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**INNOVATIVE ADSORPTION HEAT**

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**EXCHANGERS FOR DESICCANT COOLING**

4

**AND ATMOSPHERIC WATER HARVESTING**

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**POLITECNICO  
DI TORINO**

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$a$	surface area	[m <sup>2</sup> m <sup>-3</sup> ]
$a_s$	surface area silica bed	[m <sup>2</sup> m <sup>-3</sup> ]
$a_L$	lateral surface of packed bed	[m <sup>2</sup> ]
$a_F$	fin surface area	[m <sup>2</sup> ]
$c_p$	specific heat at constant pressure	[kJ kg <sup>-1</sup> ]
$D_{eff}$	global effective diffusion	[m <sup>2</sup> s <sup>-1</sup> ]
$D_i$	Inner pipe diameter of the finned coil	[m]
$D_{Kn}$	Knudsen diffusion	[m <sup>2</sup> s <sup>-1</sup> ]
$D_m$	molecular diffusivity	[m <sup>2</sup> s <sup>-1</sup> ]
$D_o$	outer pipe diameter of the finned coil	[m]
$D_S$	surface diffusion	[m <sup>2</sup> s <sup>-1</sup> ]
$d$	diameter	[m]
$d_{x,y,z}$	finite spatial difference	[m]
$d_V$	finite spatial volume of dimension d,x,d,y,d,z	[m <sup>3</sup> ]
$E$	energy	[Wh] [J]
$f_D$	friction factor	
$\dot{G}$	global mass transfer	[kg m <sup>3</sup> s <sup>-1</sup> ]
$H_a$	adsorption heat	[kJ kg] [J mol <sup>-1</sup> ]
$H_{lat}$	latent heat	[m]
$h$	heat transfer coefficient	
$h_m$	convective mass transfer coefficient between air and packed bed	[kg m <sup>-2</sup> s <sup>-1</sup> ]
$h_t$	heat transfer coefficient	[W m <sup>3</sup> K <sup>-1</sup> ]
$k$	Thermal conductivity	[W m <sup>-1</sup> K <sup>-1</sup> ]
$K_G$	global mass transfer coefficient	[kg s <sup>-1</sup> ]
$K_p$	intraparticle mass transfer coefficient	[m s <sup>-1</sup> ]
$K_0$	equilibrium constant ( $\rho_s w$ )/( $\rho_a x_a$ )	-
$L$	length	[m]
$\dot{m}$	mass flow rate	[kg s <sup>-1</sup> ]
$m, M$	mass	[kg]
$Nu$	Nusselt number	[-]
$p$	fin path	[mm]
$P$	gas or vapor pressure	[Pa]
$P$	Power	[W]
$Pr$	Prandtl number	[-]
$\dot{Q}$	volumetric flow rate	[m <sup>3</sup> h <sup>-1</sup> ] [liter min <sup>-1</sup> ]
$Q_{sol}$	solar energy	[kWh]
$R$	universal constant of gases	[J mol <sup>-1</sup> K <sup>-1</sup> ] [J kg <sup>-1</sup> K <sup>-1</sup> ]
$R$	latent heat of water vaporization	[J mol <sup>-1</sup> ] [J kg <sup>-1</sup> ]
$RH$	relative Humidity	[%] [-]
$Re$	Reynolds number	[-]
$r$	radius	[m]
$Sc$	Schmidt number	[-]
$STE$	specific thermal energy	[kWh kg <sub>w</sub> <sup>-1</sup> ]
$T$	temperature	[°C] [K]

$T^*$	equilibrium temperature	[°C] [K]
$t$	time	[s] [min] [hours]
$U, U_L$	global heat exchange coefficient	[W m <sup>-2</sup> K <sup>-1</sup> ]
$V_n$	net volume	[m <sup>3</sup> ]
$v$	volume	[m <sup>3</sup> ] [liter]
$W$	weight	[kg] [g]
$w$	water content	[g <sub>H2O</sub> g <sub>dry mass</sub> <sup>-1</sup> ] [g <sub>H2O</sub> kg <sub>dry mass</sub> <sup>-1</sup> ]
$x$	air moisture content; Humidity ratio	[g <sub>H2O</sub> g <sub>dry air</sub> <sup>-1</sup> ] [kg <sub>H2O</sub> kg <sub>dry air</sub> <sup>-1</sup> ]
$x^*$	equilibrium air moisture content	[g <sub>H2O</sub> g <sub>dry air</sub> <sup>-1</sup> ] [kg <sub>H2O</sub> kg <sub>dry air</sub> <sup>-1</sup> ]
$z$	air flow longitudinal direction	[-]

## 83 Symbols

@	At	
#	number	
—	Average	-
$\alpha_{OH}$	Number of OH groups per unit surface area	[nm <sup>-2</sup> ]
$\Delta$	Delta, difference	
$\partial/\partial$	partial derivative	
$\varepsilon, \varepsilon_b$	empty degree of a granular packed bed	[-] [%]
$\varepsilon_p$	mean particle empty degree	[-] [%]
$\eta$	efficiency	[-] [%]
$\mu_a$	air dynamic viscosity	[Pa s]
$\xi$	Concentration of calcium chloride	[kg <sub>CaCl2</sub> Kg <sub>solution</sub> <sup>-1</sup> ]
$\rho$	Density	[kg m <sup>-3</sup> ]
$\sum$	Sum	
$\tau_p$	adsorbent pore tortuosity	[-]
$\tau_s$	adsorbent surface tortuosity	[-]
$\omega(r)$	Intraparticle distant dependent potential	[J]

## Subscripts

a	air
amb	external environment
avg	average
b	bed
cool	cooling
cond	condenser
dew	dew point
el	electrical
L	external thermal losses
in	inlet
out	outlet
rec	recovery heat exchanger
reg	regeneration
s	silica gel, silica particle
sat	saturation
th	thermal
tot	total
v	vapor
w	water

## Acronyms

ADS	Adsorption
BET	Brunauer-Emmet-Teller adsorption theory
CAGR	Compound Annual Growth
DIW	Deionized Water
DEC	Desiccant Evaporative Cooling
EDS	Energy Dispersive Spectroscopy
EER	Energy Efficiency Ratio
FWS	Fossil Water Source
ICZ	Intertropical Convergence Zone
HX	Heat Exchanger
LDF	Linear Driving Force
MODIS	Moderate Resolution Imaging Spectroradiometer
MOF	Metallic Organic Framework
MENA	Middle East North Africa regions
PEO	Polyethylene glycol, Polyethylene oxide
PDMS	Polydimethylsiloxane
PM	Molecular weight
PV	photovoltaic
REG	Regeneration
RWS	Renewable Water Source
SAPO	SiliconAluminiumPosphateOxide
SAWG	Solar Atmospheric Water Generator
SDG	Sustainable Development Goal
SEM	Spectrum Electron Microscopy
TPW	Total Precipitable Water
VDC	Volt Direct Current
WSI	Water Stress Indicator

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85

# 1 INTRODUCTION

88 The phenomenon of water evaporation and condensation is a fundamental pillar on which natural and anthropic  
89 processes are built. The energy density associated to the phase change from liquid to vapor, and vice versa, is  
90 one of the highest among many different substances and, for sure, the highest occurring at low temperatures.  
91 This characteristic confers to the water liquid/vapor phase transition a role of main character in the field of  
92 thermoregulation processes. For example, the human body temperature regulation works on the phenomenon  
93 of evapotranspiration of water through the skin. A similar approach is present in most of the mammals and  
94 animals. The same role is played at planet level. Evaporation from free water surface, and condensation in the  
95 upper layers of the troposphere, are fundamental phenomena influencing the temperature of the planet.

96 Despite its positive relevance, the presence or absence of water vapor has a dichotomous nature. Too much  
97 water vapor in the air is a problem, especially for the indoor environment, negatively affecting thermal comfort  
98 of people. At the same time, the presence of water vapor in the air it has to be considered as a resource,  
99 especially for those regions affected by drought.

100 The response to the problem of thermal comfort is an intensive power solution called air conditioning. The  
101 forecasted increase of energy demand for cooling in the next future defined the new term “cold crunch”, to  
102 identify a dangerous scenario in which 2/3 of the world’s households could have an air conditioner. The  
103 consequences will be a further intensive use of fossil fuels to satisfy this incremented energy demand for thermal  
104 comfort[1].

105 On the contrary, the prospective of using atmospheric water vapor is a fascinating solution, especially for that  
106 country lacking any access to conventional fresh water sources. Machines converting water vapor into liquid  
107 fresh water are typically called atmospheric water harvesting generators. Again, the biggest share of  
108 technological solutions is occupied by very power intensive systems.

109 In both cases, the most applied solution is to use vapor compressing units to cool down the air up to the dew  
110 point, obtaining then the condensation of water vapor and the separation from treated air streams. Main energy  
111 consumptions are generated from the vapor compressor, resulting in an intensive use of electric power.

112 The alternative solution explored within this research his based on the iteration between water vapor and  
113 hygroscopic materials. The use of sorbents translates the problem of water vapor treatment, from an original  
114 consumption of electrical energy to the need of heat.

115 The alternative approach here investigated considers the use of hygroscopic materials through the phenomenon  
116 of physic sorption. The thermal power, required for the regeneration of the material to activate cycle of  
117 sorption/regeneration, if provided at temperature below 90°C, enables the use of cheap technology and  
118 sustainable sources such as solar energy and waste heat.



119 The alternative solutions here explored aim to shift the request of electrical power for the separation of water  
120 vapor from air to a thermal power need, with potential benefits in terms of carbon footprint and for the electric  
121 network. However, the competition with conventional technologies is not only a matter of energy saving,  
122 problems related to cost of materials and components manufacturing, together with maintenance issues, are  
123 usually the bottle neck for that kind of systems.

124 Theoretical studies, numerical modelling and experimental activities carried out in this research are strongly  
125 grounded in the set of sustainable development actions for the sectors of cooling and clean water solutions,  
126 enclosed in the set of action called Sustainable Development Goals (SDG 6 and 7).

127 In brief, aspects that have been investigated are ordered in the following list:

- 128 i) knowledge improvement on adsorption phenomenon.
- 129 ii) experimental activities to test performances of innovative adsorption heat exchangers (ADS-HX) for  
130 air conditioning
- 131 iii) definition of an improved method to produce freshwater from air, using renewable sources,  
132 demonstrating the validity with experimental testing and numerical modelling
- 133 iv) designing new materials and configurations of ADS-HX to be applied in the sectors of water  
134 harvesting and air dehumidification.

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## 2. ADSORPTION and SORBENTS

136

### Abstract

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Fundamental characteristics of physical adsorption are the main pillars of the phenomena and applications investigated in this research project.

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Functional hydrophilic groups such as hydroxyl, silanols and carbonyl are the basic bricks that builds the water uptakes curves of materials such as Silica gel and Zeolites. The knowledge of intrinsic properties together with equilibrium thermodynamic behaviour are fundamentals for the correct exploitation of these materials in air dehumidification and water harvesting sectors.

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A particular effort was carried out to investigate water uptakes of a silica gel provided by the Oker Chemie producer, and a zeolite of improved performances, the SAPO-34, provided by the ITAE-CNR of Messina.

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The equilibrium curves obtained from the fitting of the silica gel equilibrium tests is useful for the numerical model realized in the next chapters.

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### 2.1 Adsorption theories and models

148

The capability of porous solids to uptake large quantities of vapor is a phenomena deeply studied in science since the end of the eighteenth century[2]. First applications in the industrial sector started in the early 1950s as an emerging alternative and competitive process to hydrocarbon distillation (higher effectiveness), for the removal of undesired impurities from natural gas (such as moisture, H<sub>2</sub>S and mercaptans) or the recovery of aromatic hydrocarbons [3-5].

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Materials porosity is one of the key parameters influencing adsorption processes and, according to IUPAC classification [6-8], it includes micropores (<2nm), macropores (>50nm) and mesopores in between. Anyway, this classification is not strict or an absolute scale, indeed Dubinin fixed the upper limit at 200nm and the lower at 1.2nm, with the mesopore region in the between of 3÷200nm.

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The interaction between the vapor and porous solids belong to the category of physic adsorption, the general set of weak intermolecular interactions based on van der Waals forces (dispersion-repulsion) and electrostatic interactions (polarization, dipole and quadrupole) [2]. The interactions potential between sorbent and sorbate belong to the pair potential family proposed by Mye [9] in 1903 that has, in the empirical formulation of Lennard-Jones 12-6, the best known form of intraparticle distant dependent potential:

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$$\omega(r) = -\frac{A}{r^6} + \frac{B}{r^{12}} \quad (2.1)$$

163

where  $A \approx 10^{-77}$  J m<sup>6</sup> and  $B \approx 10^{-134}$  J m<sup>12</sup> for two interacting particles and r is the distance between them. The first term on the right of the equation describes the attractive component of van der Waals and, the second is the

164

165 short-range repulsive energy related to the finite size of molecules [10][11]. The order of magnitude of  
166 intermolecular distance of reference is comparable with atoms and small molecules size, indeed the minimum  
167 of  $\omega(r)$  for the interaction of two molecule has a distance  $r_e \approx 0.35\text{nm}$ [11].

168 The progressive coverage of available site for an attractive interaction between sorbent and sorbate lead to the  
169 saturation of the first available layer, over which multiple layers can overlap until the full saturation of pores. This  
170 has a direct effect on the shape of adsorption isotherms, as described with the BET theory[12][13], with the  
171 classic classification of 5 different types. Differently from what is described by the Langmuir[14] model<sup>i</sup>, in the  
172 BET theory a multimolecular adsorption (also multilayer adsorption, molecules adsorbed in the first layer become  
173 available site for a second layer and any successive one) is justified by the intermolecular interaction driven by  
174 the same forces that generate condensation[15]. Further one, when sorbate molecules have much more  
175 contacts between each other than with some surface spots, equilibrium constants change with macroscopic  
176 evidences on the isotherm's shapes. In addition to Langmuir and BET descriptions of adsorption isotherm shape,  
177 there's a third phenomenon occurring in continuous progression with the multilayer adsorption, the capillary  
178 condensation[16]. This occurs only for high level of coverage, and is comparable with a complete liquid filling of  
179 pores, due to the reduction of saturation pressure by the effect of surface tension, as described by the Kelvin  
180 equation [17-19].

181

$$\frac{P}{P_{sat}} = \exp\left(\frac{-2\sigma V_m \cos \vartheta}{rRT}\right) \quad (2.2)$$

182 Again, the phenomenon is strongly connected to the pore dimensions, as highlighted by the pore radius  $r$ .  
183 Indeed, in case of micro porosity the filling process can be wrongly imputed to capillary condensation when,  
184 actually, the phenomenon maybe more coherent with the monolayer formation and the Langmuir description of  
185 Type 1 [20]. Ramsay et al.[21][22] demonstrated this difference analysing the distribution of water in different  
186 samples of mesoporous and microporous silica gel. With neutron scattering authors detected traces of water  
187 film only in the mesoporous configuration.

188 The three described theories, Langmuir, BET and capillary condensation gave a good approximation of  
189 adsorption isotherms only in a reduced range of the relative pressure. For example, Langmuir is suitable for  
190  $P/P_s < 0.05$ ; BET models give a good approximation in the range of  $0.1 < P/P_s < 0.4$ , while capillary condensation  
191 is suitable for  $P/P_s > 0.8$ . There's another family of models, based on the empirical Polanyi potential theory  
192 [14][23][24], capable of fitting adsorption isotherms in a wider range. For example the Dubynin-Astakhov

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<sup>i</sup> Langmuir theory is suitable only for monolayer of undisturbed molecules, condition that is more realistic for chemisorption than physis[14]. The monolayer fractional coverage  $\Theta$  is proportional to  $bp/(1+bp)$ , where  $b$  is the ratio of adsorption over desorption rate constant, and dependent by temperature following the van Hoff model  $b_0 * \exp\left(\frac{-\Delta H}{RT}\right)$ . The assumptions of energy equivalent spots, and no interaction with other molecules, implies the independency of adsorption heat from  $\Theta$ .

193 [23].[25] equation, with an exponential dependence from the adsorption potential A (derived from the Polanyi  
194 theory) and an affinity constant E:

195 
$$\frac{W}{W_0} = e^{-\left(\frac{A}{E}\right)^n} \quad (2.3)$$

196 Where W is the volume occupied by the adsorbent, W<sub>0</sub> the maximum available one. According to Polanyi theory  
197 the adsorption potential A is independent from the presence of other molecules and uniquely determined by the  
198 spatial position of the molecule. Furthermore, the gas is coherent with its equation state, and if compressed to  
199 its normal vapor density, it condenses into liquid [14]. In definitive:

200 
$$A = k RT \ln \left( \frac{P_{sat}}{P} \right)_T \quad (2.4)$$

201 When n is equal to 2 the isotherm equation of Radushkevich is obtained[26]. In addition to what is covered by  
202 the theories of adsorption just summarized, a special role in the systems studied in this work is played by the  
203 hydroxyl group on sorbent surface and the hydrogen bond, especially in the adsorption of water. A higher  
204 concentration of OH groups on sorbent surface is generally associated to an increase of adsorption activity [27].  
205 As Hontoria-Lucas et al.[28] observed on oxidized graphite with the adsorption of N<sub>2</sub> and CO<sub>2</sub>, imputing the  
206 increase of adsorption intensity to the hydroxyl group instead to the carbonyl. The hydroxyl influence on the  
207 enhancement of adsorption properties on material surfaces is not only for the vapor phase but also at the liquid  
208 [29].[30]. The hydrophilic influence of the hydroxyl is associated also to the silanol group ( $\equiv SiOH$ ). This is  
209 another functional group, imputed as main responsible for water interaction at molecular level [29].[31].

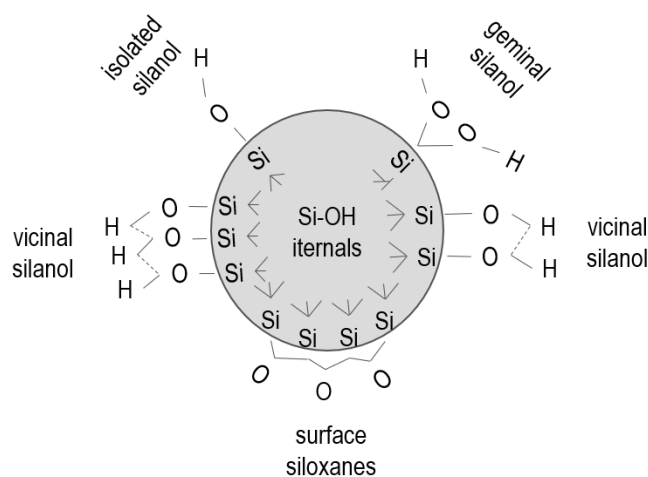
## 210 **2.2 Conventional Sorbents**

### 211 **2.2.1 Silica gel**

212 Silica gel is the common name of the amorphous and porous polymer of silicon dioxide, an old and  
213 deeply studied material, since the begin of '900. Between 1934-36 Hofmann et al [32], Rideal [33], and  
214 Kiselev[31].[34] were the main authors studying the interaction between the sorbent and water. At the begin  
215 research was focused on the physics and chemistry behind the formation of chemical groups, especially the  
216 hydroxyl, during the silica synthesis. Through condensation polymerization [35].[36] process, spherical colloidal  
217 particles are obtained starting from the Silicic Acid,  $Si(OH)_4$ . These particles are assembled as spherical colloid  
218 through siloxane bridges ( $SiO_2$ ), exhibiting silanol protuberance ( $\equiv SiOH$ ) on the external surface with the  
219 OH chemically held by the silicon atoms. First experimental proof of this structure, especially the presence and  
220 distribution of the hydroxyl groups, arrived many years later (1948-50) with the application of infrared  
221 spectroscopy methods [16].[37-40].

222 The presence and distribution of different OH groups on the external surface, usually defined as the chemisorbed  
223 water, together with the porosity of the structure, determines the activity and performances of the material as

224 sorbent, especially its capacity and the dynamic behaviour of water adsorption. Indeed, over the external surface  
 225 of the colloid are coexisting different combinations of the mentioned groups as sketched in Figure 2.1: *i*) single  
 226 and isolated free silanols  $\equiv SiOH$ ; *ii*) single free silanediols =  $Si(OH)_2$ ; *iii*) the combination of neighbour  
 227 silanols and silanediols; *iv*) siloxane bridges with an oxygen atom on the external surface [16][31][37].  
 228 Thermogravimetric analysis [16][31] on different silica type showed that the higher mass variation is at  
 229 temperatures lower than 150-180°C, the upper limit is called critical temperature ( $T_B$ ). Below this value water  
 230 can be physically adsorbed, and this interval is called dehydration phase. At higher temperatures the loss of  
 231 mass is imputed to the de-hydroxylation process: the chemisorbed water, in the form of OH groups, starts to  
 232 desorb from the external surface, while the water structured into the  $SiO_2$  bulk also starts to evaporate. Within  
 233 the interval of dehydration zone, the activation energy of desorption ( $E_D$ ) varies between 26-44 kJ mol<sup>-1</sup>, as the  
 234 spatial configuration of the physic-adsorbed water on silica surface, in particular in proximity of the monolayer  
 235 configuration  $E_D$  lies in the interval of 37-44 kJ mol<sup>-1</sup> [31][41]. These values are comparable with adsorption heat  
 236 of water vapor ( $Q_A$ ): the activation energy for physical adsorption of water vapor on the hydroxylated surface of  
 237 silica is null and, as result, the way in which water vapor molecules approach target surfaces is the only  
 238 influencing factor for the adhesion process [31][42]. The amount of silanol groups over sorbent surface is an  
 239 extreme and important parameter determining water uptake capacity of the material [16]. Zhuravlev [31]  
 240 determined that the concentration of the surface hydroxyl groups, defined as the silanol number  $\alpha_{OH}^i$  (number of  
 241 OH groups per unit surface area, to assess the degree of covering of hydroxyls over the  $SiO_2$  skeleton), over  
 242 100 silica sample as a constant mean value equal to 4.6-4.9 OH nm<sup>-2</sup>, independently by the fabrication<sup>ii</sup> method  
 243 of samples and by the temperature (only for  $T < T_B$ ).



244  
 245 **Figure 2.1:** Surface chemistry structure of a silica colloid [31]

<sup>i</sup> number of OH groups per nm<sup>-2</sup>, as the ratio between total number of silanol group over the specific surface area S in m<sup>2</sup> g<sup>-1</sup>

<sup>ii</sup> on the contrary the water structure within the porous structure is strongly dependent from the production method

246 Indeed, increasing the temperature lead to a progress and linear reduction of  $\alpha_{OH}$ , becoming lower than 1 OH  
 247 nm<sup>-2</sup> after 600°C, and negligible at 900°C. As the opposite  $E_D$  linearly increase from 50 to 200 kJ mol<sup>-1</sup> as the  
 248 temperature ranges between  $T_B$ -600°C.

249 The affinity with water is exploited in different technical applications, using the heat as primary driver for the  
 250 reversible dehydration process within temperature of operational environment and  $T_B$ . A list of some typical  
 251 application of silica gel and material properties are reported in Table 2.1 and 2.2.

Application	Reference
As catalyst carriers for water treatment	[43],[44]
Thermo chemical storage	[45]
Adsorption chillers	[46]
moisture proof packaging	[47]
petrochemical	[48]
Soil science	[49][50][51]

252 **Table 2.1:** Example of industrial application of silica gel for physical adsorption.

Type		$S_{area}$	$D_{pore}$	pH	$c_p$	K	$Q_A$	$\rho_{app}$	$\rho_{bulk}$	$D_{50}$
name	Structure	m <sup>2</sup> g <sup>-1</sup>	Å	-	kJ kg <sup>-1</sup> K <sup>-1</sup>	W m <sup>-1</sup> K <sup>-1</sup>	kJ mol <sup>-1</sup>	kg m <sup>-3</sup>		m <sup>2</sup> s <sup>-1</sup>
A	amorphous	650[41][52] 710[16] 864[53][54]	22[41][52][53]	4[53] 5[41][52]	0.921[41][52-54]	0.128-0.168[55][56] 0.174[41][52] 0.198[53] 0.18-0.2[57]	45.5-50[58] 3.1[53]	700[53] 730[41][52]	1306[52]	2.5x10 <sup>-4</sup> [53][54]
3A	amorphous	606[41]	30[41]	3.9[41]	0.921[41][52]	0.174[41]		770[41]		
RD	amorphous	636[54] 650[41] 716[59] 720[52] 820[10] 838[16]	14[60] 21-22[10][37][41][52] 23[45]	4[41][52]	0.921[41][52] [54] [59-61]	0.198[41][45][52] [61][60] 0.12[62]	41.5[10] 48.4[45] 48.6[60] 50.2[59]	800[41] 700[52] 740[60]	1158[52][62] 1220 [59]	2.54x10 <sup>-4</sup> [54] 2.9x10 <sup>-4</sup> [10]
MCM-41	ordered	[16]	15-100[63]	[16]	[16]	[16]	[16]	[16]	[16]	[16]

253 **Table 2.2:** Properties of the different types of silica gel

254 The widespread diffusion of this material together with the relative low cost, make it still interesting to consider  
 255 for applications of desiccant cooling and water harvesting, despite the fact that some new and better performing  
 256 materials are under development. Of particular interest is the compatibility with human health, indeed being non-  
 257 toxic and odourless, U.S. FDA approved in 2019 the use for food addiction with a maximum amount of 2% by  
 258 weight of food, by the 21FR172.480 [64], as stabilizer [65], anti-caking agent, defoaming, emulsifier and settling  
 259 agent.

260 The silica gel studied in this research has been produced by Oker-Chemie GmbH with a pseudospherical  
 261 geometry and used without any precaution or post treatment. It consists of amorphous silica beads, registered  
 262 as Siogel®, 99.5% purity, average diameter of 2-3 mm, surface area of 800 m<sup>2</sup> g<sup>-1</sup> and bulk density in the range  
 263 of 680-780 kg m<sup>-3</sup>, as provided from producer.

264 A complete characterization of the material has been carried out measuring equilibrium isotherms in the  
 265 temperature range of 20-60 °C and of RH 10-90% at ambient pressure. Equilibrium points have been obtained  
 266 measuring the weight of a samples (100 g dry basis, accuracy of measurement ±0.1 grams) inside a climatic  
 267 chamber, model DiscoveryMyDM340, at a fixed air temperature and humidity for at least two days. This offered

268 the possibility to explore sorbent uptake in real operative conditions with ambient air, and all its constituents.  
 269 The equilibrium points have been defined, according to the standard UNI EN ISO 12571: equilibrium is  
 270 considered to be established when the variation on weight measurement is lower the 0.1% of the dry basis, in a  
 271 series of 3 different measurements. over 24 h time frame. Results of measurements are listed in table below.

T [°C]	RH [%]				
	10%	30%	50%	70%	90%
30	0.021	0.056	0.107	0.233	0.287
40	0.016	0.052	0.108	0.227	0.287
50	0.011	0.044	0.077	0.221	0.289

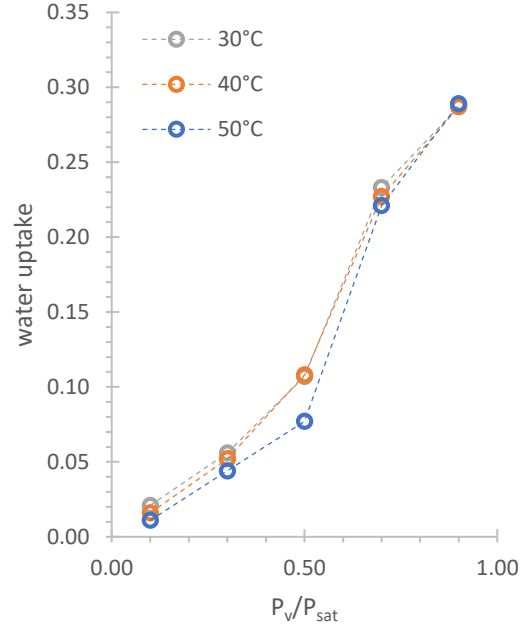
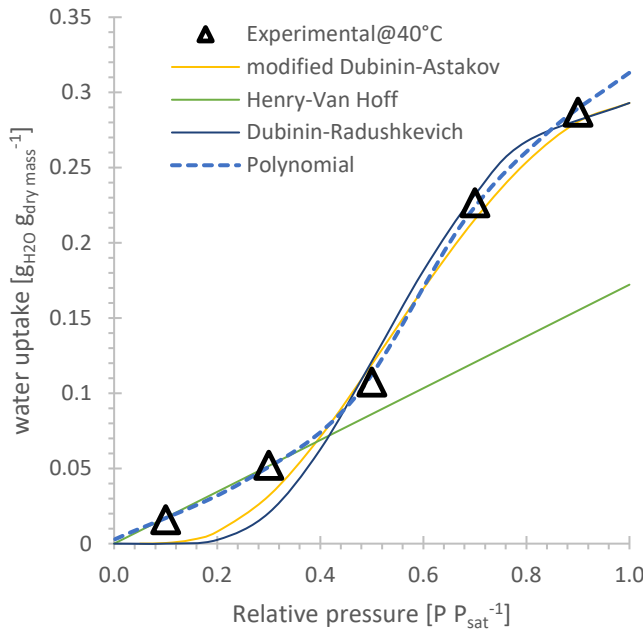
**Table 2.3:** Water uptake data of silica gel testing in the climatic chamber

Dubinin Radushkevich [26]	$w^* \exp\left(-\beta \left[\frac{RT \ln\left(\frac{P_{sat}}{P}\right)}{\Delta H}\right]^2\right)$	$\beta = 550$
modified Dubinin Astakov [23]	$w^* \exp\left(-\beta \left(\frac{T}{T_s} - 1\right)^n\right)$	$\beta = 160$
Henry-vant Hoff [66].	$2.2 \times 10^{-12} \exp\left(\frac{\Delta H}{RT}\right) P$	

**Table 2.4:** Different model for the definition of the adsorption isotherm curves

272 Datapoints collected with the described method have been compared with adsorption isotherm models described  
 273 in the previous paragraph. Purpose of this comparison is the validation of an analytical method for an accurate  
 274 prediction of the maximum water uptake, at determined condition of temperature and humidity, as required in  
 275 the Chapter 4. As example in Figure 2.2, obtained equilibrium data @40°C were compared with mentioned  
 276 adsorption isotherm models and plotted with the fitting parameters reported in Table 2.4.

277 The graph gives the evidences of what is discussed in the previous paragraph. Models based on Langmuir  
 278 theory such as the Henry-vant Hoff (obtained using the Henry's law for the description of the adsorbate at low  
 279 concentrations and the vant Hoff equation for the isotheric heat of adsorption dependency from temperature and  
 280 pressure), fail to describe silica gel behaviour, as a shortcoming of the assumption of a monolayer and  
 281 homogenous distribution[66]. An idealization of the phenomenon that looks far from the reality of this specific  
 282 silica's behaviour. Polanyi based models, the Dubinin-Astakov and Dubinin-Radushkevich, give a good  
 283 estimation of the adsorption curve in the interval of  $0.4 < P/P_{sat} < 0.9$ , nonetheless there's a consistent  
 284 underestimation of water uptakes at low relative humidity. The error committed is relevant and not acceptable,  
 285 especially for the modelling purpose of the exchanger based on this material, as showed in the Chapter 4.  
 286 Indeed, for the simulation model equilibrium curves represent an input for the definition of the maximum potential  
 287 achievable, at defined thermodynamic conditions. Failing in the prediction of this number, will highly affect all  
 288 the mass transfer equations defined in the next chapters. Reasons behind this discrepancy can be different.  
 289 First of all these experiments were carried out in an environment that is far from an ideal lab environment, is  
 290 instead closer to a real and operative environment of "open air systems", as in desiccant cooling. This means  
 291 that some competing mechanisms with other gas components may occur. Secondly, a precise estimation would  
 292 require more investigations on intrinsic properties such as pores size distribution, adsorption heat, surface area.



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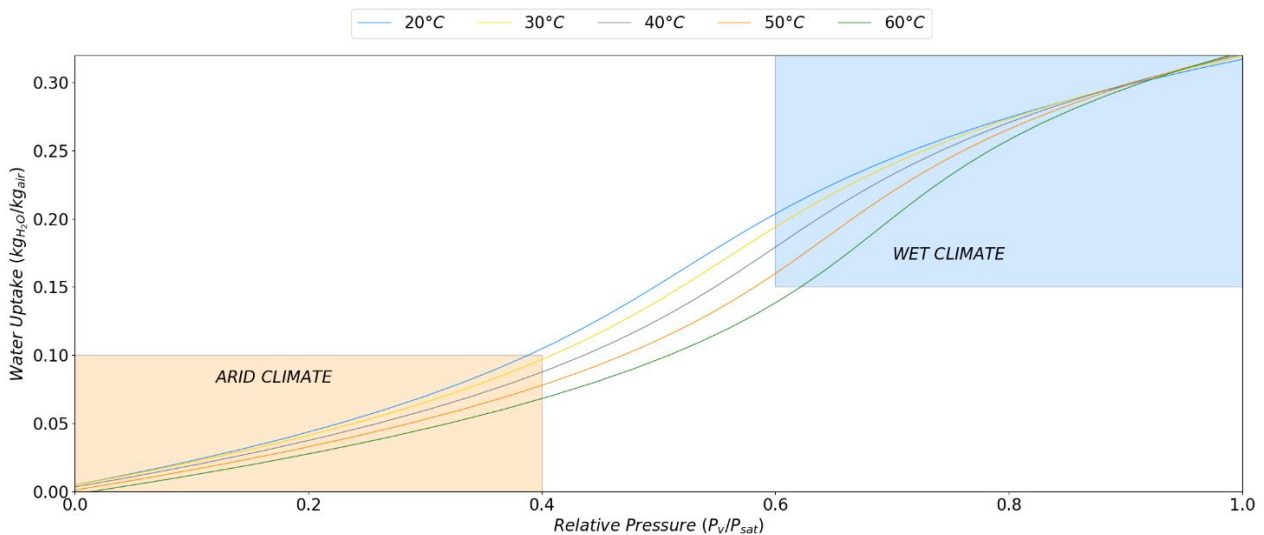
**Figure 2.2:** On the left a comparison between experimental data of tested silica gel in the climatic chamber @40°C and most diffused model of adsorption isotherms. On the right the graphical representation of numerical values contained in Table 2.3

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A pure empirical approach can overcome these problems reaching the same goal, a mathematical function giving as an outcome the relative pressure of water vapor in equilibrium with the sorbent at defined water content and temperature.



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**Figure 2.3:** Equilibrium data can be fitted with a polynomial that correlate the variation of relative humidity as a function of water content and temperature.

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The price paid will be the loss of any physical meaning for the equation, and parameters. For this purpose, a multivariate regression based on the Sherman-Morrison formula, has been applied [67],[68] obtaining the following equation:

305

306

$$RH^* = S_1 T^* + S_2 T^{*2} + S_3 w^* + S_4 w^* T^* + S_5 w^* T^{*2} + S_6 w^{*2} + S_7 T^* w^{*2} + S_8 w^{*3} + S_9 T^{*3} \quad (2.5)$$

Where coefficients are respectively  $S_1=-0.00249434$ ;  $S_2=0.0000529632$ ;  $S_3=5.65527$ ;



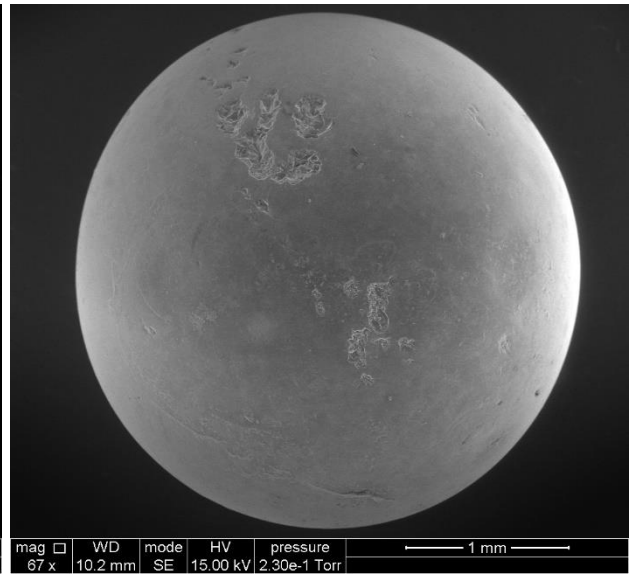
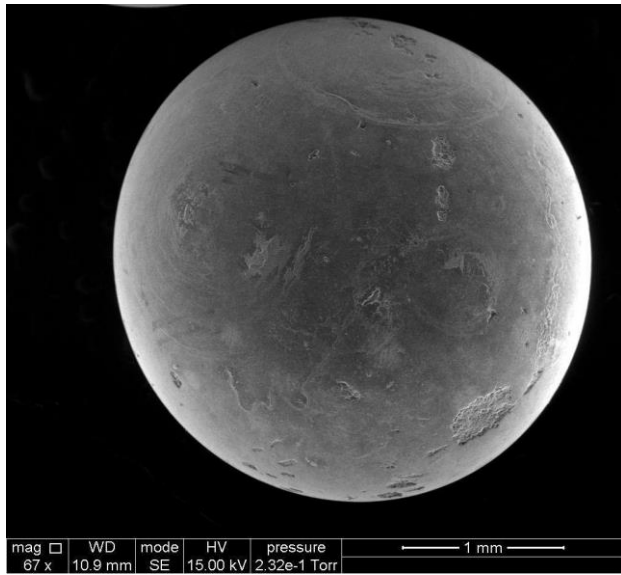
307 S4=0.0360887; S5=-0.0000713679; S6=-24.9044; S7=-0.112424; S8=54.8088; S9=-0.000000123558. This it  
308 will be used in the next chapter for the evaluation of the equilibrium conditions in a temperature range of 20-  
309 70°C, as depicted in the next figure.

310 This formulation still has some problems on the very extreme conditions: in very humid conditions, RH close to  
311 1, different curves can overlap. The difference between resulting values is very low and has been neglected. In  
312 very arid conditions, when RH is close to zero, especially at higher temperatures, the water uptake can result in  
313 negative values. This problem was simply solved in the numerical model of the Chapter 4 imposing a saturation  
314 lower limit of 0. These extreme conditions are very rare in the experienced adsorption/regeneration tests, the  
315 weight and importance for the entire process of simulation is very low.

316 The structure and the elemental composition of the silica gel particles were analysed using Scanning Electron  
317 Microscopy (SEM) using a FEI Quanta 200 coupled with Energy Dispersive X-Ray Spectroscopy (EDS) (Oxford  
318 Instruments), at the imaging and analysis center of the Andlinger Center for Energy and the Environment,  
319 Princeton University. The picture in Figure 2.4 shows particles with an overall a smooth surface, but the brittle  
320 nature of these structures results in the formation of fracture points in certain regions, Figure 2.5. This may  
321 create problems in the final applications. Indeed, the fine powder generated from these fractures, and connected  
322 to its handling, can be an issue in the final applications especially in open air systems. The correct use in this  
323 case should anticipate the problem with a correct filtering of the air transporting the powder, especially in the  
324 break-in phase. The spectrum analysis in Figure 2.6 and Figure 2.7 has detected 7 relative peaks, out of which  
325 the 0 keV one is not representing any atomic species but only the background noise from the x-ray diffraction.  
326 The tallest peaks are at 1.74 keV and 0.53 keV, identifying respectively silicon and oxygen atoms as the main  
327 constituents of silica gel structure. Smaller peaks identified components with a concentration smaller than 1%,  
328 the Na at 1.04keV and Al at 1.49 keV. Their presence is justified by the original composition of the material:  
329 sodium aluminate silicates are precursors for the formation of the silicic acid  $Si(OH)_4$ , structural element of the  
330 polymeric condensing process that leads to the formation of silica gel colloids. Remaining peaks at 0.28 and  
331 2.31 keV are respectively atoms of carbon and sulphur. The sulphur peak is smooth and less sharp, meaning  
332 that doesn't belong to a crystalline structure. Its presence can be justified as corresponding belonging to some  
333 hydrocarbon molecule, as supported by the presence of carbon, and chemically held to OH group<sup>i</sup>. Anyway this  
334 hypothesis cannot be verified, and the possibility that carbon and sulphur came from some contamination of the  
335 instrument cannot be totally excluded.

---

<sup>i</sup> Its resistance to the vacuum ( $2.3 \times 10^{-1}$  Torr) cannot be justified as a physical adsorbed molecule. The strength of the link can sound more as a chemisorbed molecule, irreversibly linked to the structure (at least always present in the dehydration phase).

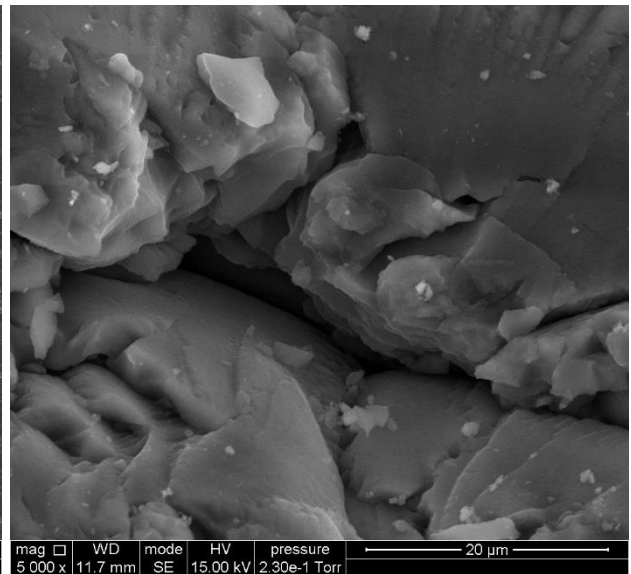
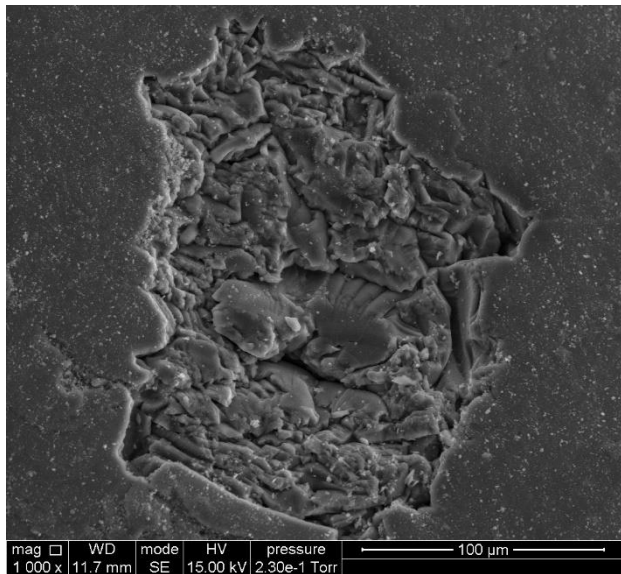


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**Figure 2.4:** Overall image of a silica gel bead magnified with SEM microscope. Particles showed an average size around 2-3 mm, with overall a smooth surface.

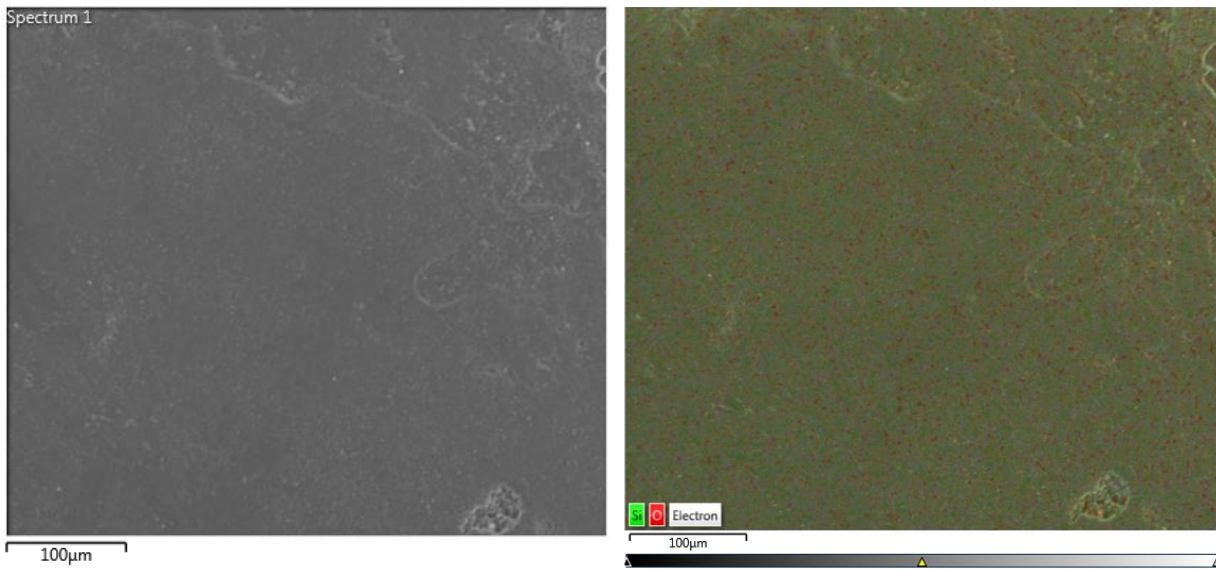


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**Figure 2.5:** 1000x magnification of a fractured point on the smooth surface of silica gel particle (left). 5000x magnification of the fracture on the left (right).



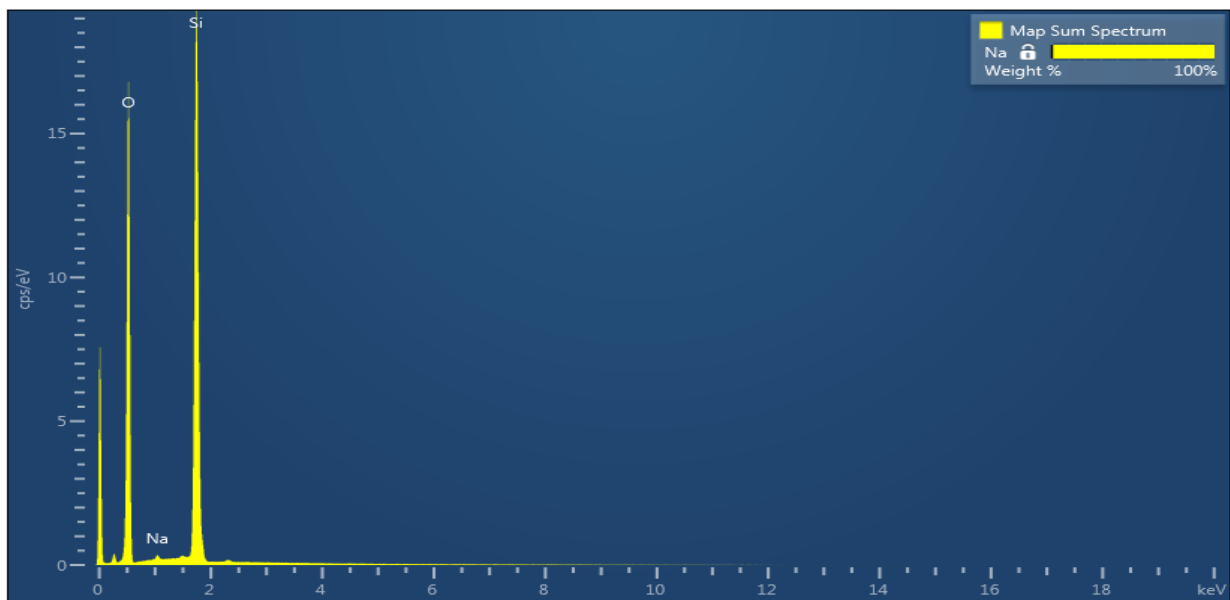
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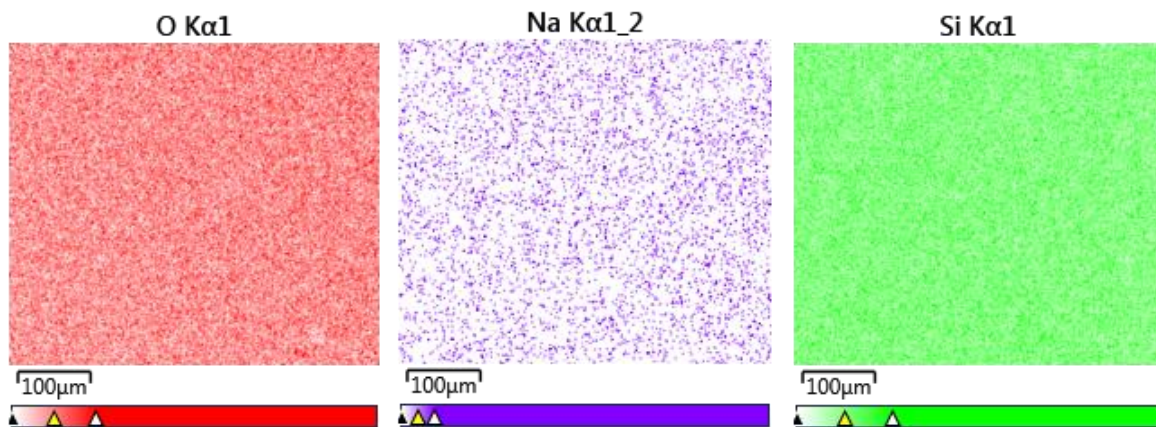
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**Figure 2.6:** The SEM-EDS analysis of the image ( ) (left). The spectrum analysis (right) of the element in the composite mass of the silica particle, visualized in the relative voltage range 0-12 keV, counted per second per electron-volt cps/eV. The silica particles indicate traces of Na lower than 1 atomic %



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**Figure 2.7:** Elemental analyses on the silica particles indicate traces of Na lower than 1 atomic %

## 349 2.2.2 Zeolite

350 Zeolite is the family name of a large group of microporous aluminosilicate minerals and synthetic crystals.  
 351 Etymology of the word is Greek, ζέω (zēō) to boil and λίθος (lithos) stone, defined the first time by the  
 352 mineralogist Cronstedt[69], resulting from his experimental observation of a literary boiling stone after heating  
 353 the mineral Stilbite . More of the 50% of the global market of natural zeolite is applied in the construction industry,  
 354 especially to improve fire resistance, straight and sound absorption of lightweight concrete[70]. Another very  
 355 spread application is the use as additive of animal feedings [71], mineral such as kaolin, bentonite and other  
 356 zeolites, are used for the prevention of intestinal diseases [72], especially for the absorption of mycotoxin  
 357 contaminating animal feedings [73][74]. Other interesting expanding sector is the application for soil remediation  
 358 [75] and wastewater treatment [76], for the removal efficacy of heavy metals and nitrogen-based components  
 359 and the very low cost [76],[77]. The association between zeolites and the term “molecular sieve” is typical for  
 360 the ability to selectively sort molecules by their dimension. This is due to a very regular pore structure of  
 361 molecular dimensions, that can specifically synthesized in order to have definite pore dimension and a  
 362 preferential affinity towards specific molecules [8],[78].

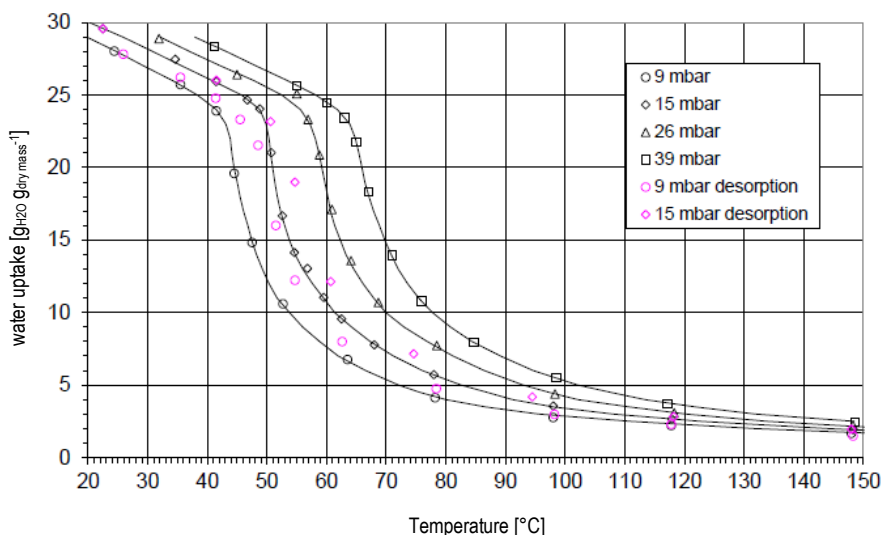
363 General structure of a microporous zeolite is a hosting framework of negatively charged aluminosilicates counter  
 364 balanced by different cations:  $(AlO_2)^-(SiO_2)^- + Cations$  [16][79]. As for the silica gel, hydrophilic  
 365 properties are proportional to the concentration of active sites, but a relevant role is played by the extra-  
 366 framework cations this time, in addition to the aluminosilicate. The type of the cations binding the aluminosilicate  
 367 group identifies typically the family name: ALPO family contains aluminophosphates cations; MEAPO family  
 368 contains metal-aluminophosphates; SAPO family contains silico-aluminophosphates [80]. These  
 369 aluminophosphates families have a regular pores system, a three-dimensional network similar to zeolites and  
 370 improved adsorption affinity with polar molecules, exhibiting a typical type V adsorption isotherm when paired  
 371 with water [16]. SAPO materials generally show higher capacity than other families because the extra presence  
 372 of the active site  $(SiO_4^{4-})$  [8],[16],[78].

Material	W @25°C	H <sub>ads</sub>	T <sub>reg</sub>	Ref
	kg <sub>w</sub> kg <sub>s</sub> <sup>-1</sup>	kJ kg <sup>-1</sup>	°C	
SAPO-34	0.313		66-90	[80]
13X	0.3	3500-6000	150	[81][82]
MgY	[80]	3000-6000	150	[81]

373 Table 2.5: Some characteristic properties of the most spread zeolites

374 Among the different options, SAPO-34 is a very promising adsorbent with a CHA type 3D-structure and 0.38 nm  
 375 window dimension, which is able to combine a moderate hydrophilicity with a high capacity of adsorption of  
 376 water vapor, resulting in moderately low regeneration temperature (60–100 °C) and a reduced desorption heat,  
 377 while maintaining high performance within the adsorption cycle [83]. Within the framework of the experimental  
 378 investigation of this material for desiccant cooling exploiting natural buoyancy, the National Research Center of

379 Messina provided an important contribution for the evaluation of adsorption equilibrium curves of the material  
 380 reported in Figure 2.8, and the manufacturing the adsorption heat exchanger with a coating technique[83]. The  
 381 cited paper contains all the information about the thermogravimetric method used for the evaluation of water  
 382 uptake, and the coating techniques adopted for the strong adhesion of the zeolite SAPO34 over the metallic  
 383 surface of a typical finned coil heat exchanger.



384  
 385 **Figure 2.8:** Iso-pressure adsorption characteristics of the pair Zeolite SAPO34-water vapor, within the temperature range of 20-150°C

### 386 2.2.3 Composite sorbents

387 In addition to the two reference sorbents, the industry of adsorption found interesting application with composite  
 388 media, obtained with the combination of a conventional sorbent (as hosting matrix) and an hygroscopic salt  
 389 (such as  $\text{CaCl}_2$ ,  $\text{LiBr}$ ,  $\text{LiCl}$ ,  $\text{MgCl}_2$ ). Lithium chloride, for example is used in rotating wheels, impregnating a  
 390 porous media with the typical corrugated structure of desiccant wheels. Some companies (e.g. Klingeburg,  
 391 Munters, Engelhar HexCore) produced desiccant wheels using as porous media typical honeycomb cells of  
 392 silicates, cellulose.[84].[85]

393 The combination of different host matrices (e.g. mesoporous o microporous silica gels, alumina, porous carbon  
 394 or polymers) and salt (e.g.  $\text{CaCl}_2$ ,  $\text{LiBr}$ ,  $\text{LiCl}$ ,  $\text{MgCl}_2$ ) change consistently properties of the sorbent itself, usually  
 395 improving water capacity and diffusivity. For example in [85][86] the addition of lithium chloride to typical silica  
 396 rotors, improved dehumidification capacity of 3 g/kg and dehumidification efficiency up to 90%.

397 In [87], Aristov et al. experienced a composite obtained from the combination of loose grains (particle radius  
 398 0.355-1.4 mm) of mesoporous silica and with  $\text{CaCl}_2$ , within the operative range of  $P_{\text{VH}_2\text{O}}=0.8\text{-}7\text{kPa}$  and  $T=33\text{-}$   
 399  $69^\circ\text{C}$ .

400 The realization of the composite is based on an impregnation process requiring few steps: i) drying of the hosting  
 401 matrix ( $T=120\text{-}180^\circ\text{C}$ ); ii) immersion and impregnation of the matrix with the selected water/salt solution; iii)  
 402 removal of salt excesses and heating again the material, eventually activating calcination process. Sorptive

403 properties of the final composite depend by multiple factors and are not the linear superposition of matrix and  
404 salt properties but are rather influenced by their mutual interaction.

405 Observed dependencies are connected to the chemical composition of the salt; the concentration of the salt  
406 during the impregnation; the chemical composition and porous geometry of the host matrix; the condition of  
407 temperature and pH during the synthesis. For example in [88] is shown as using the same silica gel matrix and  
408 salt concentration (33wt%), LiBr shown much higher water uptakes than CaCl<sub>2</sub> at low temperatures meanwhile  
409 required regeneration temperatures are higher.

410 The improvement of water capacity was found to be driven mostly by “liquid absorption” (characteristic of the  
411 hydrated salts) rather than the “solid absorption” (connected to the passage from the anhydrous to the hydrated  
412 configuration of salt crystals). Further, as demonstration of the matrix/salt mutual influences, the occurrence of  
413 the dihydrate configuration of the calcium chloride (CaCl<sub>2</sub>\*2H<sub>2</sub>O) shifted down at much lower water vapor relative  
414 pressure than the value of the bulk (2% against 13%). Meanwhile, the hexahydrate form (CaCl<sub>2</sub>\*6H<sub>2</sub>O) was not  
415 found in the composite despite, is well known its presence in the bulk especially at higher relative pressure [88],  
416 [89].

417 These considerations, at least for the couple silica gel/CaCl<sub>2</sub> are more coherent with the concept of pore volume  
418 filling at the basis of the Dubinin theory that, especially for high values of relative pressure, can evolve in the  
419 phenomena of capillary condensation. Disadvantage in this case is an eventual dilution of the salt deposited  
420 within the pore volume that, migrating throughout the mesoporous structure, may define a degradation of  
421 performances [90],[91].  
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## 3. AIR DEHUMIDIFICATION VIA ADSORPTION

424

### Abstract

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As discussed in the previous chapter peculiarity of adsorption materials is the possibility to reversibly switch between adsorption and desorption within the dehydration operative zone, without affecting its properties. The limit is defined by the critical temperature  $T_B$  that, in most of the cases, doesn't exceed 200°C. To invert the mass transfer during desorption an external energy input is required, supporting the break of the attractive interaction between the adsorbed molecules with the sorbent surface traps (hydroxyl; silanol; silicate, etc...) first, and second to assist the diffusive transportation of water molecules through the internal porosity of the material up to the external bulk side. The way the adsorption/desorption cycles are operated gives typically the name of the category. Thermal swing adsorption use the heat as energy source, and regenerate the sorbent rising the temperature. Pressure swing adsorption uses the gradient pressure of gas species as unique driving force for the process, and regeneration is carried out reducing the total pressure within the sorbent bed. In addition to these two methods, sorbent regeneration may also be assisted by ultrasounds[92–95]. This technique is mostly experienced at research level, with the advantage to increase the diffusion transport of the sorbate through the pores of the sorbent. Among different applications in the chemistry sectors for gas separation and chemical removal, or in the petroleum sector as a more efficient alternative to standard distillation, air conditioning sector had different example of experimental and industrial/commercial applications. In this case the adsorption/desorption swing belongs to the thermally driven category. The rationale is quite simple: the application of adsorption phenomena in air conditioning and refrigeration rises as potential competitor to electrically driven vapor compression units. The use of pressure swing and ultrasounds require, necessarily, mechanical energy generated from an electrical conversion, failing then the original scope to be an alternative solution. In the following chapter the experimental testing of improved solutions shows the advantages of the application of *conjugated heat and mass transfer* for air dehumidification in the indoor environment. Three different studies published by the author report performances, advantages and drawbacks of the application of sorption techniques to manage the latent part of a typical air treatment carried out for ventilation of buildings.

