

Piezo- and Photocatalytic Activity of Ferroelectric ZnO:Sb Thin Films for the Efficient Degradation of Rhodamine- dye Pollutant

Original

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7 Piezo- and photocatalytic activity of ferroelectric
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11 ZnO:Sb thin films for the efficient degradation of
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15 Rhodamine- β dye pollutant
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47 KEYWORDS: Zinc Oxide, Doping, Ferroelectric, Photocatalysis, Piezocatalysis
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51
52 ABSTRACT The discovery of novel catalytic materials showing unprecedented properties
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55 and improved functionalities represents a major challenge to design advanced oxidation
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3 processes for wastewater purification. In this work, antimony (Sb) doping is proposed as
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6 a powerful approach for enhancing the photo- and piezocatalytic performances of
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8 piezoelectric zinc oxide (ZnO) thin films. To investigate the role played by the dopant, the
9
10 degradation of Rhodamine- β (Rh- β), a dye pollutant widely present in natural water
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12 sources, is studied when the catalyst is irradiated by ultraviolet (UV) light or ultrasound
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14 (US) waves. Depending on the doping level, the structural, optical and ferroelectric
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16 properties of the catalyst can be properly set to maximize the dye degradation efficiency.
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18 Independently of the irradiation source, the fastest and complete dye degradation is
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20 observed in presence of the doped catalyst and for an optimal amount of the inserted
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22 dopant. Among ZnO:Sb samples, the most doped one (5 at.%) shows improved UV light
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24 absorption and photocatalytic properties. Conversely, the piezocatalytic efficiency is
25
26 maximized using the lowest Sb amount (1 at.%). The superior ferroelectric polarization
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28 observed in this case highly favors the adsorption of electrically-charged species, in
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30 particular of the dye in the protonated form (Rh- β^+) and of OH $^-$, to the catalyst surface and
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32 the production of hydroxyl radicals responsible for dye degradation.
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1. Introduction

In the recent years, the problem of wastewater treatment is capturing considerable attention because of the alarming decrease in natural resources of clean water.¹ Among water pollutants, Rhodamine B (Rh- β) is by far one of the mostly abundant and its presence in the environment has to be completely avoided because of its carcinogenic and mutagenic effects on living species.²

The conventional treatments to remove pollutants from water are based on either physical, chemical or biological processes. Some examples include chemical precipitation, ion exchange, adsorption and membrane filtration.³ Despite their widespread use, these methods show several drawbacks such as long processing times and reduced removal efficiencies. In order to overcome the abovementioned limitations, advanced oxidation processes (AOPs) have received a lot of attention thanks to their superior performances in terms of removal of pollutants.³ AOPs are designed to degrade organic compounds by oxidation through reaction with reactive oxygen species (ROS), such as hydroxyl radical, superoxide radical anion, hydrogen peroxide and singlet oxygen. Among AOPs, photocatalysis⁴ and piezocatalysis⁵ are the highly promising and

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3 investigated approaches, and the discovery of novel catalyst materials sensitive to light
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7 and/or mechanical stimulation represents a major challenge to design AOPs for
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10 wastewater purification.

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13 To this purpose, the use of semiconductor materials showing light absorption
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17 properties, piezoelectricity and ferroelectricity is emerging as strategy to prepare catalysts
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20 with improved functionalities.⁶ In particular, the co-presence of photocatalytic properties
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23 and piezoelectricity would allow the use of light irradiation (ultraviolet, visible light, etc..)
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27 and mechanical stimulation (ultrasound, US) to induce a superior electron transfer
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30 between the catalyst and the wet chemical environment, increasing the number of redox
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33 electrochemical reactions and the generation of ROS responsible for dye degradation. At
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37 the same time, the presence of a ferroelectric polarization within the catalyst is expected
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41 to further improve the corresponding degradation efficiency thanks to a considerable
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45 reduction in the recombination rate of free carriers that generally limit the performances
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49 of the catalyst itself.

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52 The piezocatalytic behavior of conventional piezoelectric materials has been recently
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55 investigated. Wu et al. successfully demonstrated the piezocatalytic activity of barium
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3 titanate (BT) nanopowders and nanowires by considering the degradation of methyl
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7 orange dye during ultrasonic vibration.⁷ The observed piezocatalytic process was
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10 explained according to the charge transfer model between the piezocatalyst and the dye
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13 solution, and this was further corroborated by finite element method simulation. The large
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16 piezoelectric potential of the considered BT nanostructures induced a greater shift of
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19 conduction band and valance band. This resulted in an easier and faster migration of
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22 electrons and holes during the reaction with dissolved oxygen and hydroxyls, promoting
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25 the formation of singlet oxygen and hydroxyl radicals, and dye degradation. Other organic
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28 dye pollutants have been degraded under ultrasonic vibrations using BT nanocrystals,⁸
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31 micro-dendrites⁹ and coral branches¹⁰ as well, also with the aid of Fenton process in the
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34 so-called “piezo-Fenton process”.¹⁰ Similarly, the piezocatalytic behavior of other
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37 nanomaterials has been explored such as PZT fibers¹¹ and nanoparticles,¹² and BiFeO₃
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45 nanosheets and nanowires.¹³
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49 Despite the promising results, BT and PZT are non-biodegradable materials with limited
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52 light absorption properties in their pure form. On the contrary, zinc oxide (ZnO) is a
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55 generally-recognized-as-safe (GRAS) metal oxide semiconductor characterized by a
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3 wide-band gap energy (3.37 eV) and strong absorption properties in the ultraviolet (UV)
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7 light region.¹⁴ Furthermore, ZnO is a piezoelectric semiconductor with a spontaneous
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10 electrical polarization along the *c*-axis direction of the thermodynamically-stable wurtzite
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13 crystalline phase.¹⁵ ZnO is considered a low-cost material and it can exist in a huge
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16 amount of morphologies and shapes.¹⁶ High-surface-area ZnO micro- and nanostructures
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19 can be easily prepared by following a variety of dry and wet chemical synthesis methods,
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21
22 like sol-gel¹⁷ and hydrothermal techniques¹⁸ but also vapor phase deposition methods.¹⁹
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27 Due to its semiconducting nature and light absorption properties, ZnO micro- and
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30 nanostructures have been widely investigated for the photocatalytic degradation of
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33 several dye pollutants such as Methylene Blue,²⁰ Rh- β ²¹ and Methyl Orange.²² In each
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38 case, the good catalytic activity of the considered ZnO morphologies, i.e., nanoparticles,
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41 nanowires and microparticles,²¹ has been successfully demonstrated and it has
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44 confirmed the potential use of semiconductor ZnO-based catalysts for the successful
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48 photodegradation of organic dyes. Independently of the morphology, the degradation
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51 mechanism is explained in terms of UV photons absorption and the resulting generation
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55 of free electron and hole pairs within the ZnO catalyst.²³ The electrons transfer between
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3 the outermost surface of the ZnO catalyst and the surrounding environment leads to the
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7 generation of reactive oxygen species (ROS), which induce dye breakage and
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10 degradation. A similar behavior has been supposed to occur also during US irradiation of
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13 ZnO nanowires for degrading methylene blue (MB), even combined with UV light
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16 irradiation.²⁴ In this situation, a piezo-photocatalytic mechanism has been pointed out
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19 thanks to the co-presence of UV light absorption and piezoelectric activity. This synergetic
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22 effect resulted in a higher degradation efficiency.
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28 On the other hand, ZnO-based materials suffer from a major drawback deriving from
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31 the intrinsic n-type semiconducting behavior. This aspect limits the corresponding
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34 catalytic efficiency since photo-generated carriers, which are at the base of
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37 semiconductor-driven catalytic processes, can easily recombine together, thereby
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40 affecting the final performances of the catalyst.²⁵ To this purpose, ZnO doping represents
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43 a promising approach for tuning the corresponding electrical and optical properties.
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48 Actually, the absorption range can be extended up to visible light²⁶ and the electrical
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51 conduction behavior can be properly modulated as well, reducing the recombination
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54 phenomena and enhancing the catalytic behavior.²⁵ Moreover, by properly selecting the
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3 doping element, the electrical and piezoelectric properties of ZnO can be further improved
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7 and combined together with unprecedented ferroelectric behavior,²⁷⁻²⁹ resulting into new
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10 generation materials with superior catalytic properties.³⁰⁻³²
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14 In this work, antimony (Sb) is proposed as doping agent to improve the photo- and
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17 piezocatalytic performances of piezoelectric zinc oxide (ZnO) films. To investigate the role
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20 played by the dopant, the degradation of Rh- β is studied when the catalyst is irradiated
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23 by UV light or ultrasound (US) waves. Independently of the irradiation source, the
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26 complete degradation of the dye is achieved only in presence of the doped ZnO:Sb films.
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31 Depending on the doping level, the structural, optical and ferroelectric properties of the
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34 catalyst can be properly set to maximize the corresponding photo- and piezodegradation
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37 efficiencies. Among ZnO:Sb samples, the mostly doped one (5 at.%) shows the highest
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40 band-gap energy reduction and improved photocatalytic properties. Conversely, the
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43 piezocatalytic efficiency is maximized using the lowest Sb amount (1 at.%). The superior
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46 permanent ferroelectric polarization observed in the latter case is expected to limit free
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49 carriers recombination, highly favors the adsorption of Rh- β^+ and OH $^-$ electrically-charged
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3 species to the catalyst surface and promotes a higher production of hydroxyl radicals
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7 responsible for dye degradation.
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10 11 12 13 14 **2. Results and Discussion** 15

