

On fairness and diversification in WTA and ATP tennis tournaments generation

*Original*

On fairness and diversification in WTA and ATP tennis tournaments generation / Della Croce, F., Dragotto, G., Scatamacchia, R.. - In: ANNALS OF OPERATIONS RESEARCH. - ISSN 0254-5330. - ELETTRONICO. - 316:2(2022), pp. 1107-1119. [10.1007/s10479-020-03517-8]

*Availability:*

This version is available at: 11583/2841415 since: 2023-05-30T10:25:56Z

*Publisher:*

Springer

*Published*

DOI:10.1007/s10479-020-03517-8

*Terms of use:*

This article is made available under terms and conditions as specified in the corresponding bibliographic description in the repository

*Publisher copyright*

Springer postprint/Author's Accepted Manuscript

This version of the article has been accepted for publication, after peer review (when applicable) and is subject to Springer Nature's AM terms of use, but is not the Version of Record and does not reflect post-acceptance improvements, or any corrections. The Version of Record is available online at: <http://dx.doi.org/10.1007/s10479-020-03517-8>

(Article begins on next page)

# On fairness and diversification in WTA and ATP tennis tournaments generation

Federico Della Croce<sup>a,b,\*</sup>, Gabriele Dragotto<sup>a,c</sup>, Rosario Scatamacchia<sup>a</sup>

<sup>a</sup>*Dipartimento di Ingegneria Gestionale e della Produzione, Politecnico di Torino,  
Italy.*

`{federico.dellacroce,rosario.scatamacchia}@polito.it`

<sup>b</sup>*CNR, IEIIT, Torino, Italy*

<sup>c</sup>*Canada Excellence Research Chair in Data Science for Real-time  
Decision-making, École Polytechnique de Montréal, Canada.*

`gabriele.dragotto@polymtl.ca`

---

## Abstract

Single-elimination (knockout) tournaments are the standard paradigm for both main tennis professional associations WTA and ATP. Schedules are generated by allocating first seeded and then unseeded players with seeds prevented from encountering each other early in the competition. Besides, the distribution of pairings in the first round between unseeded players and seeds for a yearly season may be strongly unbalanced. This provides often a great disadvantage to some "unlucky" unseeded players in terms of money prizes. Also, a fair distribution of matches during a season would benefit from limiting in first rounds the presence of Head-to-Head (H2H) matches between players that met in the recent past.

We propose a tournament generation approach in order to reduce in the first round unlucky pairings and also replays of H2H matches. The approach consists in a clustering optimization problem inducing a consequent draw within each cluster. A Non-Linear Mathematical Programming (NLMP) model is proposed for the clustering problem so as to reach a fair schedule. The solution reached by a commercial NLMP solver on the model is compared to the one reached by a faster hybrid algorithm based on multi-start local search. The approach is successfully tested on historical records from the recent Grand Slams tournaments.

*Keywords:* OR in Sports, Fairness, Mixed Integer Programming,

---

\*Corresponding author.

## 1. Introduction

Algorithms and quantitative approaches are increasingly becoming a key aspect of the sports industry as discussed, e.g., in [13]. The large number of stakeholders present in sports planning and scheduling creates favorable conditions for optimization-based approaches. In general, maximizing revenues and keeping sports games attractive for both media and fans are two of the most important aspects involved in scheduling sports competitions. Also, athletes are mainly concerned with their career and correspondingly are interested in having a schedule that positively affects their performances and returns. We turn our attention, here, to tennis tournaments generation with a particular reference to professional tennis tournaments and the related associations, namely WTA for women and ATP for men.

The vast majority of professional tennis tournaments foresees a single-elimination tournament where the loser of a match is directly eliminated from the tournament, while the winner moves on to the next round. The tournament ends when the two remaining players are opposed in the final match leading to a final winner. Given the set of participants, a draw takes place among the players in order to generate the first-round brackets graph where players are split into two subsets, seeded players - the ones with highest rankings - and unseeded ones. The first two seeded players usually have an a-priori allocated slot in the brackets graph, while the remaining seeds have a restricted set of slots in which they can be allocated. Hence, a constrained draw for seeds is made before the one for unseeded players. The seeding process ensures that the best players do not meet in the first rounds of the competition. Once the draw among seeds is established, a second draw takes places among the unseeded players in order to fill all the empty slots of the brackets graph in the first round.

We consider here the allocation mechanism for unseeded players, assuming that seeding has already been provided. We provide a fairness-based approach in order to ensure that the generated schedule fits additional requirements in terms of impartiality, fairness, and minimization of matches replay between recent opponents.

We focus on WTA and ATP Grand Slams, the four most prestigious tennis tournaments in professional leagues. In such tournaments, most of the top-ranking players are competing. Correspondingly, these tournaments are the most appealing for both fans and sponsors and money prizes are the

highest in the season. As noted in [6, 3], the general interest in matches is directly related to the uncertainty of outcomes and competitive intensity between opponents. With respect to professional tennis tournaments, we may assume that the predictability of outcomes can also be influenced - to some extent - by the number of times two opponents played against each other. The more information is available about matches of two players (e.g., the so-called Head-to-Head or H2H index), the more accurate predictions can be given about the outcome of a match between them. On the other side, apart from top players, such a match can turn out to be less appealing to the public, particularly if it occurs in the first rounds of the tournaments.