Keywords: regeneration temperature; conjugate heat and mass transfer; desiccant evaporative cooling

451 Most of this chapter is based on following papers  
452  
453 *“Experimental results on adsorption beds for air dehumidification”*  
454 Finocchiaro, P., Beccali, M., **Gentile, V.** (2016). *International Journal of Refrigeration*, Vol 63, pages 100-112.  
455 doi:10.1016/j.ijrefrig.2015.10.022  
456  
457 *“Experimental testing of the buoyant functioning of a coil coated with SAPO34 zeolite, designed for solar DEC*  
458 *(desiccant evaporative cooling) systems of buildings with natural ventilation”*  
459 Simonetti, M., **Gentile, V.**, Fracastoro, G. V., Freni, A., Calabrese, L., & Chiesa, G. (2016). *Applied Thermal*  
460 *Engineering*, Vol 103, pages 781-789.  
461 doi:10.1016/j.applthermaleng.2016.02.072  
462  
463 *“Experimental analysis of “NAC-wall” for hybrid ventilation mode”*  
464 Simonetti, M., **Gentile, V.**, Liggieri, L., Fracastoro, G. V., & Carrabba, M. G. (2017). *Energy and Buildings*, Vol  
465 152, pages 399-408.  
466 doi:10.1016/j.enbuild.2017.07.047  
467  
468 *“Optimized low pressure solar DEC with zeolite based adsorption”*  
469 Simonetti, M., **Gentile, V.**, Fracastoro, G. V., & Belmonte, R. (2017). Paper presented at the *Energy Procedia*,  
470 Vol 122, pages 1033-1038.  
471 doi:10.1016/j.egypro.2017.07.472  
472  
473 *“Preliminary study of the hybrid solar DEC “NAC wall” system integration in building façades in urban context”*  
474 Simonetti, M., **Gentile, V.**, Chiesa, G., & Nigra, M. (2017). Paper presented at the *Energy Procedia*, Vol 134,  
475 pages 588-597.  
476 doi:10.1016/j.egypro.2017.09.570  
477  
478 *“Monitoring results and energy performances evaluation of Freesco solar DEC systems”*  
479 Finocchiaro, P., Beccali, M., Brano, V. L., **Gentile, V.** (2016). Paper presented at the *Energy Procedia*, Vol 91,  
480 pages 752-758.  
481 doi:10.1016/j.egypro.2016.06.240  
482  
483 *“Monitoring and energy performance assessment of an advanced DEC HVAC system in Morocco”*  
484 Beccali, M., Finocchiaro, P., **Gentile, V.**, Muscherà, M., Motta, M. (2017). Paper presented at the Solar World  
485 Congress 2017 - IEA SHC International Conference on Solar Heating and Cooling for Buildings and Industry  
486 2017, Proceedings, pages 1644-1654.  
487 doi:10.18086/swc.2017.28.01  
488



## 489 **3.1 Air Dehumidification and Cooling**

490 The increased demand for thermal comfort of indoor occupants is leading an impressive growth of installed air  
491 conditioning appliances and a consequently sharp rising of energy consumptions, condition that will be  
492 exacerbated in the next future, basing on global warming scenarios [96-99].

493 Adsorption technologies since different decades are envisioned as interesting and fascinating solution, offering  
494 the possibility to shift the cooling demand for indoor environmental control from power heat [100-105]

495 Of particular interest, is the integration of these type of systems with sustainable sources of heat. For example,  
496 waste heat coming from thermal cascade of industrial activities or production processes. Another good example  
497 is the use of heat from solar energy. This is particularly fascinating giving the seasonal synchronization between  
498 irradiation and cooling needs.

499 The application of thermally driven adsorption technologies for refrigeration and air conditioning are classified in  
500 two main categories: *closed loop* and *open loop*. In both cases the cooling effect is obtained working on the  
501 variation of pressure of water vapor: *i)* evaporation of water generates a cooling effect and increases vapor  
502 pressure; *ii)* drying the air/water vapor mixture with adsorption to equalize/reduce related partial pressure of  
503 water vapor; *iii)* reject the adsorbed vapor at higher pressure than the evaporation and adsorption phases, using  
504 the external environment as sink.

505 Despite the general concept is similar for all the categories, there are substantial differences: in *closed loop*  
506 *systems* the air/water mixture is always the same because there's no direct communication between the sorbent  
507 and the external environment. The only exchange mechanism is based on the thermal transfer at the  
508 evaporator/condenser. Closed loop is mainly applied for refrigeration. On the contrary *open loop systems* treat  
509 directly outdoor air and provide the required thermo-hygrometric for a correct indoor ventilation. The second  
510 substantial difference is about the absolute pressure: *closed loops* may work at different absolute pressures and  
511 under vacuum; *open loops* work only at the atmospheric pressure level. This research focuses the interest on  
512 *open loops*, and the next paragraph will describe main characteristics and problems.

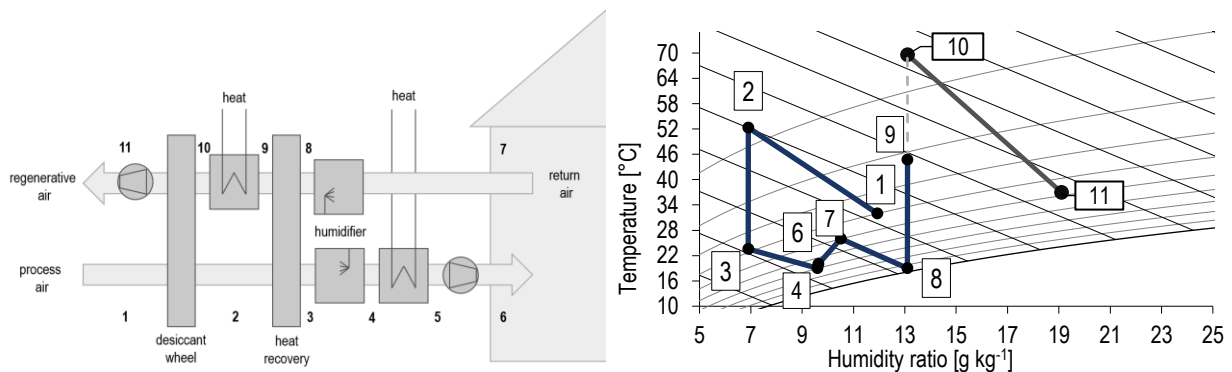
### 513 **3.1.1 Open Loop Desiccant Evaporative Cooling**

514 Desiccant Evaporating Cooling (DEC) systems have been used for the direct treatment of external air to satisfy  
515 thermo-hygrometric requirements of ventilation for indoor comfort of the occupants. For this purpose, either solid  
516 or liquid desiccants have been employed.

517 The most applied solution is based on the Pennington cycle [106-108] realized through a rotary wheel exchanger  
518 coated with a sorbent material. Sometimes these wheels are called enthalpic exchanger, because they operate  
519 on the entire enthalpic content of the air. In most common and used DEC systems, the desiccant wheel is based  
520 on silica gel or lithium chloride as adsorbent materials. This is the most crucial component while the remaining

521 parts are conventional technologies (the heat recovery unit; humidifiers; fan; water/air heat exchangers, water  
 522 pumps) used for many other applications of the same sector and generally in the industry. Anyway, desiccant  
 523 wheels have been not used only for building air conditioning. They found also application in the industrial sector  
 524 including pharmaceuticals, chemicals, food and beverages, and many others requiring a very fine and accurate  
 525 humidity control of the operative and working environment. Especially the pharmaceutical, chemical and  
 526 electronic sectors are driving the global growth for the application of this technology that, in 2016, was mainly  
 527 dominated by silica gel desiccant wheels, covering more than 60% of the global market, with an estimated CAGR  
 528 (Compound Annual Growth Rate) for the next 10 years of 5.3% [109][110].

529 The original cycle had many improvements over the years [111-116], and in Figure 3.1 is reported a schematic  
 530 diagram of how a DEC cycle can be assembled, to provide indoor ventilation in both summer and winter season.  
 531 On the right, different steps are depicted over the psychrometric diagram.



532  
 533 **Figure 3.1:** Schematic diagram of a DEC system (left up). Qualitative behaviour of process air in terms of temperature and moisture  
 534 content (left down). Thermodynamic transformations on process and regeneration air (right).

535 Two main air flows, *process* and *regenerative* air flow, cross at the same time and in counter current the wheel.  
 536 *Process air* defines the primary air flow going from the external environment to the building, *regenerative air* is  
 537 instead the opposite flow, from the building to the external environment. Fundamental steps for the treatment of  
 538 process air are performed as following:

- 539 • **Dehumidification.** The process air, directly taken from external environment, flows through the  
 540 desiccant wheel, reducing the moisture content (reducing also vapor pressure). The heat generated  
 541 through the adsorption phenomenon causes an increase of temperature for both sorbent and air. Outlet  
 542 conditions of process air are hotter, and drier than inlet ones
- 543 • **Thermal recovery.** Outlet stream has a relevant sensible energy content. This is recovered through a  
 544 counter current heat exchanger (alternative configurations employ thermal recovery wheel) and  
 545 transferred to the *regenerative air*. The recovery unit is an essential component reducing the total energy  
 546 required for regeneration of the sorbent and reducing the temperature of *process air*. Main effect of this  
 547 step is the increase of energy and power performances. The regenerative air, before encountering the

548 desiccant wheel, is heated firstly through the thermal recovery step, with an external heat supply  
549 eventually;

550 • **Humidification.** After the thermal recovery step, a water stream is sprayed through atomizing nozzles  
551 over the air. Water evaporation cools down the air, and the moisture content increases. This is a crucial  
552 step for the determination of the final delivery condition in terms of temperature and humidity. Water  
553 flow rate, surface area of exchange, and degree of atomization are crucial parameters for this step.

554 The desiccant wheel is continuously rotating, and the portion area exposed to the *process air* move up to the  
555 *regenerative air* active zone. Now the wetter sorbent is exposed to a hotter and drier environment, that drives  
556 the opposite phenomena, the desorption. With this approach, the combined rotation and counter current/parallel  
557 flows, what was a batch process of adsorption/desorption stages becomes a continuum, guaranteeing the  
558 effectiveness and the continuity of the entire cycle.

559 The location of components, as sketched in Figure 3.1, allows to perform a full air treatment satisfying both the  
560 winter and summer season requirements. The resulting cycle depicted in the psychrometric diagram is stepped  
561 in:

- 562 1-2 quasi adiabatic dehumidification of *process air*. Resulting air is hotter and drier;
- 563 2-3 pre-cooling of *process air*. This regenerative heat exchange, performed through an air-to-air counter  
564 current device or a regenerative wheel, recovers the sensible cooling potential contained within the  
565 indoor environment;
- 566 3-4 evaporative cooling of *process air*. Thermal comfort requirements define the final moisture content of  
567 the air exiting this step;
- 568 4-5 post heating of *process air*. This heat exchange is enabled only during the winter season. Instead in the  
569 summer season it will be bypassed and not used;
- 570 5-6 parasitic temperature increase due energy dissipation of the fan for the air circulation;
- 571 6-7 at 6 air is delivered to the indoor environment. Temperature and humidity increase up to point 7 because  
572 the latent and sensible gains of the indoor environment;
- 573 7-8 *regenerative air* is initially cooled through a parallel evaporative cooling step. Reaching the relatives wet  
574 bulb conditions. This stage is necessary to improve the cooling step performed at 2-3;
- 575 8-9 *regenerative air* is pre-heated. Recovered heat from *process air* is the parallel result of process 2-3;
- 576 9-10 *regenerative air* is heated with the external heat source (solar energy or other conventional heaters such  
577 as a gas boiler);
- 578 10-11 adiabatic regeneration of the desiccant wheel. Water vapor contained in the sorbent pores desorbs while  
579 absorbing the sensible energy content of the *regenerative air*. As result of this step outlet air is colder  
580 and moister. This air flow is then rejected to the external environment.

581 The interesting outcome of the application of this cycle is obviously the low impact in terms of power intensity and  
582 the possibility to couple more sustainable sources with the cooling demand:

- 583 • The cooling effect is not directly generated by an electricity use. Indeed, the pressure variation is  
584 thermally activated. The only power consumptions are generated by auxiliary components (air fan, water  
585 pumps, damper actuators);
- 586 • The highest temperature of the cycle, at the inlet of the desorption step 10-11, in most of the cases, is  
587 close to 70°C<sup>i</sup>. This temperature level is compatible with low exergy sources such as solar thermal  
588 energy, cogeneration or waste heat from industrial process.

589 Anyway, there are some shortcomings connected to the use of the desiccant wheel, affecting performances and  
590 limiting the effective exploitation of solar thermal energy:

- 591 • progressive heating of the sorbent is occurring within the dehumidification step 1-2. The cause is the  
592 release of the adsorption heat;
- 593 • the heat released to the process heat is amplified by a “*thermal-carry-over*” phenomena. Indeed, through  
594 the rotation of the wheel, a certain amount of the sensible heat from desorption sector, moves down to  
595 the adsorption sector.

596 The combined effect of these two phenomena is the rise of the average temperature of the step 1-2, and resulting  
597 slope of the transformation is higher than the adiabatic line, as in an ideal process. Consequently, the operative  
598 equilibrium water uptake is lower than the potential value if considering outdoor conditions as equilibrium  
599 environment, as point 1. In the end, this reduces the dehumidification effectiveness of the sorbent and the device.  
600 At the same time an inverse problem is occurring during regeneration. Indeed, the adiabatic process is occurring  
601 also through the desorption step 10-11, causing a consistent temperature drop. This time, the minimum  
602 achievable water uptake is higher if compared to the potential value achievable supposing an equilibrium  
603 potential equivalent to the inlet temperature of 10.

604 In the end:

- 605 • the increase of air temperature during the contact with the porous sorbent reduces relative humidity and  
606 limits maximum water uptake of material;
- 607 • higher temperature over the adsorbent layer during dehumidification leads to the further increase of the  
608 regeneration temperature, reducing in particular the effective exploitation of solar energy<sup>ii</sup>;

609 Within this research all these aspects have been considered as an issue to be solved, opening the chance to  
610 the study and testing of an alternative possibility: driving adsorption and desorption through ***conjugate heat and***

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<sup>i</sup> The effective value depends typically by local climate, and by desired delivery air conditions. Real application actually have shown that the good performances of the cycle are achieved at higher temperatures

<sup>ii</sup> Hottel- Whillier equation shows that the increase of mean operative temperature of a solar thermal collector reduces the energy efficiency of the device. For flat panel there's a linear correlation, for evacuated tube this is quadratic.

611 **mass transfer**, instead of an ideal adiabatic process, through what is called adsorption heat exchanger (for the  
612 sake of brevity ADS-HX). Different authors [117-119] tried to implement this concept on the existent  
613 configuration, resulting in very complex systems caused by the intensive use of auxiliaries (dumpers and  
614 actuators) and consequently increasing costs and complexity. A more practical alternative is the substitution of  
615 the desiccant wheel with a static heat exchanger.

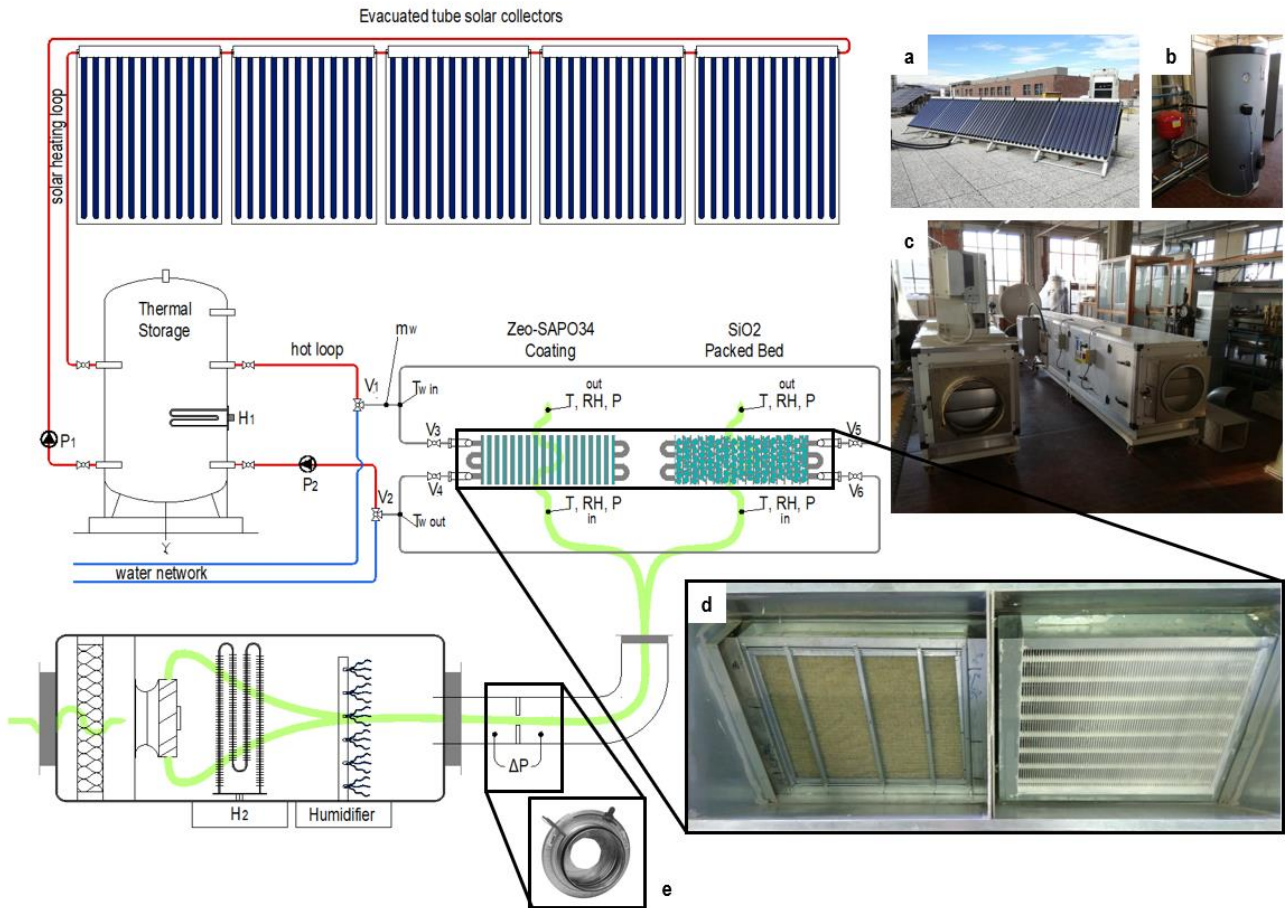
616 Bongs et al. [120][121] have approached a configuration of ADS-HX gluing silica gel beads (Grace 123B) over  
617 the primary channel of an air-to-air cross flow heat exchanger. In parallel evaporative cooling is driven on the  
618 secondary channels of the heat exchangers. Matching latent heat of evaporation with adsorption heat is indeed  
619 a smart solution, being the adsorption heat and water evaporation quantitatively comparable, an ideal isothermal  
620 adsorption could be approached. On the other hand the double air flow management is very complex, both for  
621 the adsorption and regeneration, complexity that at the end strongly affected the practical application of this  
622 device [122]. Further on, air has a very low specific heat, affecting the power density of the entire device. A more  
623 practical solution has been experienced by the author using finned coil heat exchangers, as heat transfer media,  
624 and supply and reject heat through heat transfer fluids with higher energy density, such as water.

625 In the next paragraph two tested solutions are compared, highlighting the main characteristics behind the  
626 conceptual design: an **inertial device** versus a more **responsive** solution.

## 627 3.2 Experimental setup

628 A schematic diagram of the experimental setup is drawn on Figure 3.2. The experimental facility is based on two  
629 AHUs (Air Handling Units), which can supply air flow rate in the range  $100\div 1500\text{ m}^3\text{ h}^{-1}$ , equipped with a set of  
630 sensors and control devices to manage the outlet temperature, outlet humidity and air flow rate, according to  
631 user inputs. Each AHU includes an electrical heating battery (H2), a humidifier and calibrated flanges with the  
632 airflow rate measurements. Table 3.1 reports the list of monitoring sensors, position, typology, and related  
633 accuracy employed for the entire experimental campaign. The heat for regeneration is provided to the ADS-HX  
634 flowing the hot water produced with  $10\text{ m}^2$  of evacuated solar thermal collectors and installed on the roof of the  
635 lab. The solar thermal circuit is connected to 500 liters of thermal storage, equipped with an auxiliary electrical  
636 resistance (H1) of 3 kW as backup system.

637 Two water pumps (P1, P2), with a 3-level fixed velocity and a maximum absorbed power of  $60\text{W}_{el}$ , control the  
638 water flow through the primary loop (solar field-storage) and secondary loop(storage-ADS-HX). Through the  
639 three-way membrane valves V1/V2, powered at 24 VDC is controlled the switching between the hot closed loop,  
640 and the heat rejection loop open with the water network. Valves V3-V4-V5-V6 selects and enable the water flow  
641 only in one exchanger per time. An electromagnetic flow meter measures the water flow ( $m_w$ ) through the ADS-  
642 HX loop. Air temperature, relative humidity and water temperature sensors are distributed along the test rig, as  
643 showed in the sketch above.



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**Figure 3.2:** Scheme of the experimental setup. 10 m<sup>2</sup> of evacuated tube solar collector installed on the roof of the Lab (a), provides the thermal energy for the regeneration of the two ADS-HX (d). The energy is stored in an insulated water vessel (b) of 500L equipped with auxiliary backup heater (H1) to guarantee always the availability of heat. Two HVAC units (c) provide the air flow with a constant rate, measured with a calibrated flange (e) at the desired thermo-hygrometric conditions.

Physical value	Accuracy	Unit	Position	N°	Typology	Signal
air pressure	±0.2%	Pa	P <sub>in</sub> ; P <sub>out</sub> ; ΔP	3	3-wire differential pressure transducer	0-10VDC, 100ms response
air temperature	±0.4%	°C	T <sub>in</sub> ; T <sub>out</sub>	4	2-wire NTC	1.8kΩ 25°C
water Temperature	±0.3°C	°C	T <sub>W<sub>in</sub></sub> ; T <sub>W<sub>out</sub></sub>	2	4-wire Pt100	100Ω 0°C
relative humidity	±2%	%	RH <sub>in</sub> ; RH <sub>out</sub>	4	thermoset capacitive polymer	0-10VDC
water rate	±1.5%	kg s <sup>-1</sup>	m <sub>w</sub>	1	electromagnetic flow meter	0-10VDC

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**Table 3.1:** List of different sensors used to measure air temperature and humidity, water temperature and flow rate and pressure drops.

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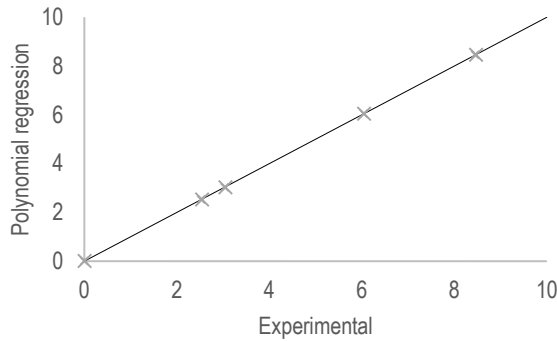
Most of the installed sensors didn't require specific precautions during the installation, and were provided with the calibration curve from the producer, except for the NTC and Pt100 thermistors. These have been calibrated comparing resistance measurements with a reference Pt1000 thermometer in a thermostatic calibration bath, within the temperature range of 20-90°C. The measurement at 0°C was obtained using melting ice instead of the calibration bath. Data collected in the tables below, were used to build the characteristic conversion curves of each sensor. From NTC thermistor calibration procedure is obtained a polynomial curve of 4<sup>th</sup> power, with fitting coefficients  $p_1=2.022 \times 10^{-4}$ ,  $p_2=-4.853 \times 10^{-2}$ ,  $p_3=4.5893$ ,  $p_4=-220.447$  and  $p_5=5090$ . For the Pt100 the relation is linear, with inclination at 0.1004 and intercept equal to 0.01376. The fitting of this function is showed in Figure 3.3 and 3.4. All the sensors provide an analogical output directly correlated to the physical observed quantity, except for the air flow measurement. Through the calibrated iris shutter shown in Figure 3.2(e), the aperture is regulated according to the desired flow range.

Ta in sx		Ta out sx		Ta in dx		Ta out dx	
T (°C)	Ω	T (°C)	Ω	T (°C)	Ω	T (°C)	Ω
84	257	84	258	84.1	255	84.1	258
60	523	59.9	526	60	522	60.1	526
30	1462	30	1460	30	1460	30	1462
21.9	2000	21.7	2020	21.9	2000	21.7	2020
0	5080	0	5010	0	5090	0	4990

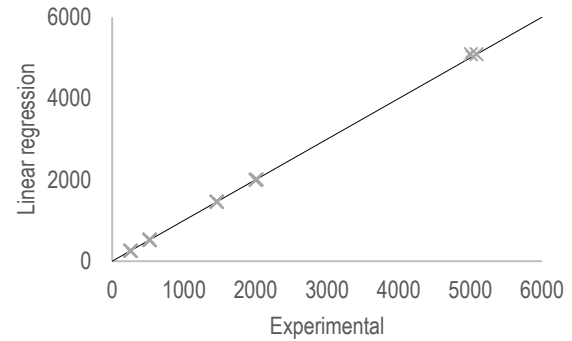
**Table 3.2:** Electrical resistance values of calibrated NTC thermistors for air temperature measurements.

T <sub>w in</sub>		T <sub>w in</sub>	
T (°C)	Volt	T (°C)	Volt
0	0	0	0,01
25	2.53	25	2.55
30	3.04	30	3.05
60	6.04	60	6.05
84.1	8.45	84,1	8.47

**Table 3.3:** Electrical resistance values of calibrated Pt100 thermistors for air temperature measurements.



**Figure 3.3:** Verification of the polynomial fitting for the NTC air temperature sensors.

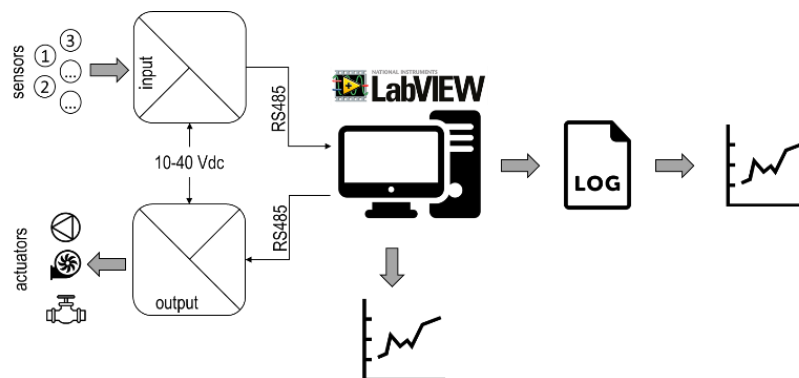


**Figure 3.4:** Verification of the linear fitting for the Pt100 water temperature sensors.

661 The differential pressure transducer provides a tension signal proportional to the pressure drop across the  
 662 flange, correlating the measured  $\Delta P$ [Pa] to the volumetric air flow with the following equation:

663 
$$Q = \frac{k}{3.6} \sqrt{\Delta P} \left[ \frac{m^3}{h} \right] \quad (3.1)$$

664 Where k is a correlation coefficient ranging between 1.5-15, linked to the actual opening of the iris shutter.



665  
 666 **Figure 3.5.** Architecture of the data real time monitoring and logging.

667 All the analog outputs were acquired with the architecture sketched in Figure 3.5. Analog/digital conversion is  
 668 realized with a 16bit resolution modules from Seneca producer (Z-8AI; Z-8NTC). These, via a RS485 Modbus  
 669 protocol, communicate digital values to the master module Z-GPRS2, that finally send the data stream containing  
 670 each measured point, to the Lab desktop PC. The monitoring interface is realized though Labview software that,

671 first interrogates the Z-GPRS2 with a frequency of 0.01 seconds and later averages the 100 collected data every  
 672 second. The averaged value is then considered the final measurement and plotted real time for the user  
 673 monitoring. Finally, this is logged with a temporal discretization decided by the user and typically between 10  
 674 seconds to 1 minute. Log file are then used to do the post processing, for the evaluation of indirect values and  
 675 any coefficient performances. Air moisture content has been evaluated starting from the couple of air  
 676 temperature and RH measurements and the following equation:

$$677 \quad x = 0.622 \frac{RH P_{sat}(T_a)}{P_{atm} - RH P_{sat}(T_a)} \left[ \frac{kg_{water}}{kg_{dry air}} \right] \quad (3.2)$$

678 where 0.622 is the molecular weight ratio between water vapor (18.02 g mol<sup>-1</sup>) and dry air (28.97 g mol<sup>-1</sup>). P<sub>atm</sub>  
 679 is the local atmospheric pressure, and P<sub>sat</sub> is the saturation pressure of water vapor at the temperature T<sub>a</sub>(°C),  
 680 approximated with equation (3.3):

$$681 \quad P_{sat}(T) = 611.85 e^{\left(\frac{17.502 \cdot T}{240.9 + T}\right)} [Pa] \quad (3.3)$$

682 The amount of adsorbed or released water per each timestep is evaluated as:

$$683 \quad \Delta m_{H_2O} = \frac{\dot{Q}_a}{3600} \bar{\rho}_a (x_{in} - x_{out}) \Delta t \quad [kg_{H_2O}] \quad (3.4)$$

684 Where the average air density is the result of the inlet and outlet temperature average and is considered constant  
 685 during the timestep  $\Delta t$ . The cumulative value of adsorbed/released water between two intervals  $t_1$  and  $t_2$  value  
 686 is evaluated as:

$$687 \quad M_{tot}(t) = \sum_{i=t_1}^{t_2} \Delta m_{H_2O_i} \quad [kg_{H_2O}] \quad (3.5)$$

$$688 \quad \Delta x = x_{in} - x_{out}; \quad \Delta T_a = T_{a_{in}} - T_{a_{out}}; \quad (3.6)$$

689 The average thermal power exchanged between the air and the adsorption heat exchanger, during the timestep  
 690  $\Delta t$  is estimated as:

$$691 \quad P_{th\Delta t} = \bar{\rho}_a \frac{Q_a}{3600} [c_p(T_{in} - T_{out}) + R(x_{in} - x_{out})] \quad [kW_{th}] \quad (3.7)$$

692 Where R is the water latent heat (2501 kJ kg<sup>-1</sup>), and c<sub>p</sub> the specific heat of air, considered constant at 1.005 kJ  
 693 kg<sup>-1</sup> K<sup>-1</sup>. Being the data logging discrete over time, and at fixed sampling time, the integral evaluation of energy  
 694 flows, can be converted in a sum as:



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$$E_{cool} = \Delta t \sum_{ADS} P_{th}; \quad E_{reg} = \left| \Delta t \sum_{REG} P_{th} \right|; \quad (3.8)$$

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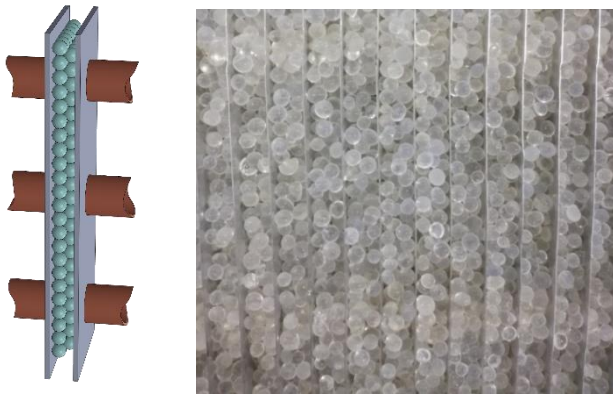
Where the two subscripts ADS and REG are referring to adsorption and regeneration phases. The module was used to avoid problems with the sign and to have always a positive number. In any case, is intended that versus of the heat transfer is from air to the ADS-HX for  $E_{cool}$ , and the opposite for  $E_{reg}$ .

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### 3.2.1 SiO<sub>2</sub> packed bed configuration



	d	n	p	L	A	B
	[mm]	-	[mm]	[m]	[m]	[m]
Fins	-	90	5	-	0.10	0.48
Tubes	15	24	-	0.45	-	-
Frame	-	-	-	0.74	0.10	0.54

**Table 3.4:** Characteristic dimensions of the finned coil used for the SiO<sub>2</sub> packed bed configuration.

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**Figure 3.6:** On the left a schematic representation of the packed bed finned coil adsorption heat exchanger. On the right a picture showing the beads of 3 mm diameter, dispersed between the aluminum fins of the heat exchanger.

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The packed bed configuration is realized simply pouring SiO<sub>2</sub> beads, with a pseudo-spherical geometry, into the air gap between fins of the finned coil. Then, the beads are enclosed and blocked with a double mesh grid (fine and flexible; gross and rigid) to avoid the relative movements of the beads. The pouring procedure generates a stochastic distribution, usually called as random close packing (RCP), with a large number of empty interstices between each particle. The air, flowing between these interstices, enters in contact with a huge surface for the mass transfer. The parameter identifying a final configuration is the bed porosity  $\varepsilon$ , defined as the fraction between the volume of empty space and total available volume. In 1611 Kepler conjectured that in a Euclidean three-dimensional space the highest packing density is achieved with a face-centred cubic packing, consequently the minimum  $\varepsilon$  is equal to  $1 - \pi/(\sqrt{3}/2) \sim 25.95\%$ . In recent years Hales [123-125] gave the formal proof for this conjecture and the theoretical demonstration for this number, listed as the 18<sup>th</sup> of the 24 most important mathematical problems by Hilbert at the begin of the XX century. Anyway, the stochastic feature of the pouring process is affecting the final value and the density limit for hard spheres or pseudospheres with RCP reduces up to 63.4%, meaning that minimum  $\varepsilon$  is increased up to 36.6%. A further element influencing the final configuration is the wall effect exerted by the aluminium fins, being the fin path ( $p$ ) comparable with the beads diameter ( $d$ ) cannot be neglected. Pistocchini[126] et al. defined an empirical correlation between packing density and the ratio  $p/d$ , showing as the final density values can be as much lower as far from the theoretical configuration, with  $\varepsilon$  up to 0.45. Based on Pistocchini's relation, and with the goal to maximize the beads surface

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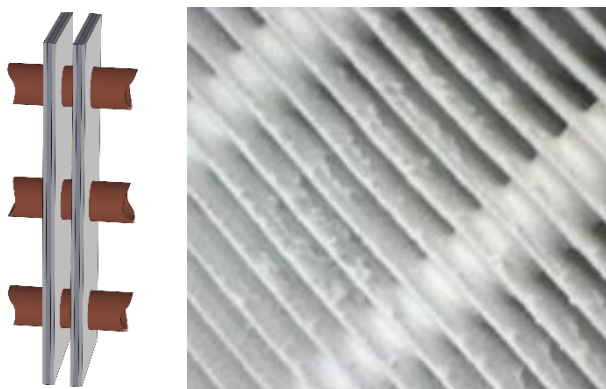
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719 area, the selection of the coil together with beads diameter was carried out to approach the maximum packing  
 720 density, according to the available configurations on the market, as reported in Table 3.4. Final values are  
 721  $p/d \sim 1.67$  and  $\varepsilon \sim 0.412$ . Given the definition of  $\varepsilon$ , the surface area for the mass exchange, defined as the  
 722 total particle surface over the exchanger volume can be estimated with the equation (3.9)

$$723 \quad \text{surface area} = 6 * V_n * \frac{1 - \varepsilon}{A * B * L * D_{avg}} \sim 2000 \text{ [m}^2 \text{ m}^{-3}] \quad (3.9)$$

724 Where  $V_n$  is the net available volume for the beads (the presence of fins and pipes reduces the total available  
 725 volume);  $A B L$  respectively the gross width, height and length of the finned coil (excluding frame size of the  
 726 HX); and  $D_{avg}$  the average beads diameter (provided by the manufacturer). This last parameter can cause a  
 727 considerable uncertainty on the final surface value because the beads are not completely spherical, and the  
 728 distribution ranges between an equivalent diameter between 2-4 mm, with an average value of three as provided  
 729 by the manufacturer. With this consideration final value of surface area is close to 2000 m<sup>2</sup> per m<sup>3</sup> of HX gross  
 730 volume. Aluminum fins, together with copper tubes, generate instead a total surface for the heat transfer equal  
 731 to 8.64 m<sup>2</sup>, that in specific terms is equal to  $\approx 200$  m<sup>2</sup> per m<sup>3</sup> of gross volume of the HX.

### 732 3.2.2 SAPO34 coated coil configuration

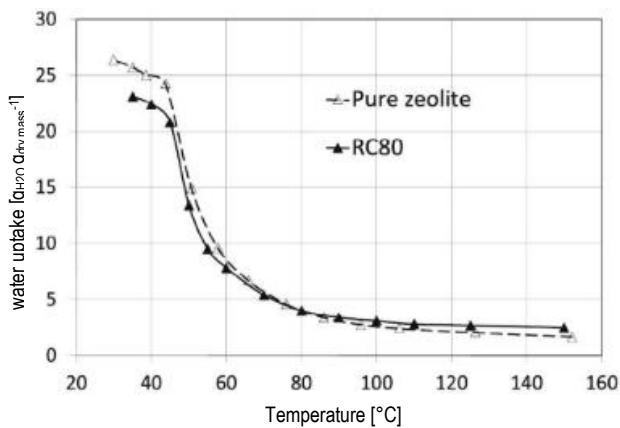


	d	n	p	L	A	B
	mm	-	mm	m	m	m
Fins	-	56	8	0.6	0.15	0.48
Tubes	15	24	-	0.45	-	-
Frame	-	-	-	0.74	0.15	0.54

**Table 3.5:** Characteristic dimensions of the finned coil used for the SiO<sub>2</sub> packed bed configuration.

733 **Figure 3.7:** On the left a schematic representation of the coated finned coil adsorption heat exchanger. On the right a picture showing  
 734 final result of the coating process.

735 This configuration has been realized by ITAE-CNR of Messina, coating with zeolite SAPO34 a similar finned coil  
 736 as the previous one but with bigger fin path. Coating procedure can be synthetically listed as following: *i)*  
 737 preparation of a liquid SAPO-34/silane solution; *ii)* pretreatment of the heat exchanger surface; *iii)* spraying the  
 738 coating over the metallic surface; *iv)* final drying and curing. A trifunctional silane compound, the N-propyl-  
 739 trimethoxy-silane (PM=120.22 g mol<sup>-1</sup>,  $\rho=0.88$  g ml<sup>-1</sup>, purity>97%) from Aldrich, was used as adhesive sealant  
 740 to link the zeolite over the metallic surface. Hydrolysis was performed in presence of distilled water and ethanol  
 741 (ethanol/water/silane 90/5/5 % v/v) and stirred for 24 h at room temperature. Then the SAPO-34 powder was



**Figure 3.8:** Comparison of water uptake between pristine SAPO-34 ad after the coating process, containing only 80% of sorbent on total amount. [83]

752 adherence of the silanes to the metallic substrates. Then, a second spray of a silane/zeolite solution was  
 753 performed followed by a final curing step for 12 h at 80 °C. In Figure 3.7 a detailed view of final result of the  
 754 coating process and the uniform distribution of the adsorbent over the fin surface [83]. The coating process  
 755 affected water uptake characteristics, as shown in Figure 3.8. The water uptake of the 80% solution at the  
 756 different equilibrium temperatures is slightly lower than that of the pure SAPO-34 sample at low temperature and  
 757 higher for temperatures higher than 100°C. This result was expected as the adsorbent coating formulation  
 758 consists of 80 wt.% of active material (the adsorbent itself) and 20% of binder solution, which can be considered  
 759 as an inert mass in terms of water vapor adsorption. Moreover, repeated ad/desorption tests demonstrated that  
 760 the water adsorption process is completely reversible and hysteresis phenomena are absent[83]. With the similar  
 761 approach of the previous configuration, it is interesting to calculate also here the surface of respectively thermal  
 762 and mass transfer. Here, being a thin coating over a flat surface, the ratio between the two contact-surface type  
 763 is close to one and equal to 8.064 m<sup>2</sup>, that in specific terms reaches a final value of 186.7 m<sup>2</sup> per m<sup>3</sup> of heat  
 764 exchanger. Close to an order of magnitude lower than the previous configuration.

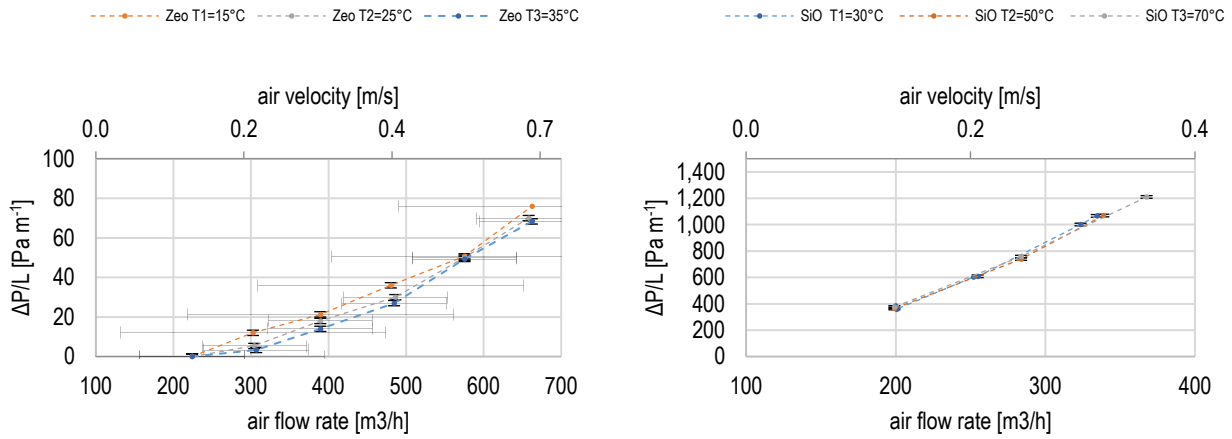
### 765 3.3 Experimental results

#### 766 3.3.1 Pressure drop

767 The analysis of pressure drops on the air side of the ADS-HX is of fundamental importance. This, together with  
 768 the pressured drops caused by the water circulation, are the only sources of mechanical energy degradation  
 769 and, then, of electric power requirements. The focus was only on the air side being the two configurations  
 770 practically equal from the water point of view (except for the different length of the coils), instead very different  
 771 on the air point of view. The way in which the sorbent has been arranged over the metallic structure indeed  
 772 affected the total value of surface area between air and sorbent of 1 order of magnitude.

773 Pressure drops characterization has been carried out measuring the difference of the static pressure between  
 774 the inlet and outlet while the air was flowing and at volumetric rates (100-700 m<sup>3</sup> h<sup>-1</sup>). Pressure drops of Zeo-

775 SAPO34 ADS-HX are registered out of the average over 10 successive measurements, of 1 minute each one,  
 776 and after the stabilization of the flow rate between each step of the total range. Moreover, also the dependency  
 777 of air pressure drop from the stream temperature has been investigated, repeating this testing procedure at  
 778 different air inlet temperature between 10-35°C, covering an extensive range of possible operative ambient  
 779 temperatures. The air flow rate is varied changing the speed of the fan in the AHU, adjusting the frequency of  
 780 the electric motor with a controlling inverter, and regulating the rotational speed between the 10 and 100% of  
 781 the full speed. Finally, the flow rate is measured using the calibrated flanges and the pressure transducers,  
 782 generating respectively a measurement error on the volumetric air flow rate of  $\pm 7\%$ , and  $\pm 0.2$  Pa on the static  
 783 pressure measurements. Measured values are reported in tables of the Annex-A, and in Figure 9 are compared  
 784 with the SiO<sub>2</sub> packed bed configuration, with the same configuration and methodology[127].



785  
 786 **Figure 3.9:** Comparison of air pressure drops measurements between the Zeo-SAPO34 coating configuration (left) and the SiO<sub>2</sub>  
 787 packed bed configuration (right).

788 The huge difference between the surface area of the two configuration is evident also in terms of manometric  
 789 characteristics. Indeed, as it changes of 1 order of magnitude, the same ratio is on measured pressure drops at  
 790 fixed stream velocity. Temperature dependency is explicit using the Darcy-Weisbach empirical relationship  
 791 between the pressure losses and the friction factor  $f_D$ :

792 
$$\frac{\Delta P}{L} = f_D \frac{\rho_{air} v^2}{D} \frac{1}{2} \quad \left[ \frac{Pa}{m} \right] \quad (3.10)$$

793 Where the air density is evaluated with the correlation

794 
$$\rho_T = 1.293 \frac{P_b}{P_0} \left( \frac{273.15}{273.15 + T[^\circ C]} \right) \quad \left[ \frac{kg}{m^3} \right] \quad (3.11)$$

795  $P_0$  is the atmospheric pressure at the sea level and equal to 1.013 mbar. Instead  $P_b$  is the local barometric  
 796 pressure, evaluated for the city of Turin (elevation over the sea level  $H=239$  m) with the following relation:

797

$$P_b = -0.1125 * H + 1011.5 \quad [mbar] \quad (3.12)$$

798

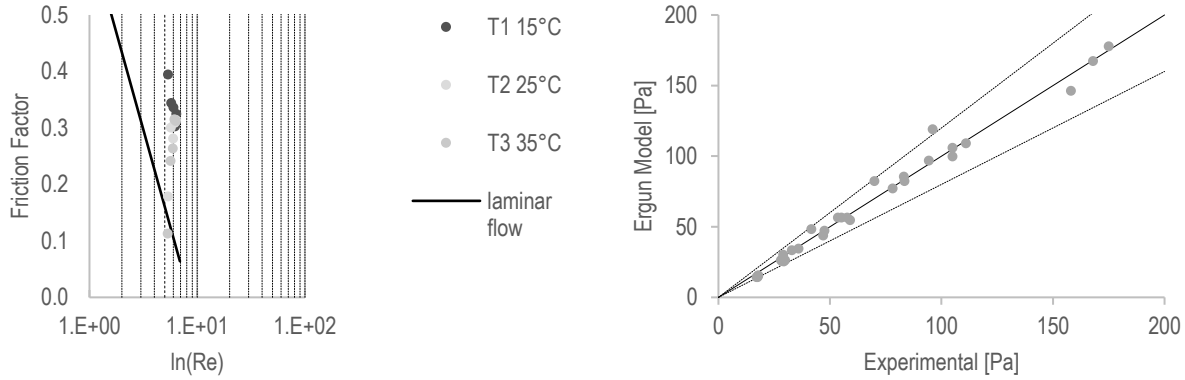
The increase of air temperature leads to a reduction of the density, and consequently a proportional reduction

799

of pressure drops at equal velocity. The 20°C temperature increase generate a pressure drop reduction between

800

10-35%, within the analyzed velocity range.



801

802

**Figure 3.10:** Graphical representation of experimental data on the moody diagram  $f_D$ -ln(Re), and comparison with the general formula

803

for cylindrical smooth pipes with laminar flow (left). Calibration of pressure losses experimental data of SiO<sub>2</sub> packed bed against Ergun

804

model.

805

Experimental value of the  $f_D$  are generally higher if compared with the theoretical values of circular ducts and

806

laminar flow,  $64/Re$ , as in the graph of the left in Figure 3.10. This check is useful to verify the consistency of

807

measured values being very low, and comparable to natural buoyancy regimes. Anyway, the flow cannot be

808

considered totally laminar with a full developed parabolic velocity profile: the entrance losses and the relative

809

small length of the reference channel don't allow the full development of the laminar flow that, in addition, has

810

to deal with a rectangular shape and not cylindrical (fin path of 6 mm considering the coating thickness of 1 mm

811

per side, and a length of 15 cm). All these conditions justify  $f_D$  values higher than theoretical laminar flow, and

812

an average over the different experimental tests of 0.29. On the right of Figure 3.10 is instead demonstrated

813

how the Ergun model [128] is a suitable mathematical instrument for the evaluation of pressure drops in packed

814

bed configurations, also when the RCP configuration includes considerable wall effects, increase the distance

815

between the reality and a theoretical configuration of the Ergun model with the equation (3.13)[127].

816

$$\frac{\Delta P_{ERGUN}}{L} = \left( 150 \frac{1 - \varepsilon}{Re} + 1.75 \right) \left( \frac{1 - \varepsilon}{\rho_a D_p \varepsilon^3} \right) (v \rho)^2 \quad \left[ \frac{Pa}{m} \right] \quad (3.13)$$

817

Where the characteristic length for the evaluation of the Reynolds number considers the spherical particle of

818

diameter  $D_p$  (3 mm in our configuration), and  $v$  is the mean velocity considered constant through the entire path

819

and evaluated starting from the entire volumetric flow rate  $Q$  and the gross cross section of the heat exchanger,

820

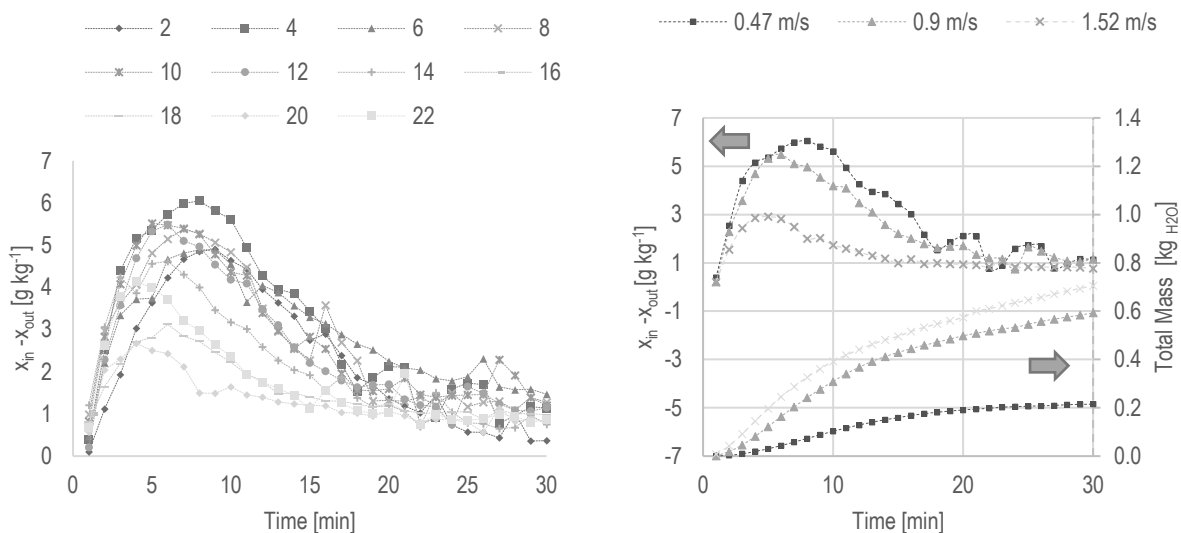
excluding frames. Despite these hypotheses, the error range within the interval of  $\pm 20\%$ , in the experienced

821

velocity range of 0.2-0.8 m s<sup>-1</sup>.

### 822 3.3.2 Zeolite functioning with Hybrid ventilation

823 In this section are provided results on dehumidification performances of the Zeolite configuration tested in hybrid  
 824 ventilation mode[83]. The term “hybrid” is referring to the mechanical aspects of the air motion. Indeed, despite  
 825 the air flow for this system is mechanically driven with a fan, there’s an important contribution on the total air  
 826 flow rate, generated by the natural buoyance induced by the temperature difference between the air and the  
 827 sorbent. The temperature difference, especially during regeneration, generates an air motion of up to 100 m<sup>3</sup>h<sup>-1</sup>  
 828 <sup>1</sup> [83]. The air temperature and relative humidity were monitored at both inlet and outlet conditions at increasing  
 829 air flow rate. The AHU treats the external air in order to obtain air streams of typical summer conditions of hot  
 830 and humid climates (T>31°C; RH>60%). The adsorption tests were carried out in the velocity range of 0.3-1.6  
 831 m s<sup>-1</sup>, corresponding to an air flow rate of 150-800 m<sup>3</sup>h<sup>-1</sup>. Each of the adsorption tests was preceded by a  
 832 regeneration of the zeolite coating, flowing hot water in the range of 80-90°C, for a period of time higher than 30  
 833 minutes. Testing conditions are recapped in Annex B in the tables B1 and B2, for both the regeneration and  
 834 adsorption conditions of the inlet flow. Adsorption tests were carried out for more than 80 minutes, but in most  
 835 of the case the dehumidification is not effective after only 30 minutes, as shown in the left graph of Figure 3.11,  
 836 where all the dehumidification results are collected over the functioning time. The graph on the right shows  
 837 instead the effect of the increase of air flow rate on the  $\Delta x$ , focusing on three different tests, respectively the #2,  
 838 #12, #22, with similar inlet conditions (31-33°C and 65-75%) and an air flow rate of 210, 405 and 685 m<sup>3</sup> h<sup>-1</sup>.

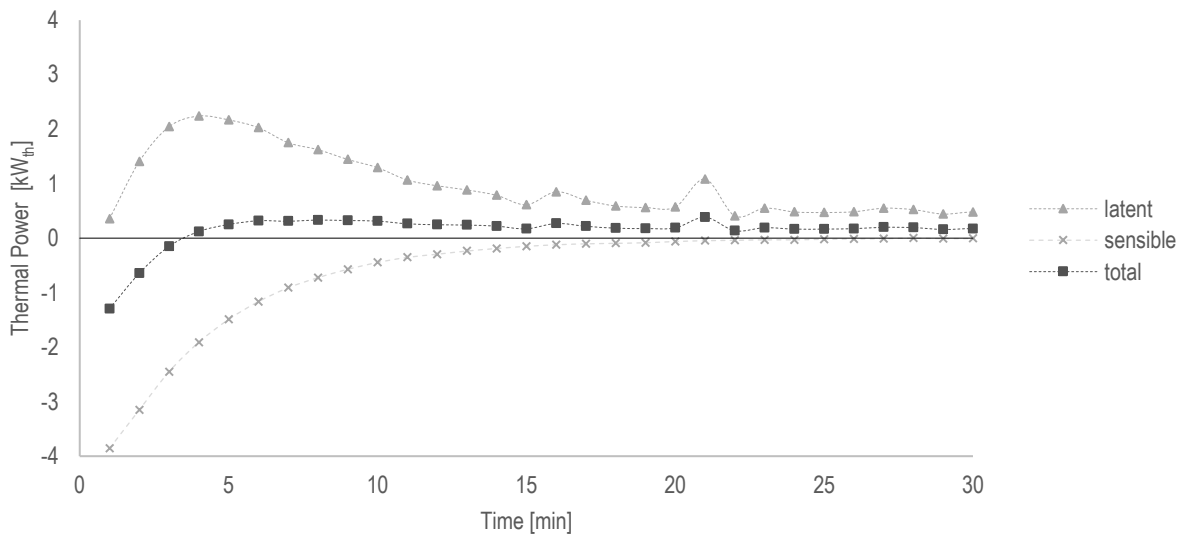


839  
 840 **Figure 3.11:** On the left the collection of all the dehumidification tests from #2-24. On the right only three tests have been selected #2,  
 841 #12, #22, comparing the effect of stream velocity on the  $\Delta x$  and total adsorbed water. The selection has similar inlet conditions.

842 In general from both the two graphs is evident the typical shape of the adsorption transient, with three distinct  
 843 phases: *i*) a rapid increase for the first 5-8 minutes; *ii*) a peak plateau between 8-12; *iii*) the decreasing phases.  
 844 The first one is related to the presence of residual sensible heat from the previous regeneration. Indeed, the  
 845 material is still hot and the gradual cooling (from 80°C to values lower than 50°C) is resulting in the increase of

846 the dehumidification rate, as the equilibrium uptake is moving towards favorable temperatures. In the second  
 847 phase the benefits coming from the temperature reduction have reached the maximum potential, and the  
 848 competition with the rising heat generation from the adsorption process, stabilize the dehumidification rate.  
 849 Gradually the available sites for adsorption decreases, as the material saturation, reducing the dehumidification  
 850 rate and becoming negligible after 30 minutes. Increasing the air flow rate, increases the convective cooling  
 851 accelerating the first phase. Indeed, the peak time for the three curves shift progressively down at 8, 6 and 4  
 852 minutes after the begin of the test. At the same time, increasing the air velocity reduces the contact time, and  
 853 the total dehumidification realized through the fin (0.15cm) is shifted down. If this is true in specific terms, from  
 854 the total removed water mass the behaviour is the opposite. Indeed, on the bottom the three curves show as  
 855 increasing the total flow rate leads to faster total water removal, especially for the first step when the air velocity  
 856 is increased from 0.36 to 0.9 m s<sup>-1</sup>. The further increase of air velocity reduces the incremental benefits of  
 857 convective cooling, reducing the gain on the total removed water mass.

858 The analysis of thermal power exchanged between the air and the adsorption heat exchanger highlights the  
 859 relevant contribution of the heat balance once both the residual and the adsorption heat are considered. As  
 860 shown in Figure 3.12 during adsorption the two terms composing the total exchanged power, sensible and latent  
 861 components, having an opposite sign, are in competition. Despite the latent part reach peaks value of 2 kW, and  
 862 an average of 1 kW for the first 15 minutes, the increase of temperature, basically makes null this contribution  
 863 for the total cooling power.



864  
 865 **Figure 3.12.** Behaviour of the two components composing the total power exchanged between the air stream and the zeolite adsorption  
 866 heat exchanger. Data are related to the test #22, characterized by the highest value of  $P_{th}$  among the 24 tests carried out and reported  
 867 in the Annex B.

868 Thermal power in y axis of figure 3.12 is the composition of latent and sensible contributions to the thermal  
 869 power exchanged between the air and the ADS-HX, evaluated as in equation 3.7:

870

$$P_{th\Delta t} = \overline{\rho_a} \frac{Q_a}{3600} [c_p(T_{in} - T_{out}) + R(x_{in} - x_{out})] \quad [kW_{th}] \quad (3.7)$$

871 Where signs of the inlet/outlet temperature difference and moisture content difference drive the global sign of  
872 the exchanged power. According to equation 3.7, when outlet temperature is higher than inlet the sensible term  
873 is negative (and viceversa). Instead, the latent part is positive during dehumidification and negative during  
874 regeneration. During dehumidification (latent positive), the heat generated through adsorption increases the  
875 temperature of the air (sensible is negative). Further on, being just finished the regeneration, the sorbent has  
876 higher temperature than air (residual heat), increasing the negative contribution to the sensible part. During the  
877 first 5 minutes the total thermal power exchanged between air and the ADS-HX is slightly negative. The  
878 stabilization of the sorbent temperature reduces contribution of the residual heat, and after 5 minutes the  
879 exchanged power is slightly positive (meaning cooling).

880 These experiments shown that rejection of heat during adsorption phase is a mandatory step to have effective  
881 contribution on the air treatment for ventilation, as explained in the successive paragraph.

### 882 3.3.3 Direct dehumidification and cooling

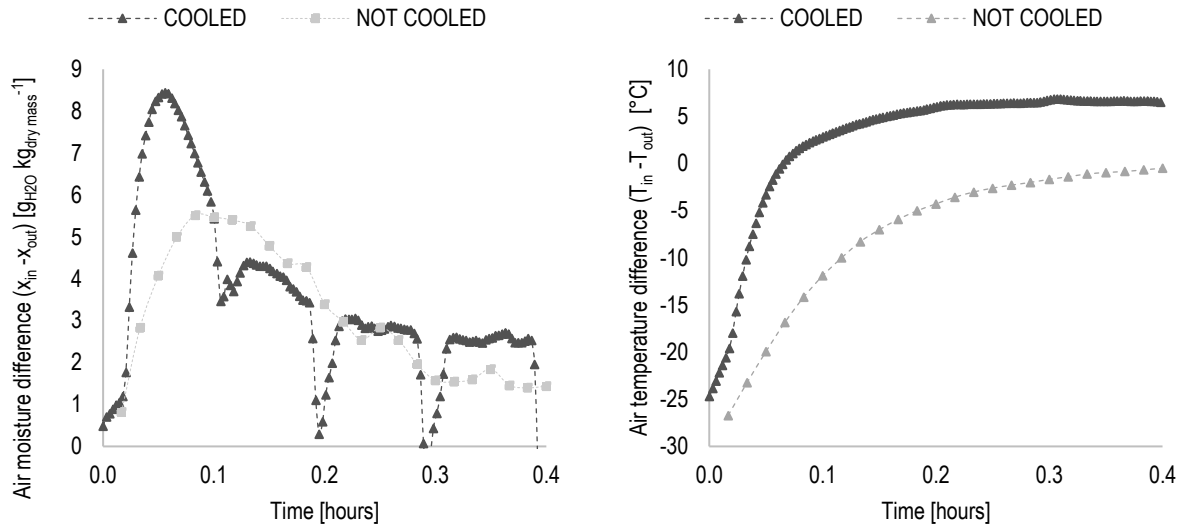
883 Testing procedure was modified to introduce the possibility of rejecting the heat during the adsorption phase,  
884 obtaining results that can be compared with the previous experience. The switching between the two water  
885 loops, hot and cold, is done by operating paired valves as mentioned in the setup description. The operations  
886 were performed with the temporal order as listed in the following bullet points:

- 887 • AHU inverter is regulated adjusting fan speed in order to provide the required air flow rate, as the  
888 previous tests;
- 889 • The adsorption phase begins, air flows through channel of the adsorption heat exchanger, and cold  
890 water from the network flows through the coil.
- 891 • After a priori determined time the operational is switched to regeneration. The electric valves V1 and V2  
892 switches modifying the water circulation. Now hot water is flowing from the boiler trough the coils.
- 893 • This stage continues until the quasi-complete regeneration is obtained.

894 The comparative procedure was carried out also for the silica gel configuration. During the entire transient, air  
895 temperature ( $T_a$ ), relative humidity (RH), water temperature ( $T_w$ ), air flow rate ( $Q_a$ ) and water flow rate ( $m_w$ ) have  
896 been constantly measured at the inlet and outlet and logged with the architecture described before.

897 Figure 3.13 compares two tests on the SAPO34 ADS-HX, highlighting the difference between a not cooled and  
898 a cooled adsorption phase in terms of dehumidification and temperature difference. The reference inlet  
899 conditions are an air flow rate of  $\sim 320 \text{ m}^3\text{h}^{-1}$  at  $31.9^\circ\text{C}$  and 69%, while the cooling temperature  $\sim 18^\circ\text{C}$ . The  
900 behaviour during the transient didn't change the intrinsic shape but modified the absolute values. During the  
901 initial transient ( $t < 10\text{min}$ ) the heat rejection operated the highest benefits, anticipating the peak and intensifying  
902 the tilt of the  $\Delta x$  increment.





