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# A Generalised Algorithm for Anelastic Processes in Elastoplasticity and Biomechanics\*

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## Abstract

A computational algorithm for solving anelastic problems in finite deformations is introduced. The presented procedure, termed Generalised Plasticity Algorithm (GPA) hereafter, takes inspiration from the Return Mapping Algorithm (RMA), which is typically employed to solve the Karush-Kuhn-Tucker (KKT) system arising in finite Elastoplasticity, but aims to modify and extend the RMA to the case of more general flow rules and strain energy density functions as well as to non-classical formulations of Elastoplasticity, in which the plastic variables are not treated as internal variables. To assess its reliability, the GPA is tested in two different contexts. Firstly, it is used for solving two classical problems (a shear-compression test and the necking of a circular bar). In both cases, the GPA is compared to the RMA in terms of structural set-up, computational effort and flexibility, and its convergence is evaluated by solving several benchmarks. Some restrictions of the classical form of the RMA are pointed out, and it is shown how these can be overcome by adopting the proposed algorithm. Secondly, the GPA is applied to characterise the mechanical response of a biological tissue that undergoes large deformations and remodelling of its internal structure.

**Keywords:** Finite Strain Elastoplasticity, Return Mapping Algorithm, Generalised Plasticity Algorithm.

## 1 Introduction

Anelastic processes constitute a widely investigated research subject of both theoretical and computational Mechanics. They play an important role in the characterisation of the mechanical response of continuum bodies that undergo reorganisations of their internal structure, besides deforming under the action of applied stimuli.

The interest in the evolution of the internal structure of continuum bodies ranges over various physical contexts, including industrial and biomechanical problems. In the case of industrial applications, a confident description of the elastoplastic behaviour of building materials, such as metals, is necessary to characterise their mechanical properties under

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\*Dedicated to Prof. R. A. Toupin, in recognition of his contributions to science.

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33 severe working conditions. In Biomechanics, the mathematical description of anelastic  
34 processes is required, for instance, to study the growth and remodelling (structural adap-  
35 tation) of biological tissues. These phenomena are of great importance in the evolution  
36 and differentiation of tissues both in physiological and pathological situations, and apply  
37 to bone, articular cartilage, blood vessels and tumours. In all these cases, efficient and  
38 robust numerical methods have to be supplied to simulate reliably the material response.

39 Although the physics behind the onset of anelastic distortions in industrial materials  
40 is very different from that inherent in biological tissues, the mathematical models and  
41 the computational strategies addressing anelastic problems share many common features,  
42 and take inspiration from the Theory of Elastoplasticity, a rich research theme to which  
43 many authors have contributed (cf., e.g., [1, 2] and the references therein), and in which  
44 many efforts have been put for developing numerical methods (cf., e.g., [3, 4, 5, 6, 7, 8, 9]).  
45 In addition, reference should be made to the fundamental theories of Toupin [10] and  
46 Mindlin [11, 12].

47 To the best of the authors' knowledge and understanding, the crucial differences among  
48 the various models of Elastoplasticity arise when the issues of plastic flow and hardening  
49 are addressed. Taking for granted the Bilby-Kröner-Lee (BKL) multiplicative decomposi-  
50 tion of the deformation gradient into an elastic and a plastic part, and describing hardening  
51 through a suitable hardening variable (in general, a second-order tensor field), the classical  
52 models of Elastoplasticity often treat the tensor of plastic distortions and the hardening  
53 variable as internal variables (cf., e.g., [13, 14, 15]). This is, however, not always the case.  
54 Indeed, both in Elastoplasticity and in the Biomechanics of tissue remodelling, there exist  
55 theories in which the tensor of plastic distortions is viewed as a kinematic entity that,  
56 together with the standard motion, determines the kinematics of a body [16, 17]. Another  
57 aspect, in which models of Plasticity differ from each other, is the formulation of the flow  
58 rule. Many models assume associative flow rules, which means that the plastic strain rate  
59 is derivable from the function defining the yield surface of the considered material [1]. In  
60 other circumstances, instead, non-associative flow rules must be considered (cf., e.g., [18]).

61 In Biomechanics, the BKL decomposition was introduced by Rodriguez *et al.* [19],  
62 who associated the processes of growth and remodelling with the occurrence of anelastic  
63 distortions. In [20], the anelastic distortions accompanying growth were interpreted as  
64 “local rearrangement of material inhomogeneities”, and their evolution was shown to be  
65 driven by the Mandel stress tensor. In the theories of tumour growth [21] and remodelling  
66 of cellular aggregates [22], the “evolving natural configurations” [23] were exploited to  
67 define the anelastic distortions related with these processes.

68 A common computational method used to solve elastoplastic problems is the Return  
69 Mapping Algorithm (RMA). In its classical form, the RMA is a closest point projection  
70 method, presented under the hypotheses of associative flow rule and isotropic elastoplastic  
71 material behaviour [15]. The elastoplastic problem is reduced to a constrained optimisation  
72 problem, subjected to a set of Karush-Kuhn-Tucker (KKT-) conditions. Other algorithms  
73 have their origin in optimisation theory, like, e.g., the methods of Sequential Quadratic  
74 Programming (SQP) [24].

75 This manuscript sets itself two scopes. The first one is to present an algorithm that,  
76 on the one hand, can be applied to complex, non-linear anelastic problems (such as those  
77 involving the derivatives of plastic distortions) and that, on the other hand, may serve  
78 as a basis for developing an efficient solver for Structural Mechanics. Since it has been  
79 conceived as a generalisation of the classical RMA, and it has been applied for solving  
80 both elastoplastic problems of industrial interest and biomechanical problems of tissue  
81 remodelling, the proposed procedure has been named Generalised Plasticity Algorithm

82 (GPA). The GPA accounts for geometric and kinematic non-linearities, as well as for the  
 83 non-linear constitutive behaviour of the considered materials.

84 The GPA is formulated in two contexts. In the first one, it aims to be an alternative to  
 85 the classical RMA for elastoplastic models that fail to comply with all the hypotheses on  
 86 which the standard RMA is based. To encompass more general flow rules, and to account  
 87 for the cases in which the flow rules cannot be decoupled from the weak form of the  
 88 momentum balance law, the GPA requires a linearisation with respect to the deformation  
 89 and one with respect to the tensor of anelastic distortions. This means that, compared  
 90 with the classical RMA, an additional linearisation iteration is performed in the GPA. In  
 91 contrast to the SQP method, the GPA is not found by formulating a sequence of quadratic  
 92 subproblems. Rather, the KKT-system is linearised with respect to the deformation and  
 93 the tensor of anelastic distortions in the full non-linear elastoplastic regime.