We propose an algorithmic approach with the aim of maximizing the diversification of pairings in the very first rounds and avoiding frequent match replays in those rounds. While rivalries among top players drive much of the interest in tennis and replays in the final tournaments rounds are what many supporters look for, match replays in the very first rounds are much less appealing, particularly between unseeded players. Further, we focus on a phenomenon, more frequent than what one may expect, that is related to unseeded players that are repeatedly paired in the first round with seeded players. Hereafter, we will refer to those players as *u*-players, and the match between one of these players with a seed as a *u*-pairing.

We take also into account others parameters such as players nationality as potential elements of disparity in a schedule. Generally speaking, the cost of pairing can be extended to any other parameter of interest. For instance, when players get wild-cards, it might be of interest to penalize the pairing of this wild card in the first round with some given players. The aim of the proposed approach is to create tournament schedules that minimize a generic pairing cost function. We propose an optimization approach where we cluster players into different groups in order to minimize the mutual pairing costs inside each group. A draw is then performed within each cluster. For the solution of the clustering phase, an Integer Quadratic Programming (*IQP*) model is presented and applied to the above mentioned Grand Slam instances. For that phase we also propose a two-step heuristic procedure capable of reaching good results within a very limited CPU time. The computational tests highlight how such an approach can turn into quantifiable benefits for both players and audience.

Single-elimination tournaments have been deeply studied in the fields of Statistics, Combinatorial Mathematics and Operations Research. Most of the literature related to optimization in tennis actually focuses on round-robin tournaments (see, e.g., [4]) without taking into account the fairness aspects addressed in this article. An extensive relatively recent literature

review on scheduling in sport is provided by [13] and covers a wide range of optimization approaches and sports applications.

In [5] a method for allocating umpire crews in professional tennis tournaments is proposed. In [3], the problem of finding optimal seedings in single-elimination tournaments in order to take into account the competitive intensity and quality of every match is analyzed. In [10] a statistical work is proposed for single-elimination tournaments, pointing out how different brackets graphs lead to diverse patterns of winners and losers. According to that work, the tournament configuration can advantage or disadvantage contenders, therefore creating potential cases of iniquity. In [8], a bayesian optimal design approach is proposed for single-elimination tournaments that optimizes the probability that the best player wins in the current round. The impact of seeding procedures in terms of fairness is investigated in [19, 11, 12]. In [21], it is shown that - under certain assumptions - there is always a specific tournament structure which maximizes the odds of winning for any generic player. In [9], a methodology for finding globally optimal single-elimination tournament designs is proposed when partial information is known about the strengths of the players. In [1] the players winning probability in single-elimination tournaments is studied under several distinct assumptions. With respect to the literature, we propose a schedule generation approach which focuses on fairness in terms of repeated H2H matches and  $u$ -pairings, assuming a seeding is given.

## 2. Ensuring fairness and diversity

The success of a tennis player is strongly related to the rank in the leagues' leaderboards, drafted by the WTA and the ATP associations. A professional career requires among others a strong economical effort. Professional tennis associations estimated that an average player traveling to 30 tournaments with a coach has to cover costs ranging from \$121.000 to \$197.000. On the other side, statistically, only the players ranked in the first 100 can cover such a cost. Therefore, according to [17], being in the top 100 is not only a milestone in terms of recognition but a mandatory target for the development of a professional career. The unbalance between players actually making money and players struggling to break-even is a known problem in the professional tennis world ([16]). In the last years, several prize increase calls have been made from professional players ([16], [7]) and tournaments organizers are actually boosting economical rewards ([2], [15] and [20]). Although prizes in the four Grand Slams have been increased by

a 113% in the last 10 years, most of the players outside the top 100 still struggle to cover the basic costs for their professional career ([16]).

Table 1: Money prizes for winning 1<sup>st</sup> and 2<sup>nd</sup> rounds in the 2018 Grand Slams season (WTA and ATP). Adapted from [20].

<b>Tournament</b>	<b>1<sup>st</sup>-round Prize</b>	<b>2<sup>nd</sup>-round Prize</b>
AUS	\$48,000	\$72,000
ROL	\$46,800	\$92,400
WIM	\$51,500	\$96,400
US	\$54,000	\$93,000
Average	<b>\$50,075</b>	<b>\$88,450</b>

As shown in Table 1, winning the first-round in a Grand Slam tournament can significantly impact the yearly income of an emerging tennis professional. If we take into account the average estimated yearly cost for a tennis professional (provided by [17]), a single first-round prize can cover from 23% to 38% of players costs. Reaching the second round of a Grand Slam tournament can nearly be the turning point into the career of a young player.

In general, unseeded players are expected to lose against seeded ones with high probability, hence, it is crucial for them not to be paired to seeded players in the first round of Grand Slam tournaments. However, historical data suggest that several unseeded players are paired - on first-rounds - with seeds in three or more Slam tournaments in a single season. We highlight how such situations can lead to significant damages in terms of career and prizes. To this extent, we analyzed all Grand Slam tournaments for the seasons in years 2013-2018. Table 2 provides statistics on the number of times unseeded players are paired with seeds, on first-rounds, three or four times in a year. Also, the number of unseeded players (denoted TOT-U) participating to three or more Slams in the season is reported. We note that, in year 2013-2018, TOT-U ranges both for ATP and WTA from 67 to 75.

