

Efficiency of a combined biological aerated filter and ultrafiltration process for removal of odor compounds in rural drinking water

*Original*

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3 Efficiency of a Combined Biological Aerated Filter  
4 and Ultrafiltration Process for Removal of Odor  
5 Compounds in Rural Drinking Water

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17 **ABSTRACT**

18 Access to safe and clean drinking water is a fundamental need, and the presence of odor  
19 compounds can seriously impact consumers' perception, undermining their trust in water quality.  
20 The occurrence of *trans-1,10*-dimethyl-*trans-9*-decalol (GSM) and 2-methylisoborneol (2-MIB)  
21 is a common issue in surface water, such as lakes and reservoirs, resulting from algae bloom, which  
22 exacerbates the odor problems in summer. To address this issue, a combined process of biological  
23 aerated filter (BAF) and ultrafiltration was investigated in its efficacy in removing odor  
24 compounds under different concentrations and in achieving drinking water standards. Our results  
25 indicate that the combined process was effective, achieving abatement of turbidity and organic  
26 matter and, specifically, a removal rate of up to 96.5 % for GSM and ~100% for 2-MIB at an  
27 influent concentration of 100 ng/L, a concentration commonly associated with odor issues in rural  
28 areas. Possible biodegradation pathways of odor compounds are also discussed. Increasing the air-  
29 to-water ratio to 5:1 in the combined system greatly improved the removal rate of odor compounds,  
30 enabling it to treat raw water with concentrations as high as 300 ng/L for both GSM and 2-MIB.  
31 This combined system holds great practical potential in addressing odor issues in rural areas,  
32 offering the capability to provide safe, clean, and odor-free drinking water, thereby significantly  
33 enhancing the quality of life especially in rural communities.

34 **KEYWORDS:** Biological aerated filter (BAF); 2-methylisoborneol (2-MIB); *trans-1,10*-  
35 dimethyl-*trans-9*-decalol (Geosmin); Drinking water treatment; Biodegradation products.

36

## 37 **1. Introduction**

38       The quality of drinking water and its consumers' acceptance can be substantially influenced  
39 by sensory aspects [1]. In extreme cases, consumers may prefer potentially unsafe water with good  
40 appearance over aesthetically unacceptable water that is actually safe. Among the factors that  
41 affect perception, odor compounds are particularly influential indicators [2]. The odor issues  
42 caused by odor compounds can quickly destroy consumers' trust in the safety of their drinking  
43 water [3, 4]. *Trans*-1,10-dimethyl-*trans*-9-decalol (Geosmin, GSM, C<sub>12</sub>H<sub>22</sub>O) and 2-  
44 methylisoborneol (2-MIB, C<sub>11</sub>H<sub>20</sub>O) are commonly detected odor compounds produced by  
45 cyanobacteria and actinomycetes that emit earthy-musty odor [5, 6], and have caused increasingly  
46 global problems for safe drinking water supply systems [7, 8].

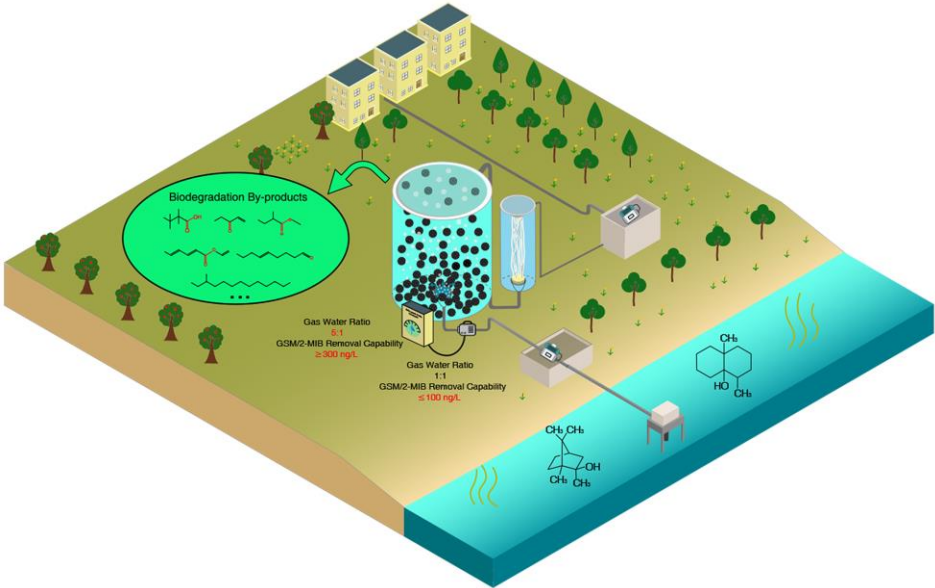
47       Many countries, including China, have incorporated guidelines for GSM and 2-MIB into their  
48 drinking water quality standards. The latest standards in China specified a limit of 10 ng/L for both  
49 GSM and 2-MIB. Wang et al. conducted a comprehensive survey of odor compounds in water  
50 sources across 31 cities and 98 drinking water treatment plants (DWPTs) in China. The study  
51 revealed a high detection frequency of 72% for 2-MIB and 64% for GSM in lakes and reservoirs,  
52 respectively [9]. A similar nationwide investigation into odor-related issues reached analogous  
53 conclusions [10]. Conventional processes in DWPTs have limited removal effect against odor  
54 compounds, and microorganism cell lysis caused by coagulation and flocculation may actually  
55 increase the concentrations of the odor compounds in water [11, 12]. Processes such as adsorption  
56 on granular activated carbon (GAC) [13-15], advanced oxidation processes (AOPs) based on, e.g.,  
57 ozone [16, 17], UV and other oxidants were applied to solve the odor problem [18-21]. However,  
58 these processes were generally applied as temporary solutions, are expensive, and may create other  
59 problems related to water quality or related to the operation of the treatment system. GAC needs

60 to be replaced when its performance deteriorates, and competitive adsorption may take place and  
61 reduce the capability of odor compounds removal [4]. AOPs require addition of chemicals and  
62 corresponding equipment, and excess oxidants and oxidation products may require substantial  
63 post-treatment [22]. These technologies can hardly be applied in DWTPs serving villages in rural  
64 areas that are dispersedly located, due to limitations such as cost, availability of labor, and technical  
65 expertise [23]. As a result, DWTPs in some rural areas are not well equipped to deal with odor  
66 problems. In addition, the water sources in rural areas are commonly nearby rivers, reservoirs and  
67 lakes, where algae is prevalent [24]. These water sources can be easily polluted by non-point  
68 source pollution caused by agricultural or domestic sewage discharges, accelerating the growth of  
69 the microorganisms, which in turn makes the odor problems more common in rural areas than in  
70 urban areas [24, 25].

71 A promising technology for the removal of the odor compounds in water is biological  
72 activated carbon (BAC) [26, 27]. The microorganism involved in the BAC process degrade organic  
73 micropollutants adsorbed on the material, thus rendering adsorption sites newly available for  
74 further adsorption through a material bioregeneration [28]. The combination of biodegradation and  
75 adsorption showed excellent performance in the removal of odor compounds from raw water for  
76 potabilization purposes [12]. Many studies have investigated the removal efficacy of BAC against  
77 GSM and 2-MIB under different conditions like water temperature, empty bed contact time  
78 (EBCT), and concentration of natural organic matter [27, 28]. In our previous experiments, a  
79 combined system comprising biological aerated filter (BAF) using GAC as filler and ultrafiltration  
80 was applied to treat real raw water taken from a reservoir that served as a drinking water source  
81 for nearby villages in China. The effluent of the combined system met the standards for drinking  
82 water quality in China, but needed further improvement and a more thorough understanding of its

83 functioning and potential [23]. Odor problems also exists in the raw water and the drinking water  
84 produced by local DWPT has been reported by the local people. As a result, the potential of the  
85 coupled systems for odor removal needs to be further investigated.

