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Original

Single-channel EEG classification of sleep stages based on REM microstructure / Rechichi, I.; Zibetti, M.; Borzì, Luigi; Olmo, G.; Lopiano, L.. - In: HEALTHCARE TECHNOLOGY LETTERS. - ISSN 2053-3713. - ELETTRONICO. - 8:3(2021), pp. 58-65. [10.1049/htl2.12007]

Availability:

This version is available at: 11583/2921116 since: 2021-09-14T12:56:48Z

Publisher:

John Wiley and Sons Inc

Published

DOI:10.1049/htl2.12007

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Overturning Risk of Furniture in Earthquake Affected Areas

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ABSTRACT.

The main aim of this study is to develop a new straightforward approach to assess the overturning risk. The proposed formula is based on geometrical parameters of the furniture and the seismic intensity measures. In particular, the equation is identified by fitting the data obtained by the Housner's mechanical model from literature.

The new equation is compared with the model of Kaneko and Hayashi that, by the authors knowledge, is the only existing formulation in the literature to assess the overturning risk of furniture so far. Furthermore, to evaluate the consistency of the proposed formula, a comparison with literature experimental data has been also performed.

KEYWORDS: Furniture, Overturning, Earthquake, Risk, Damage

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27 1. INTRODUCTION

28 While moderate earthquakes may not induce significant structural damages, inside furniture slid-
29 ing and overturning could injure occupants. Indeed, Sato et al. (2006) report that this type of inju-
30 ries can be estimated around 40% of the total amount of earthquake-injured people. Besides, over-
31 turned objects have also an essential indirect effect during emergency by obstructing the evacua-
32 tion paths (Figure 1).

33 As stated by Ishiyama (1982), when a rigid body is exposed to floor shaking due to an earthquake
34 event, it may remain at rest when the intensity level is below a certain limit. However, when the
35 floor motion overcomes that limit, the body may rock, slide, jump or may respond in a combination
36 of these motions. Since this seminal paper a number of Japanese researchers was involved in the
37 theme of furniture overturning (e.g. Winkler et al., 1995; Uematsu et al., 2000; Hamaguchi et al.,
38 2004; Sato et al, 2006; 2011; Kuo et al. 2011; Shi et al., 2014).

39 The contribution by Housner (1963) is widely considered as the first systematic study about the
40 dynamics and the rocking behavior of rigid bodies to base horizontal motion. After Housner, many
41 authors have studied the dynamic motion of rigid bodies. Yim et al. (1980) and Ishiyama (1982)
42 analyzed the rocking response of rigid blocks subjected to earthquakes through computer pro-
43 grams. Psycharis and Jennings (1983), and Spanos et al. (1984; 1986; 2001) investigated the effect
44 of rigid or flexible foundation. In the work by Plaut et al. (1995) inclined planes are considered in
45 the overturning problem. Hogan (1990; 1994) studied the response of a rigid block to horizontal
46 simple harmonic forces at the theoretical level, explaining and confirming experimental results.
47 Shenton (1996) investigated the boundary conditions governing the motion initiation. Uematsu et
48 al. (2000) studied the rocking initiations factors with the experimental response on a shaking table.
49 Rocking response to physically realizable trigonometric pulses is deepened in (Zhang and Makris,
50 2001), while relations of rocking spectrum to the kinematics characteristics of the ground motion
51 is studied in (Makris and Konstantinidis, 2003). The use of distinct element method for columns

52 is also investigated in (Psycharis et al. 2000). Rocking response of a no-sliding rigid block sub-
53 jected to a ground acceleration is studied in (Kounadis, 2010; 2013; 2015). The classical problem
54 of rocking of a rigid block to near-fault earthquake motions is revisited by Voyagaki et al. (2012;
55 2013; 2014).

56 Ogino et al. (2015) analyzed the seismic behavior of cabinet and medical equipment through a
57 finite element code and a penalty method. Asymmetric geometries are studied by Wittich and
58 Hutchinson (2015). Boroschek and Iruretagoyena (2015) proposed an approach to control the over-
59 turning direction. Gesualdo et al. (2018a; 2018b) numerically and experimentally investigated the
60 seismic protection of historic objects in museums and special equipment. The role of friction is
61 also deepened in (Gesualdo et al., 2018c) and (Monaco et al., 2014).

62 Seismic risk analysis as reliable safety assessment method is widely employed in literature for
63 regular buildings made of masonry (Kim and Baek, 2013; Preciado et al. 2015). Focusing on the
64 explicit evaluation of the seismic overturning risk of furniture, the analytical model by Kaneko
65 and Hayashi (2004), by the authors knowledge, is the only existing formulation in the literature.
66 Estimated overturning ratios of furniture in a 14-story condominium due to the 2005 West Off
67 Fukuoka Earthquake were compared to the actual observed effects to demonstrate the validity of
68 the method (Nakamura et al., 2006). The results are reasonably in good agreement with the survey
69 with a slight overestimation at low velocities of the low floors and a slight underestimation at high
70 velocities of the high floors.

71 Considerable research has been dedicated to the use of different intensity measures (IM) that are
72 commonly adopted in seismic vulnerability assessment frameworks. The peak ground acceleration
73 (PGA) is one of the parameters most frequently used in earthquake engineering to express seismic
74 hazard (Chen and Scawthorn 2003). An extensive literature review has been summarized in Pappas
75 et al. (2017) that also investigate the efficiency of using the PGA and the peak ground velocity
76 (PGV) as IM for the seismic vulnerability assessment of monolithic rocking columns, as suggested

77 before by Ishiyama (1984). The existence of an acceleration threshold for rocking of rigid bodies'
78 is discussed in Sorrentino et al. (2006).

79 In this paper, a new analytical expression to assess the risk of overturning using the peak ground
80 acceleration (PGA) as the intensity measure is presented. Rectangular shape elements with differ-
81 ent breadths and heights have been numerically tested using real earthquake floor motions of real
82 buildings monitored in real-time. Finally, the reliability of the proposed approach is validated
83 through a comparison with the results of shaking table tests.

84

85 Figure 1

86

87 **2. ESTIMATING THE RISK OF OVERTURNING**

88 ***2.1 HOUSNER'S EQUATION***

89 The model adopted in this paper is a rigid block on rigid base that can oscillate around two points
90 at the base during the rocking phase. The *center of gravity* corresponds to the *geometric center*,
91 where the weight force W is applied, and it is located at a distance r from any corner of the block
92 (Housner, 1963). Angle α is given by $\tan(\alpha)=B/H$ where B and H are the base and height dimen-

93 sions respectively. Depending on the value of the base acceleration $\frac{d^2u_g}{dt^2}$ and the friction coeffi-
94 cient μ , the block translates with the ground, enters in rocking or sliding motion. The required
95 condition for the block to enter in rocking motion is $\mu > B/H$ (Aslam 1980, Scalia and Sumbatyan
96 1996).

97 In this study, the following assumptions have been adopted: (i) the coefficient of friction between
98 the block and its base is sufficiently large to prevent sliding at any instant during the rocking mo-
99 tion. (ii) Identical angular momentum on corners is assumed before and after the impact. (iii) No
100 vertical motion at the rocking point is assumed. (iv) The body and the support are assumed rigid.
101 (v) The response is planar.