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904

**Figure 3.13:** Comparison of two operational adsorption mode on the SAPO34-ADS HX: cooled adsorption versus adiabatic adsorption.

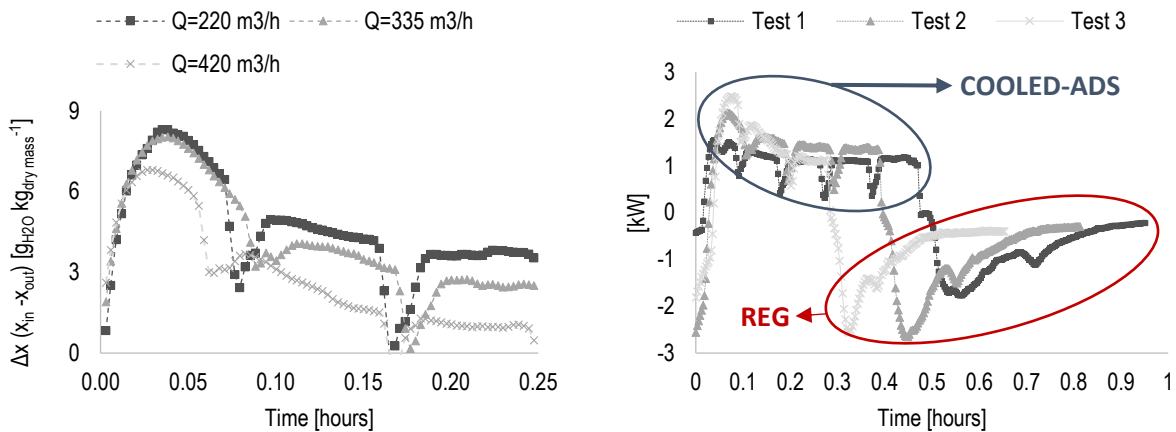
905

On the left the graph compares the transient over time of the difference of air moisture content between inlet and outlet (positive values mean dehumidification).

906

On the right the graph compares the transient over time of the air temperature difference between inlet and outlet (positive values means air sensible cooling, while positive values mean air sensible cooling).

907



908

909

**Figure 3.14:** Effects of the air flow rate increase on air dehumidification (left) during cooled adsorption tests, Cool-1, Cool-2 and Cool3.

910

On the right the thermal power exchanged between the air and the SAPO34 ADS-HX during both the adsorption and regeneration of the three tests.

911

Test #		Time	Q	T <sub>a in</sub>	RH <sub>in</sub>	T <sub>w</sub>
	-	min	m <sup>3</sup> h <sup>-1</sup>	°C	%	°C
Cool-1	ads	27	196.2	31.9	68.4	18.1
	reg	29	61.4	28.7	48	61.5
Cool-2	ads	23	331.1	30.3	62	18.6
	reg	25	67	29.7	30.5	63.1
Cool-3	ads	15	412.7	30	58.3	20.5
	reg	22	83	29.8	27.4	62.7

912

**Table 3.6**

913

Maximum value increased of 55% and obtained in half of the initial time, further higher Δx are also obtained in

914

the stabilization phase occurring after 15 minutes after the begin of the adsorption test. The most important

915 aspect is also that this time the air temperature is reducing, as showed in the graph on the right of the Figure  
 916 13, with a reduction of the outlet temperature in respect to inlet stabilized at 5°C. Realizing directly and at the  
 917 same time a dehumidification of 6-9 g/kg and sensible cooling of the air, with a temperature difference of only 5  
 918 °C, instead of minimum of 15°C as required in the case of a typical dew condensation, with the same  
 919 dehumidification rate. As done before, the influence of air flow rate has been investigated in terms of both  
 920 dehumidification and absorbed thermal power. This time the inlet humidity was reduced to an average value  
 921 between 15-20 g kg<sup>-1</sup> (Table 3.6) to avoid the risk of an eventual condensation, invalidating the experiments.  
 922 Increasing air flow rate among the three tests cool-1, 2 and 3 and similar air inlet conditions resulted in a  
 923 reduction of the air dehumidification curve (Figure 3.14) similar to what observed in the previous paragraph.  
 924 Maximum value is reduced from more than 9 to 6.5 g/kg, and an increase of the slope of the curve, as seen in  
 925 Figure 3.14. As before, the higher air stream velocities on the coil, increase the number of interactions between  
 926 water vapor molecules and the sorbent, leading to a faster saturation of the material and then to a progressive  
 927 reduction of the duration of the adsorption phase, from 30 to around 20 minutes. These behaviours are depicted  
 928 and summarized in the graph on the right of Figure 3.14, in which the total thermal power (latent plus sensible)  
 929 exchanged between air and the adsorption heat exchanger is showed.

### 930 3.3.4 Comparison between the packed bed and coated coil configuration

931 Basing on the same approach and testing methodology of the previous paragraph, in this section a comparison  
 932 between the two configurations, SiO<sub>2</sub> packed bed and SAPO34 coating is performed using the same  
 933 performance indicators, Δx, ΔT and exchanged thermal power, with an inlet stream as reported in Table 3.6.  
 934 Despite there's a slight difference in terms of inlet temperature, vapor pressure were similar as the inlet moisture  
 935 content and the dew point, around 3250 Pa, 16 g kg<sup>-1</sup> and 22°C. Adsorption was carried out cooling down the  
 936 coil with at 18-19°C, while the average inlet temperature during regeneration was 61-62°C, in both cases.

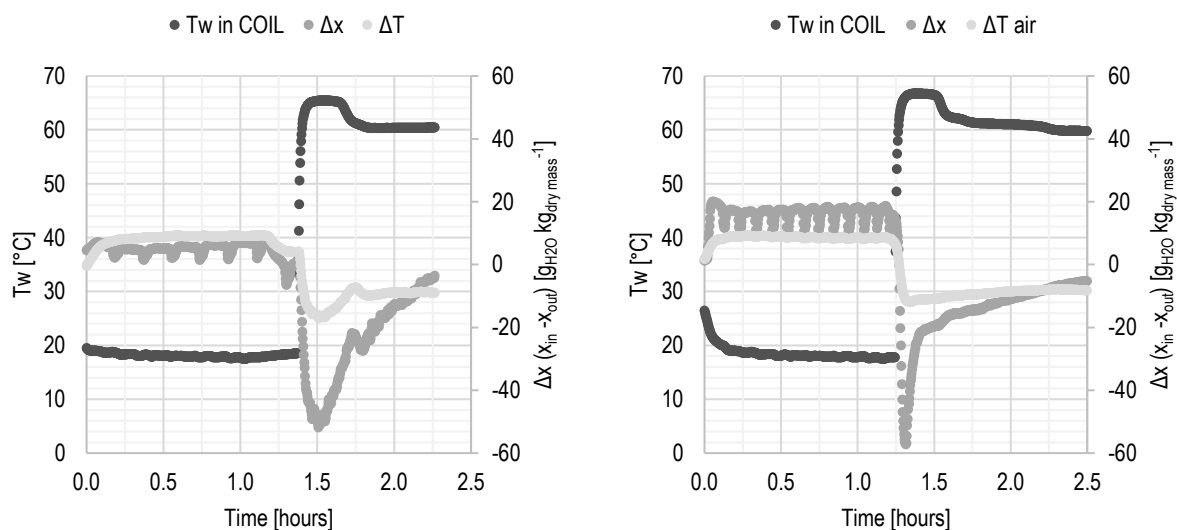
	$T_{a\text{in}}$	$RH_{\text{in}}$	$x_{\text{in}}$	$T_{\text{dew}}$	$T_{w\text{inADS}}$	$T_{w\text{inREG}}$	$\dot{Q}_{a\text{ADS}}$	$\dot{Q}_{a\text{RIG}}$	$\dot{m}_{w\text{ADS}}$	$\dot{m}_{w\text{RIG}}$
	°C	%	g kg <sup>-1</sup>	°C	°C	°C	m <sup>3</sup> h <sup>-1</sup>	m <sup>3</sup> h <sup>-1</sup>	L min <sup>-1</sup>	L min <sup>-1</sup>
Zeo	31.9	68.4	19.6	25.4	18.1	61.5	195.9	52.9	21.7	1.0
SiO	36.3	56.1	20.6	26.2	18.5	61.8	152.3	147.1	22.3	2.6

937 **Table 3.6:** Average inlet condition of air and water flows during both the two tests.

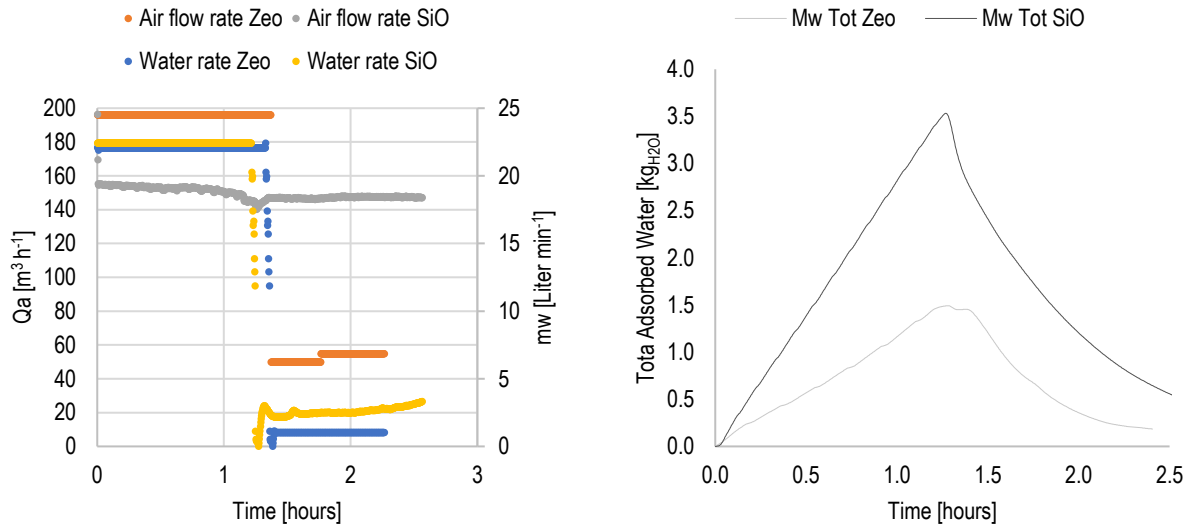
938 In Figure 3.15 are reported results of both the two configurations, respectively the zeolite on the left and silica  
 939 on the right, together with the inlet water temperature  $T_{w\text{in}}$  for the entire adsorption and regeneration cycle.  
 940 As result of the different design concept (packed bed versus coating) one large difference is on the water and  
 941 air flowrates, in particular during the regeneration. Anyhow, in these systems the conditions for the adsorption  
 942 and regeneration are indeed more dependent from temperature values than air velocity. The function of the air  
 943 flow is reduced with respect to the adiabatic case: it provides the sufficient ventilation for the mechanical removal  
 944 of released water vapor during the regeneration; during the adsorption phases it provides water vapor for the

945 sorbent. In the zeolite case, the contact surfaces between air/sorbent and sorbent/thermal support are basically  
 946 equal. During the regeneration the heat for desorption is directly transferred to the sorbent mean from hot water  
 947 through the metallic media (the same is for the removal of adsorption heat). The most important consequence  
 948 of that is the possibility to operate the two stages, the one adsorbing and the one desorbing water, at very  
 949 different air flow rates, preserving anyway the water removal rate, and obtaining similar time durations for both  
 950 adsorption and regeneration. For example, measured air flow rate for the coating case (reported on left graph  
 951 of Figure 3.16) was respectively 196 and 41 m<sup>3</sup> h<sup>-1</sup> during adsorption/regeneration.

952 For the packed bed configuration, the sorbent/thermal support contact is many times lower than the air/sorbent  
 953 contact area, and the mechanism for the regeneration is different. Indeed, the thermal transfer is still mainly  
 954 provided firstly through the convective transfer between the air and the fin, and successively between the air  
 955 and the sorbent. This means that during the regeneration stage, an eventual reduction of the air flow will reduce  
 956 the total amount of heat provided to the sorbent, and the regeneration will be slower. Eventually the process is  
 957 still inherently iso-enthalpic, but the concomitant drop or increment of temperature due to the exo/endermic  
 958 behaviour of the transformation is continuously counter balanced with the fin thermal exchange, following the  
 959 behaviour of a typical inter refrigerated or heated transformation. Hence, for packed bed configuration, a similar  
 960 timing between the adsorption and regeneration stage (see the graph on the right of Figure 3.15) is achievable  
 961 only providing an average constant air flow rate during both phases, respectively 152 and 147 m<sup>3</sup>h<sup>-1</sup>.



962  
 963 **Figure 3.15:** A complete Adsorption/Regeneration cycle thermally drive by cold/water circulation. On the left the cycle performed on  
 964 the zeolite configuration, on the right the cycle performed on the silica configuration. Temperature of the water at the inlet of the coil,  
 965 the difference in terms of moisture content between the inlet and outlet, and the air temperature difference between inlet and outlet  
 966 are depicted to show physical transformation on air. The first half part is the adsorption phase; the second part is the regeneration  
 967 phase.



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**Figure 3.16** On the left the air and water flow rate on the silica and zeolite adsorption heat exchanger. On the right the evaluation of the total adsorbed mass through an entire cycle of adsorption/regeneration on both configuration, based on the balance of the inlet/outlet thermo-hygrometric conditions and the respective air flow rate.

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A slight difference occurred also on water circulation during regeneration. Indeed, the fin length in the zeolite configuration is higher, being 15 cm against 10 cm. Water pressure drops measurements provided by the producer are 0.48 and 0.33 kPa, with a water velocity of 0.18 m s<sup>-1</sup>. If during the adsorption, the cold water flow provided by the water network is not affected by the different pressure drops (a constant value of 22 L min<sup>-1</sup>), during regeneration the fixed power of the water pump resulted in a reduction of water circulation when the circuit is switched from the silica finned coil to the zeolite one (2.6 against 1 L min<sup>-1</sup>). The behaviour of  $\Delta x$ , as showed in Figure 3.15, is disturbed by the management of the humidifier that, to preserve a constant salinity to avoid the fouling of the steam generator, drives washing cycle of the water tank approximately every 10 minutes, reducing the total amount of produced steam, and then the inlet moisture content. In the zeolite configuration the outlet moisture content changes more rapidly in accordance with the inlet disturbance respect of the packed bed, where the bigger amount of sorbent mass creates a buffer for any type of inlet oscillation. As a result,  $\Delta x$  perturbations in the packed bed are more intense than in the other configuration. Anyway, despite the disturbance, the average  $\Delta x$  was pretty constant during the entire adsorption phase, with a mean value of 6-7 g kg<sup>-1</sup> for the zeolite and around 16 g kg<sup>-1</sup> for the silica, while the average  $\Delta T$  was respectively 8.2 and 8.4 °C, for 82 and 74 minutes.

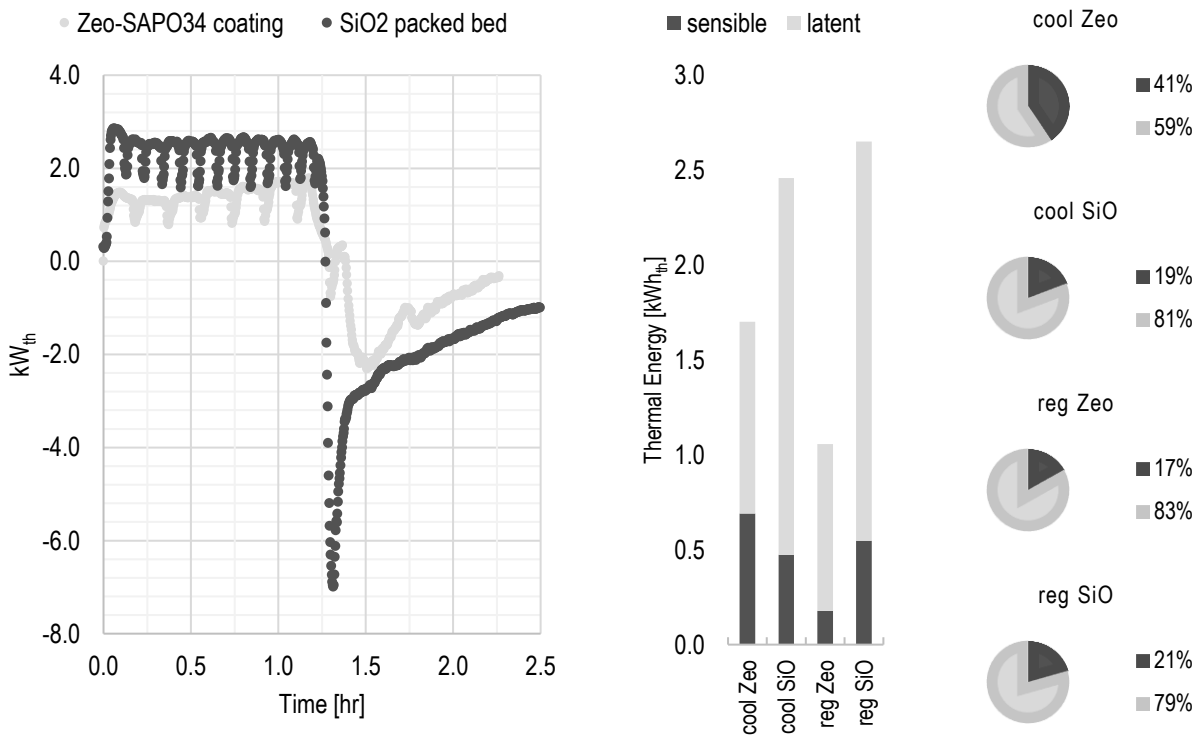
During regeneration the  $\Delta x$  has a different transient:  $\Delta x$  value becomes negative being the mass transfer inverted (from the sorbent to air) and the outlet moisture higher than inlet. At the same way the temperature difference follows this behaviour. Just after the thermal switch,  $\Delta x$  reaches rapidly a very high peak, -51 and -57 g kg<sup>-1</sup> respectively for zeolite and silica, reducing progressively as the reduction of the water mass contained in the sorbent (as showed in the right graph of Figure 3.16). The value becomes negligible approximately after 62 and 79 minutes from the beginning of the regeneration.

993 The estimation of the water adsorbed mass shows that the mass transfer phenomena on the packed bed  
 994 configurations are much higher than on the coated coil configuration. At the end of the adsorption stage the total  
 995 adsorbed mass was 3.5 kg, 2.3 times higher than the case with zeolite. Further, despite we considered the test  
 996 finished after 2.5 hours, because the  $\Delta x$  was negligible, in reality the residual water mass at this time shows as  
 997 a certain amount of water is still contained in the porosity of the material, precisely 0.5 kg and 0.25 kg for the  
 998 silica and the zeolite respectively.

999 It is interesting to analyze and compare performances for the two configurations also in terms of total cooling  
 1000 power realized on air, the total absorbed power for regeneration, and coefficients of performance.

1001 In Figure 17 is reported the instantaneous value of thermal power exchanged between the air and the adsorption  
 1002 heat exchanger.

1003 The disturbance generated by the humidifier on the air stream at the input of both adsorption heat exchangers  
 1004 is clearly visible also on the estimated power. Comparing the two configurations, as in the graph on the left,  
 1005 underlines the different power density of the two components. In the average during the phase of  
 1006 dehumidification and cooling the exchanged power was 2.4 kW for the packed bed, 1.9 times higher than the  
 1007 other configuration, with a similar ratio observed during the regeneration phase. Decoupling the sensible part  
 1008 from the latent one is possible by splitting the  $\Delta T$  from the  $\Delta x$  proportional items. Clearly these are mostly  
 1009 devoted to work on the latent part, as showed in the graph on the right where the total delivered cooling and  
 1010 absorbed energy, and the relative distribution has been estimated computing the integral over the respective  
 1011 periods.



1012 Figure 3.17. Thermal power and exchanged between air and the adsorption heat exchanger during the two tests.

1013 In the packed bed configuration, the sensible part is 19-21% of total in both working modes, adsorption and  
 1014 regeneration. In the other instead, is evident an influence of the reduction of air flow rate, reducing the sensible  
 1015 part from 41% during the adsorption, to 17 in regeneration mode. This behaviour should be considered, in  
 1016 general, as an improvement and a good rule for control strategy. Indeed, if during the adsorption the sensible  
 1017 cooling is positive because provides delivery condition to the indoor environment at lower temperature, for the  
 1018 regeneration is the opposite. The sensible part in this case is just heat absorbed from the primary driving source,  
 1019 and then irreversibly rejected to the external environment. The lower it is, the better the efficiency would be.  
 1020 The performance analysis needs to consider also specific performance indicators, such as the Energy Efficiency  
 1021 Ratio (EER) for the electrical point of view, and the Coefficient Of Performances ( $COP_{th}$ ) for the thermal point of  
 1022 view. This evaluation has been done on the energy and not on power, because the different thermal and  
 1023 electrical flows are not simultaneous for both adsorption and regeneration. EER considers the entire cooling  
 1024 energy delivered during ADS, and the entire electrical energy in both ADS and REG, as:

$$1025 \quad EER = \frac{\Delta t \sum_{ADS} P_{th}}{\Delta t \sum_{ADS} P_{elPUMP} + \Delta t \sum_{REG} P_{elPUMP} + \Delta t \sum_{REG} P_{elFAN} + \Delta t \sum_{REG} P_{elFAN}} \quad (3.14)$$

$$1026 \quad COP_{th} = \frac{\int_{ADS_{in}}^{ADS_{fin}} (\dot{m}_a * R * (x_{inlet} - x_{outlet})) dt}{\int_{REG_{in}}^{REG_{fin}} (\dot{m}_w * c_{pw} * (T_{winlet} - T_{woutlet})) dt} \quad (3.14a)$$

1027 Electrical power for water and air circulation were not directly measured. For the water pump was estimated  
 1028 considering, in a conservative way, the maximum power absorbed by the pump ( $P_{PUMP}=60W_{el}$ ) constant for the  
 1029 entire process. The estimation of the power for the air circulation can be more precise, starting from the  
 1030 measured value of air flow rate, and related pressure drops, validated respectively with the Darcy-Weisbach  
 1031 model (average  $f_D=0.29$ ) for the coating configuration, and the Ergun model for the packed bed.

$$1032 \quad P_{elFAN} = \frac{\dot{Q}_a \Delta P}{3600 \eta_1 \eta_2} \quad (3.15)$$

1033 Where the two efficiency considers respectively the electrical and transmission efficiency of the motor ( $\eta_1 =$   
 1034  $0.7$ ) and the mechanical conversion of pressure in motion ( $\eta_2 = 0.5$ ).

1035 The coating configuration, despite the lower power exchange, shows both higher EER (27 against 22) and COP  
 1036 than packed bed (1.6 against 1.2).

1037 Despite these values are very high, and very encouraging for the use of this type of system for the environmental  
 1038 control of the indoor volumes, a final consideration is needed about the free use of the "cold source". Indeed,  
 1039 this computation considers the heat rejection during the adsorption as free, and is not included both in the  
 1040 definition of EER and COP. There could be some cases in which this is true, such as the use of cold geothermal  
 1041 sources and/or efficient evaporative cooling systems. In this case the additional cost would be only on the water

1042 pumping that, at the end, will not change significantly final values. For all the other cases the necessity of a  
1043 refrigeration unit, based on the use of inverse cycle would be to be considered.

## 1044 **Conclusion**

1045 The experiments presented in this paper explored the feasibility of operating a fixed sorption bed for air  
1046 dehumidification and cooling. Tested sorption beds are based on air/water finned coil heat exchanger, with two  
1047 sorbent configurations: coated with a surface thin layer of Zeolite SAPO-34; a packed bed filled with silica beads  
1048 of 3 mm. A flow of hot/cold water in the coil activates the two phases of non-adiabatic adsorption and  
1049 regeneration. Cold water at 18°C is used to refrigerate the adsorption process to combine a conjugated  
1050 dehumidification and cooling, providing a direct process that doesn't require to reach dew points temperatures.  
1051 This enables the use of chilled water at higher temperature than in standard air conditioning systems, increasing  
1052 the efficiency of cold sources.

1053 Regeneration is driven at relative low temperature and compatible with solar thermal systems at low  
1054 temperature.

1055 Different configurations offer different properties: a massive sorbent configuration gives higher latent cooling  
1056 power, but the increase of surface area for the mass transfer increases the power consumptions needed to  
1057 overcome air pressure drop through the ADS-HX. Conversely, a coated coil configuration is more responsive,  
1058 but reaches saturation conditions faster, requiring lower switching times between the regeneration and the  
1059 adsorption.

1060 In both cases, the power consumptions were substantially low if compared with the cooling power exchanged  
1061 with the process air stream and as a result the demonstrated Coefficient of Performances (electric) has reached  
1062 numbers as higher than 20

1063

## 4. ATMOSPHERIC WATER HARVESTING

1064

### Abstract

1065

The interesting outcome of regeneration tests reported in chapter 3, is the possibility to generate very high and consistent peaks of humidity, overcoming values of  $60 \text{ g kg}^{-1}$ , and dew points much higher than  $40^\circ\text{C}$ . The exploitation of this phenomenon led to the second investigated application: the Atmospheric Water Harvesting.

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The fundamental principle behind this application is that if the dew point of the hot and humid stream resulted from the regeneration is sufficiently higher than ambient temperature, considerable amount of water can be tapped out of the air without the use of any refrigeration system. This approach reduces drastically energy demand and can be a potential solution for regions with drought problems and in which the problem of access to freshwater resources is a limiting factor for the economic and social development.

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This study demonstrates the possibility of the effective use of the most spread renewable sources for water production: the water vapor atmosphere as primary source of water and the sun as primary source of energy.

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Keywords: AWG; thermal cycle; low regeneration temperature; liter scale prototype;

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1094



1095 Scientific contribution of the author on this topic is:  
1096  
1097 *"Water Production from the Atmosphere in Arid Climates using Low Grade Solar Heat"*  
1098 **Gentile, V.**, M. Simonetti, P. Finocchiaro, and G. V. Fracastoro (2017). Paper presented at the ISES Solar World  
1099 Congress 2017 - IEA SHC International Conference on Solar Heating and Cooling for Buildings and Industry  
1100 2017, Proceedings, pages 984-995.  
1101 doi:10.18086/swc.2017.17.02  
1102  
1103 "Method for production of water from air based on low-temperature heat, and machine and system thereof"  
1104 Gentile, V., Simonetti, M., Fracastoro, G.V. (2017). PCT Int Appl WO 2019/082000A1.  
1105

## 1106 4.1 Topic Introduction

1107 Water is the essential component for living organisms, society, agriculture and industrial processes. As a matter  
1108 of fact, the amount of water consumed per day by an individual can easily exceed 100 L in developed countries.  
1109 On the other hand water is the basic ingredient for production of essential consumed products, and its  
1110 exploitation is very intensive: 30 g of bread require as much as 40 L of water, taking into account the whole  
1111 production chain[129],[130]. Recent studies predict that the anticipated economic growth of developing countries  
1112 combined with the uncontrolled increase of population worldwide will exacerbate stress on the natural cycle of  
1113 water [131]. Indeed, we are currently intensively exploiting easily available surface and groundwater to satisfy  
1114 all our needs in freshwater [132]. This is evidently not a sustainable path to follow as it has already shown its limits  
1115 in the form of extreme drought situations accompanied with severe societal, economical, and political crises  
1116 across the world[133-135].

1117 To address the continuously growing water stress phenomenon throughout the world, research in academia and  
1118 industry has been investing large amounts of resources into new technologies for more efficient processes for  
1119 reclaimed water or desalination of seawater [136],[137]. Among various alternative technologies, membrane  
1120 separation is being considered as the most promising solution to provide large volumes of water on a daily basis  
1121 for humans and industry to respond to the forecasted water stress problem worldwide [138]. However, such a  
1122 method suffers from high operation costs, intensive use of fossil fuels, limited life time of components (fouling,  
1123 corrosion), and sustainability concerns related to concentrate disposal [139-142]. Moreover, the engineering  
1124 complexity and geographical requirements of desalination techniques make their deployment difficult in certain  
1125 regions of the world, such as dry climates and countries with no direct access to any surface or underground to  
1126 brackish or seawater.

1127 The atmosphere contains around 13,000 km<sup>3</sup> of freshwater, which is an order of magnitude higher than rivers  
1128 (most exploited source of fresh water by human societies) and this water is also a widely accessible resource in  
1129 all regions around the world [143]. Today this potential is under exploitation by a sector that is worldwide known  
1130 as Atmospheric Water Harvesting. AWH is clearly an interesting research field that opens the way for novel  
1131 science toward a sustainable environment.

1132 AWH takes place when the air temperature reaches the dew point, and atmospheric water vapor condenses into  
1133 liquid. In humid climates, this can be achieved spontaneously using passive systems such as fog nets. As an  
1134 exposed surface cools by radiating its heat to the sky, water vapor condenses at a rate greater than that of which  
1135 it can evaporate, resulting in the formation of water droplets. The phenomenon is favourable when the dew point  
1136 is close to ambient temperature, and mostly observable on thin, flat, exposed objects including plant leaves and  
1137 blades of grass. Research is investigating the effects on water harvesting performances at high humidity  
1138 (RH>85%), optimizing both the wettability of materials and meshing patterns of the condensing substrate [144-

1139 146]. This investigation area takes inspiration from the natural dewing happening over numerous plants and  
1140 animals, exploiting textural and chemical features on their surfaces to harvest this precious resource [147-152].  
1141 Despite the limited operative conditions for this passive system reduce its feasibility mostly to humid and  
1142 mountain regions, applications demonstrated productivity of the order of 1-2 L/day per meter square of the net  
1143 [153-155]. For less humid climates the approach is different and requires active systems based on refrigeration  
1144 or desiccant materials. Active cooling based on mechanical vapor-compression refrigeration is an effective  
1145 solution already exploited at commercial level. This solution becomes power intensive at higher temperatures,  
1146 and especially in arid climates with low vapor pressure. The energy consumption indeed is proportional to the  
1147 difference between ambient temperature and dew point [156]. Typical energy consumptions are in between  
1148 0.33-0.5 kWh<sub>el</sub> L<sup>-1</sup>, and most of the cost per unit of produced water are attributed to energy consumption than  
1149 capital costs [156-158].

1150 The use of conventional desiccant materials such as silica gel, which have discrete water storage capacity in  
1151 most climate conditions is a promising approach for efficient atmospheric water harvesting. The regeneration of  
1152 solid desiccants is typically done with heat, under which the ambient vapor pressure increases, as well as the  
1153 dew point, and creates a spontaneous condensing environment for water. Most of the research carried out in  
1154 past years, but especially in the last ones, focused the efforts on the improvement of sorbent uptake developing  
1155 innovative materials and composites.

1156 Metal-organic frameworks (MOFs) [159],[160] are an example of this research branch, that have demonstrated  
1157 gram-scale water harvesting capabilities in the laboratory and the field. These organic and inorganic materials  
1158 present several advantages in terms of water uptake capacity and atmospheric vapor pressure condition. In  
1159 particular, MOFs offer the possibility to be synthesized with a desired molecular structure for specific adsorption  
1160 characteristics with more or less stressed s-shape in the adsorption isotherm curves (types IV and V) [161], giving  
1161 a competitive advantage in very dry climates (negative dew points).

1162 Other explored solutions consist in the use of composite hydrogels [162-164], or in alternative the addition of  
1163 conventional sorbents (MCM, active carbon, silica gel, synthetic zeolites) with hygroscopic salts [165-169].  
1164 Despite all this activity are boosting the research on this topic it's hard to find the perfect combination that  
1165 satisfies all the requirements for a real application. Although materials such as MOFs or hygroscopic salts have  
1166 higher moisture uptake capacities than silica gel [52],[170],[171], they generally require much higher regeneration  
1167 temperatures (around 100°C and above), they can be corrosive, and present risks of toxicity for the human body  
1168 [161]. Similar concerns are about the use of hydrogels based on polymeric compounds that, up today, didn't  
1169 demonstrate stable and safe water production over thousands of cycles as required for a real and feasible  
1170 application.

1171 Other major concerns that need to be addressed in this area include the energetic consumption of an AWH  
1172 (Atmospheric Water Harvesting) device, and the overall capital costs of the technology [172]. Obviously, most

1173 of the experienced solutions use solar energy for the regeneration of the material, but being mostly focused on  
1174 demonstrating material potentials, the final prototype development presented some lacks affecting the correct  
1175 and effective exploitation of the solar source.

1176 In some of these studies solar radiation is directly used to heat the sorbent up to regeneration temperature. The  
1177 limitation in this case is that an extensive water production will need a proportional surfaces extension of the  
1178 device and secondly the copresence of two opposite phenomenon, regeneration and condensation, in the same  
1179 location results in a not effective exploitation of the maximum potential of both processes. Most of the time  
1180 regeneration temperature is higher than 90°C, requiring the indispensable use of concentration lens, reducing  
1181 the overall efficiency of the system. In alternative an electric resistor, powered with photovoltaic panels, can be  
1182 used to overcome the thermodynamic constraints on regeneration temperature. If this can be a practical solution  
1183 to solve the problem, at the same time it is costing from the energetic point of view: PV technologies converts  
1184 solar energy in the used form of power, and then into heat, with an efficiency of ~10-18% (commercial  
1185 technologies). The same process done with a solar thermal technology (typical flat or evacuated tube solar  
1186 collectors) has an overall efficiency ~50-70%, depending on the operative conditions. In the end the PV approach  
1187 would require a more extended surface to produce an equivalent heat for the regeneration of the material, with  
1188 potential issues on the capital cost increase and dimensional constraints.

1189 Finally, most of concerns encountered in a real field application are about the condensation stage of the humid  
1190 stream produced from the regeneration. High ambient temperatures reduce the effectiveness especially when a  
1191 total passive approach is applied. On the contrary employing an active cooling to overcome, again, a  
1192 temperature issue, will result in the rise of total energetic costs per produced litre of water.

1193 An important part of this research is based on these premises, with the goal of designing an efficient process,  
1194 one that optimizes the energy cost of produced water, maintains the temperatures levels compatible with the  
1195 effective exploitation of both atmospheric water and solar energy. The following bullet points defined the  
1196 guidelines of the research path:

- 1197 • low regeneration temperatures compatible with the thermal conversion of solar radiation
- 1198 • addressing the issue of condensing at ambient temperature
- 1199 • reducing as much as possible the use of electric power
- 1200 • compatibility of involved materials with health constraints

1201 The next paragraphs will investigate on a global assessment to understand the potential available source for  
1202 water harvesting and the definition of a good practice cycle. This is demonstrated with testing in the lab a water  
1203 harvesting prototype using inexpensive silica gel. Based on experimental results, several litres can be produced  
1204 in one day-night cycle, based on the energy consumption of a single solar thermal collector. The lab environment  
1205 reproduced the conditions of hot and arid climates (dew point below 10°C and ambient temperature above 30°C)  
1206 and demonstrated that water can be extracted from silica gel at a regeneration temperature of 57°C and

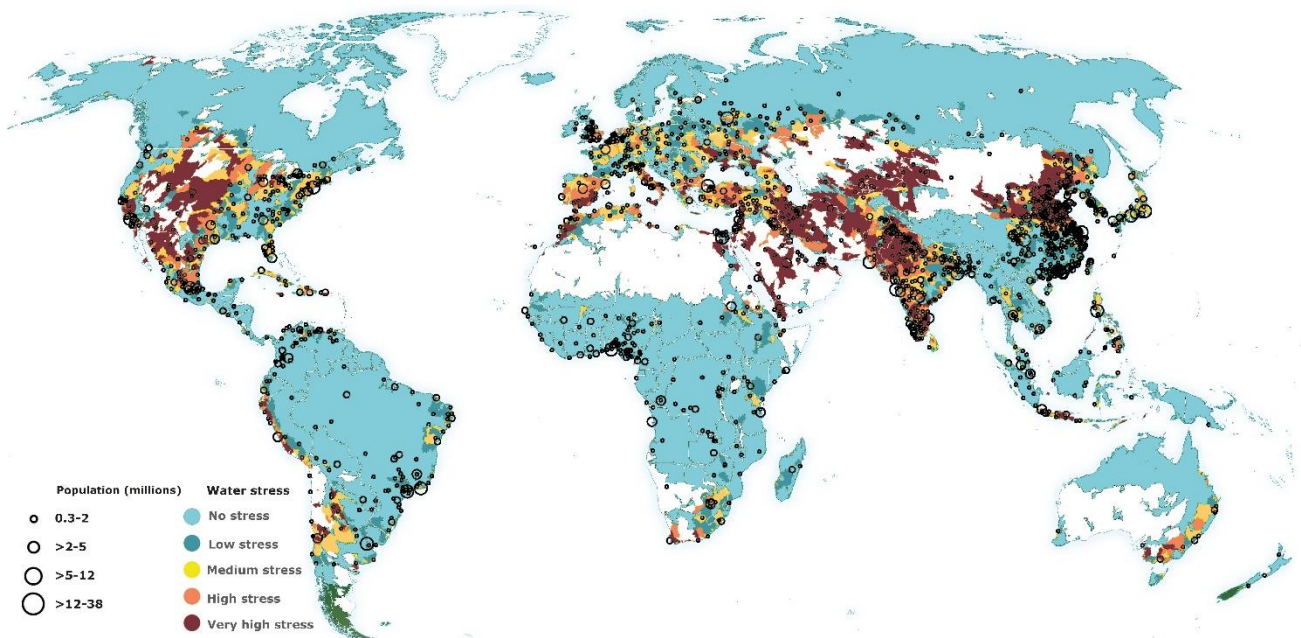
1207 condensed at ambient temperature with a global maximum efficiency higher than what reported in by available  
1208 literature.

#### 1209 **4.2 Global assessment of atmospheric water**

1210 Despite current global withdrawals are below the total amount of water circulated through the natural hydrological  
1211 cycle [143][173-175], water-stressed regions across the world increased in the last decades and affected more  
1212 than 2 billion of people [129][176][131]. Projections forecast a worsening picture of the globe that will induced  
1213 mainly by the unequal distribution of renewable freshwater resources (RWS) across the world, for physical and  
1214 economic factors [176]. Indeed, at global level, theoretical total renewable freshwater resources generated  
1215 through the complete hydrological cycle, every year, accounts for more than  $4.5 \cdot 10^4 \text{ km}^3$  per year, much higher  
1216 than actual human withdrawals ( $3810 \text{ km}^3/\text{year}$  of which  $\sim 10\%$  used in dwellings,  $70\%$  for agriculture, and  $20\%$   
1217 in industry) [143]. In the reality the use of renewable water sources to satisfy human withdrawals is much lower  
1218 than maximum potential for multiple reasons. The fluctuation over the year of RWS availability due to the climatic  
1219 variation is consistent, daily and seasonal flow variations can be on the order 2-3 times [143][173-175].  
1220 Moreover, lake, rivers or other surface water streams have a very sparse distribution that is strongly limited by  
1221 the geography and climatic conditions of regions. Eventually, even in presence of great surface water sources,  
1222 the entire potential reduces especially when upper streams affect downstream withdrawals due to water pollution  
1223 and very high consumptive uses. Last consideration regards the entire ecosystem, the RWS potential cannot be  
1224 entirely devoted for human uses, being the only sources for the rest of the ecosystem.

1225 Alternative options to RWS are the exploitation of underground basins, desalination and water trade. Among  
1226 them today the first approach is to dig deeper, exploiting underground reservoirs. For these type of water  
1227 sources, the typical recharging rate are quite slow, with mean residential time order of  $10^2$ - $10^3$  years. This is why  
1228 usually they are called Fossil Water Sources (FWS) [143]. As second, desalination is generally suitable for  
1229 places when instead of fresh aquifers, seawater or brackish sources are available at the surface or underground  
1230 level. At the end of 2015 more than 18000 desalination plants were installed around the world,  $44\%$  in the MENA  
1231 area, for a production capacity of  $86.55 \text{ million m}^3\text{day}^{-1}$  [177], with an energy costs that goes from  $2$ - $3 \text{ kWh m}^{-3}$  up  
1232 to  $80 \text{ kWh m}^{-3}$ , depending by the type of source (brackish or seawater) and technology (multistage flash, multiple  
1233 effect distillation, membrane reverse osmosis and the hybrid combination of all of them) [178]. Water trade is a  
1234 third approach and mainly adopted for drinking water. The long-distance connection between source and users  
1235 is typically built on car/truck transportation [143][173-175]. When transferred water units crosses regions and  
1236 country, this water trades are considered as importation/exportation of water from foreign countries or in a real  
1237 form (physical transportation of water by truck) or virtual (transportation and trade of food and goods is  
1238 considered as a virtual importation of the water needed for their production [131][179][175]).

1239 Over all these bricks (RWS, underground, desalination, water trade) is built the water distribution infrastructure  
 1240 of nations and their weakness, caused by a defective reliability or source availability, can easily generate a water  
 1241 stress condition for people living in that regions. Among years engineers used an index identifying the overall  
 1242 stress on the natural water cycle, exacerbated by human activities at regional and local level. This is typically  
 1243 evaluated as the ratio between withdrawals (excluded the provision from recycled and desalination plants) and  
 1244 the RWS per year. This type of index, called Water Stress Indicator (WSI) is useful not only to have a current  
 1245 picture of the global situation as in Figure 4.1, but also to quantify and estimates effects and impacts of water  
 1246 policy makers, or to understand aspects related to climate change [179-181]. In Figure 4.1 the WSI was  
 1247 evaluated using the withdrawals of 2010, against available RWS between 1950-2008. High stress values  
 1248 indicate an increasing inability over time and at regional level to meet the rising water demand with existent  
 1249 supplies. Within this inability reasons are multiple and not strictly related to climatic drought conditions rather  
 1250 than extensive use of water resource. Indeed, if the chronic sufferance of MENA regions and the Asiatic inner  
 1251 land is mostly associated with the climatic and geographic severity, the rising stress of southern Europe and  
 1252 USA west coast, is mostly the consequence of the intensive use in agriculture, industry and civil use  
 1253 [129],[131],[143],[173-176],[179-181]. Evidence of these condition are the statistics about drought event,  
 1254 worldwide spread, and most of the time associated to heat wave, worsening of climatic conditions, and human  
 1255 pressure on the environment [135,182-186]The continuous research towards alternative water supply is a good  
 1256 approach to increase the human society resilience towards the worsening problem of water.



1257

1258 **Figure 4.1:** Worldwide spatial distribution of the Water Stress Index [179][180][181]. WSI measures total annual withdrawals as  
 1259 percentage of the total freshwater resources, at surface and underground level (also called 'blue water'). This map shows the WSI  
 1260 distribution using water withdrawals of 2010, over the mean available blue water in the period of 1950-2008.

1261 Different scientific papers report the total volume of freshwater contained in the atmosphere close to 13,000 km<sup>3</sup>.  
1262 The comparison with other freshwater sources suggests that atmospheric water vapor as the potential to be an  
1263 alternative freshwater source, at least in terms of global volume: 2120 km<sup>3</sup> flow through rivers, 1650 km<sup>3</sup> are  
1264 contained in the soil, and 91000 km<sup>3</sup> belong to fresh lakes [143]. More difficult is to state similar conclusions at  
1265 regional level. The availability of water vapor is enough to replace the lack generated by the absence of  
1266 conventional sources<sup>i</sup>? And the use of air moisture, can be comparable to other sources in terms of cost and  
1267 energy intensity?

1268 Responding to these questions is not simple and require a deep knowledge of local dynamics and climate  
1269 characteristics. The keystone is a deep and detailed knowledge of the spatial distribution of water vapor in the  
1270 atmosphere. Indeed, despite the spatial distribution of this source is definitely wider than any other conventional  
1271 sources, vapor concentration over earth surface is inhomogeneous and influenced by seasonal turnover.  
1272 Sparsity of vapor concentration is always dependent by temperature distribution and strongly linked to  
1273 atmospheric convective movements and solar radiation distribution. Complexity of the problem makes very hard  
1274 to make a theoretical estimation, requiring a holistic approach to obtain accurate results. Anyway, extensive  
1275 measurements have been carried out in the last decades, recurring to extra-terrestrial remote sensing approach  
1276 to measure atmospheric variability in terms of dynamics and aerosol concentrations, included the moisture  
1277 distribution. Main research project were: *i*) APO Smithsonian institute[187]; *ii*) ERA-Interim Reanalysis ERA-  
1278 Interim is the latest global atmospheric reanalysis product produced by the ECMWF[188]; *iii*) Aura Microwave  
1279 Limb Sounder[189-191]; *iv*) Earth Observation System with the two trains of monitoring called *Afternoon* and  
1280 *Morning* constellations.

1281 This last program provides very helpful info about the atmospheric distribution of water vapor. EOS is a NASA  
1282 program of dynamic observation of Earth surface and atmosphere. Among different satellites constituting the  
1283 observation train, two satellites named Terra (EOS-AM1 launched in 1999) and Aqua (EOS-PM1 launched in  
1284 2002) provide specific information for the estimation of water vapor distribution across the world: the column of  
1285 precipitable water vapor TPW. This value ranges between 0-6 cm, representing the equivalent height of a  
1286 normalized volume if all the water vapor contained through the normal column would be condensed.

1287 The two satellites are equipped with a scanning spectroradiometer, called MODIS (Moderate Resolution Imaging  
1288 Spectroradiometer) measuring the radiative response of the atmosphere, within 36 spectral bands: visible; near-  
1289 infrared (IR), and IR. The combination of a wide wavelength range (0.4-14.4µm), high spatial resolutions (1x1  
1290 km at nadir), and a quasi-daily global coverage, makes MODIS suitable for the continuous observation of earth's  
1291 atmosphere and surface, monitoring the relative changes [192][193]. Monitored spectrum data are elaborated  
1292 with different algorithm to retrieve the spatial distribution of typical atmospheric parameters (temperature,

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<sup>i</sup> This condition can be generated by multiple factors: no access to fresh rivers or lakes; no access to salt water sources; economic issues creates a barrier for the deployment of water infrastructure or for water trades or to build water treatment plants.

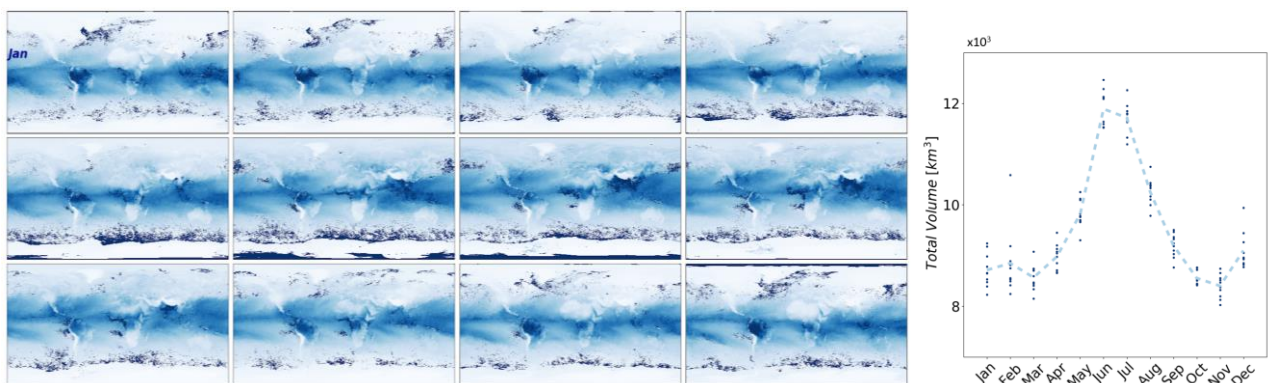
1293 moisture, surface skin, ozone, etc...). In particular, the computing scheme calculate first the radiative transfer,  
1294 with the algorithm PFAAST (Pressure-Layer Fast Algorithm for Atmospheric Transmittances [192]), then apply  
1295 a statistical regression, based on field measurements at surface level, to link the observed/modelled radiances  
1296 with corresponding atmospheric profiles. This leads to the retrieval of different atmospheric physical parameters  
1297 (temperature, vapor pressure, aerosol, dust, ozone, etc...), in particular the elaboration of radiative response at  
1298 the band interval 890-965nm and 1.36-7.48 $\mu$ m, gives the specific influence of water vapor on the emitted  
1299 radiation from earth, that is then aggregated within the TPW index [192],[193]. TPW estimations are provided  
1300 through product files MOD05\_L2 and MOD07\_L2 for Terra and MYD05\_L2 and MYD07\_L2 for Aqua, in which  
1301 the distinction 05 and 07 refers respectively to the above-mentioned wavelength bands. The accuracy of the  
1302 algorithm has been validated and improved considering parallel and parasitic phenomena such as aerosol  
1303 presence, surface emissivity problems and solar reflectance to guarantee reliability of measurements [194],[195].  
1304 Further on, the elaborating algorithm relies on observation of water vapor attenuation of reflected solar radiation  
1305 only in the near-IR MODIS channels, so that the product is produced only over areas where there is a reflective  
1306 surface in the near IR[192],[193],[195]. These data are public and can be downloaded from the MODIS portal  
1307 with a daily, weekly and monthly database covering the last 20 years[196],[197]. An example of TPW distribution  
1308 is elaborated with the map in Figure 4.2, obtained from the average data for the month of August 2019. The map  
1309 shows up a strong correlation between TPW and geographical position. In particular, the equatorial belt accounts  
1310 for the higher density of TPW values belonging to the upper band of 3-6 cm. This is the moister area of the  
1311 planet, where most of the precipitations are indeed occurring, on the contrary, regions of the sub/sup tropical  
1312 collect more data point in the lower band of 0-3 cm. This distribution result from the combined effect of solar  
1313 radiation distribution on the earth surface (higher intensity within the tropical area) and tropospheric convective  
1314 movements. The equatorial area collects winds generated from the backward movement of the Hadley cell, with  
1315 a convergence in proximity of the equatorial line: this area is called the Intertropical Convergence Zone (ICZ),  
1316 or the "*climatic equator*" [188]. During the converging movement tropospheric currents collect most of the  
1317 moisture from water surface evaporation and evapotranspiration from vegetation, concentrating the vapor in the  
1318 zone of low pressure of the Hadley cell (around the equator), where the ascensional currents move all the vapor  
1319 up to the tropopause [175],[189],[191]. The *climatic equator* is not fixed during the year, and the ICZ shifts along  
1320 the latitudinal direction crossing the geographical equator with a seasonal frequency. This movement is visible  
1321 from the graph on the right of Figure 4.2 where the TPW distribution is scattered along the geographical latitude,  
1322 for the average day of January and August of 2019. January has the peak of the latitudinal distribution shifted  
1323 up towards the north, occupying the area between the equator and the tropic of Cancer. On the contrary in  
1324 August the inversion movement shift down the area with higher TPW intensity, towards the tropic of Capricorn.  
1325 This variation is much more stressed in the central zone then in the sub-tropical and the temperate area [188].  
1326 [198]. [199]. Figure 4.3 gives a complete overview of the TPW distribution among one year, depicting local TPW



1327 value (monthly average) from January to December of 2019, giving a clear graphic of this typical seasonal  
 1328 movement. With this huge amount of available data, the evaluation of the cumulative value of TPW, and then of  
 1329 the total amount of water contained in the atmosphere, can be estimated integrating elements of the data array  
 1330 (3600x1800), excluding the damaged items, weighted over the global earth surface extension. This operation  
 1331 was computed over the available data between 2010-2019, resulting a range between 8000-12000 km<sup>3</sup> during  
 1332 the year as showed I the right of Figure 4.3. The wave shape is characterized by two peaks (an absolute  
 1333 maximum between July-August, and a relative one between December-January) and two valleys (with similar  
 1334 values and located between February-April and October-November). This analysis gives us an important  
 1335 information about when this resource is more or less abundant around the world. Clearly the focus has to be  
 1336 local, because as highlighted more recently by Chen et al.[188], and in the past by others [187][189] the  
 1337 fluctuation is mostly imputed to variations within the ICZ, while in the sub/sup tropical area this fluctuation is very  
 1338 flattened.



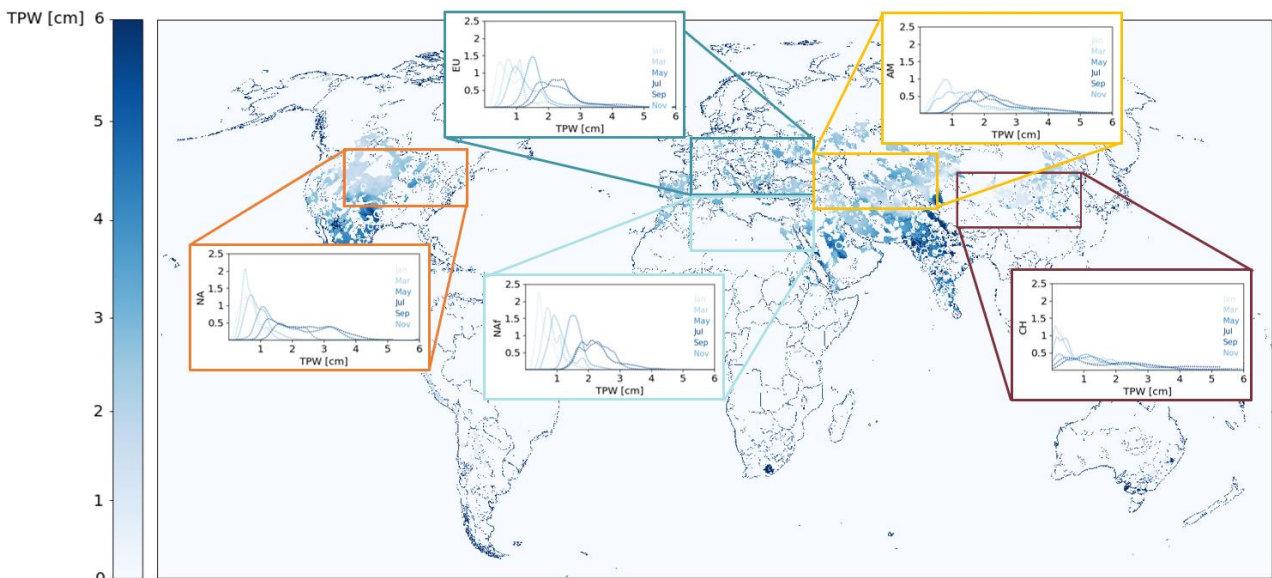
1339 **Figure 4.2:** TPW distribution from data provided by MODIS instrument onboard of Terra satellite (parameter MOD05\_L2). Data depicts  
 1340 the average distribution during August (2019). Water vapor are determined with errors typically in the range between 5 and 10 percent  
 1341 [192][193]. On the right the spectral distribution of the map over the latitude for the average day of January and August 2019. The  
 1342 interesting aspect is the seasonal movement of the *climatic equator*.  
 1343



1344 **Figure 4.3:** On left maps depicting TPW distribution across the Earth, during 2019. On the right the seasonal fluctuation of total volume  
 1345 of water contained in the atmosphere, estimated between 2010-19.  
 1346

1347 With the purpose to investigate on the purpose of using water vapor as potential source of water for anthropic  
 1348 activities, the regional focus was concentrated only to such regions with considerable high-water stress. This

1349 operation required a Boolean manipulation of data array: first from the map in Figure 4.1 has been created a  
 1350 binary data array where 0 correspond to an area with no water stress, while 1 is for the two categories “high  
 1351 stress” and “very high stress”. Second, this binary array was intercepted with the monthly TPW data array,  
 1352 resulting in the selection of TPW values specifically for stressed areas. Result of this operation is showed in  
 1353 Figure 4.4. Highlighted regions were regrouped in 5 macro area: the macro area including China and part of  
 1354 India (CH); north America (NA); the region comprehensive of minor Asia, Turkey, Iran and Iraq (AM); North  
 1355 Africa (NAf) and Europe (EU). For each macro area has been evaluated the density distribution of TPW for the  
 1356 average day of January, March, May, July, September and November of 2019. The density function has been  
 1357 realized sampling randomly 1000 items from the available dataset of the macro area. Strong variations are in  
 1358 the for NA, NAf and CH, while EU ad AM are characterized by a flatter variation of the distribution. For all of  
 1359 them, the shift to lower TPW (between 0-1 cm) is within the interval Nov-Jan.

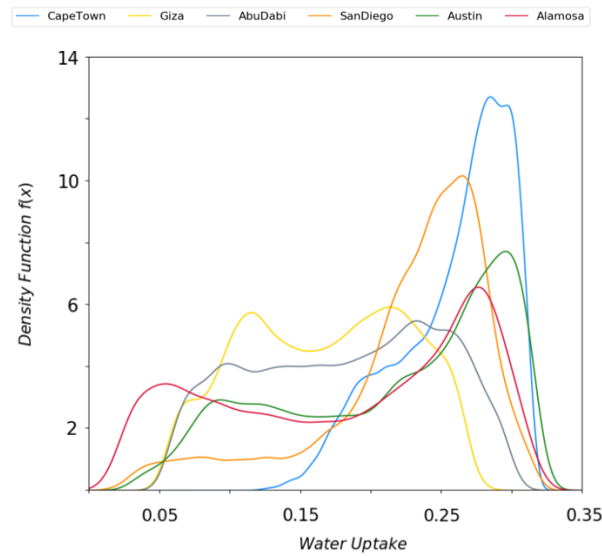


1360  
 1361 **Figure 4.4:** Focus of the TPW analysis for the macro areas characterized by water stress. For the 5 macro-areas has been analyzed  
 1362 the density function of the TPW (sampling from 1000 random selected items contained within the macro-area) for the average day of  
 1363 January, March, May, July, September, November.

1364 This is very stressed for NA and NAf. While, the period between Jul-Sept is characterized with considerable  
 1365 higher TPW values. Main reasons behind this behavior is the geographic distribution. All these areas are in the  
 1366 boreal hemisphere, and the Nov-Jan correspond to the winter season while Jul-Sept to the summer period. The  
 1367 higher temperature drives higher TPW values and moisture concentration: the temperature increase of 1K is  
 1368 associated to a RH increase of around 8-13%.

1369 The final part of this analysis deals with the water uptakes of the material, especially for what tested in this  
 1370 application, silica gel. The question is: given this spatial and seasonal distribution of water vapor for water  
 1371 stressed regions, what is expected, at least in potential, from this material?

<sup>i</sup> Each region is a matrix of 400X180 pixel with a specific surface extension per pixel of  $-1.22571 \times 10^3$  m<sup>2</sup>. Earth surface is discretized in a data array of 3600x1800 pixel and equivalent to  $5.094953216 \times 10^{14}$  m<sup>2</sup> [261].



1373

1374 **Figure 4.5:** Density distribution of potential water uptake of silica gel for selected climatic conditions. Meteorological data obtained  
 1375 from METEONORM database for cities that recently experienced drought events and belonging to the macro areas NA and NAF.

1376 Water uptake values, considered as equilibrium concentrations, are strongly function of the combined values T  
 1377 and RH. A preliminary estimation has been carried out, using meteorological data from METEONORM  
 1378 database[200], and combined with the equation (2.5), describing the isotherm family of tested silica gel. Despite  
 1379 the Figure 4.5 is not an exhaustive representation of the problem at global level, can give an order of magnitude  
 1380 of the numbers involved. Selected cities belong to the macro-areas NA and NAF, and recently experienced  
 1381 drought crisis.

1382 The two pronounced peaks are the result of the situation described before with the TPW. A drier climate, during  
 1383 the days of the winter season, results in equilibrium potentials lower than  $0.1 \text{ kg}_w \text{ kg}_{\text{SiO}_2}^{-1}$ , while much higher  
 1384 values are for the summer season, with peaks at values higher than  $0.25 \text{ kg}_w \text{ kg}_{\text{SiO}_2}^{-1}$ .

1385 Some very dry climates, such as the Alamosa case, show actually values much lower than  $0.05 \text{ kg}_w \text{ kg}_{\text{SiO}_2}^{-1}$ ,  
 1386 giving basically few chances to consider silica gel as a feasible solution.

1387 For the rest of the cases the value of  $0.1 \text{ kg}_w \text{ kg}_{\text{SiO}_2}^{-1}$  has been considered as a turning point to establish a not  
 1388 extreme dry conditions, and will be used as minimum value for the theoretical definition of an efficient cycle, and  
 1389 its testing in the next paragraphs.