86 In this study, the removal of odor compounds, namely, GSM and 2-MIB, aimed at the  
87 purification of water in rural areas is further investigated and improved through BAF systems  
88 combined with UF. The combined systems had been employed for the purification of the same  
89 actual rural reservoir water for 21 months before this study. The effect of different concentrations  
90 of GSM and 2-MIB is investigated, achieved by controlled addition of these compounds in the raw  
91 water. The functioning and efficacy of the combined system is thus discussed. Changes in the  
92 microbial community within the BAF reactors and possible by-products of GSM and 2-MIB  
93 biodegradation are also assessed. In addition, the opportunity of regulating and temporarily  
94 augmenting the removal capability of the combined systems for possible odor bursts is also  
95 verified, by increasing aeration in the BAF reactor.



96  
97 **Figure 1.** Installation diagram of the combined system comprising BAF and ultrafiltration for odor compounds  
98 removal aimed at the potabilization of raw water.

## 100 **2. Materials and Methods**

### 101 *2.1. Materials*

102 Water from a reservoir in a rural area of Zhongxian (Chongqing, China), which serves more  
103 than 10,000 people in villages and towns nearby, was collected and used as raw water in this study.  
104 Information regarding the water and BAF reactors is reported in the [Supporting Information \(Text](#)  
105 [S1](#) and [Table S1](#)). Raw water was pumped from influent tanks to the BAF reactors using peristaltic  
106 pump (BT-300-2 J, Longer Pump, China) and the effluent streams were pumped to the  
107 polyvinylidene difluoride (PVDF) ultrafiltration hollow fiber membrane modules (Litree Purifying  
108 Technology Co., Ltd, Hainan, China). Geosmin (GSM, trace CERT<sup>®</sup>), 2-MIB (trace CERT<sup>®</sup>),  
109 mixed geosmin and 2-methylisoborneol (GSM/2-MIB, trace CERT<sup>®</sup>) were purchased from Sigma-  
110 Aldrich (USA). Influent raw waters with varying odor compound content were simulated by  
111 spiking GSM and 2-MIB at three different concentrations, namely, 100 ng/L, 200 ng/L, 300 ng/L.  
112 The spiked raw waters served as influent streams immediately after spiking, to minimize  
113 volatilization of odor compounds. Wahaha purified water was used in this study for the  
114 experiments requiring pure water. Other chemicals and materials used in this study are listed in  
115 [Text S2](#). A schematic diagram of the reactors is shown in [Figure S1](#).

116

### 117 *2.2. Methods*

#### 118 *2.2.1. Operations of BAF*

119 Information related to the functioning of different BAF reactors is listed in [Table 1](#). The  
120 influent rate of the BAF reactors was set at 0.12 L/h throughout the experiment. The reactors were  
121 aerated with a flow rate of 0.12 L/h (gas/water ratio equal to 1/1) using aeration pumps

122 continuously, and 15-min backwashing was performed every 15 days. The influent rate was 1.5  
 123 L/h and the aeration rate was 1.5 L/h for backwashing. The combined systems were operated  
 124 indoors and the air temperature was controlled by air conditioner at  $25\pm 2$  °C. The water  
 125 temperature remained between 18 and 21 °C throughout the experiment.

126 **Table 1.** Operational parameters of the AF reactors.

Operators ID	Fillers	EBCT (min)	Gas-water ratio	Running Time (months)
BAF-60	GAC	60	1:1	21
BAF-45	GAC	45	1:1	21
BAF-30	GAC	30	1:1	21
BAF-15	GAC	15	1:1	21

127 Note: The microbial communities in BAF reactors were mature and already adapted to the raw water.

128

### 129 2.2.2. Characterizations and Analyses of Influent and Effluent Streams

130 The water samples were collected from influent streams, effluent streams from BAF reactors,  
 131 and effluent streams from membrane modules. The concentration of GSM/2-MIB and  
 132 biodegradation by-products were measured immediately after sample collection using gas  
 133 chromatography-triple quadrupole mass spectrometry (GC-MS/MS) system coupled with solid  
 134 phase micro-extraction (SPME) [29]. Enrichment and extraction of odor components and their  
 135 biodegradation by-products in water samples were carried out through a SPME fiber, then the  
 136 SPME fiber was analyzed with GC-MS/MS (Agilent 8890 Series gas chromatograph, HP-5 MS  
 137 capillary column Agilent, Agilent 7010B mass selective detector, Agilent, USA). The chemical  
 138 oxygen demand ( $COD_{Mn}$ ) of the samples were measured based on the acidic potassium  
 139 permanganate method. The UV absorbance at 254 nm ( $UV_{254}$ ) and the fluorescence excitation-  
 140 emission matrix (EEM) of the pre-filtered samples were measured using UV-Vis

141 spectrophotometry (Orion AquaMate 8000, Thermo Fisher Scientific INC., MA, USA) and  
142 fluorescence spectrophotometry (F-7000, Hitachi, Japan), respectively. The chroma of the samples  
143 was also detected by UV-Vis spectrophotometry. The turbidity of the samples was determined  
144 with a turbidimeter (TL2310, Hach company, USA). The detailed methods and parameters can be  
145 found in [Text S3](#) and in our previous studies [30, 31].

146

### 147 *2.2.3 Microbial Diversity Sequencing Analysis*

148 The 16s rRNA gene exists in the genome of all bacteria and can inform about the prevalence  
149 and the relationship between bacteria. During the experiment, 2 g filler were collected at about 3  
150 cm depth from the top of the BAF reactors, followed by filler backfill. The microbial communities  
151 of the fillers were analyzed through the 16s rRNA method to gain information about microbial  
152 community variation and the dominant functional microorganisms. The sequencing of 16s rRNA  
153 took place on the 10<sup>th</sup>, 60<sup>th</sup>, and 110<sup>th</sup> day after the beginning of the test. Details of the microbial  
154 diversity sequencing analysis are presented in [Text S3](#).