102 When the block is subjected to a positive horizontal acceleration $\frac{d^2u_g}{dt^2}$ it can have first a negative
 103 rotation $\theta < 0$. Then, if it does not overturn, it will eventually assume a positive rotation and so
 104 forth. The equations of motion are:

$$105 \quad I_0 \frac{d^2\theta}{dt^2} + mgr \sin(-\alpha - \theta) = -m \frac{d^2u_g}{dt^2} r \cos(-\alpha - \theta) \quad \theta < 0 \quad (1)$$

106 and

$$107 \quad I_0 \frac{d^2\theta}{dt^2} + mgr \sin(\alpha - \theta) = -m \frac{d^2u_g}{dt^2} r \cos(\alpha - \theta) \quad \theta > 0 \quad (2)$$

108 where $I_0 = \frac{4}{3} mr^2$ is the rotational inertia. Equations (1) and (2) can be expressed in the compact
 109 form:

$$110 \quad \frac{d^2\theta(t)}{dt^2} = -p^2 \left\{ \sin(\alpha \operatorname{sgn}[\theta(t)] - \theta(t)) + \frac{d^2u_g}{dt^2} \frac{1}{g} \cos(\alpha \operatorname{sgn}[\theta(t)] - \theta(t)) \right\} \quad (3)$$

111 where

$$112 \quad p = \sqrt{\frac{3g}{4r}} \quad (4)$$

113 is the frequency in [rad/sec] of the block and g the acceleration of gravity.

114 If the block remains at rest and then is subjected to a sudden constant acceleration $\frac{d^2u_g}{dt^2}$, it may
 115 or may not overturn depending on the intensity of the acceleration and its duration. For small
 116 angles of oscillation, the undeformed (at rest) and deformed configurations of the body coincides
 117 and the necessary condition for the initiation of motion can be defined by rotation equilibrium as

$$118 \quad \frac{d^2u_g}{dt^2} \frac{1}{g} > \frac{B}{H} \approx \alpha. \text{ Equations (1) and (2) are applicable when motion initiates and the overturning}$$

119 condition is reached when the angle $|\theta| = \pi/2$.

120 The problem is described as an inverted pendulum model, therefore the results are independent
121 from the mass, while the geometry exclusively controls the phenomenon. If the rigid body has a
122 slender shape, the angle α is smaller and the overturning has more chances to be verified.

123 The Housner model has been implemented in MATLAB (2015) to solve the differential equations
124 and then to compute the overturning risk. The differential equation belongs to the *stiff* category,
125 because some terms can lead to a rapid variation in the solution, therefore the solution methods
126 might be numerically unstable. A sensitivity analysis has been conducted by testing different
127 ground motion inputs and different integration methods.

128
129 Figure 2

130
131
132 MATLAB allows different algorithms to solve a system of differential equations. The most effec-
133 tive functions for this problem are ODE45 and ODE23s that are both based on Runge-Kutta
134 schemes, of order 4-5 and 2-3 respectively. The last one is designed specifically for solving stiff
135 differential equations with a low order of accuracy and automatic time stepping. Relative error and
136 the absolute error tolerance of the solvers are also value parameters that can drive results toward
137 accurate solutions.

138 Figure 2a reports the input sine pulse function ($\ddot{u}_g = a_p \sin(\omega_p t)$ with $p=2.14\text{rad/s}$, $w_p/p=5$,
139 $\alpha=0.25\text{rad}$, $H=0.9\text{m}$) to evaluate the selected functions. Figures 2b and 2c describe the results of
140 ODE45 and ODE23s algorithms respectively.

141 The reference responses as obtained by Zhang and Makris (2001) are shown in Figure 2d and are
142 used for selecting the suitable algorithm. They represent the transition point between overturning
143 and not-overturning, as the critical condition for the problem under investigation. The tested solv-
144 ers have been required to identify the transition point. ODE23s algorithm has been selected with
145 the following error parameters: $RelTol=1e-05$ and $AbsTol=1e-07$ (MATLAB, 2015).

146
147

149 In 2004 Kaneko and Hayashi conducted several seismic response analyses of different rectangular
 150 rigid bodies. The reference mechanical model can consider both sliding and deformability, so when
 151 the supporting point is in contact with the floor, springs, dashpots and slider are engaged in both
 152 horizontal and vertical directions. Their parameters have been adopted corresponding to a rigid
 153 support. The simplified equation of the overturning risk was then derived through a regression
 154 process using the log-normal distribution function as follows:

$$155 \quad R = \begin{cases} \alpha \cdot \phi((\ln PFA - \lambda_A) / \zeta_A), & F_f \leq F_b \\ \alpha \cdot \phi((\ln PFV - \lambda_V) / \zeta_V), & F_f > F_b \end{cases} \quad (5)$$

156 where PFV ([cm/s]) and PFA ([cm/s²]) are the peak floor velocity and acceleration respectively,
 157 α the slide resistant coefficient ([0,1]) that is function of the ratio B/H and the friction coefficient
 158 (assumed sufficiently high to prevent sliding during rocking), ϕ the normal distribution function.

159 The mean values of the acceleration and the velocity λ_A and λ_V are given by the following
 160 relations:

$$161 \quad \lambda_A = \ln((B/H)g \cdot (1+B/H)); \quad \lambda_V = \ln(10B/\sqrt{H} \cdot (1+B/H)^{2.5}) \quad (6)$$

162 ζ_A and ζ_V are the corresponding standard deviations (Kaneko and Hayashi 2004). the furniture
 163 boundary frequency and the equivalent floor frequency F_b and F_f ([Hz]) are given by:

$$164 \quad F_f = PFA / (2\pi PFV), \quad F_b = 15.6 / \sqrt{H} \cdot (1+B/H)^{-1.5} \quad (7)$$

165 H and B in [cm] are the furniture breadth and height. In Kaneko and Hayashi (2004) the following
 166 classification is determined: *Low risk* if $R < 0.03$, *Medium risk* if $0.03 < R < 0.3$, *High risk* $0.3 < R < 0.7$,
 167 *Very high risk* if $R > 0.7$.

168

169 3. APPLICATION TO MONITORED BUILDINGS

170 3.1 SIMPLIFIED APPROACH

171 The overturning risk of furniture is evaluated in 50 real-time monitored buildings in California
172 (CESMD 2017) subjected from 1987 to different earthquakes (Table 1).

173

174 Table 1

175

176 Figure 3

177

178 Overturning risk analyses have been performed by employing Kaneko and Hayashi (2004) formu-
179 lation using floor accelerations. For each one of the 50 cases, the furniture has been placed with
180 the shortest side parallel to the direction of the sensor that recorded the floor motion (Figure 3a).
181 Figure 3b shows the results in terms of risk of overturning R for each ID case in Table 1 where
182 three buildings (ID 003, 004, 005) highlight *very high* overturning risk, in particular at the top
183 floor. However, it is also worth underlining how, sometimes, the discrepancies in terms of R be-
184 tween two channels from sensors located at the same floor are very large. E.g., the results obtained
185 for ID 003 shows a strange behavior at the roof floor: one channel determines a very high R , while
186 for the others signals on the same floor the risk is rather negligible. The same consideration can be
187 done also for building ID 004.

188

189 ***3.2 DETAILED ANALYSIS***

190 Because of the highlighted discrepancies, a detailed analysis using Housner's formulation has been
191 performed for the three buildings where overturning risk was the highest. The overturning risk is
192 re-evaluated through direct integration and the results are compared to those computed by Kaneko
193 and Hayashi approach.

