hand-opening and (f) wrist pronation and ulnar deviation. Interestingly, by applying unsupervised techniques to natural





**Figure 8.** All-subjects forearm muscle synergies and average activation coefficients. The all-subjects average cycles per task are colored in cyan, while the shaded areas and correspondent continuous lines are the averaged repetitions for each subject per task. See Methods for computational details and figure 3 for legends and graphical interpretation of the synergies.

reaching-grasping tasks, we identified six actions, which are largely used for control of robotic hand prostheses.

The first postural synergy, which from its time-invariant part looks the pre-shaping of a tripod pinch, is largely activated as a bell curve for all grasps (so for *FroRea* is flat).

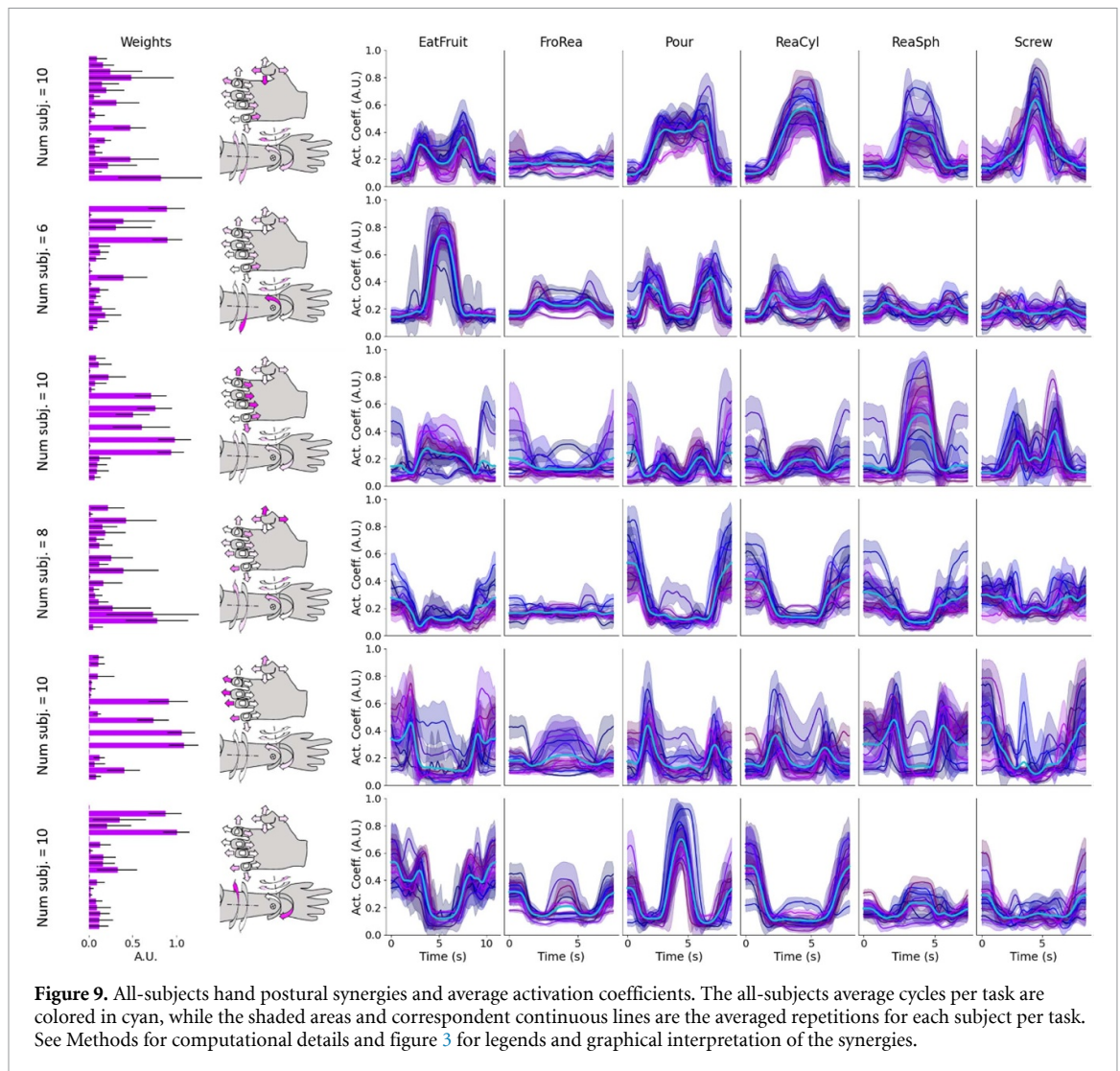
This explains the two bell curves for *EatFruit*, because it is mainly activated before to close the grasp around the object and when releasing. The larger activity is for *ReaCyl*, where the cylindrical grasp could mainly interest the flexion of the thumb and of the little finger, by prevalently extending the index, and *Screw*, where exactly a tripod pinch is performed. The second synergy is the one executing wrist supination, maximally high at the centre of *EatFruit* when the forearm is supinated in the act to eat the fruit, and it is also activated at the beginning and at the end of each reaching movement. It presents a deep depression at the center of *Pour* where pronation, i.e. the opposite movement of supination, is performed. The third synergy mainly encodes a spherical grasp, although is also high for the tripod grasp in *Screw*, which resembles more the pre-shaping of a