In the considered time span, on average, 6 unseeded players were paired with a seed three times or more in ATP tournaments, while this entry increases to 7.7 for WTA tournaments (on the average, approximately 8.6 % for ATP and 10.6% for WTA).

Although it might not be expected to have unseeded players paired with seeds in almost all the first-rounds of a single season, the evidence suggests

Table 2: Unlucky players for WTA and ATP seasons from 2013 to 2018.

ATP Season	3/4	4/4	TOT-U	WTA Season	3/4	4/4	TOT-U
ATP 2013	6	0	75	WTA 2013	7	0	75
ATP 2014	3	1	71	WTA 2014	8	0	72
ATP 2015	3	0	71	WTA 2015	8	0	74
ATP 2016	9	1	69	WTA 2016	3	1	71
ATP 2017	5	1	67	WTA 2017	8	1	75
ATP 2018	5	2	68	WTA 2018	9	1	67
<b>Average</b>	<b>5,2</b>	<b>0,8</b>	<b>70,2</b>	<b>Average</b>	<b>7,2</b>	<b>0,5</b>	<b>72,3</b>

that this phenomenon occurred quite often both in WTA and ATP Slams.

The real data of Table 2 show that the above mentioned players are far from being a theoretical speculation. Actually, given the money prizes reported in Table 1, these players may suffer from a heavy economical damage and may correspondingly be affected by setbacks in their professional careers. Hereafter, we will refer to these players as *unlucky players* according to the following definition.

**Definition 1.** *An unlucky player is an unseeded player who is paired with a seeded player in the first round of three or four Grand Slam tournaments in a season.*

By looking at the distribution of pairings between unseeded players and seeds for year 2017 in Figure 1, we can easily spot the unbalance between the occurrences. In fact, many players have a limited number of pairings with seeds while some of them are unlucky.

While a strong correlation between unlucky pairings and prizes cannot be stated, the ranking positions of those players are generally negatively affected in both WTA and ATP. According to the argument provided in this section, a more balanced distribution of pairings between seeds and unseeded players can constitute a reasonable claim. Correspondingly, a primary aim is to generate schedules avoiding unlucky players.

### 2.1. Diversity and pairing cost in the first round

With respect to the pairing of players in the first round of any given tournament as an outcome of the related draw, having a diverse set of matches between players means avoiding frequent H2H matches that appeared in the past. This induces an increase in the number of different opponents a

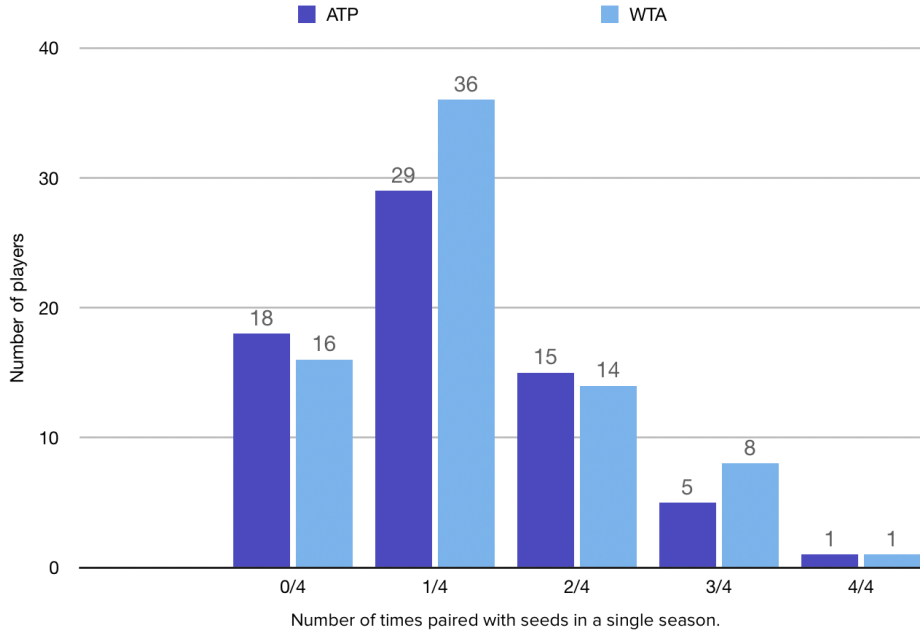


Figure 1: Distribution of pairings between unseeded players and seeds in the 2017 Grand Slam season for WTA and ATP.

single player can have in the season. Nowadays, there are several cases in which players have been paired in the first round with the same opponent multiple times in a relatively small time span. We report some examples of frequent first-round pairings between players from the recent Grand Slams tournaments in Table 3. Extending this analysis to ATP and WTA 1000, 500 and 250 tournaments, there is a much larger evidence of this situation. For instance, we checked the H2H activity in year 2018 of the ATP players that were ranked in positions 51-60 at the beginning of the year. All but two of them (Troicki and Benneteau who by the way had a reduced activity in that year) were paired more than once (in two cases three times) with the same opponent in the first round.