194

195 **3.2.1 Buildings description**

196 The office building ID 003 (Figure 4a) is placed in San José (California). The building is founded
197 on rocks and is part of five rectangular structures (1 spine and 4 wings), separated by expansion
198 joints. It was equipped with 10 accelerometers, placed on three levels in the building and at a
199 reference free-field station. The vertical load carrying system is made of concrete over steel deck
200 supported by steel frames, while the lateral force resisting system consists in a moment resisting
201 steel frame.

202 Building ID 004 (Figure 4b) is an Hospital located in Palm Spring, California. The building has a
203 rectangular plan and is founded on rocks. It was equipped with 13 accelerometers, placed on four
204 levels in the building. The vertical load carrying system is made of reinforced concrete slabs, while
205 the lateral force resisting system consists in a moment resisting steel frame.

206

207 Figure 4

208

209 South of San Francisco office building ID 005 has a rectangular plane as shown in Figure 4c. It
210 was equipped with 11 accelerometers, placed on four levels in the building. The vertical load car-
211 rying system is made of a moment-resistant steel frame, as the lateral force resisting one. The
212 foundation is made of 15-21 m deep reinforced concrete piles.

213

214 **3.2.2 Comparison of numerical models to assess risk of overturning**

215 The estimation of the overturning risk using the direct integration analysis is computationally de-
216 manding and for every channel, it is necessary to solve step by step the Housner's differential
217 equation. The results of the comparison between the *simplified* (Kaneko and Hayashi) and the
218 *direct integration* (Housner) approaches are presented in Table 2. The same table reports also the
219 *proposed formulation* outcomes that will be discussed at next Section 4.

220 The risk of overturning obtained with the direct integration method has been computed for the
221 adopted deterministic model of Housner through a Montecarlo simulations where the input has
222 been limited to a fixed number of events (100 earthquake records) that are spectrum compatible
223 with the recorded acceleration signal. Figure 5 provides as example the ACCHAN04 at building
224 003 case. The numerical results obtained through the direct integration method show that the risks
225 of overturning for furniture located on the same floor are coherent. This can be noticed at the fourth
226 floor of the building 003, as well as at the fifth floor of the building 004, where the discrepancies
227 observed with the simplified approach are resolved with the direct integration one. Furthermore,
228 from the last approach, higher overturning risks arise.

229

230 Figure 5

231

232 Table 2

233

234 **3.2.3 Dependency on the furniture slenderness**

235 The slenderness of the furniture plays a crucial role in the overturning phenomena. Therefore, the
236 comparison between the simplified approach and the Housner's formulation through direct inte-
237 gration has been extended by ranging the dimension of the base of the furniture. The overturning
238 risk is presented in Figure 6, where the base of the furniture is shown in abscissa, while in ordinate
239 the peak floor accelerations are presented. The contour plots in Figure 6 have been drawn using
240 the following procedure: (i) the risk of overturning for all the channels inside the considered build-
241 ing is evaluated using the procedure described in previous section; (ii) the channel with the higher
242 risk of overturning is selected for each building; (iii) the selected channel records are scaled to
243 different values of PFA to be used in the risk analyses.

244

245 Figure 6

246

247 The discrepancies highlighted by the simplified approach in Table 2 are confirmed also in Figure
248 6 where an irregular trend is marked, with respect to the consistent variation of the direct integra-
249 tion outcomes.

250 The irregular behavior of Kaneko and Hayashi formulation is clearly visible in all three buildings.

251 An explanation of this trend is due to the presence of a switch operator in Equation 5. It depends
252 on the equivalent floor frequency value and the furniture boundary frequency value, respectively
253 F_f and F_b [Hz]. The first one depends on the ground motion characteristic while the second one
254 depends on the geometric characteristics of the furniture. If the floor frequency is higher than the
255 furniture frequency, the overturning risk is a function of the peak floor acceleration. Conversely,
256 if the furniture frequency is higher than the furniture frequency, the overturning risk is a function
257 of the peak floor velocity.

258 The results obtained with Housner's formulation show a more regular trend. Considering the in-
259 trinsic nonlinearities of the problem and the analytical formulation, the obtained results can be
260 considered more accurate. Furthermore, as expected, the Housner's formulation presents compa-
261 rable results at different locations on the same floor.

262

263 4. PROPOSED FORMULATION

264 Because of the highlighted discrepancies between the simplified formulas proposed by Kaneko
265 and Hayashi, a new equation has been proposed by fitting the data obtained by the Housner's
266 mechanical model.

267 The aim of this new formula is to provide a way to estimate the risk of furniture overturning inside
268 a building reducing the computational time with respect to the direct integration method and
269 achieving consistent and reliable results. The proposed formulation includes the major variables
270 of the problem, as the furniture's dimensions and the peak floor acceleration.

271 Several polynomial functions have been analyzed with a surface fit process that fits and ranks a
272 series of equations, such as polynomials, to find the best equation that describe the reference val-
273 ues. At the end of the process the following Taylor Series Polynomial has been selected:

$$274 \quad z = a + \frac{b}{x} + cy + \frac{d}{x^2} + ey^2 + f \frac{y}{x} \quad (8)$$

275 Taylor Series approach is a worthy approximation for a continuous function as that one herein
276 assumed. Indeed, the continuity of the problem can be theoretically evaluated through the analyt-
277 ical approaches for the hazard modeling (e.g., Cao et al. 1996, Crowley and Bommer 2006) and
278 the fragility functions of mechanical components (e.g., Petrone et al., 2017 for blocks). Following
279 the PEER (Pacific Earthquake Engineering Research) approach and the discussion by Der Kiu-
280 reghian (2005), the computation of failure probability for a mechanical component under seismic
281 loading can be cast into the “PEER probability approximation” formula (Perotti et al., 2013). The
282 continuity of the problem has been also numerically evaluated for the proposed approach by ana-
283 lyzing the fitting surface.

284 Connected to the overturning behavior, which represents a potential resulting phase of the rigid
285 body motion, is the preliminary phase of rocking response that was deeply investigated (numeri-
286 cally) by Lin et al. in 90s (e.g., Yim and Lin, 1991). Contrary to previous belief, the lack of stable
287 periodic responses does not necessary imply overturning and quasi-periodic and chaotic responses
288 may result. Furthermore, it has been also demonstrated that the rocking response of rigid objects
289 can be very sensitive to the system parameters and the ground-motion details. It means that some
290 experiments could result unrepeatable and probabilistic trends can only be established with a large
291 sample size.

292 Equation (8) has been re-written to include the major variables of the problem:

$$293 \quad OR = a + \frac{b}{(B/H)} + c \cdot (PFA) + \frac{d}{(B/H)^2} + e \cdot (PFA)^2 + f \frac{(PFA)}{(B/H)} \quad (9)$$

294 where variables PFA [cm/sec²], H [cm] and B [cm] remain the same previously described. The six
295 coefficients a , b , c , d , e , f have been estimated through Ordinary Least Squared method over the

296 recorded data. The overturning risk R for the three buildings evaluated with Housner's formulation
297 have been used as target to determine the unknown coefficients. The final coefficients at the end
298 of the process are the following: $a = -0.97758451$, $b = 0.30856415$, $c = 0.0032112873$, $d = -$
299 0.018590461 , $e = -0.000001941$, $f = -0.00010152672$. Figure 7 reports the comparison between
300 the results of the Housner's model and the proposed formula, where in the X-axis is the ratio B/H
301 and in the Y-axis, are the recorded peak floor accelerations in the steel buildings.