155

## 156 **3. Results and Discussion**

### 157 *3.1. Removal of Odor Compounds in Raw Water by the Combined System*

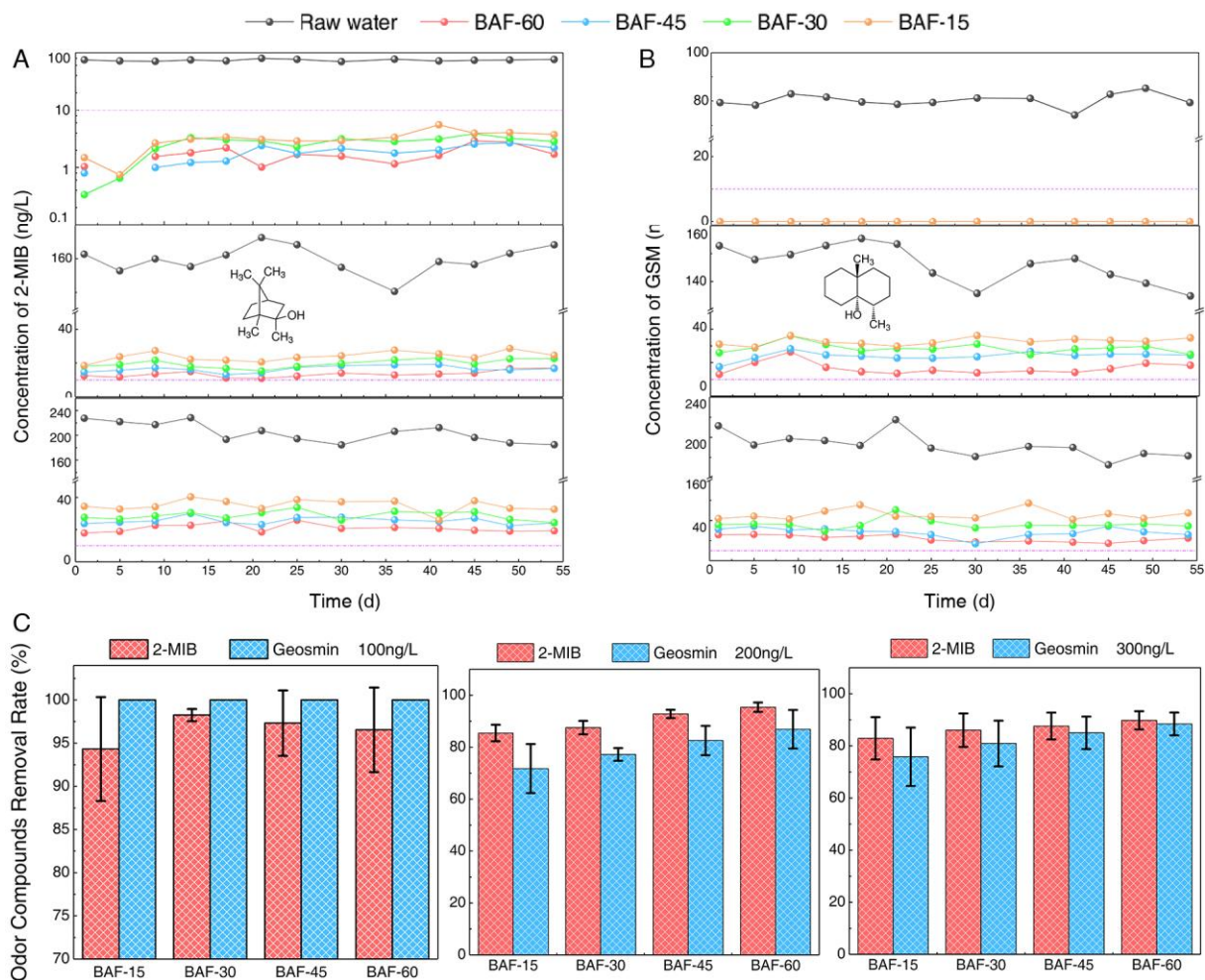
158 The systems were employed to treat influent streams for 21 months **before**, during which the  
159 microbial communities attached to the BAF fillers adapted to the aqueous matrix [23]. Raw water  
160 with different concentrations of GSM/2-MIB (100 ng/L, 200 ng/L, and 300 ng/L) were separately  
161 pumped into distinct, parallel systems to assess the odor compounds removal efficacy. Detailed  
162 data regarding the concentrations of odor compounds in the influent and effluent streams are  
163 presented in [Figure 2](#). As GSM/2-MIB volatilized in the water tank during the experiment, a certain

164 error occurred between the actual and the nominal concentrations. The removal rates of the odor  
165 compounds were calculated using the concentrations of GSM/2-MIB in effluent streams and  
166 influent streams (actual detected value). The average proportions of the odor compounds escaped  
167 from the influent streams can be seen in [Table S2 \(SI\)](#). When treating raw water spiked with 100  
168 ng/L odor compounds, the GSM removal rates were consistent at approximately 100% across  
169 different combined systems. For 2-MIB, the removal rates were 98.2% (BAF-60), 97.3% (BAF-  
170 45), 96.5% (BAF-30), 94.3% (BAF-15). At higher influent concentration of 200 ng/L, the  
171 combined system exhibited slightly lower removal performance. Specifically, the GSM removal  
172 rates were 86.9% (BAF-60), 82.5% (BAF-45), 77.2% (BAF-30), and 75.3% (BAF-15), while the  
173 removal rates for 2-MIB decreased were 95.4% (BAF-60), 92.7% (BAF-45), 87.6% (BAF-30),  
174 and 85.4% (BAF-15), respectively. Similar removal rates were observed with an influent  
175 concentration equal to 300 ng/L, namely, GSM removal rates were 88.4 % (BAF-60), 85.0 %  
176 (BAF-45), 80.9 % (BAF-30), and 75.3 % (BAF-15), while the removal rates of 2-MIB were 89.8 %  
177 (BAF-60), 87.6 % (BAF-45), 86.0 % (BAF-30), and 82.9 % (BAF-15). The various average  
178 removal rates are summarized in [Figure 2C](#).

179 Based on the data reported above, when the initial concentrations of GSM/2-MIB were 100  
180 ng/L, the concentrations of GSM and 2-MIB in the effluent streams of the BAF reactors were  
181 reduced to less than 10 ng/L, meeting the latest drinking water standards in China. As the  
182 concentration of odor compounds in the influent stream increased, we observed a rise in the  
183 volatilization of odor compounds, accompanied by a reduction in the removal rates provided by  
184 the combined system. Therefore, the effluents of the combined system may fail to meet the  
185 standard for concentrations higher than 100 ng/L. The removal performance of the combined  
186 system partially stems from the ability for bioregeneration of the adsorbent fillers in the BAF

187 reactors. Microorganisms played a crucial role in degrading both adsorbed and dissolved odor  
 188 compounds, as well as other constituents present in the influent water [12].