94 The second scope of this work is to highlight the connection between mathematical  
 95 modelling and numerics. Indeed, the GPA, which is inspired by the theories developed  
 96 in [16, 17], stems from the fact that a model in which the standard motion and the  
 97 anelastic distortions are viewed as equally ranked kinematic descriptors (rather than as  
 98 a kinematic descriptor and an internal tensor variable) naturally requires a reformulation  
 99 of the Principle of Virtual Powers. This, in turn, leads to the necessity of adapting the  
 100 already well-established numerical methods of inelastic processes to more general solution  
 101 strategies, thereby including novel discretisation schemes and linearisation algorithms.

102 Although the computational effort required by the GPA is higher than that of the  
 103 RMA, the GPA seems to be more versatile and applicable to a wider variety of flow rules,  
 104 elastoplastic behaviours, formulations of Elastoplasticity, and biomechanical problems.

105 The paper is organised as follows. Section 2 summarises the theoretical basis of the  
 106 work. In section 3, all constitutive assumptions are reviewed in detail. In section 4, the two  
 107 types of problems addressed in the paper, referred to as ‘Pr1’ and ‘Pr2’, are formalised.  
 108 Section 5 is dedicated to review the RMA, while the proposed algorithm, the GPA, is  
 109 presented in section 6. The problem ‘Pr1’ encompasses the von Mises  $J_2$  theory of isochoric  
 110 and associative plasticity, and is solved by applying both the standard RMA and the  
 111 GPA in order to evaluate the functionality of the latter algorithm. The problem ‘Pr2’ is  
 112 formulated in a more general framework, and its applicability to the biomechanical context  
 113 is evidenced. The numerical results are shown in section 7, where the differences between  
 114 the GPA and the RMA are discussed in detail. The philosophy of the work and some ideas  
 115 for future research are discussed in section 8.

## 116 2 Theoretical Background

117 The formalism adopted hereafter follows [25], with some modifications. In the following,  
 118  $\mathcal{B}$  is the three-dimensional manifold describing a solid body,  $\mathcal{S}$  is the three-dimensional  
 119 Euclidean space and  $J \subseteq \mathbb{R}$  is the interval of time over which the evolution of the body is  
 120 observed. A motion is the one-parameter family of smooth mappings  $\chi(\cdot, t) : \mathcal{B} \rightarrow \mathcal{S}$ , with  
 121  $t \in J$ . The set  $\mathcal{C}_t = \chi(\mathcal{B}, t) \subset \mathcal{S}$  is referred to as current configuration. For every  $X \in \mathcal{B}$   
 122 and  $t \in J$ , there exists a spatial point  $x \in \mathcal{C}_t$  such that  $x = \chi(X, t)$ . In the following,  $\mathcal{S}$  is  
 123 assumed to be equipped with the structure of affine space.

124 Given the space of free vectors  $\mathcal{V}$ , obtained by translating the points of  $\mathcal{S}$ , the space  
 125  $T_x\mathcal{S} = \{\mathbf{v}_x \in \mathcal{V} \mid \mathbf{v}_x = y - x, y \in \mathcal{S}\}$  is the tangent space of  $\mathcal{S}$  at  $x$ . Its dual space  $T_x^*\mathcal{S}$  is  
 126 the cotangent space at  $x$ . The disjoint unions  $T\mathcal{S} = \sqcup_{x \in \mathcal{S}} T_x\mathcal{S}$  and  $T^*\mathcal{S} = \sqcup_{x \in \mathcal{S}} T_x^*\mathcal{S}$  are the  
 127 tangent bundle and cotangent bundle, respectively. With analogous notation,  $T_X\mathcal{B}$  denotes  
 128 the tangent space of  $\mathcal{B}$  at  $X$ , and its dual space,  $T_X^*\mathcal{B}$ , is the cotangent space at  $X$ . Then,

129  $T\mathcal{B} = \sqcup_{X \in \mathcal{B}} T_X \mathcal{B}$  and  $T^*\mathcal{B} = \sqcup_{X \in \mathcal{B}} T_X^* \mathcal{B}$  are the tangent bundle and the cotangent bundle  
 130 of  $\mathcal{B}$ , respectively.

131 The velocity of a material particle passing through  $x = \chi(X, t)$  at time  $t$  is denoted  
 132 by  $\mathbf{v}(x, t) \in T_x \mathcal{S}$ . It holds that  $\mathbf{v}(x, t) = \mathbf{u}(X, t) = \dot{\chi}(X, t)$ , where the superimposed dot  
 133 stands for partial differentiation with respect to time, and  $\mathbf{u}(\cdot, t) : \mathcal{B} \rightarrow T\mathcal{S}$  is defined by  
 134  $\mathbf{u}(X, t) = \mathbf{v}(\chi(X, t), t)$ . The tangent map of  $\chi(\cdot, t)$  at  $X$ , with  $t \in \mathcal{J}$ , is the deformation  
 135 gradient tensor  $T\chi(X, t) = \mathbf{F}(X, t) : T_X \mathcal{B} \rightarrow T_{\chi(X, t)} \mathcal{S}$ , with  $J := \det(\mathbf{F}) > 0$  for all  $t \in \mathcal{J}$   
 136 and for all  $X \in \mathcal{B}$ .

137 Given the metric tensor  $\mathbf{g} : T\mathcal{S} \rightarrow T^*\mathcal{S}$ , the pull-back of  $\mathbf{g}$  through  $\chi$  is the right Cauchy-  
 138 Green deformation tensor  $\mathbf{C} = \mathbf{F}^T \cdot \mathbf{F} = \mathbf{F}^T \mathbf{g} \mathbf{F} : T\mathcal{B} \rightarrow T^*\mathcal{B}$ , with  $\mathbf{F}^T : T^*\mathcal{S} \rightarrow T^*\mathcal{B}$ . The  
 139 tensor  $\mathbf{G} : T\mathcal{B} \rightarrow T^*\mathcal{B}$  is the material metric tensor.

