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# MULTIOBJECTIVE OPTIMIZATION OF A CABLE-DRIVEN ROBOT FOR WRIST REHABILITATION USING A GENETIC ALGORITHM

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## ABSTRACT

This paper presents a multi-objective optimization using a genetic algorithm to reduce the size of the current configuration of a cable-driven end-effector robot for wrist rehabilitation. The objective of this work is to obtain a smaller robot with good performance by employing a constrained genetic algorithm (GA) so the device can be light and wearable. The optimization was performed to study the effect of the robot dimensions and in the end, a new solution that can reduce the robot size by 35% and increase the performance by 1.8% was found.

**KEY WORDS:** SDG 3, Assistive Robotics, Cable-Driven Robot, Wrist Rehabilitation, Medical Devices, Genetic Algorithm Optimization

## 1. INTRODUCTION

The lack of specialized personnel and assistive technology to assist in rehabilitation therapies is one of the challenges facing the health sector today, and it is projected to increase as the global population ages [1]. Among the different types of injuries, hand injuries occur most frequently [2]. These injuries require a long and repetitive rehabilitation process for the hand to regain its functionality [3].

To support the lack of therapists, several robotic rehabilitation devices have been proposed recently. Kwakkel *et al.* [4] reported on the effectiveness of robotic rehabilitation with clinical trials. Hussain *et al.* [5] presented many robotic devices for wrist rehabilitation and also provide the exoskeleton/end-effector classification. Exoskeleton robots [6–8] are made of a kinematic chain that mimics the actual human kinematic chain and its joints. For this reason, particular care must be placed on avoiding robot-human joint misalignment to avoid hurting the user [9]. On the other hand, end-effector rehabilitation robots don't match the human kinematic chain but simply apply the required forces and torques [10, 11]. Thus, there are no problems related to joint misalignment but for the same reason force application must be properly controlled to not damage the joints with unfeasible motions [5].

In response to these critical issues, this research proposes the development of RehaWrist.q, a 3 degree of freedom (DoF) cable-guided end-effector system that is also wearable, compact, lightweight, and does not require external support.

## 2. WRIST KINESIOLOGY

The human wrist is a 2 DoFs joint that links the hand to the forearm, enabling flexion-extension (FE)  $\beta$  (Fig. 1a) and radial-ulnar deviation (RUD)  $\gamma$  (Fig. 1b) motions. Moreover, there is an additional motion named pronation-supination (PS)  $\alpha$  (Fig. 1c) that is usually considered useful for rehabilitation although it is produced by a joint between the forearm and the elbow.

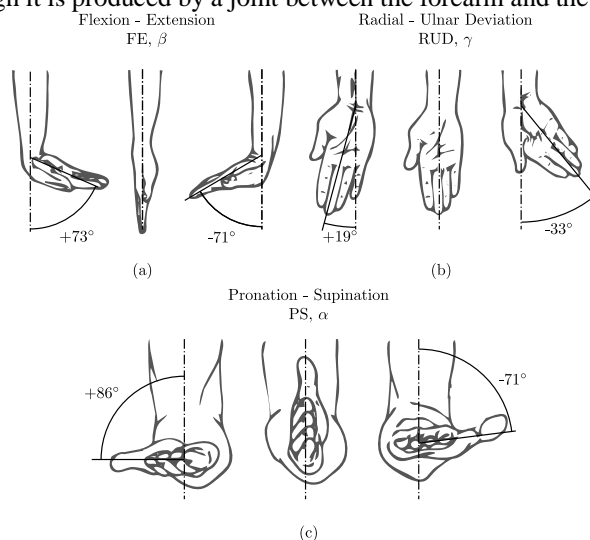


Fig. 1 Wrist motions and their maximum range of motions [12]

## 3. PROPOSED REHABILITATION ROBOT

In the following section, the functional design of the robot is presented and then a new performance index based on the robot's dimensions is introduced.