In terms of fairness, it makes sense to increase the probability of having first-round pairings between players that were never opposed. In terms of supporters attendance, other parameters such as the players nationality can be taken into account in the scheduling process (it could be worthy, for instance, to avoid first-round matches between players of the same country). To this extent, we introduce the cost of pairing, so that a specific score can be

Table 3: Examples of frequent first-round pairings in recent Grand Slams for both WTA and ATP.

<b>Tournament</b>	<b>Month/Year</b>	<b>Player A</b>	<b>Player B</b>	<b>League</b>
US	Sept 2017	Caroline Wozniacki	Mihaela Buzarnescu	WTA
AUS	Jan 2018	Caroline Wozniacki	Mihaela Buzarnescu	WTA
WIMB	June 2017	Elena Vesnina	Anna Blinkova	WTA
US	Sept 2017	Elena Vesnina	Anna Blinkova	WTA
AUS	Jan 2017	Dudi Sela	Marcel Granollers	ATP
WIMB	June 2017	Dudi Sela	Marcel Granollers	ATP
RG	May 2018	Nikoloz Basilashvili	Gilles Simon	ATP
WIMB	June 2018	Nikoloz Basilashvili	Gilles Simon	ATP

attributed to each pair of players, and its value depends on the parameters of interest. This cost will be taken into account in the algorithmic approach described in the following section.

### 3. Proposed approach

We consider a standard Grand Slam single-elimination tournament characterized by the following sets of players. The set  $I := \{i : 1 \leq i \leq 128\}$  contains all the 128 players. The subset  $M \in I$  has cardinality  $m = 32$  and contains seeded players, which are preventively assigned to standard predefined entries in the brackets graph. Then, a subset  $U \in I$  with cardinality  $u = m = 32$  contains the  $u$ -players in the previous 4 Grand Slam tournaments. In a more formal way,  $u$ -players are the set of unseeded players with the largest number of first-round matches with seeded players in such tournaments). The  $u$ -players cannot be paired with seeds, that is we avoid the presence of  $u$ -pairings. In order to maintain a draw procedure, as required in the generation of the first-round brackets graph for standard tennis tournaments, we propose the following approach. We consider a clustering optimization problem, where the aim is to partition the players into  $k = 4$  different groups so that the pairing costs of the players assigned to the same cluster are minimized. The empirical evidence suggests that this number of clusters is suitable in order to achieve balanced outcomes while preserving a random draw inside sufficiently large clusters. The  $u$ -players are required to be uniformly split into each cluster ( $u/4 = 8$  players per cluster). Correspondingly, it will then be possible to have a draw within each cluster so that the pairing in the first round between  $u$ -players and seeds will be forbidden. Hence, the mutual costs between these players and the

seeds are forced to 0. Notice that, if clusters are generated as mentioned, a consequent draw can be executed in each cluster where, first, the pairings between the  $m/k = 8$  seeds and randomly selected players among the remaining  $(128 - m - u)/k = 16$  players is generated and then a further draw (including this time the  $u$ -players) can be executed in order to generate the remaining pairings. The rationale of this approach is to solve the clustering problem in order to facilitate fairness and diversification by minimizing the pairing costs between the players that will undergo the draw.

### 3.1. The clustering problem

In order to minimize the players' pairing costs, a symmetric positive-defined  $n \times n$  matrix  $H$  is provided in input, where the generic element  $h_{\alpha\beta} \in H$  represents the pairing cost of two players  $\alpha, \beta : \alpha, \beta \in I$ . Notice that we pre-set  $h_{\alpha\beta} = 0 \forall \alpha \in M, \beta \in U$ , so that there is a zero cost between any seed  $\alpha$  and  $u$ -player  $\beta$  due to the fact that  $u$ -players will not be paired with seeds. As there are 4 clusters and each cluster will contain  $n/k = 32$  players with  $m/k = 8$  seeded players already predetermined, it follows that, in the clustering problem, we need to select for each cluster,  $(128 - m)/k = 24$  unseeded players including  $u/k = 8$   $u$ -players.

#### 3.1.1. Integer Quadratic Programming formulation

The clustering problem can be stated in terms of a quadratic 0/1 Mathematical Programming. We introduce a set of 0/1 variables  $x_{ij} : i \in I, j \in J = \{1, \dots, 4\}$  where  $x_{ij} = 1$  if player  $i$  is assigned to cluster  $j$ ,  $x_{ij} = 0$  otherwise. Considering the pairing costs  $h_{\alpha\beta}$  introduced above, we obtain the following integer quadratic programming formulation.

$$\min_x \quad Z = \sum_{j=1}^k \left( \sum_{\alpha=1}^{n-1} \sum_{\beta=\alpha+1}^n h_{\alpha\beta} x_{\alpha j} x_{\beta j} \right) \quad (1)$$

$$s.t. \quad \sum_{j=1}^4 x_{ij} = 1 \quad \forall i \in I \quad (2)$$

$$\sum_{i=1}^n x_{ij} = n/k \quad \forall j \in J \quad (3)$$

$$\sum_{i \in U} x_{ij} = u/k \quad \forall j \in J \quad (4)$$

$$x_{ij} = 1 \quad \forall i \in M \quad (5)$$

$$x_{ij} \in \{0, 1\} \quad \forall i \in I, j \in J \quad (6)$$

The objective function (1) minimizes the sum of pairing costs of all pairs of players assigned to the same cluster. Constraints (2) require that every player must be assigned to one of the clusters, while constraints (3) require that each cluster contains exactly  $n/k$  players. Constraints (4) guarantee that each cluster contains exactly  $u/k$   $u$ -players. Constraint (5) fulfills the requirement on the pre-assigned seeded players. Finally, constraints (6) indicate that the  $x_{ij}$  variables are binary.