spherical grasp than a cylindrical one. The fourth synergy reveals a finger movement, thumb adduction-extension, very active at the very beginning of a reaching cycle and when completing the movement to bring back the arm. The fifth synergy is clearly for hand opening both in its time-invariant and time-varying representation, while the sixth is confirmed to be the wrist pronation postural synergy.

In table 1 the cross-correlation peaks with hand postural synergy repetitions, respectively for arm and forearm all-subjects muscle synergy repetitions is reported, by sorting the most correlated pairs in descending order. The cross-correlation peak value reported in the table ( $XC$ ) is the average across the cross-correlation peak values for the respective pairs of all-subjects repetition segments for each task.

### 3.4. Regressing hand postural synergies from muscle synergies

Table 2 reports the coefficient of reconstruction ( $R^2$ ) for the regression of hand postural synergies from myoelectric activity in terms of mean and standard deviation across the 10 subjects. Myoelectric activity is considered both in terms of RMS values for each



**Figure 9.** All-subjects hand postural synergies and average activation coefficients. The all-subjects average cycles per task are colored in cyan, while the shaded areas and correspondent continuous lines are the averaged repetitions for each subject per task. See Methods for computational details and figure 3 for legends and graphical interpretation of the synergies.

**Table 1.** Values of cross correlation (XC) with hand postural synergies (PS) respectively for arm and forearm muscle synergies (MS) in terms of their activation coefficients (time-varying) for the all-subject synergies. The # of each synergy indicating each muscle-postural synergy pair is correspondent to the order in figures 7–9. The value of XC for postural synergies excluded by the pairing due to termination of corresponding muscle synergies, are reported with grey-shaded cells, paired with muscle synergies already paired with other postural synergies above.

# Arm MS	# Hand PS	XC	# Forearm MS	# Hand PS	XC
3	2	0.98	2	2	0.95
2	6	0.98	3	3	0.95
1	1	0.94	1	6	0.94
4	5	0.92	4	5	0.93
2	3	0.95	1	1	0.94
2	4	0.94	3	4	0.94

muscle and in terms of muscle synergies extracted by NMF from the same RMS values. Results look averagely higher both for training and test with the RFR.

Regression reconstruction of hand postural synergies was found significantly higher when predicting from the combination of arm and forearm muscle synergies with respect to forearm muscle synergies only ( $p < 0.001$ ) and arm muscle synergies only ( $p < 0.05$ ) for all the regressors. Also, regression reconstruction of hand joint angles from combined

EMG RMS of arm and forearm muscles was significantly higher than from forearm muscles only ( $p < 0.05$ ) and arm muscles only ( $p < 0.0001$ ). No significant difference was found between using a synergistic approach for regressing hand posture quantities, i.e. between computing synergies and regressing hand joint angles from EMG RMS, in the case of combining arm and forearm muscles, or for arm muscles only. Instead, when considering forearm muscles only, using RMS instead of muscle synergies to regress hand joint angles to predict hand postural

**Table 2.** Mean and standard deviation of value of train (Train acc) and test accuracy (Test acc) for regression of hand postural synergies (PS) respectively from arm and forearm muscle synergies (MS) across the 10 subjects. In the same way value of regression of hand angles from arm and forearm filtered RMS are reported. The comparison is between 4 different regressors. The regressors considered were linear regressor (LR), k-nearest neighbor (KNN), random forest (RFR), and support vector machine (SVR).