16 17 *2.1. Physical and chemical properties of ZnO and ZnO:Sb thin films* 18 19

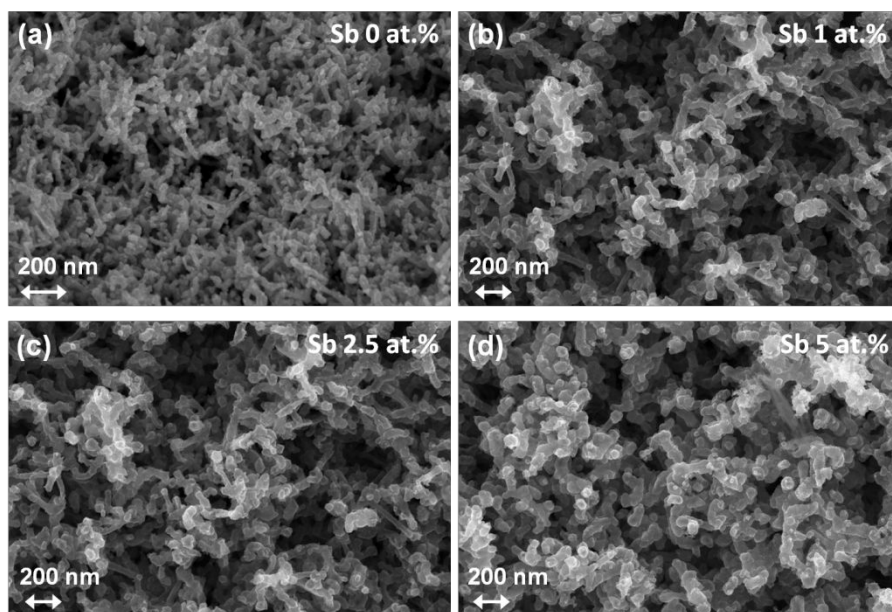
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21 The morphology of ZnO and ZnO:Sb thin films was investigated by means of field
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23 emission scanning electron microscopy (FESEM), as shown in Figure 1. The pristine ZnO
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25 thin film is obtained from sputtered Zn layers converted into ZnO by a thermal oxidation
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27 process. The as-prepared ZnO film (Figure 1a) is made of elongated and branched
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29 nanocrystals, forming a spongy-like nanoporous network. The existence of this peculiar
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31 morphology was previously reported by some of us,^{33, 34} and its formation was explained
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33 by the structure zone model for thin-film growth and its extensions.³⁵ According to these
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35 models, this spongy-like nanostructure can be achieved by a proper combination of two
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37 process parameters; the melting temperature of the source material (in our case, a
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39 metallic Zn target) and the substrate temperature. In this work, this condition is achieved
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4 by coupling the relatively low melting temperature of Zn source (690 K) and a local
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7 temperature increase due to the impinging of sputtered particles on the substrate surface.
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10 Regardless of the doping process, Figure 1 also highlights that no significant differences
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12 are present among all the samples. Despite a slight increase of the crystal size for the
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14 doped samples, both the pristine and doped ZnO thin films exhibit a similar morphology,
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17 featuring a high-surface area porous framework made of highly-interconnected
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21 nanocrystals.^{34,37}
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28 The presence of Sb in the doped samples is confirmed by elemental chemical analysis
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31 obtained by energy dispersive X-ray spectroscopy (EDS). The corresponding spectra are
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34 reported in Figure 2 and clearly show an increase in the amount of dopant for longer
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37 impregnation times adopted in the synthetic procedure; it changes from 1 at.% to a
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41 maximum amount of 5 at.% for the lowest (1 h) and highest time of impregnation (6 h),
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45 respectively. High-resolution (HR) XPS measurements were also carried out in our
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48 previous work,²⁸ revealing that Sb oxidation state in the ZnO:Sb doped samples is
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51 influenced by the doping concentration (i.e., impregnation time). In particular, Sb ions are
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55 involved in three different oxidation states: the main ones are Sb³⁺ and Sb⁵⁺ species while
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3 a small Sb^0 fraction is detected as well. Sb^{3+} is the dominant one for doping levels up to
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7 2 at.%. By increasing the doping amount, Sb^{5+} species become dominant together with
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10 the a Sb^0 small contribution.
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37
38 **Figure 1.** FESEM images of (a) pristine ZnO and (b-d) doped ZnO:Sb thin films
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40 incorporating different amounts of Sb.
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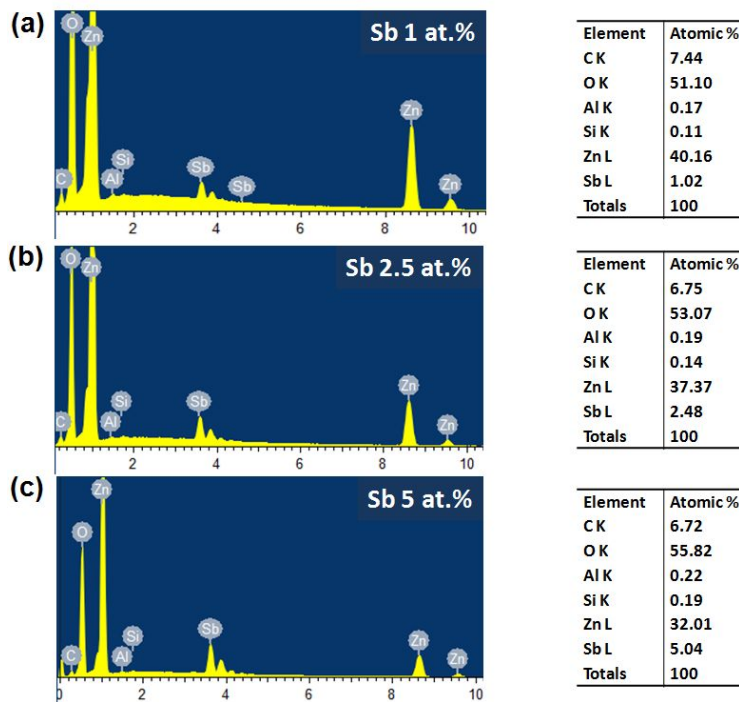


Figure 2. Energy Dispersive X-ray spectra and semi-quantitative analysis obtained for ZnO:Sb thin films prepared with different doping levels: (a) Sb 1 at.%; (b) Sb 2.5 at.%; (c) Sb 5 at.%.