We remark that this problem is substantially equivalent (apart from the additional requirements on seeds and  $u$ -players and the minimization of the cost function) to the maximum diversity problem which is well known to be NP-Hard in the strong sense [14].

### 3.1.2. Heuristic solution of the clustering problem

Model (1)-(6) can be solved by a nowadays commercial solver such as CPLEX. However, the quadratic nature of the problem may possibly affect the performance of a solver in providing good solutions in reasonable computational time. Also, in general, it is of interest to determine whether high quality heuristics may exist for a given combinatorial optimization problem. In the light of these aspects, we also present a heuristic approach which provide instant feasible solutions to the clustering problem. The algorithm, denoted as  $A_1$ , provides solution with an objective function very close to an optimal one (see Table 4 for numerical insights). We describe  $A_1$  in the following, and provide the pseudo-code. We can represent the problem by means of a complete graph  $G = (V, E)$ , with set of vertices  $V$  corresponding

to the set of players, i.e.  $V = I$ , and set of edges  $E$  where each edge  $e_{ij}$  has a weight equal to entry  $h_{ij}$  of matrix  $H$ . Correspondingly, each vertex  $i$  has associated a weight  $w_i$  equal to the weights of the edges emanating from it, namely  $w_i = \sum_{j=1, \dots, n \cap j \neq i} h_{ij}$ . Hence, nodes with a large weight correspond to players with a large amount of pairing costs. In the proposed approach, we first apply a greedy procedure (steps 3-8 of the pseudo code) that iteratively selects unseeded players one at a time in non-increasing order of weight  $w_i$ . Then, the candidate clusters for that player are determined. A cluster cannot be candidate for a player if  $n/k$  players have already been assigned to that cluster. Likewise, as the number of  $u$ -players in each cluster is given, every time a  $u$ -player is considered, it can be assigned to a cluster only if the number of  $u$ -players already assigned to that cluster is inferior to  $u/k = 8$ . Whenever a player is selected, it is assigned to the candidate cluster  $j_{min}$  that induces the least increase in the objective function value. If there are two clusters inducing the same increase, the one with the smallest index is selected.

After a first solution is found, a simple local search procedure (steps 9-14) is launched as long as a time limit  $T_l$  is not reached. Two different players  $\alpha, \beta$  - respectively belonging to different clusters  $j_\alpha$  and  $j_\beta$  - are iteratively selected in a random way. The players can be both  $u$ -players or both unseeded. If swapping players  $\alpha$  and  $\beta$  by assigning them respectively to cluster  $j_\beta$  and  $j_\alpha$  induces an improvement in the objective function (the corresponding variation is denoted as  $\Delta S_{\alpha\beta}$ ), the swap is performed. This randomness implemented within a multi-start procedure can also improve the unpredictability of the final schedule.

#### 4. Computational results

We considered the WTA and ATP database provided by [18] and sourced from the official websites of the two leagues. Computational tests consider the 2017 season for the four Grand Slam tournaments: Australian Open (*AUS*), Roland Garros (*ROL*), Wimbledon (*WIM*) and US Open (*US*). In order to determine pairing costs  $h_{ij}$  between pairs of players  $(i, j)$ , we considered some features of interest discussed in previous sections, for instance by penalizing matches between players of the same country.

The pairing costs were determined as follows. First of all, we considered all pairs of players  $\alpha, \beta \in I$ , such that  $\alpha \in V$  and  $\beta \in M$  and set  $h_{\alpha\beta} = 0$ . Similarly, we set  $h_{\alpha\beta} = 0$  if  $\alpha, \beta \in I$  and  $\alpha$  or  $\beta$  is a qualified player (in Grand Slam tournaments the main draw foresees the presence of several - approx 5

---

**1 Algorithm  $A_1$** 

---

```
1: Input:  $H$  Matrix,  $I, M, U$  sets and time limit  $T_l$ .
2: Order elements of  $I$  by non-increasing  $w_i$ 
3: for all  $i$  in  $I \setminus M$  do
4:   Determine the candidate cluster  $j_{min}$  for player  $i$  such that
5:      $j_{min}$  contains less than  $n/k$  players
6:     if  $i \in U$  then  $j_{min}$  contains less than  $u/k$   $u$ -players
7:   Assign  $i$  to  $j_{min}$ 
8: end for
9: while time limit  $T_l$  is not reached do
10:  Pick two random players  $\alpha \neq \beta \in I \setminus M$ 
11:  if  $\Delta S_{\alpha\beta} < 0$  then
12:    Swap: assign  $\alpha$  to  $j_\beta$  and  $\beta$  to  $j_\alpha$ 
13:  end if
14: end while
```

---

- players selected from a qualifying round that is not yet finished at the time of the draw). For the remaining pairs, given two players  $\alpha, \beta$ , the cost  $h_{\alpha\beta}$  is initially set to 0. Then, the following set of rules for increasing the value  $h_{\alpha\beta}$  based on the results of the previous four Grand Slam tournaments is applied. Those rules constitute just a viable option for determining the  $h_{\alpha\beta}$  coefficients, but different options could be clearly considered.