302

303 Figure 7

304

305 Figure 8

306

307 Table 2 reports the comparison of overturning risk computed by the different formulations for all
308 buildings. The overturning risk evaluated with the proposed formulation is homogeneous inside a
309 single floor and the number of overturning phenomena is consistent with the number of overturn-
310 ing determined using Housner's model. It can be noticed that the proposed formulation in Figure
311 8 shows regular trend, consistently reproducing the Housner's results (Figure 6) for different fur-
312 niture slenderness.

313

314 5. COMPARISON WITH EXPERIMENTAL DATA

315 To evaluate the consistency of the proposed formula, a comparison with literature experimental
316 data (Purvance et al. 2008) has been performed. The tests were implemented on an unidirectional
317 shaking table and consisted of scaling acceleration time histories from 0.1g, in 0.025g increments,
318 to the point where each block overturned at least once. The overturning responses of several sym-
319 metric blocks have been investigated (Table 3): the wooden blocks W1, W2, and W3 have the
320 exact dimensions of the aluminum blocks AL1, AL2, and the granite block G, respectively. The

321 blocks IB0, IB2, and IB4 consist of ~1.2m tall steel I-beam sections with masses symmetrically
322 affixed to vary their geometries. Additional details can be found in (Purvance et al. 2008).

323 The results of the comparison are detailed in the same Table 3 with objects dimensions, the over-
324 turning PGA in terms of mean, maximum and minimum values over ten tests for each sample. It
325 can be noted a satisfactory compatibility between the laboratory overturning conditions and the
326 estimated overturning risk (*very high* for mean and maximum PGAs, *high* for minimum PGAs).

327

328 **6. CONCLUDING REMARKS**

329 A simplified formula to locate and measure the risk of overturning for a rigid block in buildings
330 is identified following a surface fit process. The proposed approach is compared with two models,
331 the Housner and the simplified formulation by Kaneko and Hayashi. The overturning risk has been
332 evaluated using real-time monitored buildings and different furniture dimensions. Moreover, the
333 consistency of the new formula has been evaluated through a comparison with the results of shak-
334 ing table tests on different rigid blocks.

335 With respect to the state of the art on overturning risk assessment, the new formula gives more
336 consistent results, clearly stable and homogeneous on specific floors of the structure where, on the
337 contrary, the Kaneko and Hayashi formulation highlights unrealistic discrepancies. The proposed
338 formula has also proven to be able to locate different risk of overturning at different floors of a
339 given building.

340 The main advantage of the proposed formulation is also to reduce the computational time with
341 respect to direct integration methods without losing accuracy in the results.

342

343 **ACKNOWLEDGEMENTS**

344 The authors declare no conflict of interest in preparing this article.

345

346

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Table 1. Real-time monitored buildings and floor motion data

<i>ID</i>	<i>Station</i>	<i>No. of Height</i>		<i>Earthquake</i>	<i>PGA (g)</i>	
		<i>Stories</i>	<i>(in.)</i>		<i>Transv.</i>	<i>Long.</i>
001	Pasadena (Office bldg)	12	2016	Northridge, 17 Jan. 1994	0.135	0.234
002	Burbank (Commercial bldg)	6	990	Whittier, 1 Oct. 1987	0.17	0.23
003	San Jose (Office bldg)	3	594	LomaPrieta, 17 Oct. 1989	0.18	0.2
004	Palm Springs (Hospital)	4	954	Palm Springs, 8 July 1986	0.16	0.19
005	South San Francisco (Office bldg)	4	726	Loma Prieta, 17 Oct. 1989	0.16	0.14
006	Richmond (Office bldg)	3	554	Loma Prieta, 17 Oct. 1989	0.11	0.08
007	San Jose (Gov. Office bldg)	13	2527	Loma Prieta, 17 Oct. 1989	0.087	0.098
008	San Bernardino (Office bldg)	3	496	Landers, 28 June 1992	0.11	0.08
009	Burbank (Commerce bldg)	6	990	Sierra Madre, 28 June 1991	0.11	0.12
010	San Rafael (Hospital)	5	1110	Bolinas, 17 Aug. 1999	0.107	0.082
011	Pasadena (Office bldg)	12	2016	Whittier Narrows, 16 March 2010	0.045	0.11
012	San Bernardino (Office bldg)	3	496	San Bernardino, 08 Jan. 2009	0.1	0.08
013	San Bernardino (Commercial bldg)	9	1411	Landers, 28 June 1992	0.068	0.088
014	Pasadena (Office bldg)	12	2314	Northridge 17 Jan. 1994		
015	Pasadena (Office bldg)	12	2016	Chino Hills, 29 July 2008	0.08	0.06
016	San Bernardino (Hospital)	5	828	Northridge, 17 Jan. 1994	0.046	0.057
017	Redlands(Commercial bldg.)	7	1253	Landers, 28 June 1992	0.06	0.07
018	San Bernardino (Hospital)	5	828	Landers, 28 June 1992	0.08	0.08
019	Lancaster (Hospital)	5	942	Landers, 28 June 1992	0.08	0.05
020	Chatsworth (Commercial bldg)	2	482	Chino Hills, 29 July 2008	0.07	0.04
021	Long Beach (Office bldg)	7	1248	Whittier, 1 Oct. 1987	0.07	0.04
022	Lancaster (Hospital)	5	942	Landers, 28 June 1992	0.055	0.07
023	San Bernardino (Hospital)	5	828	Big Bear, 28 June 1992	0.06	0.07
024	Los Angeles (Residential bldg)	32	4214	Chino Hills, 29 Jul 2008	0.065	0.06
025	Long Beach (Gov. Office bldg)	15	3456	Whittier, 1 Oct. 1987	0.055	0.041
026	Long Beach (Gov. Office bldg)	15	3456	Inglewood, 17 May 2009	0.059	0.043
027	San Bernardino (Office bldg)	3	496	Chino Hills, 29 July 2008	0.052	0.047
028	Chatsworth (Commercial bldg)	2	482	Chatsworth, 09 Aug. 2007	0.04	0.046

029	San Jose (Gov. Office bldg)	13	2527	Morgan Hill, 24 April 84	0.039	0.036
030	San Jose (Office bldg)	3	594	Alum Rock, 30 Oct. 2007	0.034	0.027
031	Palm Springs (Hospital)	4	954	Calexico, 04 April 2010	0.04	0.02
032	San Bernardino (Hospital)	5	828	Chino Hills, 29 July 2008	0.0265	0.036
033	San Bernardino (Office bldg)	3	496	Lake Elsinore, 02 Sept. 2007	0.036	0.031
034	San Diego (Commercial bldg)	22	3804	Calexico, 04 April 2010	0.034	0.026
035	Palm Springs (Hospital)	4	954	Borrego Springs, 07 July 2010	0.03	0.03
036	Los Angeles (Residential bldg)	32	4214	Whittier Narrows, 16 March 2010	0.028	0.033
037	Redlands (Commercial bldg)	7	1253	Redlands, 13 Feb. 2010	0.0255	0.026
038	San Bernardino (Office bldg)	3	496	Whittier, 1 Oct. 1987	0.029	0.024
039	South San Francisco (Office bldg)	4	726	Morgan Hill, 24 April 84	0.03	0.02
040	Burbank (Commerce bldg)	6	990	Chino Hills, 29 July 2008	0.028	0.029
041	San Bernardino (Hospital)	5	828	Big Bear City, 22 Feb. 2003	0.0125	0.023
042	San Bernardino (Office bldg)	3	496	Calexico, 04 April 2010	0.0221	0.0179
043	Long Beach (Gov. Office bldg)	15	3456	Chino Hills, 29 July 2008	0.013	0.021
044	San Bernardino (Office bldg)	3	496	Borrego Springs, 07 July 2010	0.0179	0.0169
045	Lancaster (Hospital)	5	942	Big Bear City, 22 Feb. 2003	0.009	0.008
046	San Diego (Commercial bldg)	22	3804	Borrego Springs, 07 Jul. 2010	0.0155	0.0157
047	Los Angeles (Residential bldg)	32	4214	Inglewood, 17 May 2009	0.008	0.0155
048	Gilroy (Hospital)	2	372	San Martin, 15 June 2006	0.016	0.012
049	Richmond (Office bldg)	3	554	Piedmont, 20 July 2007	0.015	0.013
050	Redlands (Commercial bldg)	7	1253	Calexico, 04 April 2010	0.0112	0.0125