140 The second-order tensor field  $\boldsymbol{\ell}(\cdot, t) : \mathcal{B} \rightarrow T\mathcal{S} \otimes T^*\mathcal{S}$  is the velocity gradient expressed  
 141 in terms of the points of  $\mathcal{B}$ , i.e.  $\boldsymbol{\ell}(X, t) = \text{grad} \mathbf{v}(x, t)$ , with  $x = \chi(X, t)$ . It is related to the  
 142 material velocity gradient,  $\text{Grad} \mathbf{u} = \dot{\mathbf{F}}$ , through  $\boldsymbol{\ell} = \dot{\mathbf{F}} \mathbf{F}^{-1}$ . It holds that  $\dot{\mathbf{C}} = \mathbf{F}^T 2\mathbf{d}\mathbf{F}$ ,  
 143 where  $\mathbf{d} = \text{sym}(\boldsymbol{\ell}^\flat)$  denotes the symmetric part of  $\boldsymbol{\ell}^\flat = \mathbf{g}\boldsymbol{\ell} : T\mathcal{S} \rightarrow T^*\mathcal{S}$ .

144 Sometimes the kinematics of a continuum body is formulated in terms of one chosen  
 145 reference configuration rather than in terms of  $\mathcal{B}$ . Some words of caution on possible abuses  
 146 of the concept of ‘reference configuration’ are given in [17, 20, 26].

## 147 2.1 Bilby-Kröner-Lee Decomposition of the Deformation Gradient

148 One of the theoretical pillars of finite Elastoplasticity is the multiplicative decomposition  
 149 of  $\mathbf{F}$  into an elastic and a plastic part [27]:

$$\mathbf{F} = \mathbf{F}_e \mathbf{F}_p. \quad (1)$$

150 In (1),  $\mathbf{F}$  accounts for the global change of shape of the body,  $\mathbf{F}_p$  describes the total  
 151 plastic distortions responsible for the evolution of the body’s internal structure, and  $\mathbf{F}_e$   
 152 represents the total elastic distortion (in Kröner’s terminology [27], a ‘distortion’ is the  
 153 superposition of deformation and rotation). A thorough explanation of the physics be-  
 154 hind (1) can be found, e.g., in [2]. The tensor field  $\mathbf{F}_p(\cdot, t)$  transforms the body elements  
 155 of  $\mathcal{B}$  into a collection  $\mathcal{K}_t$  of stress-free body elements, which is referred as ‘body’s natural  
 156 state’. The whole elastic distortion,  $\mathbf{F}_e$ , is the distortion that has to be applied to the  
 157 elements of  $\mathcal{K}_t$  to get the global configuration  $\mathcal{C}_t$ . Since the body elements collected in the  
 158 conglomerate  $\mathcal{K}_t$  may become geometrically incompatible,  $\mathcal{K}_t$  does not generally form a  
 159 configuration in the Euclidean space. However, a continuous stress-free configuration can  
 160 be reconstructed in some suitably defined non-Euclidean space [2, 27], whose curvature is  
 161 induced by incompatibility. The body’s natural state is not unique, since it is defined up  
 162 to an orthogonal transformation [17, 28].

163 If (1) is viewed as the composition of tangent bundle maps [29], it is possible to in-  
 164 troduce the mapping  $\chi_\kappa(\cdot, t) : \mathcal{B} \rightarrow \mathcal{S}$  that serves as the base map for the bundle map  
 165  $\mathbf{F}_p$ . The set  $\mathcal{C}_\kappa = \chi_\kappa(\mathcal{B}, t) \subset \mathcal{S}$ , which represents the subregion of space  $\mathcal{S}$  associated  
 166 with the body’s natural state, is termed ‘intermediate configuration’. The total plastic  
 167 distortion can be identified with the map  $\mathbf{F}_p(X, t) : T_X \mathcal{B} \rightarrow T_{\chi_\kappa(X, t)} \mathcal{S}$ , even though  
 168  $\mathbf{F}_p$  is not the tangent map to  $\chi_\kappa$ . Accordingly, the total elastic distortion is written as  
 169  $\mathbf{F}_e(X, t) \equiv \mathbf{F}(X, t) \mathbf{F}_p^{-1}(X, t) : T_{\chi_\kappa(X, t)} \mathcal{S} \rightarrow T_{\chi(X, t)} \mathcal{S}$ . To complete the physical frame  
 170 within which  $\mathbf{F}_p$  and  $\mathbf{F}_e$  are conceived, the concepts of material uniformity and homo-  
 171 geneity should be discussed [26, 30, 31].

172 Granted the multiplicative decomposition (1), and denoting by  $\boldsymbol{\eta}(\xi)$  the metric tensor  
 173 associated with  $T_\xi \mathcal{S}$ , where  $\xi = \chi_\kappa(X, t)$ , one can define  $\mathbf{b}_e = \mathbf{F}_e \cdot \mathbf{F}_e^T = \mathbf{F}_e \boldsymbol{\eta}^{-1} \mathbf{F}_e^T$  and

174  $\mathbf{B}_p = \mathbf{F}_p^{-1} \boldsymbol{\eta}^{-1} \mathbf{F}_p^{-T}$ . The former is the left Cauchy-Green tensor generated by the elastic  
 175 distortions, while the latter is the inverse of  $\mathbf{C}_p = \mathbf{F}_p^T \cdot \mathbf{F}_p = \mathbf{F}_p^T \boldsymbol{\eta} \mathbf{F}_p$ , i.e. the right Cauchy-  
 176 Green tensor induced by the plastic distortions. It holds that  $\mathbf{b}_e = \mathbf{F} \mathbf{B}_p \mathbf{F}^T$ .