**Rule 1.** If two players  $\alpha, \beta \in I$  played against each other in a 1<sup>st</sup> round in the last 4 tournaments, then  $h_{\alpha\beta} + = 5$ ;

**Rule 2.** If two players  $\alpha, \beta \in I$  are from the same country, then  $h_{\alpha\beta} + = 5$ ;

**Rule 3.** If two players  $\alpha, \beta \in I$  played against each other in a 2<sup>nd</sup> round in the last 4 tournaments, then  $h_{\alpha\beta} + = 2$ ;

**Rule 4.** If two players  $\alpha, \beta \in I$  played against each other in a 3<sup>rd</sup> round in the last 4 tournaments, then  $h_{\alpha\beta} + = 1$ ;

**Rule 5.** If two players  $\alpha, \beta \in I$  played against each other either in quarter-final or semi-final rounds in the last 4 tournaments, then  $h_{\alpha\beta} + = 0.5$ ;

The contribution emerging from tests is twofold: on one side, we show how our approach can lead to improvements - in terms of fairness and balance - compared to the official draw in selected tournaments. On the other side, the computational tests provide indications on the effectiveness of the

proposed heuristic in solving the clustering problem by comparing its performances with the ones of solver CPLEX 12.7 launched on model (1)-(6).

Computational tests were carried out on a *3,5 GHz Intel Core i7* with *16GB* of RAM. After preliminary testing,  $T_l$  was set to 0.8 seconds. This time limit showed up to be sufficient to reach a local minimum for steps 12-17 in Algorithm  $A_1$ . Table 4 provides the results for the selected competitions indicated in column 1, comparing the actual tournament statistics to the ones obtained with our approach. Here, we denote by  $h$ -pairing a pairing between two players  $i, j$  inducing a cost  $h_{ij} > 0$ . For each tournament, we report four different series of statistics:

- i) *REAL* is the actual draw, sourced from the official tournament bracket graph.
- ii) *REAL100* is a simulated draw, repeated 100 times, where any player – if not seeded – is randomly drawn to a slot. Here the simulation is executed with the same fashion employed in official draws.
- iii) *CPLEX* provides a simulated draw, repeated 100 times, given the clustering phase solved by running CPLEX 12.7 on model (1)-(6).
- iv) *HEU* is a simulated draw fully generated by the heuristic procedure.

Notice that CPLEX always reaches the optimal solution value of the clustering problem in these instances. For heuristic *HEU*, the results provide an average over 100 different runs. In each run, given the clustering solution and corresponding fixed placement of the seeded players, a one-shot random placement of the unseeded players in the tournament brackets graph is executed. In this placement, first the unseeded players (u-players excluded) are paired to seeds and then the other pairings are randomly determined. For *CPLEX*, given the clustering solution, 100 simulations like the one used for *HEU* were applied.

In the second column of Table 4, we report the CPU time required to generate the solution (only for *CPLEX* and *HEU*). Column 3 provides the value of the objective function (*O.F.*) of model (1)-(6) related to the clustering problem. For entries *REAL* and *REAL100*, the clustering is induced by assigning the first 32 players of the tournament brackets graph to cluster 1, the second 32 players of the tournament brackets graph to cluster 2 and so on. Column 4 provides the average, minimum and maximum number of  $u$ -pairings. Finally, column 5 provides the average, minimum and maximum value for the sum of  $u$ -pairings and  $h$ -pairings

It is noteworthy to point out that *HEU* has performances - in terms of *O.F.* - comparable to the ones of *CPLEX*, while the CPU times required by the heuristic are dramatically smaller. Also, we remark that the proposed approach provides strongly reduced pairing costs together with no *u*-pairings, such that a much more balanced tournament is obtained. Indeed, column 5 indicates that both for *CPLEX* and *HEU* the sum of *u*-pairings and *h*-pairings is typically around 1 or 2 units on the average indicating that, by means of this clustering and corresponding draw, it is possible to get a first round reasonably fair and diversified.

In Table 5 we report some further statistics for the heuristic. Results are averaged over 100 runs. The first column reports the percentage improvement in the objective function achieved by the local search. The second and third column are the attempted swaps and successful ones, respectively. The fourth column reports the average number of *h*-pairings in the first round, while the last column sums up the values of such pairings.

From Table 5 we evince that the number of successful swaps is limited compared to the attempted ones even though the successful swaps are quite efficient. Indeed, the local search step in the heuristic is quite efficient as it improves the objective function by roughly 3.1% on the average with respect to the greedy solution. Also, the cost of the *h*-pairings after the simulation remains very limited.