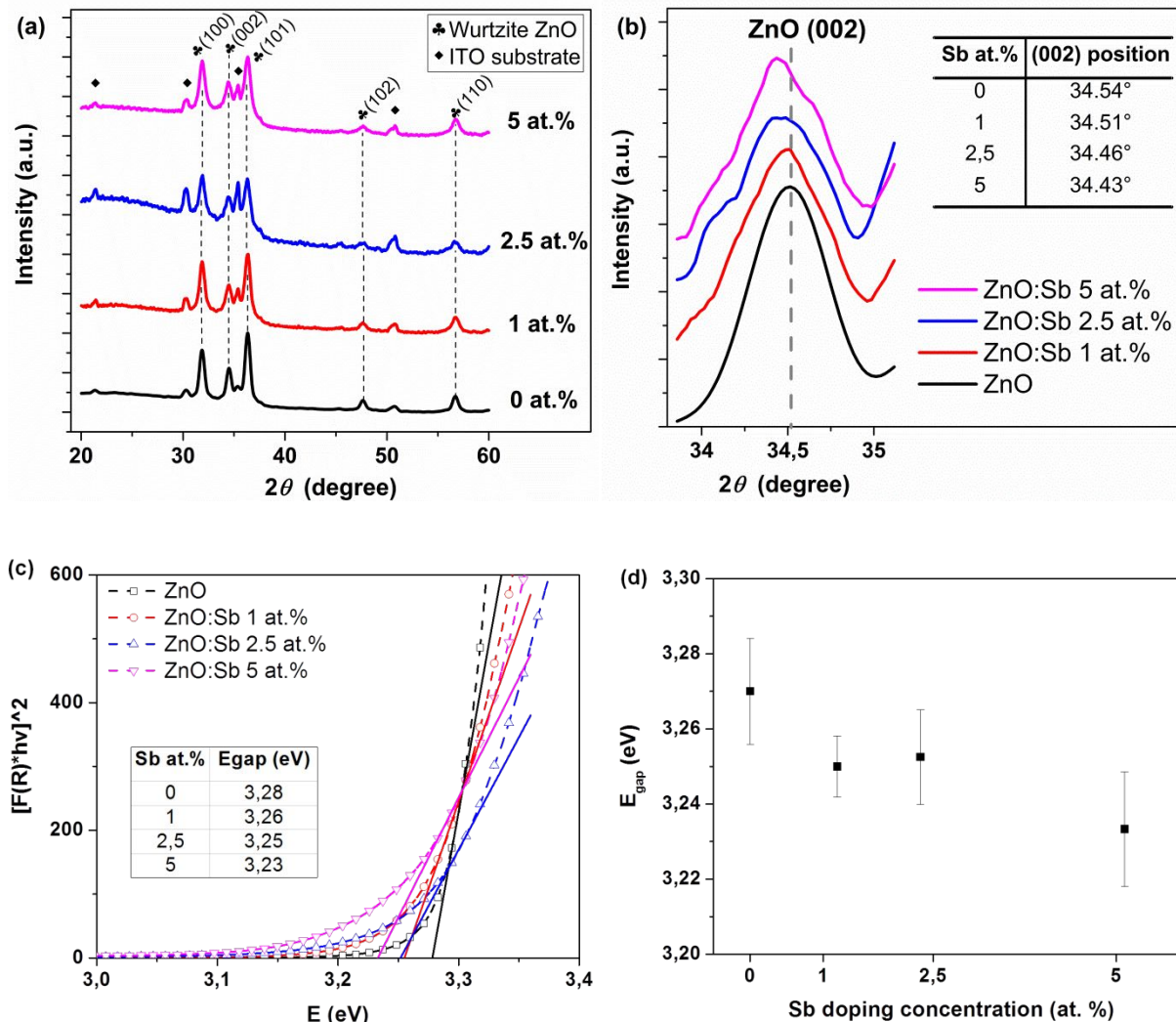


Figure 3. (a) XRD patterns for pristine ZnO and doped ZnO:Sb films. (b) Magnified view of the (002) diffraction peak and shift of the corresponding 2θ position as a function of Sb doping. (c) Tauc's plot for ZnO and ZnO:Sb porous films. (d) UV-Vis spectroscopy: influence of Sb doping concentration on the E_{g} values.

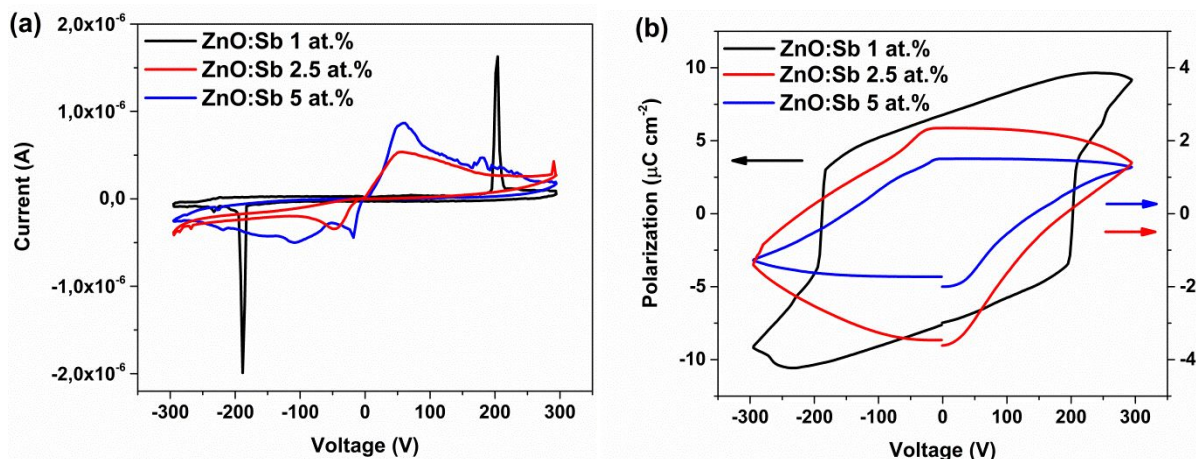
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4 The crystal structure of the investigated samples has been studied by X-Ray Diffraction
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7 (XRD). Figure 3a reports an overview of the diffractograms obtained for the pure and
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10 ZnO:Sb films. Generally, all of the samples crystallized in the hexagonal wurtzite
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13 structure, which is the most thermodynamically-stable phase for ZnO materials.³⁸ The
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16 main diffraction peaks are those positioned at 2θ angles of 31.8° , 34.5° and 36.2° , which
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19 belong to (100), (002) and (101) crystal planes, respectively. Other minor contributions at
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22 higher diffraction angles and typical of wurtzite ZnO have been detected as well. No
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25 additional peaks due to secondary Sb metallic or oxide phases are present, meaning that
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28 most of the Sb atoms are in the host ZnO lattice structure, and eventual Sb oxides are
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31 present in a few amount, i.e. below the XRD detection limit. Indeed, Sb doping strongly
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34 altered the crystallinity of the thin film. In particular, for the doped ZnO samples it is
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37 possible to highlight that the 2θ -position of the (002) diffraction plane is shifted towards
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40 lower angle values as compared to the pristine ZnO (see also the deconvolution of (002)
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43 peaks in Figure S1 of the Supporting Information, S.I.). In particular, Figure 3b evidences
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46 that the (002) peak became more broadened and shifted to lower 2θ angles by increasing
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49 the Sb at.%.³⁹ This stands for a stronger deformation of the ZnO crystalline framework
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3 due to the higher amount of dopant introduced within the wurtzite lattice (5 at.%), with
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7 bigger Sb atoms substituting at the Zn sites and thereby inducing a remarkable
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10 deformation of the ZnO crystal unit cell, as also previously reported.^{28, 39-41}