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476 **Table 2.** Comparison of overturning risk from the considered formulations: the simplified
 477 (Kaneko and Hayashi), the direct integration (Housner) and the proposed one. Buildings ID 003,

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ID 004 and ID 005.

<i>Story</i>	<i>CHANNEL</i>	<i>Simplified</i>	<i>Direct integration</i>	<i>Proposed</i>
<i>ID 003</i>				
1	ACCCHAN2	0%	29%	35%
1	ACCCHAN3	0%	37%	27%
1	ACCCHAN4	0%	36%	36%
3	ACCCHAN5	65%	48%	69%
3	ACCCHAN6	14%	53%	54%
3	ACCCHAN7	65%	71%	69%
4	ACCCHAN8	10%	83%	87%
4	ACCCHAN9	2%	100%	79%
4	ACCCHAN10	100%	99%	92%
<i>ID 004</i>				
5	ACCCHAN2	96%	100%	82%
5	ACCCHAN3	1%	100%	75%
5	ACCCHAN4	30%	71%	60%
3	ACCCHAN5	4%	77%	47%
3	ACCCHAN6	0%	59%	44%
2	ACCCHAN7	0%	37%	40%
2	ACCCHAN8	0%	40%	34%
5	ACCCHAN10	18%	100%	90%
3	ACCCHAN11	41%	77%	63%
2	ACCCHAN12	2%	56%	45%
<i>ID 005</i>				
1	ACCCHAN4	0%	42%	23%
1	ACCCHAN5	0%	45%	34%
2	ACCCHAN6	4%	59%	48%

2	ACCCHAN7	0%	50%	37%
2	ACCCHAN8	10%	71%	52%
5	ACCCHAN9	99%	100%	89%
5	ACCCHAN10	98%	77%	86%
5	ACCCHAN11	100%	100%	92%

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Table 3. Comparison of the proposed formula and the results of overturning shaking table tests.

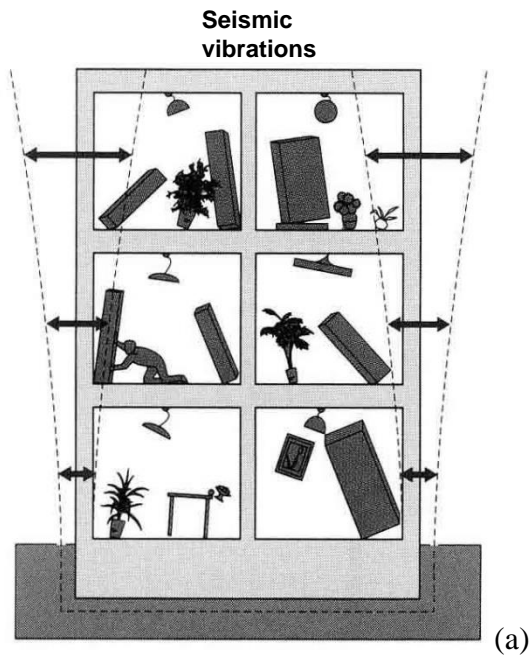
Sample	B/H	Overturning PGA from Lab tests & RISK from the new formula					
		Mean [g]	OR	Max [g]	OR	Min [g]	OR
AL1	0.32	0.41	0.66	0.58	0.82	0.23	0.36
AL2	0.14	0.19	0.67	0.24	0.76	0.14	0.58
W1	0.32	0.43	0.68	0.58	0.82	0.23	0.36
W2	0.14	0.18	0.66	0.25	0.77	0.1	0.50
W3	0.39	0.5	0.67	0.61	0.76	0.4	0.55
G	0.39	0.52	0.69	0.73	0.81	0.41	0.56
IB0	0.30	0.49	0.78	0.9	0.87	0.33	0.57
IB2	0.21	0.34	0.76	0.5	0.94	0.23	0.59
IB4	0.28	0.39	0.69	0.76	0.93	0.24	0.45

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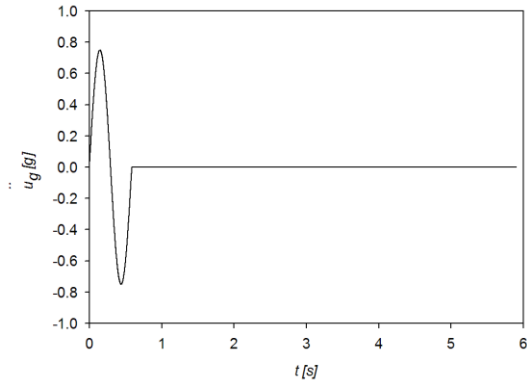


(b)

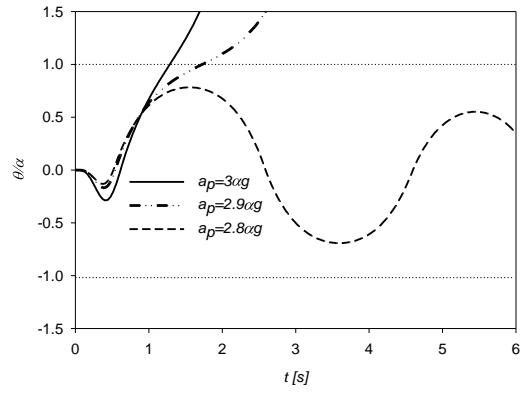


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496 **Fig. 1.** (a) Overturned furniture and falling flying objects examples. (b,c) Experiences in Mirandola dur-
497 ing 2012 Emilia Earthquake, Italy (Cimellaro et al., 2012; 2014).
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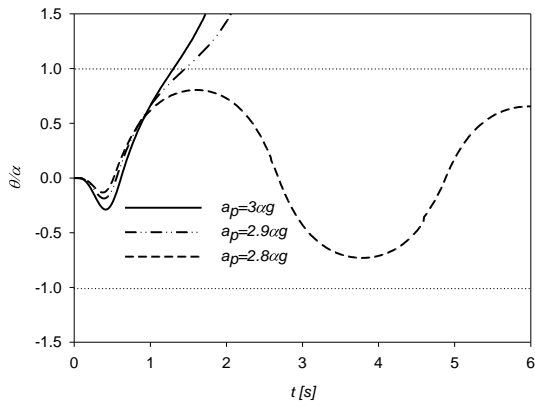