189



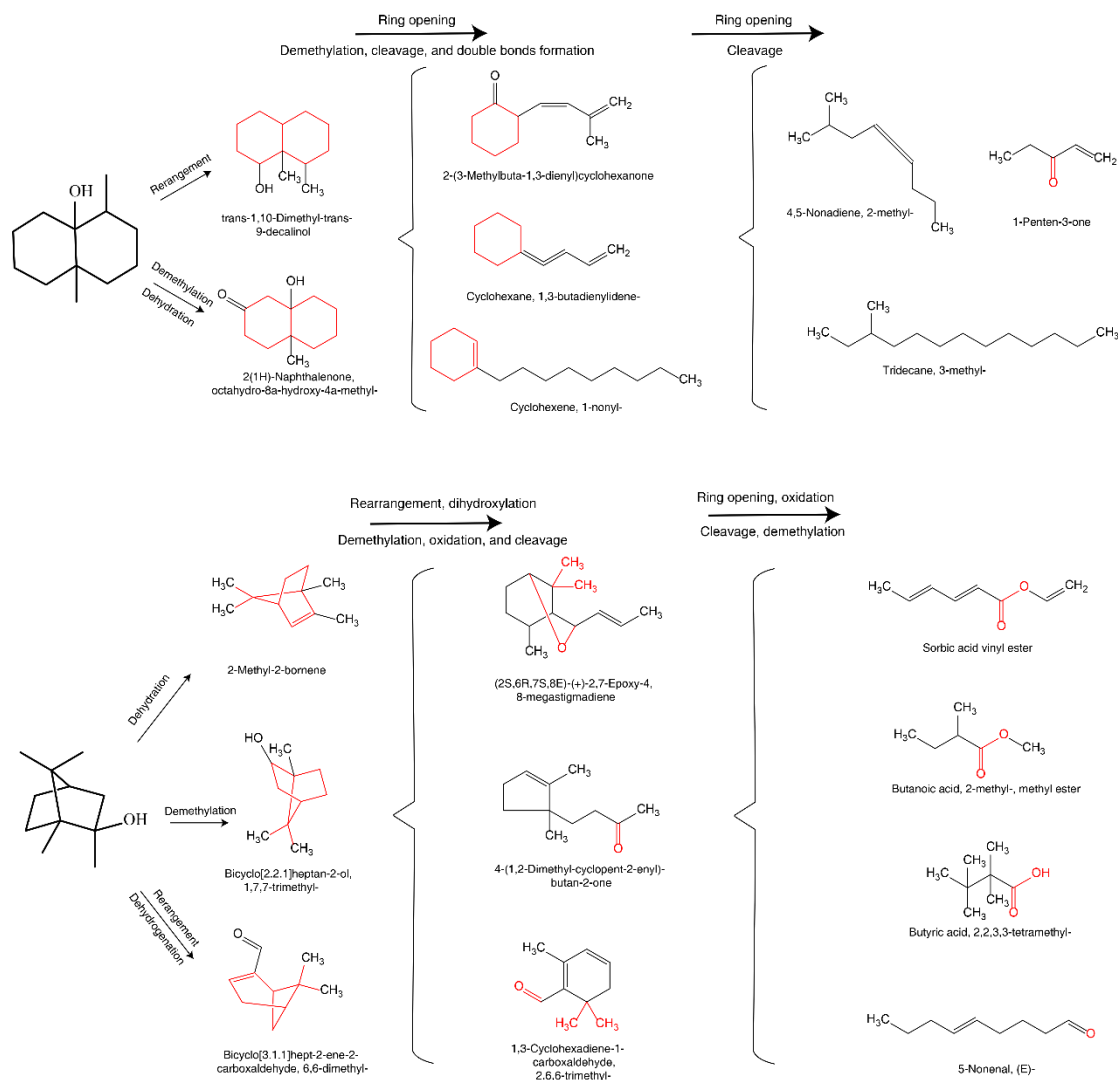
190  
 191 **Figure 2.** Concentration of odor compounds in influent and effluent streams of different BAF reactors and  
 192 corresponding average removal rates. A: GSM concentrations in influent and effluent streams of BAF reactors  
 193 when different initial GSM concentration was spiked into raw water (100 ng/L, 200 ng/L and 300 ng/L from top  
 194 to bottom); B: 2-MIB concentrations in influent and effluent streams of BAF reactors when different initial GSM  
 195 concentration was spiked into raw water (100 ng/L, 200 ng/L and 300 ng/L from top to bottom); C: Removal  
 196 rates observed in different BAF reactors for varying influent concentrations of odor compounds (100 ng/L, 200

197 ng/L and 300 ng/L from left to right). The dotted lines represent the concentration limits for GSM/2-MIB (10  
198 ng/L) in standards for drinking water quality of China.

199

### 200 *3.2. Odorous Compound Biodegradation Pathways and By-products*

201 By comparing the chromatograms and mass spectrometry results of water samples from  
202 conical flasks containing BAC and GSM/2-MIB after contact on a vibrating thermostatic water  
203 bath, several possible biodegradation by-products of GSM/2-MIB were identified, after excluding  
204 the background and possible interfering substances, which are listed in [Table S3 \(SI\)](#). The detailed  
205 experimental protocol can be found in [Text S3 \(SI\)](#). [Figure 3](#) illustrates the possible degradation  
206 pathways of GSM/2-MIB based on the analytical results.



207

208 **Figure 3.** Possible pathway and by-products of the biodegradations of GSM and 2-MIB in the BAF reactors.

209

210 As the most likely degradation pathway of GSM/2-MIB involved dehydration and ring  
 211 opening [32, 33]. Based on literatures concerning the degradation pathways of GSM/2-MIB under  
 212 background of pure materials [32, 34-36]. The intermediates and biodegradation by-products of  
 213 GSM/2-MIB were identified through chromatograms and mass spectrometry results. The detailed  
 214 methods and processes were listed in [Text S4 \(SI\)](#), and the results were presented in [Figure 3](#). This  
 215 process resulted in the formation of various low molecular weight degradation products, mostly  
 216 alkanes, are generally considered odorless. In summary, the final possible biodegradation by-

217 products of GSM/2-MIB hypothesized in this study were generally small molecule products, most  
218 of which were odorless and harmless.

219 The degradation of GSM/2-MIB in combined systems mainly depends on the microbial  
220 communities in BAF reactors. Variety of relationships, such as synergy, symbiosis, and  
221 competition among microorganisms existed in the microbial communities. The locations of the  
222 broken bonds of GSM/2-MIB during biodegradation may vary. Besides, even though the BAC was  
223 pre-treated with pure water before the degradation experiments, the adsorbed substances of BAC  
224 may desorb during the experiment and affect the results. Compounds such as 3-Hexenamide,  
225 N,N,2-trimethyl-, (E)-(+)- (C<sub>9</sub>H<sub>11</sub>NO) and 2-Butanamine, 3,3-dimethyl-(C<sub>6</sub>H<sub>15</sub>N), containing  
226 nitrogen, were also observed in the chromatograms and mass spectrometry results. Despite several  
227 repeated experiments, the chromatograms and mass spectrometry results were slightly different  
228 each time. As a result, the complete determination of biodegradation by-products and pathways  
229 for GSM and 2-MIB in BAF reactors proved challenging. The possible biodegradation by-products  
230 we found in the degradation experiments using pure water with 1 mg/L GSM/2-MIB were odorless  
231 and harmless. When treating influent streams with 100 ng/L GSM/2-MIB, the effluent streams of  
232 combined systems can be odorless and harmless, indicating effective and safe biodegradation  
233 occurring in BAF reactors.