### 3.1 Functional Design

Fig. 2a depicts the functional design of RehaWrist.q. The device is composed of two platforms: the fixed platform is integral with a brace-like structure that is worn on the upper arm, and the mobile platform moves together with the user's hand thanks to a handle or a glove. The two platforms have a width of  $2L_0$  and  $2L_1$ , respectively. Also, the fixed one is placed at a distance  $d_0$  from the centre of the wrist  $O_W$  whereas the mobile one is at  $d_1$  from the same point but in the opposite direction (Fig. 2b). A revolute joint between the fixed platform and the forearm enables the PS motion. The two platforms are connected by four actuated cables to control the mobile platform pose. By properly tensioning the cables it is possible to achieve one of the three rehabilitation motions or a combination of them. For example, a radial deviation motion ( $\gamma > 0$ ) occurs by pulling cables  $\mathbf{p}_1 = A_0A_1$  and  $\mathbf{p}_2 = B_0A_1$ . An ulnar deviation motion ( $\gamma < 0$ ) is obtained by pulling cables  $\mathbf{p}_3 = A_0B_1$  and  $\mathbf{p}_4 = B_0B_1$ . Similarly, the pairs  $\mathbf{p}_1$  and  $\mathbf{p}_3$  or  $\mathbf{p}_2$  and  $\mathbf{p}_4$  control the FE motion while the pairs  $\mathbf{p}_1$  and  $\mathbf{p}_4$  or  $\mathbf{p}_2$  and  $\mathbf{p}_3$  drive the PS motion.

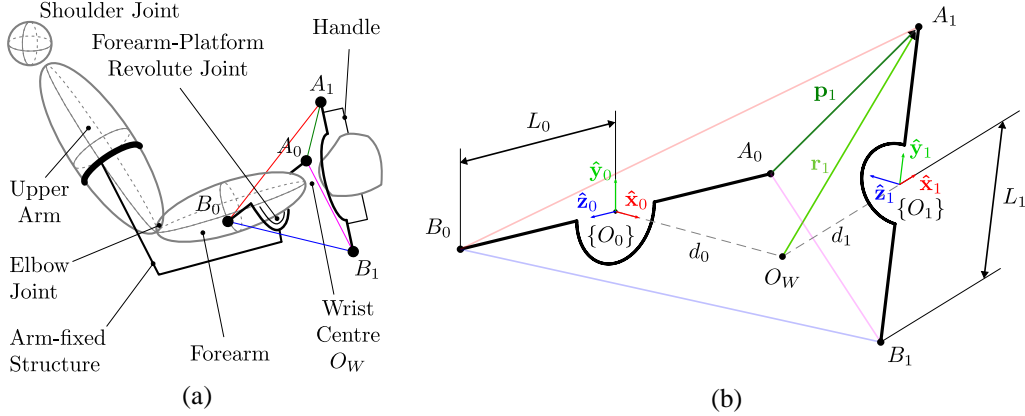


Fig. 2 (a) Functional diagram of the rehabilitation robot. (b) Schematic representation of RehaWrist.q in a generic pose. Only the vectors related to cable 1 are shown.

### 3.2 Transmission Index

In a previous work [13], the authors defined a dimensionless index named transmission index to evaluate the efficiency of cable-driven robots. The transmission index  $\tau_{ai}$  measure how much of the total tension applied to the cable  $i = 1, \dots, 4$  produces a useful torque about the generic  $\hat{\mathbf{a}}$  axis of rotation. It is defined as

$$\tau_{ai} = \frac{\hat{\mathbf{p}}_i \cdot (\hat{\mathbf{r}}_i \times \hat{\mathbf{a}})}{|\hat{\mathbf{p}}_i|} = \hat{\mathbf{p}}_i \cdot (\hat{\mathbf{r}}_i \times \hat{\mathbf{a}})$$

where  $\hat{\mathbf{p}}_i \cdot (\hat{\mathbf{r}}_i \times \hat{\mathbf{a}})$  is the projection of the  $i$ -th cable direction along the most effective direction to generate a torque about the generic  $\hat{\mathbf{a}}$  axis.

In the case of the PS motion, the end-effector rotates about  $\hat{\mathbf{x}}_1$ , therefore  $\tau_{xi} = \hat{\mathbf{p}}_i \cdot \hat{\mathbf{z}}_1$ . Similarly, in the case of FE motion the rotation axis is  $\hat{\mathbf{y}}_1$  and  $\tau_{yi} = \hat{\mathbf{p}}_i \cdot \hat{\mathbf{z}}_1$ . Both rotations have the same effective direction,  $\hat{\mathbf{z}}_1$ , but different lever arms. The case of RUD is completely different as the rotation axis is  $\hat{\mathbf{z}}_1$  and the transmission index is  $\tau_{zi} = \hat{\mathbf{p}}_i \cdot (\hat{\mathbf{r}}_i \times \hat{\mathbf{z}}_1)$ .

### 3.3 Current Design

The transmission index  $\tau_{ai}$  only depends on the robot's proportions. Hence it is possible to design the robot by means of  $\tau_{xi}$ ,  $\tau_{yi}$  and  $\tau_{zi}$ . The authors in [13] presented in depth the methodology to define the robot proportions using the transmission index and dimensionless parameters representing the robot size. In the paper three solutions were found without using any optimization approach and among them one was considered promising (Table 1).