177 The decomposition (1) also implies that the velocity gradient  $\boldsymbol{\ell}$  splits additively as

$$\boldsymbol{\ell} = \boldsymbol{\ell}_e + \underbrace{\mathbf{F}_e \mathbf{L}_p \mathbf{F}_e^{-1}}_{:=\boldsymbol{\ell}_p} = \boldsymbol{\ell}_e + \boldsymbol{\ell}_p, \quad (2)$$

178 where  $\boldsymbol{\ell}_e = \dot{\mathbf{F}}_e \mathbf{F}_e^{-1}$  and  $\mathbf{L}_p = \dot{\mathbf{F}}_p \mathbf{F}_p^{-1}$  denote, respectively, the rates of elastic and plastic  
 179 distortions. The rates of  $\mathbf{b}_e$  and  $\mathbf{B}_p$  are related to each other by means of the expressions

$$\mathcal{L}_v \mathbf{b}_e = \mathbf{F} \overline{[\mathbf{F}^{-1} \mathbf{b}_e \mathbf{F}^{-T}]} \mathbf{F}^T = \mathbf{F} \dot{\mathbf{B}}_p \mathbf{F}^T, \quad (3a)$$

$$\dot{\mathbf{B}}_p = -\mathbf{F}^{-1} \mathbf{F}_e (\boldsymbol{\eta}^{-1} 2 \mathbf{D}_p \boldsymbol{\eta}^{-1}) \mathbf{F}_e^T \mathbf{F}^{-T}, \quad (3b)$$

180 where  $\mathcal{L}_v \mathbf{b}_e$  is the Lie derivative of  $\mathbf{b}_e$ , while  $\mathbf{D}_p = \text{sym}(\boldsymbol{\eta} \mathbf{L}_p)$  is the symmetric part of the  
 181 fully covariant tensor  $\boldsymbol{\eta} \mathbf{L}_p$ .

182 Another consequence of (1) is the decomposition  $J = J_e J_p$ , where  $J_e := \det(\mathbf{F}_e) > 0$   
 183 and  $J_p := \det(\mathbf{F}_p) > 0$  are the volumetric parts of the elastic and plastic distortions,  
 184 respectively. The time derivatives of  $J_e$  and  $J_p$  are related to the traces of  $\boldsymbol{\ell}_e$  and  $\boldsymbol{\ell}_p$  by  
 185 the expressions  $\dot{J}_e = J_e \text{tr}(\boldsymbol{\ell}_e)$  and  $\dot{J}_p = J_p \text{tr}(\mathbf{L}_p) = J_p \text{tr}(\boldsymbol{\ell}_p)$ . Furthermore, by defining the  
 186 deformation gradient tensor as  $\mathbf{F} = J^{1/3} \overline{\mathbf{F}}$  [32, 33], an expression is obtained in which  
 187  $J^{1/3} \mathbf{i}$  and  $\overline{\mathbf{F}}$  represent, respectively, the purely volumetric contribution and the volume-  
 188 preserving part of the overall deformation (here,  $\mathbf{i} : TS \rightarrow TS$  is the identity tensor in  $TS$ ).  
 189 Thus, from (1) and the identity  $J = J_e J_p$ , it follows that  $\overline{\mathbf{F}} = \overline{\mathbf{F}}_e \overline{\mathbf{F}}_p$ .

190 A usual assumption both in metal plasticity and in the biomechanics of remodelling of  
 191 biological tissues is that plastic distortions are isochoric, i.e. they must comply with the  
 192 constraint  $J_p = 1$ . This requirement places the restriction

$$\dot{J}_p = -\frac{1}{2} [\det(\mathbf{B}_p)]^{-1/2} \text{tr}(\mathbf{B}_p^{-1} \dot{\mathbf{B}}_p) = 0, \quad (4)$$

193 which means that the time derivative of  $\mathbf{B}_p$  is orthogonal to  $\mathbf{B}_p^{-1}$  in the sense that their  
 194 double contraction vanishes identically, i.e.  $\text{tr}(\mathbf{B}_p^{-1} \dot{\mathbf{B}}_p) \equiv \mathbf{B}_p^{-1} : \dot{\mathbf{B}}_p = 0$ . When (4) applies,  
 195 the relation (3b) becomes

$$\dot{\overline{\mathbf{B}}}_p = -\mathbf{F}^{-1} \mathbf{F}_e (\boldsymbol{\eta}^{-1} 2 \text{dev}(\mathbf{D}_p) \boldsymbol{\eta}^{-1}) \mathbf{F}_e^T \mathbf{F}^{-T}, \quad (5)$$

196 where  $\text{dev}(\mathbf{D}_p) = \mathbf{D}_p - \frac{1}{3} \text{tr}(\boldsymbol{\eta}^{-1} \mathbf{D}_p) \boldsymbol{\eta}$  is the deviatoric part of  $\mathbf{D}_p$ , and  $\overline{\mathbf{B}}_p = \overline{\mathbf{F}}_p^{-1} \cdot \overline{\mathbf{F}}_p^{-T}$   
 197 is the volume-preserving part of  $\mathbf{B}_p$ . Since the condition  $J_p = 1$  is enforced, (5) remains  
 198 invariant under the substitution of  $\mathbf{F}$  and  $\mathbf{F}_e$  with  $\overline{\mathbf{F}}$  and  $\overline{\mathbf{F}}_e$ , respectively.

199 Decompositions of the type (1) were proposed by many authors in problems related  
 200 to growth and remodelling of biological tissues, which were studied either as monophasic  
 201 continua [20, 21, 34, 35, 36, 37] or as mixtures [38, 39, 40, 41, 42, 43, 44]. A review on  
 202 constitutive theories relying on (1) was done in [45].

## 203 2.2 Principle of Virtual Powers and Dissipation

204 Only a purely mechanical framework is considered hereafter. The body mass is assumed to  
 205 be conserved. Thus, if  $\varrho$  denotes the spatial mass density of the body, and  $\varrho_R$  is its backward  
 206 Piola transform (i.e.  $\varrho_R(X, t) = J(X, t) \varrho(\chi(X, t), t)$ ), the mass balance law reduces to  
 207  $\dot{\varrho}_R = 0$ , which holds at all  $X \in \mathcal{B}$  and for all  $t \in \mathcal{J}$ , i.e.  $\varrho_R(X, t) \equiv \varrho_R(X)$  for all times.