	LR	KNN	RFR	SVR
Arm EMG RMS—Hand angles				
Train acc	0.27 ± 0.04	0.77 ± 0.04	0.95 ± 0.01	0.54 ± 0.05
Test acc	0.25 ± 0.06	0.42 ± 0.12	0.49 ± 0.10	0.44 ± 0.08
Arm MS—Hand PS				
Train acc	0.27 ± 0.07	0.83 ± 0.03	0.96 ± 0.01	0.56 ± 0.05
Test acc	0.26 ± 0.07	0.42 ± 0.13	0.46 ± 0.13	0.47 ± 0.07
Forearm EMG RMS—Hand angles				
Train acc	0.40 ± 0.07	0.85 ± 0.04	0.96 ± 0.01	0.69 ± 0.05
Test acc	0.32 ± 0.09	0.54 ± 0.11	0.59 ± 0.09	0.52 ± 0.10
Forearm MS—Hand PS				
Train acc	0.18 ± 0.05	0.78 ± 0.03	0.95 ± 0.01	0.45 ± 0.07
Test acc	0.17 ± 0.06	0.32 ± 0.08	0.39 ± 0.09	0.36 ± 0.07
Arm and Forearm EMG RMS—Hand angles				
Train acc	0.49 ± 0.05	0.90 ± 0.02	0.97 ± 0.01	0.77 ± 0.03
Test acc	0.40 ± 0.08	0.63 ± 0.10	0.66 ± 0.08	0.60 ± 0.08
Arm and Forearm MS—Hand PS				
Train acc	0.37 ± 0.06	0.95 ± 0.01	0.98 ± 0.00	0.73 ± 0.03
Test acc	0.35 ± 0.07	0.64 ± 0.10	0.66 ± 0.07	0.57 ± 0.07

synergies, provided a significant higher reconstruction ( $p < 0.05$ ).

## 4. Discussion

In this study, we investigate synergistic control of the upper limb by comparing muscle synergies extracted both from arm and forearm muscles with hand postural synergies. We hypothesise that muscle synergies of the entire upper limb predict hand postural synergies better than considering synergies just from forearm muscles. We proved this hypothesis and showed that myoelectric activity recorded from the entire upper limb improves the prediction of hand pre-shaping in daily-life natural movements combining reaching, grasping and object manipulation. This result supports the perspective of integrating EMG recordings of the proximal part to those of the residual forearm muscles in trans-radial stumps, to improve the control of active myoelectric prostheses.

### 4.1. Methodological choices and limitations

We extracted both muscle and postural synergies by NMF. Other alternative factorization methods for dimensionality reduction include principal component analysis (PCA) for muscle synergy identification [32]. However, PCA implies the complication of

interpreting negative muscle activation. A further option consists in using NMF for muscle synergies and PCA for postural synergies [10, 15], but this would lead to method inconsistency among the two types of synergies. We thus chose to use NMF for both types of synergies. The method we used to split into positive and negative parts each joint angle has been already proposed by Ting *et al* [34, 35] for factorizing force with NMF and enable a quantitative comparison with muscle synergies. Recently, Scano *et al* [36] proposed a novel method called mixed matrix factorization, which enables to directly assess the relationship between kinematic and muscle activity variables, by enforcing the non-negativity constrain on a subset of variables. Since it outperformed the classical approach based on NMF with splitting positive and negative angle phase, we will consider it for future studies.

In figures 5 and 6, we detailed the pairing of muscular and kinematic synergies for subject # 3. However, across different subjects, we observed variability both in number and type of identified synergies, thus also the pairs between synergies could change in their functional interpretation. At this stage we are assuming a univocal correspondence between a muscle synergy and a postural synergy and not the possibility that more than one muscle synergy

might correlate with the execution of one or more hand postural synergies. This is a limitation of our study, and future work should address the relationship between muscle synergies and multiple hand postural synergies.

#### 4.2. Number of synergies and variability across subjects

We identified about 4 arm muscle synergies, 5 forearm muscle synergies and between 6 and 7 hand postural synergies, for each subject. We found a statistical significance in having higher hand postural synergies than muscle synergies of both types. This could be interpreted as a major complexity in finger movement execution with respect to the complexity inherent into the central nervous system in controlling muscles. Also, we found significantly more forearm muscle synergies than arm muscle synergies. This could be since, while forearm muscles are directly involved in finger actuations, arm muscles are only involved in arm actuation during reaching, so controlling much less degrees of freedom. Another aspect to consider is the different approach in recording EMG between arm and forearm muscles. For forearm muscles we measured pronator and brachioradialis activity with bipolar electrodes, like for all the arm muscles, but we also recorded extrinsic finger muscles by HD-EMG, with a much higher spatial resolution ( $8 \times 4$  electrodes, inter-electrode distance. = 8 mm) providing richer information. We are aware that a large variability in the forearm size across subjects may be the greatest source of variability for synergy extraction and regression accuracy. Thus, we encourage to repeat our study by recruiting a higher number of subjects to decrease such variability.