### 1390 4.3 Method of the Cycle

1391 The working principle of AWH is based on the cycling of adsorption and desorption phases during which water  
 1392 is captured and condensed respectively. This process takes place under the water vapor partial pressure and is  
 1393 driven by the relative pressure difference between the free water molecules and the ones trapped over the solid  
 1394 surface of the adsorbing material, governing the water mass transfer from the vapor state into the porous  
 1395 structure of the adsorbent. If the temperature of the adsorbent increases, the direction of water flow switches,

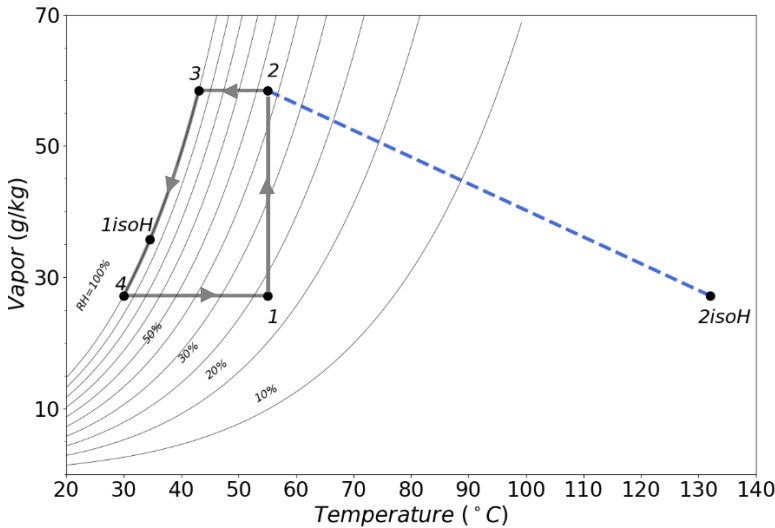
1396 yielding water vapor desorb from the solid to the gas phase. Indeed, the energy of the adsorbed water molecules  
1397 inside the porous structure of the sorbent increases due to the absorbed heat flux. As a result, the pressure of  
1398 water vapor increases generating a positive gradient towards the external air. The energy needed for the process  
1399 changes with material type and internal porous structure but, as mentioned in the previous chapter, the order of  
1400 magnitude is comparable with water latent heat. For instance, adsorption heat of Zeolite and MOF ranges  
1401 between 4000-3000 kJ kg<sup>-1</sup>, for silica gel is 2300-2500 kJ kg<sup>-1</sup> [66][201][81]. This energy can be a heat supply  
1402 produced from solar energy, but some limits define the constraints under which a cycle should be built to realize  
1403 the final objective, condensing water vapor:

- 1404 • Regeneration temperature must be in the range of 50-70°C to be compatible with heat generation from  
1405 low temperature solar conversion technologies (flat plate, evacuated tubes).
- 1406 • Hot and humid flow generated through regeneration needs a dew point higher than the temperature of  
1407 the external environment. In this way the condensation is driven spontaneously without using any  
1408 refrigeration system but using directly the ambient for heat rejection.
- 1409 • A total passive system is hard to be realized, and some power will be required to drive auxiliary  
1410 components (water circulators, fans, dampers).
- 1411 • Being driven by solar energy, the temporal slot under which regeneration can be driven corresponds to  
1412 the daylight duration (6-10 hours). In reality, at the begin and the end of the daylight period, the solar  
1413 radiation could be too low (<200 w m<sup>-2</sup>) to generate sufficient amount of heat for regeneration. This  
1414 reduces the total available time.
- 1415 • Reducing as much as possible the operative temperature for regeneration will lead to an increase of the  
1416 thermal efficiency of a solar collector (as the Hottel-Whillier [202] efficiency curve suggests). Further on,  
1417 lower regeneration temperatures enable the use of a wider potential source, such as the waste heat  
1418 from industrial processes.

1419 The regeneration phase generates most of the criticalities, and a suitable working cycle satisfying the above  
1420 bullet points needs to be defined. The working cycle results from the alternation of two sequential phases:

- 1421 • *Adsorption*: mostly driven in the period of absence of solar radiation. During this phase the system is in  
1422 an open loop with the goal to charge the sorbent with water vapor, increasing the water uptake as much  
1423 as possible. The energy cost of this phase is associated to the pressure drops generated with the air  
1424 stream crossing of the sorbent mean/particles. Limiting factors for this stage are mainly linked to  
1425 material isotherms and working environmental conditions.
- 1426 • *Regeneration*: driven in presence of solar radiation. During this phase the air loop is closed, and the air  
1427 mixture is subjected to transformations operated between two temperature levels, the regeneration  
1428 temperature and the ambient temperature. Different steps of the transformations are described in  
1429 psychrometric chart of Figure 4.6.

1430 The working cycle in Figure 4.6 is based on some hypothesis, and in any cases represents equilibrium and ideal  
 1431 points, going cyclically between point 1 and 4. The regeneration temperature has been fixed at 55°C, and  
 1432 ambient temperature at 30°C. In this way at each value of air temperature and humidity is associated the  
 1433 maximum water uptake achievable. Transformation 1-2isoT represents the regeneration of the material  
 1434 throughout an isothermal desorption, operated with an ADS-HX as described in the Chapter 3.



Points	T	x	RH	Enthalpy
-	°C	g <sub>H2O</sub> g <sub>dry air</sub> <sup>-1</sup>	%	kJ kg <sup>-1</sup>
1	55	27.2	27	126.2
2 isoH	132	27.2	<1.5	207
2	55	58.5	55	207
3	43.1	58.5	100	194.4
1 isoH	34.6	35.8	100	126.2
4	30	27.3	100	100

Table 4.1: Thermodynamic properties of the points depicted in the cycle on the left.

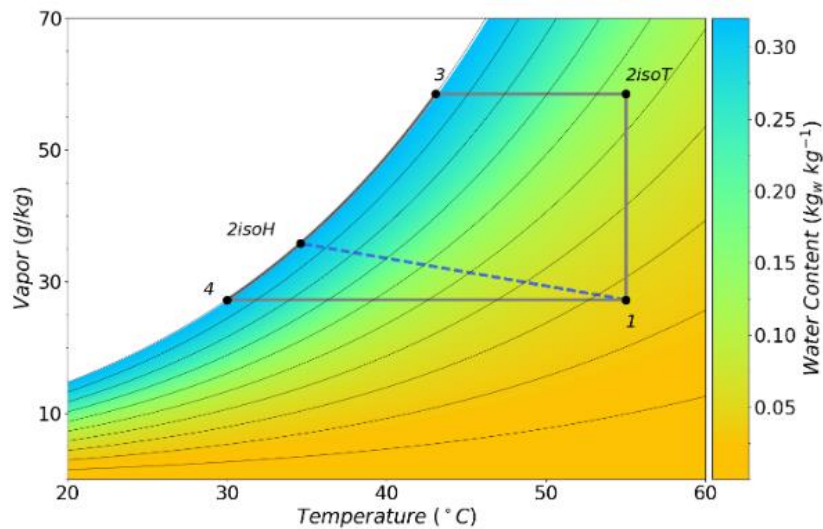
1435 **Figure 4.6:** Working cycle of regeneration/condensation on the psychrometric chart. The comparison claims to show the differences  
 1436 between an iso-thermal approach (blue path), versus an iso-enthalpic approach (grey path). If from the energy point of view the two  
 1437 cycle are equivalent, the temperature levels are deeply different. The isothermal approach enables the possibility of using very low  
 1438 thermal sources.

1439 Through the step 2-3 the hot and humid air flux resulted from the regeneration of the sorbent is cooled up to the  
 1440 dew point. Condensation of water starts at point 3 and continues along the saturation line (relative humidity  
 1441 ~100%) up to the maximum exploitable potential. The minimum point here is the point 4, with a temperature  
 1442 value in the ideal case equal to the ambient temperature, and RH=100. After that the air stream is re-heated the  
 1443 from 4 to 1, the regeneration can start again, and all the previous steps can be performed again. Corresponding  
 1444 values of T, RH, x and enthalpy of points 1, 2, 3 and for are reported in Table 1.

1445 To realize the isothermal regeneration (1-2) a system is required, that gives the possibility to exchange at the  
 1446 same time heat and mass. Indeed, without a continuous supply of heat, during the regeneration the temperature  
 1447 will easily drop down due to the endothermic nature of the desorption phenomenon.

1448 The point 2 is not an absolute term but a function of the instantaneous value of sorbent water uptake, that for  
 1449 equilibrium consideration as the points in Figure 4.6 are, can be determined with equation (2.5). For this analysis,  
 1450 and for the experimental testing, the sorbent selection has fallen on silica gel for different reasons. One of the  
 1451 most important drivers is the lower cost if compared with more efficient means. Second reason is the aim to  
 1452 demonstrate that despite material properties are very important, the role of the “way” in which you regenerate  
 1453 the material and how the harvesting cycle is approached is very fundamental. For these reasons, the  
 1454 experimental results of the performing cycle will be compared in next paragraphs with other experience in  
 1455 literature were the focus was mainly on materials side than cycle.

1456 The isothermal regeneration, driven at a maximum temperature of 55°C, leads to higher dew points if compared  
 1457 with conventional system operating through a typical adiabatic regeneration (iso-enthalpic). We can reach the  
 1458 dew point 3 at 43.1°C with an isothermal desorption, against 34.6°C for an iso-enthalpic one (point 2isoH). As  
 1459 a result, the water uptake required at the end of adsorption to achieve equilibrium points 1-isoH and 2 are 0.318  
 1460 and 0.125 kg<sub>w</sub>/kg<sub>s</sub>, respectively. Consequently, higher dew points are achievable even at lower humidity level  
 1461 through this desorption process. This regeneration approach enables the use of silica gel for atmospheric water  
 1462 harvesting in low humidity climates, despite the lower water uptake compared with more innovative means.  
 1463 Evaluating the iso-enthalpic transformation for comparative purposes ( $h_{2iso-T}=207.6$  kJ/kg<sub>a</sub>) the required  
 1464 desorption temperature would be as high as 132.5°C to provide the same amount of water as the values  
 1465 approached with 2isoT. An equivalent iso-H would require higher temperature heat source, lowering efficiency  
 1466 from the exergy point of view, and forcing the use of optical concentrators for a feasible exploitation solar energy,  
 1467 losing points on the global efficiency.



1468  
 1469 **Figure 4.7:** Desorption cycle for water harvesting through an isothermal regeneration of silica gel on the psychrometric diagram. The  
 1470 comparison between the iso-thermal regeneration vs. the iso-enthalpic show the increase of achievable maximum dew point and vapor  
 1471 content. The regeneration cycle is obtained combining the thermodynamic properties of humid air ( $T, P_v, h$ ) and water uptakes of the  
 1472 silica gel in equilibrium with the equation (2.5)

1473 At the maximum temperature of the cycle corresponds the minimum value of water uptake, while at the  
 1474 equilibrium it is close to of  $0.045 \text{ kg}_w \text{ kg}_{\text{SiO}_2}^{-1}$ , corresponding to equilibrium condition at point 1. Then, for each  
 1475 kg of dry silica gel particle, the net amount of water pulled out from the air is 0.08 kg, and the minimum energy  
 1476 required for the regeneration 4-1-2isoT is 108 kJ/kg<sub>air</sub>. This is clear from the graph on Figure 4.7, where the cycle  
 1477 previously explained is coupled with a colormap depicting silica water uptakes at equilibrium at each point of the  
 1478 psychrometric chart.

1479 The total energy required for the regeneration step can be reduced using a regenerative heat recovery system:  
 1480 the preheating step 4-1 is achievable with free energy coming from vapor condensation at higher temperature.  
 1481 This method can potentially save up to 24% of the total energy, increasing consistently the efficiency of the  
 1482 cycle. As said, these diagrams are instantaneous picture of equilibrium conditions that, as the water is pulled

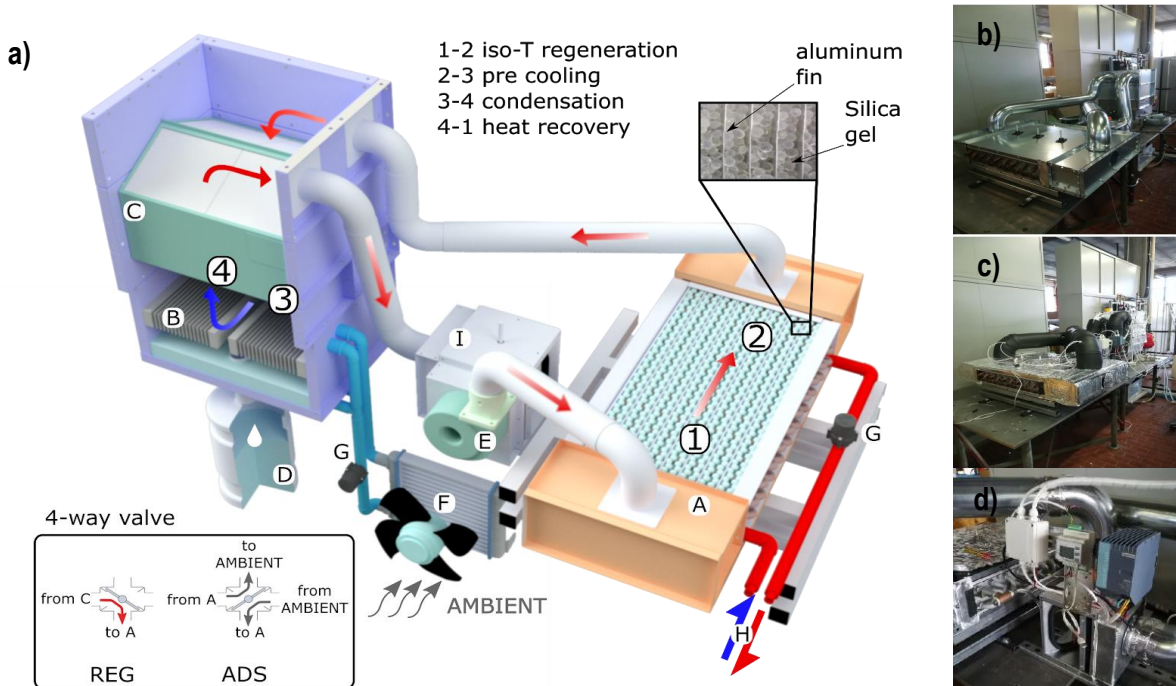
1483 out from the sorbent, declines. As consequence, the actual cycle will move along the chart, as the actual water  
1484 uptake of the material, and operative conditions (regeneration and ambient temperature) changes. Indeed, since  
1485 our system is being used in batch mode (alternation of adsorption/desorption in a static component), the  
1486 continuous discharge of water reduces the total water uptake, then the line 2-3 will shift down resulting in a  
1487 reduction of water production and efficiency over time.

#### 1488 **4.4 Experimental Setup**

1489 The ADS-HX configuration used for this experimental analysis is similar to the packed bed configuration  
1490 described in chapter 3.

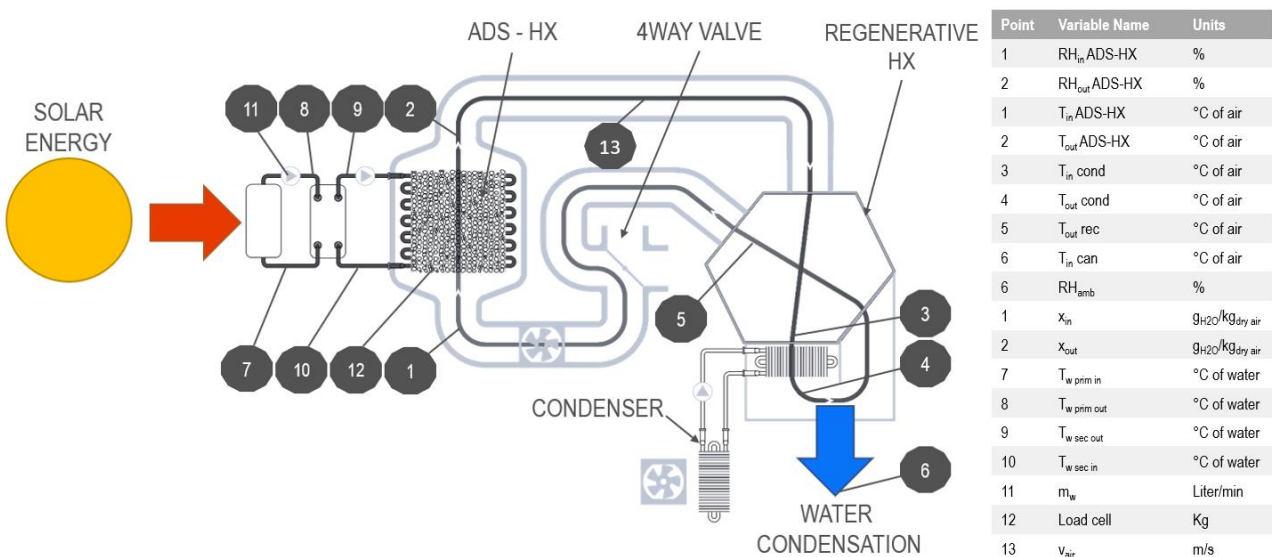
1491 The AWH device and its components, along with its working principle are summarized in Figure 4.8. The system  
1492 works on a batch process, switched through the 4-way valve I, alternating adsorption and desorption stages in  
1493 the adsorption heat exchanger (ADS-HX) A. Water harvesting tests were performed within a day/night cycle,  
1494 recreating typical environmental condition of a dry climate both in adsorption and desorption. In adsorption mode  
1495 (ADS) outdoor air (25-35°C, 30-50%, 100 m<sup>3</sup> h<sup>-1</sup>) is directly blown through A, and the outlet stream is rejected  
1496 to the ambient. During the tests several air temperature and humidity sensors monitor the stream, and a load  
1497 cell measures continuously the weight of the A. Switching the valve I to regeneration mode (REG) the air loop  
1498 is closed, and the same stream flows between the ADS-HX and the condensation unit. The solar thermal facility  
1499 installed on the roof of the lab produces hot water (H) at 50-60°C for the regeneration of the ADS-HX, delivered  
1500 with the water pump G (42 W<sub>el</sub> max, 60 L min<sup>-1</sup>). The condensation unit consists in an air to air heat recovery  
1501 system C and an air to water radiator B. In C, the condensation heat is partially recovered to preheat the air  
1502 before going back to A, reducing the total energy for regeneration. The condensation heat is dissipated through  
1503 an external dry cooler F at a constant temperature between 25-35 °C, regulated with a thermostat in order to  
1504 simulate different climatic conditions. Finally, the condensed water droplets on the radiator B are collected in the  
1505 basin D and recovered and stocked at the end of each test. During regeneration, the valve I prevents any  
1506 communication with the external environment, and the air flow driven by a fan E (450 Pa, 100 m<sup>3</sup>h<sup>-1</sup>, 65 W<sub>el</sub> max)  
1507 to complete all the regeneration steps. All the actuators have been controlled with a PLC defining continuously  
1508 the power percentage of continuous variable components, and the state of the on/off ones. Some precautions  
1509 were needed for the selection of the centrifugal fan E: operational environment with a temperature up to 80°C,  
1510 RH>70%, metallic impeller and electronics with a 24 IP protection and a variable speed control with a PWM 0-  
1511 10V signal. The heat recovery unit C is a PET counterflow air-to-air plate heat exchanger (from Klingerburg  
1512 model GS-K-366), with a channel opening of 3 mm, and a total exchange surface of around 18 m<sup>2</sup>. The WAT-  
1513 AIR lab facility has been assembled with the purpose of verifying the effectiveness of the water harvesting cycle  
1514 in a Lab environment and to perform the ideal cycle envisaged in Figure 4.6 and 4.7. To pursue the goal of a

1515 cost-effective liter-scale system, the test rig contains around 27 kg of silica gel beads (3 mm average diameter)  
 1516 in the ADS-HX.



1517 **“Figure 4.8:** WAT-AIR lab facility to test water harvesting cycle in a controlled environment. a) scheme with the different components,  
 1518 where the symbols indicate respectively: **A** is the ADS-HX, **B** are the condenser radiators, **C** is the regenerative air to air HX within the  
 1519 condensation unit, **D** is the basin for water collection, **E** is the centrifugal fan for the process air, **F** is the dry cooler unit, **G** are water  
 1520 pumps, **H** indicates the inlet/outlet points of the hot water flow through the ADS-HX; b) picture of the setup after the assembling; c)  
 1521 picture of the final setup with sensors and insulation layers; d) detail of the controlling unit consisting of a PLC, a 24VDC power supply  
 1522 of  $100W_{max}$  and the air valve for the switching between the open and closed loop.”

1523  
 1524



1525

1526 **Figure 4.9:** Scheme of the experimental setup and positioning of sensor for air temperature and humidity, water temperature and flow  
 1527 rate, weight of sorbent.



1528 This silica gel beads occupy the 5 mm spacings between the fins with a total filling degree of about 58% of the  
1529 total volume of the exchanger. The silica gel was purchased from Oker-Chemie GmbH and used without any  
1530 pre-treatment. The silica beads have a bulk density of  $0.78 \text{ g/cm}^3$ , 99.5% purity, and a surface area about 800  
1531  $\text{m}^2/\text{g}$ . Several auxiliary systems for the automation of all the cycle steps: adsorption/desorption switching; air  
1532 flows regulations; regeneration heat management. A complete list of the auxiliary equipment is described in the  
1533 Annex C. All the parameters required for the evaluation of cycle performances are constantly monitored and  
1534 logged as listed in the scheme of Figure 4.9. The temperature of air ( $T_a$ ), and water ( $T_w$ ) were measured using  
1535 IC temperature sensors (LM35CAZ,  $\pm 0.2^\circ\text{C}$ ). The relative humidity (RH) of air was measured with thermoset  
1536 polymer capacitive sensors (HIH4000-4,  $\pm 3.5\%$ ). Electromagnetic flow meter has been used for the  
1537 measurement of the hot water flow for the silica regeneration, in the range of 0.5-20 L/min (LFE SMC,  $\pm 1.5\%$   
1538 FS), and hot wire anemometer for the air velocity (Testo416,  $\pm 1.5\%+0.2 \text{ m/s}$ ). At the same time the mass  
1539 variation of the ADS-HX with silica gel due to the alternating capture and release of water vapor were directly  
1540 measured through an aluminium alloy IP65 single point load cell ( $2 \pm 0.002 \text{ mV/V}$ ;  $\pm 0.023$  of %F.S.). In this way  
1541 the direct measurement of the average water uptake of the packed bed configuration is possible, reducing  
1542 uncertainty of indirect measurements. Finally, the water collected from the basin is stored in bottles and  
1543 separately weighted at the end of each cycle. This final weight was on the average 90% lower than the mass  
1544 variation of the load cell. Main reason of this difference was the presence of some undetected leaks among the  
1545 air loop, and the impossibility to collect all the water droplets that remain on the surface of channel and plates  
1546 of the recovery heat exchanger.

1547 Analog outputs of different sensors have been continuously logged with a 16bit Analog/Digital converter (Seneca  
1548 Z8AI) and real time monitored through a LabView VI interface. The architecture is the same of what explained  
1549 in chapter 3.

#### 1550 **4.5 Experimental results and discussion**

1551 Testing methodology aims to replicate a day/night cycle in a dry climate environment. Regeneration phase driven  
1552 at temperatures lower than  $60^\circ\text{C}$  and powered with solar thermal collectors. In the ADS stage water final uptakes  
1553 between 0.1-0.15 are achievable within 15 hours with ambient temperature of  $20\text{-}25^\circ\text{C}$  and dew points between  
1554  $2\text{-}8^\circ\text{C}$ . These are considered as environmental condition during the night period of a dry climate. Regeneration  
1555 was carried out instead for less than 10 hours, just after the adsorption stage, and at different condensing  
1556 temperature in the range of  $25\text{-}35^\circ\text{C}$ . Control of air moisture content for the simulated environment was not  
1557 necessary during regeneration because the cycle works in a closed loop without any mass exchange, but only  
1558 thermal exchange through the external radiator. When the cycle is switched between adsorption and  
1559 regeneration the air valve sketched in Figure 4.9 rotates modifying the air loop from the *open* to the *close*  
1560 configuration and vice-versa. This operation is actuated with a typical rotating damper controller, usually applied  
1561 for HVAC systems, with a max torque of 6 Nm, and a 3 points control. The continuous monitoring of parameter

1562 listed before led to the evaluation of some aggregated indicators, to perform comparisons among different  
1563 operational conditions.

1564 Dew point of the humid air mixture

$$1565 \quad T_{dew} = C \ln \left( P_{sat} \frac{RH}{A} \right) \left[ B - \ln \left( P_{sat} \frac{RH}{A} \right) \right]^{-1} \quad (4.1)$$

1566 where the coefficients A, B, C are respectively 611.85, 17.502, 240.9.

1567 Thermal Efficiency of the cycle

$$1568 \quad \eta_{th} = \frac{M_w H_{lat}}{Q_{reg}} = \frac{M_w H_{lat}}{\dot{m}_w c_{pw} (T_{win} - T_{wout})} \quad (4.2)$$

1569 Where  $M_w$  is condensed water,  $H_{lat}$  is the condensation heat and  $Q_{reg}$  is the regeneration temperature. If the  
1570 thermal power terms consider also the source, and the way in which the solar power ( $Q_{sol}$ ) is converted into heat,  
1571 this efficiency can be defined in terms of primary energy as following:

$$1572 \quad \eta_{PE} = \frac{M_w H_{lat}}{Q_{sol}} = \eta_{th} * \eta_{sol} \quad (4.3)$$

1573 This approach is particularly important when the comparison across the different devices present in literature  
1574 will be performed.

1575 Another interesting parameter is the charging level of the ADS-HX. This indicator is connecting the average  
1576 water uptake of the material (directly measured with the load cell) and the potential value obtained through the  
1577 isotherms. This value is the maximum (or the minimum if we are in the regeneration phase) achievable with the  
1578 configuration when the equilibrium condition with the inlet air (@  $T_{in}$  and  $P_{vin}$ ) will occur:

$$1579 \quad Charge\ level = \frac{W_{istataneous}}{W_{equilibrium}|_{T_{in}, P_{vin}}} \quad (4.4)$$

1580 The equilibrium value is computed with the fitting polymer of the equation 2.5, while the instantaneous water  
1581 uptake is estimated as:

$$1582 \quad W_{istataneous} = \frac{Weight - M_{dry\ silica} - M_{metallic\ frame}}{M_{dry\ silica}} \quad (4.5)$$

1583 Where the weight is constantly monitored with the load cell sensor. The dry mass of silica was separately  
1584 weighted before the ADS-HX assembling, after driving a full regeneration ( $T > 90^\circ$ ) in the climatic chamber.  
1585 Similarly, the metallic frame was weighted before assembling it. Anyway, at the begin of the testing campaign  
1586 an offset analysis was carried out through a full one-day regeneration of the assembled ADS-HX, to obtain the  
1587 minimum weight corresponding to a zero-water mass. Further, a full calibration of the load cell was required  
1588 during the assembling of the test RIG to correlate the output from the wheatstone bridge with a known tare. In  
1589 the Annex D is reported the calibration procedure and results of the load cell sensor.

1590 The condensing water rate, defines the instantaneous amount of condensed water in the collecting basin, and  
 1591 is estimated starting from the evaluation of the mass variation of the ADS-HX between two consecutive  
 1592 measurements:

$$1593 \quad \text{Water rate} = \frac{M_{wt2} - M_{wt1}}{\Delta t} \quad (4.6)$$

1594 The integral of this parameter over the entire test is the total amount of water produced, that is compared with  
 1595 the amount of water collected during the discharge of the collecting basin, as in the Table 4.2, showing a  
 1596 discrepancy close to 10%, for the reasons explained before.

1597 An inverse indicator, giving information about the energy cost for the process is the Specific Thermal Energy,  
 1598 defining the energy input to the cycle for the production of 1 liter of liquid water.

$$1599 \quad STE = \frac{1}{n} \sum_{i=1}^{n=30} \left( \frac{\dot{m}_w c p_w (T_{win} - T_{wout})}{\text{water rate}} \right)_i \quad (4.7)^i$$

1600 More than 40 tests have been realized, here and in the next are reported the performance of the most  
 1601 representative for the purpose of showing the effect of the environmental conditions on testing performances.

TEST	Fan	$T_{inADS}$	$RH_{inADS}$	$T_{dewADS}$	$T_{reg_{prim}}$	$T_{reg_{sec}}$	$T_{codenser}$	$\Delta m_{ADS}$	$\Delta m_{REG}$	Collected Water
-	%	°C	-	°C	°C	°C	°C	kg	kg	kg
20-21	70%	21.2	0.33	4.11	57.4	56.3	20.8	2.55	2.74	2.5
22-23	30%	22.9	0.29	3.91	57.1	56.2	23.1	2.49	2.00	1.8
24-25	50%	21.3	0.40	7.23	56.1	54.7	20.1	3.33	2.97	2.7
26-27	30%	21.9	0.38	6.90	57.4	56.2	33.0	3.04	3.51	3.2
28-29	50%	22.4	0.40	7.98	55.4	54.1	27.6	2.57	2.76	2.5
30-31	70%	22.4	0.27	2.51	56.1	54.9	29.4	1.86	1.91	1.8
32-33	70%	21.9	0.31	3.98	55.9	54.8	29.6	1.76	1.74	1.6
36-37	30%	22.0	0.37	6.52	56.4	55.3	34.7	2.02	1.90	1.7
38-39	50%	23.8	0.33	6.67	56.4	55.1	35.3	0.94	1.85	1.7

1602 **Table 4.2:** Summary of testing condition and collected water.

1603 The FAN speed control requires a correlation between the percentage of absorbed power with the air flow rate  
 1604 circulating in both open and closed loop case. This estimation is based on the measurement of the mean velocity  
 1605 of the stream through the circular duct. The measurements were carried out with a hot wire anemometer with  
 1606 the following method:

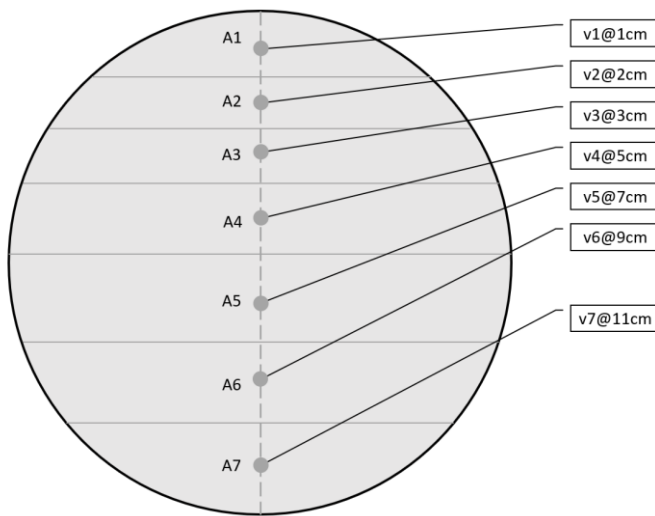
<sup>i</sup> Time step between each <sup>i</sup>th element has a timestep of 10 seconds, equal to the acquisition time. Total sampling time for the evaluation of STE is 5 minutes.

- 1607 • the probe position is at 1 metre distance from the elbow connecting the outlet of the ADS-HX with the  
1608 inlet of the condensing unit. This to respect the general rule of a minimum free path length equals to 7  
1609 times the diameter ( $D_{duct}=12\text{ cm}$ ) ;
- 1610 • the probe measured mean velocity, within a temporal period of 2 minutes of continuous acquisition, at  
1611 different depth along the radial direction of the duct section (shown in the sketch below), over three  
1612 different and independent samplings;
- 1613 • The procedure was repeated at different speed regimes, equivalent to a 20, 40, 60, 80, 100% of the  
1614 maximum power.

1615 The air velocity measurements are contained in the table of Annex E. The final mean air velocity trough the is  
1616 reported in Table 4.3 was evaluated as the weighted average on the sectorial area as:

1617 
$$v_{avg} = \frac{\sum_{i=1}^N v_i A_i}{\sum_{i=1}^N A_i} \quad (4.8)$$

1618 Where  $i$  is the reference number of the sector,  $N$  is 7,  $v$  the average velocity over the three different samplings,  
1619 and  $A$  the area of the sector evaluated as reported in the equations below:



Area of the first sector:

$$A_1 = \frac{\beta_1 R^2}{2} - \frac{X_1(D - h_1)}{2} \quad (4.9)$$

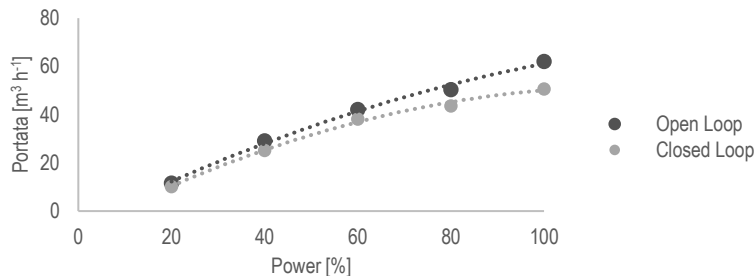
$$X_1 = 2\sqrt{R^2 - (D - h_1)^2} \quad (4.10)$$

Area of the sectors 2 to 7:

$$A_i = \frac{\beta_i R^2}{2} - \frac{X_i(D - h_i)}{2} - \sum_{k=1}^{i-1} A_k \quad (4.11)$$

$$X_i = 2\sqrt{R^2 - (D - h_i)^2} \quad (4.12)$$

Power	Open Loop		Closed Loop	
	m s <sup>-1</sup>	m <sup>3</sup> h <sup>-1</sup>	m s <sup>-1</sup>	m <sup>3</sup> h <sup>-1</sup>
20	0,29	11,6	0,26	10,2
40	0,74	29,1	0,64	25,2
60	1,07	42,1	0,97	38,2
80	1,27	50,4	1,11	43,6
100	1,57	62	1,28	50,7



1620 **Table 4.3:** Characteristic curve of power level/volumetric flow of the FAN 1, with the two different loop configurations, open and closed.  
1621 On the left the table with values measured with the procedure explained above; on the right the plot of the extrapolated curves from  
1622 the equations (4.13) and (4.14).

1623 Where  $R$  and  $D$  are the radius (6 cm) and the diameter of the section, while  $\beta$  is the angle between the radius  
1624 and the intercept of the  $i^{\text{th}}$  chord. Final values and related correlations are reported below with the equations  
1625 (4.13) and (4.14):

1626 
$$\dot{Q}_{a_{ADS}} = -2.9 * 10^{-3}X^2 + 9.621 * 10^{-1}X - 5.806 \quad (4.13)$$

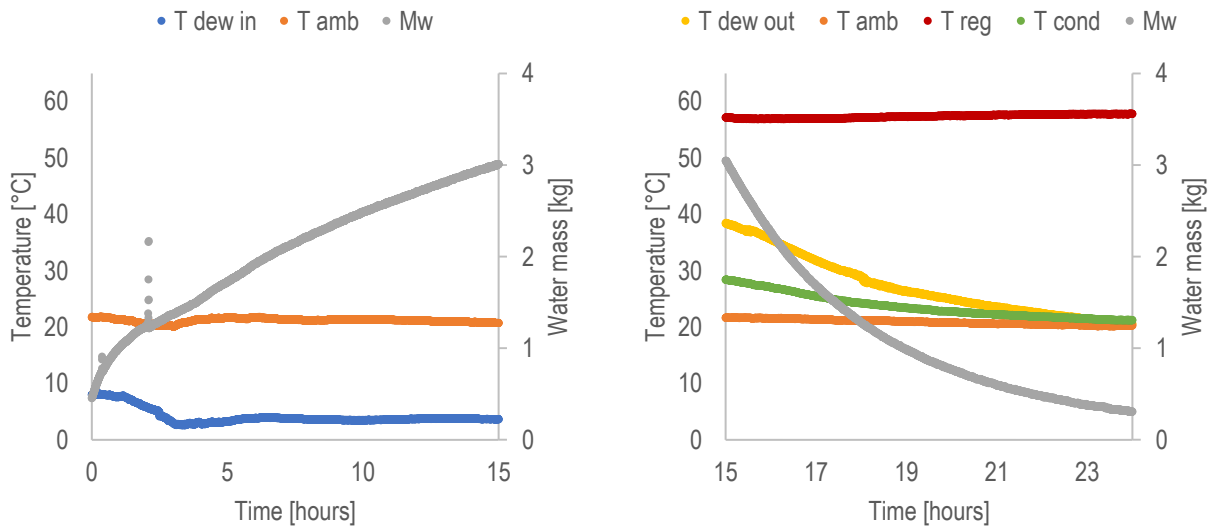
1627 
$$\dot{Q}_{a_{RIG}} = -4.2 * 10^{-3}X^2 + 1.002X - 7.996 \quad (4.14)$$

1628 Where X is the percentage of the FAN (equivalent to PWM/10);  $\dot{Q}_{ADS}$  is the airflow rate during the adsorption  
1629 phase through the open loop; while  $\dot{Q}_{RIG}$  is during the regeneration phase through the entire closed loop.

#### 1630 4.5.1 Charge Level Dependency

1631 This paragraph investigates on dependencies of cycle performances on the charging level of the ADS, analysing  
1632 in detail specific results of the adsorption/regeneration test couple #20-21. The following paragraph will report,  
1633 with the same point of view, residual experiments. The graph on the left of Figure 4.10 shows inlet conditions to  
1634 the ADS-HX and the mass variation during the 15 hours of testing. During the entire adsorption the fan was at  
1635 100% of rotation regime, corresponding to an air flow rate of 61.4 m<sup>3</sup> h<sup>-1</sup>. The average inlet temperature was in  
1636 the average 21.2°C with a dew point of 4.1°C, corresponding to a relative humidity of 33%. At the begin of test  
1637 the load cell measured an adsorbed water mass equal to 0.415 kg<sub>w</sub>, corresponding to an initial water uptake of  
1638 0.017 kg<sub>w</sub> kg<sub>SiO<sub>2</sub></sub><sup>-1</sup>. After 15 hours of adsorption the measured water mass was 3.01 kg<sub>w</sub>, equivalent to a water  
1639 uptake of 0.111 kg<sub>w</sub> kg<sub>SiO<sub>2</sub></sub><sup>-1</sup>, as reported in Figure 4.11. The charge level indicator shows that within these  
1640 operative constraints, the variation was from an initial value of 9.5% to the final 94%, with a curve derivative  
1641 highly fluctuating as the environmental condition changes over time. The analysis of the outlet dew point from  
1642 the ADS-HX, shows very high dry conditions for the first hour of adsorption (-3.5°C), reaching a final value close  
1643 to the inlet at the end of the process (3.6°C inlet dew point versus 3.3°C outlet dew point). The switch to the  
1644 regeneration phase required two preliminary steps before the begin of water condensation. Firstly, the air valve  
1645 needs to complete the full rotation to close the air loop, with an elapsed time of 2.5 minutes. Secondly, the ADS-  
1646 HX is heated up to the regeneration temperature. The pump G is flowing water between the ADS-HX and the  
1647 plate exchanger H, reaching a final temperature of 55.4°C compared to the inlet temperature on the primary  
1648 circuit of 58°C (red line of the graph on the right of Figure 5). This heating phase takes at least 15 minutes and  
1649 is a transient necessary to lift up the temperature of entire sorbent mass (27 kg) from the adsorption value (21°C)  
1650 to the regeneration (55°). The fan is off during these phases and reactivated only at the end of the preliminary  
1651 steps, with a partial speed decided a priori, starting eventually the real step of regeneration and condensation  
1652 of water. For the test #21 fan power level was 70%, corresponding to an air flow rate of 41.5 m<sup>3</sup> h<sup>-1</sup>. In the  
1653 meanwhile, the activation of the other pump G and the blower F permits to reject outside the heat for the  
1654 condensation of water in B maintaining the temperature close to the external environment, maintained constant  
1655 with the external thermostat. Once the regeneration starts, the outlet dew point from ADS-HX (yellow line on the  
1656 right graph of Figure 4.10) increases immediately from the value of 3.3°C up to 38.9°C. The rejection of heat  
1657 permits to maintain the temperature at the condenser from 28°C up to 21°C during the 9 hours of regeneration,  
1658 reducing as the total heat dissipated reduces. The mass reduction during regeneration is much faster than the

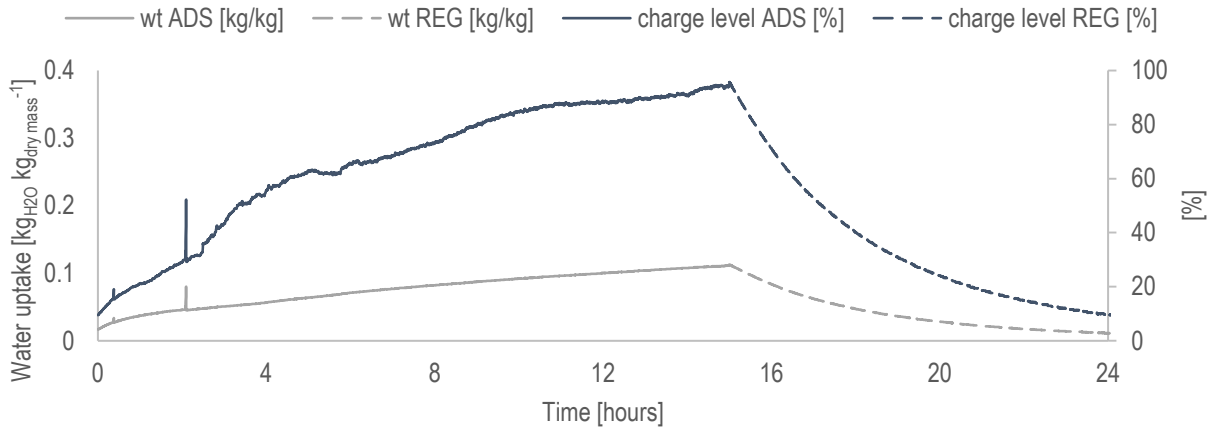
1659 increase during adsorption, this permits to obtain a similar water uptake variation from 0.111 to 0.012  $\text{kg}_w \text{kg}_{\text{SiO}_2}^{-1}$   
 1660  $^{-1}$ , then a total difference of 0.099  $\text{kg}_w \text{kg}_{\text{SiO}_2}^{-1}$ . In less than 10 hours this value reduced from 42 to 32°C, as the  
 1661 water contained in the ADS-HX is lower than 0.5 kg. When the outlet dew point and the ambient are equal, water  
 1662 condensation stops and the water harvesting cycle is concluded. The mass difference between the end of ADS  
 1663 and REG is corresponding to condensed water, around 2.4 kg with a water uptake variation within the cycle of  
 1664 0.089  $\text{kg}_w \text{kg}_{\text{SiO}_2}^{-1}$ . The difference between the outlet dew point and the condensing temperature reduces in time  
 1665 as the progressive discharge of the material. This means also that the potential moisture difference at the  
 1666 condensation step is reducing in time, decreasing the rate of condensation, with drawbacks on energy  
 1667 performances. This is clear from the graph in Figure 4.12, where both the water condensation rate in  $\text{cL min}^{-1}$   
 1668 and the STE in  $\text{kWh L}^{-1}$  are compared. Differently from the previous graphics, where each plotted point is an  
 1669 instantaneous measurement within the monitoring time step  $\Delta t \sim 11\text{s}$ , values in Figure 4.12 are evaluated as the  
 1670 arithmetic average over 40 consecutive values.



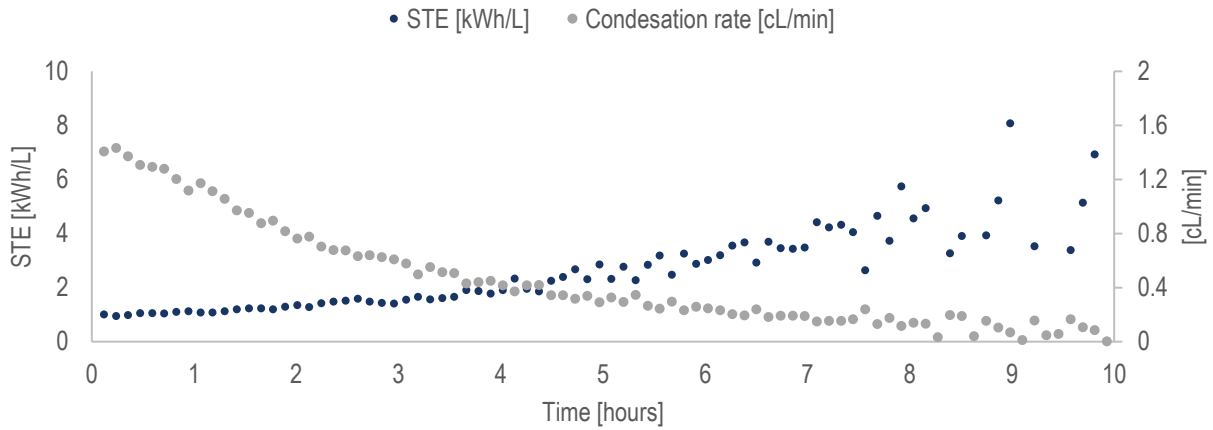
1671  
 1672 **Figure 4.10:** On the left the adsorption test #20. Air inlet dew temperature ( $T_{\text{dew in}}$ ), inlet ambient temperature ( $T_{\text{amb}}$ ), and total adsorbed  
 1673 water mass ( $M_w$ ) are depicted over the entire adsorption operational time (15 hours). On the right the regeneration/condensation test  
 1674 #21. Air outlet dew point ( $T_{\text{dew out}}$ ), ambient temperature outside from the closed loop ( $T_{\text{amb}}$ ), inlet water temperature for sorbent  
 1675 regeneration ( $T_{\text{reg}}$ ), air temperature measured at the condensation point ( $T_{\text{cond}}$ ) and total adsorbed water mass ( $M_w$ ) are depicted over  
 1676 the entire regeneration operational time (9 hours).

1677 The timestep between each point is  $\sim 7.5$  minutes. The maximum value of condensation rate is  $1.41 \text{ cL min}^{-1}$   
 1678 reducing rapidly to  $0.35 \text{ cL min}^{-1}$  after 5 hours of continuous functioning. After 8 hours values are quite negligible,  
 1679 and the fluctuation due to the increased uncertainty of direct measured values generate a consistent oscillation  
 1680 of the post computed indicators, reducing its reliability on the description of the phenomenon. The STE has an  
 1681 opposite behaviour: the rate of condensation (denominator) is reducing faster than the absorbed thermal power  
 1682 (numerator) by the machine, consequently the relative ratio is an increasing function over time. Tested results  
 1683 showed values close to  $1 \text{ kWh L}^{-1}$  and quite constant for the first 2 hours of functioning, reaching the value of  
 1684  $2.3 \text{ kWh L}^{-1}$  after 5 hours. The consecutive phase is characterized by a faster reduction of the process efficiency,

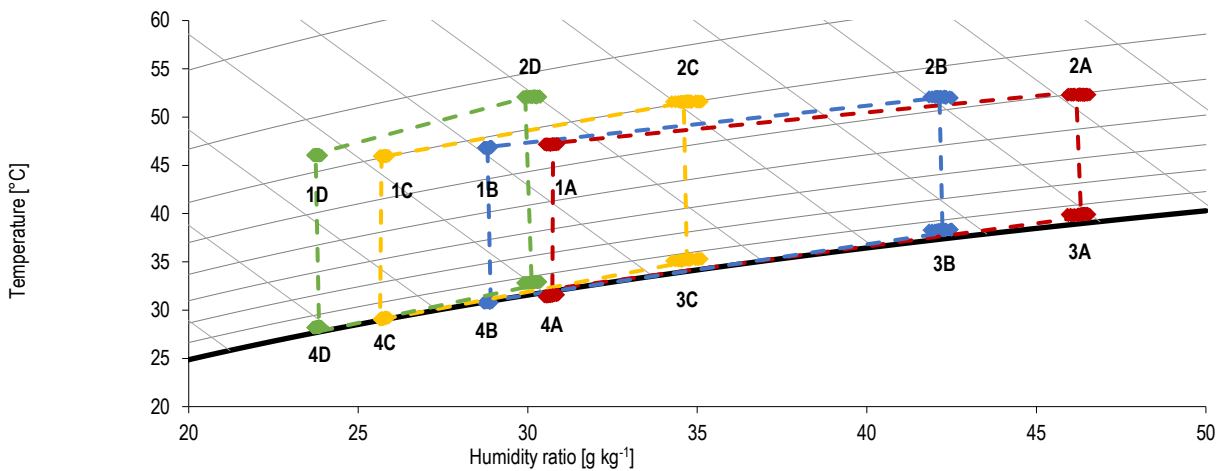
1685 and the rise of the STE is higher than  $5 \text{ kWh L}^{-1}$ . As before, after 5 hours of continuous process, data fluctuations  
 1686 are comparable to the data values itself, increasing consistently the uncertainty.



1687  
 1688 **Figure 4.11:** Transient behaviour of the silica water uptake and level of charge for both adsorption and regeneration tests #20-21.



1689  
 1690 **Figure 4.12:** Transient behaviour of Specific Thermal Energy (STE) and water condensing rate over the regeneration/condensing test  
 1691 #21. Each point represents the average value of 40 consecutive values.



1692  
 1693 **Figure 4.13:** Real cycle of regeneration for water condensation with the intermediate heat recovery. Transformation 1-2 is the  
 1694 regeneration of the sorbent, 2-3 is the heat dissipation towards the dew point, 3-4 is the vapor/liquid phase change among the dew  
 1695 line, 4-1 is the preheating step recovering the heat from the entire condensing line 2-3-4. Cycle A depicts the situation @30 minutes  
 1696 from the begin of the regeneration; B @90 minutes; C @190 minutes; D @270 minutes.

1697 Most of the inefficiencies of this cycle are connected to parasitic terms of heat absorption not directly connected  
1698 to the condensation of water and not recovered in the exchanger C. Indeed, during the transformation, a portion  
1699 of heat supplied to air causes a sensible rise of temperature instead of a latent transformation that, at the end  
1700 of the cycle, goes rejected to the environment through the dry cooler unit F and G, without any positive  
1701 contribution. This situation is graphically depicted in Figure 4.13, where different and measured steps of the  
1702 cycle (from 1 to 4) are drawn positioned over the psychrometric chart over different moments of the entire test  
1703 #21. The graph is a picture of the cycle situation collecting consecutive measured points over a 10 minutes  
1704 window, and at a different time scale from the begin of regeneration, respectively @30 minutes (red), @90  
1705 (blue), @180 (yellow), @270 (green). The first aspect is that the real cycle is moving on the (T, x) diagram from  
1706 the right to the left, due to the lower release of moisture from the sorbent media. Water uptake reduction together  
1707 with a constant regeneration temperature means a continuous reduction of the equilibrium RH.  
1708 Second aspect is the shape variation of the cycle. For example, the regeneration step 1-2 of A is different from  
1709 D on both the quantitative and qualitative aspects: the total enthalpy variation of the transformation is  $h_{1-2A} \sim 45$   
1710  $\text{kJ kg}^{-1}$ , of which only  $7 \text{ kJ kg}^{-1}$  corresponds to a sensible increase and  $38 \text{ kJ kg}^{-1}$  are the latent part. At D the  
1711 weight of sensible part increased because its value is constant at  $7 \text{ kJ kg}^{-1}$ , while the latent one is reduced to  $16$   
1712  $\text{kJ kg}^{-1}$ . This lead an increase of the transformation tilt, going away from the isothermal line. Another shape  
1713 modification is caused by the ratio between the precooling stage 2-3 and the condensing line 3-4. Because the  
1714 maximum vapor pressure generated from regeneration is reducing, the distance between 2 and the  
1715 corresponding dew point increases, consequently the enthalpy difference increases from  $h_{3-2A} \sim 14 \text{ kJ kg}^{-1}$  to  $h_{3-2D}$   
1716  $\sim 20 \text{ kJ kg}^{-1}$ , while condensing line reduces consistently from 16 to  $6 \text{ kJ kg}^{-1}$ .

#### 1717 4.5.2 Condensing Temperature dependency

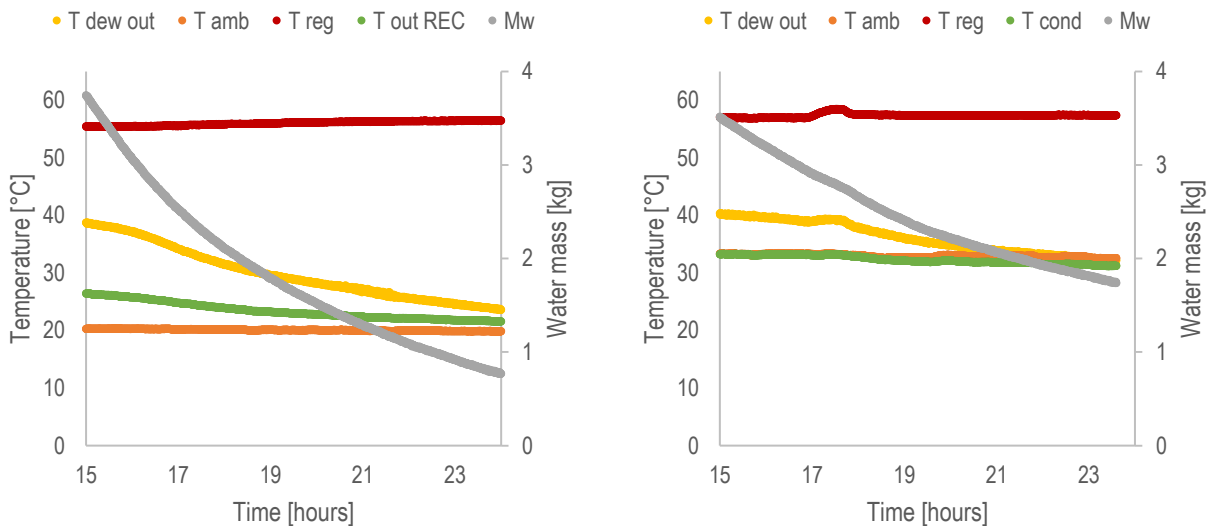
1718 With similar initial charge level of the ADS-HX, the increase of ambient temperature reduces global  
1719 performances, both on water production and efficiency. For example, increasing the temperature from 23 to  
1720  $35^\circ\text{C}$  (as shown with tests #25 and #37 in Figure 4.14) corresponded to a reduction of water production from 3  
1721 liters to 1.9, despite the similar initial water content of  $0.13 \text{ kg}_w/\text{kg}_{\text{SiO}_2}$ . On the contrary there's no effect on the  
1722 outlet dew point, that had similar maximum value close to  $40^\circ\text{C}$ . This indeed is mainly function of the two  
1723 variables, water uptake and equilibrium temperature, that in both cases is close to the regeneration temperatures  
1724 respectively  $54.7^\circ\text{C}$  and  $56.4^\circ\text{C}$  for #25 and #37. It is important to notice that the flow regime between the two  
1725 tests is slightly different because the different fan level power: 50 against 30%, accounting respectively for  $31.6$   
1726 and  $18.3 \text{ m}^3\text{h}^{-1}$ . In Figure 4.15 is instead shown the behaviour of the 3 performance indicators, STE, thermal  
1727 efficiency and condensing rate, with a condensing environment of 20, 27 and  $35^\circ\text{C}$ . As saw before, the increase  
1728 of temperature reduces the rate of production of liquid water, but the benefits of a lower condensing temperature  
1729 became negligible after around 4-5 hours of working. Indeed, if at  $20^\circ\text{C}$  the water rate is almost the double than  
1730 at  $35^\circ\text{C}$  ( $1.2 \text{ cL min}^{-1}$  @  $20^\circ\text{C}$  against  $0.55 \text{ cL min}^{-1}$  @  $35^\circ\text{C}$ ), after 5 hours the value is similar and equal to  $0.42$



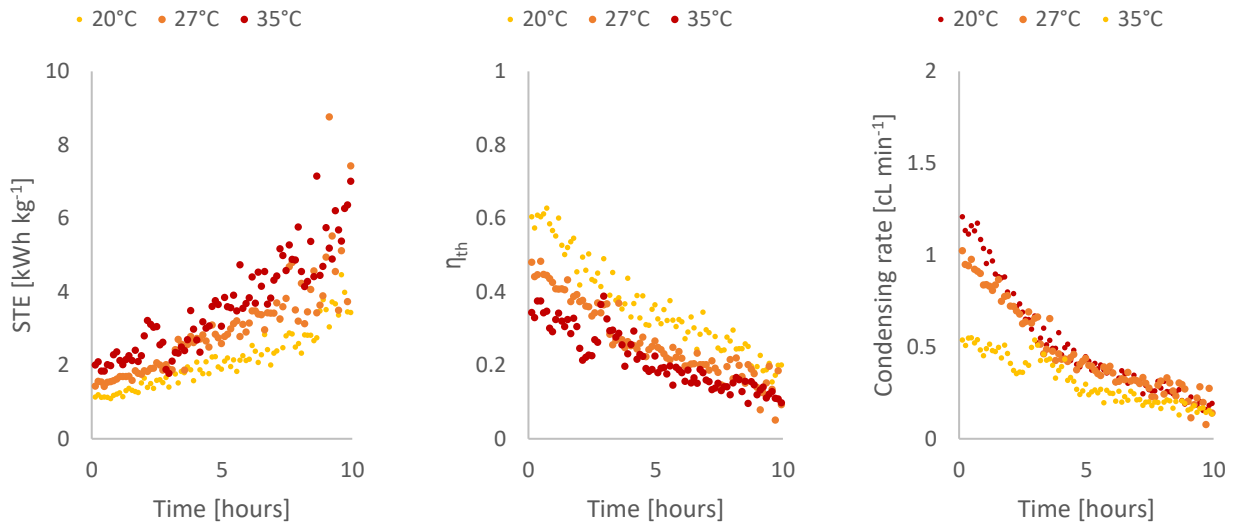
1731 cL min<sup>-1</sup> @20°C and 0.31 cL min<sup>-1</sup> @35°C, finally after 10 hours it reduces again of 55-70%. The STE has the  
 1732 minimum value of 1.1 kWh L<sup>-1</sup> at 20°C, 1.45 at 27°C and 1.93 at 35°C, increasing of 4-5 times at the end of  
 1733 each test. On the contrary, the maximum of the global thermal efficiency was respectively 62, 47 and 34% for  
 1734 each test, diminishing after 10 hours up to 9-17%. Clearly these evaluations cannot be taken as absolute  
 1735 considerations, but from the qualitative point of view this is useful to identify the criticalities behind this system:

- 1736 • driving a full adsorption is not always the best approach. For the adsorption stage the goal is to reach  
 1737 the highest level of charge, with the constraints of outside conditions. Very good example are tests #26  
 1738 and #30 where can be noticed that despite the system is still in adsorbing mode, the level of charge is  
 1739 not changing (even reducing in test #30) after many hours. Inlet air conditions are strongly influencing  
 1740 this aspect;
- 1741 • if a full regeneration maximizes the total amount of water that can be extracted, at the same way results  
 1742 in a less efficient utilization of the energy if compared to a partial cycle. This is also important when the  
 1743 heat availability is a constraint. In the case of solar energy constraints are the surface availability, and  
 1744 operative time, that reasonably doesn't exceed a duration of 5-8 hours.

1745 These considerations outline an eventual problem of “management” of a system like this. The use of numerical  
 1746 modelling to describe the functioning of the ADS-HX ca be a solution to forecast performances according to  
 1747 variation of environmental conditions. Further, this can be used as tool for a correct design of the component,  
 1748 but eventually also as a decision tool to understand how much long a cycle should be stand. The elaboration of  
 1749 this model is in the paragraphs 4.7.

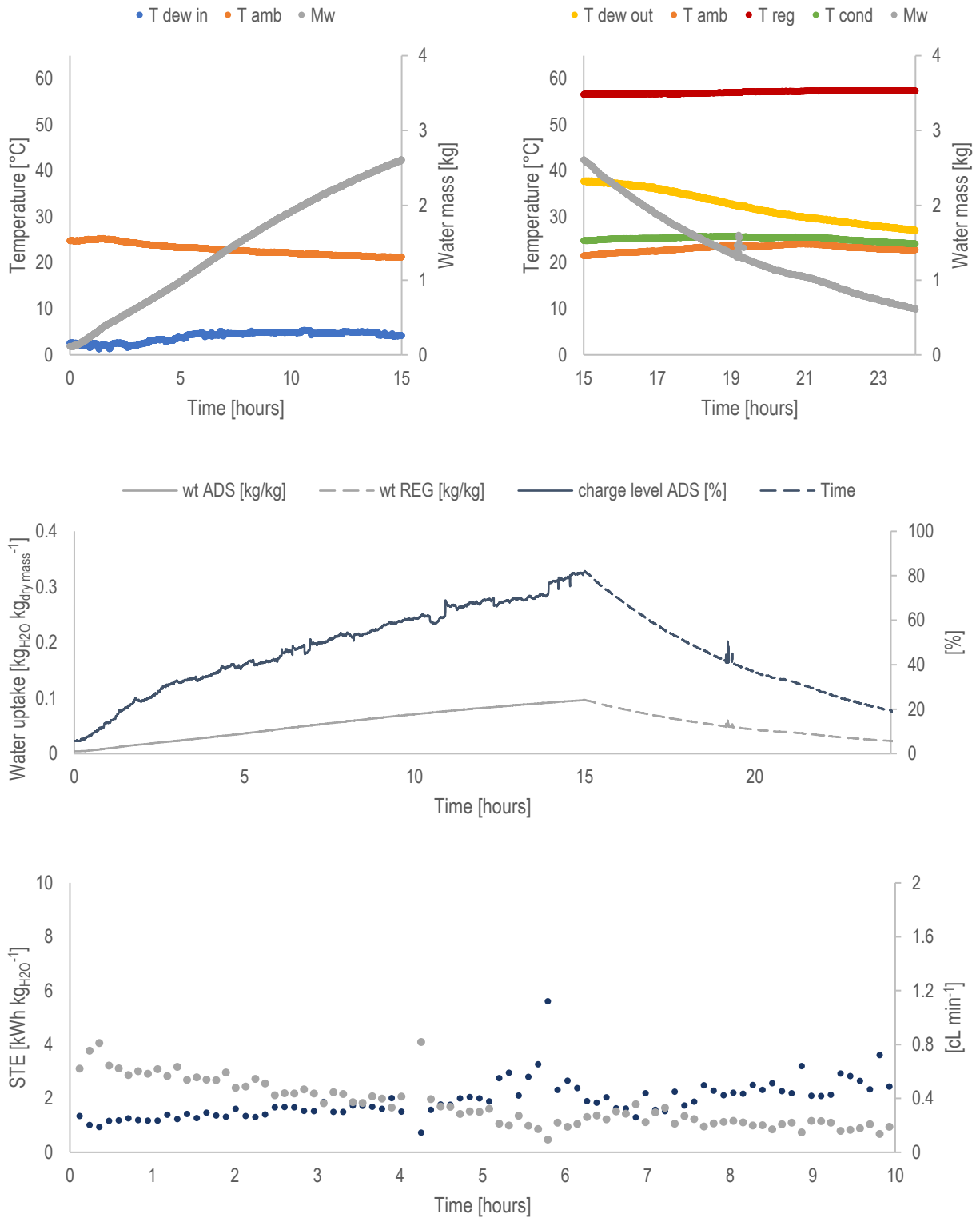


1750  
 1751 **Figure 4.14:** Comparison between two different tests. On the left regeneration #25, where the condensing environment was during  
 1752 the 10 hours of functioning 23.2°C in the average. While on the right regeneration test #37 with an average condensation temperature  
 1753 of 34.7°C.