208 Within the classical theory of finite Elastoplasticity, the elastoplastic behaviour of a  
 209 body is described by its motion,  $\chi$ , the plastic part of the total deformation,  $\mathbf{F}_p$ , and the  
 210 hardening variable  $\boldsymbol{\alpha}$ . In the standard theory, these three types of variables are not treated  
 211 in same way, at least conceptually. Indeed, while  $\chi$  is the solution of the set of equations  
 212 governing the body dynamics,  $\mathbf{F}_p$  and  $\boldsymbol{\alpha}$  are regarded as internal variables determined by  
 213 solving evolution laws [13, 15, 46], which are not introduced on the same footing as  $\chi$ .  
 214 In other words, neither  $\mathbf{F}_p$  nor  $\boldsymbol{\alpha}$  appear explicitly in the formulation of the Principle of  
 215 Virtual Powers (PVP), which is established by defining the set of virtual (test) velocities  
 216 as the collection of all admissible realisations of the type

$$\tilde{\mathcal{H}} := \{\tilde{\mathbf{u}} : \mathcal{B} \rightarrow T\mathcal{S} \mid \tilde{\mathbf{u}}|_{\partial\mathcal{B}_D} = \mathbf{0}\}. \quad (6)$$

217 In (6),  $\partial\mathcal{B}_D$  is the Dirichlet-boundary of  $\mathcal{B}$ , i.e. the portion of  $\partial\mathcal{B}$  over which position  
 218 boundary conditions are enforced, and  $\tilde{\mathbf{u}}|_{\partial\mathcal{B}_D}$  is the restriction of  $\tilde{\mathbf{u}}$  to  $\partial\mathcal{B}_D$ .

219 For a first-grade material, the PVP reads

$$\int_{\mathcal{B}} \mathbf{P} : \mathbf{g} \text{Grad } \tilde{\mathbf{u}} = \int_{\mathcal{B}} \mathbf{b}_R \cdot \tilde{\mathbf{u}} + \int_{\partial\mathcal{B}_N} \mathbf{f}_R \cdot \tilde{\mathbf{u}}, \quad \forall \tilde{\mathbf{u}} \in \tilde{\mathcal{H}}, \quad (7)$$

220 and expresses the weak form of the local balance of momentum. In (7),  $\mathbf{P} : T^*\mathcal{B} \rightarrow T\mathcal{S}$  is the  
 221 first Piola-Kirchhoff stress tensor (it is related to Cauchy stress by the Piola transformation  
 222  $\boldsymbol{\sigma}(\chi(X, t), t) = [J(X, t)]^{-1} \mathbf{P}(X, t) \mathbf{F}^T(X, t)^1$ );  $\mathbf{b}_R(X, t) = J(X, t) \mathbf{b}(\chi(X, t), t)$  is the body  
 223 force per unit volume of  $\mathcal{B}$  (whereas  $\mathbf{b}$  is the body force per unit volume of  $\mathcal{C}_t$ ), and collects  
 224 both inertial force and long-range interactions;  $\mathbf{f}_R$  expresses the contact forces  $\mathbf{f}$ , which  
 225 act on the boundary of the current configuration, per unit area of  $\partial\mathcal{B}$ ; finally,  $\partial\mathcal{B}_N$  is the  
 226 Neumann-boundary of  $\mathcal{B}$ , i.e. the portion of  $\partial\mathcal{B}$  over which surface forces are applied (it  
 227 holds that  $\partial\mathcal{B}_D \cup \partial\mathcal{B}_N = \partial\mathcal{B}$ , and  $\partial\mathcal{B}_D \cap \partial\mathcal{B}_N = \emptyset$ ). The forces  $\mathbf{f}_R$  and  $\mathbf{f}$  are reciprocally  
 228 related by [47]

$$\mathbf{f}_R(X, t) = J(X, t) \mathbf{f}(\chi(X, t), t) \sqrt{\mathbf{N}(X) \cdot \mathbf{C}^{-1}(X, t) \cdot \mathbf{N}(X)}, \quad (X, t) \in \partial\mathcal{B}_N \times \mathcal{J}. \quad (8)$$

229 The left- and the right-hand-side of (7), denoted by  $\mathcal{P}_{\text{int}}(\tilde{\mathbf{u}})$  and  $\mathcal{P}_{\text{ext}}(\tilde{\mathbf{u}})$ , are defined over  
 230  $\tilde{\mathcal{H}}$ , and are referred to as virtual internal power and virtual external power, respectively.

231 A standard localisation argument associates (7) with its corresponding strong form

$$\text{Div}(\mathbf{P}) = -\mathbf{b}_R, \quad \text{in } \mathcal{B} \times \mathcal{J}, \quad (9a)$$

$$\mathbf{P} \cdot \mathbf{N} = \mathbf{f}_R, \quad \text{on } \partial\mathcal{B}_N \times \mathcal{J}, \quad (9b)$$

$$\mathbf{P} \mathbf{F}^T = \mathbf{F} \mathbf{P}^T, \quad \text{in } \mathcal{B} \times \mathcal{J}. \quad (9c)$$

232 In (9b),  $\mathbf{N}$  is the unit vector normal to  $\partial\mathcal{B}_N$ . Equation (9c) follows from the physical  
 233 condition that  $\mathcal{P}_{\text{int}}(\tilde{\mathbf{u}})$  must satisfy the Principle of Material Frame Indifference.

234 The dissipation associated with a fixed region  $\Omega \in \mathcal{B}$  is defined by [16]

$$\int_{\Omega} D_R = - \overline{\int_{\Omega} \dot{\psi}_R} + \mathcal{P}_{\text{net}}(\Omega) \geq 0, \quad (10)$$

235 where  $D_R$  is the dissipation density,  $\psi_R$  is the body's stored energy function, and the net  
 236 power  $\mathcal{P}_{\text{net}}(\Omega)$  is defined as

$$\mathcal{P}_{\text{net}}(\Omega) = \int_{\partial\Omega} (\mathbf{P} \cdot \mathbf{N}) \cdot \mathbf{u} + \int_{\Omega} \mathbf{b}_R \cdot \mathbf{u} = \int_{\Omega} \mathbf{P} : \mathbf{g} \text{Grad } \mathbf{u}. \quad (11)$$

<sup>1</sup>Rigorously speaking,  $\mathbf{F}^T$  should be expressed as a functions of  $x$  and  $t$ . That is, in introducing the Piola transformation of  $\boldsymbol{\sigma}$ , we are committing the slight abuse of notation  $\mathbf{F}^T(X, t) \equiv \mathbf{F}^T(\chi(X, t), t)$ .