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14 The optical properties have been investigated by means of UV-Vis spectroscopy and
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17 the band-gap energy (E_g) is estimated according to the Tauc's method.⁴² The introduction
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20 of Sb dopant slightly changes the E_g value for the doped ZnO samples in comparison with
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23 pristine ZnO one (Figure 3c,d). Despite this reduction being limited, it can be nevertheless
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26 stated that the E_g value decreases by increasing the amount of Sb dopant, varying from
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29 3.28 eV for pure ZnO to 3.23 eV for sample ZnO:Sb 5%. This change is expected to be
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32 induced by the rise of additional shallow donors energy states in the ZnO band structure,
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35 due to the introduction of Sb ions.⁴¹

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42 Figure 4a,b shows IV curves and polarization curves obtained for the ZnO:Sb samples.
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45 The remarkable current peaks present in the IV curves related to the sample ZnO:Sb 1
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48 at.% at about ± 200 V (black curve of panel a) are associated with the switching of the
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51 ferroelectric domains.^{27,28} Moreover, the corresponding polarization curves show
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54 hysteresis loops and a non-zero residual polarization ranging between $2 \mu\text{C cm}^{-2}$ and 7.5
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3 $\mu\text{C cm}^{-2}$, depending on the Sb doping level. In particular, it is found that sample ZnO:Sb
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7 1 at.% exhibits good dielectric properties, with current values in the nA range outside of
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10 the switching peaks region and the highest residual polarization value, thereby featuring
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13 a quasi-ideal ferroelectric behavior. On the other side, undesirable leakage currents in
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16 the order of 10^{-6} A start to be present by further increasing the doping amount (samples
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18 ZnO:Sb 2.5 at.% and ZnO:Sb 5 at.%). This leads to a loss of performances from a
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20 dielectric point of view, causing a reduction of the residual polarization and a partial
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23 suppression of the ferroelectric behavior. On the contrary, no ferroelectric phenomena
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26 were found for the undoped ZnO sample, as shown by the absence of any switch current
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29 peak and hysteresis loop in the corresponding IV and polarization curves of Figure S2 of
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32 the Supporting Information (S.I.).
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3 **Figure 4.** (a) I-V characteristic and (b) polarization curve for ZnO:Sb thin films.
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8 The rise of ferroelectricity within ZnO:Sb thin films is due to the ionic radii difference
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10 between Zn and Sb ions that induces structural distortions, as well as variations in
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12 chemical bond strengths and polarities.²⁸ Both these factors induce the formation of
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14 permanent electric dipoles and the rise of the observed ferroelectric response. The loss
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16 of ferroelectricity for samples ZnO:Sb 2.5% and ZnO:Sb 5% is representative of their
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18 conducting behavior. This is most probably due to the higher amount of defects present
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20 in the corresponding crystalline structure,⁴³ which is significantly altered by the doping
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22 process as previously discussed (see also XRD patterns of Figure 3b).
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35 From the results described so far, some key points can be preliminary highlighted in
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37 view of discussing the photo- and sonocatalytic behavior of the investigated materials.
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39 The first one deals with the morphological analysis. Independently of the presence of Sb
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41 dopant, a porous and high surface area has been noticed for all the samples.^{28,33,37} This
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43 is a major requirement in designing new catalyst materials, as the presence of an
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45 extended surface area provides a larger number of active sites for dye adsorption and its
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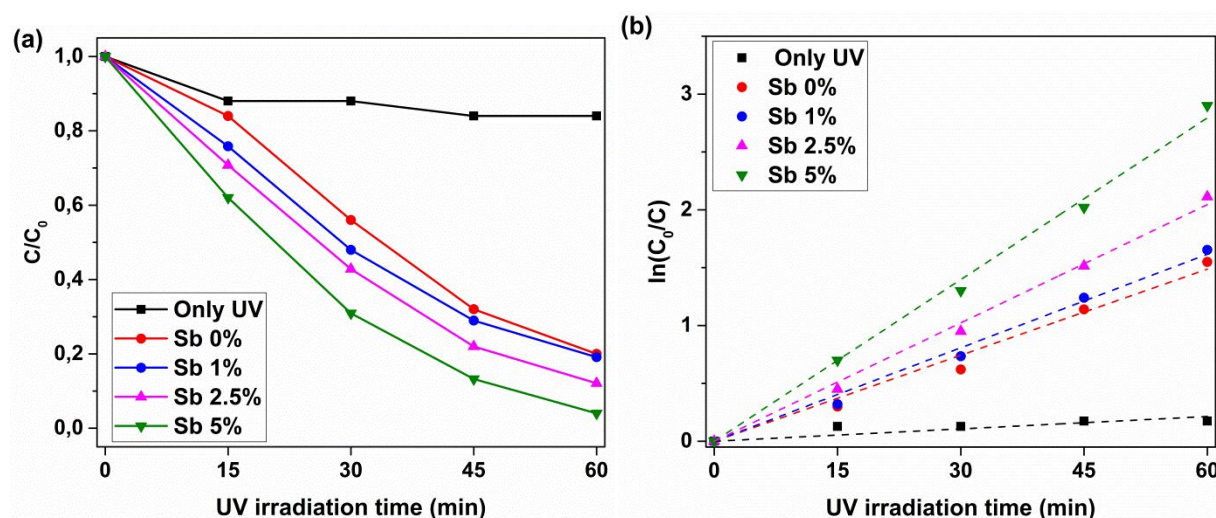
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3 consequent degradation. It is also interesting to observe how the synthesis process for
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7 preparing ZnO:Sb films did not change the morphology with respect to pristine ZnO one,
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10 thereby preserving the surface area of the starting material. Secondly, the XRD analysis
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13 pointed out a distortion of the ZnO crystal unit cell after the insertion of Sb dopant. This
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16 deformation becomes more pronounced as long as the doping percentage is increased.
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21 Such distortion of the crystal lattice has a twofold effect on the final material properties.
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24 On the one hand, the reduced crystallinity is expected to introduce defects and additional
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27 shallow donor states within the band gap structure of ZnO, thereby influencing the
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30 corresponding optical properties.⁴¹ In this regard, UV-Vis spectroscopic analyses pointed
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34 out a reduction in the E_g value for ZnO:Sb thin films with respect to pristine ZnO, improving
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37 the UV absorption properties of the ZnO:Sb catalyst. On the other hand, a high amount
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41 of crystal defects can affect the charge transport properties of the material and influence
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44 the corresponding photocatalytic behavior as well. Actually, those defects can act as
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47 recombination centers for the photogenerated charge carriers, which should not
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50 recombine together before reaching the outermost catalyst surface where the reactions
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55 with the adsorbed dye molecules lead to its breakage and degradation. Another important
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3 factor relates to the piezoelectric and ferroelectric behavior. Doping of ZnO with Sb has
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7 been demonstrated a successful method to improve its piezoelectric response, but also
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10 to confer ZnO new physical properties.²⁸ ZnO is a piezoelectric semiconductor with an
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13 intrinsic n-type behavior associated with native surface/bulk defects and states. Such
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17 native defects participate as free carriers and result in a mobile-charge-induced
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21 polarization that partially suppresses the bound-charge-induced piezoelectric polarization
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24 of the material. This effect is called “screening effect” and limits the piezoelectric response
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28 of pure ZnO. The insertion of Sb element within the ZnO wurtzite structure allows to
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31 partially compensate the intrinsic n-type behavior of ZnO and the resulting screening
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34 effect. Actually, Sb doping of ZnO induces distortions and variations in the crystalline and
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38 chemical structure and the rise of ferroelectric phenomena, as already previously reported
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42 by some of us²⁸ and as represented in Figure 4. All the above-mentioned effects actively
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45 participate in the reduction of the screening effect and improve the piezoelectric response.
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49 Therefore, Sb doping is expected to influence the catalytic activity of the piezocatalysts
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52 considered in this work as well.
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2.2. Photocatalytic degradation of Rhodamine- β