## 5. Conclusions

The aim of this work has been to integrate concepts of fairness and balance - typically studied in other disciplines - with a combinatorial approach typical of OR. This cross-fertilization between disciplines led to an approach capable of implementing a concept of fairness in sports scheduling. The initial driver of this work concerns the presence of unbalance in professional tennis competitions draws generation. As the practical evidence shows, the need of better approaches is quite evident and Operations Research can positively contribute to their development. Indeed, the data reported from the literature and media suggest that purely random draws and prizes increases are not enough to cope with the growing financial disparity in tennis. With this paper, we aim to provide a practical way for measuring and improving diversity and fairness in tennis tournaments. A simple, instant and manual step in this direction would be to modify all Slam tournaments draws as follows. “ Select first the players to be paired to seeds without taking into account those players that in the previous Slam were paired to a seed in the

Table 4: Computational results for 2017 season of Grand Slams

	Time	O.F. Value avg (min-max)	$u$ -pairings avg (min-max)	$(u+h)$ -pairings avg (min-max)
<b>WTA-AUS 2017</b>				
<i>REAL</i>	—	565.00	14	22
<i>REAL100</i>	—	512.21 (413.5 - 681.0)	9.84 (5.0 - 17.0)	13.81 (8.0 - 23.0)
<i>CPLEX</i>	187.37	251.50	0.00 (—)	1.77 (0.0 - 6.0)
<i>HEU</i>	0.75	260.67 (258.5 - 263.5)	0.00 (—)	1.71 (0.0 - 7.0)
<b>WTA-ROL 2017</b>				
<i>REAL</i>	—	522.00	15	16
<i>REAL100</i>	—	439.37 (318.0 - 607.0)	10.02 (3.0 - 19.0)	14.30 (8.0 - 22.0)
<i>CPLEX</i>	45.48	229.00	0.00 (—)	2.33 (1.0 - 5.0)
<i>HEU</i>	0.74	240.91 (240.0 - 243.0)	0.00 (—)	2.58 (1.0 - 6.0)
<b>WTA-WIM 2017</b>				
<i>REAL</i>	—	474.00	15	19
<i>REAL100</i>	—	402.75 (299.0 - 558.0)	10.08 (6.0 - 15.0)	13.27 (7.0 - 20.0)
<i>CPLEX</i>	3.43	176.00	0.00 (—)	1.26 (0.0 - 5.0)
<i>HEU</i>	0.75	196.75 (190.0 - 201.0)	0.00 (—)	1.56 (0.0 - 5.0)
<b>WTA-US 2017</b>				
<i>REAL</i>	—	693.00	15	20
<i>REAL100</i>	—	585.69 (463.5 - 768.0)	10.03 (4.0 - 16.0)	14.92 (5.0 - 25.0)
<i>CPLEX</i>	601.28	378.00	0.00 (—)	2.79 (0.0 - 6.0)
<i>HEU</i>	0.74	387.41 (386.5 - 388.5)	0.00 (—)	2.71 (0.0 - 6.0)
<b>ATP-AUS 2017</b>				
<i>REAL</i>	—	377.50	8	10
<i>REAL100</i>	—	353.33 (226.5 - 514.5)	10.31 (5.0 - 17.0)	12.87 (6.0 - 24.0)
<i>CPLEX</i>	2.93	151.50	0.00 (—)	0.68 (0.0 - 4.0)
<i>HEU</i>	0.75	164.91 (161.5 - 166.5)	0.00 (—)	1.25 (0.0 - 4.0)
<b>ATP-ROL 2017</b>				
<i>REAL</i>	—	386.50	16	16
<i>REAL100</i>	—	403.11 (262.5 - 568.5)	9.49 (1.0 - 18.0)	12.62 (6.0 - 22.0)
<i>CPLEX</i>	2.99	208.50	0.00 (—)	0.99 (0.0 - 4.0)
<i>HEU</i>	0.75	219.33 (217.5 - 219.5)	0.00 (—)	1.43 (0.0 - 5.0)
<b>ATP-WIM 2017</b>				
<i>REAL</i>	—	302.50	16	17
<i>REAL100</i>	—	311.68 (223.5 - 420.5)	9.92 (5.0 - 16.0)	12.16 (6.0 - 20.0)
<i>CPLEX</i>	1.90	128.50	0.00 (—)	0.77 (0.0 - 3.0)
<i>HEU</i>	0.75	137.33 (136.5 - 137.5)	0.00 (—)	0.96 (0.0 - 4.0)
<b>ATP-US 2017</b>				
<i>REAL</i>	—	466.00	12	14
<i>REAL100</i>	—	390.79 (272.0 - 543.0)	10.03 (3.0 - 16.0)	13.07 (6.0 - 19.0)
<i>CPLEX</i>	3.92	190.00	0.00 (—)	0.78 (0.0 - 3.0)
<i>HEU</i>	0.77	194.33 (192.0 - 197.5)	0.00 (—)	0.78 (0.0 - 3.0)

first round. Then, conclude the draw as usual”. In this way, any player will never be paired in the first round to seeds for two consecutive Slams.