237 By substituting (11) into (10), and localising the results, one obtains

$$D_R = -\dot{\psi}_R + \mathbf{S} : \frac{1}{2} \dot{\mathbf{C}} \geq 0, \quad (12)$$

238 where  $\mathbf{S} = \mathbf{F}^{-1} \mathbf{P} : T^* \mathcal{B} \rightarrow T \mathcal{B}$  is the second Piola-Kirchhoff stress tensor. By introducing  
239 the quantities  $\psi_\kappa = J_p^{-1} \psi_R$  and  $D_\kappa = J_p^{-1} D_R$ , (12) transforms as follows

$$D_\kappa = -\dot{\psi}_\kappa + \mathbf{S}_\kappa : \mathbf{F}_p^{-T} \frac{1}{2} \dot{\mathbf{C}} \mathbf{F}_p^{-1} \geq 0, \quad (13)$$

240 with  $\mathbf{S}_\kappa = J_p^{-1} \mathbf{F}_p \mathbf{S} \mathbf{F}_p^T$  being the second Piola-Kirchhoff stress tensor associated with  $\mathcal{C}_\kappa$ .

## 241 3 Constitutive Theory

242 If the material under study is uniform, the constitutive description of its inelastic behaviour  
243 can be done by having recourse to the Principle of Material Uniformity [13, 20, 26, 30, 48,  
244 49, 50], and the stored energy  $\psi_\kappa$  can be expressed constitutively as a function depending  
245 solely on the tensor of elastic distortions,  $\mathbf{F}_e$ , and the hardening variable. Moreover, since  
246 constitutive laws must be objective, it must hold that  $\hat{\psi}_R(\mathbf{F}, \mathbf{F}_p, \alpha, X) = J_p \hat{\psi}_\kappa(\mathbf{C}_e, \alpha)$ ,  
247 with  $\mathbf{C}_e = \mathbf{F}_e^T \mathbf{g} \mathbf{F}_e$  being the Cauchy-Green tensor of elastic distortions. The hardening  
248 parameter  $\alpha$  is introduced with respect to  $\mathcal{C}_\kappa$ , and is assumed to be a scalar in the following.

### 249 3.1 Decoupling of the Stored Energy Function

250 To simplify the forthcoming calculations, the stored energy function  $\hat{\psi}_\kappa(\mathbf{C}_e, \alpha)$  is given in  
251 the decoupled form [15]

$$\hat{\psi}_\kappa(\mathbf{C}_e, \alpha) = \hat{W}_\kappa(\mathbf{C}_e) + \hat{\mathfrak{H}}_\kappa(\alpha), \quad (14)$$

252 where  $\hat{\mathfrak{H}}_\kappa(\alpha)$  is referred to as hardening potential. By substituting the time derivative of  
253  $\hat{\psi}_\kappa$  into (13), and hypothesising that the material exhibits hyperelastic behaviour from  $\mathcal{C}_\kappa$ ,  
254 the following results are obtained:

$$\mathbf{S}_\kappa = 2 \frac{\partial \hat{\psi}_\kappa}{\partial \mathbf{C}_e} = 2 \frac{\partial \hat{W}_\kappa}{\partial \mathbf{C}_e}, \quad (15a)$$

$$\boldsymbol{\Sigma} = \boldsymbol{\eta}^{-1} \mathbf{C}_e \mathbf{S}_\kappa, \quad (15b)$$

$$q = -\frac{\partial \hat{\psi}_\kappa}{\partial \alpha} = -\frac{\partial \hat{\mathfrak{H}}_\kappa}{\partial \alpha}, \quad (15c)$$

$$D_\kappa = \boldsymbol{\Sigma} : \boldsymbol{\eta} \mathbf{L}_p + q \dot{\alpha} \geq 0. \quad (15d)$$

255 Given  $\hat{W}_\kappa$  and  $\hat{\mathfrak{H}}_\kappa$  explicitly,  $\mathbf{S}_\kappa$ , the Mandel stress tensor  $\boldsymbol{\Sigma}$ , and the generalised force  
256  $q$  dual to the hardening rate  $\dot{\alpha}$  are expressed constitutively by (15a), (15b) and (15c),  
257 respectively. Since it has been assumed that anelastic (plastic) distortions are isochoric,  
258  $\mathbf{L}_p$  is trace-free, which implies that only the deviatoric part of  $\boldsymbol{\Sigma}$  is constrained by the  
259 residual dissipation inequality (15d). Moreover, a consequence of the decoupled form of  
260 the stored energy function is that the stress does not depend on the hardening function  
261 and, similarly, the force-like variable  $q$  does not depend on deformation.

### 262 3.2 Isotropy

263 Although there exist theoretical models and computational algorithms elaborated for  
264 finite-strain elastoplasticity of anisotropic materials (cf., e.g., [51, 52, 53, 54, 55]), the

majority of the numerical methods rely, to the authors' knowledge, on the hypothesis of isotropic material behaviour [2, 15, 24, 46, 56].

There are at least two big advantages implied by isotropy. The first one is that the issue of plastic spin does not arise at all (see, e.g., [30]); the second advantage is that the flow rule can be formulated in terms of  $\mathbf{B}_p$ , so that no evolution law for  $\mathbf{F}_p$  is actually needed (in some cases —e.g., for polycrystals [57]— evolution laws for  $\mathbf{F}_p$  are prescribed, in accordance to Mandel's isoclinicity rule [2], under the assumption of vanishing plastic rotations, so that the plastic variable is either  $\mathbf{V}_p$  or  $\mathbf{U}_p$ , depending on whether the right or the left decomposition of  $\mathbf{F}_p = \mathbf{R}_p \mathbf{U}_p = \mathbf{V}_p \mathbf{R}_p$  is chosen).