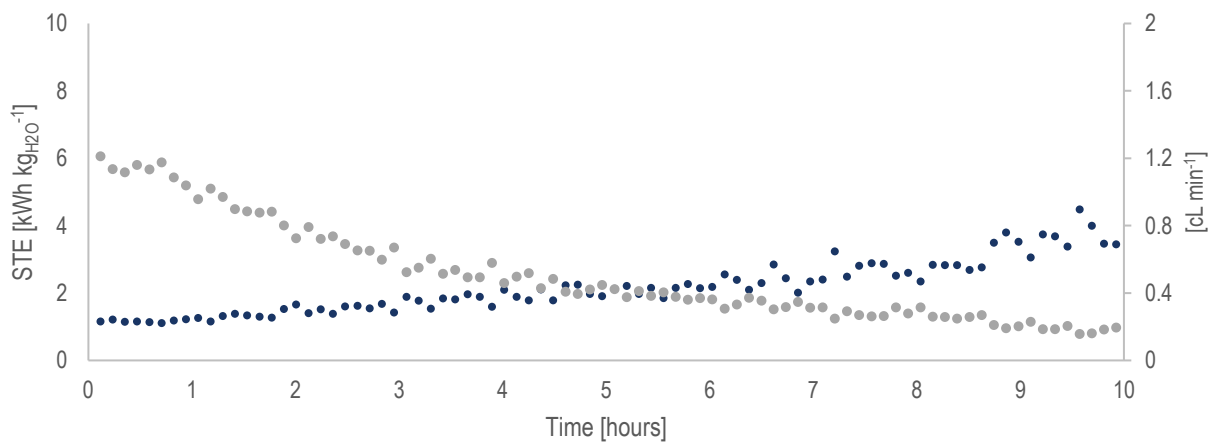
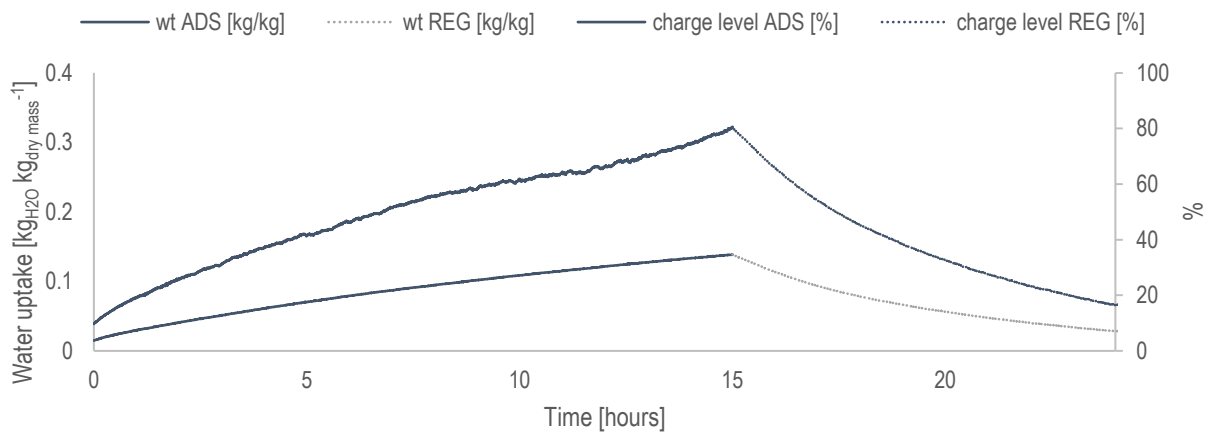
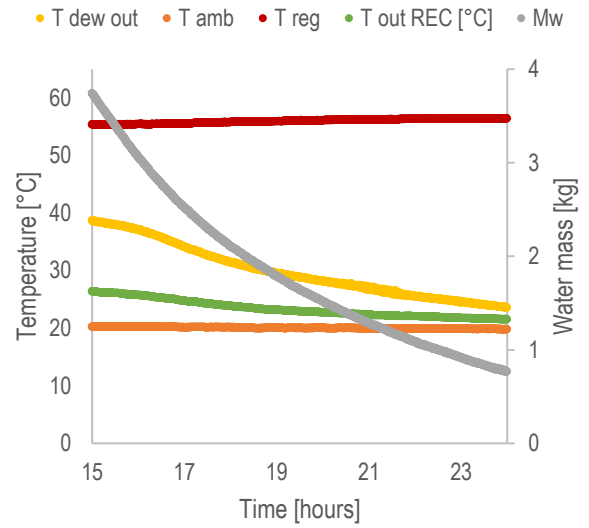
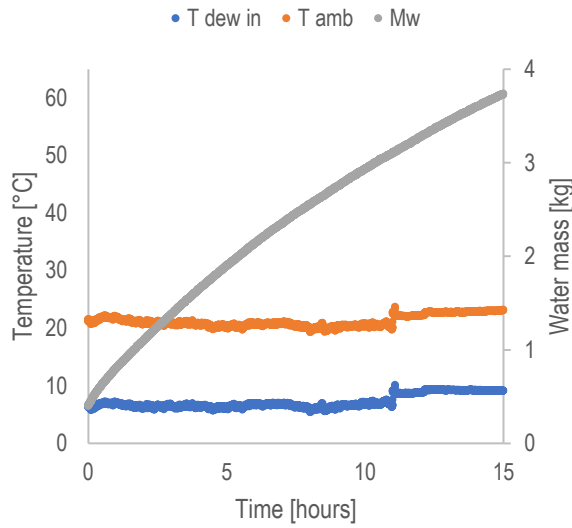


1754  
1755

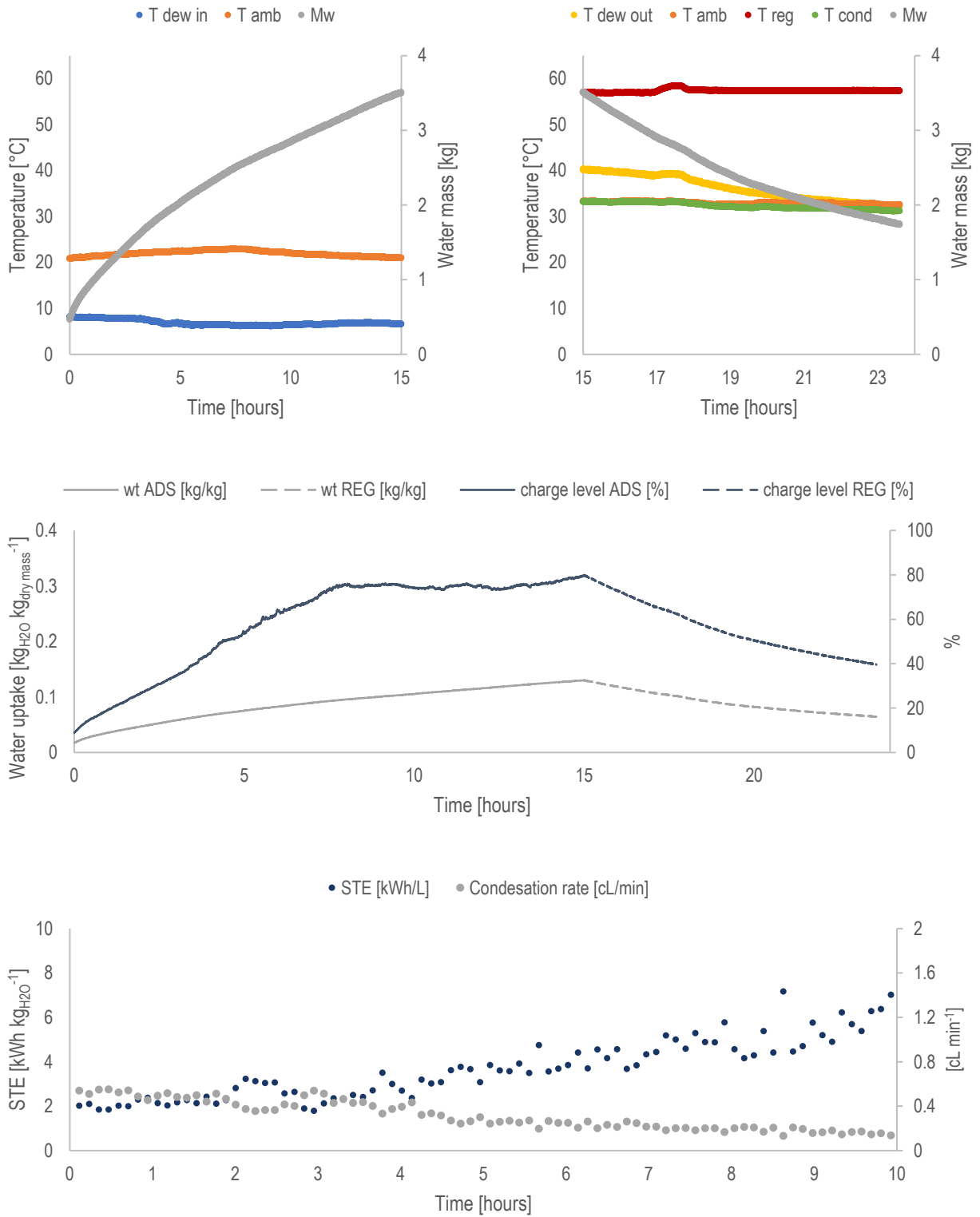
**Figure 4.15:** Effect of condensation temperature (20-35°C) on STE, thermal Efficiency and rate of water generation.



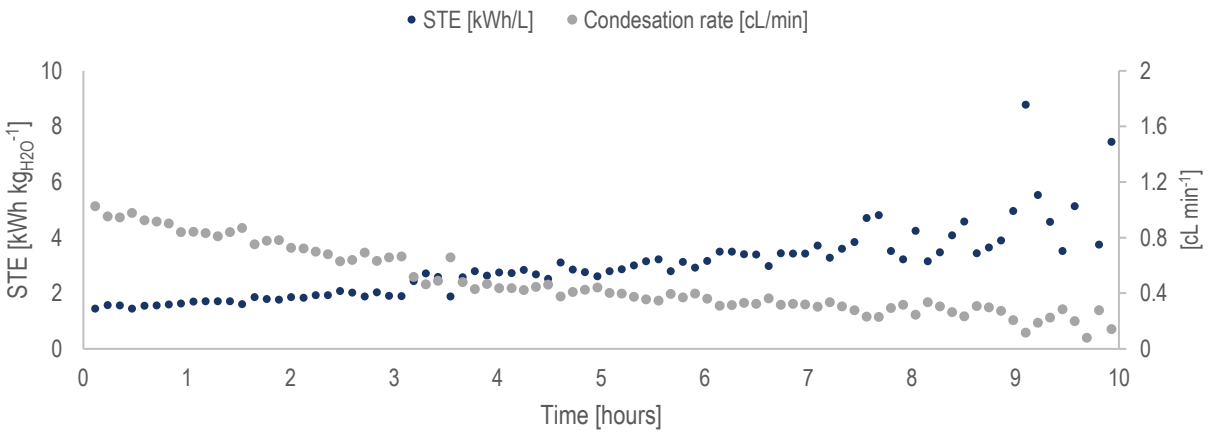
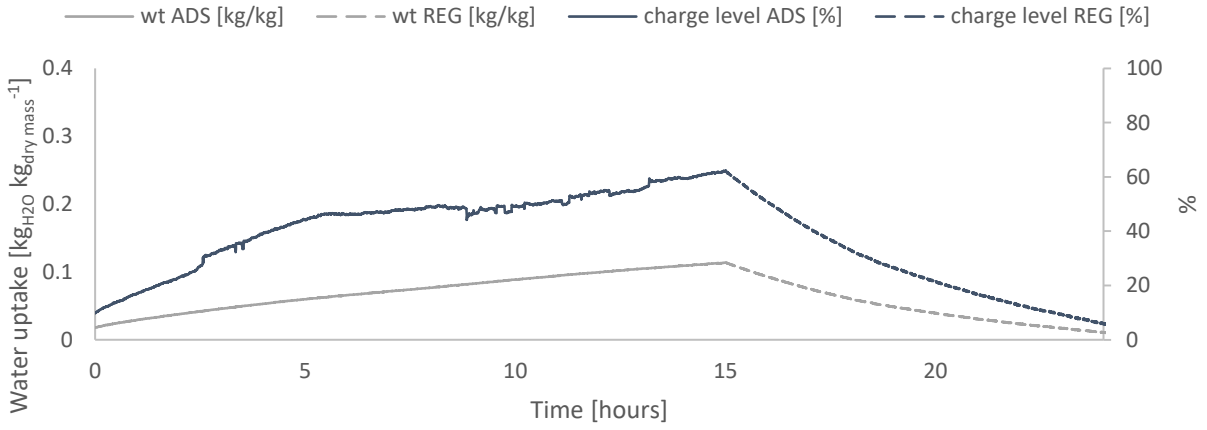
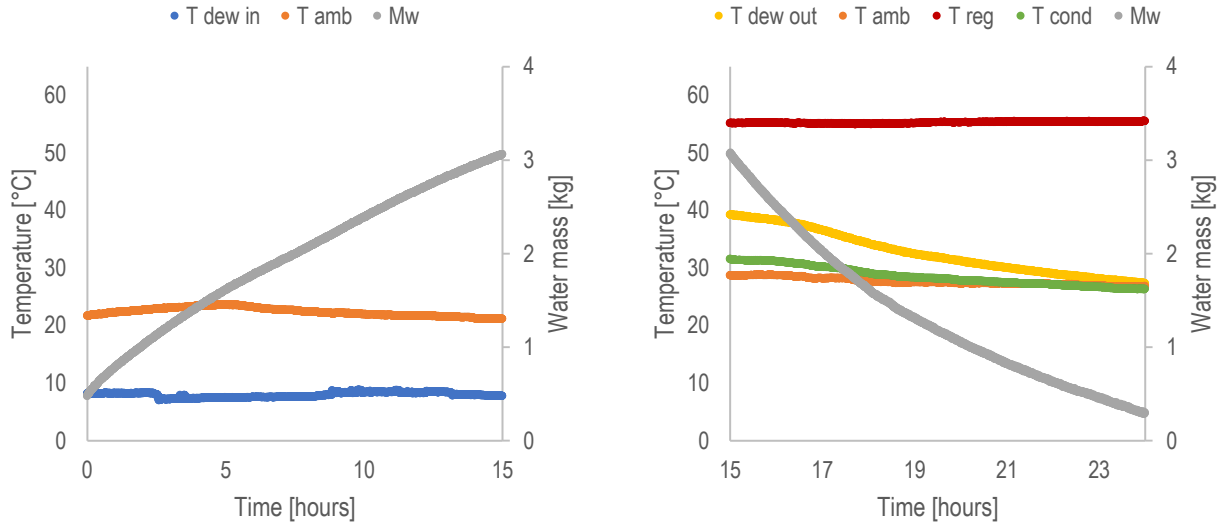
1757 Figure 4.16: Test 22-23



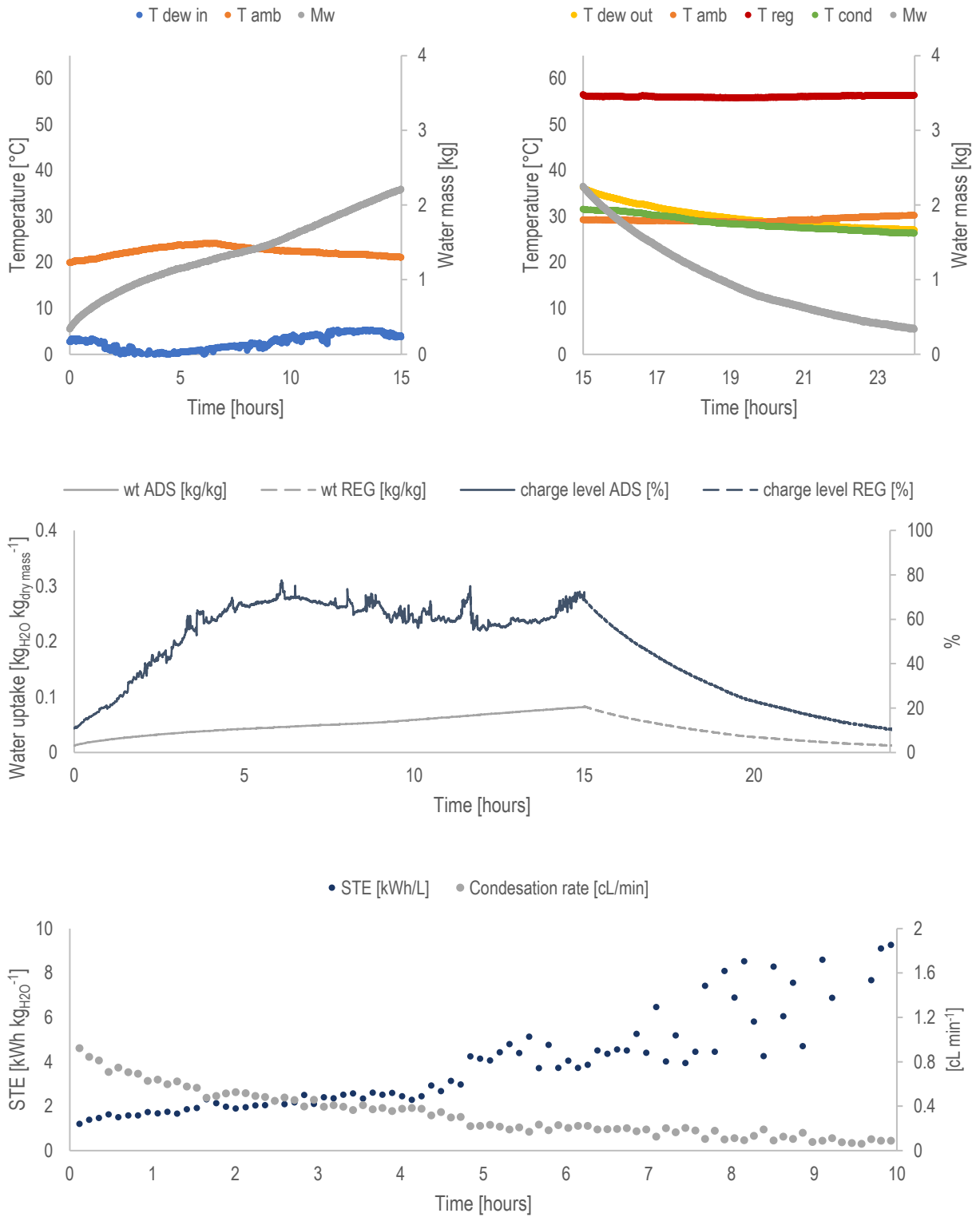
1758 Figure 4.17: Test 24-25



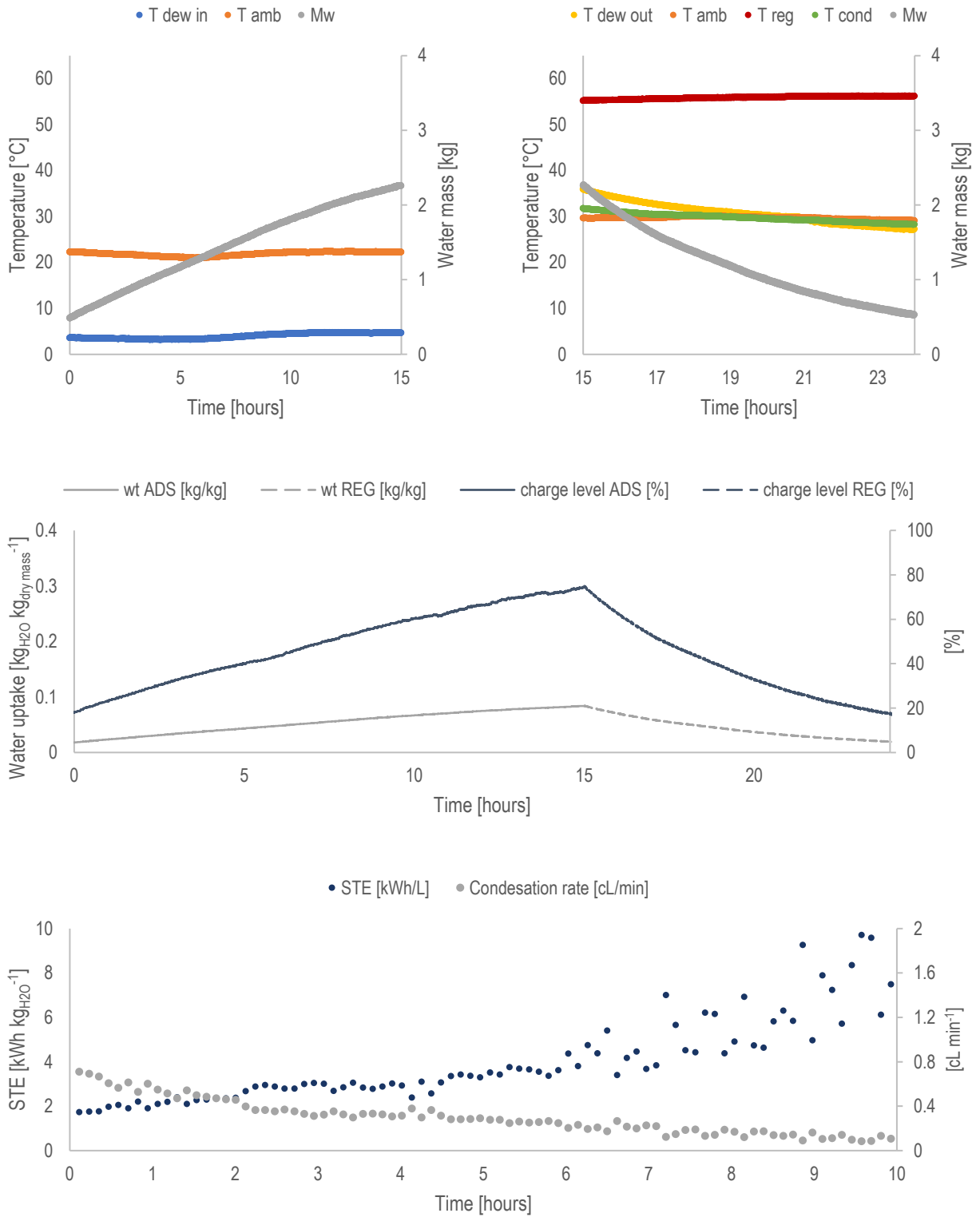
1760 **Figure 4.18:** Test 26-27



1762 Figure 4.19: Test 28-29

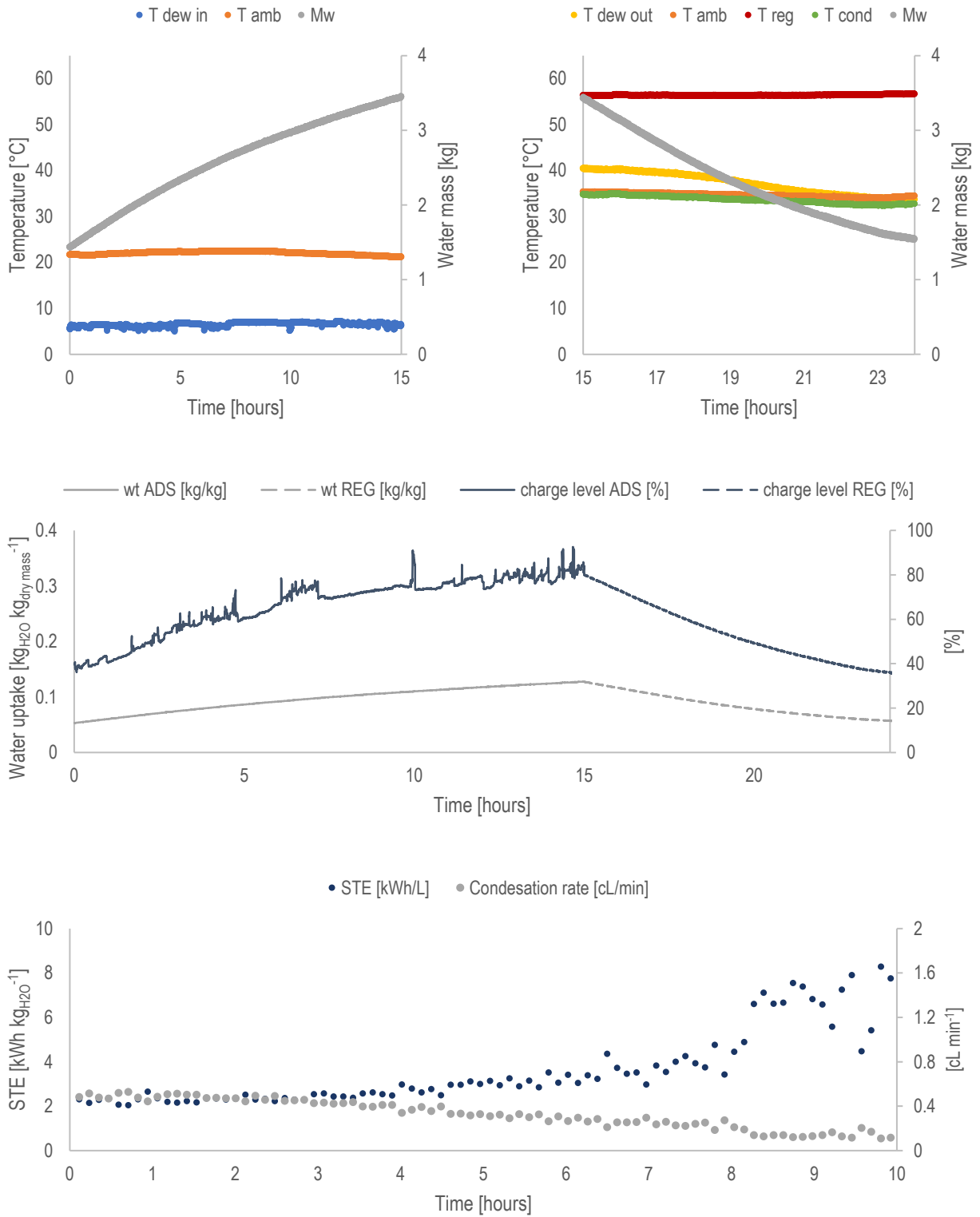


1764 **Figure 4.20:** Test 30-31

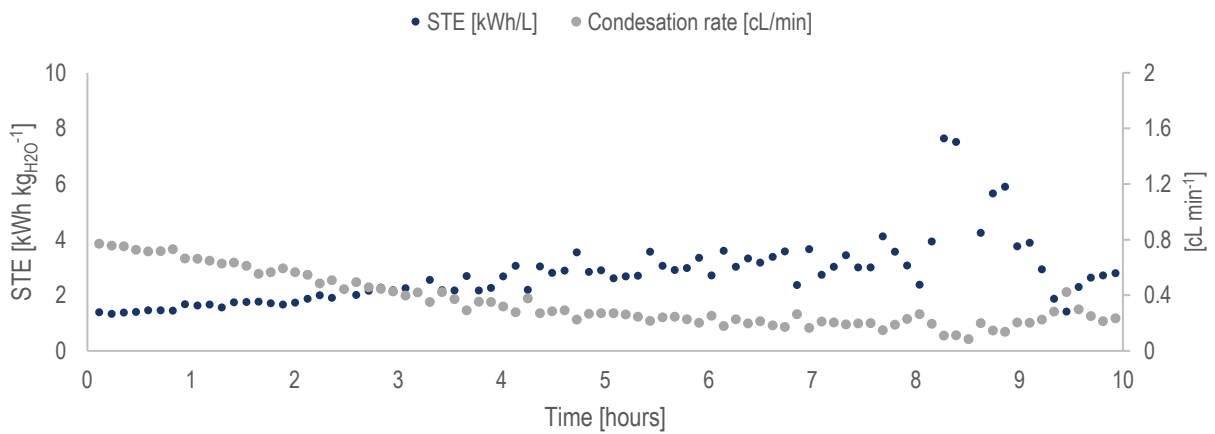
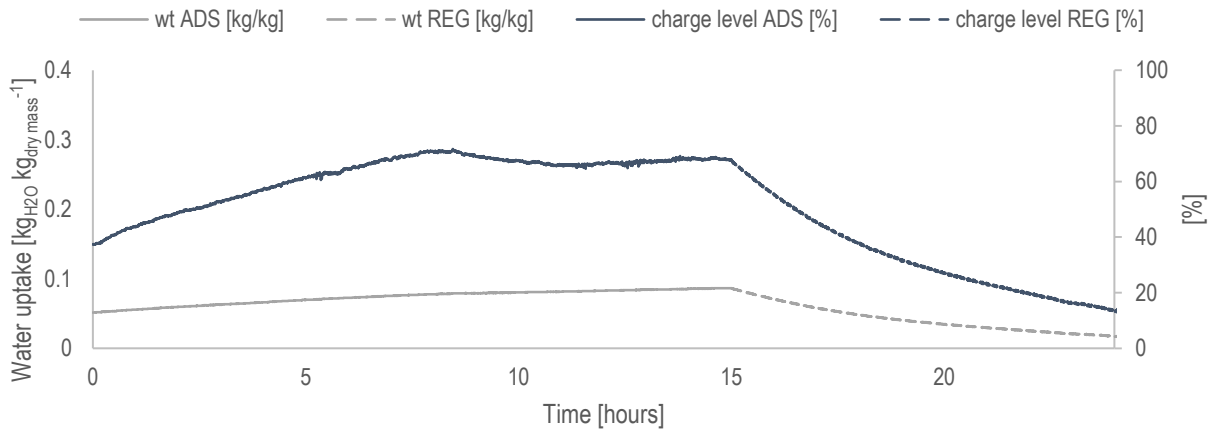
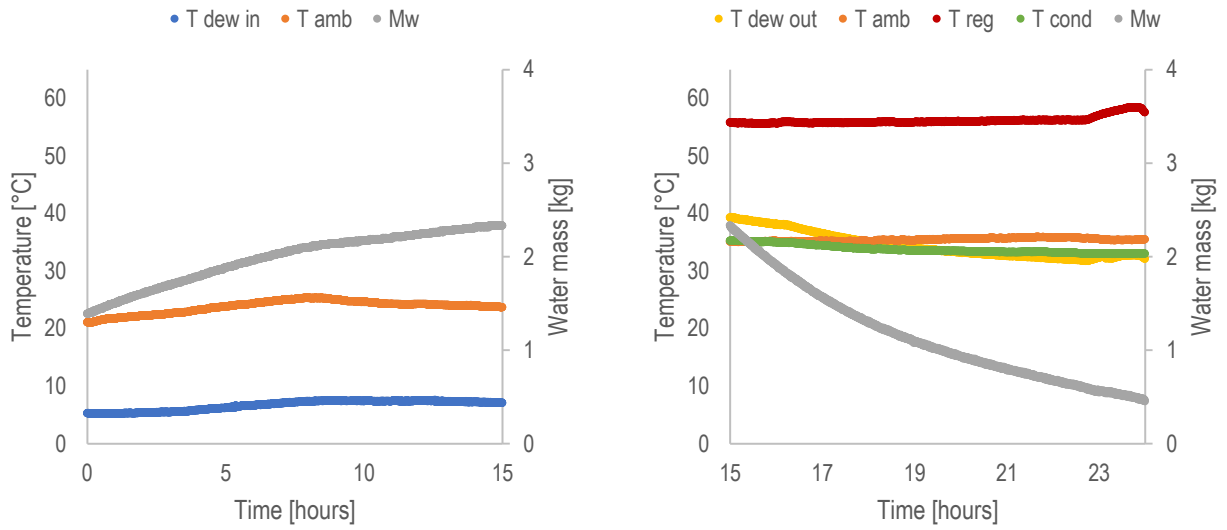


1766 **Figure 4.21:** Test 32-33





1768 Figure 4.22: Test 36-37

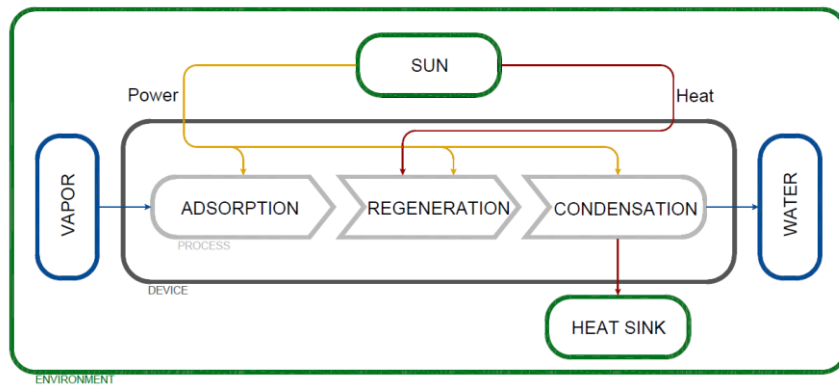


1770 **Figure 4.23:** Test 38-39

1771

## 1772 4.6 Comparison with other AWG devices

1773 Experimental analysis showed performances of the investigated configuration, emphasizing some important  
 1774 parameters: the total amount of heat used for the transformation, and then the efficiency; the total amount of  
 1775 produced water; the water uptake variation. In this paragraph will be carried out a comparison with other systems  
 1776 under development.[160],[163],[165],[166],[170],[203] [204]. In these papers, authors engineered solar driven  
 1777 prototypes, with the goal of producing liquid water out of the humid air using new and innovative sorbent  
 1778 materials and composites. The novelty of these systems mainly consists in the use of sorbent with enhanced  
 1779 water uptakes especially for dry climates ( $T_{dew} < 10^{\circ}C$ ). However, when the application at component/prototype  
 1780 level is tested in a real/simulated working environment, a general decline of performances from theoretical is  
 1781 experienced. Indeed, the working environment defines different constraints limiting presumed performances: for  
 1782 example, the use of solar energy, without concentration, limits the maximum working temperature in the range  
 1783 of 50-70°C, to guarantee reliable solar fractions all over the daytime [202]. In addition, condensing at ambient  
 1784 temperature, without refrigeration, implies typical minimum values around 20-35°C, according to the local  
 1785 climate. These values defined roughly boundary limits of an adsorption/desorption cycle operated in a real  
 1786 environment.



1787  
 1788 **Figure 4.24:** Sketch of the boundary for the energetic analysis of Atmospheric Water Generators

1789 Results from reference papers were used as baseline of comparison, applying a common methodology, for the  
 1790 estimation of related performances. Data needed for this comparison, often provided by authors, are the mass  
 1791 of collected/estimated water ( $M_w$ , obtained among the day or the experiment) and mass of dry sorbent ( $M_s$ )  
 1792 used in the prototype; specific incident solar radiation ( $G$ ); surface of solar collector aperture ( $A_C$ ); operational  
 1793 times for adsorption ( $t_{ADS}$ ) and regeneration ( $t_{REG}$ ). Among different possibilities for the evaluation of prototypes  
 1794 performances, following parameters have been chosen for their relevance:

- 1795 • the Specific Solar Energy (SSE) defines the total amount of solar radiation, incident over the collector  
 1796 aperture, necessary to produce 1 liter of liquid water, and is evaluated as the ratio between incident total  
 1797 radiation ( $A_C \sum_i^{t_{REG}} \Delta t_i G_i$ ) and  $M_w$ . The only difference with the STE is the limit boundary for the

1798 analysis (Figure 4.24): for the STE is only limited to the device, while for the SSE includes also the  
 1799 efficiency of the technology converting solar energy into heat.

- 1800 • The water uptake variation of the sorbent ( $\Delta_w$ ), between the adsorption phase and regeneration phase,  
 1801 evaluated as the ratio  $M_w/M_s$ ;
- 1802 • the global efficiency ( $\eta$ ) of the process, defined as the ratio between the energy content of produced  
 1803 water (the latent heat content of condensed water, at the specific condensing temperature  $H_w|_{T_{cond}}$ ),  
 1804 as the ratio  $A_C \sum_i^{t_{REG}} \Delta t_i G_i * (M_{w_i} H_{w_i}|_{T_{cond}})^{-1}$ .

Experiment			Material			
Ref#	Year	Description	Type	Mass	Volume	$H_{ADS}$
				kg	m <sup>3</sup>	kJ mol <sup>-1</sup>
1[205]	2017	RH controlled environmental chamber with solar simulator	MOF-801	1.79x10 <sup>-3</sup>	1.03x10 <sup>-5</sup>	45
		Outdoor with solar radiation	MOF-801	1.34x10 <sup>-3</sup>	7.75 x10 <sup>-6</sup>	45
2[170]	2018	Outdoor with solar radiation 0.8-1 kW/m2	MOF-801	2.98 x10 <sup>-3</sup>	1.08 x10 <sup>-5</sup>	45
		Outdoor with solar radiation 0.8-1 kW/m2	MOF-801	2.98 x10 <sup>-3</sup>	1.08 x10 <sup>-5</sup>	45
		Outdoor with concentrated (X1.8) solar radiation	MOF-801	2.98 x10 <sup>-3</sup>	1.08 x10 <sup>-5</sup>	45
3[160]	2019	Indoor water harvesting	MOF-303	4.33E-01	0.21	52
		Outdoor and solar electricity	MOF-303	4.33E-01	0.21	52
4[166]	2007	Controlled regeneration environment	MCM-41/CaCl2 30÷60%	0.1	-	50
		Outdoor, total passive solar device	MCM-41/CaCl2 53%	0.4	-	50
5[165]	2017	Outdoor, regeneration driven with air evacuated tube	ACF/CaCl2	2.25	-	-
		Outdoor, regeneration driven with air evacuated tube	ACF/LiCl	40.8	-	-
6[206]	2018	Evaluation of weight reduction after sun exposure, from complete saturated state	CuCl2	-	-	-
			CuSO4	-	-	-
			MgSO4	-	-	-
7[163]	2018	Proof of concept of sunlight regeneration. 0.8÷1.2 kW/m2	PAM-CNT-CaCl2	35	7.88 x10 <sup>-3</sup>	-
8[169]	2020	Rotating cylinder, 25% surface exposed to simulated sunlight	HCS-LiCl nanoparticle	2.93 x10 <sup>-3</sup>	6.92E-04	-
9[207]	2019	Thermal switching between hydrophilic/hydrophobic	PPy-Cl/poly-NIPAM	-	-	-

1805 **Table 4.4:** Summary table with the characteristics of the experiments for the reference papers.

1806 Data from reference papers needed for the evaluation of comparison parameters are collected in the Table 4.4  
 1807 and 4.5. In this study parameters needed to perform energetic analysis are directly sampled: inlet and outlet

1808 temperature of water stream ( $T_w$ ) during regeneration; the related mass flow rate ( $\dot{m}_w$ ). The solar energy is  
1809 then evaluated as the ratio between the heat absorbed by the adsorption heat exchanger  
1810  $\sum_i^{t_{REG}} (cp_w \dot{m}_w \Delta T_w)_i dt_i$  and the solar thermal collector efficiency  $\eta_{SOL}$ . This value, defined by the power  
1811 curve equation as in the standard EN12975, is equal to:

$$1812 \quad \eta_{SOL} = a_0 + a_1 * \frac{T_m - T_{amb}}{G} + a_2 * \frac{(T_m - T_{amb})^2}{G} \quad (4.14a)$$

1813 Where  $T_m$  is the medium temperature of the heat transfer fluid flowing through the plat plate collector;  $T_{amb}$  is  
1814 the external ambient temperature;  $G$  is conventionally a normal radiation equal to  $1000 \text{ W m}^{-2}$ ;  $a_0(0.82)$   
1815 considers the optical losses reducing the total amount of absorbed sunlight on the surface of collector;  $a_1(3.82)$   
1816 and  $a_2(0.01)$  are related to the thermal loss (radiative, convective and diffusive) in the conversion of the  
1817 absorbed sunlight in to heat.

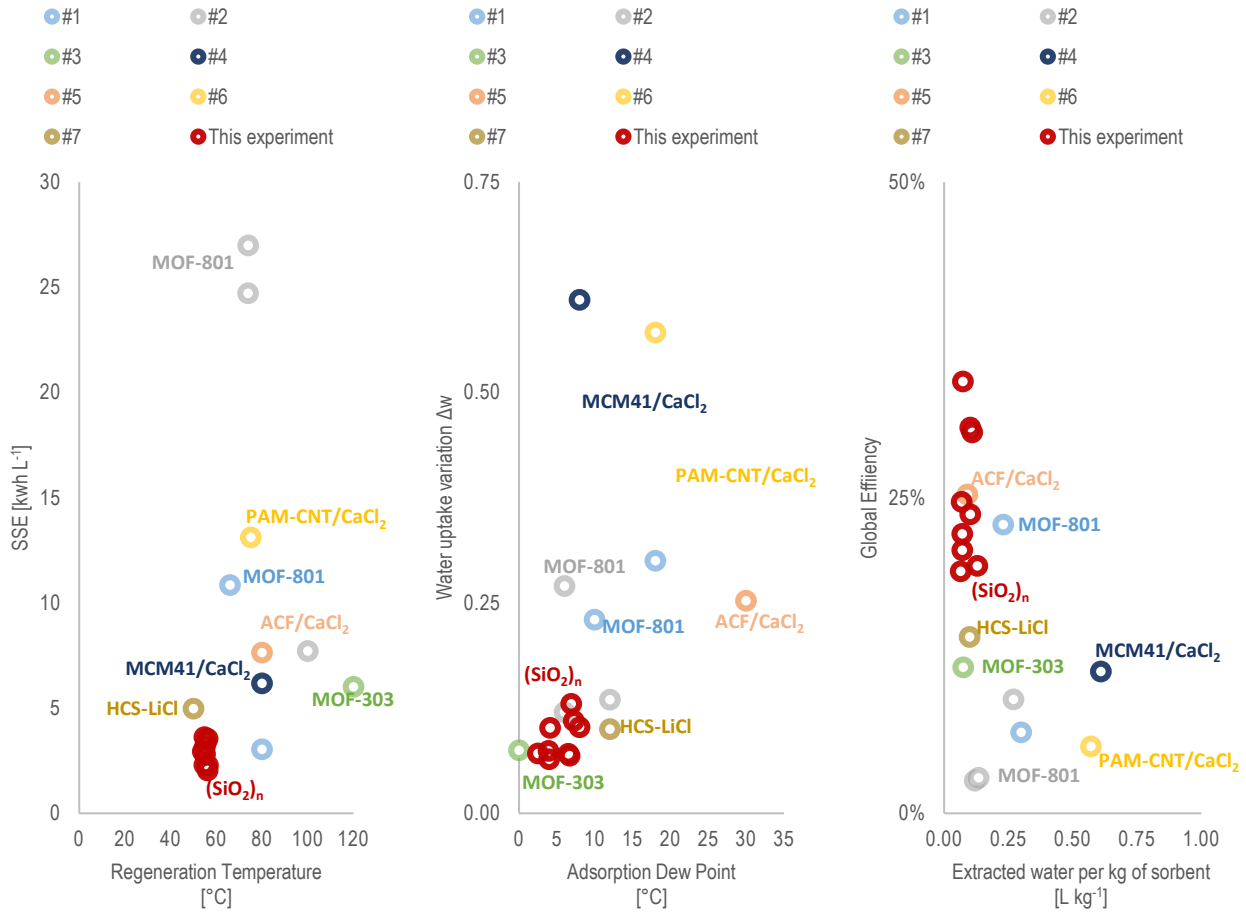
1818 Results of this comparison analysis are reported in Table 4.5 and Figure 4.25.

1819 Three graphs in Figure 4.25 depict a sort of rank among the different configurations and experiments. Lower  
1820 regeneration temperature led in general to lower SSE. But this is not sufficient. For example, on the left of Figure  
1821 4.25 is evident how the case of MOF-801 represent a sort of outlier from the rest of points. Main reason in this  
1822 case was a not high enough temperature for regeneration (without concentration lens) that basically resulted in  
1823 a failure test. Experiment carried out in this research showed lowest values of SSE at the lowest regeneration  
1824 temperature. Similar conclusion, but with opposite behaviour, comes from the graph on the right. In particular,  
1825 in this case it is interesting to see how, despite improved properties of adsorption material, resulting operation  
1826 is not necessarily associated with an improved performance of the system. Anyway, in the range of dew point  
1827 equal to  $3\text{-}10^\circ\text{C}$  silica gel showed comparable water uptake variation compared to one of the best materials  
1828 available today, MOF-801. Main merits for this equivalence are the reduced regeneration temperatures obtained  
1829 with an isothermal process. Despite these considerations, must be clarified that silica gel is not suitable for all  
1830 the climates. In very dry environments with dew points lower than  $0^\circ\text{C}$ , silica gel cannot exhibit any sufficient  $\Delta w$   
1831 to be applied in a cycle to produce water.

1832

#Ref	Adsorption							Regeneration					Condensation			
	T <sub>amb</sub> [°C]	T <sub>ADS</sub> [°C]	RH <sub>ADS</sub> [%]	P <sub>v</sub> [kPa]	T <sub>dew</sub> [°C]	W <sub>ads</sub> [g g <sup>-1</sup> ]	Time [hours]	How [-]	T <sub>REG</sub> [°C]	W <sub>REG</sub> [g g <sup>-1</sup> ]	Time [hours]	Δw [g g <sup>-1</sup> ]	How [-]	T <sub>cond</sub> [°C]	T <sub>amb</sub> [°C]	M <sub>w</sub> [kg]
1[205]	35	35	20	1.2	10	0.25	1.17	F/D	80	0.02	0.5	0.23	e	10	35	4.12*10 <sup>-4</sup>
2[170]	25	25	65	2	18	0.35	all night	A	66	0.05	8	0.3	e	23	32	4.02*10 <sup>-4</sup>
	20	17	40	0.94	6	0.28	8 ÷ 11	A	74	0.16	3.67	0.12	a	28 ÷ 33	28 ÷ 33	3.58*10 <sup>-4</sup>
3[160]	23	20	50	1.4	12	0.31	8 ÷ 11	A	74	0.175	4	0.135	a	30 ÷ 35	30 ÷ 35	4.02*10 <sup>-4</sup>
	20	17	40	0.94	6	0.28	8 ÷ 11	B	100	0.01	2	0.27	a	28 ÷ 33	28 ÷ 33	8.05*10 <sup>-4</sup>
	27	-	32	1.1	9	-	14 <sup>1</sup>	F/D	120	-	9 <sup>1</sup>	0.13 ÷ 0.16	d	0	27	1.3
4[166]	15 ÷ 25	-	10 ÷ 70	0.3 ÷ 1.2	-9 ÷ 9	-	12 ÷ 15 <sup>2</sup>	F/D	120	-	8÷10 <sup>2</sup>	0.03 ÷ 0.12	d	0	15 ÷ 25	0.55 ÷ 0.75
	10 ÷ 15	-	80 ÷ 90	1 ÷ 1.5	6.7 ÷ 13.4	0.8 ÷ 1.4	24	F/D	80	<0.1	2.5	-	-	-	-	0.24 ÷ 0.29
5[165]	10 ÷ 15	-	80 ÷ 90	1 ÷ 1.5	6.7 ÷ 13.4	0.87	all night	A	80	0.26	all day	0.61	c	-	-	0.223
	25	-	70	2.2	19.2	0.53	8	D	85	0.14	5	0.39	b	40	30	0.32
6[206]	30 ÷ 33	-	70 ÷ 85	3 ÷ 3.7	30	0.27	11	D	80	0.02	7.5	0.25	b	40	30	9
	22	-	60	1.6	13.9	-	>24	C	80	-	1.5	0.17	c	22	22	-
7[163]	22	-	60	1.6	13.9	-	>24	C	80	-	1.5	0.21	c	22	22	-
	22	-	60	1.6	13.9	-	>24	C	80	-	1.5	0.16	c	22	22	-
	26	-	60 ÷ 70	2 ÷ 2.4	17.6 ÷ 20	1.06	15	A	75	0.49	2.5	0.57	c	-	28 ÷ 32	20
8[169]	22	22	60	1.4	12	-	3 <sup>3</sup>	C	47 ÷ 50	-	1 <sup>3</sup>	0.1	c	22	22	1.25*10 <sup>-3</sup>
9[207]	25 ÷ 27	25	60 ÷ 90	2.1÷2.7	18÷22	5	4	A	42 ÷ 65	0.2	3.5	4.8	f	25	25	-

1833 **Table 4.5:** Summary of all the information recovered from reference paper for the evaluation of performance indicators as mentioned  
1834 above. The column "How" indicates the approach used to drive regeneration and condensation. For regeneration: A=direct sun  
1835 exposition; B=concentration of solar radiation; C=simulated solar radiation @1kW m<sup>-2</sup>; D=hot air; E=hot water; F=electric resistance  
1836 heater. For condensation: a=passive heat sink; b=dry cooler; c=passive surface condensation; d=vapor compression unit;  
1837 e=thermoelectric cooler; f=direct liquid recovery. <sup>1</sup> 9 cycles in 24 hours of around 1.5-2 hours of adsorption and 1 hour of regeneration;  
1838 <sup>2</sup> 8-10 cycles in 24 h of around 1.5-2 hours of adsorption and 1 hour of regeneration; <sup>3</sup> continuous regeneration operating 3 cycles in  
1839 4 hours of which 25% of time is regeneration and 75% adsorption



1840

1841 **Figure 4.25:** Comparison charts between reference paper [160].[163].[165].[166].[170].[203].[204] and this experiments. On the  
 1842 left the SSE is ordered over the specific regeneration temperature of those experiments. In the middle the total water uptake variation  
 1843 is compared using as reference the dew point during the respective adsorption phases. On the right the global system efficiency is  
 1844 ordered over the extracted liters of water per unit of dry sorbent mass.

1845 Thermal energy is not the only requirement to perform all the steps of a complete water production cycle  
 1846 (adsorption, regeneration, condensation). Indeed, the three process may need in general power to drive  
 1847 auxiliaries (fans, pumps, valves, etc...), as our case. Further on, some of the experiments analysed used active  
 1848 refrigeration [171] as a trick to assist the condensation step. This increase obviously the need of electric energy,  
 1849 and from the global point of view, may result in a reduction of efficiencies. The evaluation of the total electric  
 1850 energy requires than the average power for each step of the process ( $P_{el_{STEP}}$ ) and related time ( $t_{STEP}$ ).

$$1851 \quad E_{el} = P_{el_{ADS}} * t_{ADS} + P_{el_{REG}} * t_{ADS} + P_{el_{COND}} * t_{REG} \quad (4.14b)$$

1852 Where the term  $P_{el_{ADS}}$  typically takes into account power required to drive air fans, moving the air through the  
 1853 sorption material,  $t_{ADS}$  the duration of the adsorption phase;  $P_{el_{REG}}$  the power during regeneration, to heat up  
 1854 the sorbent and to drive all the required auxiliaries (air fan, pumps, valve). If liquid water is obtained condensing  
 1855 the air stream from regeneration with a pure passive method (dissipation through metallic heat sink exposed to  
 1856 ambient temperature and natural convection), then the term  $P_{el_{COND}}$  is null. Instead, when a dry cooler is driving  
 1857 condensation (temperatures higher than external ambient)  $P_{el_{COND}}$  is small but not null (auxiliaries of the dry

1858 cooler). Instead,  $P_{elCOND}$  is considerably high when active refrigeration is involved (temperatures lower than  
1859 external ambient). Total electric consumptions are reported in Annex E.

1860 For a feasible system is mandatory to reduce as much as possible this component, improving the design of the  
1861 system. In particular, reducing the power consumed by the fan to move the air through the ADS-HX. For this  
1862 purpose, much smarter configurations are needed in substitution of the packed bed configuration, as will be  
1863 showed in the conclusive chapter of this research.

## 1864 4.7 Numerical model of the packed ADS-HX

### 1865 4.7.1 Hypothesis and equations

1866 The theoretical model results in a set of energy and mass balances between the energy source (hot water), solid  
1867 mass of silica gel, and the gas phases (air and water vapor). The hypotheses necessary to define the final set  
1868 of equations are listed below:

- 1869 1. Adsorption/Desorption processes involve only water vapor and the sorbent. The presence of other non-  
1870 condensable gases is neglected
- 1871 2. Adsorption heat is generated inside the pores of the sorbent. The heat generation is function of the  
1872 water uptake of the material.
- 1873 3. Adsorption/Desorption processes are much faster than other phenomena (intraparticle diffusion; heat  
1874 exchange)[2],[56]. This lead to the assumption that near the sorbent surface, the local vapor/sorbent  
1875 equilibrium can be approximated with water-SiO<sub>2-n</sub>(H<sub>2</sub>O) adsorption equilibrium curves. With this  
1876 approach, the vapor mass transfer between air and SiO<sub>2-n</sub>(H<sub>2</sub>O) is a function of equilibrium conditions,  
1877 approximated with the Linear Driving Force (LDF) method [56],[208]. Anyway, due to the long duration  
1878 of experiments, the vapor diffusion inside a particle plays a significant role on global resistance to the  
1879 mass transfer.
- 1880 4. The phenomena are mostly mono-dimensional and coherent with the air flow direction.
- 1881 5. The significant heat transfer mechanism is convection between air and the solid surface of the sorbent  
1882 and the metal heat exchanger. Conduction and radiative exchange are neglected.
- 1883 6. The role of thermal losses towards external environment is minimized by the abundant use of thermal  
1884 insulation and are not considered in the energy balance.

1885 Based on this hypothesis the following set of heat and mass transfer differential equations are built to  
1886 describe: the heat and mass transfer between water vapor/ SiO<sub>2-n</sub>(H<sub>2</sub>O)/HX/water.

1887 The time dependency of water uptake is correlated to a mass transfer rate, defined with the LDF approach  
1888 as follow:

$$1889 \rho_b \frac{\partial W}{\partial t} = \rho_a K_G a_s (x_a - x^*) = \dot{G} \left[ \frac{kg}{m^3 s} \right] (4.15)$$



1890 where  $\rho_b$  is the bulk density of silica gel;  $W$  is the silica moisture content;  $K_G$  is the global water mass  
 1891 transfer;  $a_s$  the surface area;  $x_a$  the actual moisture of air measured at the bulk;  $x^*$  is the equilibrium value  
 1892 between the air and the solid sorbent. The equilibrium value is computed using the polymeric correlation of  
 1893 equation 2.5, as a function like  $RH^*=f(w,T)$ . The conversion from RH to moisture content is done using typical  
 1894 psychrometric equations as reported in chapter 3. The differential equations in space and time for the energy  
 1895 and mass balance are reported as following:

$$1896 \quad \varepsilon_b \rho_a \frac{\partial x_a}{\partial t} d_v = -\dot{m}_a \frac{\partial x_a}{\partial z} d_z - \dot{G} d_v \quad \left[ \frac{kg}{s} \right] \quad (4.16)$$

$$1897 \quad \varepsilon_b \rho_a c_{p_a} \frac{\partial T_a}{\partial t} d_v = -\dot{m}_a c_{p_a} \frac{\partial T_a}{\partial z} d_z + h_t (T_s - T_a) d_v - U_L a_L (T_a - T_{amb}) d_v + U a_F (T_a - T_w) d_v \quad [W] (4.17)$$

$$1898 \quad c_{p_b} \rho_s (1 - \varepsilon_b) \frac{\partial T_s}{\partial t} d_v = H_a \dot{G} - h_t (T_s - T_a) \quad [W] (4.18)$$

$$1899 \quad \rho_w c_{p_w} \frac{\partial T_w}{\partial t} d_v = -\dot{m}_w c_{p_w} \frac{\partial T_w}{\partial z} d_z - U a_F (T_w - T_a) d_v \quad [W] (4.19)$$

1900 Where (4.16) is the equation for the mass balance between the air and water vapor, (4.17) is the air thermal  
 1901 balance; (4.18) is the thermal balance of the sorbent mean; (4.19) is thermal balance of the water/heat exchanger  
 1902 complex.  $\varepsilon_b$  is the bed porosity of the tested ADS-HX configuration and equal to 0.427 and  $z$  is the axial direction  
 1903 of the air stream.

#### 1904 4.6.2 Auxiliary model equations

1905 Additional auxiliary equations are needed, together with the numerical algorithm, to solve the set of equations  
 1906 from (4.15) to (4.19).

1907 Air physical conditions are evaluate using equation (3.2) for the moisture content, (3.3) for the saturation  
 1908 pressure of water vapor, (3.11) for dependency of air density from temperature. The dynamic viscosity of air,  
 1909 specific heat and molecular diffusivity with the equations (4.20), (4.21), (4.22)[209]

$$1910 \quad \mu_a = \frac{(T_a + 300)}{50} \times 23.6 \times 10^{-7} + 184.6 \times 10^{-7} [Pa \cdot s] \quad (4.20)$$

$$1911 \quad c_{p_a} = 1884 x_a + 1004 (1 - x_a) \left[ \frac{J}{kg \cdot K} \right] \quad (4.21)$$

$$1912 \quad D_{m_{H_2O-air}} = 1.735 \times 10^{-9} \frac{(T_a + 273.15)^{1.685}}{P_{atm}} \left[ \frac{m^2}{s} \right] \quad (4.22)$$

1913 Silica gel properties dependent from temperature ( $T$ ) and water uptake ( $W$ ) are the specific heat (4.23) and the  
 1914 heat of adsorption (4.24) and (4.25).

$$1915 \quad c_{p_s} = 4186 W + 921 \left[ \frac{J}{kg \cdot K} \right] \quad (4.23)$$

$$1916 \quad H_{ads|W \leq 0.05} = 3500 - 13400 W \left[ \frac{J}{kg} \right] \quad (4.24)$$

$$1917 \quad H_{ads|W > 0.05} = 2950 - 1400 W \left[ \frac{J}{kg} \right] \quad (4.25)$$

1918 In addition to physical properties, other auxiliary equations are needed for the estimation of the heat and mass  
1919 transfer coefficients, necessary to solve the thermal and mass balance between air, silica gel, and the heat  
1920 exchanger.

1921 The global coefficient for the heat exchange between humid air and the heating/cooling water ( $\underline{U}$ ) [209]:[210] of  
1922 the equations (4.17) and (4.19) is evaluated with the equation:

$$1923 \quad \frac{1}{\underline{U}A} = \frac{1}{\eta_0 h_a A} + \frac{\ln(D_o/D_i)}{2\pi L k_{cu}} + R_i \quad (4.26)$$

1924 where A is the surface of thermal transfer media constituted by fin and tubes. The additional terms accounts for  
1925 the different resistance mechanism for the thermal transfer respectively: convective resistance, conductive  
1926 resistance of copper pipes, and internal resistance of water. These last term changes depending by the type of  
1927 operation, adsorption or regeneration. Indeed, during adsorption the water is static and not flowing. For this case  
1928 the value can be computed using the equation of conductive resistance through a pipe of cylindrical geometry.

$$1929 \quad R_i = \frac{\ln\left(\frac{D_i}{D_c}\right)}{2\pi L k_w} \quad (4.27)$$

1930 In this case was used the trick of considering a fictitious water pipe with a very small internal diameter, but not  
1931 null. In the case of regeneration, due to the water flow, the resistance is purely convective, and the heat transfer  
1932 coefficient  $h_i$  was estimated computing the Nusselt number with the Dittus-Boelter relation[209]:

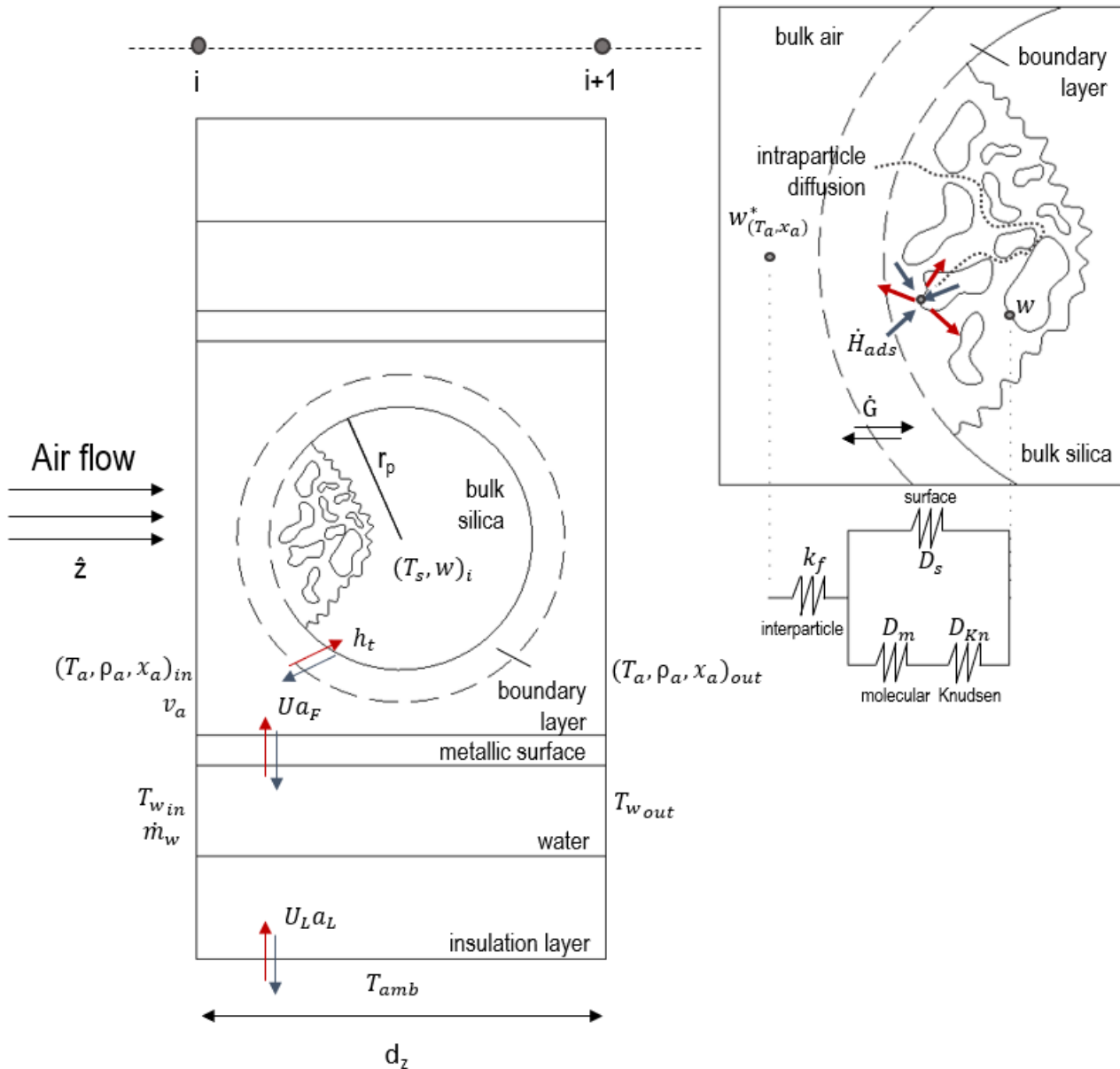
$$1933 \quad Nu = 0.023 Pr^{0.4} Re^{0.8} \quad (4.28)$$

1934 Heat transfer on the air side is mainly convective, and the related transfer coefficient  $h_a$  has been estimated as  
1935 function of the Nusselt number with the following equation [211]:[212]:[213].

$$1936 \quad h_t = \frac{k_a}{2R_p} (2 + 0.6 Re^{0.5} Pr^{0.33}) \quad (4.29)$$

1937 The estimation of global mass transfer coefficient  $K_G$  in equation 4.15, requires the computation of the global  
1938 mass transfer resistance, according to the LDF method. Through this approach only two main mechanisms  
1939 contribute to the global resistance. First, the convective mass transfer resistance between the bulk of the air  
1940 stream and the boundary layer (defining the transition from convection to diffusion mechanism) surrounding  
1941 sorbent surface. Second, the intraparticle vapor diffusion, function of the temperature and water uptake.