Remarkably, we found high consistence in synergies among subjects. The number of clusters we found by hierarchical clustering were 4, 5 and 6 clusters for arm muscle synergies, forearm muscle synergies and hand postural synergies, respectively, reflecting the average number of synergies across subjects reported above. This allowed us to conduct a functional analysis of synergy cluster prototypes, both in space and time. Consistency among subjects in synergy patterns and functionality was found both for muscle and postural synergies. Each synergy takes part in a different moment of the observed task and is usually activated in more than one task, confirming previous studies of synergistic control. We only found poor consistency between single subjects' time-varying coefficients obtained from all-subjects forearm muscle synergies. This is reflected on the fact that for 3 of the 4 all-subject forearm muscle synergies, a clear pattern across all subjects did not emerged. In fact, the all-subjects time-varying patterns are the average of the temporally scaled time-varying patterns of each single subject, so high variability flatten this averaged cue. This variability could be due to

the placement of the HD-sEMG patches that could be slightly different for each subject.

We thus confirmed that the kinematic complexity of the human hand can be reduced to a lower subspace of a limited number of primitives, as found by many studies. However, one recent study [37] found that hand kinematic could be explained with a higher number of primitives, and that the residual low-amplitude synergies discarded according to the selection method (e.g. explained variance, VAF, or MSE change-in-slope criterion) could contribute to volitional hand coordination, and not reflect noise. With respect to our work, this study [37] was conducted on a larger set of grasp types and other gestures (signing in ASL alphabet). This difference prevented us from exploring the hypothesis that hand control occupies a higher dimensional space than traditionally thought.

#### 4.3. Roles of muscular and postural synergies during hand movements

Correlation among pairs of muscles and postural synergies in time domain, i.e. by considering the synergistic activation coefficients, enables to sort the two synergies type by function. In the case of the comparison between arm muscle synergies and hand postural synergies (figure 5) we show how arm muscle activity can predict hand pre-shaping during reaching an object to grasp it. In fact, for the grasping and manipulation of different objects, the modality of reaching changes accordingly and in different parts of the reaching, and hand shape changes in function of the object handled. By extending the same methodology to more tasks, important aspects of upper limb synergistic motor control could be found, as suggested by the example of functional analysis observed in *Results*. Moreover, the pairings in table 1 confirm the functional interpretation described in Results for arm muscle synergy correlation with hand postural synergies in time domain. In fact, the first pairing regards coming back to rest after a reaching and grasp action or in the case of bringing the 'fruit' toward the face in *EatFruit*, and it also includes wrist supination. The second pairing regards wrist pronation, the third reaching to grasp an object, and the fourth flexing the elbow before to stretch it for a reaching and to come back after the grasp, associated by opening the hand (to prepare to grasp an object and when releasing). Instead, the only clear pairing for forearm muscle synergies and hand postural synergies is the third pairing (#Forearm MS 1- #Hand PS 6 in table 1), expressing pronation.

Regression of hand postural synergies from muscle synergies of different segments of the upper limb was also evaluated. We compared 5 different types of regressors and we evaluated train and test coefficient of reconstruction. We selected these regressors as largely known in the machine learning literature [33]. We found that the reconstruction of hand postural quantities was significantly higher



when considering the myoelectric activity of both arm and forearm muscles ( $p < 0.05$ ). This confirms our hypothesis of the beneficial effect of adding information about arm muscle activity to forearm muscle activity to predict hand posture. This was true both when predicting hand postural synergies from muscle synergies and in the case of considering regression of hand joint angles from muscle RMS values.

Overall, we obtained a relatively low test reconstruction for all the methods, with the higher result ( $0.66 \pm 0.08$ ) for RFR when considering muscles from both arm and forearm myoelectric activity to regress hand joint posture. For EMG RMS, we found significantly higher performances of forearm instead of arm muscle. Curiously, we found the opposite for muscle synergies, with arm muscle synergies having higher performance than forearm muscle synergies, although without statistical significance. This may be since muscle synergies were extracted from different systems: HD-EMG signals were collected over the densely packed forearm muscles, which could present small conduction volumes needing more dense grids for a better discrimination of forearm muscle synergies.