234

### 235 *3.3. Removal of Organic Matter*

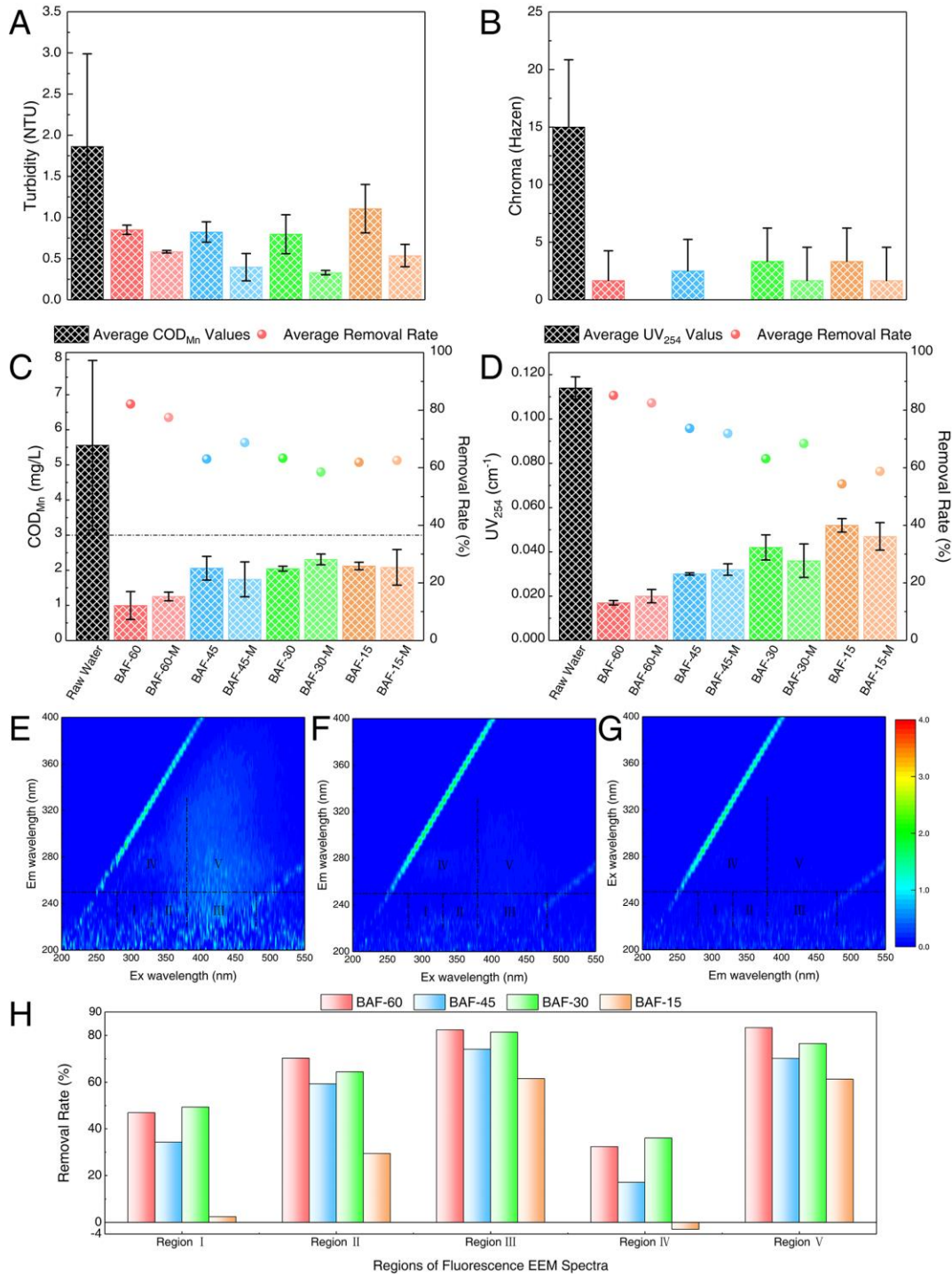
236 Turbidity and chroma measurements for the raw water, BAF reactor effluent streams, and  
237 effluents from the membrane modules are presented in [Figure 4A](#) and [B](#). Following BAF reactor  
238 processing, effluent streams, except for BAF-15, met the drinking water standard ( $\leq 1.0$  NTU,  $\leq$   
239 15 Hazen). BAF-15 exhibited an average effluent turbidity exceeding the standard ( $1.11 \pm 0.29$

240 NTU). The subsequent membrane process effectively addressed this issue, resulting in an average  
241 decline of  $0.43 \pm 0.13$  NTU between influent and effluent streams. Consequently, the effluent  
242 streams of the combined system fully complied with the standard. Furthermore, the ultrafiltration  
243 membrane employed in the process can also prevent the leakage of microorganisms from the BAF  
244 reactors [23] .

245 Investigation into the removal of organic matter was conducted by determining the variation  
246 in  $\text{COD}_{\text{Mn}}$  values and  $\text{UV}_{254}$  values, as shown in Figure 4C and D. Organic matter removal  
247 predominantly occurred in the BAF step, with average  $\text{COD}_{\text{Mn}}$  values of  $0.99 \pm 0.40$  mg/L (BAF-  
248 60),  $2.05 \pm 0.34$  mg/L (BAF-45),  $2.04 \pm 0.04$  mg/L (BAF-30), and  $2.12 \pm 0.11$  mg/L (BAF-15),  
249 exiting the BAF reactors. The subsequent membrane processes had minimal impact on organic  
250 matter removal, as evidenced by the negligible difference in  $\text{UV}_{254}$  values between effluent streams  
251 from BAF reactors and final effluents. Fluorescence EEM spectroscopy was utilized to identify  
252 the removal rates of different dissolved organic components in raw water by the combined system.  
253 The spectra were divided into five regions using fluorescence regional integration (FRI) [30, 37].  
254 The spectra of streams related to the BAF-60 combined systems are presented in Figure 4E to G,  
255 the spectra of streams collected in other combined systems are reported in Figure S3 (SI). The  
256 overall removal rates provided by different combined system is shown in Figure 4H. The dissolved  
257 organic matter in raw water mainly comprised of tryptophan protein-like substances (region II),  
258 fulvic acid-like matters (region III), and humic acid-like components (region V) (Figure 4E). The  
259 various removal rates were estimated as follows: tryptophan protein-like substances (Region II) at  
260 70.3% (BAF-60), 59.3% (BAF-45), 64.5% (BAF-30), and 29.5% (BAF-15); fulvic acid-like  
261 matters (region III) at 82.4% (BAF-60), 74.1% (BAF-45), 81.4% (BAF-30), and 61.5% (BAF-15);  
262 humic acid-like components (region V) at 83.3% (BAF-60), 70.2% (BAF-45), 76.6% (BAF-30),

263 and 61.3% (BAF-15), respectively. Therefore, the system with a longer EBCT exhibited superior  
264 performance in organic matter removal, while a somewhat descending trend was observed as a  
265 function of EBCT.

266 Overall, through joint analysis of COD<sub>Mn</sub> removal, UV<sub>254</sub> removal, and EEM results, the BAF  
267 reactor characterized by a 60-minute EBCT showed advantages in terms of water product quality  
268 over BAF reactors characterized by shorter EBCTs. The combined system achieved effective  
269 removal of approximately 80% (BAF-60) of organic matter, even with added odor compounds in  
270 the influent streams. Indeed, from an economic perspective, a 30-minute EBCT is preferable, and  
271 still ensured meeting conventional water quality indicators and adequate odor substance removal.  
272 In this sense, a trade-off does exist between 30 and 60 minutes EBCT between economic and  
273 quality considerations



274

275 **Figure 4.** Water quality indexes of water streams in different combined systems. A: Average turbidity values;

276 B: Average chroma values; C: Average COD<sub>Mn</sub> values (dots referring to the left axis) and their corresponding

277 removal rates (bars referring to the right axis); D: Average UV<sub>254</sub> values (dots referring to the left axis) and their

278 corresponding removal rates (bars referring to the right axis); E: Fluorescence EEM spectrum of raw water; F:

279 Fluorescence EEM spectrum of the effluent stream from the BAF-60 reactor; G: Fluorescence EEM spectrum  
280 of the effluent stream from the final membrane process; H: Average removal rates of different organic  
281 components by the combined system. (Region I: (Ex/Em = 220-250/280-330 nm, tyrosine protein-like  
282 substances), region II: (Ex/Em=220-250/330-380 nm, tryptophan protein-like substances), region III: (Ex/Em =  
283 220-250/380-480 nm, fulvic acid-like matters), region IV: (Ex/Em = 250-400/280-380 nm, soluble microbial  
284 by-product-like matters), and region V: (Ex/Em = 250-400/380-540 nm, humic acid-like components)). The  
285 dotted lines represent the limits for CODMn in standards for drinking water quality of China.