Table 1 Current design proportions obtained in [13]

$d_0$	$d_1$	$L_0$	$L_1$
60 mm	20 mm	160 mm	100 mm

Although this configuration showed to be promising in terms of  $\tau_{ai}$  it clearly presents some flaws, in particular when size is taken into consideration.  $L_0$  is quite large and this doesn't go well with the idea of having a compact wearable device. Moreover,  $d_1$  could be larger since it affects the lever arm of the cable force during FE and RUD motions.

## 4. ROBOT DESIGN OPTIMIZATION

Since the first robot configuration could not be optimal, this paper aims at finding better solutions by employing a proper multi-objectives optimization to define a trade-off configuration with high transmission indexes but reduced size.

### 4.1 Methodology

To perform the robot design optimization a multi-objective constrained genetic algorithm (GA) was used. In particular, the *gamultiobj* function defined in Matlab was used. An initial population of 1500 individuals was used, a crossover fraction of 0.8 was set and the default mutation function was employed. The objectives were to maximize the average value of  $\tau_{a1}$  in the respective range of motion and minimizing the sum of  $dim = L_0 + L_1$  by finding the best value of  $d_0$ ,  $d_1$ ,  $L_0$ , and  $L_1$ . Since the GA always find to minimize the fitness function, the first objective is re-defined as minimizing the average value of  $-\tau_{a1}$ . Note that only cable 1 is considered because, depending on the motion, one of the other cables behaves in the same way while the other two have a symmetrical behaviour. Also, only  $L_0$  and  $L_1$  were considered when computing the size of the robot. Table 2 collects the relevant details for each optimization problem. In the following, the objective 1 is also called Transmission Index (TI) Score and the objective 2 is also named Size Score.

Table 2 Design optimization problems

	min Objective 1	min Objective 2	Range of Motion
<b>PS Optimization</b>	$\text{avg}(-\tau_{x1})$	$dim = L_0 + L_1$	$\alpha = \pm 60^\circ$
<b>FE Optimization</b>	$\text{avg}(-\tau_{y1})$	$dim = L_0 + L_1$	$\beta = \pm 60^\circ$
<b>RUD Optimization</b>	$\text{avg}(-\tau_{z1})$	$dim = L_0 + L_1$	$\gamma = \pm 33^\circ$
<b>3 DoFs Optimization</b>	$\text{avg}(-\tau_{x1}) + \text{avg}(-\tau_{y1}) + \text{avg}(-\tau_{z1})$	$dim = L_0 + L_1$	$\alpha, \beta, \gamma$

The parameters set was constrained by upper and lower bounds as shown in Table 3. The lower bound of  $d_1$  was set to 50 mm to favor configurations with larger  $d_1$  since it affects the lever arms of the cable during FE and RUD motions.

Table 3 Size parameter upper and lower bounds

	$d_0$ [mm]	$d_1$ [mm]	$L_0$ [mm]	$L_1$ [mm]
<b>Lower bound</b>	10	50	50	50
<b>Upper bound</b>	200	150	300	300

### 4.2 Results

Figure Fig. 3 reports the results of the GA optimization considering only one of the three motions at a time. For each optimization, the resulting Pareto front is shown together with the current configuration fitness. The highlighted area represents all possible solutions that present a larger transmission index than the current solution and a smaller size than the current solution. All the points on the Pareto front and within the highlighted area are optimal solutions.

The PS and FE cases (Fig. 3a-b) are very similar since both of them have the same transmission index  $\tau_{xi} = \tau_{yi} = \hat{\mathbf{p}}_i \cdot \hat{\mathbf{z}}_1$ , so they can be optimized together. For both of them, the GA optimization shows that there is room for improving both the efficiency ( $\tau_{ai}$ ) and the size compared to the current configuration. In particular, the transmission index for these two motions favours larger  $L_0$  while the other parameters stay close to their lower bounds. The larger is  $L_0$ , the higher are  $\tau_{xi}$  and  $\tau_{yi}$ . Since size is of the utter importance, the configuration on the Pareto front (i.e., the set of most optimal configurations considering both objectives at the same time) with the same  $\tau_{ai}$  of the current solution is considered the best trade-off between size and performance. Such configuration is defined by the following parameters:  $d_0 = 10$  mm,  $d_1 = 50$  mm,  $L_0 = 105$  mm, and  $L_1 = 50$  mm.