1942 According to the assumption three the adsorption reaction, being much faster than other mechanisms, is not a  
1943 determining step for the transfer resistance, defining an equilibrium condition on the adsorption sites. These are  
1944 located over the internal porosity of the material, that generate the intraparticle resistance.



**Figure 4.23a:** On the left schematic diagram of the heat transfer mechanisms considered for modelling the elemental cell of the ADS-HX. On the right a similar arrangement highlights the mass transfer resistances, and the generation/sorption of the adsorption heat.

1946 Here indeed, the diffusion mechanism is governed by two molecular interaction: the molecular collision regulates  
 1947 the diffusion through pores with a diameter sufficiently larger than the mean free path. The second interaction  
 1948 named Knudsen diffusion, between gas molecules and the solid surface, becomes more probable at smaller  
 1949 pore diameter, especially when this is comparable to the mean free path of the gas molecules. They both  
 1950 contribute in series for the diffusion of the gas from the bulk to the adsorption site. In parallel to this mechanism,  
 1951 there's the diffusion of the adsorbate phase over the solid surface that, driven by a gradient of the adsorbate  
 1952 phase, along the particle diameter, permeates through the internal part of particles. This mechanism is usually  
 1953 identified as surface diffusion.

1954 In definitive, the convective and diffusive resistances work in serious, while the gas phase diffusion (series of  
 1955 molecular and Knudsen diffusion) is in parallel with the adsorbate diffusion, the surface diffusion. This approach  
 1956 grounds on the electrical resistance analogy as applied in [214] for thermal and mass exchanges. The global  
 1957 mass transfer coefficient between water vapor and silica gel  $K_G$  can be estimated with the equation (4.30) [214].

$$1958 \quad \frac{1}{K_G a_s} = \frac{1}{\frac{h_m}{\rho_a} a_s} + \frac{1}{(1 - \varepsilon_b) K_p K_0} \quad (4.30)$$

1959 Where  $h_m$  is the convective mass transfer, function of air properties and the flow regime[211], and  $a_s$  the surface  
 1960 area generated by the random distribution of the beads on the confined volume of the heat exchanger. Evaluation  
 1961 of this number requires the knowledge of the molecular diffusivity of water vapor in air ( $D_{m_{H_2O-air}}$ ), and the  
 1962 Reynolds and Schimdt numbers:

$$1963 \quad h_m = k_f \rho_a = \frac{D_{m_{H_2O-air}}}{2 R_p} (2 + 0.6 Re^{0.5} Sc^{0.33}) \quad (4.31)$$

1964 The second term of the equation (4.30) is instead the cumulative resistance generated by multiple mass  
 1965 transport mechanisms within the porous structure of the sorbent, and mainly related to intraparticle  
 1966 diffusion[211]. This is the cumulative result of three sub-mechanisms, generating the global effective diffusion  
 1967 coefficient  $D_{eff}$ . Molecular and Knudsen diffusion are the resistance to the mass transport operating together  
 1968 at the pore scale while in parallel, the adsorbate diffusion at the surface level, that for this reason is typically  
 1969 called Surface diffusion. The global diffusion results from the parallel composition of the pore and surface  
 1970 resistances, and can be estimated through the (4.32):

$$1971 \quad D_{eff} = \frac{1}{R_{Surface}} + \frac{1}{R_{Pore}} = \frac{1 - \varepsilon_p}{\varepsilon_p} \frac{K_0 D_s}{\tau_p} + \frac{1}{\frac{\tau_p}{D_m} + \frac{\tau_p}{D_{Kn}}} \left[ \frac{m^2}{s} \right] \quad (4.32)$$

1972 Where  $K_0$  is the equilibrium constant equal to function of the silica water content and of air properties  
 1973  $(\rho_s w)/(\rho_a x_a)$ ;  $\varepsilon_p$  is the mean particle porosity equal to 0.35 for silica and  $\tau_p$  the tortuosity factor equal to  
 1974 1. The estimation of  $D_{eff}$  requires also the computation of separately of  $D_m$  with the (4.22) and  $D_{Kn}$ ,  $D_s$   
 1975 respectively with the equations (4.33) and (4.34). Finally the mass transfer coefficient due to the porous internal  
 1976 resistance  $K_p$  can be computed with the (4.35) [215] and used to solve the equation (4.30) for the estimation of  
 1977 the global mass transfer coefficient  $K_G$ .

$$1978 \quad D_{Kn} = \bar{r}_p 22.86 (T + 273.15)^{0.5} \left[ \frac{m^2}{s} \right] \quad (4.33)$$

$$1979 \quad D_s = \frac{D_0}{\tau_s} e^{-0.947 \frac{H_{ads}}{T+273.15}} \left[ \frac{m^2}{s} \right] \quad (4.34)$$

1980

$$K_p = \frac{60\varepsilon_p D_{eff}}{D_p^2 K_0} \left[ \frac{m}{s} \right] \quad (4.35)$$

1981 Having all the equations for the calculation of transfer coefficients, the solution of the differential equations set  
 1982 (4.15) (4.16) (4.17) (4.18) (4.19) requires a numerical method. All of them have been discretized in space,  
 1983 dividing the z continuous direction in finite elements of discrete  $\Delta z$  of 3 mm, and in time considering a finite  
 1984 timestep  $\Delta t$  of 1 second. The space derivative has been approximated using a backward finite difference method  
 1985  $\partial x / \partial z \approx (x_i - x_{i-1}) / \Delta z$  where x is the generic variable to be discretized and i is the generic element of  
 1986 the discrete space vector. The time derivative has been discretized with a backward Euler method, avoiding  
 1987 problems of solution instability,  $\partial x / \partial t \approx (x_i^{t+\Delta t} - x_i^t) / \Delta t$ .

### 1988 4.6.3 Numerical solution

1989 The following part will resume the numerical solution of differential equation set, and the different boundary  
 1990 conditions (BC) for the space vector, and the initial values (IV) for the time.

1991 Numerical solution of (4.15): silica gel water content

$$1992 \rho_b \frac{W_i^{t+\Delta t} - W_i^t}{\Delta t} = K_G a_s (x_{a_i}^t - \bar{x}_i^t) \quad (4.36)$$

$$1993 IV: W(z, t = 0) = W_0 \quad (4.37)$$

1994 Numerical solution of (4.16): Air-water mass balance

$$1995 \varepsilon_b \rho_a \frac{x_{a_i}^{t+\Delta t} - x_{a_i}^t}{\Delta t} d_z = -\dot{m}_a \frac{x_{a_i}^{t+\Delta t} - x_{a_{i-1}}^{t+\Delta t}}{\Delta z} d_z - K_G (x_{a_i}^{t+\Delta t} - \bar{x}_i^t) d_v \quad (4.38)$$

$$1996 x_a^{t+\Delta t} M_A = Q_A = (x_a^t + \Delta t A_2 \bar{x}_t) \quad (4.39)$$

$$1997 M_A = \begin{bmatrix} 1 + \Delta t(A_1 + A_2) & \dots & \dots \\ -\Delta t A_1 & 1 + \Delta t(A_1 + A_2) & \dots \\ \dots & -\Delta t A_1 & 1 + \Delta t(A_1 + A_2) \end{bmatrix} \quad (4.40)$$

$$1998 A_1 = \frac{v_a}{\varepsilon_b \Delta t}; A_2 = \frac{K_G a_s}{\varepsilon_b \rho_a}; \quad (4.41)$$

$$1999 IV: x_a(z, t = 0) = x_a(z = 0, t) = x_{a \text{ inlet}} \quad (4.42)$$

$$2000 BC: M_A(1,1) = 1; M_A(1,2) = 0; Q_A(1) = x_{a \text{ inlet}} \quad (4.43)$$

2001 Numerical solution of (4.17): Air thermal balance

$$2002 \varepsilon_b \rho_a c p_a \frac{T_{a_i}^{t+\Delta t} - T_{a_i}^t}{\Delta t} dV =$$

$$2003 -\dot{m}_a c p_a \frac{T_{a_i}^{t+\Delta t} - T_{a_{i-1}}^t}{\Delta t} d_z + h_t (T_{s_i}^t - T_{a_{i-1}}^{t+\Delta t}) dV - U_L a_L (T_{a_i}^{t+\Delta t} - T_{amb}^t) dV$$

$$2004 + U a_F (T_{a_i}^{t+\Delta t} - T_w^t) dV + Q_f dV \quad (4.44)$$

$$2005 T_a^{t+\Delta t} M_B = Q_B = T_a^t + \Delta t B_2 T_s^t + B_3 T_w^t + \Delta t B_4 T_{amb} + \Delta t Q_f \quad (4.45)$$

$$M_B = \begin{bmatrix} 1 + \Delta t(B_1 + B_2 + B_3 + B_4) & \dots & \dots \\ -\Delta t B_1 & 1 + \Delta t(B_1 + B_2 + B_3 + B_4) & \dots \\ \dots & -\Delta t B_1 & 1 + \Delta t(B_1 + B_2 + B_3 + B_4) \end{bmatrix} \quad (4.46)$$

$$B_1 = \frac{v_a}{\varepsilon_b \Delta Z}; \quad B_2 = \frac{h_t a_s}{\varepsilon_b \rho_a c_{p_a}}; \quad B_3 = \frac{U a_s}{\varepsilon_b \rho_a c_{p_a}}; \quad B_4 = \frac{U_L a_L}{\varepsilon_b \rho_a c_{p_a}}; \quad (4.47)$$

$$Q_f = \frac{\dot{m}_a}{2} \left( \frac{\dot{m}_a}{\varepsilon_b \rho_a A_b} \right)^2 \left( \frac{a_s \xi}{\varepsilon_b A_b} \right); \quad (4.48)$$

$$IV: T_a(z, t = 0) = T_a(z = 0, t) = T_{a \text{ inlet}} \quad (4.49)$$

$$BC: M_B(1,1) = 1; M_B(1,2) = 0; Q_B(1) = T_{a \text{ inlet}} \quad (4.50)$$

2011 Numerical solution of (4.18): SiO<sub>2</sub> thermal balance

$$c_{p_b} \rho_s (1 - \varepsilon_b) \frac{T_{si}^{t+\Delta t} - T_{si}^t}{\Delta t} = H_A K_G a_s (x_{a_i}^t - \bar{x}_i^t) - h a_s (T_{si}^{t+\Delta t} - T_{a_i}^t) \quad (4.51)$$

$$T_{si}^{t+\Delta t} = \frac{T_{si}^t + \Delta t C_1 (x_{a_i}^t - \bar{x}_i^t) + \Delta t C_2 T_a^t}{1 + \Delta t C_2} \quad (4.52)$$

$$C_1 = \frac{H_A K_G a_s}{\rho_s (1 - \varepsilon_b) c_{p_s}}; \quad C_2 = \frac{h a_s}{\rho_s (1 - \varepsilon_b) c_{p_s}}; \quad (4.53)$$

2015 Numerical solution of (4.19): Water loop thermal balance

$$c_{p_w} \rho_w \frac{T_{wi}^{t+\Delta t} - T_{wi}^t}{\Delta t} dV = -c_{p_w} \dot{m}_w \frac{(T_{wi}^{t+\Delta t} - T_{wi-1}^{t+\Delta t})}{\Delta z} d_z + U a_f (T_{wi}^{t+\Delta t} - T_{a_i}^t) dV \quad (4.54)$$

$$T_w^{t+\Delta t} M_D = Q_D = T_w^t + \Delta t D_2 T_a^t \quad (4.55)$$

$$M_B = \begin{bmatrix} 1 + \Delta t(D_1 + D_2) & \dots & \dots \\ -\Delta t D_1 & 1 + \Delta t(D_1 + D_2) & \dots \\ \dots & -\Delta t D_1 & 1 + \Delta t(D_1 + D_2) \end{bmatrix} \quad (4.56)$$

$$D_1 = \frac{\dot{m}_w}{\rho_w A_b \Delta Z}; \quad D_2 = \frac{U a_s}{\rho_w c_{p_w}}; \quad (4.57)$$

$$IV: T_w(z, t = 0) = T_w(z = 0, t) = T_{w \text{ inlet}} \quad (4.58)$$

$$BC: M_D(1,1) = 1; M_D(1,2) = 0; Q_D(1) = T_{w \text{ inlet}} \quad (4.59)$$

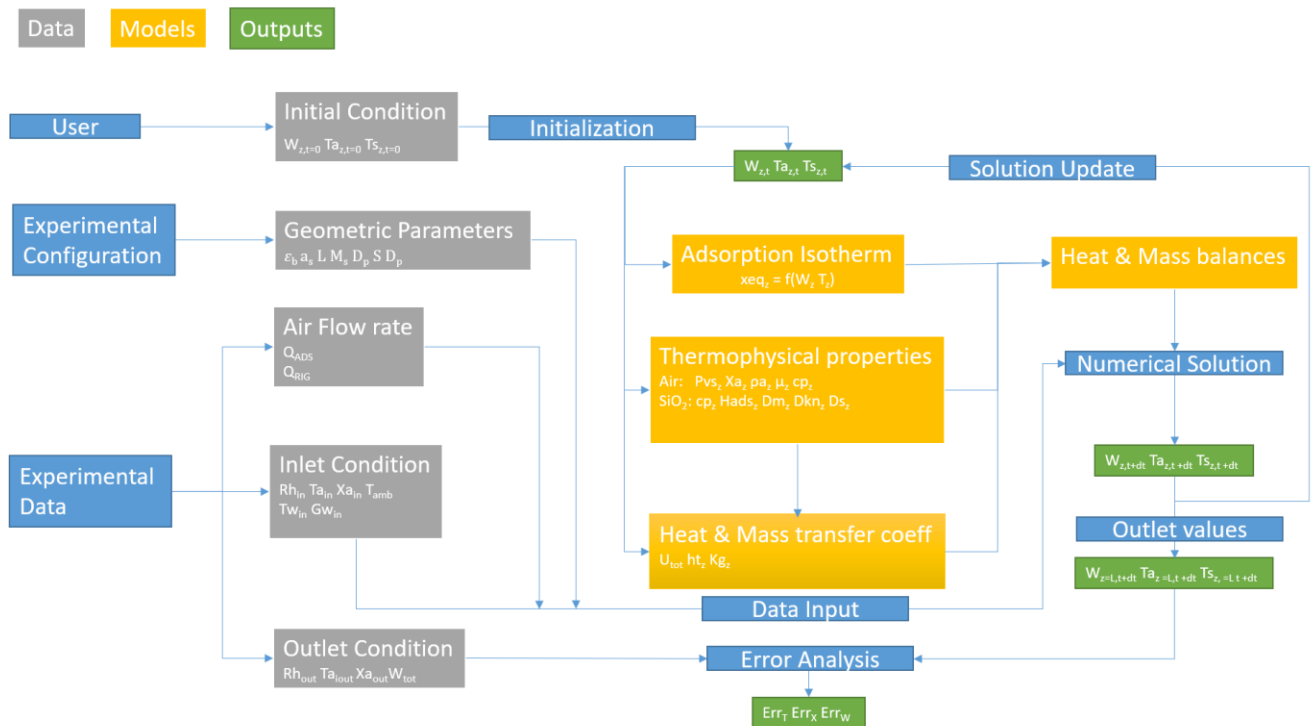
2022

2023 **4.6.4 Comparison with experimental results**

2024 To verify the validity of the model and numerical solution, a cross comparison of the model outputs against  
 2025 experimental results has been carried out for all the adsorption and regeneration tests mentioned in the previous  
 2026 paragraph. The diagram of the procedural algorithm is depicted in Figure 4.25.

2027 The numerical model requires first an initial configuration with the geometrical parameters of the ADS-HX,  
 2028 thermophysical intrinsic properties of air, silica and heat exchanger media. Experimental data such as air flow  
 2029 rate, water flow rate, inlet air conditions and inlet water conditions are used also as a dynamic input for the  
 2030 numerical model. The response of the model is compared with the corresponding outlet of the experiments, such  
 2031 as air temperature and moisture content, adsorbed water mass. Finally, the relative and absolute errors are  
 2032 evaluated to establish the quality of the model in both operational phases.

2033 Because the different time discretization of the data array and numerical results (~11 seconds against 1), the  
 2034 first operation is the homogenization of inputs, repeating the experimental value as much times as required to  
 2035 have coherent input vectors. After the computation of IV (initial values) and BC (boundary conditions), input  
 2036 values are used to estimate first the equilibrium values from the isotherms in each node of the z direction, second  
 2037 the thermophysical properties function of temperature and humidity, third the heat and mass transfer coefficients.  
 2038 All these parameters become the input for the heat and mass balances (4.36), (4.38), (4.44), (4.51), (4.54). The  
 2039 output of this process update then the IV, BC, for the second round of the iteration, and are compared with the  
 2040 experimental data. This process continues for the entire duration of the adsorption and regeneration tests.



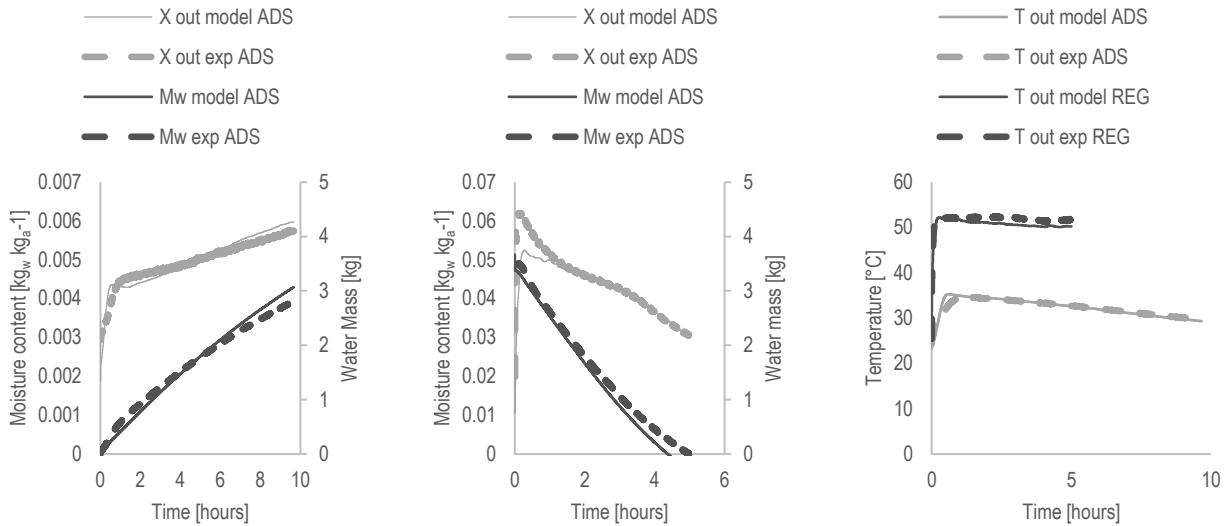
2041  
 2042 **Figure 4.25:** Diagram of the resolution algorithm of the numerical model for the silica packed bed ADS-HX. Grey box are the input  
 2043 data taken from the experiments, Yellow box identify the different passage of the numerical model for the estimation of equilibrium  
 2044 values, heat and mass transfer coefficients, and resolution of equations (4.36), (4.38), (4.44), (4.51), (4.54).

2045 An example of comparison is reported in Figure 4.26 and 4.27, where outlet moisture  $x_{out}$ , the outlet air  
2046 temperature  $T_{out}$  and water mass  $M_w$  are compared for tests #24, #25, #36, #37. Instead in Tables 4.6 and 4.7  
2047 are reported the comparison graph Experimental/Numerical with the bands of uncertainty.

2048 In both adsorption and desorption tests, the  $T_{out}$  showed the lowest discrepancy between model and  
2049 experimental data. On the contrary, the error analysis on both moisture content and water mass shows a  
2050 deviation in the last part of regeneration tests. Indeed, despite in the first part of the transient (5 hours), there is  
2051 good accordance between theory and experiments. After five hours, the error range increases due to the  
2052 overestimation of the desorbed water rate. In general, the model simulates much better the adsorption test than  
2053 the desorption, with a lower absolute and relative mean errors and less statistical dispersion during the 10 hours  
2054 transient. An explanation behind this difference can be the introduction of the uncertainty for the evaluation of  
2055 transfer coefficients. Indeed, solving equations (4.30) and (4.35) implies multiple numerical passages function  
2056 of air/sorbent equilibrium temperature and different hypothesis about material properties that most of the time  
2057 were done using data from literature. The adsorption process, differently from the regeneration, is less  
2058 dependent by the heat transfer. Indeed, water circulation was stopped during adsorption tests, and except for  
2059 the parasitic heat dispersions through the coil, the transformation can be considered as an iso-enthalpic.  
2060 Regeneration is on the contrary totally dependent by the heat transfer mechanisms, and their uncertainty can  
2061 amplify errors on the estimation of mass transfer coefficients.

2062 A second source of potential errors is the simplification operated through the LDF theory. This approach has  
2063 been used by many authors in literature, especially when applied for silica gel. This theory provides a good  
2064 compromise between the validity of the model outputs, and the computational efforts for their elaboration.  
2065 Anyway other study cases showed a characteristic behaviour that also this comparison highlighted:  
2066 underestimation of water uptake in the first transient and overestimation in the long period are typical of  
2067 algorithms based on the LDF method [211].[216].[217]. Most of the mismatch between experimental and  
2068 numerical values are within the first hour of functioning, especially for the regeneration cases, while in general  
2069 over the operational time the tendency is the alignment of curves. However, for the entire tests duration this  
2070 technique yields in the average errors in the range of  $\pm 10\%$  for the air properties during the adsorption tests.  
2071 The analysis of water mass error showed more accordance between numerical and experimental values, with  
2072 an average error within the interval of  $\pm 5\%$ .





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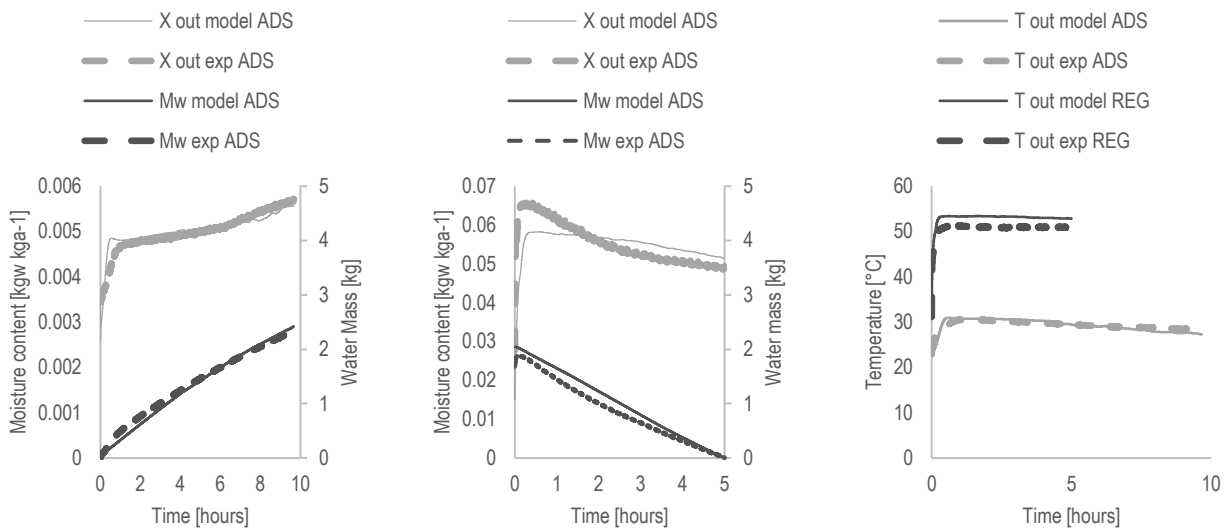
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**Figure 4.26:** Comparison between experimental data and model results of moisture content of outlet ai ( $x_{out}$ ) from ADS-HX, outlet air ( $T_{out}$ ) and water mass contained adsorbed by the sorbent beads ( $M_w$ ). On the left the comparison on  $x_{out}$  and  $M_w$  carried out for the adsorption test #24; in the middle the comparison on the comparison on  $x_{out}$  and  $M_w$  for both regeneration test #25; on the right the comparison on  $T_{out}$  for both adsorption and regeneration tests #24-25.

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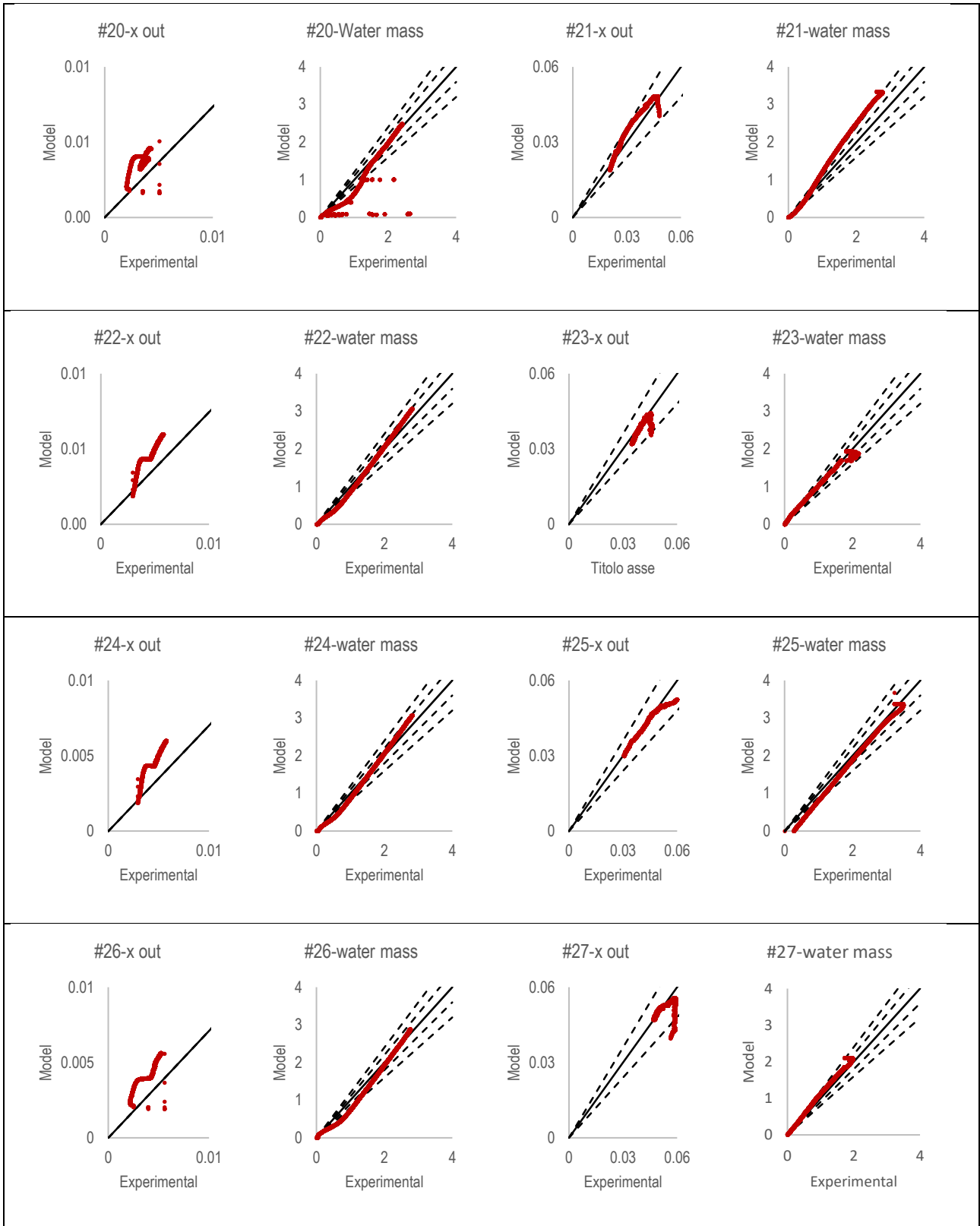
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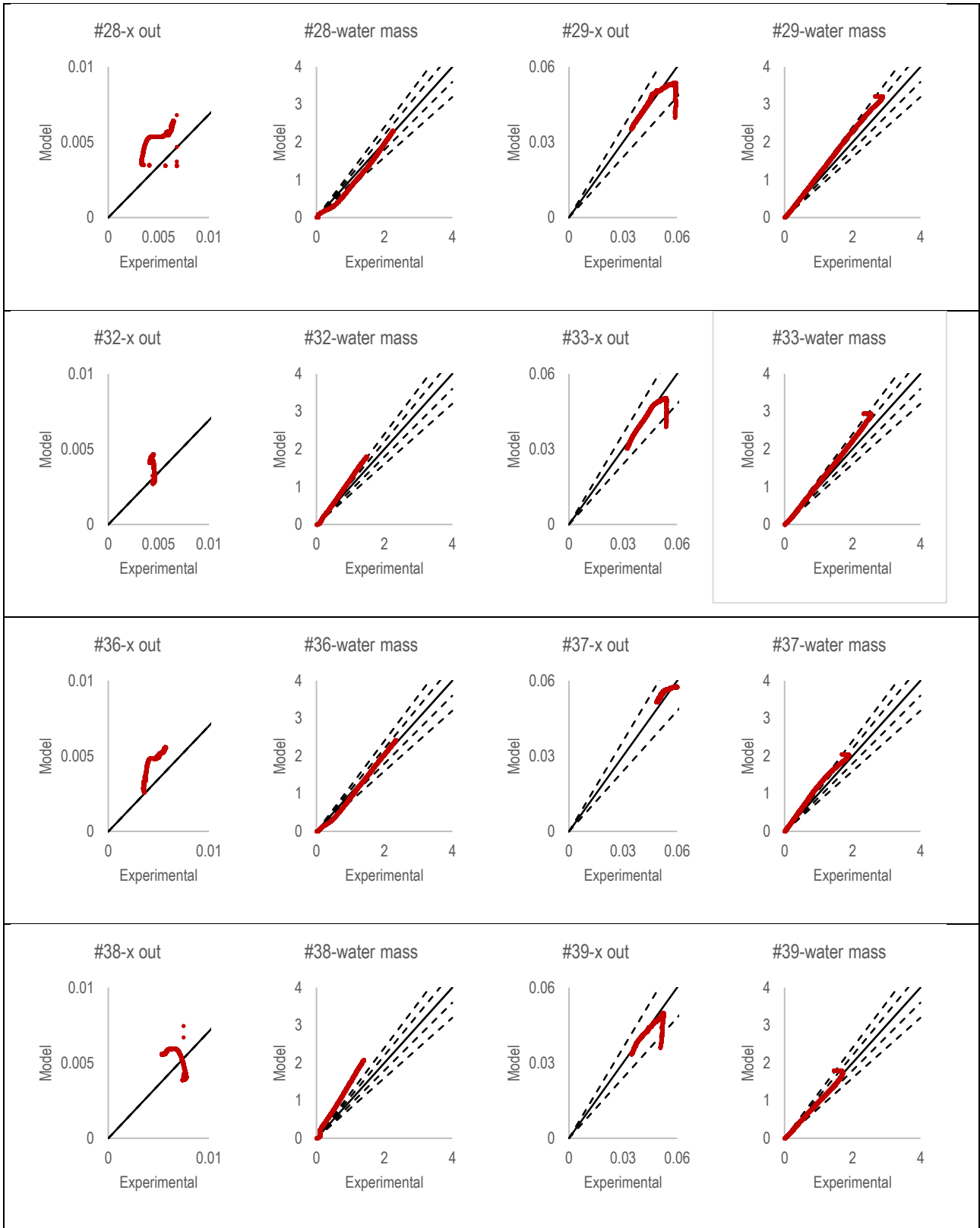
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**Figure 4.27:** Comparison between experimental data and model results of moisture content of outlet ai ( $x_{out}$ ) from ADS-HX, outlet air ( $T_{out}$ ) and water mass contained adsorbed by the sorbent beads ( $M_w$ ). On the left the comparison on  $x_{out}$  and  $M_w$  carried out for the adsorption test #36; in the middle the comparison on the comparison on  $x_{out}$  and  $M_w$  for both regeneration test #37; on the right the comparison on  $T_{out}$  for both adsorption and regeneration tests #36-37.



2084 **Table 4.6:** Comparison of experimental data against numerical, for each timestep of simulated experiments #20, #21, #23, #24, #25,  
 2085 #26, #27. The comparison is done on the two most interesting values: outlet moisture  $x_{out}$  ad water mass balance  $M_w$ . For the  $x_{out}$  graph  
 2086 dashed lines defines the uncertainty interval of  $\pm 20\%$ . For  $M_w$  graph the uncertainty interval is double, the first at  $\pm 10\%$ , the second at  
 2087  $\pm 20\%$ .

2088



2089 **Table 4.7:** Comparison of experimental data against numerical, for each timestep of simulated experiments #28, #29, #32, #33, #34,  
 2090 #35, #36, #37, #38, #39. The comparison is done on the two most interesting values: outlet moisture  $x_{out}$  ad water mass balance  $M_w$ .  
 2091 For the  $x_{out}$  graph dashed lines defines the uncertainty interval of  $\pm 20\%$ . For  $M_w$  graph the uncertainty interval is double, the first at  
 2092  $\pm 10\%$ , the second at  $\pm 20\%$ .

## 2093 Conclusions

2094 Global water vapor availability varies during the year, reaching higher values during the period of July-  
2095 September, and a total volume higher than 12000 km<sup>3</sup>. Most of the water is concentrated within the ICZ, but a  
2096 discrete availability can be found in zones that recently have experiencing a water stress situation with  
2097 occasional drought events: in north America, south Europe, the Asiatic and the MENA region. The definition of  
2098 a good performing cycle, as what studied in this research, enable the use of poor performing materials such as  
2099 silica gel, with water uptake as much as lower of 0.1 kg<sub>w</sub> kg<sub>SiO<sub>2</sub></sub><sup>-1</sup>, and compatible with most of the conditions of  
2100 water stressed regions. The realized prototype produced between 3.3 and 1.5 L of water, with low initial water  
2101 content of silica (<0.1 kg<sub>w</sub> kg<sup>-1</sup>SiO<sub>2</sub> typical of dry environments), regeneration temperature of 57°C, over an  
2102 operational time of ten hours. Compared to existent prototype in literature, this configuration is one of the most  
2103 efficient at the liter-scale dimension. Despite these evidences, it is clear that silica gel, given its intrinsic  
2104 properties, is not suitable for all the climates. In very dry environments, with dew points lower then 0°C, silica  
2105 gel cannot exhibit any sufficient Δw to be applied in a cycle to produce water. At the same time, very dry climates  
2106 are not necessary connected to a water stress condition. Further development will be carried out to apply this  
2107 cycle with more performing material, without increasing the regeneration temperature. The theoretical model,  
2108 based on the LDF theory, is in good agreement with experimental, in both adsorption and regeneration. The  
2109 estimated average errors on air temperature, air moisture and silica water content are below 10%. Depending  
2110 on weather condition (temperature and humidity), regeneration time with a minimum thermal efficiency of 50%  
2111 should not overcome 3-5 hours of functioning with initial water uptake between 0.1-0.2 kg<sub>w</sub> kg<sub>SiO<sub>2</sub></sub><sup>-1</sup>. The  
2112 developed model is a suitable tool for the design optimization of an engineered system according to the climatic  
2113 condition of a location. Further on, with a tuned calibration to overcome the errors generated with the LDF,  
2114 thanks to the very low computational costs (10 hours of real process simulated in less than 1 minute) this model  
2115 will be implemented on a new prototype version (Figure 4.28). This has been implemented to have an all in one  
2116 configuration, with all the components below two solar thermal panels. This model will be used as management  
2117 tool to establish control logic for the switch between to ADS-HX, according to the variation of climatic conditions.



2118  
2119 **Figure 4.28:** The autonomous Solar Atmospheric Water Generator (SAWG) presented at Polito at the Italian Techweek in 2019)

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## 5. Application of New Sorbent Polymers

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### Abstract

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In this section physical and chemical properties of various types of polymeric materials, possessing hydrophilic characteristics, have been investigated with the purpose of developing alternative configurations of a heat and mass exchanger to capture moisture from ambient air and convert it into fresh water. In the following sections, the different types of organic materials studied will be described, analyzing in detail the adsorption properties of the materials under well-defined atmospheric conditions, defined by the couple of T and RH. In the end, it will be described prototypes of ADS-HX that can be used to produce fresh water by exploiting the performance of various organics materials. Two materials have been studied: different type of liquid polymers, and a novel bio-hydrogels. Most of the research was carried out in the framework of the PrinceTo project, an exchange project with Princeton University. Promising results from the application of liquid polymers and biological hydrogels in the water harvesting sector. This step of the research, driven by the need for more performing materials in condition of drought, aims to overcome the low capacity resulted from the WAT-AIR Lab, identified as the main limiting factor for the increase of the water density of the SAWG prototypes.

### 5.1 Liquid Polymers

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The advantages of using liquid desiccant from the systemic point of view are multiple. The possibility to pump a fluid from the absorber to the regenerator has different implications on a final system. Indeed, if in a solid configuration the switching time and water uptake capacity, define most of the constraints about prototype capacity, in liquid configurations dynamic properties are definitively more relevant.

Decoupling the total mass of the desiccant of a system from the total water capacity, is the main implication of liquid systems, that may potentially generate positive consequences: a reduction of weight and the increase of miniaturization and transportability. This direction of the research aims to open new possibility for the exploitation of sorbents in the sectors of water harvesting.

One of the oldest studied liquid desiccant are water solution of calcium chloride and lithium chloride [89]. Typical problems that have characterized this solution are the typical corrosion with metallic surface; higher regeneration temperature if compared with silica gel, reducing the exploitability of solar energy; being irritating for human mucous, the carry over problems are a crucial aspect for system dimensioning.

The main driver for this research was always the necessity of dealing with materials offering an easy switch between the phase of water capture and regeneration, applying a thermal lift of the order of 30°C, and with maximum regeneration temperature defined by the constraints of efficient solar energy exploitation, as extensively defined in the Chapter 4.

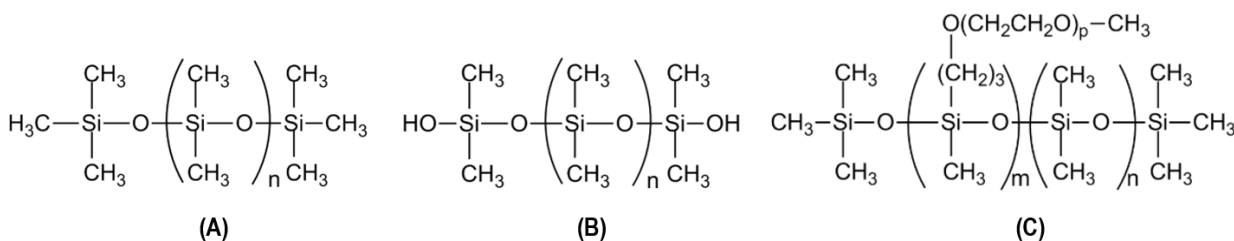
2152 The analysis of typical sorbent properties in the Chapter 2 highlighted the importance of functional groups over  
 2153 the structure, activating, modifying and improving the affinity with water. In this sense the role of silanols and  
 2154 hydroxyls are relevant on the definition of water uptakes.

2155 Over different alternative materials, a particular effort was carried out on the study of dimethylpolysiloxane  
 2156 (PDMS) properties. This organosilicon polymer in its basic form is hydrophobic. This characteristic, together with  
 2157 the thermal stability and solvent resistance has been widely exploited in many industrial applications[218], such  
 2158 as water-repellent for textiles. There's a rising sector, namely microfluidics for biomedical applications, that is  
 2159 actually looking to the exactly opposite properties[219-224]. The modification of PDMS, to improve its properties  
 2160 for specific applications was investigated under three different approaches: bulk, surface and functionalization.  
 2161 If the first two resulted in less stable modification, the third has a very important effect on the long term properties  
 2162 modifications.

2163 Functionalization of the polymeric chain implies the substitution of some of the methyl groups with alternative  
 2164 functional groups. In Figure 5.1 are presented three different PDMS types analysed and tested in this research,  
 2165 and provided by Gelest [225-228]. The first, a typical standard PDMS-CH<sub>3</sub> with methyl terminations, is  
 2166 presenting as an optically clear oil, inert and non-dangerous material (non-toxic and non-flammable)[229].

2167 The second is a PDMS in which terminal methyl groups are substituted with hydroxyl groups. Investigating this  
 2168 configuration of the material has the role to verify the effect on the interfacial surface tension of the  
 2169 chain[230][231], especially when highly hydrophilic groups are attached. This configuration will be named for the  
 2170 rest of the text PDMS-OH

2171 The third configuration is a substantial modification of material properties, caused by the functionalization of the  
 2172 chain with the insertion of a poly-ethylene-oxide chain, deeply changing the properties of this material. This  
 2173 block-copolymer configuration is defined as PDMS-b-PEO [232-234]. Presence of -PEO chain may change the  
 2174 distribution of the electronic cloud around the polymeric molecule, creating a periodic induced dipole that gives  
 2175 stable hygroscopic properties[235].



2176  
 2177 **Figure 5.1:** Different functionalized PDMS types. A is a pristine PDMS polymeric chain -methyl terminated (PDMS-CH<sub>3</sub>). B is a PDMS  
 2178 polymeric chain -hydroxyl terminated (PDMS-OH); C is a PDMS polymeric chain functionalized with poly-ethylene-oxide groups  
 2179 (PDMS-b-EO)

2180 Two different configurations of this last polymer have been analysed, PDMS-b-PEO 60% and PDMS-b-PEO  
 2181 80% where the percentage represent the concentration of the ethylene oxide over the entire structure. Higher  
 2182 concentrations result in higher water solubility. For example, materials with ethylene oxide contents higher of

2183 75% are freely soluble in water. Differently from the others, this presents some health risks, classified with an  
2184 hazard label similar to calcium chloride (GHS07).

2185 In addition to this list a further polymer has been investigated, a tri-ethylene-glycol, widely known in literature for  
2186 its hydrophilic properties [236-240].

### 2187 **5.1.1 Equilibrium tests**

2188 The measurements of the vapor pressure and moisture content of water-air in equilibrium with the liquid polymer  
2189 at different water content and temperature was realized with the setup sketched as in Figure 5.2.

2190 The concept behind the experiment is very simple: within a sealed volume is poured a fixed and known amount  
2191 of liquid material. If the liquid has some desiccant properties, the water vapor contained in the air volume will be  
2192 absorbed, until an equilibrium condition will occur. The equilibrium, dependent by the temperature of both the  
2193 liquid and the air volume, is reached when the vapor pressure dispersed in the air is equal to the pressure of  
2194 water absorbed in the liquid substances.

2195 The possibility to change the vapor pressure are two: i) adding water mass to the volume; ii) changing the  
2196 temperature of the volume.

2197 The volume is realized with a cylindrical borosilicate glass beaker of 450 mL capacity. To confine and seal this  
2198 air volume was realized a specific lead, with a lateral Teflon tape, guarantying as much as possible the air  
2199 sealing. The lead is provided with specific supports to sustain a 4x4cm axial fan, and two small apertures. One  
2200 for the cables powering the fan and the sensors (5 mm diameter), the other is allowing the passage of a syringe  
2201 needle (0.5 mm). Once the electrical connections were assembled, the cable passage through the lead was  
2202 sealed with an impermeable glue, while the small hole for the syringe was not sealed. The lead was realized  
2203 with a stereolithographic printer with high resolution (Form2), guarantying a very small tolerance between the  
2204 needle and the 0.5 mm hole, to reduce eventual vapor leaks.

2205 The lead is equipped with the 5 volts DX axial fan, to create a continuous air mixing in the beaker, reducing as  
2206 much as possible the diffusive resistances on the air side. Further, an integrated circuit temperature sensor  
2207 (LM35CAZ,  $\pm 0.2^{\circ}\text{C}$ ) measures the temperature of the air, while a thermoset polymer capacitive sensor  
2208 (HIH4000-4,  $\pm 3.5\%$ ) measures the relative humidity. A DS18B20 digital sensor provide instead the temperature  
2209 of the liquid desiccant contained in the bottom of the beaker. Resulting of the setup is showed in picture of Figure  
2210 5.2.

2211 The beaker was inserted within an insulating foam to guarantee an adiabatic condition all over the lateral surface,  
2212 reducing as much as possible heat dispersions. The bottom of the beaker is in direct contact with the hot plate  
2213 of the magnetic stirrer (the stir bar is inside the beaker, and activated @200 rpm, to guarantying the continuous  
2214 homogeneity of the desiccant reducing as much as possible the relevance of the liquid diffusive resistances).

2215 The system is regulated with the thermostat of the stirrer, to maintain a constant temperature over the surface

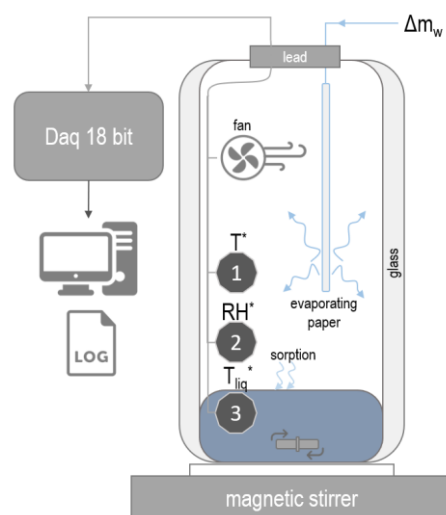
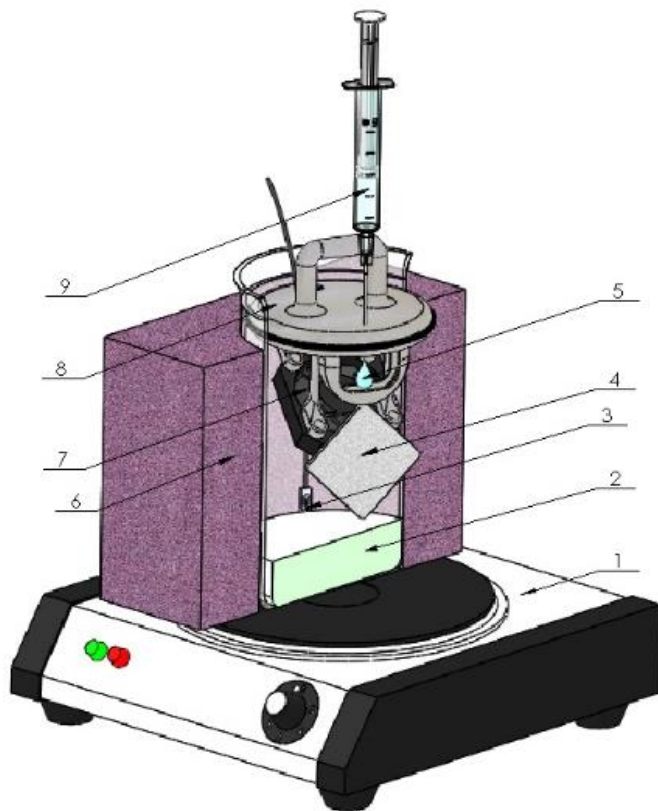
2216 of the hot plate, and then of the air/liquid mixture in the beaker. All the sensors were connected to Particle Photon  
2217 shield, equipped with an ARM Cortex M3 processor, and 8 DAC channels @12bit and maximum input of 5V.  
2218 Elaborated digital data were then communicated to a central server, through WiFi communication protocols.

2219 Testing methodology is elaborated through the following passages:

- 2220 • desiccant samples of PDMS-CH<sub>3</sub>, PDMS-OH, PDMS-b-PEO, TEG were constantly stored in a  
2221 ventilated oven, at constant temperature of 80°C. This temperature provides a very dry environment,  
2222 without deteriorating the materials;
- 2223 • 20 grams of liquid polymer are poured inside the beaker and weighted with a 5 digits analytical balance.  
2224 The beaker was previously cleaned with isopropyl alcohol, and dried with compressed air;
- 2225 • once the mass of the sample is reached the beaker is closed with the sealed lead and stirred @200rpm.  
2226 With the thermostat is fixed the desired testing temperature. The air fan is always activated;
- 2227 • for tests at ambient temperature around 5 minutes are necessary for the desiccant to reach the local  
2228 temperature (20-22°C). While for higher temperatures (up to 50°C) the elapsed time for equilibrium was  
2229 higher;
- 2230 • air temperature, relative humidity and liquid temperature are constantly monitored and logged with a  
2231 timestep of ~ 5 seconds;
- 2232 • the first point of equilibrium is between the air (at initial condition as the Lab T and RH) and the dry liquid  
2233 sorbent, with a water uptake ~0%;
- 2234 • through the syringe is injected a known volume of water, equal to 0.2 mL, that corresponds to 1% of the  
2235 mass of the initial dry desiccant. Some parallax errors can occur during this phase. To minimize as much  
2236 as possible this source of error, was used a calibrated syringe with 0.5 mL of capacity, and a graduated  
2237 scale of 0.005mL;
- 2238 • after a while a new equilibrium condition is reached. The second injection of 0.2mL will establish a new  
2239 equilibrium. In this way a step increase of 1% water uptake is realized and progressively performed until  
2240 the RH of the air in equilibrium with the desiccant reached values close to 90%, meaning that the sorbent  
2241 is saturated, at the temperature T.

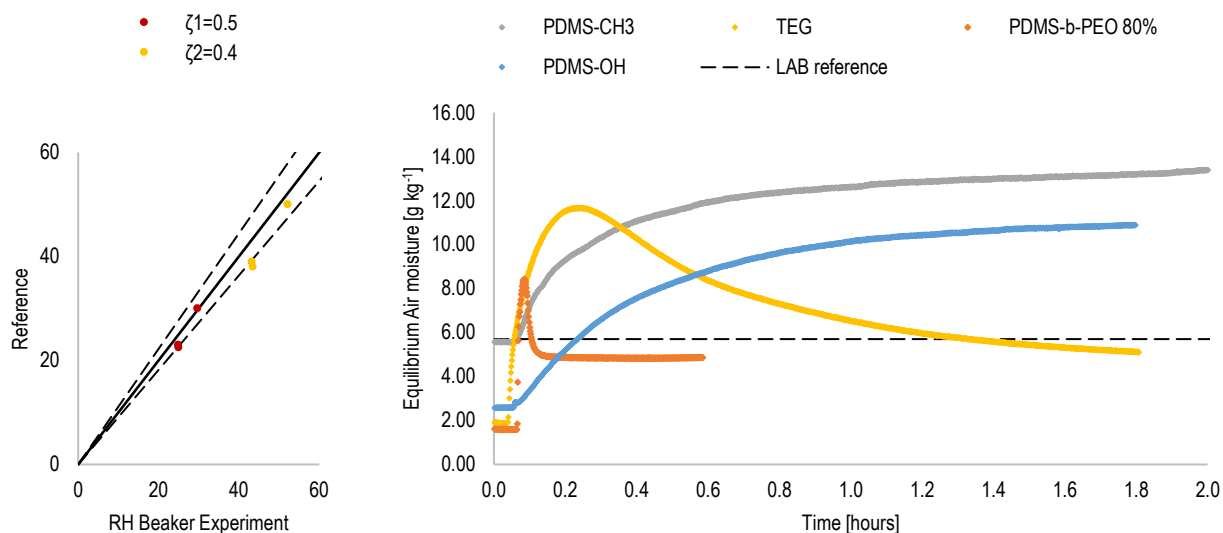
2242 Moreover, to verify the validity of the testing methodology, a cross check with Calcium Chloride was performed.  
2243 Indeed, CaCl<sub>2</sub> is a very well-known deliquescent salt, deeply studied, with a lot of available data from literature.  
2244 One of the most known work is the experimental activity of Conde[89]. RH equilibrium measurements with  
2245 aqueous solution of CaCl<sub>2</sub> at three different concentrations,  $\zeta_1=0.5$ ,  $\zeta_2=0.4$  within a temperature range of 22-  
2246 80°C, as shown on the left of Figure 5.3. Most of collected point belongs to the uncertainty range of  $\pm 10\%$ . This  
2247 range was considered acceptable if considered the very simplicity behind the manufacturing of the setup and  
2248 used methodology. Further, if used to compare performances of different materials within the same setup (such  
2249 as on the right of Figure 5.3.), the errors generated from the comparison on the CaCl<sub>2</sub> are less relevant.





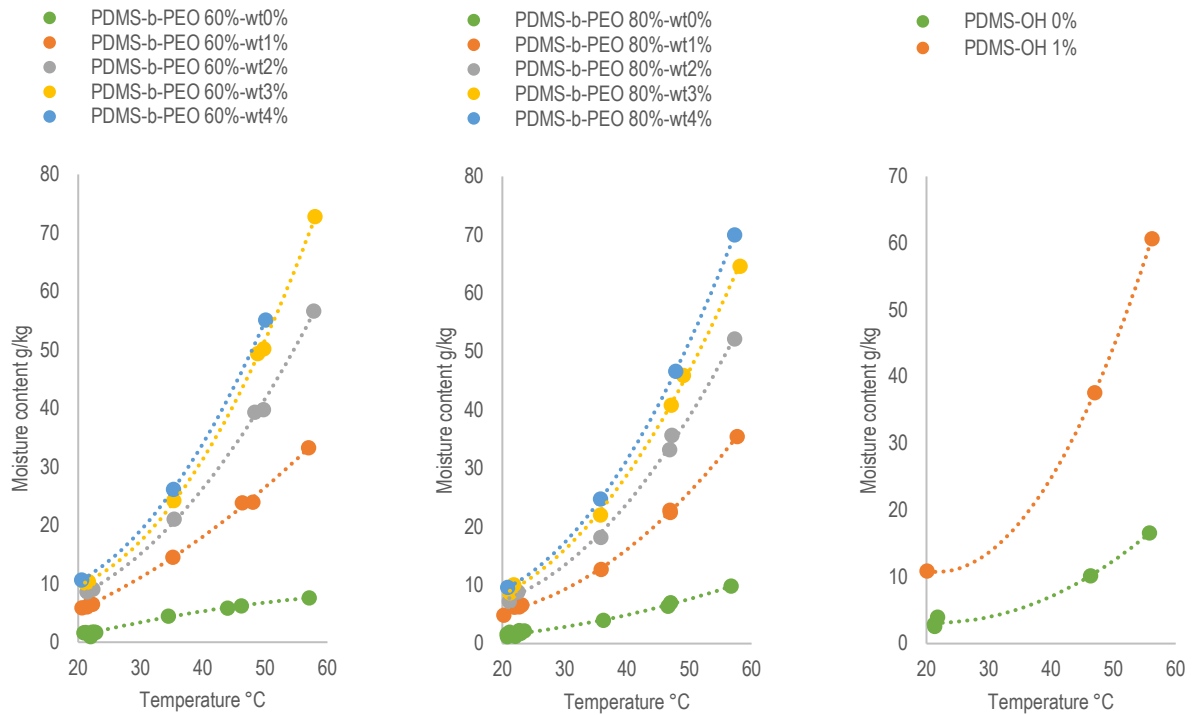
2250 **Figure 5.2:** On the left the schematic of the setup assembled to evaluate the equilibrium condition between air and the liquid polymer.  
 2251 1) magnetic stirrer with hot plate for temperature regulation; 2) liquid desiccant to be tested; 3) air temperature and humidity sensors;  
 2252 4) paper support for water evaporation; 5) water droplet; 6) thermal insulation; 7) air fan; 8) lead; 9) calibrated syringe for water injection.  
 2253 On the top right, a picture of the assembled beaker. To be sure of the thermal equilibrium between air and desiccant, an additional  
 2254 temperature sensor measures constantly polymer temperature. On the bottom right the schematic of the experimental setup.

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2256

2257 **Figure 5.3:** The graph shows on the left the comparison between RH measurements obtained with this setup, against reference [89].  
 2258 On the right the dynamic of the air in equilibrium with the different tested liquid desiccants. At the time zero all the polymers started  
 2259 with an initial water uptake close to 0%. The injection, after 5 minutes from the begin of data monitoring, increase the RH activating  
 2260 the absorption of water vapor from initial value, in equilibrium with the liquid polymer at 0%, up to final point in equilibrium with 1%.

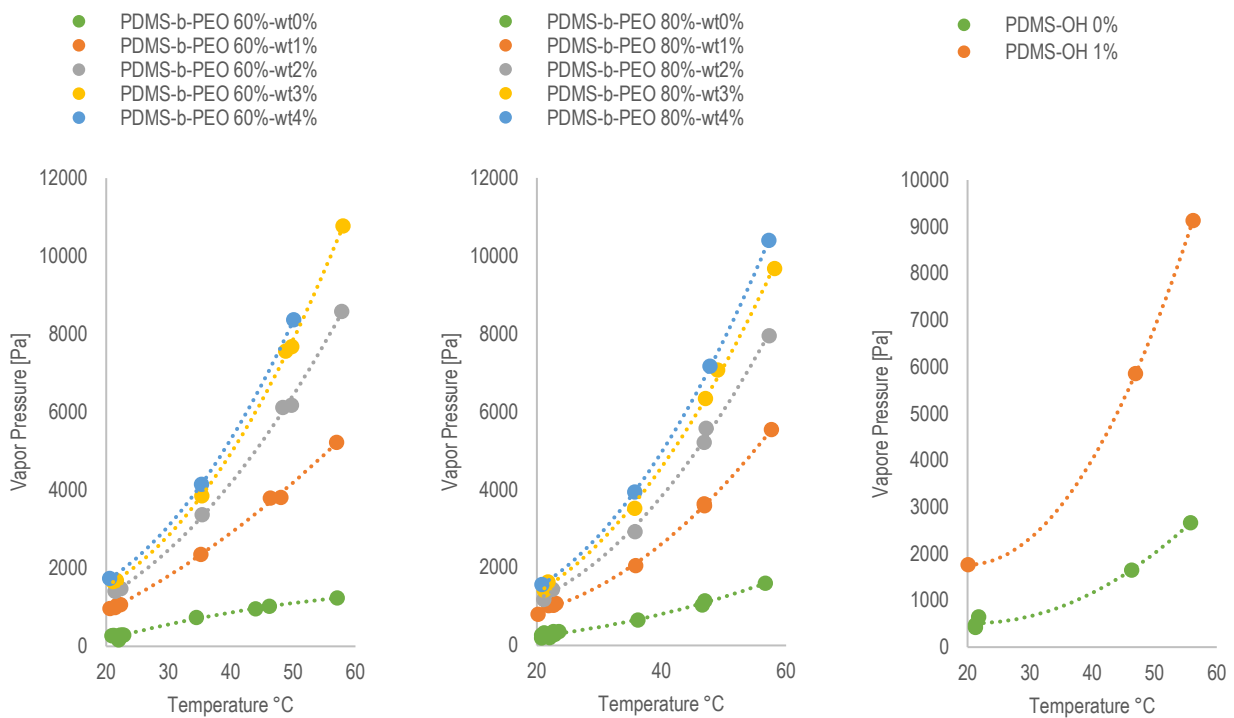


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**Figure 5.4:** Equilibrium curves for the three different types of functionalized PDMS. Being the system thought to work in open environment, equilibrium lines are expressed as moisture content function of temperature and water uptake.