A possible explanation for low test accuracy is that activation coefficients might vary for the same forearm movement under different muscle contraction force and arm positions and these factors may degrade the test accuracy. Moreover, it is likely that this regression would be higher, with more data or by implementing a grid search for hyperparameter optimization. These aspects go beyond the purpose of this work. Future studies should collect a larger dataset, including more subjects and tasks, and attempt a regression with the new state-of-the-art deep learning models, like LSTM or transformers. Further explorations could address whether and how a model representative of all subjects obtained from a larger dataset can indeed generalize upper limb motor control. New generation of neuromorphic machine learning by spiking neural networks could lead to faster predictions as recently done in [38] and in this framework we could include intrinsic hand muscles in the prediction, to obtain a more complete prediction of kinematics, as in [39].

#### 4.4. Applications to prosthetics, robotics and neuromotor rehabilitation

We envisage a broad set of applications leveraging on the outcomes of this study, especially (a) new paradigms for myoelectric control of robotic hands and (b) new biomarkers and indicators for quantitative investigation of motor control in disabled people. We discuss below these two possible applications.

Regarding regressing hand postural synergies from arm and forearm muscle synergies for myoelectric control purposes, we did not find a clear advantage in computing synergies for hand posture prediction, since predicting directly angles from EMG

RMS provided comparable results than in the case of synergy regression, with no significant difference. However, we envisage the advantage in adopting a synergistic approach in myoelectric control of prosthetic devices both from a computational cost and a mechatronic design perspective. The first aspect is especially critical when considering online signal processing necessary in myoelectric control, where processing less control signals provide a lower throughput, thus assuring a greater robustness and efficiency of the system. The second aspect is related to robotic limb design. In cases on underactuated mechanism (i.e. Hannes system [40] and [41], Soft Hand Pro [42] and others commercial devices as Michelangelo hand from Ottobock) a reduced number of actuators significantly reduces the development costs and design complexity instead of having a motor for each DoF. Thus, with this work we ensure an equivalent efficacy of control with less actuators. Alternatively, in case each finger is independently actuated by a dedicated trained predicting model, the proposed paradigm provides a more human-inspired and biomimetic solution which results in a more natural and synergistic movement of each joint (i.e. Shadow Hand, iLimb hands from Ossur and Bebionic hands from Ottobock). Therefore, we demonstrated that with a synergistic paradigm in prostheses control we can pursue different technical advantages without losing prediction performance [24]. Moreover, the computation of all-subjects synergies indicates the possibility of building robust synergy-based control architectures, in which low level signals used to drive the prosthetic motors are tuned according to muscle synergies weights.

The frontiers and challenges of synergistic myoelectric control that we found in the implementation of our study were first envisaged by Ison and Artemiadis (2014) [43]. First, they considered the critical aspect in EMG recording and processing like the influence of the electrode placement, crosstalk, amplitude cancellation and muscle selection. These aspects both influence traditional myoelectric control and, specifically, muscle synergies extraction, like the fact that smaller groups of selected muscles can increase variability and compromise completeness of synergic information. Second, the selection of RMS and other time-domain features have the advantage of computational simplicity, essential for real-time computation, but they are sensitive to noise, while NMF and other linear dimensionality reduction lead to some information lost. Third, a problem we do not deal with here, NMF does not guarantee session-independence, i.e. must be recalibrated at each new myoelectric control session. In terms of regression in myoelectric control, Roche *et al* [44] already envisaged that regression approach provides flexibility for the user, leading towards a more intuitive prosthesis control, but at the time this approach was at a very early stage. Current frontiers towards the new



generation of proportional control are taking advantage of real-time EMG decomposition for broad-band neural prosthetic interfaces [45] and transformers for EMG-to-kinematics regression [46].

The findings of this study can be exploited for prosthetic applications. In this case arm muscle synergies could be extracted from signals recorded with an EMG-sensor t-shirt, while forearm muscle synergies could be computed from EMG recorded from the trans-radial stump of an amputee (figure 3). To this end, some considerations must be made. For example, electrode placements: due to limited space available, we placed the grid for recording flexors muscles in the mid of the ventral side of the forearm. However, in the context of myoelectric control, this approach may be not replicable for some trans-radial amputees with an amputation more proximal than this level. Thus, it is necessary to optimize HD-EMG placement to make our analysis applicable to a broader range of possible applications and users.

Our findings could be exploited also for other applications, such as neurorehabilitation of motor impaired people. Muscle synergies have been extensively considered for exoskeleton-based rehabilitation, both for controlling the robotic system [47, 48] and for producing muscle stimulation patterns concurrently delivered during exoskeleton actuation [49]. In particular, muscle synergies have been proven to be promising as insightful biomarkers during post-stroke rehabilitation and recovery [50]. Thus, our study could constitute a benchmark for future post-stroke studies, to compare the case of impaired motor control with respect to healthy motor control.