The RUD case is completely different from the other two. The current solution was not optimized for this motion as shown by the optimization results in Fig. 3c: any configuration on the Pareto front is better both in size and efficiency. However, it is interesting to notice that RUD motion can not achieve the same level of performance as the other two with the previously defined constraints. Looking at the optimized configurations produced by the GA, all of them tend to maximize  $d_0$  and keeping the other parameters closer to their lower bounds. The second parameter that influences performance is  $L_1$  because it improves performance by becoming larger but it is kept at a reasonable size by the minimization of the size. On the other hand,  $d_0$  doesn't influence the size score, thus for all configurations, it is equal to 200 mm. Such design may be feasible in term of size and performance, but with such proportions, the risk of interference between the cables and the forearm is extremely high and the resulting range of motions are reduced.

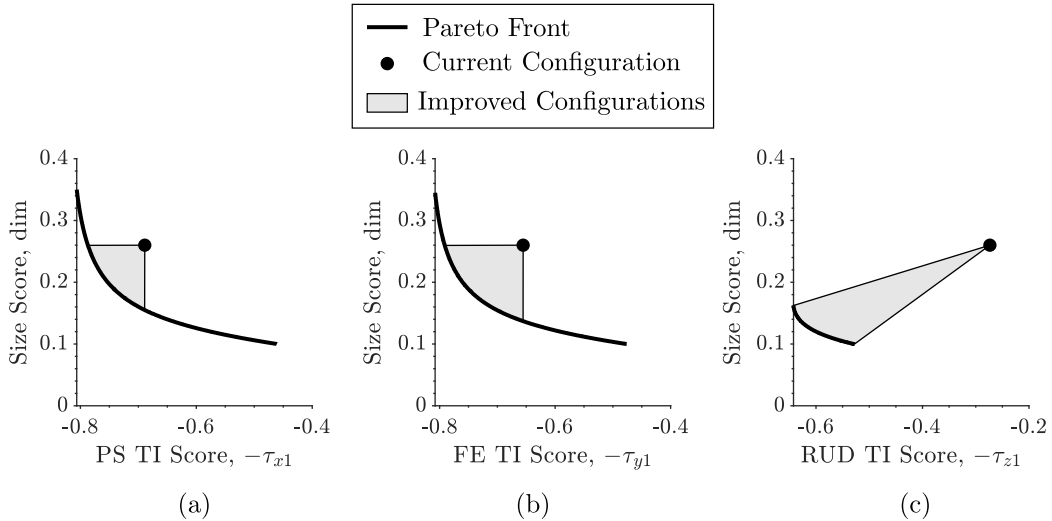


Fig. 3 Result of the GA optimization considering the transmission index of a single motion and the size of the robot

Since the RUD motion requires completely different proportions than the other two motions, it is interesting to optimize the robot proportions considering all motions together. Fig. 4 illustrates the results of such GA optimization in two different cases. In Fig. 4a, the same upper bounds constraint as the other optimization was used. In Fig. 4b, the upper bounds of  $L_0$  and  $L_1$  were

reduced to 120 mm to force a smaller robot but at the same time the lower bound of  $d_1$  was reduced to 25 mm to improve the transmission indexes. In the first case, the current configuration is quite close to the Pareto front found by the GA, thus only minimal improvements can be achieved. If the configuration with the smallest size and the same transmission index as the current solution is considered, the robot would have the following dimensions  $d_0 = 47$  mm,  $d_1 = 50$  mm,  $L_0 = 189$  mm, and  $L_1 = 50$  mm. Such proportions result in an even larger  $L_0$  than the original configuration thanks to the reduced  $L_1$  dimension. Thus, all improved configurations are practically larger than the current ones.

For that reason and because the objective of this paper is to obtain a smaller robot with good performance, the GA optimization was performed with a stricter upper bound for  $L_0$  and  $L_1$  (120 mm). The optimization result in Fig. 4b clearly shows that this new optimization is able to produce significantly smaller proportions and a slight performance improvement. By taking into consideration the point on the Pareto Front with better combined transmission index score, the robot proportions are  $d_0 = 59$  mm,  $d_1 = 25$  mm,  $L_0 = 120$  mm, and  $L_1 = 50$  mm. This particular solution can reduce the robot size by 35% and increase the overall TI Score by 1.8%.