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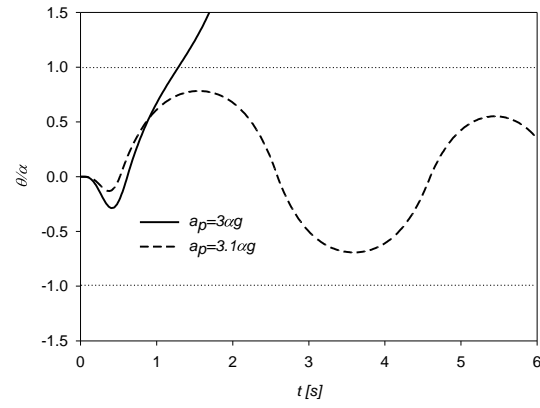
(a)

(b)



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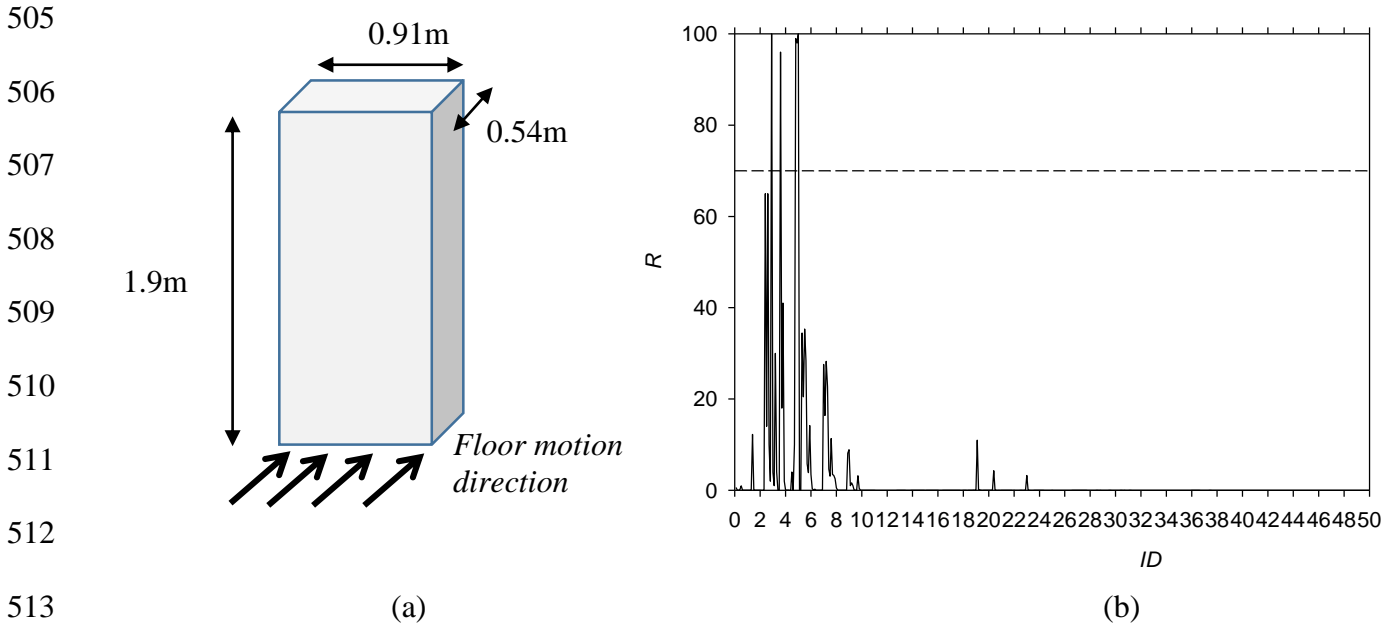
(c)



(d)

501 **Fig. 2.** Comparison of the numerical results of Housner's model subjected to a sinusoidal input using dif-
 502 ferent integration methods: (a) input, (b) ODE45, (c) ODE23s, (d) Zhang and Makris (2001).
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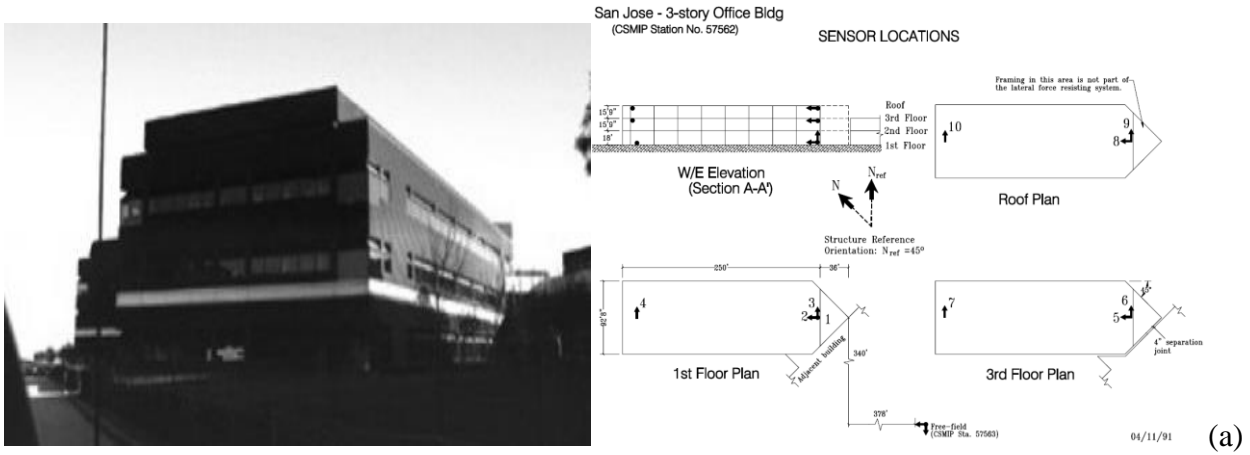
514 **Fig. 3.** Geometry of furniture and floor motion direction (a). Overturning risk results (b) from