#### 4.5. Translational possibilities in clinics

To specify the translational potential in clinical applications for stroke impairment, we envisage applying our framework to quantitatively assess motor impairment by relating muscle and postural synergies with established clinical metrics. For example, a new sensor-fusion framework could reference muscle synergy alteration (fractionation and merging as found in [50]) to hand postural synergies. In this way, muscle synergies, which are not directly visible in relation with the environment interaction, could be related with postural synergies, which instead are visible and constitute a biomechanical quantity. This would lead to more robust and complete quantitative assessment of motor recovery during rehabilitation for stroke-survivors, people with neurological diseases or with a neural injury. Another example could consist in quantifying motor asymmetry in unilateral hemiplegic stroke patients, as demonstrated by [51], or to correlate the activation profiles of synergies in paretic and healthy muscles with established clinical metrics such as the Fugl-Mayer assessment (FMA), like the approach taken by [52]. In the latter study, the authors identified a reliable biomarker for motor recovery in stroke,

measured during BMI-based proprioceptive therapies administered via robotics and rehabilitation. For these initial translational applications, the discovery of consistent inter-subject synergies among numerous healthy individuals, both in terms of muscle and postural synergies, could serve as a quantitative standard for comparing patient synergies to those identified in our study. Our experiment could be replicated across a wider variety of tasks and adapted flexibly to meet research needs.

Another promising area for application of our framework is in the use of hybrid BCIs (combining EEG and EMG) to identify motor dysfunction in stroke patients during upper limb movements, as explored by [53]. This research found that properties of the cortico-muscular coherence network were correlated with FMA and manual muscle test (MMT) scores. Integrating insights on postural synergy organization into multimodal models for hybrid BCIs could enhance the accuracy of impairment assessments once these models are trained with FMA or MMT scores as targets.

#### Data availability statement

The data that support the findings of this study are available upon reasonable request from the authors.

#### ORCID iDs

Simone Tanzarella  <https://orcid.org/0000-0003-0960-6562>

Dario Di Domenico  <https://orcid.org/0000-0002-4603-1692>

Nicolò Boccardo  <https://orcid.org/0000-0002-3460-7068>

Michela Chiappalone  <https://orcid.org/0000-0003-1427-5147>

Chiara Bartolozzi  <https://orcid.org/0000-0003-3465-6449>

Marianna Semprini  <https://orcid.org/0000-0001-5504-0251>

#### References

- [1] Tresch M C and Jarc A 2009 The case for and against muscle synergies *Curr. Opin. Neurobiol.* **19** 601–7
- [2] Tresch M C, Saltiel P and Bizzi E 1999 The construction of movement by the spinal cord *Nat. Neurosci.* **2** 162–7
- [3] Bizzi E, Saltiel P and Tresch M 1998 Modular organization of motor behavior *Z. Nat.forsch. C* **53** 510–7
- [4] Bizzi E, Cheung V C, d'Avella A, Saltiel P and Tresch M 2008 Combining modules for movement *Brain Res. Rev.* **57** 125–33
- [5] Saltiel P, Tresch M C and Bizzi E 1998 Spinal cord modular organization and rhythm generation: an NMDA iontophoretic study in the frog *J. Neurophysiol.* **80** 2323–39
- [6] Bizzi E and Cheung V C 2013 The neural origin of muscle synergies *Front. Comput. Neurosci.* **7** 51
- [7] Santello M, Baud-Bovy G and Jörntell H 2013 Neural bases of hand synergies *Front. Comput. Neurosci.* **7** 23