For a hyperelastic isotropic material, the stored energy function  $\hat{W}_\kappa$  depends on  $\mathbf{C}_e$  exclusively through its invariants, i.e.

$$I_1 = \hat{I}_1(\mathbf{C}_e) = \text{tr}(\boldsymbol{\eta}^{-1} \mathbf{C}_e) = \text{tr}(\mathbf{B}_p \mathbf{C}), \quad (16a)$$

$$I_2 = \hat{I}_2(\mathbf{C}_e) = \frac{1}{2} \{ [\hat{I}_1(\mathbf{C}_e)]^2 - \text{tr}[(\boldsymbol{\eta}^{-1} \mathbf{C}_e)^2] \} = \frac{1}{2} \{ I_1^2 - \text{tr}(\mathbf{B}_p \mathbf{C} \mathbf{B}_p \mathbf{C}) \}, \quad (16b)$$

$$I_3 = \hat{I}_3(\mathbf{C}_e) = \det(\mathbf{C}_e) = J^2. \quad (16c)$$

This property necessarily implies that the Mandel stress tensor  $\boldsymbol{\Sigma}$ , which by definition must satisfy the equality  $\boldsymbol{\Sigma} \mathbf{C}_e \boldsymbol{\eta}^{-1} = \boldsymbol{\eta}^{-1} \mathbf{C}_e \boldsymbol{\Sigma}^T$  (cf. (15b)), must be symmetric itself, i.e.  $\boldsymbol{\Sigma} = \boldsymbol{\Sigma}^T$ . Indeed, by setting  $\hat{W}_\kappa(\mathbf{C}_e) = \hat{W}_\kappa(\hat{I}_1(\mathbf{C}_e), \hat{I}_2(\mathbf{C}_e), \hat{I}_3(\mathbf{C}_e))$ , one obtains

$$\boldsymbol{\Sigma} = 2\beta_1 \boldsymbol{\eta}^{-1} \mathbf{C}_e \boldsymbol{\eta}^{-1} + 2\beta_2 [I_1 \boldsymbol{\eta}^{-1} \mathbf{C}_e \boldsymbol{\eta}^{-1} - \boldsymbol{\eta}^{-1} \mathbf{C}_e \boldsymbol{\eta}^{-1} \mathbf{C}_e \boldsymbol{\eta}^{-1}] + 2\beta_3 I_3 \boldsymbol{\eta}^{-1}, \quad (17)$$

with  $\{\beta_i = \frac{\partial \hat{W}_\kappa}{\partial I_i}\}_{i=1}^3$ . Since  $\boldsymbol{\Sigma}$  is symmetric, the first summand on the right-hand-side of (15d) becomes  $\boldsymbol{\Sigma} : \boldsymbol{\eta} \mathbf{L}_p = \boldsymbol{\Sigma} : \mathbf{D}_p$ , meaning that only the symmetric part of the rate of plastic distortions contributes to dissipation. This result rules out the plastic spin, i.e. the skew-symmetric part of  $\boldsymbol{\eta} \mathbf{L}_p$ , which cannot thus be determined in terms of thermodynamic arguments [30]. Finally, by invoking the kinematic relations (3), the inequality (15d) can be rewritten as

$$D_\kappa = -\frac{1}{2} (\mathbf{g} \text{dev}(\boldsymbol{\tau}_\kappa) \mathbf{b}_e^{-1}) : \mathcal{L}_v \mathbf{b}_e + q \dot{\alpha} \geq 0, \quad (18)$$

where  $\boldsymbol{\tau}_\kappa = \mathbf{F}_e \mathbf{S}_\kappa \mathbf{F}_e^T = \mathbf{g}^{-1} \mathbf{F}_e^{-T} \boldsymbol{\eta} \boldsymbol{\Sigma} \mathbf{F}_e^T$  is the Kirchhoff stress tensor associated with the body's natural state. Furthermore, setting  $\boldsymbol{\tau} = J_p \boldsymbol{\tau}_\kappa$  (with  $J_p = 1$ ), it is also useful to introduce the material Mandel stress tensor  $\boldsymbol{\Sigma}_R = \mathbf{G}^{-1} \mathbf{F}^T \mathbf{g} \boldsymbol{\tau} \mathbf{F}^{-T}$ . The constitutive expressions of  $\boldsymbol{\tau}_\kappa$  and  $\boldsymbol{\Sigma}_R$  read

$$\hat{\boldsymbol{\tau}}_\kappa(\mathbf{F}, \mathbf{B}_p) = 2\beta_1 \mathbf{b}_e + 2\beta_2 (I_1 \mathbf{b}_e - \mathbf{b}_e \mathbf{g} \mathbf{b}_e) + 2\beta_3 I_3 \mathbf{g}^{-1}, \quad (19a)$$

$$\hat{\boldsymbol{\Sigma}}_R(\mathbf{F}, \mathbf{B}_p) = (2\beta_1 + 2\beta_2 I_1) \mathbf{G}^{-1} \mathbf{C} \mathbf{B}_p - 2\beta_2 \mathbf{G}^{-1} \mathbf{C} \mathbf{B}_p \mathbf{C} \mathbf{B}_p + 2\beta_3 I_3 \mathbf{G}^{-1}. \quad (19b)$$

The tensor  $\boldsymbol{\Sigma}_R$  is not symmetric in general, but it has the properties  $\boldsymbol{\Sigma}_R \mathbf{C} \mathbf{G}^{-1} = (\boldsymbol{\Sigma}_R \mathbf{C} \mathbf{G}^{-1})^T$ ,  $\mathbf{G} \boldsymbol{\Sigma}_R \mathbf{B}_p^{-1} = (\mathbf{G} \boldsymbol{\Sigma}_R \mathbf{B}_p^{-1})^T$  and  $\mathbf{B}_p \mathbf{G} \boldsymbol{\Sigma}_R = (\mathbf{B}_p \mathbf{G} \boldsymbol{\Sigma}_R)^T$ . The first one follows from its own definition, while the second and the third one follow from isotropy [30].

### 3.3 Rate-Independent Plasticity and Yield Criterion

The hypothesis of rate-independent plasticity requires the introduction of a yield criterion [16]. To this end, let  $\mathcal{T}_\tau$  and  $\mathcal{T}_q$  be the spaces of Kirchhoff stresses and stress-like hardening functions  $q$  (cf. (15c)), and let  $f_\tau : \mathcal{T}_\tau \times \mathcal{T}_q \rightarrow \mathbb{R}$  be a yield function defined by

$$f_\tau(\boldsymbol{\tau}_\kappa, q) = \varphi_\tau(\text{dev}(\boldsymbol{\tau}_\kappa)) + \sqrt{\frac{2}{3}} [q - \tau_y], \quad (20)$$

where the positive parameter  $\tau_y$  is the yield stress, and the function  $\varphi_\tau$  depends on  $\boldsymbol{\tau}_\kappa$  through the deviatoric part of it for consistency with (18).