The photocatalytic properties of ZnO and ZnO:Sb films have been studied by considering the degradation of Rh- β organic dye under UV light irradiation, as shown in Figure 5a. Without any catalyst (only the bare glass support is present), the sole effect of UV light is almost negligible, with only 16% of Rh- β degradation achieved after 1 h, i.e. the maximum irradiation time (see the black curve in Figure 5a and Figure S3 of the S.I.). For the same time of irradiation, a degradation efficiency higher than 80% has been obtained in presence of the catalyst and independently of the specific sample, i.e. pristine ZnO rather than ZnO:Sb, thereby confirming the promising photocatalytic behavior of the considered ZnO-based thin films. A positive influence of Sb doping level on the photodegradation activity can be then highlighted if comparing the degradation performances of the catalyst samples. Actually, the photodegradation properties of the ZnO:Sb samples are superior, and further improve as long as the amount of Sb dopant is increased. In particular, the best degradation efficiency (96% in 1 h) is obtained for the most doped ZnO sample (ZnO:Sb 5 at.%). The degradation profiles of the photocatalytic tests obey to a pseudo-first order kinetic law, as it can be observed from the fitting curves

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3 reported in Figure 5b, also showing a good linear relationship ($R^2 > 0.981$) between the
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7 $\ln(C_0/C)$ and the time of irradiation. The slope of the straight line represents the kinetic
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10 constant (min^{-1}). Only the control test (without catalyst and only UV irradiation) reported
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13 a lower R^2 but those results were also reported for comparison purposes. Table 1
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17 summarizes the main parameters describing the kinetic of degradation: kinetic constant
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19
20 and time necessary to degrade 50% of the initial amount of Rh- β ($t_{1/2}$). The highly doped
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23 ZnO sample (ZnO:Sb 5 at.%) displays the highest kinetic constant (0.048 min^{-1}) and
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27 lowest $t_{1/2}$ (14 min), in agreement with data reported in Figure 5a and showing that Rh- β
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31 degradation is almost complete at the end of the photodegradation experiment of 1 h.
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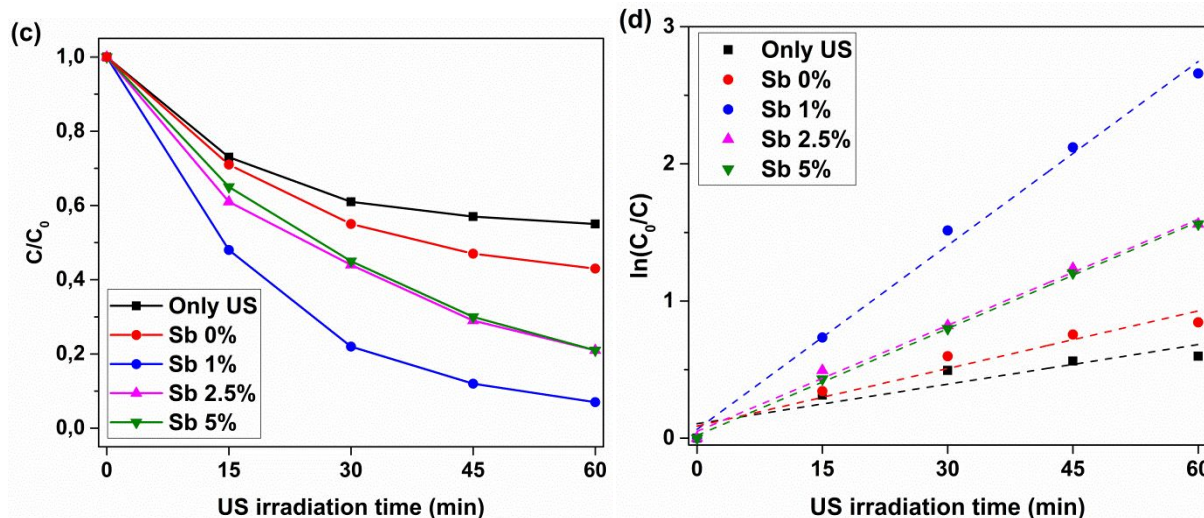


Figure 5. Photocatalytic (a, b) and piezocatalytic (c, d) degradation profiles of Rh- β dye for the differently doped ZnO catalysts, as a function of the irradiation time. Fitting curves are represented by dashed lines. Control experiments have been carried out on bare ITO/glass substrates, i.e. without the ZnO-based catalysts.

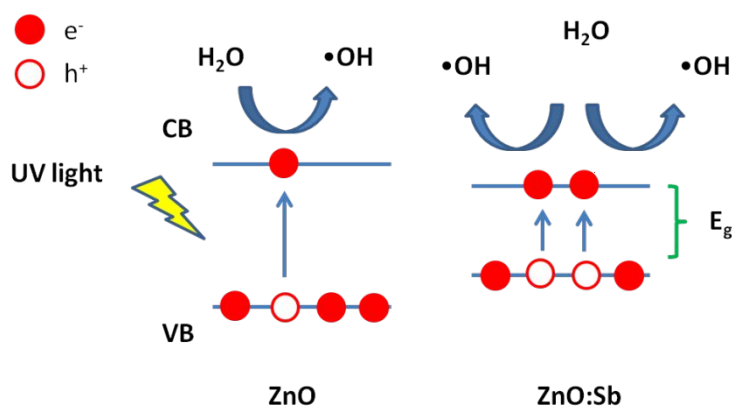
The photodegradation results may be explained by considering the semiconducting nature of ZnO and the optical properties of the investigated samples discussed previously. As shown in Figures 3c and 3d, the band-gap energy value (E_g) is changed after incorporating the Sb dopant, with a maximum E_g reduction achieved for the highest doping level (5 at.%). The mechanism driving Rh- β photodegradation using