- [8] Kutch J J and Valero-Cuevas F J 2012 Challenges and new approaches to proving the existence of muscle synergies of neural origin *PLoS Comput. Biol.* **8** e1002434
- [9] Feldman A G 2019 Indirect, referent control of motor actions underlies directional tuning of neurons *J. Neurophysiol.* **121** 823–41
- [10] Santello M, Flanders M and Soechting J F 1998 Postural hand synergies for tool use *J. Neurosci.* **18** 10105–15
- [11] Ting L H and McKay J L 2007 Neuromechanics of muscle synergies for posture and movement *Curr. Opin. Neurobiol.* **17** 622–8
- [12] Chvatal S A and Ting L H 2013 Common muscle synergies for balance and walking *Front. Comput. Neurosci.* **7** 48
- [13] d'Avella A, Portone A, Fernandez L and Lacquaniti F 2006 Control of fast-reaching movements by muscle synergy combinations *J. Neurosci.* **26** 7791–810
- [14] d'Avella A and Lacquaniti F 2013 Control of reaching movements by muscle synergy combinations *Front. Comput. Neurosci.* **7** 42
- [15] Weiss E J and Flanders M 2004 Muscular and postural synergies of the human hand *J. Neurophysiol.* **92** 523–35
- [16] Tagliabue M, Ciancio A L, Brochier T, Eskiizmirli S and Maier M A 2015 Differences between kinematic synergies and muscle synergies during two-digit grasping *Front. Hum. Neurosci.* **9** 165
- [17] Hu T, Kuehn J and Haddadin S 2018 Identification of human shoulder-arm kinematic and muscular synergies during daily-life manipulation tasks 2018 7th IEEE Int. Conf. on Biomedical Robotics and Biomechatronics (Biorob) (IEEE) pp 1011–8
- [18] Ajilboye A B and Weir R 2009 Muscle synergies as a predictive framework for the EMG patterns of new hand postures *J. Neural Eng.* **6** 036004
- [19] Samani A, Srinivasan D, Mathiassen S E and Madeleine P 2017 Variability in spatio-temporal pattern of trapezius activity and coordination of hand-arm muscles during a sustained repetitive dynamic task *Exp. Brain Res.* **235** 389–400
- [20] Liarokapis M V, Artemiadis P K, Katsiaris P T, Kyriakopoulos K J and Manolakos E S 2012 Learning human reach-to-grasp strategies: towards EMG-based control of robotic arm-hand systems 2012 IEEE Int. Conf. on Robotics and Automation (IEEE) pp 2287–92
- [21] Saito H, Yokoyama H, Sasaki A, Kato T and Nakazawa K 2022 Evidence for basic units of upper limb muscle synergies underlying a variety of complex human manipulations *J. Neurophysiol.* **127** 958–68
- [22] Kanzler C M, Averta G, Schwarz A, Held J P O, Gassert R, Bicchi A, Santello M, Lambercy O and Bianchi M 2022 A low-dimensional representation of arm movements and hand grip forces in post-stroke individuals *Sci. Rep.* **12** 7601
- [23] Jiang N, Englehart K B and Parker P A 2008 Extracting simultaneous and proportional neural control information for multiple-DOF prostheses from the surface electromyographic signal *IEEE Trans. Biomed. Eng.* **56** 1070–80
- [24] Marinelli A et al 2023 Active upper limb prostheses: a review on current state and upcoming breakthroughs *Prog. Biomed. Eng.* **5** 012001
- [25] Cheung V C, Piron L, Agostini M, Silvoni S, Turolla A and Bizzi E 2009 Stability of muscle synergies for voluntary actions after cortical stroke in humans *Proc. Natl Acad. Sci.* **106** 19563–8
- [26] Tanzarella S, Muceli S, Del Vecchio A, Casolo A and Farina D 2020 Non-invasive analysis of motor neurons controlling the intrinsic and extrinsic muscles of the hand *J. Neural Eng.* **17** 046033
- [27] Merletti R, Botter A, Troiano A, Merlo E and Minetto M A 2009 Technology and instrumentation for detection and conditioning of the surface electromyographic signal: state of the art *Clin. Biomech.* **24** 122–34
- [28] Merletti R, Avenaggiato M, Botter A, Holobar A, Marateb H and Vieira T M 2010 Advances in surface EMG: recent progress in detection and processing techniques *Crit. Rev. Biomed. Eng.* **38** 305–45
- [29] Phinyomark A, Quaine F, Charbonnier S, Serviere C, Tarpin-Bernard F and Laurillau Y 2013 EMG feature evaluation for improving myoelectric pattern recognition robustness *Expert Syst. Appl.* **40** 4832–40
- [30] Seung D and Lee L 2001 Algorithms for non-negative matrix factorization *Advances in Neural Information Processing Systems* vol 13 pp 556–62
- [31] Tanzarella S, Muceli S, Santello M and Farina D 2021 Synergistic organization of neural inputs from spinal motor neurons to extrinsic and intrinsic hand muscles *J. Neurosci.* **41** 6878–91
- [32] Tresch M C, Cheung V C and d'Avella A 2006 Matrix factorization algorithms for the identification of muscle synergies: evaluation on simulated and experimental data sets *J. Neurophysiol.* **95** 2199–212
- [33] Bishop C M and Nasrabadi N M 2006 *Pattern Recognition and Machine Learning* (Springer)
- [34] Ting L H and Macpherson J M 2005 A limited set of muscle synergies for force control during a postural task *J. Neurophysiol.* **93** 609–13
- [35] Torres-Oviedo G, Macpherson J M and Ting L H 2006 Muscle synergy organization is robust across a variety of postural perturbations *J. Neurophysiol.* **96** 1530–46
- [36] Scano A, Mira R M and d'Avella A 2022 Mixed matrix factorization: a novel algorithm for the extraction of kinematic-muscular synergies *J. Neurophysiol.* **127** 529–47
- [37] Yan Y, Goodman J M, Moore D D, Solla S A and Bensmaia S J 2020 Unexpected complexity of everyday manual behaviors *Nat. Commun.* **11** 3564
- [38] Tanzarella S, Iacono M, Donati E, Farina D and Bartolozzi C 2023 Neuromorphic decoding of spinal motor neuron behaviour during natural hand movements for a new generation of wearable neural interfaces *IEEE Trans. Neural Syst. Rehabil. Eng.* **31** 3035–46
- [39] Panchal M, Tanzarella S, Jung M K and Farina D 2023 Mapping intrinsic and extrinsic muscle myoelectric activity during natural dynamic movements into finger and wrist kinematics using deep learning prediction models *IEEE Trans. Hum.-Mach. Syst.* **53** 924–34
- [40] Laffranchi M et al 2020 The Hannes hand prosthesis replicates the key biological properties of the human hand *Sci. Robot.* **5** eabb0467
- [41] Naceri A et al 2022 From human to robot grasping: force and kinematic synergies: close comparison between human and robotic hands in both force and kinematic domain *Tactile Sensing, Skill Learning, and Robotic Dexterous Manipulation* (Elsevier) pp 133–48
- [42] Godfrey S B et al 2018 The SoftHand Pro: functional evaluation of a novel, flexible, and robust myoelectric prosthesis *PLoS One* **13** e0205653
- [43] Ison M and Artemiadis P 2014 The role of muscle synergies in myoelectric control: trends and challenges for simultaneous multifunction control *J. Neural Eng.* **11** 051001
- [44] Roche A D, Rehbaum H, Farina D and Aszmann O C 2014 Prosthetic myoelectric control strategies: a clinical perspective *Curr. Surg. Rep.* **2** 1–11
- [45] Bergmeister K D et al 2017 Broadband prosthetic interfaces: combining nerve transfers and implantable multichannel EMG technology to decode spinal motor neuron activity *Front. Neurosci.* **11** 421
- [46] Lin C, Chen X, Guo W, Jiang N, Farina D and Su J 2022 A BERT based method for continuous estimation of cross-subject hand kinematics from surface electromyographic signals *IEEE Trans. Neural Syst. Rehabil. Eng.* **31** 87–96
- [47] Tang S, Chen L, Barsotti M, Hu L, Li Y, Wu X, Bai L, Frisoli A and Hou W 2019 Kinematic synergy of multi-DoF movement in upper limb and its application for