Table 5: Additional statistics on the Heuristic for 2017 season of Grand Slams

	Avg. $\Delta\%$	Swaps Attempted	Successful	$h$ -pairings	Costs of $h$ -pairings
WTA-AUS 2017 HEU	-8.95	37254.5	11.0	1.71	7.36
WTA-ROL 2017 HEU	-1.67	45050.5	4.50	2.58	12.19
WTA-WIM 2017 HEU	-2.62	55100.5	4.0	1.56	6.74
WTA-US 2017 HEU	-4.17	54476.5	10.0	2.71	12.30
ATP-AUS 2017 HEU	-0.50	16137.5	2.0	1.25	4.51
ATP-ROL 2017 HEU	-2.37	58020.0	3.0	1.43	6.19
ATP-WIM 2017 HEU	-1.60	61874.0	2.5	0.96	3.84
ATP-US 2017 HEU	-2.92	57775.5	6.0	0.78	3.08

### Code

The full code and data is available online on gitHub in the Tournament Allocation Problem repository. It can be accessed at the address:  
<https://github.com/ALCO-PoliTO/TournamentAllocationProblem>

### Acknowledgments

The very pertinent remarks and suggestions of two anonymous reviewers are gratefully acknowledged. This work has been partially supported by "Ministero dell'Istruzione, dell'Università e della Ricerca" Award "TESUN-83486178370409 finanziamento dipartimenti di eccellenza CAP. 1694 TIT. 232 ART. 6".

### References

- [1] Adler, I., Cao, Y., Karp, R., Pekaz, E., Ross, S., 2017. Random knock-out tournaments. *Operations Research* 65, 1589–1596.
- [2] Bairner, R., 2018. Wimbledon announces 7.5% prize fund increase. URL: <http://www.wtatennis.com/news/wimbledon-announces-75-prize-fund-increase>.

- [3] Dagaev, D., Suzdaltsev, A., 2018. Competitive intensity and quality maximizing seedings in knock-out tournaments. *Journal of Combinatorial Optimization* 35, 170–188. doi:10.1007/s10878-017-0164-7.
- [4] Della Croce, F., Tadei, R., Asoli, P., 1999. Scheduling a round robin tennis tournament under courts and players availability constraints. *Annals of Operations Research* 92, 349–362.
- [5] Farmer, A., Smith, J.S., Miller, L.T., 2007. Scheduling umpire crews for professional tennis tournaments. *Interfaces* 37, 187–196. doi:10.1287/inte.1060.0259.
- [6] Forrest, D., Simmons, R., 2002. Outcome uncertainty and attendance demand in sport: the case of English soccer. *Journal of the Royal Statistical Society: Series D (The Statistician)* 51, 229–241. doi:10.1111/1467-9884.00314.
- [7] Gatto, L., 2018. Roger Federer thinks prize money should be increased in tennis. URL: [https://www.tennisworldusa.org/tennis/news/Roger\\_Federer/54206/roger-federer-thinks-prize-money-should-be-increased-in-tennis/](https://www.tennisworldusa.org/tennis/news/Roger_Federer/54206/roger-federer-thinks-prize-money-should-be-increased-in-tennis/).
- [8] Glickman, M., 2008. Bayesian locally optimal design of knockout tournaments. *Journal of Statistical Planning and Inference* 138, 2117–2127.
- [9] Hennessy, J., Glickman, M., 2016. Bayesian optimal design of fixed knockout tournament brackets. *Journal of Quantitative Analysis in Sports* 12, 1–15.
- [10] Horen, J., Riezman, R., 1985. Comparing draws for single elimination tournaments. *Operations Research* 33, 249–262.
- [11] Karpov, A., 2016. A new knockout tournament seeding method and its axiomatic justification. *Operations Research Letters* 44, 706–711.
- [12] Karpov, A., 2018. Generalized knockout tournament seedings. *International Journal of Computer Science in Sport* 17, 113–127.
- [13] Kendall, G., Knust, S., Ribeiro, C.C., Urrutia, S., 2010. Scheduling in sports: An annotated bibliography. *Computers & Operations Research* 37, 1 – 19. doi:<https://doi.org/10.1016/j.cor.2009.05.013>.
- [14] Kuo, C.C., Glover, F., Dhir, K.S., 1993. Analyzing and modeling the maximum diversity problem by zero-one programming. *Decision Sciences* 24, 1171–1185.

- [15] Maher, E., 2017. 2017 US Open prize money to top USD 50 million. URL: [http://www.usopen.org/en\\_US/news/articles/2017-07-18/2017\\_us\\_open\\_prize\\_money\\_to\\_top\\_50\\_million.html](http://www.usopen.org/en_US/news/articles/2017-07-18/2017_us_open_prize_money_to_top_50_million.html).
- [16] Newman, P., 2018. Novak Djokovic calls to increase prize money share met with mixed response from tour players. URL: <https://www.independent.co.uk/sport/tennis/novak-djokovic-australian-open-greater-prize-money-share-player-union-mixed-response-a8160021.html>.
- [17] Reid, M., Morgan, S., Churchill, T., Bane, M.K., 2014. Rankings in professional men's tennis: a rich but underutilized source of information. *Journal of Sports Sciences* 32, 986–992. doi:10.1080/02640414.2013.876086. PMID: 24506799.
- [18] Sackmann, J., 2017. Tennis ATP-database. URL: [https://github.com/JeffSackmann/tennis\\_atp](https://github.com/JeffSackmann/tennis_atp).
- [19] Schwenk, A., 2000. What is the correct way to seed a knockout tournament. *American Mathematical Monthly* 107, 140–150.
- [20] Telegraph, S., 2018. French open 2018 prize money: How much will Roland Garros champions win this year? URL: <https://www.telegraph.co.uk/tennis/2018/06/09/french-open-2018-prize-money-much-will-roland-garros-champions/>.
- [21] Williams, V.V., 2010. Fixing a tournament., *AAAI*. pp. 895–900.