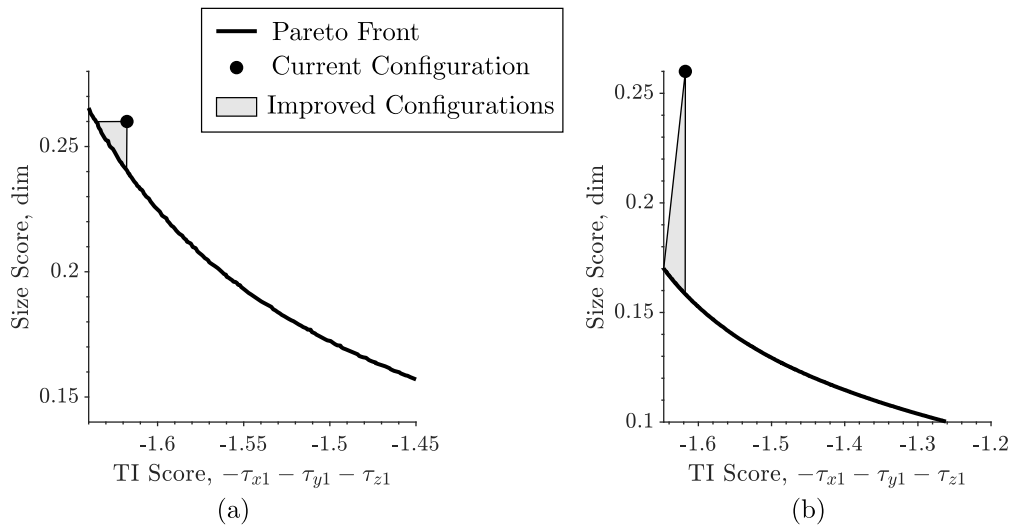


Fig. 4 Result of the GA optimization considering the transmission index of all motions and the size of the robot. (a) Parameters upper bounds = [200 150 300 300] mm. (b) Parameters lower bounds = [10 25 50 50] mm and upper bounds = [200 150 120 120] mm.

Fig. 5 depicts the detail of the individual transmission indices for the current configuration and the one found with the GA. The PS motion has a slightly lower transmission index for half of the range of motion while it is practically the same for the other half. The FE motion shows an improvement when the mobile platform is pulled toward the fixed one. For the other half of the range of motion, the transmission index is very similar to the current one. The RUD motion presents an improvement across the whole range of motion.

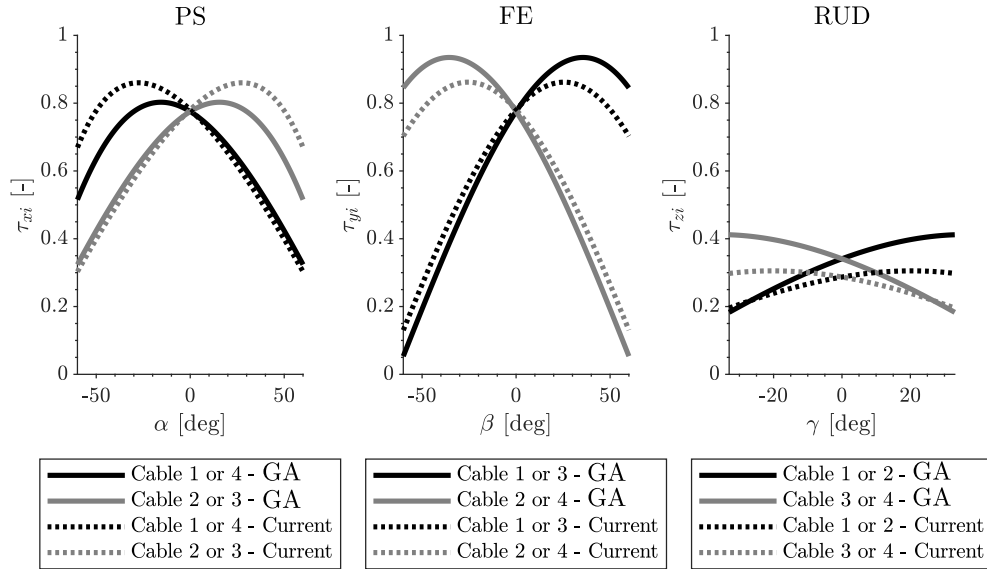


Fig. 5 Comparison of the transmission indices of the robot in the current configuration and in the configuration obtained with the GA.

## 5. CONCLUSIONS

This work presented a multi-objective optimization using a genetic algorithm to reduce the size of the current configuration of the proposed cable-driven robot for rehabilitation. To achieve that, first the transmission indexes for each motion were defined to quantify the performance of the robot based only on its proportions. Then an objective related to the robot dimensions was defined. Given these quantities, it was possible to carry on a series of optimization for the individual motions and for their combination. In the end, a new and smaller configuration was found that slightly increase the performance indices of the original configuration

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