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4 semiconductor catalysts is based on the photogeneration of free electrons due to light
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7 absorption. The generated free carriers are then able to react with dye molecules
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10 adsorbed on the outermost catalyst surface and with water molecules present in the
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13 medium at the same time. In the first situation, the degradation of the dye is a direct
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16 process and leads to the cleavage of xanthene chemical group (as discussed in the
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19 following), dye decoloration and degradation. In the second case, the reaction between
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22 the catalyst and the organic dye is mediated by highly reactive oxygen species (ROS)
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25 generated from the interaction of free electrons with the hydroxyl groups present in the
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28 chemical environment of the dye solution; ROS can easily attack the dye molecule and
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31 induce its degradation.
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38 For the same UV irradiation conditions, the observed decrease in the E_g value for
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41 ZnO:Sb samples can further favor the photon absorption capability with respect to the
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44 pure ZnO catalyst. Consequently, a higher number of electrons from the lower valence
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47 band states of ZnO is promoted to the upper conduction band ones, leading to a superior
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50 quantity of photogenerated carriers responsible for the dye degradation. The UV-induced
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53 conduction electrons interact with OH⁻ groups present in the water-based solution and
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3 generate •OH radicals, as demonstrated in the following. The •OH species react with Rh-β
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7 molecule, leading to the cleavage of Rh-β chemical groups and to the degradation of the
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10 dye itself. It is well known that Rh-β in aqueous solutions has positively charged
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13 diethylamino group $-C=N^+(C_2H_5)_2$ and at $pH > 4$ it is in the zwitterionic form due to the
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16 dissociation of the carboxylic group ($-COOH \rightarrow -COO^-$). Hence, two possible mechanisms
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21 have been identified for Rh-β degradation, summarized in: (i) de-ethylation, a stepwise
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24 process involving the dye adsorbed at a negatively charged catalyst surface, and (ii) the
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27 cleavage of chromophore xanthene group due to the adsorption of the dye through the -
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30 COO^- at a positively charged catalyst surface.^{21,44} The de-ethylation process is
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34 responsible for the shift of the characteristic UV absorption peak of Rh-β, while the second
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38 one deals with the cleavage of the chromophore group responsible for the dye color. This
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42 cleavage is responsible for dye decoloration, which is represented by a strong reduction
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45 of the UV peak intensity. In this work, no shift of the UV peak position has been observed,
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49 while the reduction of the absorption peak intensity has been detected for all the
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52 investigated samples (Figure S4 of the S.I.). Therefore, it may be concluded that the
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56 dominant mechanism in all the degradation tests is the cleavage of the xanthene group.
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4 This conclusion is further corroborated if considering that the surface charge of metal
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7 oxides and of the dye molecules are both pH-dependent.⁴⁵ ZnO-based materials
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10 generally exhibit an isoelectric point (IEP) at basic pH.^{21,46,47} Therefore, at the operating
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14 pH conditions (5.8) the surface of the ZnO-based catalyst is expected to be positively-
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17 charged, thereby further promoting the adsorption of Rh- β (which is in zwitterionic form)
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20 through the $-\text{COO}^-$ at the positively charged catalyst surface, and its degradation through
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23 the cleavage of chromophore xanthene group. A scheme summarizing the mechanisms
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27 leading to dye photodegradation is proposed in Figure 6.



47 **Figure 6.** Mechanism of Rhodamine photodegradation using ZnO:Sb catalyst.
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2.3. Piezocatalytic degradation of Rhodamine- β

The piezocatalytic experiments have been performed by irradiating the catalyst with US waves and it is found that the presence of Sb dopant played a key role also in this case. A continuous reduction in intensity of the Rh- β absorption peak has been observed (Figure S5 of the S.I.) and the degradation of the dye approached 100% after 1 h of irradiation in the best case, as it can be seen from Figure 5c. Similar to photodegradation, Figure 5d and the good linear relationship between $\ln(C_0/C)$ and the time of US irradiation represent that the degradation profiles follow a pseudo-first order kinetic law. As reported in Table 1, the lowest efficiency has been obtained for pristine ZnO (57% degradation after 1 h). By considering the doped samples, the most promising piezocatalytic behavior has been shown by sample ZnO:Sb 1 at.% (93% efficiency), which has the highest kinetic constant (0.045 min^{-1}) and the lowest $t_{1/2}$ (14 min). A good degradation behavior is observed between samples ZnO:Sb 2.5 at.% and ZnO:Sb 5 at.%, with no significant differences among them. The different behavior observed among the lowest and highest doped ZnO samples can be inferred to the corresponding ferroelectric properties (Figure 4), as previously discussed. First of all, the investigated ZnO-based catalysts show

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3 intrinsic piezoelectric properties.^{28,34} Upon interaction with a mechanical stimulus (US
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6 waves in this work), surface charge accumulation at the opposite sides of wurtzite
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9 nanocrystals and the rise of an inner piezopotential can take place, favoring the
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12 adsorption of charged dye molecules on the catalyst surface. Moreover, the presence of
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15 an US-induced piezoelectric potential within the catalyst allows the generation of free
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18 carriers able to react with the dye, then breaking the cromophore groups and leading to
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21 dye degradation, similarly to what stated for photocatalysis. Both these effects are highly
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24 enhanced if a permanent polarization within the catalyst is present, as for the doped
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27 ZnO:Sb catalysts with 1 at.% content of Sb. Therefore, the overall effect is a superior
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30 adsorption of charged species (dye molecules and hydroxyl groups) and a reduction in
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33 recombination of free carriers generated by US irradiation, which result into the observed
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36 improvement of the piezocatalytic properties of ZnO:Sb films with respect to the undoped,
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39 non-ferroelectric ZnO counterpart.
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52 *2.4. Generation of reactive oxygen species under UV light/US irradiation*

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4 Electron Paramagnetic Resonance (EPR) spectroscopy coupled with the spin trapping
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7 technique has been performed to investigate the ability of pure ZnO and ZnO:Sb samples
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10 in generating reactive oxygen species (ROS) in water suspension, under both UV light
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13 and US wave irradiation. Figure 7a reports the EPR spectra collected for the most
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16 promising catalyst samples, i.e. pure ZnO and ZnO:Sb 5 at.%, in case of UV light
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19 irradiation. With respect to the control sample (water + ITO-coated glass support), •OH
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22 radicals are mostly generated in presence of the catalyst (ZnO and ZnO:Sb 5 at.%). In
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25 particular, the amount of DMPO-OH spin adducts is slightly higher for the doped samples
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28 (see Figure 7c) and confirms the superior photocatalytic properties of sample ZnO:Sb 5
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31 at.% discussed previously. This favored generation of hydroxyl radicals is ascribable to
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34 the lower band-gap energy. This further promotes photon absorption and the consequent
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37 photogeneration of free e^-/h^+ carriers with respect to pure ZnO, which can react with OH⁻
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40 groups present in the water suspension and generate the corresponding radical species.
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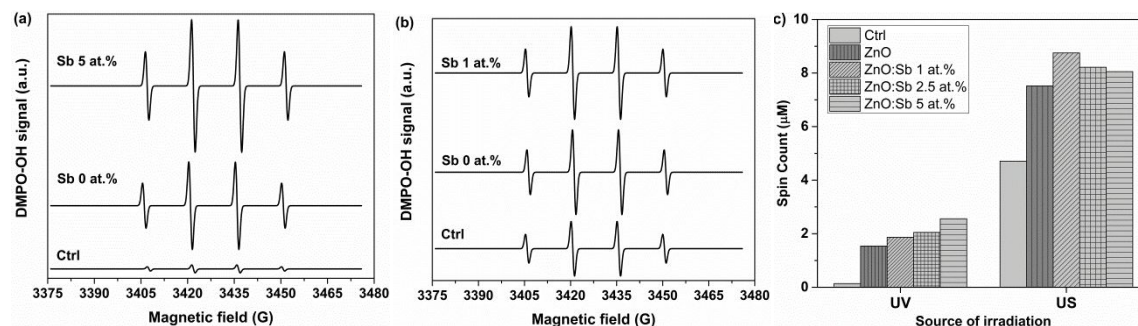


Figure 7. (a) EPR spectra for ZnO and ZnO:Sb 5% under UV light. (b) EPR spectra for ZnO and ZnO:Sb 1% films under US waves. (c) Quantitative estimation of DMPO-OH spin adduct generation from ZnO and ZnO:Sb films, under UV light and US irradiation. Control experiments have been carried out on bare ITO/glass substrates, i.e. without the ZnO-based catalysts.