515 Kaneko and Hayashi (2004).

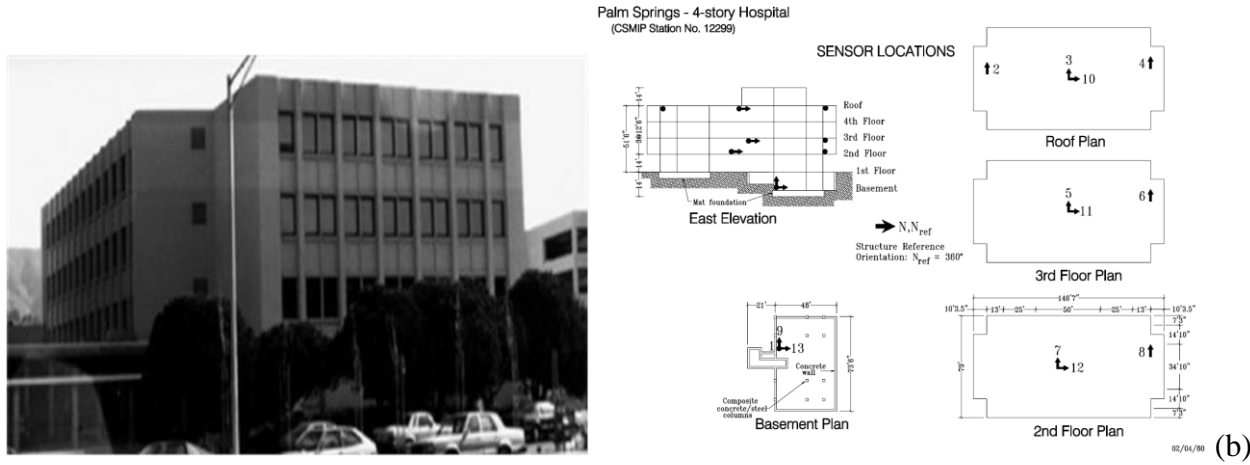
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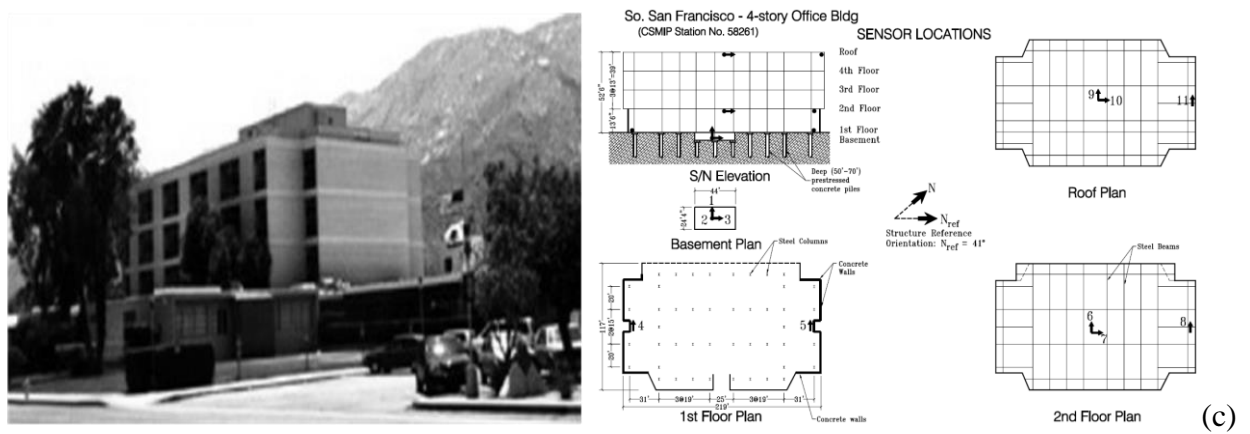
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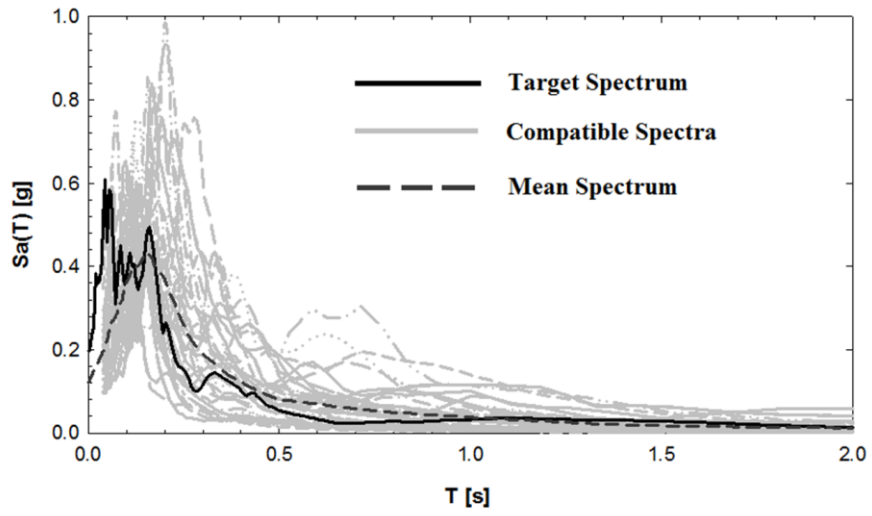
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521 **Fig. 4.** San José 3-story office building ID 003 (a), Palm Spring 4-story hospital ID 004 (b), South

522 San Francisco 4-story office building ID 005 (c).

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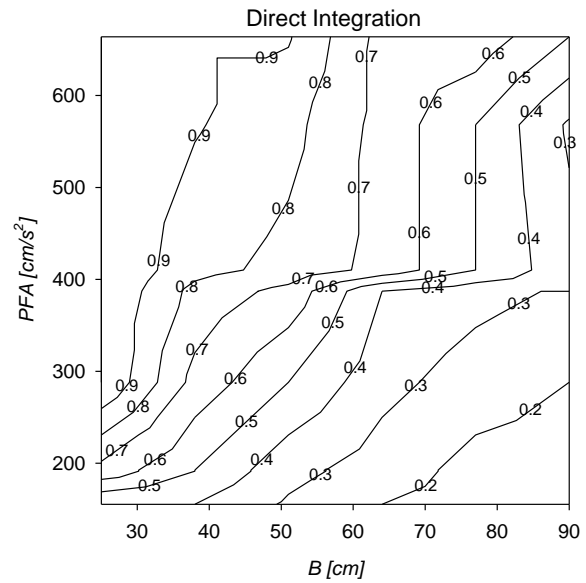
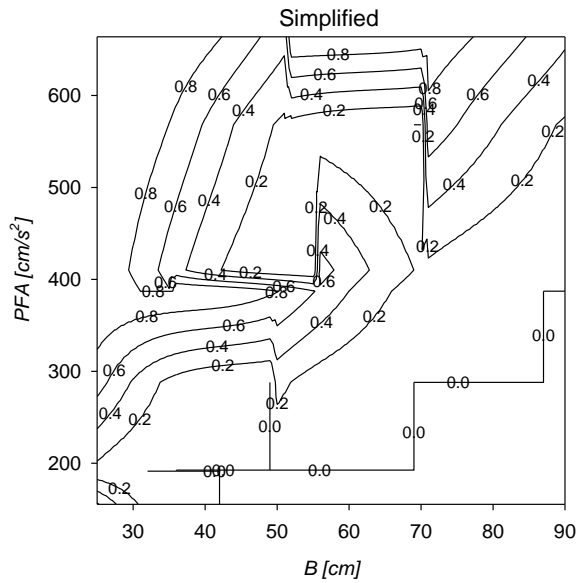
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525 **Fig. 5.** Spectrum compatible ground motion input used in the Montecarlo analysis.