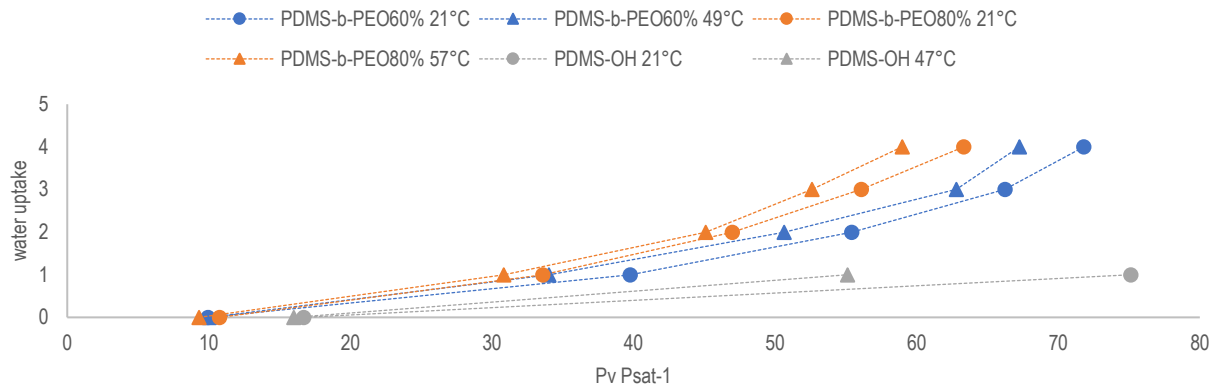


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**Figure 5.5:** Equilibrium curves for the three different types of functionalized PDMS. Equilibrium lines are this time expressed as absolute vapor pressure function of temperature and water uptake.



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**Figure 5.5a:** Equilibrium curves for the three different PDMS.

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As suggested from the literature review, PDMS-CH<sub>3</sub> is hydrophobic also in its free and not-cross linked structure.

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Indeed, the 0% water uptake measurement (first 5 minutes of data acquisition) shows a moisture content equal

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to what measured in the LAB environment (a centralized air conditioning system maintained average indoor

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condition at constant level of 19.5°C and 41%, corresponding to a vapor pressure 930Pa. After the first injection

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of 0.2mL of water, the continuous rise of  $x$ , up to values close to RH=100% @20°C, is a confirmation that what

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measured inside the beaker is the gradual saturation of the internal volume, following the dynamics of

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evaporation rate over the paper media. For the other polymers, the 0% measurements gave a consistent

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reduction of the moisture content inside the beaker. With 0% of water uptake equilibrium vapor pressure was

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265Pa for TEG@26.6°C, 266Pa for PDMS-b-PEO@20.8°C and 424Pa for PDMS-OH@21.3°C. The injection

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of 1% of the dry mass in water, shifted the equilibria at 600Pa for TEG@26.6°C, 798Pa for PDS-b-PEO@20.3°C

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and 1849Pa for PDMS-OH@20.8°C. PDMS-OH, despite showed hydrophilic properties when is dry, it reached

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a quasi-saturation with just one step of percentual increase. On the contrary TEG and PDMS-b-PEO have similar

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equilibrium values, but totally different dynamics. PDMS showed a much faster rate of uptake respect to TEG:

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equilibrium was reached in less than 15 minutes, while TEG requires at least 1.5 hours.

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PDMS-PEO is resulting more interesting for its improved dynamics, indeed for a liquid desiccant the diffusivity

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and vapor permeability are much more important than total capacity properties.

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In Figure 5.4 are collected the equilibrium conditions of the two different type of analysed PDMS-b-PEO, the

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60% and the 80%. For comparison purpose is also shown the PDMS-OH and its incapability of taking up much

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more than 1% of water.

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Range of operability of the polymer is in between of 0-4%, depending on environmental conditions. @20°C the

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water content variation within the 0-3% interval the moisture content of the air in equilibrium with the liquid

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polymer increases from  $\approx 1.5 \rightarrow 10$  g /kg. These values are compatible with most of the applications requiring

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dehumidification of the indoor environment: a thermal swing from 20 to 50°C with a water content of 1% moves

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the equilibrium point from 6.5 to 24.5 g/kg. These 2 points can be considered interesting for applications of direct

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cooling and dehumidification.

2294 Considering higher water uptakes, such the upper limit of 3% and a thermal swing between 20-50°C, the  
2295 equilibrium movement is between 10-50 g/kg. Dew points with moisture contents higher than 50 g/kg are very  
2296 interesting for application with the water harvesting cycle as presented in the Chapter 4.

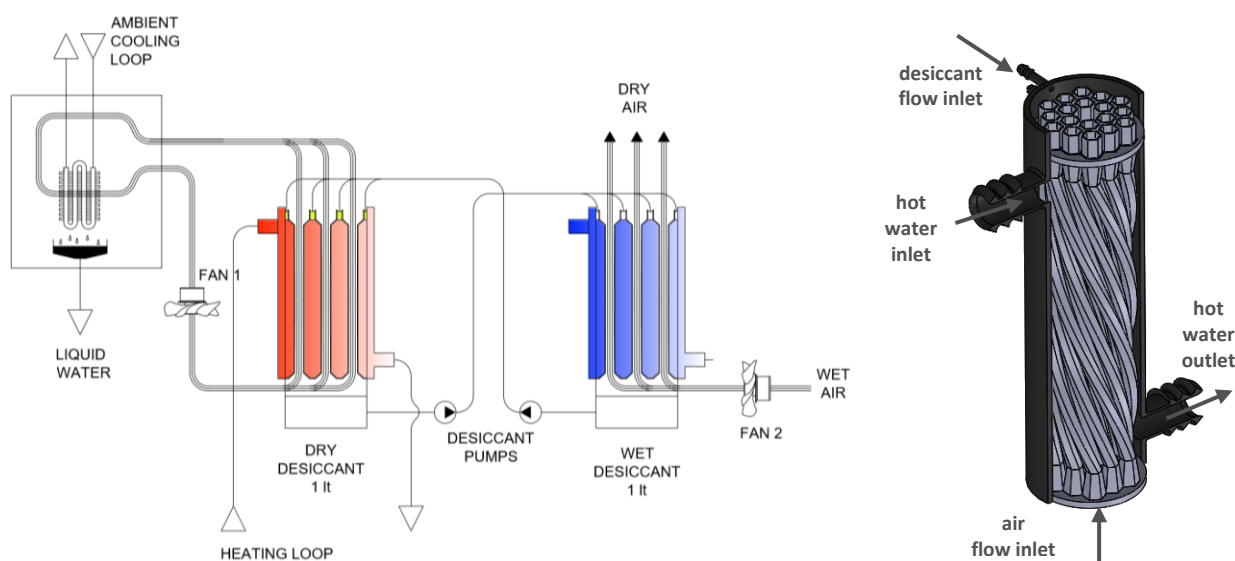
### 2297 5.1.2 Dynamic regeneration test

2298 A similar scheme as in Figure 4.8 and 4.9 can be rearranged to exploit now the characteristics of the liquid  
2299 PDMS-b-PEO. For this analysis was used the copolymer version with a concentration the -PEO blocks  
2300 concentration of 80%. This is because considering an equal temperature swing between a hypothetical  
2301 absorption phase (20-30°C) and the regeneration stage, the moisture difference (or the vapor pressure  
2302 difference) is slightly higher, resulting in higher amount of extracted water.

2303 The reference scheme is reported as in Figure 5.6. Main difference is on the batch process. Indeed, the desiccant  
2304 is a liquid this can be pumped continuously between the regenerator (red) and the absorber (blue). The absorber  
2305 absolves the function of continuously capturing the water vapor realizing the intimate contact between the air  
2306 stream and the free surface of the desiccant. As consequence, this part of the circuit will be always as an *open*  
2307 *loop*.

2308 The regenerator, in the meanwhile, ensures for the heat supply for desiccant regeneration, realizing a tri-  
2309 functional contact as needed for the realization of conjugated heat and mass transfer. The air stream will follow  
2310 the closed loop approach, as described in the Chapter 4.

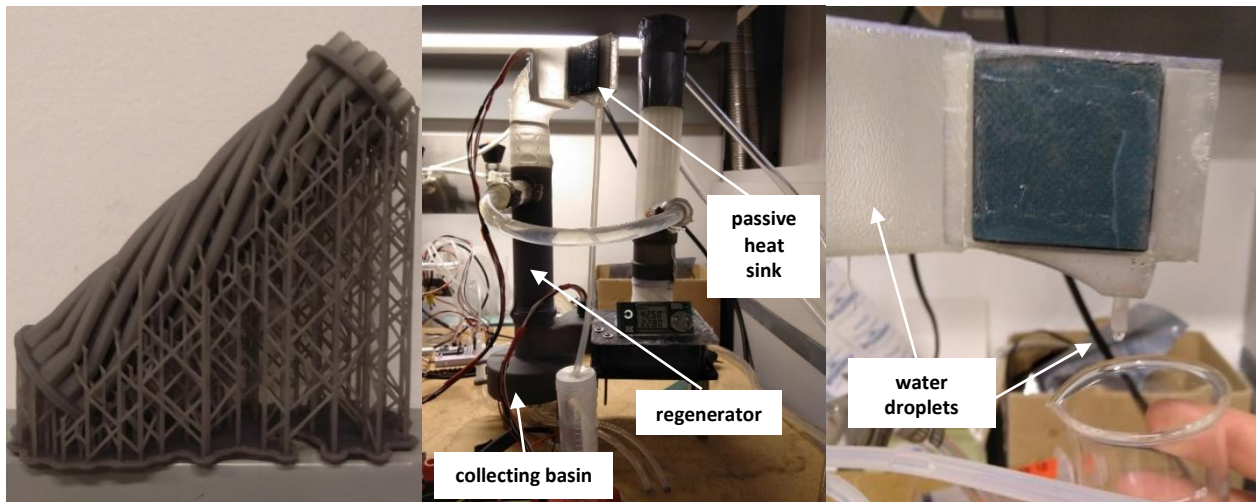
2311 Despite the condensation unit does not require modification, the rest of the circuit requires additional  
2312 components: *i)* two distinct fans works independently and in parallel. A third fan will be needed for the heat  
2313 rejection through the dry cooler. *ii)* two pumps are needed to manage the desiccant circulation between the  
2314 absorbed and the regenerator; *iii)* a specific design for the regenerator is needed to realize the conjugated heat  
2315 and mass transfer.



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2317 **Figure 5.6:** On the left the scheme for the implementation of the water harvesting cycle as in the chapter 4, with the liquid desiccant.  
2318 On the right the configuration of the regenerator.

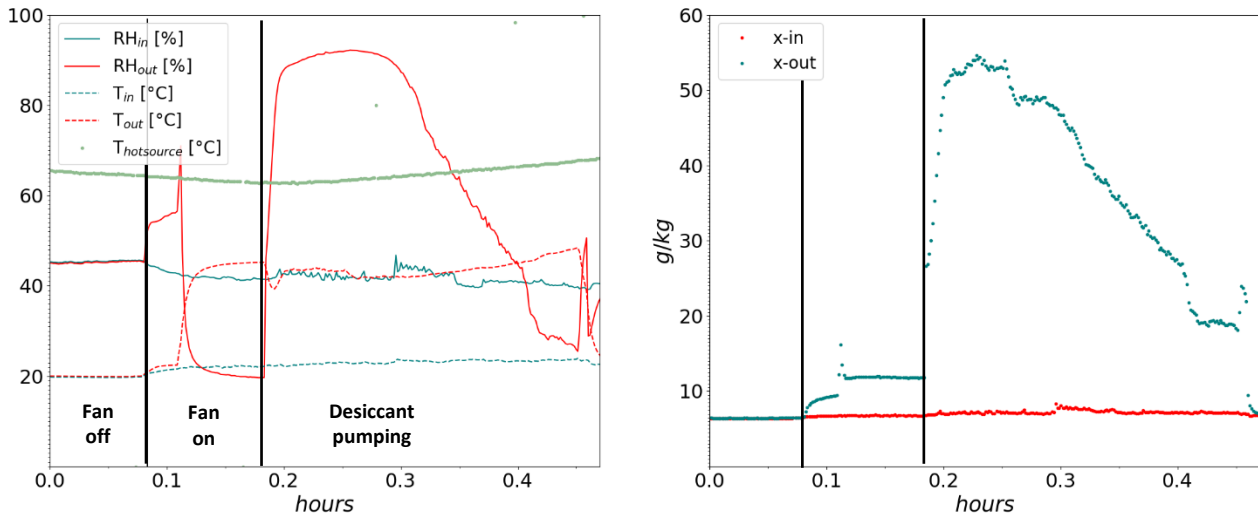
2319 A prototype of the regenerator is realized as depicted on the right of the figure above, to proof the concept of the  
2320 dynamic regeneration of the polymer.

2321 The basic configuration is similar to typical shell and tubes geometry, widely spread in different industrial  
2322 applications. The hot water flows from the top inlet, towards the outlet below. During this path, the hot stream  
2323 licks the external surface of the tubes, delivering the heat to the internal part. The desiccant is pumped to the  
2324 top of the regenerator. This fills up the small basin until the upper limit of the honeycomb structure, as in the  
2325 picture. Once the desiccant level is higher than the channel height, this will fall through the channels for the  
2326 gravitational action, wetting the internal surface of the tubes and absorbing the heat conducted through the tubes  
2327 thickness. In the meanwhile, the air is flowing in the opposite direction of the desiccant flow, pushed with the fan  
2328 through the tube channels. During this path, the water vapor released from the regeneration of the desiccant is  
2329 mechanically transported to the condensing unit.



2330  
2331 **Figure 5.7:** On the left the helicoidal tubes used for the regenerator configuration. In the middle the setup used for the testing of the  
2332 proof of concept. On the right the magnification of the condensing unit, with just some drops of water collection.

2333 This configuration has been realized as showed in Figure 5.7 through additive manufacturing (Form2  
2334 stereolithography printer). The helicoidal shape increases the length of the path per unit volume of the shell and  
2335 tube, giving more contact time between the desiccant and the tube. This can increase the heat absorption and  
2336 the release of water vapor. Instead of testing the entire cycle, a simple proof of concept test was realized with  
2337 the setup as showed in the central picture, to demonstrate the feasibility of a dynamic regeneration, producing  
2338 sufficiently high dew points for the spontaneous condensation. The outlet of the regenerator was connected to  
2339 a square pin metallic heat sink, typically used in the electronics industry for the dissipation of heat. The picture  
2340 on the right shows the heat sink, and the formation of water droplets all over the shell of the plastic channels,  
2341 for the condensation of the hot and humid flow generated through the regeneration.



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**Figure 5.8:** Regeneration test. In the graph of the left are reported the inlet/outlet temperature and relative humidity of the air through the tubes of the regenerator, together with the inlet temperature of the hot source. On the right the moisture difference.

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Data of this test are reported in Figure 5.8. The graph on the left reports the inlet/outlet temperature with relative humidity and the inlet temperature of the hot water. Before starting the test, the basin for the collection of the desiccant was filled with 51.42 grams of dry PDMS-b-PEO @80% concentration, previously mixed with 1.03 grams of water, in order to have a desiccant with a water concentration equivalent to 2% in mass. At the time zero of the graph only hot water is circulating through the heat exchanger, while the fan is off. After few minutes the fan is powered flowing the air directly from the Lab environment (23.4°C and 43.9%), and heated up through the regenerator. This air movement generates a small increase of outlet moisture content due to two main factors: the presence of previous residual of desiccant over the regenerator surface. Second, before entering the tube channels the air stream flows over the free PDMS surface inside the collecting basin, removing some of the vapor released from the desiccant.

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After the stabilization of this phase (around 6 minutes), the desiccant was pumped from the collecting basin, to the top of the regenerator, and continuously recirculated for the entire duration of the test. A 12VDC peristaltic pump was used for the desiccant recirculation.

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The effect of the introduction of the desiccant is instantaneously evident. Relative humidity rises up to values close to 90%, with an air temperature that in the average was around 45°C. This condition activates in few minutes the condensation over the heat sink. Indeed, for around 6 minutes the outlet dew point from the regenerator was between 38-43°C. After the 6<sup>th</sup> minute the reduction of liquid water uptake lead to the reduction of the outlet conditions, meaning that the regeneration is going towards the final equilibrium point. The regeneration was considered concluded in approximately 15 minutes, and for half of this time the dew point generated was higher than 38°C.

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A continuous supply of wet desiccant, generated from an equivalent absorber, will be necessary to guarantee a constant water uptake in the recirculation basin, necessary to sustain the generation of dew points higher than external temperature.

## 2368 5.2 Composite Alginate Hydrogel

2369 Previous experience showed that an alternative solution, to improve the performances of the water harvesting  
2370 cycle analysed in the Chapter 4, can be the use of polymeric liquid desiccants. In this way, the increase of the  
2371 daily productivity is disjointed from the total amount of used sorbent, eliminating the problem of encumbrance  
2372 and total weight.

2373 Indeed, one of the problems related to the use of silica gel, was the very low water uptake difference exploitable  
2374 in the water harvesting cycle. For dry climates this value was between  $0.1-0.06 \text{ kg}_w \text{ kg}_{\text{SiO}_2}^{-1}$ , meaning that for the  
2375 production of 15L of water per person per day (minimum requirements defined by WASH UNICEF for drinking,  
2376 cooking and hygiene, in the context of the Sustainable Development Goal 6 [241]), will be required an equivalent  
2377 dry mass of silica gel between 150-250kg. This is clearly a relevant limiting factor.

2378 Anyway, the general approach used from material science on very innovative materials is not always towards  
2379 the simultaneous fulfilment of all the requirements for a real, feasible, economic and safe application.

2380 The exploration of hygroscopic polymers, derived from organic matter, is instead an interesting path respecting  
2381 different constraints.

2382 Other Authors already investigated on other different hydrogel types, that in most of the cases fail the main  
2383 purposes: safe and healthy water quality, and durability of the material[163][164][242]. In this optic a special  
2384 effort has been done with this research in order to develop a technological solution of ADS-HX based on the use  
2385 of composite alginate hydrogels[162][243]. A composite hydrogel was realized starting from Bentonite, Calcium  
2386 chloride and Sodium Alginate, a polysaccharide derived from brown algae and heavily distributed in their cell  
2387 walls[244].

2388 Thanks to its high biocompatibility, low cost, and the facility of manufacturing, a new high performing ADS-HX  
2389 was realized throughout ionotropic gelation process [245–248]. The sodium alginate, polymer constituted of  
2390 repeating blocks of guluronate and mannuronate monomers, is the starter of a cross linking process that, in the  
2391 presence of an ionic solution with water and divalent cations ( $\text{Ca}^{+2}$ ,  $\text{Mg}^{+2}$ , etc...), leads to the jellification of the  
2392 viscous fluid into a solid hydrogel [248–255]

2393 The crosslinking process, transforming the viscous gel in a solid hydrogel, is realized with ionotropic gelation  
2394 technique in which the  $\text{Na}^+$ , contained as functional group in the alginate, that is exchanged with  $\text{Ca}^{+2}$  (or one of  
2395 the others mentioned before) cation dissolved in a water solution. Having the calcium a higher valence number,  
2396 this activated a cross linking among the different polymeric chains of the alginate, realizing the so called egg-  
2397 box geometry (sketched in the scheme of Figure 5.9).

2398 During this process, the bentonite fills the space between the polymeric chains and, being a hygroscopic clay  
2399 with a very high level of porosity, increases the water capture capacity of the composite polymer material.

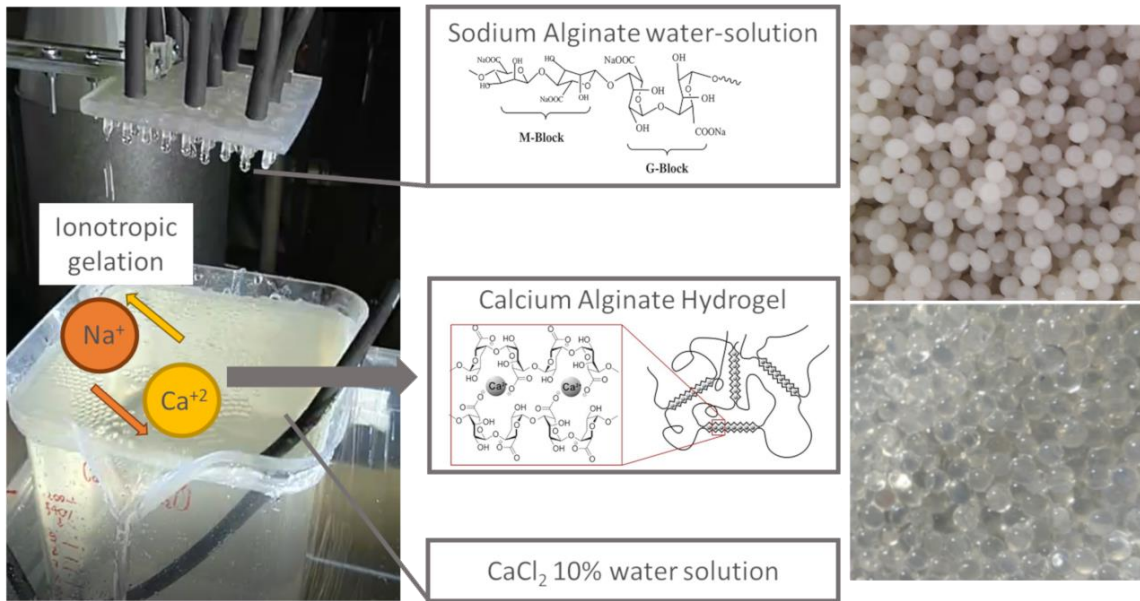
2400 The use of the alginate is already experienced in different sectors of biological science, being a material  
2401 extremely compatible with human health. Some of main biomedical applications are drug delivery and scaffold  
2402 for cell cultivation.

### 2403 **5.2.1 Equilibrium tests**

2404 Isotherms of the material have been measured at different solution concentration. The setup used for testing  
2405 equilibrium properties was similar to what explained in the paragraph 5.1.1. The calcium bentonite powder was  
2406 dissolved in 1 Liter of deionized water (DIW) (wt 1-20%) and stirred at high rotation speed for 1 hour (>700 rpm).  
2407 The solution is filtered multiple times (final mesh < 5 $\mu$ m), to remove impurities and not dissolved particles from  
2408 water/bentonite liquid solution. Each filtering is alternated with an intermediate stirring phases of reduced time  
2409 (10 mins and rotational speed >700rpm). In the Bent/DIW solution is added the gelling agent, the sodium salt  
2410 from the alginic acid, within 0.5-4% of concentration, and rapidly mixed until the complete homogeneity of the  
2411 solution. The obtained very viscous gel is degassed, under vacuum at relative pressure between 200-500 mbar  
2412 for at least 20 mins, until a final use. The same procedure is realized to produce also a reference case without  
2413 bentonite. In this case the initial mixing of DIW with the bentonite power is skipped.

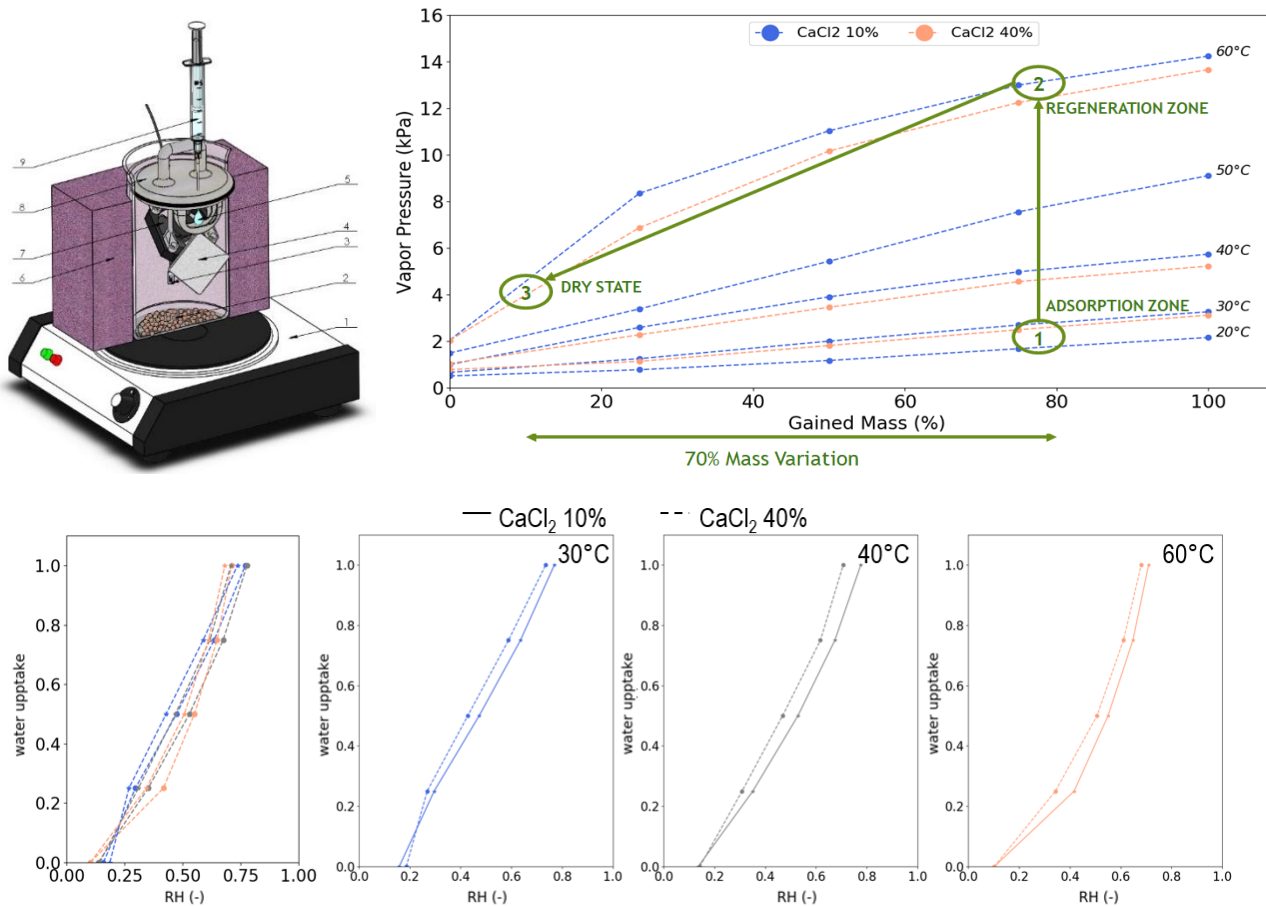
2414 In parallel, a DIW/CaCl<sub>2</sub> solution is prepared (5-40% of CaCl<sub>2</sub>), with a continuous stirring of the water and salt  
2415 until the use and temperature of the solution reduces to ambient temperature (hydration of the salt is a very  
2416 exothermic reaction). Once the calcium chloride solution reached ambient temperature the alginate hydrogel (or  
2417 the composite formulation when bentonite is added) was pumped with a peristaltic device and forced to drop in  
2418 the setup realized in Figure 5.9. This consists of a square dripper, with matrix of 9x9 apertures of 6 mm. Once  
2419 the alginate drops over each aperture of the dripper reaches a sufficient dimension and weight to overcome the  
2420 internal viscous tension, they fall down in a basing full of calcium chloride at the desired concentration. An  
2421 external pump continuously recirculates the divalent calcium solution, removing the beads formed over its  
2422 surface, guarantying always free space for new forming drops. Once the desired amount of material is formed,  
2423 12 hours resting time is given to complete the entire ionotropic jellification, allowing to calcium chloride and Ca<sup>2+</sup>  
2424 ions to diffuse and permeate through the alginate wall towards the inner volume, completing the cross-linking  
2425 operations.





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**Figure 5.9:** Cross-linking process of a Sodium Alginate (2%) with CaCl<sub>2</sub> (10%) water solution (left), through the egg-box model. The beads, with an average diameter of 3 mm, manufactured with this process have been tested to evaluate the water uptake dependency with water vapor and temperature of the equilibrium. On the top right the composite hydrogel with bentonite, on the bottom the pristine hydrogel.



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**Figure 5.10:** On the top left the schematic of the setup assembled to evaluate the equilibrium condition between air and the alginate beads. 1) magnetic stirrer with hot plate for temperature regulation; 2) alginate beads; 3) air temperature humidity sensor; 4) paper absorbing water; 5) water droplet; 6) thermal insulation; 7) air fan; 8) lead; 9) calibrated syringe for water injection. On the right picture of the setup. On the top right the Isothermal Adsorption curves of the hygroscopic polymer. This graph shows the relation between the water trapped in the pores of the material and the pressure and temperature of the water vapor in contact. Equilibrium isotherms at 25

2436 and 65 °C, compare with the vapor pressure in dry climates and the condensing pressure at 35°C (right). On the bottom of the figure  
2437 the equilibrium points of different uptakes @30, 40 and 60°C and for two different salt concentration, 10% and 40%.

2438 Finally, beads are removed from the salt bath, and thoroughly washed with DIW to remove the excess of CaCl<sub>2</sub>  
2439 over the external surface. Completed this phase the material is cured in a ventilated oven at a temperature  
2440 between 70°C.

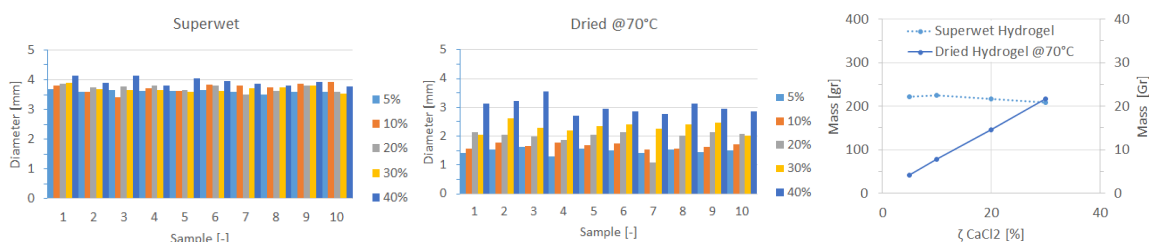
2441 Obtained material was tested with the same methodology as explained in the paragraph 5.1.1, the only  
2442 differences are the sampled masses: 2 grams dry samples are used inside the beaker, and each injecting volume  
2443 of water is equal to 0.25 mL, corresponding to a step increase of 25%. Final curves are showed in the graph on  
2444 the top right of Figure 5.10.

2445 With the final formulation the composite hydrogel reaches more than 40% of water uptake with a vapor pressure  
2446 of 500 Pa (~35°C; 10%RH). With the hypothesis of applying a thermal swing between the operative adsorption  
2447 temperature and a regeneration at 65°C, dew points higher than the environment can be easily reached (point  
2448 3 in the graph). This is a considerable improvement respect to silica gel, that in the same operative range (35°C,  
2449 10%) was not practicable. With this material, a minimum water uptake variation of 30% is easily achievable in  
2450 very dry context. Anyway, extending the operational environment to wetter climates, water uptakes close to  
2451 100% are presumable. In the case of a similar ambient temperature, 35 °C, and relative humidity less than 20  
2452 %, regenerating the material at 65 °C, the water content changes of around 60%.

2453 With an average estimation, can be said that for 1 kg of dry composite hydrogel, 600 ml of liquid water can be  
2454 condensed at 35 °C, without the need of any external cooling source and with a similar cycle as in chapter 4.

## 2455 5.2.2 Shrinking

2456 Performing tests to evaluate other physical properties were essential to analyse behaviour of the material,  
2457 especially the shrinking due to the curing stage. This last point is crucial for an effective manufacturing of the  
2458 ADS-HX, and to have a stable component among the cycles.



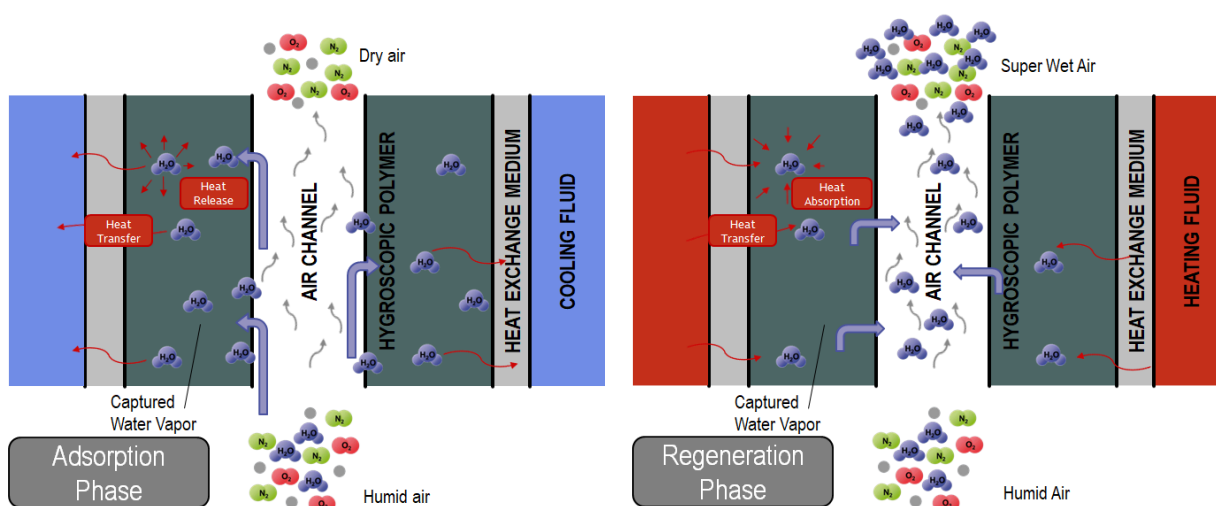
2459 **Figure 5.11:** Diameter distribution of hydrogel sample with different initial Calcium Chloride concentration. On the left the distribution  
2460 just after the crosslinking operation with the ionotropic gelation. In the middle the final distribution after the drying operation at 70°C.  
2461 On the right the mass variation measured before and later the drying process.  
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2463 Indeed, during the drying process from the initial super-wet condition up to a super-dry, the volumetric shrinking  
2464 was consistent observing the diameter reduction before and after the drying. In Figure 5.11 is highlighted this  
2465 aspect comparing the first two column diagrams, at different CaCl<sub>2</sub> concentration, from 40-5% (Figure 5.11).

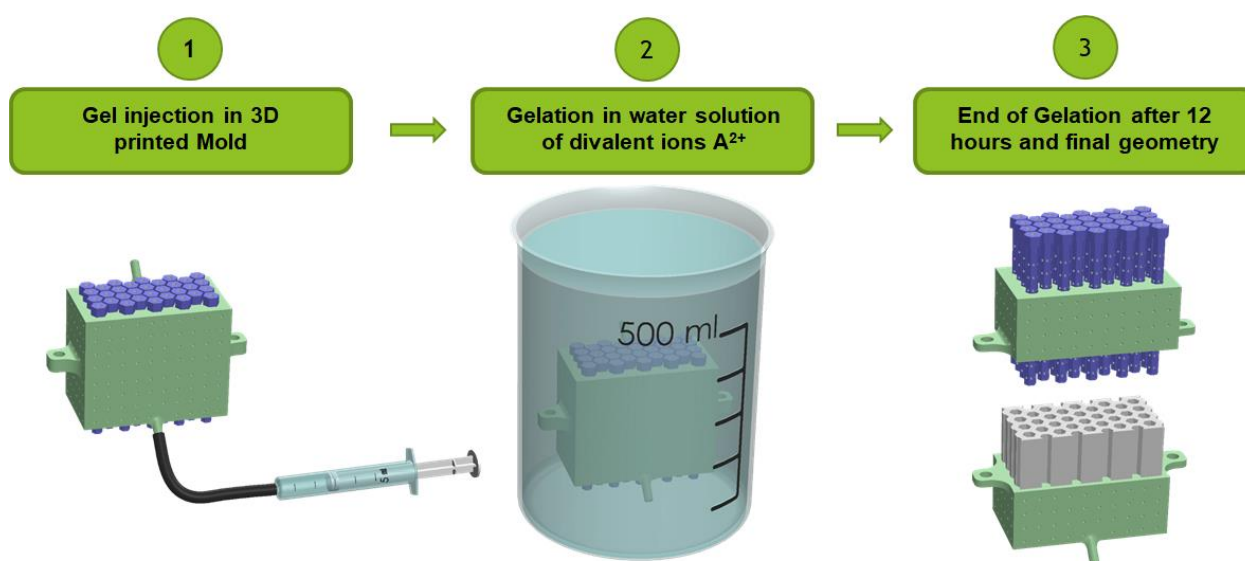
2466 This huge variation leads to the possibility of creep formation, due to the deformation and internal stresses. This  
 2467 huge volumetric variation is mainly due to water evaporation.

### 2468 5.2.3 Alginate Honeycomb fabrication and Molding

2469 As in the configuration of Chapter 3 and 4, the component to be developed is similarly working in a batch process.  
 2470 The contact area between the sorbent and the heat exchange medium is increased using a honeycomb channel  
 2471 geometry, minimizing the pressure drops generated during the flow of air through the sorbent channels. The  
 2472 schematic concept of ADS-HX is in Figure 5.15. The ADS-HX is realized combining an air/liquid heat exchanger,  
 2473 typically used as radiator in the automotive sector, with the hygroscopic polymer, such that the high contact area  
 2474 between air/sorbent/heat exchanger allowing the enhanced transfer rate of water vapor and energy typical of  
 2475 coated configurations and with low pressure drops on the air side.



2476 **Figure 5.15:** Schematic representation of the batch functioning of the ADS-HX with the hygroscopic polymer.



2477 **Figure 5.16:** Schematic flow diagram of the required steps to shape a honeycomb geometry through the ionotropic gelation of sodium  
 2478 alginate in a ionic solution of water and divalent cations, with a molding technique.  
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**Figure 5.17:** Sample of Calcium-Bentonite-Alginate manufactured with a honeycomb structure and applied with a dip molding technique on a conventional packed heat exchanger.

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The ionotropic gelation method of sodium alginate was adapted to a molding fabrication, to realize the honeycomb geometry. After the preliminary preparation of the viscous gel containing the main components of the hygroscopic polymer (sodium alginate, calcium bentonite, water), this is injected in a prefabricated mould, to shape the hydrogel in a desired geometry, as shown in the first step of the procedure showed in Figure 5.16. Once the mould is totally filled and degassed, this is deeply immersed in the divalent ionic solution, driving the cross linking for 12 hours. After that time the mould is disassembled, and the final sample removed. The preparation of the gel was similar to what explained before, requiring 13.35 grams of bentonite powder dissolved in 150 ml of deionized water, and stirred at high rotation speed @1000rpm for 1 hour. A total mass of 3.35 grams of sodium alginate was rapidly mixed, until the complete homogeneity. The obtained viscous solution was degassed under strong vacuum, due to the very high viscosity. Around 50 ml of the viscous Na-Alg/Bent/water solutions was manually injected in the 3d printed honeycomb mould, that once totally loaded were immersed in the CaCl<sub>2</sub> solution for 12 hours.

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The same approach can be used to create the honeycomb geometry directly over a heat exchanger geometry, as showed in Figure 5.18. The mould, with the honeycomb sticks, is directly assembled over a radiator heat exchanger. Once injected the gel, this will fill all the empty part surrounding and enveloping all the metallic structure of the heat exchanger. Final result of the ionotropic jellification is reported in the right of Figure 5.18. A final stable configuration is obtained after the curing of the sample as showed in Figure 5.19. This adherent structure, similar to a coated geometry over the surface of the heat exchanger, is realized more through a mechanical effect than a chemical link between the material of the HX and the polymer.

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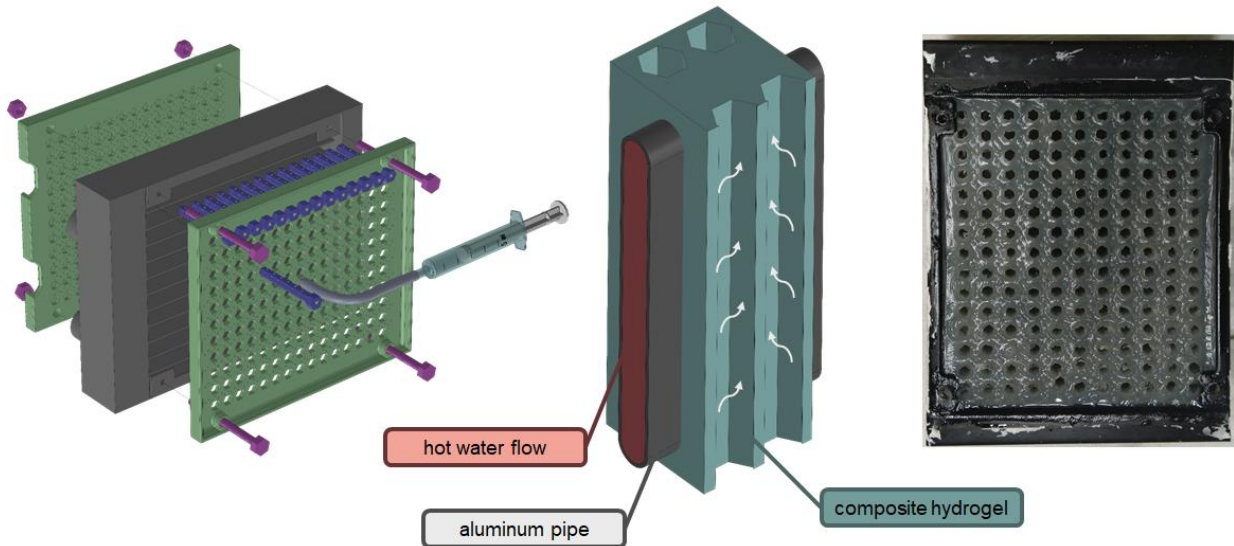
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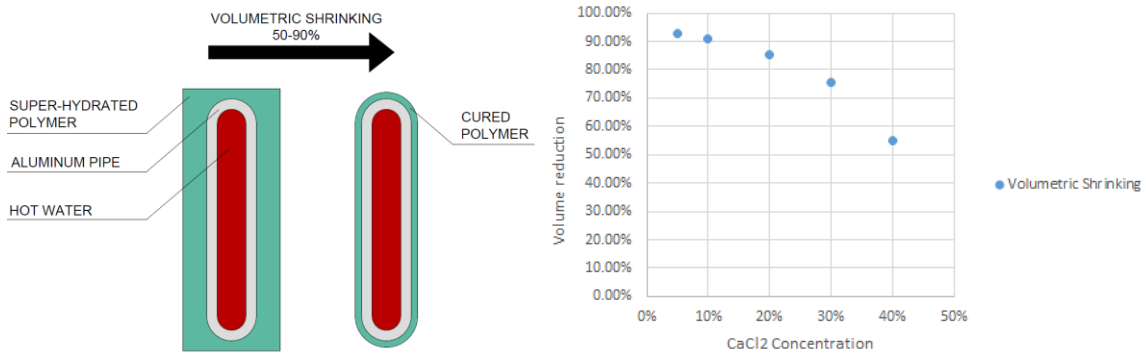
2510

This is obtained thanks to the tensioning effect generated by the thermal shrinking of the polymer during the curing stage, completing the manufacturing process of the heat exchanger. Just after the ionotropic gelation the polymer is in a super hydrated state, that ends once the material is cured at temperature between 70-90°C for 12 hours. During the curing, the polymer exhibits a consistent volumetric shrinking, as highlighted in the graph of Figure 5.19, that depends by the concentration of the CaCl<sub>2</sub>, with values close to 90% of the initial volume for CaCl<sub>2</sub> concentrations lower than 10%. This volume reduction creates a permanent stress in the material, leading to the cohesion on the surface of the HX. This type of adhesion is considered as an improved solution of typical chemical coatings, because should not suffer of the degradation problems typical of coating methods done with chemical linkers. This consideration is just a hypothesis that is not verified in the context of this research project.



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**Figure 5.18:** On the left a schematic representation of the direct molding approach over the heat exchanger structure. In the middle a simple piece of repeated element that constitute the composite ADS-HX. On the right a picture with a final result of the process.



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**Figure 5.19:** On the left the schematic representation of the shrinking effect on the geometry of the coating, during the preliminar curing stage. The volume reduction, due to water evaporation from the superwet configuration up to the final dry state of the polymer, is function of the Calcium Chloride concentration of the water solution during the ionotropic gelation. The graph in the middle shows the behaviour within a concentration range of 5-40%.



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**Figure 5.20:** The picture in the left and in the middle are showing the deformation resulting from the curing operation at 85°C for 12 hours. The initial honeycomb geometry of the air channels is definitively lost. On the right the different appearance once exposed to air, the material absorb moisture.

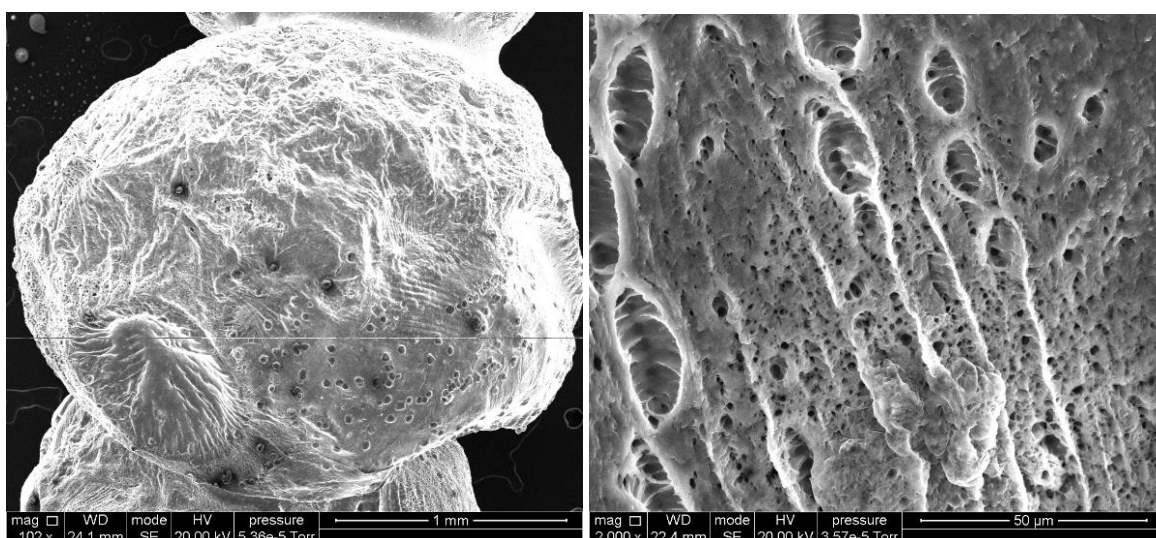
2523 As expected from the volumetric shrinking observed in the beads of different diameter and concentration, also  
 2524 in the final configuration of the ADS-HX in Figure 5.20 there's a consistent action of contraction that modify the  
 2525 original shape. Most of the initial shape of the holes (as in Figure 5.18) is lost, with an increased aperture in all  
 2526 the air channels.

2527 The volume reduction observed in the graph of Figure 5.19 is due to the water evaporation from the hydrogel,  
 2528 that at the begin of its life is in an oversaturated condition because the production process. The aluminum

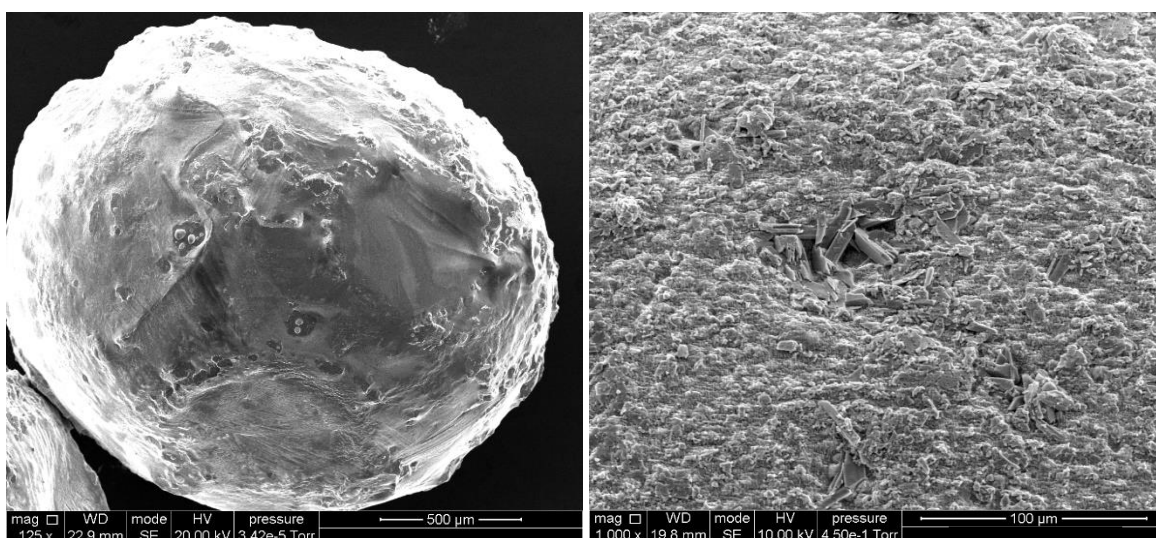
2529 structure works as skeleton for the hydrogel channels, giving static points that interrupted the volumetric  
 2530 deformation between each channel line, along the horizontal of the section. Along the vertical line the presence  
 2531 of channel apertures offers the opportunity to discharge the contraction tension generated by the consistent lost  
 2532 of water during the curing. Most of the structure remained entire with the original geometry.

#### 2533 5.2.4 Internal Structure Imaging

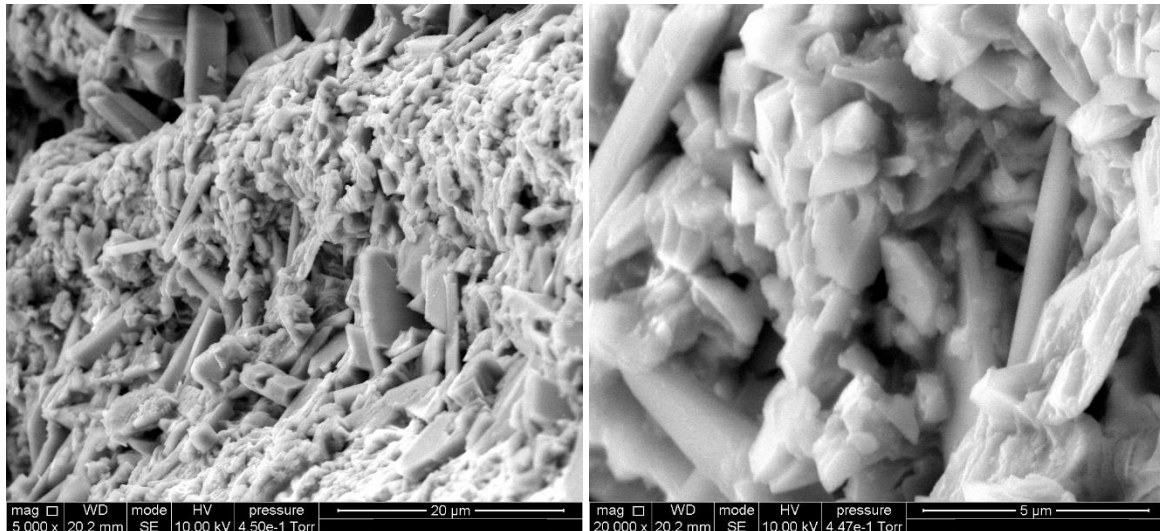
2534 Figures of this section show the external surface magnification, and internal geometry, with a FEI Quanta  
 2535 Scanning Electron Microscope (SEM) of the surface of 3mm beads of Calcium-Alginate (top left) and Calcium-  
 2536 Bentonite-Alginate (top right). Main feature is a more uniform pores distribution with a regular geometric shape  
 2537 coming from the crystalline nature of the aluminium phyllosilicate absorbent.



2538 **Figure 5.20:** On the left the overall geometry of a Calcium-Alginate bead with a solution concentration @40%. On the right the  
 2539 magnification (2000x) of a spot over the bead surface  
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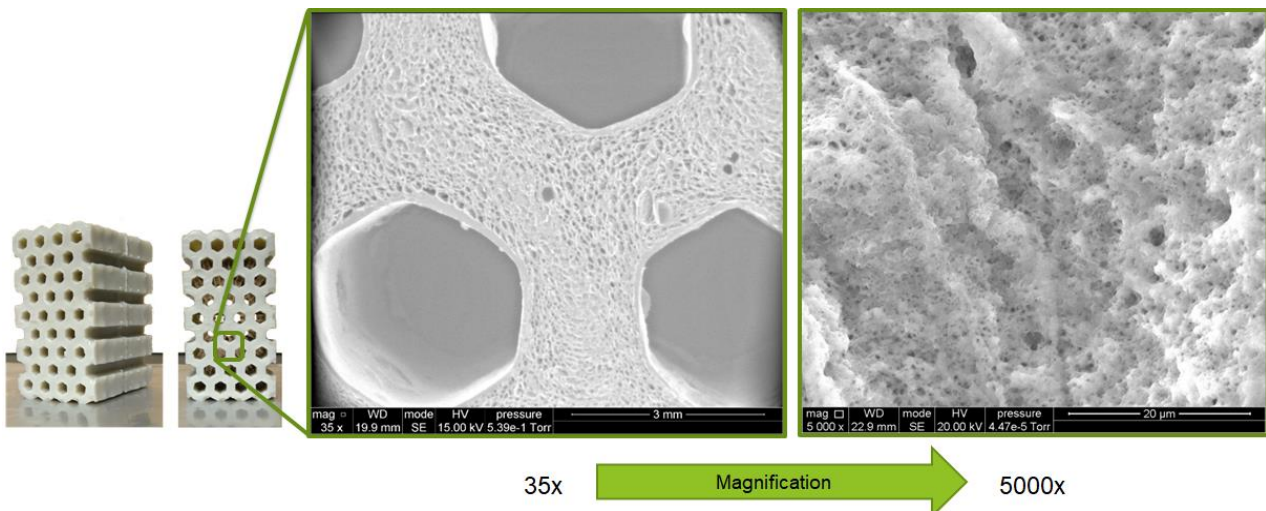
2541 **Figure 5.21:** On the left the overall geometry of a Bentonite-Calcium-Alginate bead with a solution concentration @40%. On the right  
 2542 the magnification (1000x) over the external surface of the bead.  
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**Figure 5.22:** On the left magnification (5000x) of external surface of a Bentonite-Calcium-Alginate bead with a solution concentration @40%. On the right the magnification (20000x).



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**Figure 5.23:** On the left magnification 35x magnification of an internal section of the honeycomb structure. On the right a spot magnification of 5000x

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The last picture is very interesting, showing the internal structure of the material once the deep molding technique is applied. The most evident aspect is the density difference between the perimetral area surrounding the hexagonal cell and the rest of the bulk, which appears with a much higher level of macro porosity. This characteristic can be explained as result of the different linking process constituting the egg-box model. The presence of a divalent cation is the promoter for the association of different polymeric chains. Two different pairs of two consecutive G-blocks of sodium alginate (Figure 5.9) are connected creating the junction zone, coordinated by a  $\text{Ca}^{2+}$  ion[252]. This cross-linking process have the tendency to propagate towards the polymeric chain, increasing the length of the cross-linked chain. This ability is influenced by the concentration of  $\text{CaCl}_2$ , the number of G-G and M-G consecutive monomers in the alginate chain, and the time for reaction [248] [252] [253] [256-258]. Further one the type of divalent ions is influencing the preferential binding towards G rather than M monomer, that in increasing order of affinity it has been found as  $\text{Mg} < \text{Ca} < \text{Sr} < \text{Ba}$ [253]. In the case of calcium chloride solutions (as our case), the increase of  $\text{Ca}^{2+}$  concentrations promote chain formations in the

2562 following order: coordination of the junction zone by the  $\text{Ca}^{2+}$  cation; egg box propagation through the chain;  
2563 lateral association between parallel chains through the binding of MG groups with the  $\text{Ca}^{2+}$ , especially at higher  
2564 concentrations. Higher concentration of  $\text{Ca}^{2+}$  positively influences the parallel connection increasing the Young  
2565 modulus of the compound[259]. The inhomogeneity encountered over the honeycomb section between the cell  
2566 walls and the inner volume, among different factors, can be imputed to an inhomogeneous distribution of the  
2567 reactants concentration ( $\text{CaCl}_2$  and G-G M-G groups) during the diffusion  $\text{Ca}^{2+}$  cations from the bulk of the bath  
2568 though the inner part of the mold volume. According to the different steps defining the global process of ionotropic  
2569 gelation of the alginate, formation of eggbox structures start over the external surface of the mold, where  
2570 happens the first contact between the polysaccharide and  $\text{Ca}^{2+}$  cations. The excess of DIW water in the alginic  
2571 solution leads to the diffusion of calcium chloride towards the inner part, activating the linking process also in  
2572 the successive layers. In this phase both the eggbox formation and parallel linking create dense layers that  
2573 propagates toward the inner part. More the chain connections are realized less is the residual free alginic present  
2574 in the internal solution, and less frequently interchain connection are achievable. The faster formation of a ticker  
2575 layer over the external surface might also have contributed to reduce the diffusion rate of  $\text{Ca}^{2+}$  to the inner  
2576 volume, further reducing the interchain parallel connections. This density difference seems to be the main reason  
2577 for the contraction of the structure after the drying process.

2578 The modification of the structure has some influences on the hygroscopic properties of the material, as shown  
2579 in the isotherms of Figure 5.10, but the entity of the increment seems not coherent with the 4 time variation on  
2580 the salt concentration, showing the non-linearity correlation between the two parameters. As suggested in the  
2581 paragraph 2.2.3, the effect on hygroscopic properties is more the result of the mutual interaction of  
2582 sorbent/structure rather than the linear superposition of them. In this case, as for the mentioned composite  
2583 sorbents, the mechanism of water sorption is more oriented towards the process of filling the pore volume and  
2584 capillary condensation. The interchain parallel connections, process that have shown not linearly the effects on  
2585 the volume variation, can be the major responsible for the variation of the pore volume and then of the water  
2586 uptake at the different salt concentrations.

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### 2588 **5.2.5 Conductivity**

2589 A different sample was prepared to measure thermal conductivity of the material. The production methodology  
2590 was similar from the chemistry point of view, but the final manufacturing different. Indeed, the gel was first  
2591 injected inside a mould replicating the shape of the flux meter Hukseflux HFP01 (measurement range  $\pm 2000 \text{ W}$   
2592  $\text{m}^{-2}$ ; sensitivity  $60 \times 10^{-6} \text{ V W}^{-1} \text{ m}^2$ ; uncertainty  $\pm 3\%$ ). Then, once the mould was totally full, was immersed in the  
2593 calcium chloride solution for jellification in the final form as in Figure 5.12. The sample was tested in the hot plate  
2594 chamber Lasercomp Fox 600, imposing a fixed and known temperature difference (monitored with two  
2595 thermocouple type E) across the two planar surfaces. The flux meter measured the heat flux across a thickness

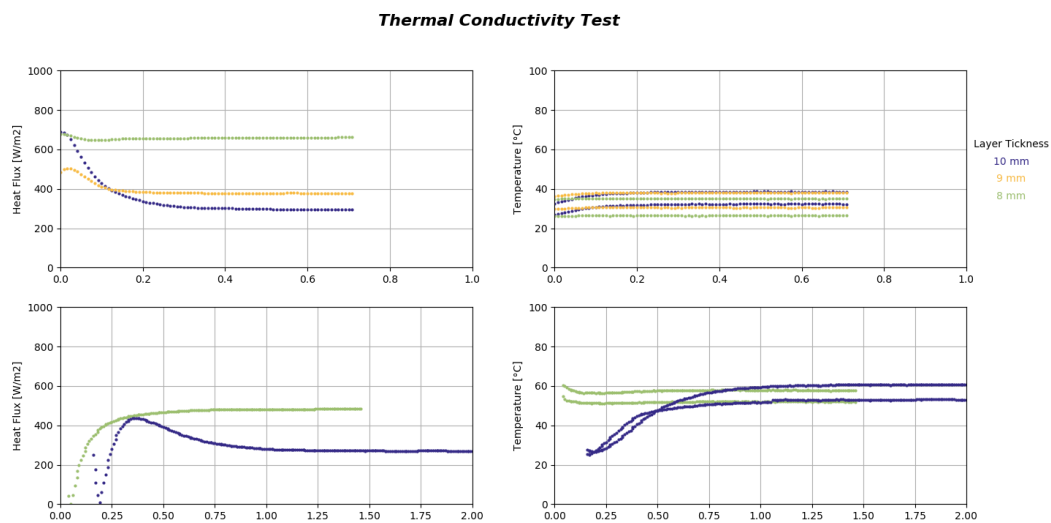


2596 of material equal to 10, 9 and 8 mm. Results of the monitoring and average values are reported respectively in  
2597 figure 5.25 and 5.26.

2598 Detected values of thermal conductivity ranged between 0.17 and 0.25  $\text{W m}^{-1}\text{K}^{-1}$ , values that are not much far  
2599 from the silica references of 0.12-0.2  $\text{Wm}^{-1}\text{k}^{-1}$  as reported in Table 2.2, or from  $\text{CaCl}_2$  values ( $<0.2 \text{ W m}^{-1}\text{k}^{-1}$ ).  
2600 This is evidently a not evident improvement respect to what is present in literature. Anyway, an encouraging way  
2601 of development to achieve improved thermal properties might be the addition of conductive powders during the  
2602 mixing stage of alginate/DIW water, to operate improvements on the thermal properties. Higher conductive  
2603 materials may lead indeed to a massive presence of sorbent material in an ADS-HX configuration, as  
2604 approached in the previous paragraph.