Table 1. Kinetic parameters calculated from the kinetic plot and describing the photo- and piezocatalytic degradation process of ZnO and ZnO:Sb films.

Sample	% _{eff, max} ^{a)}	Kinetic constant (K), [min ⁻¹]	t _{1/2} , ^{b)} [min]	R-squared (R ²)
Only UV	16%	0.004	> 60	0.917
ZnO	80%	0.025	28	0.981
ZnO:Sb 1 at.%	81%	0.027	26	0.995
ZnO:Sb 2.5 at.%	88%	0.033	20	0.992
ZnO:Sb 5 at.%	96%	0.048	14	0.984
Only US	45%	0.009	> 60	0.889
ZnO	57%	0.014	43	0.956
ZnO:Sb 1 at.%	93%	0.045	14	0.994
ZnO:Sb 2.5 at.%	79%	0.026	25	0.994
ZnO:Sb 5 at.%	79%	0.026	25	0.999

^{a)}estimated at the end of the degradation experiment, i.e. after 60 minutes; ^{b)}time after which 50% of the initial dye has been degraded.

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5 When US is used as irradiation source, the difference in ROS generation between the
6 control (glass/ITO substrate) and the catalysts (ZnO or ZnO:Sb-coated ITO/glass
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8 substrates) is not as remarkable as observed for UV light irradiation. As shown in Figure
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16 7b, sonolysis of water due to US exposition is highly pronounced and a strong generation
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18 of •OH radicals is detected, even in absence of the catalyst. This is noticeable also from
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22 the color decay rate of Rh-β solution observed in absence on any ZnO-based catalyst, by
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25 merely applying US (control experiment, see Figures 5c and 5d). It can be also stated
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29 that hydroxyl radicals are more efficiently generated by applying US irradiation than UV
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32 light, as clearly depicted in Figure 7c. Anyway, the amount of ROS is higher in presence
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36 of the catalysts, especially in the case of Sb-doped ones. Similarly, to photocatalysis, the
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39 ultrasonic degradation of the dye can be associated to the generation of ROS also for
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43 piezocatalysis. However, the mechanism is different and two main contributions
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46 participating in dye degradation can be supposed to exist during US irradiation. ZnO is a
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50 piezoelectric material and it is also able to exhibit ferroelectric properties when doped with
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54 proper elements like Sb. In this work, the degradation rate between the pure and doped
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3 samples during US irradiation is different, with the best performances exhibited from the
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7 sample ZnO:Sb 1 at.%. The interaction of ZnO and ZnO:Sb films with US is expected to
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10 induce the rise of a piezoelectric potential able to provide sufficient energy for separating
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13 electron-hole pairs. The so-generated free carriers are thus able to interact with water
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17 present in the dye solution, producing ROS and provoking the degradation of the dye.
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20 However, when ferroelectric ZnO:Sb samples are considered, an additional effect can be
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23 accounted and is related to the existence of a permanent ferroelectric polarization.
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27 Actually, as shown in Figure 4, an inner electric field, additional to piezopotential, is able
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30 to improve the adsorption of charged species from the chemical environment (dye
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33 molecules and hydroxyl groups). Moreover, this inner field also reduces the
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36 recombination rate of free carriers that generally limit the performances of semiconductor
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39 catalysts like ZnO. The synergy of the abovementioned contributions allows a more
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42 efficient reaction between OH⁻ groups and free carriers, enhancing the production of •OH
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45 radicals with respect to pure ZnO (see Figure 7c) and finally improving the degradation
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49 efficiency of the overall system.
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3. Conclusion

Piezoelectric ZnO and ZnO:Sb thin films have been prepared by a simple approach based on RF magnetron sputtering, a wet impregnation of the sputtered layers into the doping solution and a final thermal treatment. The physical-chemical characterization results confirmed the correct insertion of the Sb dopant in the host ZnO crystalline lattice. With respect to the undoped ZnO counterpart, the ZnO:Sb samples showed a reduction in the band-gap energy as a function of the doping percentage, as also the rise of ferroelectricity represented by well-defined switch current peaks along with a permanent electrical polarization. The photo- and piezocatalytic properties of the considered materials have been evaluated by studying the degradation of Rh- β dye under UV light and US waves irradiation. Independently of the irradiation source, the ZnO:Sb catalysts showed superior properties with respect to pure ZnO, with a kinetic constant being 5-12 fold higher in the best scenario. The mechanism leading to dye degradation also involves the generation of ROS, which has been validated by EPR spectroscopy, both under UV light and US waves irradiation. The best photocatalytic behavior has been observed for the maximum Sb doping percentage (5 at.%), which allowed a sufficient reduction of the

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3 band-gap energy and improved the corresponding photogeneration of free electrons and
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7 catalytic properties. On the other side, the most promising piezocatalytic efficiency was
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10 obtained by using the minimum amount of Sb dopant (1 at.%), which actually exhibited
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13 the best ferroelectric behavior. In this case, the presence of a permanent electrical
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16 polarization within the doped catalyst, coupled with the presence of a piezopotential,
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19 allowed the generation of free carriers and the reduction of charge recombination
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22 phenomena. This permanent polarization also helped in recalling charged species from
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25 the dye solution, i.e. Rh- β^+ and OH $^-$, further favoring their absorption on the catalyst
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31 surface.

32 33 34 35 36 37 38 **4. Experimental Section**

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42 Synthesis of pristine ZnO and ZnO:Sb thin films: commercial Indium Tin Oxide (ITO)-
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45 coated soda lime glass slides ($12 \Omega \text{ sq}^{-1}$, VisionTek Systems Ltd.) were used as
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48 substrates. The ITO/glass supports were cleaned with acetone and ethanol in ultrasound
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52 bath for 10 min, then finally dried under nitrogen flow.
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4 Porous Zn films were deposited on the cleaned ITO-glass substrates by RF magnetron
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7 sputtering at room temperature for 2 h.³⁴ The substrates were placed on the substrate
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10 holder facing upwards to the target, with a target-to-substrate distance of around 8 cm.
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14 Before starting the deposition, suitable high-vacuum conditions (base pressure around
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17 9×10^{-8} Torr) were created within the deposition chamber by a two-stage pumping system
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20 consisting of a rotary and turbomolecular pumps. Then, pure Ar (10 sccm flow, 5×10^{-3}
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22
23 Torr pressure) was injected into the sputtering deposition chamber. A RF signal voltage
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26 (13.56 MHz operating frequency, RF power value of 30 Watt) was applied to the cathode
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29 on which the source material is clamped, and used to create plasma. A 4-inch diameter
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32 pure Zn target was used as source material and the substrate temperature was monitored
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35 by a thermocouple during the whole deposition process. A pre-sputtering of the target
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38 was performed for 10 min in pure Ar atmosphere to remove any contaminant from the
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41 source material. The final average thickness of the prepared samples was around 3.5
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44 μm .
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52 Antimony dopant (Sb) was introduced by wet-impregnation of the porous metallic Zn
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55 layers in Sb precursor solution,²⁸ prepared by dissolving antimony acetate ($\text{C}_6\text{H}_9\text{O}_6\text{Sb}$,
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3 Sigma-Aldrich, 99.98% purity, 0.12 mg mL⁻¹) in bidistilled water (final volume of 50 mL).
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7 The porous Zn films were soaked in the doping solution under continuous stirring at 50
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10 °C for different times (1 h, 3 h and 6 h). After, the impregnated samples were thermally
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13 treated in a muffle furnace operating in air, at 380 °C, for 2 h (heating rate 150 °C h⁻¹).
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17 Impregnation times lower than 1 h (i.e. 30 min) did not lead to any significant doping of
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20 the final material, while dissolution of the Zn film was observed for higher impregnation
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23 times (i.e., 8 h, 16 h).
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28 As a reference, pristine ZnO films were prepared according to a similar experimental
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31 procedure, avoiding the impregnation of the samples in the doping solution. At the end of
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34 the synthesis process, each sample was properly weighed and an average amount of 3
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37 mg of material has been estimated.
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42 UV light and US wave-aided degradation experiments. Rhodamine-β (Rh-β) powder (1
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45 mg) was dissolved in bi-distilled water (final volume of 400 mL, concentration of 2.5 ppm,
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48 pH=5.8), under continuous stirring at room temperature and in dark conditions. A
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51 calibration curve was obtained by considering the characteristic UV absorbance value of
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54 Rh-β at λ=554 nm, obtained for different solutions prepared at prefixed concentration
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3 values (Figure S6 of the S.I.). The amount of catalyst employed for each degradation
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7 experiment has been estimated in 3 mg, as mentioned previously, in 10 mL of dye
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10 solution. All the control experiments have been carried in presence of a bare ITO-coated
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14 glass support.