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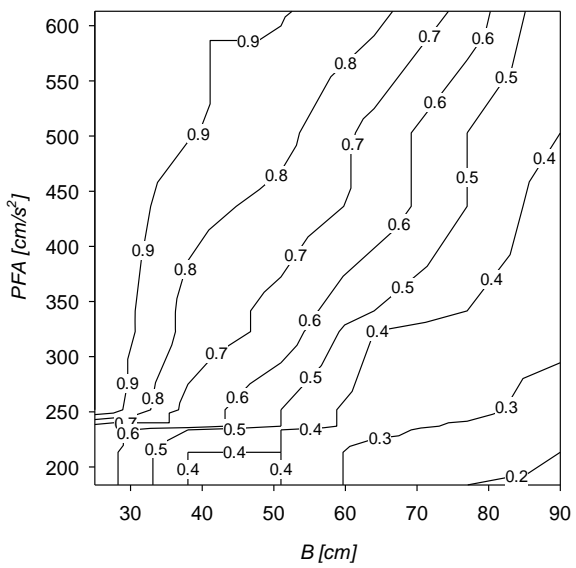
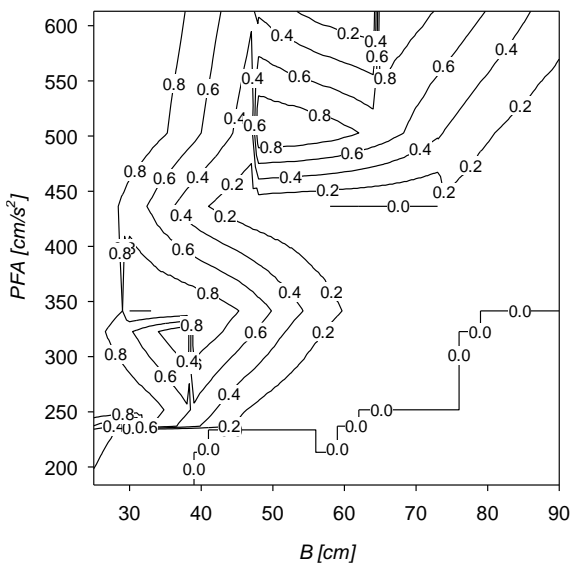
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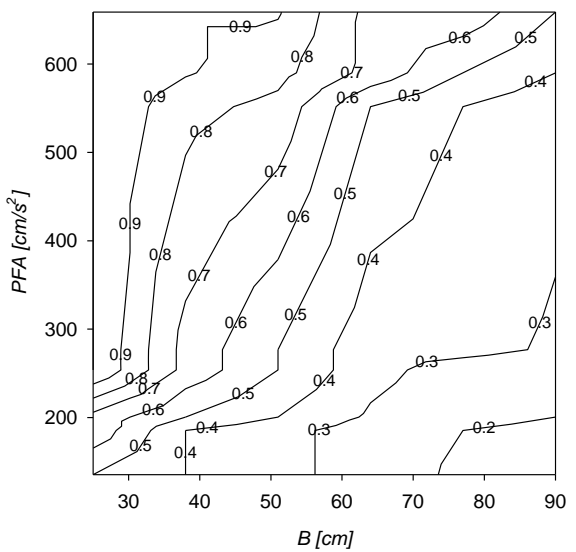
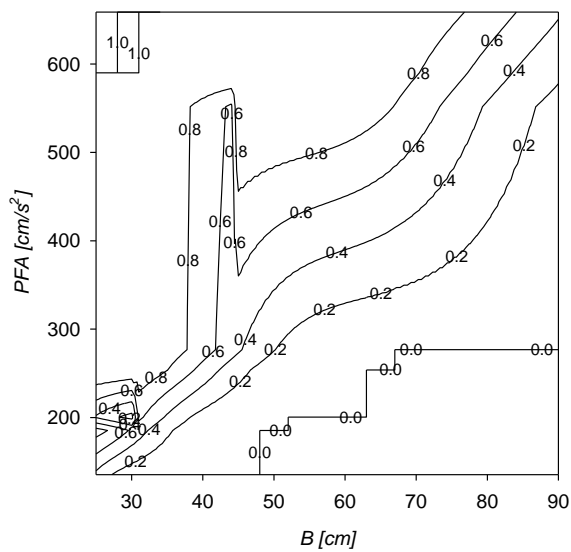
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(a)



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(b)

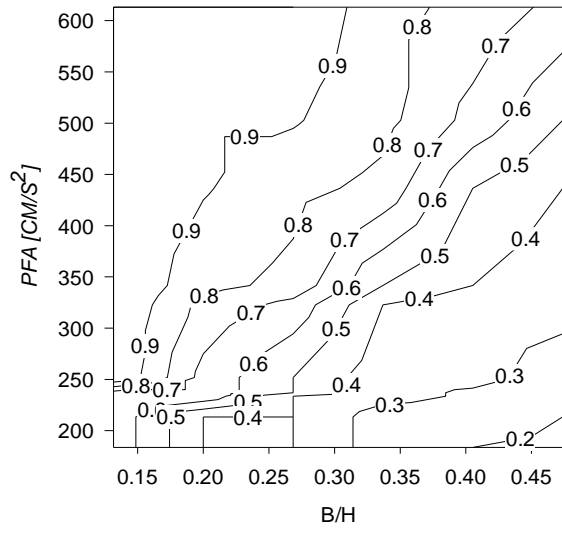
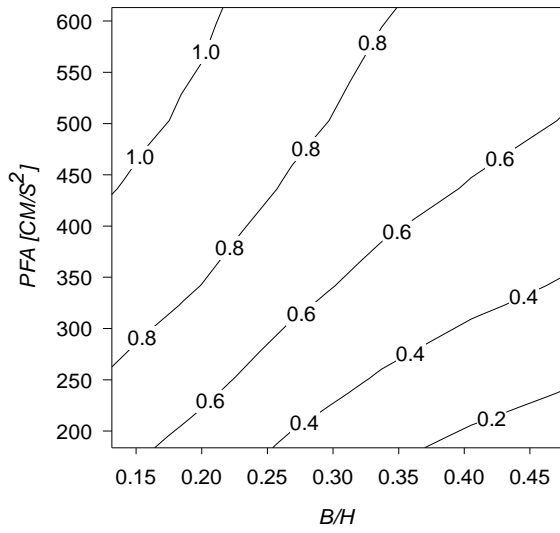


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(c)

532 **Fig. 6.** Comparison of overturning risk R between the simplified approach (left) and the direct
 533 integration analysis (right) for different furniture slenderness. San José 3-story office building ID
 534 003 (a), Palm Spring 4-story hospital ID 004 (b), South San Francisco 4-story office building ID
 535 005 (c).

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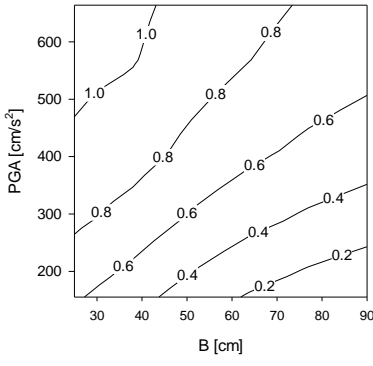
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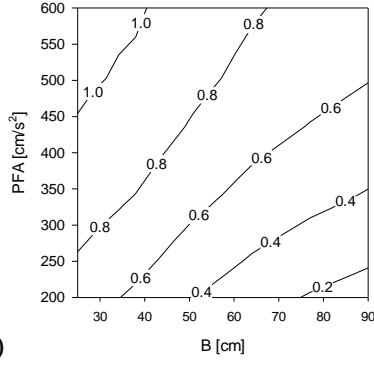
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Fig. 7. Comparison between the results of the proposed formula (a) and the Housner's model (b).

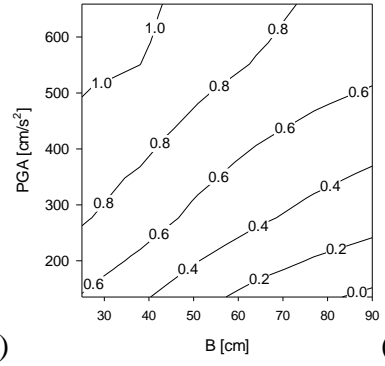
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(a)



(b)



(c)

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542 **Fig. 8.** Overturning risk R from the proposed formulation. San José building ID 003 (a), Palm

543 Spring hospital ID 004 (b), South San Francisco building ID 005 (c).

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