286

### 287 *3.4 Microbial Community Structure in the BAF Reactors.*

288 The microbial communities within the BAF reactors played a crucial role in the degradation  
289 of odor compounds and organic matter in general, and their composition was analyzed through  
290 16s-rRNA gene sequencing. [Table 2](#) provides an overview of the microbial communities,  
291 presenting data on the number of effective sequences, operational taxonomic units (OTUs), and  
292 alpha diversity indexes. The reliability of the sequencing results of the biological detection of the  
293 microorganism was underscored by the coverage indexes detailed in [Table 2](#). Additionally, the  
294 rarefaction curves depicted in [Figure 5A](#), further corroborates the reliability of the obtained  
295 microbial community data [\[30, 38\]](#). The richness index (chao) and the diversity index (shannon)  
296 of the microbial community in BAF reactors exhibited an initial increase followed by a subsequent  
297 decrease, except for the reactor in BAF-45 combined system. This result may be attributed to the  
298 introduction of odor compounds in raw water, leading to structural changes in the microbial  
299 communities, followed by subsequent stabilization. Detailed composition of microbial  
300 communities in different BAF reactors at the phylum and genus levels are summarized in [Figure](#)  
301 [5B](#) and [C](#).

302

303 **Table 2.** Number of operational taxonomic units (OTUs), alpha diversity indexes for microbial communities in  
 304 different BAB-UF reactors at different periods

Sample ID	Number of effective Sequences	OTU at 97% identity	shannon	chao	coverage
Raw Water	38989	718	4.42	850.78	0.995
BAF-60 (10d)	51025	862	3.74	952.32	0.997
BAF-60 (40d)	72597	1198	5.37	1382.80	0.995
BAF-60 (70d)	64598	806	3.44	899.33	0.997
BAF-45 (10d)	56835	994	4.80	1079.01	0.997
BAF-45 (40d)	64779	1018	4.53	1222.97	0.995
BAF-45 (70d)	64638	1091	4.99	1253.39	0.996
BAF-30 (10d)	54184	1188	4.73	1387.27	0.995
BAF-30 (40d)	73737	1145	5.03	1367.24	0.994
BAF-30 (70 d)	60269	891	4.49	1035.58	0.996
BAF-15 (10 d)	60329	1154	4.75	1350.78	0.995
BAF-15 (40 d)	65722	1123	5.22	1362.76	0.995
BAF-15 (70 d)	68396	934	4.72	1100.57	0.996

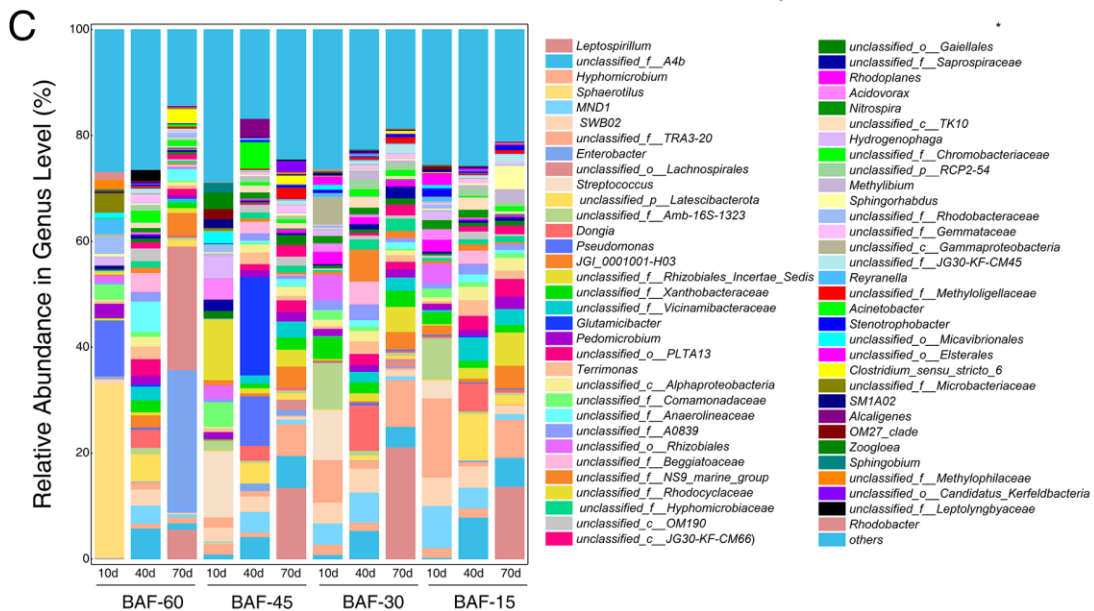
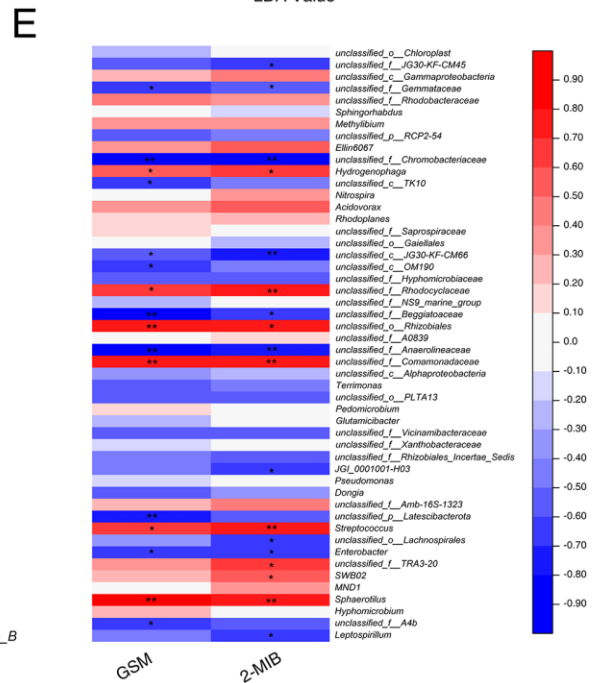
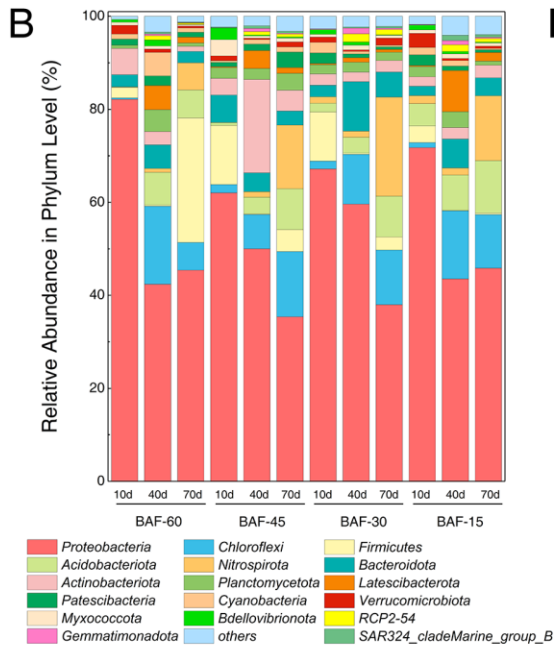
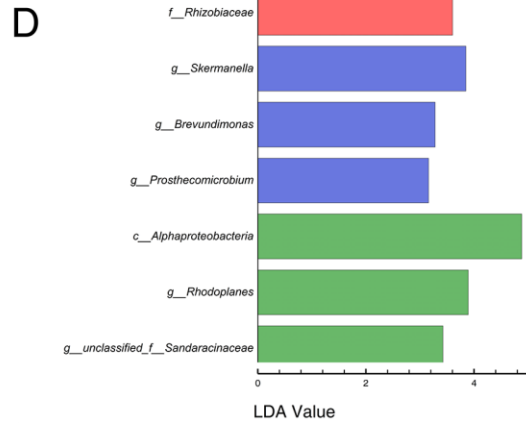
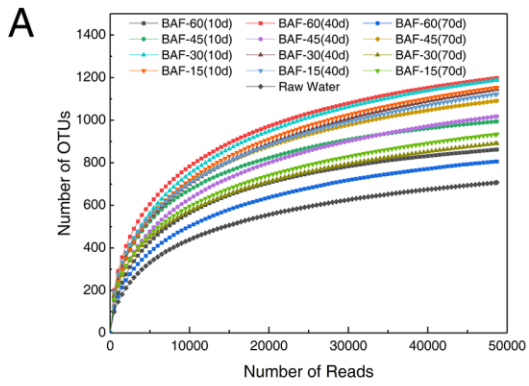
305  
 306 The *Proteobacteria* dominated the phylum level in all BAF reactors throughout the  
 307 experiment, constituting an average of 70.8% during the initial period. As the experiment  
 308 progressed, the proportion of Proteobacteria steadily decreased to an average of 45.0%. Other  
 309 major phyla in the BAF reactors included *Chloroflexi* (8.1% on average), *Firmicutes* (5.4% on  
 310 average), *Acidobacteriota* (5.3% on average), and *Nitrospirota* (5.2% on average), collectively  
 311 accounting for at least 67.3% of the entire community in all systems. The microbial community  
 312 within the same BAF reactor exhibited changes throughout the experiment, likely influenced by