- rehabilitation exoskeleton motion planning *Front. Neurorobot.* **13** 99
- [48] He L, Xiong C, Liu K, Huang J, He C and Chen W 2018 Mechatronic design of a synergetic upper limb exoskeletal robot and wrench-based assistive control *J. Bionics Eng.* **15** 247–59
- [49] Klauer C *et al* 2014 Feedback control of arm movements using neuro-muscular electrical stimulation (NMES) combined with a lockable, passive exoskeleton for gravity compensation *Front. Neurosci.* **8** 262
- [50] Cheung V C, Turolla A, Agostini M, Silvoni S, Bennis C, Kasi P, Paganoni S, Bonato P and Bizzi E 2012 Muscle synergy patterns as physiological markers of motor cortical damage *Proc. Natl Acad. Sci.* **109** 14652–6
- [51] Coscia M, Monaco V, Martelloni C, Rossi B, Chisari C and Micera S 2015 Muscle synergies and spinal maps are sensitive to the asymmetry induced by a unilateral stroke *J. Neuroeng. Rehabil.* **12** 1–16
- [52] Irastorza-Landa N, García-Cossio E, Sarasola-Sanz A, Brötz D, Birbaumer N and Ramos-Murguialday A 2021 Functional synergy recruitment index as a reliable biomarker of motor function and recovery in chronic stroke patients *J. Neural Eng.* **18** 046061
- [53] Pichiorri F, Toppi J, de Seta V, Colamarino E, Masciullo M, Tamburella F, Lorusso M, Cincotti F and Mattia D 2023 Exploring high-density corticomuscular networks after stroke to enable a hybrid brain-computer interface for hand motor rehabilitation *J. Neuroeng. Rehabil.* **20** 5