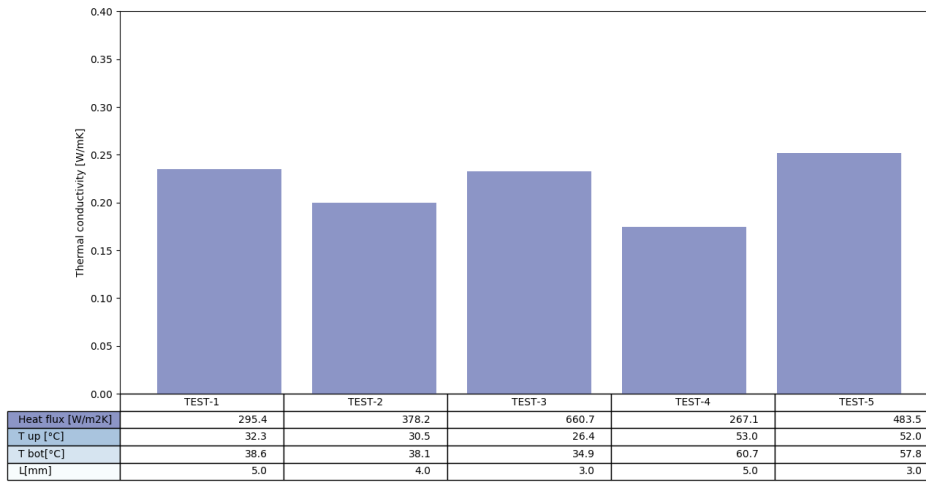


2605  
2606 **Figure 5.24:** Assembled sample (@11 mm thickness) for the measurement of the heat flux.



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2608 **Figure 5.25:** Transient of the thermal conductivity tests, operated on the samples @11, 9 and 8 mm of wall thickness.

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2610  
2611 **Figure 5.26:** Average value of thermal conductivity measured among different tests and configurations.

2612  
2613 **Conclusion**

2614 In this section solutions alternative to the configuration explored in Chapters 3 and 4 have been explored.  
2615 The liquid polymers are interesting for the miniaturization possibility on the global system, intrinsic of non-static  
2616 desiccant. The copolymer PDMS-b-PEO showed very interesting performances, especially for its very rapid  
2617 dynamics on absorbing and releasing water vapor. A small prototype has been tested to verify the possibility of  
2618 regenerating, with dynamic conditions, at temperature between 55-65°C. Results are very promising, and push  
2619 further research interests on that direction.

2620 Improvement of performances is also achievable on the static configuration, with using smarter and  
2621 biocompatible material giving the possibility of realizing ADS-HX configurations with hybrid geometry respect to  
2622 classical the coating or the packed bed solutions as in the chapter 3. The use of a composite hydrogel, based  
2623 on calcium bentonite clay and alginate biopolymer, give the possibility to print configurations combining molding  
2624 techniques and ionotropic gelation.

2625 The composite hydrogel resulted in very high performances in terms of water uptakes. Figure 4 shows the water  
2626 absorption capacity of the polymer at different temperatures and pressures of the water vapor in equilibrium.  
2627 During the adsorption phase (operated at temperature between 20-35°C and pressure of water vapor between  
2628 0.8-1 kPa, typical of a dry environment) the water uptake can reach values as much as 80% of the dry basis (the  
2629 water uptake of silica gel, the most common hygroscopic material, at the same operative condition is 10-15%).  
2630 The regeneration can be carried out at temperature as low as 60°C, that can reduce the water content up to  
2631 10%. The total amount of water released (mass variation of 70%). This, combined with a good density of the  
2632 polymer (650 kg m<sup>-3</sup>), increases the total specific density of a machine based on this component, extracting up  
2633 to 480 liters of water per cubic meter of composite.

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# Attachments

3125

## A- Comparison of air pressure drop. SiO<sub>2</sub> packed bed versus Zeolite-SAPO34 finned coil

T1 @ 15°C					T2 @ 25°C					T3 @ 35°C				
V <sub>T1</sub>	Q	σ <sub>Q</sub>	ΔP <sub>exp</sub>	σ <sub>ΔP</sub>	V <sub>T2</sub>	Q	σ <sub>Q</sub>	ΔP <sub>exp</sub>	σ <sub>ΔP</sub>	V <sub>T3</sub>	Q	σ <sub>Q</sub>	ΔP <sub>exp</sub>	σ <sub>ΔP</sub>
[m s <sup>-1</sup> ]	[m <sup>3</sup> h <sup>-1</sup> ]	[m <sup>3</sup> h <sup>-1</sup> ]	[Pa]	[%]	[m s <sup>-1</sup> ]	[m <sup>3</sup> h <sup>-1</sup> ]	[m <sup>3</sup> h <sup>-1</sup> ]	[Pa]	[%]	[m s <sup>-1</sup> ]	[m <sup>3</sup> h <sup>-1</sup> ]	[m <sup>3</sup> h <sup>-1</sup> ]	[Pa]	[%]
0.33	150	±10.5	0	-	0.33	150	±10.5	0	-	0.33	150	±10.5	0	-
0.55	245	±17.15	1.8	±11%	0.55	247	±17.29	0.8	±25%	0.56	250	±17.5	0.5	±40%
0.78	350	±24.5	3.2	±6%	0.78	350	±24.5	2.7	±7%	0.78	350	±24.5	2.1	±10%
1.02	460	±32.2	5.4	±4%	1.04	467	±32.69	4.5	±4%	1.04	465	±32.55	4.05	±5%
1.28	575	±40.25	7.6	±3%	1.28	575	±40.25	7.5	±3%	1.28	575	±40.25	7.4	±3%
1.51	680	±47.6	11.4	±2%	1.50	675	±47.25	10.5	±2%	1.51	680	±47.6	10.25	±2%

3126

Table A1. Experimental measurements of pressure drop tests carried out on the Zeo-SAPO34 coated finned coil. [260]

TEST		Q	T	ρ	m	ΔP <sub>exp</sub>	v	Re	ε	L	ΔP <sub>Ergun</sub>	R.E.
		[m <sup>3</sup> /h]	[°C]	[kg/m <sup>3</sup> ]	[kg/h]	[Pa]	[m/s]	[-]	[-]	[m]	[Pa]	[%]
1	RIG	461	55.8	1.07	495	69.9	0.42	72.5	0.38	0.038	82.20	-17.6%
2	ADS	348	30.2	1.16	405	55.2	0.32	59.9	0.38	0.038	56.35	-2.1%
3	RIG	589	74.5	1.02	598	96	0.54	88.2	0.38	0.038	118.89	-23.8%
4	ADS	349	30.8	1.16	405	53.6	0.32	59.8	0.38	0.038	56.29	-5.0%
5	RIG	252	56	1.07	271	32.9	0.23	39.7	0.38	0.038	33.26	-1.1%
6	ADS	253	32.4	1.16	292	35.9	0.23	42.7	0.38	0.038	34.36	4.3%
7	RIG	325	69.5	1.03	335	41.7	0.3	49.7	0.38	0.038	48.07	-15.3%
8	ADS	227	35.8	1.14	259	29	0.21	38.6	0.38	0.038	30.01	-3.5%
9	RIG	139	51.4	1.09	151	17.8	0.13	22.7	0.38	0.038	15.37	13.7%
10	ADS	128	29.3	1.17	149	17.2	0.12	22.5	0.38	0.038	14.15	17.8%
11	RIG	147	64.6	1.05	154	17.9	0.13	21.9	0.38	0.038	15.19	15.1%
12	ADS	134	30.5	1.16	156	17.6	0.12	22.4	0.38	0.038	14.13	19.7%
13	RIG	330	55.5	1.07	355	83.4	0.3	51.8	0.4	0.078	82.19	1.5%
14	ADS	309	32.3	1.16	357	78.1	0.28	52.0	0.40	0.078	76.87	1.6%
15	RIG	370	73.2	1.02	377	94.4	0.34	55.7	0.40	0.078	96.67	-2.4%
16	ADS	324	31.6	1.16	375	83.2	0.3	55.9	0.40	0.078	85.42	-2.7%
17	RIG	254	47.6	1.10	280	57.7	0.23	40.7	0.40	0.078	56.23	2.6%
18	ADS	214	30.7	1.16	249	47.5	0.2	37.4	0.40	0.078	47.12	0.8%
19	RIG	254	70.9	1.03	261	59.1	0.23	38.0	0.40	0.078	54.55	7.7%
20	ADS	210	32.5	1.16	243	47	0.19	35.3	0.40	0.078	43.72	7.0%
21	RIG	137	54	1.08	148	28.4	0.13	22.6	0.40	0.078	25.52	10.1%
22	ADS	138	31.8	1.16	160	29.9	0.13	24.2	0.40	0.078	26.09	12.8%
23	RIG	137	66.4	1.04	142	29.3	0.13	21.7	0.40	0.078	25.24	13.9%
24	ADS	139	30.5	1.16	162	28	0.13	24.3	0.40	0.078	26.12	6.7%
CPB-18-28	RIG	313	51.5	1.09	-	175	0.36	63.0	0.41	0.14	177.7	-1.6%
CPB-18-29	ADS	295	35.5	1.14	352	168	0.34	62.5	0.41	0.14	167.2	0.5%
CPB-18-30	ADS	274	31.5	1.16	362	158	0.31	57.8	0.41	0.14	146.1	7.6%
CPB-18-31	RIG	227	50	1.09	-	111	0.26	45.7	0.41	0.14	108.8	2.0%
CPB-18-32	ADS	218	30.6	1.16	261	105	0.25	46.7	0.41	0.14	105.8	-0.7%

CPB-18-33	ADS	212	30.6	1.16	266	105	0.24	44.9	0.41	0.14	99.6	5.1%
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3127 Table A2. Experimental measurements of pressure drop tests carried out on the SiO<sub>2</sub> packed bed configurations [127]

3128

3129 **B - Testing condition of the ADS-HX coated with Zeolite SAPO34**

TEST	Time	Qa	Ta in	Tout	x in	Tw in	v	Re
-	mins	m <sup>3</sup> h <sup>-1</sup>	°C	°C	g kg <sup>-1</sup>	°C	m s <sup>-1</sup>	-
1	156	163	36.9	61.3	0.032	88.5	0.36	118
3	39	210	34.2	56.3	0.029	78.0	0.47	156
5	70	252	32.4	62.8	0.024	86.5	0.56	184
7	44	307	32.3	55.6	0.026	79.5	0.68	230
9	38	355	30.5	57.1	0.023	85.3	0.79	265
11	37	408	32.9	54.9	0.023	81.4	0.91	304
13	37	465	31.8	54.3	0.020	85.1	1.04	348
15	38	509	30.0	51.7	0.013	83.4	1.13	384
17	40	570	31.2	50.7	0.018	80.0	1.27	430
19	39	620	29.9	49.6	0.012	82.0	1.38	469
21	45	683	31.3	51.7	0.018	31.3	1.52	514
23	43	736	30.5	51.3	0.016	87.9	1.64	555

3130 Table B1. Set of the air flow, air temperature, humidity and phase duration of regeneration tests of the SAPO34 ADS-HX, preparing the tests of  
3131 adsorption for the hybrid ventilation mode.

3132

TEST	Time	Qa	Ta in	Tout	x in	dx-5	dx-10	dx-20	dx-30	Tw in	v	Re
-	Mins	m <sup>3</sup> h <sup>-1</sup>	°C	°C	g kg <sup>-1</sup>	g kg <sup>-1</sup>	g kg <sup>-1</sup>	g kg <sup>-1</sup>	g kg <sup>-1</sup>	°C	m s <sup>-1</sup>	-
2	87	163	31.5	33.7	0.026	3.6	4.6	1.4	0.4	64.6	0.36	125
4	90	210	33.4	35.9	0.031	5.4	5.6	2.1	1.1	58.9	0.47	160
6	120	252	31.9	33.5	0.024	3.7	4.6	2.2	1.5	58.0	0.56	193
8	120	307	31.7	33.1	0.026	4.8	4.8	1.3	1.3	56.1	0.68	235
10	90	356	31.8	33.6	0.025	5.5	4.4	1.6	1.2	61.2	0.79	273
12	100	405	32.1	33.7	0.024	5.3	4.2	1.7	1.1	60.4	0.90	311
14	90	465	32.0	32.2	0.023	4.6	3.2	1.2	0.8	61.3	1.04	357
16	100	509	31.1	31.3	0.018	2.9	2.5	1.3	1.0	57.1	1.13	392
18	90	570	31.5	31.6	0.031	2.8	2.2	1.2	1.0	57.0	1.27	439
20	90	620	31.1	32.2	0.013	2.5	1.6	1.1	0.8	57.5	1.38	477
22	90	683	31.3	31.3	0.020	4.0	2.4	1.0	0.9	56.1	1.52	526
24	90	738	31.5	31.6	0.017	2.9	1.7	0.9	0.8	57.6	1.64	568

3133 Table B2. Set of the air flow, air temperature, humidity and phase duration of adsorption test during the adsorption of SAPO34 ADS-HX without  
3134 cooling

3135

3136

### C - AWG prototype and modelling parameters

3137

- FAN 1 - is the centrifugal fan (model G1G108-AB17-02) moving the air in the closed loop of ADS-HX. Variable velocity 0-3000 rpm (0-10V PWM) with a maximum power consumption of 43 W, air flow rate 100 m<sup>3</sup>/h, 400 Pa,

3138

3139

3140

- FAN 2 - is an axial fan (model A3G300-AN02-03) variable speed up to 2500 rpm, controlled with PWM (Pulse Width Modulation) signal between 0-10 V. Operative point 1400 m<sup>3</sup>/h, 14 Pa, 26 W.

3141

3142

- PUMP 1 - solar pump (20 W)

3143

- PUMP 2 - condenser pump (20 W)

3144

- 4WAY AIR VALVE

3145

The functioning of the component is not continuous and variable. In the table below is reported the power consumptions in each test and the evaluation of the daily electric consumptions.

3146

6	P <sub>el max</sub> (W)	TESTS																		
		20	21	22	23	24	25	26	27	28	29	30	31	32	33	36	37	38	39	
Fan 1	43	duty	100%	70%	100%	30%	100%	50%	100%	30%	100%	50%	100%	70%	100%	70%	100%	30%	100%	50%
		P <sub>el</sub> (W)	43	30	43	13	43	22	43	13	43	22	43	30	43	30	43	13	43	22
Fan 2	26	duty	0%	100%	0%	100%	0%	100%	0%	100%	0%	100%	0%	100%	0%	100%	0%	100%	0%	100%
		P <sub>el</sub> (W)	0	26	0	26	0	26	0	26	0	26	0	26	0	26	0	26	0	26
Hot pump	10	duty	0%	100%	0%	100%	0%	100%	0%	100%	0%	100%	0%	100%	0%	100%	0%	100%	0%	100%
		P <sub>el</sub> (W)	0	10	0	10	0	10	0	10	0	10	0	10	0	10	0	10	0	10
Cold pump	10	duty	0%	100%	0%	100%	0%	100%	0%	100%	0%	100%	0%	100%	0%	100%	0%	100%	0%	100%
		P <sub>el</sub> (W)	0	10	0	10	0	10	0	10	0	10	0	10	0	10	0	10	0	10
Total Power (W)			43,0	76,1	43,0	58,9	43,0	67,5	43,0	58,9	43	87,5	43,0	76,1	43,0	76,1	43	78,9	43,0	67,5
Working Time (h)			15	9	15	9	15	9	15	9	15	10	15	9	15	9	14	10	15	9
Average Power (W)			55,4		49,0		52,2		49,0		62		55,4		55,4		58		52,2	
Total Energy (kWh)			0,645	0,6849	0,645	0,5301	0,645	0,6075	0,645	0,5301	0,602	0,875	0,645	0,6849	0,645	0,6849	0,602	0,789	0,645	0,6075
Daily consumptions			1,330		1,175		1,253		1,175		1,477		1,330		1,330		1,391		1,253	

3147

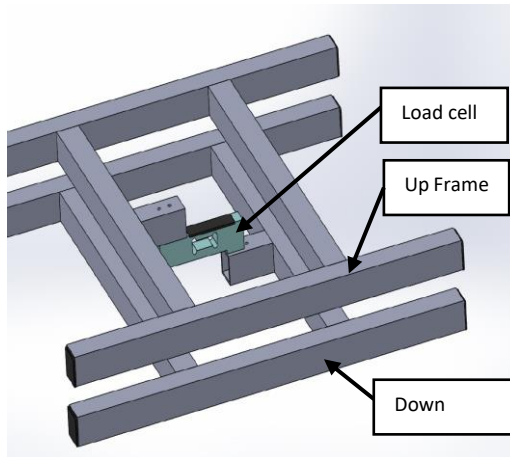
$k_{air}$	0.027	W m <sup>-1</sup> K <sup>-1</sup>
$k_{Al}$	250	W m <sup>-1</sup> K <sup>-1</sup>
$c_{pAl}$	900	J kg <sup>-1</sup> K <sup>-1</sup>
$\rho_{Al}$	2700	Kg m <sup>-3</sup>
$k_{Cu}$	365	W m <sup>-1</sup> K <sup>-1</sup>
$c_{pCu}$	675	J kg <sup>-1</sup> K <sup>-1</sup>
$D_0$	$1.6 \times 10^{-6}$	m <sup>2</sup> s <sup>-1</sup>
$\rho_{Cu}$	2700	Kg m <sup>-3</sup>
$r$	1.5	mm
$\bar{r}_p$	$11 \times 10^{-10}$	M
$Pr$	0.7055	-
$\rho_s$	1129	Kg m <sup>-3</sup>
$L_{bed}$	65	cm
$P_{atm}$	1.01325	bar
$H_{bed}$	10	cm
$d_z$	3	mm
$d_t$	1	s
$a_f$	$16.13/0.12/0.65^2 \sim 318$	m <sup>2</sup> m <sup>-3</sup>
$A_{th}$	16.13	m <sup>2</sup>
$U_{ADS}$	0.58	W m <sup>-2</sup> K <sup>-1</sup>
$U_{RIG}$	21.05	W m <sup>-2</sup> K <sup>-1</sup>
$U_L$	6.9	W m <sup>-2</sup> K <sup>-1</sup>
$\epsilon_b$		-
$\epsilon_p$	0.35	
$\rho_w$	1000	Kg m <sup>-3</sup>
$c_{pw}$	4186	J kg <sup>-1</sup> K <sup>-1</sup>
$k_w$	0.6	W m <sup>-1</sup> K <sup>-1</sup>

3148

List of parameters and constants used for the numerical solution of the model.

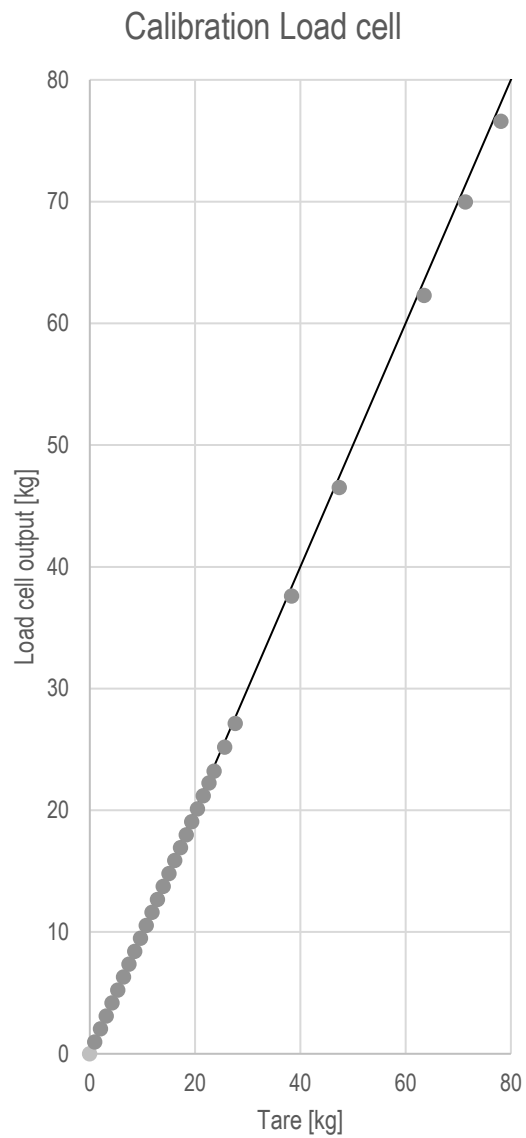


3149 **D - Load cell calibration**



3150  
3151

Calibration			
Element	Tare	Cumulative tare	Load cell Output
-	kg	kg	kg
Frame	0,99	0,99	0,97
1	1,084	2,0742	2,042
2	1,084	3,1580	3,102
3	1,0859	4,2439	4,17
4	1,0879	5,3318	5,238
5	1,0818	6,4136	6,307
6	1,073	7,4866	7,352
7	1,0868	8,5734	8,42
8	1,0849	9,6583	9,48
9	1,0822	10,7405	10,54
10	1,0851	11,8256	11,62
11	1,0828	12,9084	12,68
12	1,0814	13,9898	13,74
13	1,0858	15,0756	14,8
14	1,0834	16,1590	15,87
15	1,0815	17,2405	16,93
16	1,0836	18,3241	17,99
17	1,0824	19,4065	19,05
18	1,0814	20,4879	20,1
19	1,0859	21,5738	21,18
20	1,0854	22,6592	22,24
21	1,008	23,6672	23,22
22	1,9983	25,6655	25,18
23	1,9985	27,6640	27,13
24	10,6725	38,3365	37,59
25	9,1153	47,4518	46,52
26	16,0665	63,5183	62,29
27	7,852	71,3703	69,96
28	6,784	78,1543	76,58



3152 E – Air velocity measurements

	Open Loop				Closed Loop			
20%	H	sampling 1	sampling 2	sampling 3	H	sampling 1	sampling 2	sampling 3
	1	0.06	0.07	0.06	1	0.01	0	0.01
	2	0.27	0.3	0.29	2	0.28	0.29	0.26
	3	0.33	0.34	0.34	3	0.31	0.32	0.32
	5	0.31	0.32	0.34	5	0.3	0.27	0.29
	7	0.31	0.33	0.3	7	0.29	0.24	0.25
	9	0.28	0.29	0.28	9	0.27	0.25	0.26
	11	0.29	0.28	0.27	11	0.23	0.28	0.26
40%	H	sampling 1	sampling 2	sampling 3	H	sampling 1	sampling 2	sampling 3
	1	0.26	0.23	0.25	1	0	0.02	0.06
	2	0.76	0.81	0.79	2	0.63	0.67	0.93
	3	0.82	0.86	0.83	3	0.74	0.76	1.14
	5	0.86	0.85	0.86	5	0.75	0.74	1.17
	7	0.74	0.79	0.76	7	0.66	0.65	1.07
	9	0.69	0.71	0.67	9	0.57	0.6	0.94
	11	0.66	0.65	0.65	11	0.58	0.57	0.9
60%	H	sampling 1	sampling 2	sampling 3	H	sampling 1	sampling 2	sampling 3
	1	0.56	0.19	0.21	1	0.04	0.03	0.06
	2	1.21	0.18	1.22	2	0.74	0.84	0.93
	3	1.3	1.27	1.28	3	1.11	1.13	1.14
	5	1.18	1.17	1.21	5	1.15	1.18	1.17
	7	1.12	1.13	1.15	7	1.02	1.04	1.07
	9	1.07	1.08	1.09	9	0.93	0.89	0.94
	11	0.93	1.02	0.94	11	0.88	0.87	0.9
80%	H	sampling 1	sampling 2	sampling 3	H	sampling 1	sampling 2	sampling 3
	1	0.48	0.28	0.38	1	0.01	0.05	0.03
	2	1.45	1.48	1.44	2	1.1	1.08	1.07
	3	1.52	1.49	1.48	3	1.21	1.24	1.23
	5	1.44	1.45	1.46	5	1.25	1.28	1.25
	7	1.27	1.29	1.3	7	1.2	1.15	1.16
	9	1.19	1.21	1.23	9	1.12	1.06	1.13
	11	1.12	1.15	1.16	11	1.03	1.04	1.04
100%	H	sampling 1	sampling 2	sampling 3	H	sampling 1	sampling 2	sampling 3
	1	0.43	0.36	0.44	1	0.08	0.09	0.06
	2	1.76	1.74	1.76	2	1.25	1.4	1.37
	3	1.8	1.75	1.83	3	1.32	1.44	1.48
	5	1.73	1.65	1.84	5	1.42	1.46	1.49
	7	1.66	1.61	1.64	7	1.35	1.38	1.41
	9	1.52	1.57	1.54	9	1.24	1.26	1.27
	11	1.38	1.36	1.44	11	1.16	1.2	1.15

3154 **F – Estimation of measurement uncertainties**

3155 The experimental activity carried out within this research involved the use of multiple sensors for the evaluation  
 3156 of variables and macroscopic indicators resulting from the combination of different physical quantities. In this  
 3157 attachment is reported the procedure and the quantitative estimation of the errors on variables and indicators  
 3158 elaborated on the acquired data.

3159 The experimental activity grounds mainly on the measurements of three parameters: the air and water  
 3160 temperature, the relative humidity, and the weight of the sorbent. The standard deviation for each sensor  
 3161 typology is recalled in the table below:

Physical variable	Symbol	Sensor type	Sensor model	Producer	Standard deviation $\sigma[-]$
Air temperature	T	IC temperature sensor	LM35CAZ	Texas Instruments	$\pm 0.5$ °C
Relative humidity	RH	capacitive polymer	HIH4000	Honeywell	$\pm 3.5$ %
Sorbent weight	W	load cell	AL6N-C3-100kg-3B6	Variohm	$\pm 0.002$ mV/V

3162 Table F.1: Standard deviation of the three main sensors used to measure the air temperature, the relative humidity and the weight of the sorbent  
 3163 material.

3164 In the following part will be assessed the error estimation for: Pressure of vapor saturation; moisture content;  
 3165 vapor pressure; water uptake; equilibrium water uptake; charge level.

3166 **1) Vapor saturation pressure**

3167  $P_{sat}$  is a non-linear function of measured air temperature T, evaluated as:

3168 
$$P_{sat} = h(T) = a \cdot \exp\left(\frac{b \cdot T}{c + T}\right) [Pa] \quad (F.1)$$

3169 This function can be approximated with the Taylor expansion truncated at the first term:

3170 
$$P_{sat} \cong h(\bar{T}) + \left. \frac{\partial h}{\partial T} \right|_{\bar{T}} (T - \bar{T}) \quad (F.2)$$

3171 Where  $\bar{T}$  is the mean value (where x is the generic variable and  $E[x]$  its average value) over n samplings of  
 3172 the temperature. The mathematical definition of the variance is  $\sigma^2[x] = E[x^2] - (E[x])^2$ , that once applied to the  
 3173 function h(T), becomes:

3174 
$$\sigma^2[P_{sat}] = \sigma^2[h(T)] = E[(h(T) - E[h(T)])^2] \quad (F.3)$$

3175 Where  $E[h(T)] = h(\bar{T})$ . The final formula to estimate the variance is obtained substituting the approximated  
 3176 solution of h(T) to the equation F.3:

3177 
$$\sigma^2[P_{sat}] \cong E \left[ \left( h(\bar{T}) + \left. \frac{\partial h}{\partial T} \right|_{\bar{T}} (T - \bar{T}) - h(\bar{T}) \right)^2 \right] = E \left[ \left( \left. \frac{\partial h}{\partial T} \right|_{\bar{T}} (T - \bar{T}) \right)^2 \right] = \left( \left. \frac{\partial h}{\partial T} \right|_{\bar{T}} \right)^2 \cdot E[(T - \bar{T})^2] = \left( \left. \frac{\partial h}{\partial T} \right|_{\bar{T}} \right)^2 \cdot \sigma^2[T] \quad (F.4)$$

3178 
$$\sigma[P_{sat}] = \sqrt{\sigma^2[P_{sat}]} \cong \left. \frac{\partial h}{\partial T} \right|_{\bar{T}} \cdot \sqrt{\sigma^2[T]} = \left. \frac{\partial h}{\partial T} \right|_{\bar{T}} \cdot \sigma[T] \quad (F.5)$$

3179 Equation F.5 requires the mathematical development of the h(T) derivative, and the numerical evaluation at the  
 3180 average temperature  $\bar{T}$ , as in the equation F.6

3181 
$$\left. \frac{\partial h}{\partial T} \right|_{\bar{T}} = \frac{b \cdot (c + \bar{T}) - b \cdot \bar{T}}{(c + \bar{T})^2} \cdot \exp\left(\frac{b \cdot \bar{T}}{c + \bar{T}}\right) \cdot a = \frac{b \cdot c \cdot a}{(c + \bar{T})^2} \cdot \exp\left(\frac{b \cdot \bar{T}}{c + \bar{T}}\right) \quad (F.6)$$

3182 In the table and figure below is reported the uncertainty on the saturation pressure at the different temperature  
 3183 value.

T °C	P <sub>sat</sub> Pa	dh/dT Pa/°C	σ[P <sub>sat</sub> ] Pa
10	1229	82	41
20	2341	145	72
30	4250	244	122
40	7396	395	198
50	12391	617	309
60	20059	934	467
70	31480	1373	687

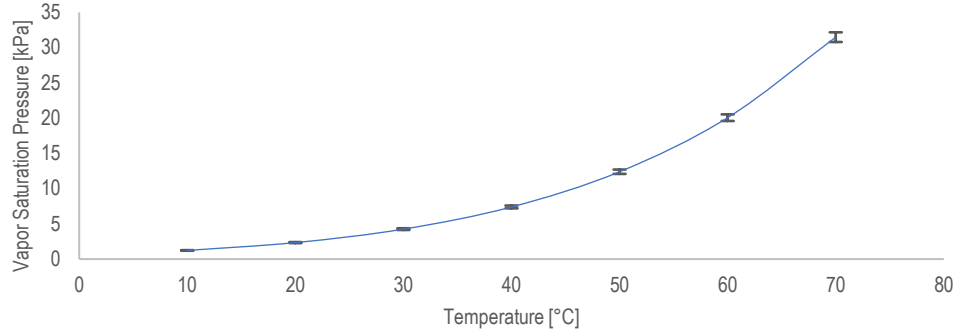


Table F.2: Values of the saturation pressure, and related uncertainties, at different temperature values.

Figure F.1: Pressure of saturated water vapor and related uncertainty within the range of 10-70°C.

## 3184 **2) Moisture content**

3185 Moisture content (x) is a non-linear function dependent by both temperature and relative humidity  
3186 measurements.

$$3187 \quad x = 0.622 \cdot \frac{P_{sat} \cdot \frac{RH}{100}}{101325 - (P_{sat} \cdot \frac{RH}{100})} \cdot 1000 \left[ \frac{g}{kg} \right] \quad (F.7)$$

3188 The variance of moisture content  $\sigma[x]$  will be a combined function of the single variances on temperature and  
3189 relative humidity. As before, is applied the same method with the Taylor approximation, and the subsequent  
3190 development of variance definition.

$$3191 \quad x = y(RH, P_{sat}) \cong y(\overline{RH}, \overline{P_{sat}}) + \left. \frac{\partial y}{\partial RH} \right|_{\overline{RH}} (RH - \overline{RH}) + \left. \frac{\partial y}{\partial P_{sat}} \right|_{\overline{P_{sat}}} (P_{sat} - \overline{P_{sat}}) \quad (F.8)$$

$$3192 \quad \sigma^2[x] = \sigma^2[y(RH, P_{sat})] = E[(y(RH, P_{sat}) - E[y(RH, P_{sat})])^2] \quad (F.9)$$

$$3193 \quad \sigma^2[x] \cong E \left[ \left( y(\overline{RH}, \overline{P_{sat}}) + \left. \frac{\partial y}{\partial RH} \right|_{\overline{RH}, \overline{P_{sat}}} \cdot (RH - \overline{RH}) + \left. \frac{\partial y}{\partial P_{sat}} \right|_{\overline{RH}, \overline{P_{sat}}} \cdot (P_{sat} - \overline{P_{sat}}) - y(\overline{RH}, \overline{P_{sat}}) \right)^2 \right] \quad (F.10)$$

$$3194 \quad \sigma^2[x] \cong E \left[ \left( \left. \frac{\partial y}{\partial RH} \right|_{\overline{RH}, \overline{P_{sat}}} \cdot (RH - \overline{RH}) + \left. \frac{\partial y}{\partial P_{sat}} \right|_{\overline{RH}, \overline{P_{sat}}} \cdot (P_{sat} - \overline{P_{sat}}) \right)^2 \right] \quad (F.11)$$

$$3195 \quad \sigma^2[x] \cong E \left[ \left( \left. \frac{\partial y}{\partial RH} \right|_{\overline{RH}, \overline{P_{sat}}} \right)^2 \cdot (RH - \overline{RH})^2 + \left( \left. \frac{\partial y}{\partial P_{sat}} \right|_{\overline{RH}, \overline{P_{sat}}} \right)^2 \cdot (P_{sat} - \overline{P_{sat}})^2 + 2 \cdot \left. \frac{\partial y}{\partial RH} \right|_{\overline{RH}, \overline{P_{sat}}} \cdot \left. \frac{\partial y}{\partial P_{sat}} \right|_{\overline{RH}, \overline{P_{sat}}} \cdot (RH - \overline{RH}) \cdot (P_{sat} - \overline{P_{sat}}) \right] \quad (F.12)$$

3196 Where  $\sigma_{RH, P_{sat}}[x] = 2 \cdot \left. \frac{\partial y}{\partial RH} \right|_{\overline{RH}, \overline{P_{sat}}} \cdot \left. \frac{\partial y}{\partial P_{sat}} \right|_{\overline{RH}, \overline{P_{sat}}} (RH - \overline{RH}) \cdot (P_{sat} - \overline{P_{sat}})$  is the covariance of x from the two variable RH and  
3197 P<sub>sat</sub>. These two variables can be reasonably considered as uncorrelated, then the covariance of moisture content  
3198 is negligible.

$$3199 \quad \sigma^2[x] \cong \left( \left. \frac{\partial y}{\partial RH} \right|_{\overline{RH}, \overline{P_{sat}}} \right)^2 \cdot \sigma^2[RH] + \left( \left. \frac{\partial y}{\partial P_{sat}} \right|_{\overline{RH}, \overline{P_{sat}}} \right)^2 \cdot \sigma^2[P_{sat}] \quad (F.13)$$

3200

$$\sigma[x] = \sqrt{\left(\frac{\partial y}{\partial RH}\bigg|_{RH, P_{sat}}\right)^2 \cdot \sigma^2[RH] + \left(\frac{\partial y}{\partial p_{sat}}\bigg|_{RH, P_{sat}}\right)^2 \cdot \sigma^2[P_{sat}]} \quad (F.14)$$

3201 The derivatives of the function  $y(RH, P_{sat})$  are:

3202

$$\frac{\partial y}{\partial p_{sat}}\bigg|_{RH, P_{sat}} = \frac{0.622 \cdot \frac{RH}{100} \cdot \left(101325 - \bar{p}_{sat} \cdot \frac{RH}{100}\right) + 0.622 \cdot \bar{p}_{sat} \cdot \frac{RH}{100} \cdot \frac{RH}{100}}{\left(101325 - \bar{p}_{sat} \cdot \frac{RH}{100}\right)^2} = \frac{0.622 \cdot \frac{RH}{100} \cdot 101325}{\left(101325 - \bar{p}_{sat} \cdot \frac{RH}{100}\right)^2} \quad (F.15)$$

3203

$$\frac{\partial y}{\partial RH}\bigg|_{RH, P_{sat}} = \frac{0.622 \cdot \frac{\bar{p}_{sat}}{100} \cdot \left(101325 - \bar{p}_{sat} \cdot \frac{RH}{100}\right) + 0.622 \cdot \bar{p}_{sat} \cdot \frac{RH}{100} \cdot \frac{\bar{p}_{sat}}{100}}{\left(101325 - \bar{p}_{sat} \cdot \frac{RH}{100}\right)^2} = \frac{0.622 \cdot \frac{\bar{p}_{sat}}{100} \cdot 101325}{\left(101325 - \bar{p}_{sat} \cdot \frac{RH}{100}\right)^2} \quad (F.16)$$

3204

Whit this equation is realized the table below, where  $\sigma[x]$  is assessed.

T	Psat	dP/dT	$\sigma^2[P_{sat}]$	RH	x	dy/dRH	dy/dP <sub>sat</sub>	$\sigma[x]$
°C	Pa	Pa/°C	Pa	%	g/kg	g/(kg*RH)	g/(kg*Pa)	g/kg
10	1229	82	1694	10	0.76	7.6E-05	6.2E-07	0.27
				20	1.51	7.6E-05	1.2E-06	0.27
				30	2.27	7.6E-05	1.9E-06	0.28
				40	3.03	7.6E-05	2.5E-06	0.29
				50	3.8	7.6E-05	3.1E-06	0.30
				60	4.56	7.7E-05	3.7E-06	0.31
				70	5.33	7.7E-05	4.4E-06	0.32
				80	6.1	7.7E-05	5.0E-06	0.34
				90	6.87	7.7E-05	5.6E-06	0.36
				10	1.44	1.4E-04	6.2E-07	0.51
20	2.89	1.5E-04	1.2E-06	0.52				
30	4.34	1.5E-04	1.9E-06	0.53				
40	5.8	1.5E-04	2.5E-06	0.54				
50	7.27	1.5E-04	3.1E-06	0.56				
60	8.74	1.5E-04	3.8E-06	0.59				
70	10.2	1.5E-04	4.4E-06	0.61				
80	11.7	1.5E-04	5.1E-06	0.64				
90	13.2	1.5E-04	5.8E-06	0.67				
10	2.62	2.6E-04	6.2E-07	0.92				
20	5.26	2.7E-04	1.2E-06	0.94				
30	7.93	2.7E-04	1.9E-06	0.96				
40	10.6	2.7E-04	2.5E-06	0.99				
50	13.3	2.7E-04	3.2E-06	1.03				
60	16.1	2.7E-04	3.9E-06	1.07				
70	18.8	2.8E-04	4.6E-06	1.12				
80	21.6	2.8E-04	5.3E-06	1.17				
90	24.4	2.8E-04	6.0E-06	1.23				
10	4.57	4.6E-04	6.2E-07	1.62				
20	9.22	4.7E-04	1.3E-06	1.66				
30	13.9	4.7E-04	1.9E-06	1.70				
40	18.7	4.8E-04	2.6E-06	1.76				
50	23.6	4.9E-04	3.3E-06	1.83				
60	28.5	5.0E-04	4.0E-06	1.91				
70	33.5	5.0E-04	4.8E-06	2.00				
80	38.6	5.1E-04	5.5E-06	2.10				
90	43.7	5.2E-04	6.3E-06	2.21				
10	7.7	7.8E-04	6.3E-07	2.74				
20	15.6	8.0E-04	1.3E-06	2.83				
30	23.7	8.2E-04	2.0E-06	2.93				
40	32	8.4E-04	2.7E-06	3.06				
50	40.5	8.6E-04	3.5E-06	3.21				
60	49.3	8.9E-04	4.3E-06	3.37				
70	58.2	9.1E-04	5.1E-06	3.56				
80	67.5	9.3E-04	6.0E-06	3.76				
90	76.9	9.6E-04	7.0E-06	3.99				

Table F.3. Evaluation of the uncertainty on the air moisture content, at different values of relative humidity, and saturation pressure of the water vapor (then different temperature)

3205

3206

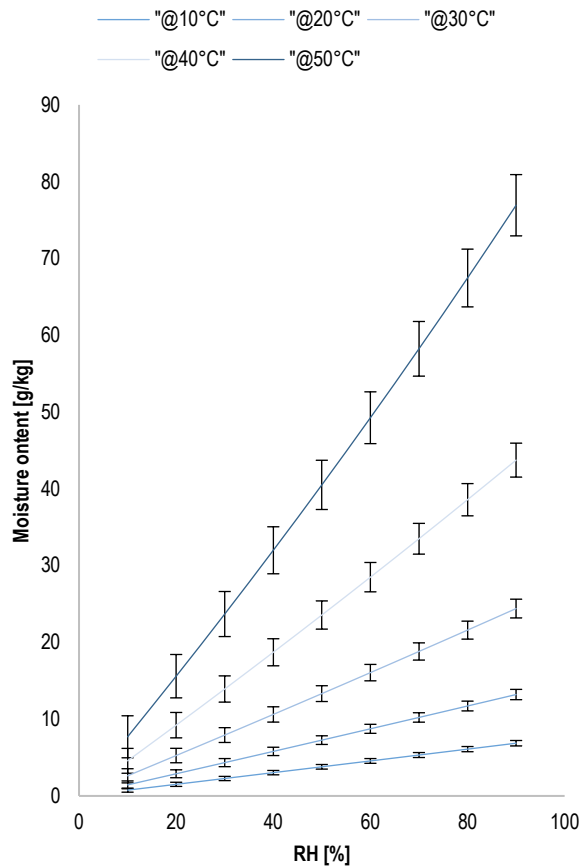


Figure F.2. The graph depicts the different moisture content, varying temperature of the humid air and relative humidity. Per each couple of (T,RH) is estimated the uncertainty on moisture content.

3207

### 3) Vapor Pressure

3208

$$P_v = RH * P_{sat} = RH * a * \exp\left(\frac{b \cdot T}{c + T}\right) = f(RH) * h(T) \quad (F.17)$$

3209

$$\sigma[P_v] = \sqrt{\left(\frac{\partial P_v}{\partial RH_{RH,T}}\right)^2 \sigma[RH]^2 + \left(\frac{\partial P_v}{\partial T_{RH,T}}\right)^2 \sigma[T]^2} = \sqrt{(P_{sat_T})^2 * \sigma[RH]^2 + \left(RH * \frac{b \cdot c \cdot a}{(c + T)^2} \cdot e^{\left(\frac{b \cdot T}{c + T}\right)}\right)^2 \sigma[T]^2} \quad (F.18)$$

T	P <sub>sat</sub>	RH	P <sub>v</sub>	dP <sub>v</sub> /dRH	dP <sub>v</sub> /dT	σ[P <sub>v</sub> ]
30	4,27	0,1	0,43	4,27	0,02	0,1
		0,3	1,28	4,27	0,07	0,2
		0,6	2,56	4,27	0,15	0,2
		0,9	3,84	4,27	0,22	0,2
40	7,46	0,1	0,75	7,46	0,04	0,3
		0,3	2,24	7,46	0,12	0,3
		0,6	4,48	7,46	0,24	0,3
		0,9	6,72	7,46	0,36	0,3
50	12,54	0,1	1,25	12,54	0,06	0,4
		0,3	3,76	12,54	0,19	0,4
		0,6	7,52	12,54	0,38	0,5
		0,9	11,28	12,54	0,56	0,5

Table F.4: Evaluation of the uncertainty on the partial vapor pressure, at different values of relative humidity, and saturation pressure of the water vapor (then different temperature)

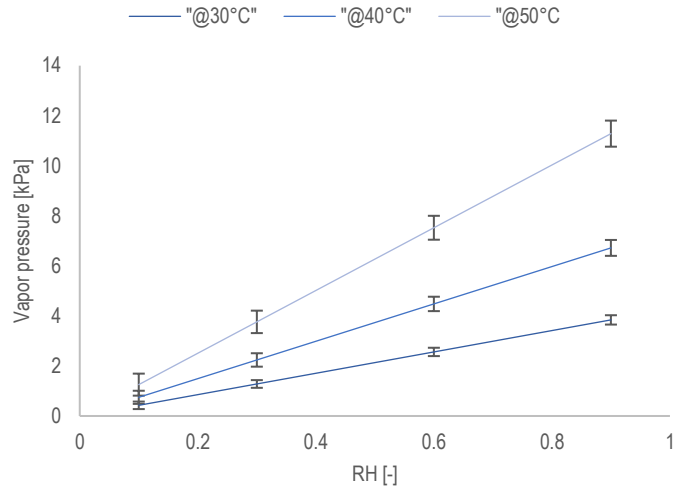


Figure F.3. The graph depicts the different partial vapor pressure, varying temperature of the humid air and relative humidity. Per each couple of (T,RH) is estimated the uncertainty on vapor pressure.

3210

### 4) Water uptake and Charge Level indicator

3211

Load cell output is  $P=2 \pm 0.002$  mV/V @ F.S. (100kg for the used model Variohm AL6N-C3-100kg-3B6).

3212

The wheatstone bridge was excited @24V DC, then the output of the load cell (P) is a linear function with

3213

slop 0.48 mV in the range of 0-100 kg, with a variance  $\sigma[P]$  equal to  $\pm 0.048$ mV. The final value of the

3214

weight (W) will result then as:

3215

$$W = P * \frac{100}{48} [kg] \quad (F.19)$$

3216

The variance  $\sigma[W]$  can be evaluated as done for saturation pressure, using the Taylor expansion of a generic

3217

function h(P):

3218

$$W = h(P) \cong h(\bar{P}) + \left.\frac{\partial h}{\partial P}\right|_{\bar{P}} (P - \bar{P}) \quad (F.20)$$

3219

$$\sigma[W] = \left.\frac{\partial h}{\partial P}\right|_{\bar{P}} \cdot \sigma[P] = \frac{100 [kg]}{48 [mV]} * 0.048 [mV] = 0.1 kg$$

3220

The instantaneous water uptake  $W_i$  has been estimated with the equation 4.5, function of the variable W:

3221

$$W_i = \frac{W - M_{dry\ silica} - M_{metallic\ frame}}{M_{dry\ silica}} \left[ \frac{kg_{H2O}}{kg_{SiO}} \right] \quad (F.21)$$

3222

Then the Taylor expansion is:

3223

$$W_i = h(W) \cong h(\bar{W}) + \left.\frac{\partial h}{\partial W}\right|_{\bar{W}} (W - \bar{W}) \quad (F.22)$$

3224

$$\sigma[W_i] = \left.\frac{\partial h}{\partial P}\right|_{\bar{P}} \cdot \sigma[P] = \frac{1}{M_{dry\ silica}} \sigma[P] = \frac{1}{27} \left[ \frac{kg_{H2O}}{kg_{SiO}} \right] 0.1 [kg] = 0.0037 \left[ \frac{kg_{H2O}}{kg_{SiO}} \right] \quad (F.23)$$

3225

The Charge Level (C.L.), is a macro-indicator evaluated starting from the instantaneous water uptake, the

3226

potential value achievable at the equilibrium:

3227

$$C.L. = \frac{W_i}{W_{eq}} [-] \quad (F.24)$$

3228 Where the equilibrium water uptake is evaluated as inverted function of equation 2.5, dependent by air  
3229 thermodynamic variables, inlet air temperature and relative humidity:

$$3230 \quad W_{eq}(RH_{in}, T_{in}) = A_1 T + A_2 T^2 + A_3 RH + A_4 RH T + A_5 RH T^2 + A_6 RH^2 + A_7 T RH^2 + A_8 RH^3 + A_9 T^3 + A_{10} \quad (F.25)$$

3231 Where the polynomial coefficients are  $A_1=-0.0017958$ ;  $A_2=+2.8085e-05$ ;  $A_3=-0.040625$ ,  $A_4=+0.0015898$ ;  $A_5=-$   
3232  $1.7748e-06$ ;  $A_6=+0.7411$ ;  $A_7=-0.0013052$ ;  $A_8=-0.37765$ ;  $A_{10}=+0.044373$ ,  $A_9=-1.6617e-07$ ;

3233 As done for the moisture content, being the C.L. a bidimensional function of  $W_i$  and  $W_{eq}$ , with the hypothesis  
3234 that the two independent variables have no cross correlation (the covariance is the neglected), the uncertainty  
3235 connected to the evaluation of C.L. can be calculated as:

$$3236 \quad CL = y(W_i, W_{eq}) \cong y(\overline{W_i}, \overline{W_{eq}}) + \left. \frac{\partial y}{\partial W_i} \right|_{\overline{W_i}} (W_i - \overline{W_i}) + \left. \frac{\partial y}{\partial W_{eq}} \right|_{\overline{W_{eq}}} (W_{eq} - \overline{W_{eq}}) \quad (F.26)$$

$$3237 \quad \sigma[CL] = \sqrt{\left( \left. \frac{\partial y}{\partial W_i} \right|_{\overline{W_i}, \overline{W_{eq}}} \right)^2 \cdot \sigma^2[W_i] + \left( \left. \frac{\partial y}{\partial W_{eq}} \right|_{\overline{W_i}, \overline{W_{eq}}} \right)^2 \cdot \sigma^2[W_{eq}]} \quad (F.27)$$

3238 However, equilibrium water uptake is still a bidimensional function of T and RH, requiring itself a specific  
3239 evaluation of the variance, with a similar method. Following equation reports mathematical passages for its  
3240 evaluation. Final values are reported in Table F.5 and Figure F.4

$$3241 \quad W_{eq} = y(RH_{in}, T_{in}) \cong y(\overline{RH_{in}}, \overline{T_{in}}) + \left. \frac{\partial y}{\partial RH_{in}} \right|_{\overline{RH_{in}}, \overline{T_{in}}} (RH_{in} - \overline{RH_{in}}) + \left. \frac{\partial y}{\partial T_{in}} \right|_{\overline{RH_{in}}, \overline{T_{in}}} (T_{in} - \overline{T_{in}}) \quad (F.28)$$

$$3242 \quad \sigma[W_{eq}(T_{in}, RH_{in})] = \sqrt{\left( \left. \frac{\partial y}{\partial T_{in}} \right|_{\overline{RH_{in}}, \overline{T_{in}}} \right)^2 \cdot \sigma^2[T_{in}] + \left( \left. \frac{\partial y}{\partial RH_{in}} \right|_{\overline{RH_{in}}, \overline{T_{in}}} \right)^2 \cdot \sigma^2[RH_{in}]} \quad (F.29)$$

$$3243 \quad \left. \frac{\partial y}{\partial T_{in}} \right|_{\overline{RH_{in}}, \overline{T_{in}}} = A_1 + 2A_2 \overline{T_{in}} + A_4 \overline{RH_{in}} + 2A_5 \overline{RH_{in}} \overline{T_{in}} + 3A_8 \overline{T_{in}}^2 \quad (F.30)$$

$$3244 \quad \left. \frac{\partial y}{\partial RH_{in}} \right|_{\overline{RH_{in}}, \overline{T_{in}}} = A_3 + A_4 \overline{T_{in}} + A_5 \overline{T_{in}}^2 + 2A_6 \overline{RH_{in}} + 2A_7 \overline{RH_{in}} \overline{T_{in}} + 3A_8 \overline{RH_{in}}^2 \quad (F.31)$$

T		RH	dy/dT	dy/dRH	$\sigma[W_{eq}]$
°C		%	[kg <sub>H2O</sub> /kg <sub>SiO</sub> ]/[°C]	[kg <sub>H2O</sub> /kg <sub>SiO</sub> ]/[-]	[kgH2O/kgSiO]
10	0,04	0,17	-0,001308	0,189388579	0,006660762
	0,06	0,25	-0,001174	0,276428892	0,009692787
	0,10	0,39	-0,000962	0,379588388	0,013294295
	0,15	0,51	-0,000770	0,436855393	0,01529478
	0,20	0,61	-0,000611	0,458031223	0,016034001
	0,25	0,73	-0,000435	0,453853969	0,015886376
	0,30	0,88	-0,000191	0,400166393	0,01400615
20	0,04	0,17	-0,001170	0,213380846	0,007491213
	0,06	0,26	-0,001040	0,29850095	0,010460463
	0,10	0,39	-0,000835	0,398680621	0,013960063
	0,15	0,52	-0,000650	0,453559008	0,015877893
	0,20	0,62	-0,000499	0,473532347	0,01657551
	0,25	0,73	-0,000332	0,469517512	0,016433952
	0,30	0,88	-0,000100	0,418517055	0,014648183
30	0,04	0,18	-0,001129	0,239789901	0,008411611
	0,06	0,27	-0,001002	0,3225734	0,011301185
	0,1	0,40	-0,000803	0,419074651	0,014673113
	0,15	0,52	-0,000626	0,47086681	0,016483307
	0,2	0,62	-0,000482	0,488963197	0,017115406
	0,25	0,73	-0,000323	0,484193058	0,016947527
	0,3	0,88	-0,000102	0,434365883	0,015202892
40	0,04	0,20	-0,001187	0,266716746	0,009353927
	0,06	0,28	-0,001063	0,347062778	0,012158827
	0,1	0,41	-0,000870	0,439687575	0,015395216
	0,15	0,53	-0,000699	0,488158988	0,017089139
	0,2	0,63	-0,000561	0,504102464	0,01764582
	0,25	0,73	-0,000411	0,498117593	0,017435326
	0,3	0,88	-0,000200	0,448592324	0,01570105
50	0,04	0,21	-0,001346	0,292413824	0,010256583
	0,06	0,29	-0,001226	0,370531399	0,012983069
	0,1	0,42	-0,001038	0,459565837	0,016093176
	0,15	0,54	-0,000873	0,504914959	0,017677409
	0,2	0,63	-0,000741	0,518787309	0,018161337
	0,25	0,74	-0,000598	0,51153517	0,017906226
	0,3	0,88	-0,000397	0,462020853	0,016171946

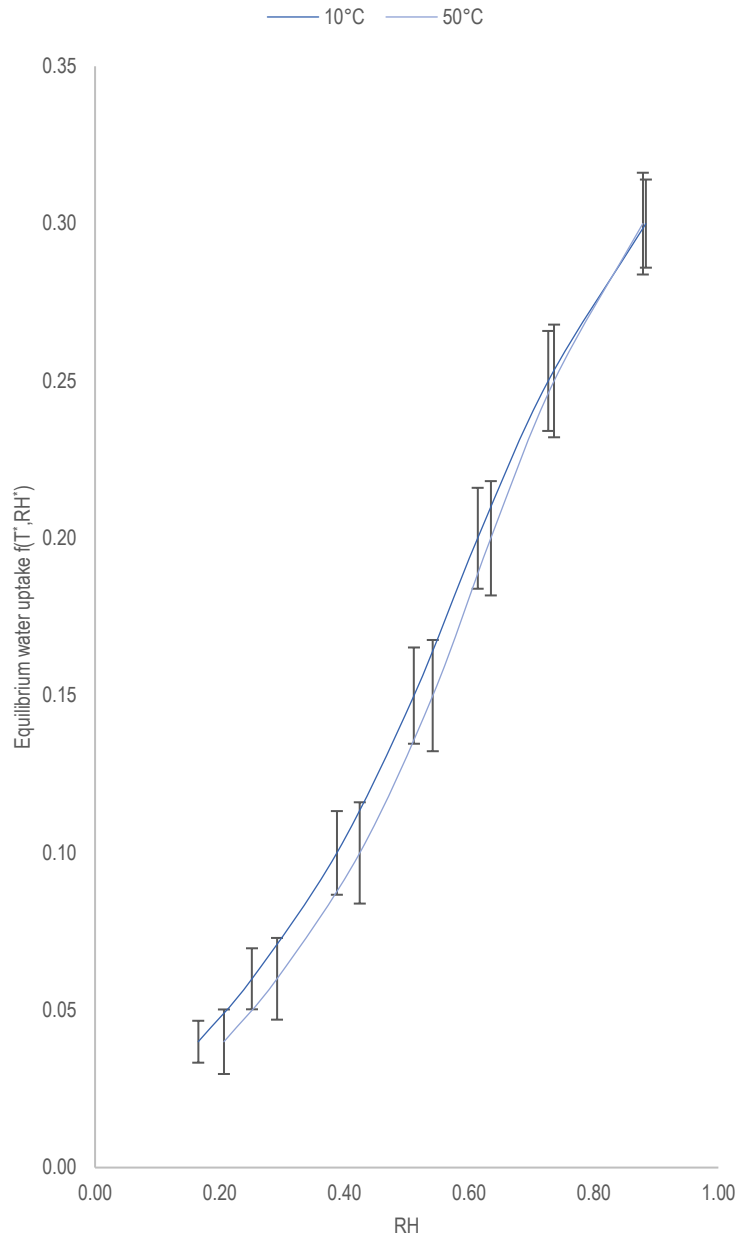


Table F.5. Evaluation of the uncertainty on the potential equilibrium water uptake, at different values of relative humidity and temperature of the air.

Figure F.4. The graph depicts the different water uptake values through the equilibrium equation, varying temperature of the humid air and relative humidity. Per each couple of (T,RH) is estimated the relative uncertainty on the equilibrium water uptake.

3245 Finally, the uncertainty related to the evaluation of the macro indicator C.L. can be calculated with the following  
3246 equation.

3247 
$$\sigma[CL] = \sigma[y(W_i, W_{eq})] \cong \sqrt{\left(\frac{1}{W_{eqRH,T}}\right)^2 \sigma^2[W_i] + W_i^2 \sigma^2[W_{eqRH,T}]} \quad (F.32)$$

3248 Final values, at different measurements of air temperature and relative humidity, and different measurements of  
3249 water uptake (starting from the load cell measurements) are reported in the table and graph below.



	We <sub>q</sub>	RH	σ[Weq]		We <sub>q</sub>	RH	σ[Weq]
30°C; 4%	0,0 4	0,18	0,00841161 1	50°C; 4%	0,0 4	0,2067 2	0,01025 7
	CL	Wi	σ[CL]		CL	Wi	σ[CL]
	0,1	0,004	0,09250000		0,1	0,004	0,0925
	0,3	0,012	0,09250005		0,3	0,012	0,0925
	0,5	0,02	0,09250015		0,5	0,02	0,0925
	0,7	0,028	0,0925003		0,7	0,028	0,0925
	0,9	0,036	0,09250049		0,9	0,036	0,09250
	We <sub>q</sub>	RH	σ[Weq]		We <sub>q</sub>	RH	σ[Weq]
30°C; 15%	0,1 5	0,51	0,01529478	50°C; 15%	0,1 5	0,54	0,02
	CL	Wi	σ[CL]		CL	Wi	σ[CL]
	0,1	0,015	0,02466773		0,1	0,015	0,02466
	0,3	0,045	0,02467626		0,3	0,045	0,02467
	0,5	0,075	0,02469332		0,5	0,075	0,02470
	0,7	0,105	0,02471889		0,7	0,105	0,02473
	0,9	0,135	0,02475293		0,9	0,135	0,02478
	We <sub>q</sub>	RH	σ[Weq]		We <sub>q</sub>	RH	σ[Weq]
30°C; 30%	0,3 0	0,88	0,02	50°C; 30%	0,3 0	0,88	0,02
	CL	Wi	σ[CL]		CL	Wi	σ[CL]
	0,1	0,03	0,01234176		0,1	0,03	0,01234
	0,3	0,09	0,01240899		0,3	0,09	0,01241
	0,5	0,15	0,01254238		0,5	0,15	0,01257
	0,7	0,21	0,01273985		0,7	0,21	0,01279
	0,9	0,27	0,01299847		0,9	0,27	0,01308

Table F.6. Evaluation of the uncertainty on the potential equilibrium water uptake, defined with the different triples of (RH, T, w<sub>i</sub>)

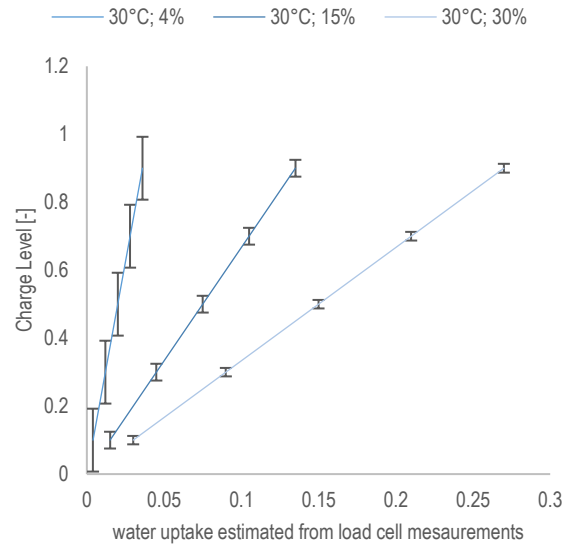


Figure F.5. The graph depicts the different Charge Level values estimated through the equilibrium equation (@ 30°C and increasing RH corresponding to an equilibrium water uptake  $w_{eq}$  respectively of 0.04, 0.15 and 0.3) and the different instantaneous water uptakes estimated from the load cell measurements

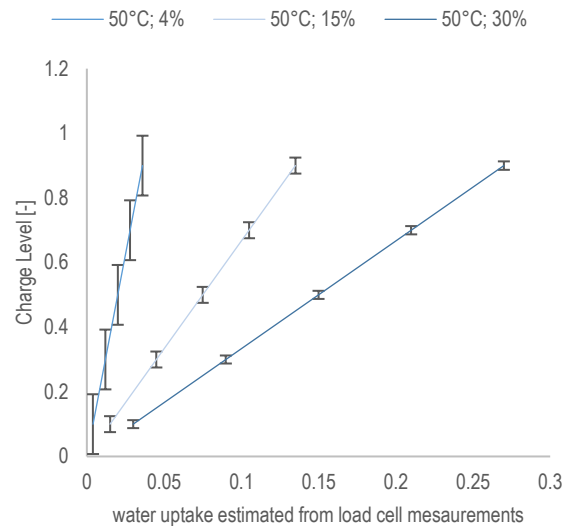


Figure F.6. The graph depicts the different Charge Level values estimated through the equilibrium equation (@ 50°C and increasing RH corresponding to an equilibrium water uptake  $w_{eq}$  respectively of 0.04, 0.15 and 0.3) and the different instantaneous water uptakes estimated from the load cell measurements