313 variations in the influent water. The raw water was taken from a reservoir and the experiment  
314 spanned the entirety of the winter, with the microbial communities likely affected by changes of  
315 both temperature and water composition. The phyla experiencing the most substantial relative  
316 changes during the experiment were *Proteobacteria* (a decline of 29.7%), *Nitrospirota* (an increase  
317 of 12.9%), *Chloroflexi* (an increase of 9.6%), and *Acidobacteriota* (an increase of 6.9%). The latter  
318 three phyla demonstrated adaptability to the conditions associated with raw water in winter.  
319 Despite microbial community changes, the removal rate of organic matter by the combined  
320 systems was not considerably affected, and the effluent streams consistently met drinking water  
321 standards. [Figure 5C](#) illustrates that, among the 65 genera, 38 belonged to *Proteobacteria*, while  
322 13 were associated with *Nitrospirota*, *Chloroflexi*, and *Acidobacteriota*. The majority of  
323 microorganisms belonging to *Proteobacteria* were heterotrophic, perform respiration and  
324 fermentative metabolism using organic matter as carbon source. These dominant microorganisms  
325 may play a pivotal role in the degradation of organic matter.

326 The linear discriminant analysis effect size (LEfSe) method was employed to identify  
327 microorganisms with significant influence on the differences in microbial communities within the  
328 BAF reactors, and the results are presented in [Figure 5D](#). These disparities were primarily  
329 attributed to distinct biodegradation states among BAF reactors with varying EBCTs. Given the  
330 low concentrations of GSM/2-MIB, the odor compounds may have exerted only marginal  
331 influence on the composition of microbial communities. To further investigate the key  
332 microorganisms for the removal of odor compounds, the top 50 genera in abundance were selected  
333 for the correlation analysis using the removal rates of GSM/2-MIB 10 days before and after 16S-  
334 rRNA sampling. Six genera, such as *Sphaerotilus*, *unclassified\_f\_\_Comamonadaceae*,  
335 *unclassified\_o\_\_Rhizobiales*, *Hydrogenophaga*, *unclassified\_f\_\_Rhodocyclaceae*, and

336 *Streptococcus* were found to be associated with the removal of both GSM and 2-MIB to varying  
337 extents. Specifically, *unclassified\_o\_\_Rhizobiales* exhibited a stronger association with 2-MIB  
338 removal, while *unclassified\_f\_\_Rhodocyclaceae* and *Streptococcus* were more correlated with  
339 GSM removal. *SWB02* and *unclassified\_f\_\_TRA3-20* were exclusively linked to the removal of 2-  
340 MIB. Several genera exhibited instead a negative correlation with the removal of odor compounds,  
341 with seven genus, such as *unclassified\_f\_\_Anaerolineaceae*,  
342 *unclassified\_f\_\_Chromobacteriaceae*, *unclassified\_c\_\_JG30-KF-CM66*, *Enterobacter*,  
343 *unclassified\_f\_\_Beggiatoaceae*, *unclassified\_p\_\_Latescibacterota*, and  
344 *unclassified\_f\_\_Gemmataceae*, playing negative roles in the removal of GSM/2-MIB according to  
345 the correlation analysis. Additionally, seven genera demonstrated a negative impact on the removal  
346 of either GSM or 2-MIB exclusively, including *unclassified\_f\_\_JG30-KF-CM45* and  
347 *unclassified\_c\_\_OM190*. The results are summarized in [Figure 5E](#). Among the top 50 genera in  
348 abundance, 22 were found to have an influence on the removal of odor compounds. Notably,  
349 certain genera, such as *Hydrogenophaga* and *unclassified\_f\_\_Vicinamibacteraceae*, exhibited  
350 similar correlations with the removal of organic matter in water [23]. Some microorganisms may  
351 primarily utilize odor compounds as a carbon source for growth and propagation, others may use  
352 organic matter and be indifferent to odor compounds, adding complexity to the relationships  
353 between microorganisms and removal as well as among microorganisms, including competition  
354 and cooperation. Despite this complexity, the detected microorganisms consistently provided  
355 adequate odor compounds removal, suggesting their potential in cases whereby odor issues may  
356 occur. We further classified the 50 genera to phylum level and found that the *Proteobacteria* and  
357 *Campylobacterota* are more relevant to GSM/2-MIB removal compared to other phyla. The *Alpha-*  
358 *Proteobacteria* and *Gamma-Proteobacteria* were the main *Proteobacteria* in the BAF reactors.

359 They are facultative anaerobe, taking organic matter in raw water as the carbon source and  
360 conducting respiration and fermentation metabolism simultaneously [39]. While  
361 *Campylobacterota* can not use sugars, and relies on amino acids as energy sources, it may  
362 participate in the biodegradation processes of GSM/2-MIB as indirect participant. Further  
363 experimentation utilizing only odor compounds as carbon sources is needed to further investigate  
364 the key microorganisms involved in odor compounds removal.



366 **Figure 5.** Results of the microbial community analysis in the raw water and in different BAF reactors: A.  
367 Rarefaction curves of OTUs at different times. B. Bacterial community composition at phylum level (> 1%) at  
368 different times. C. Bacterial community compositions at genus level (> 1.5%) at different times. D. Results of  
369 the Lefse multistage species difference discriminant analysis of microbial communities in different BAF reactors.  
370 E. Correlation analysis between microbial community at genus level (top 50) and environmental variables  
371 (GSM/2-MIB removal rate). Here, “\*” represents a value of  $p < 0.05$  and “\*\*” represents a value of  $p < 0.01$ .