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17 Photodegradation experiments were performed by using a UV lamp (High Power
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20 Mercury-Xenon Light Source, Newport, 500 W, Wavelength 350–450 nm). The Rh- β
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23 solution (10 mL) was maintained homogeneous by continuous stirring at 360 rpm. The
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27 catalyst sample was placed atop of a Teflon® support within a cylindrical Pyrex reactor
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31 and soaked at room temperature in the Rh- β solution. Before irradiation, the catalyst
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35 sample was left in contact with the dye solution in dark conditions and under continuous
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39 stirring for 20 min, in order to achieve adsorption-desorption equilibrium conditions
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42 between dye and the catalyst (dark phase). Then, light irradiation was performed from the
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45 upper side of the solution. The distance between the solution and the source was fixed at
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49 8 cm to ensure 150 mW cm⁻² of UV light irradiation intensity.

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52 Piezodegradation experiments were performed by using a commercial US transducer
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56 apparatus (Lipozero).⁴⁸ The catalyst sample was placed within a 6-well microplate and
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3 the single well filled with Rh- β solution (10 mL). Then, US irradiation was performed from
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7 the bottom. A water-based gel was placed between the microplate and the transducer in
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10 order to maximize the coupling between the propagating US and the sample. The US
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13 parameters for piezodegradation experiments were as follows: signal frequency, 1 MHz;
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16 US power, 1.2 W cm⁻²; duty cycle, 100%. Similar to photodegradation experiments, dark
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19 phase was achieved before US irradiation by leaving the catalyst in contact with Rh- β
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22 solution in dark conditions for 20 min.
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28 The color decay of Rh- β solution under both UV and US irradiation was investigated by
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31 means of UV absorbance spectroscopy. 100 μ L was withdrawn from the dye solution at
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34 regular points of time (15 min, 30 min, 45 min, 60 min) and the corresponding UV
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37 absorbance spectrum acquired with a microplate reader (Multiskan go, ThermoScientific).
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41 From the recorded UV absorption spectra (Figures S4 and S5) and according to the
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44 calibration curve (Figure S6), the efficiency of dye degradation has been estimated as
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47 C/C₀ (%), where C represents the concentration of solution at time t and C₀ is the initial
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50 one. UV- and US-driven dye degradation processes have been evaluated according to
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53 the following pseudo first-order kinetic equation:
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$$\ln\left(\frac{C_0}{C}\right) = kt$$

with k representing the kinetic rate constant and defined as:

$$k = \frac{\ln\left(\frac{C_0}{C}\right)}{t}$$

Characterization setup. The morphology of the samples was investigated using a Carl-Zeiss dual-beam Auriga field emission scanning electron microscope (FESEM) coupled with an Oxford Instruments X-Max 50 mm² silicon drift detector (SDD) for EDX analyses. The crystal structure and orientation was investigated by XRD measurements using a Panalytical X'Pert X-ray diffractometer in the Bragg–Brentano configuration (Cu K α radiation, $\lambda = 1.54059 \text{ \AA}$). The optical properties have been evaluated by UV-Vis spectra, collected with a Cary 5000 Scan UV–vis spectrophotometer using a total reflectance sphere. All the spectra were background subtracted. The energy band-gap was estimated according to Tauc's plot. The electrical properties were evaluated by using a Piezo Evaluation System (PES, TFAalyzer 2000HS, Aixacct). Measurements were performed

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3 under the application of a triangular excitation signal (voltage amplitude 300 V, frequency
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7 0.5 Hz). The evaluation of hydroxyl radical species generation was carried out by means
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10 of the EPR-spin trapping technique coupled with the spin trap 5,5-dimethyl-L-pyrroline-N-
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13 oxide (DMPO, 10 M) (Sigma, St. Louis, MO, USA). The detection of UV- and US-induced
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17 radical species was obtained by adding 1 mL of the selected spin trap (final concentration
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21 10 mM) to 9 mL of deionized water (total volume of the sample, 10 mL), in presence of
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24 the ZnO-based catalyst. Bare ITO-coated glass supports have been used for control
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28 experiments. In each case, the solution was irradiated with UV light (Wavelength 350–
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31 450 nm, Intensity: 150 mW cm⁻²) or with US for 30 min and then promptly collected with
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35 a quartz microcapillary tube. The microcapillary tubes were then transferred into the EPR
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38 cavity and the spectra were recorded by using a Bruker EMXnano X-Band spectrometer
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41 (Bruker, Billerica, MA, USA). The EPR measurement parameters were as follows:
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45 frequency, 9.74 GHz; scan width, 100 G; receiver gain, 60 dB; sweep time, 60 s; n. of
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49 scans, 10. After acquisition, the spectrum was processed using the Bruker Xenon
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52 software (Bruker, Billerica, MA, USA) for baseline correction and spin count.
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3 ASSOCIATED CONTENT
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8 **Supporting Information**
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12 Deconvolution of (002) diffraction peak for pure ZnO and ZnO:Sb films. I-V
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16 characteristic and polarization curve for undoped ZnO thin film. UV-Vis absorption
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19 spectra of Rh- β solution under UV/Us irradiation without the presence of the catalyst.
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23 UV-Vis absorption spectra of Rh- β solution obtained after photodegradation by UV light
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26 and piezodegradation by US waves stimulation, in presence of pure ZnO and Sb-doped
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29 ZnO catalysts. UV absorption spectra and calibration curve for Rh- β aqueous solutions
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33 prepared at prefixed concentrations. EPR spectra for ZnO and ZnO:Sb thin films under
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37 UV light and US waves irradiation.
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Author Contributions

The manuscript was written through contributions of all authors. All authors have given approval to the final version of the manuscript.

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ABBREVIATIONS

UV, ultraviolet; US, ultrasound; Rh- β , Rhodamine B; AOPs; advanced oxidation processes; ROS, reactive oxygen species; BT, barium titanate; ZnO, zinc oxide; GRAS, generally-recognized-as-safe; MB, methylene blue; FESEM, field emission scanning electron microscopy; EDS, energy dispersive X-ray spectroscopy; XRD, X-Ray Diffraction; IEP, isoelectric point; EPR, Electron Paramagnetic Resonance spectroscopy; DMPO, 5,5-dimethyl-L-pyrroline-N-oxide.

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