372

### 373 *3.5 Odor Compounds Removal Efficacy at Different Gas-Water Ratios*

374 The removal of odor compounds in raw water predominantly relies on the biodegradation  
375 processes of the microbial communities within the BAF reactors of the combined system. As  
376 detailed in section 3.1, the nominal concentration of odor compounds in raw water exceeded the  
377 concentrations that was actually detected. This discrepancy may be attributed to volatilization of  
378 a certain proportion of odor compounds. The aeration within BAF reactors served to facilitate this  
379 process, thereby enhancing the overall efficacy of odor compounds removal, by combining  
380 biodegradation to air stripping and by enhancing the activity of microorganisms via oxygen supply.  
381 Under normal circumstances, the concentration of odor compounds is low, except for sporadic  
382 events, such as sudden surges in the summer, particularly during algae blooms. From an economic  
383 standpoint, it would be more judicious to equip DWTPs with a flexible system designed to  
384 augment the removal capability of odor compounds only when needed, as opposed to maintaining  
385 a dedicated oversized system in continuous operation throughout the year. To address sudden  
386 outbreaks of odor compounds without significantly altering the operational state of the systems,  
387 an investigation was conducted into the efficacy of temporary changes in the gas-water ratio of the  
388 BAF process. Specifically, gas-water ratios of 5:1 and 3:1 were investigated, and the results are  
389 summarized in [Table 3](#). The detail procedures were listed in [Text S3](#) and [Table S4 \(SI\)](#). It is

390 difficult for the microorganisms in BAF reactors to adapt to a new environment with changed  
391 aeration rate and concentrations of odor compounds in influent streams within a maximum 90  
392 minutes. As a result, we concluded that the microbial communities did not have enough time to  
393 adapt to the new environment.

394       Upon altering the gas-water ratio to 3:1 and maintaining an initial GSM/2-MIB concentration  
395 of 200 ng/L, the concentrations of odor compounds in the effluent streams were as follows: 1.42  
396 ng/L (BAF-60), 5.65 ng/L (BAF-45), 8.37 ng/L (BAF-30), and 10.23 ng/L (BAF-15) for GSM;  
397 0.94 ng/L (BAF-60), 1.13 ng/L (BAF-45), 5.63 ng/L (BAF-30), and 12.31 ng/L (BAF-15) for 2-  
398 MIB. Subsequently, when the initial concentration was increased to 300 ng/L, the corresponding  
399 concentrations in the effluent streams were 9.82 ng/L (BAF-60), 15.27 ng/L (BAF-45), 21.33 ng/L  
400 (BAF-30), and 22.92 ng/L (BAF-15) for GSM; 2.11 ng/L (BAF-60), 4.64 ng/L (BAF-45), 7.71  
401 ng/L (BAF-30), and 12.65 ng/L (BAF-15) for 2-MIB. Upon further increasing the gas-water ratio  
402 to 5:1, the efficacy of odor compound removal improved. For example, at an initial GSM/2-MIB  
403 concentration of 200 ng/L, the GSM concentration in the effluent stream of BAF-15 was 1.91 ng/L,  
404 with GSM undetected in the effluent streams of other BAF reactors. Concurrently, 2-MIB  
405 concentrations were 1.47 ng/L and 3.26 ng/L for the effluent streams of BAF-30 and BAF-15,  
406 respectively, with no 2-MIB detected in BAF-60 and BAF-45. At an initial concentration of 300  
407 ng/L, the GSM concentrations in the effluent streams were 2.32 ng/L (BAF-60), 3.17 ng/L (BAF-  
408 45), 6.25 ng/L (BAF-30), and 8.17 ng/L (BAF-15). Furthermore, 1.47 ng/L and 3.26 ng/L of 2-  
409 MIB were detected in the effluent streams of BAF-30 and BAF-15, while no 2-MIB was detected  
410 in BAF-60 and BAF-45 at this concentration.

411       Therefore, an increase in the gas-water ratio yielded a substantial reduction in the  
412 concentration of odor compounds within the effluent streams of the BAF reactors. In instances

413 where the concentrations of GSM/2-MIB in the influent water increased to 200 ng/L and 300 ng/L  
 414 without allowing sufficient time for the microbial communities in BAF reactors to acclimate, the  
 415 combined system demonstrated efficacy in treating raw water with elevated concentrations of  
 416 GSM/2-MIB, achieving compliance with drinking water standards (GSM/2-MIB concentration  $\leq$   
 417 10 ng/L). Note that, based on existing literature, the concentration of odor compounds in raw  
 418 waters typically does not exceed 300 ng/L during outbreaks in rural areas in the summer [40, 41].  
 419 It is also important to acknowledge that the aeration process constitutes a substantial proportion of  
 420 the operational costs of the system. Therefore, increasing the gas-water ratio is deemed suitable  
 421 only when the concentration of odor compounds in raw water surpasses the treatment capability  
 422 of the biodegradation process.

423

424 **Table 3.** Removal rate provided by BAF reactors under different gas-water ratios for different initial odor  
 425 compounds concentrations.

Gas-water Ratio	Initial Concentration (ng/L)	BAF-60 (ng/L)	BAF-45 (ng/L)	BAF-30 (ng/L)	BAF-15 (ng/L)
1:3	GSM 300	9.82	15.27	21.33	22.92
	GSM 200	1.42	5.65	8.37	10.23
	2-MIB 300	2.11	4.63	7.71	12.65
	2-MIB 200	0.94	1.13	5.63	12.31
1:5	GSM 300	2.32	3.17	6.25	8.17
	GSM 200	—	—	—	1.91
	2-MIB 300	—	—	3.43	6.31
	2-MIB 200	—	—	1.47	3.26

426

#### 427 **4. Conclusion**

428 In this study, the efficacy of BAF and ultrafiltration combined systems was explored in the  
 429 treatment of surface water featuring varying concentrations of typical odor compounds (GSM and  
 430 2-MIB). At an influent GSM/2-MIB concentration of 100 ng/L, all effluent streams met the

431 Standard of Drinking Water Quality of China (GB 5749-2022). Specifically, the BAF-60 system  
432 exhibited a remarkable removal efficacy, eliminating 100 % of GSM and nearly 95 % of 2-MIB  
433 from the influent stream. Importantly, the introduction of odor compounds into raw water did not  
434 compromise the removal capability of the combined systems for other drinking water quality  
435 indicators, such as organic matter content, turbidity, and chroma.

436 Possible biodegradation pathway and biodegradation by-products of GSM/2-MIB were  
437 proposed, revealing odorless and non-toxic biodegradation by-products based on the results.  
438 Microbial community analysis through 16s rRNA was also conducted and identified likely  
439 microorganisms associated with the removal of GSM/2-MIB. The removal efficacy of the aeration  
440 of combined system against odor compounds was further assessed by altering the gas-water ratio,  
441 indicating that even with an initial GSM/2-MIB concentration of 300 ng/L in raw water, the  
442 effluent streams met the corresponding standards at suitable gas flow rates. Considering both  
443 efficacy and cost, the combined system with an EBCT of 30 minutes emerged as an optimized  
444 choice for rural areas where drinking water sources are impacted by odor compounds.

445

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