298 The set  $\mathcal{A} = \{(\boldsymbol{\tau}_\kappa, q) \in \mathcal{T}_\tau \times \mathcal{J}_q : f_\tau(\boldsymbol{\tau}_\kappa, q) \leq 0\}$  is referred to as the set of admissible  
 299 stresses. In accordance with von Mises classical theory of  $J_2$ -plasticity, the function  $\varphi_\tau$   
 300 defined here as  $\varphi_\tau(\text{dev}(\boldsymbol{\tau}_\kappa)) = \|\text{dev}(\boldsymbol{\tau}_\kappa)\| = \sqrt{\text{tr}[(\mathbf{g}\text{dev}(\boldsymbol{\tau}_\kappa))^2]}$ . Consequently, one obtains

$$\frac{\partial f_\tau}{\partial \boldsymbol{\tau}_\kappa}(\boldsymbol{\tau}_\kappa, q) = \mathbf{g}\mathbf{n}\mathbf{g} \equiv \mathbf{n}^b, \quad \mathbf{n} = \frac{\text{dev}(\boldsymbol{\tau}_\kappa)}{\|\text{dev}(\boldsymbol{\tau}_\kappa)\|}, \quad (21)$$

301 with  $\|\mathbf{n}\| = 1$ . The inequality  $f_\tau(\boldsymbol{\tau}_\kappa, q) < 0$  defines the instantaneous elastic range of the  
 302 material. Plastic flow begins when the boundary of  $\mathcal{A}$  is reached, i.e. when  $f_\tau(\boldsymbol{\tau}_\kappa, q) = 0$ .

### 303 3.4 Principle of Maximum Plastic Dissipation and Flow Rules

304 To formulate the Principle of Maximum Plastic Dissipation (PMPD), the dissipation  $\mathcal{D}_\kappa$   
 305 (cf. (18)) has to be viewed as a non-negative, real-valued function defined over the set  
 306  $\mathcal{A}$ . The PMPD affirms that  $D_\kappa$  reaches its maximum when it is computed for the actual  
 307 values of stress  $\boldsymbol{\tau}_\kappa$  and hardening function  $q$  that characterise the material, i.e.

$$D_\kappa(\boldsymbol{\tau}_\kappa, q) = \max_{(\mathbf{r}, \vartheta) \in \mathcal{A}} \{D_\kappa(\mathbf{r}, \vartheta)\}. \quad (22)$$

308 Since the maximisation is performed under the constraint that the pair  $(\mathbf{r}, \vartheta) \in \mathcal{A}$  be  
 309 admissible, the condition (22) allows to reformulate (18) into a constrained optimisation  
 310 problem, which can be studied by introducing the Lagrangian function

$$L_\kappa(\mathbf{r}, \vartheta, \gamma_\tau) = D_\kappa(\mathbf{r}, \vartheta) - \gamma_\tau f_\tau(\mathbf{r}, \vartheta), \quad (\mathbf{r}, \vartheta) \in \mathcal{A}, \quad (23)$$

311 where  $\gamma_\tau$  is an unknown Lagrange multiplier. Maximising (23) leads to the optimality  
 312 conditions [15, 46]

$$\mathcal{L}_v \mathbf{b}_e = -2\gamma_\tau \mathbf{n}\mathbf{g}\mathbf{b}_e, \quad (24a)$$

$$\dot{\alpha} = \gamma_\tau \sqrt{\frac{2}{3}}, \quad (24b)$$

$$\gamma_\tau \geq 0, \quad f_\tau(\boldsymbol{\tau}_\kappa, q) \leq 0, \quad \gamma_\tau f_\tau(\boldsymbol{\tau}_\kappa, q) = 0. \quad (24c)$$

313 Equations (24) determine the Karush-Kuhn-Tucker (KKT) system, and are also referred  
 314 to as KKT-conditions. By invoking (3a), (24a) can be rewritten in terms of  $\dot{\mathbf{B}}_p$ , i.e.

$$\dot{\mathbf{B}}_p = -2\gamma_\tau \mathbf{F}^{-1}(\mathbf{n}\mathbf{g}\mathbf{b}_e)\mathbf{F}^{-T}. \quad (25)$$

315 A consequence of (19a) is that the product  $\mathbf{n}\mathbf{g}\mathbf{b}_e$  is commutative. Moreover, by recalling  
 316 the identity  $\text{dev}(\boldsymbol{\tau}) = \mathbf{g}^{-1}\mathbf{F}^{-T}\mathbf{G}\text{dev}(\boldsymbol{\Sigma}_R)\mathbf{F}^T$ , (25) becomes

$$\dot{\mathbf{B}}_p = -2\gamma_\tau \mathbf{B}_p \mathbf{G} \frac{\text{dev}(\boldsymbol{\Sigma}_R)}{\|\text{dev}(\boldsymbol{\tau})\|}. \quad (26)$$

317 According to (24c),  $\gamma_\tau$  is zero when the material is in its elastic range, i.e. when  
 318  $f_\tau(\boldsymbol{\tau}_\kappa, q) < 0$ , and is greater than zero, when the yield surface is reached, i.e. when  
 319  $f_\tau(\boldsymbol{\tau}_\kappa, q) = 0$ . In the case in which  $\gamma_\tau$  is positive, it is determined by the consistency  
 320 condition  $\gamma_\tau \dot{f}_\tau(\boldsymbol{\tau}_\kappa, q) = 0$ , which leads to the expression

$$\gamma_\tau = \frac{\mathbf{n}^b : J_{e^A} : \mathbf{d}}{\mathbf{n}^b : J_{e^A} : \mathbf{n}^b + (2/3)\partial_\alpha^2 \hat{\mathcal{J}}_\kappa} = \frac{-\mathbf{n}^b : J_{e^{\mathbb{B}_p}} : \frac{1}{2}\mathcal{L}_v \mathbf{b}_e}{\mathbf{n}^b : J_{e^A} : \mathbf{n}^b}, \quad (27)$$

321 with

$$J_e \mathbb{A} = J_e \mathbb{C} + \boldsymbol{\tau}_\kappa \otimes \bar{\mathbf{g}}^{-1} + \mathbf{g}^{-1} \otimes \boldsymbol{\tau}_\kappa, \quad (28a)$$

$$J_e \mathbb{C} = \mathbf{F}_e \otimes \mathbf{F}_e : \mathbb{C}_\kappa : \mathbf{F}_e^T \otimes \mathbf{F}_e^T, \quad \mathbb{C}_\kappa = 4 \frac{\partial^2 \hat{W}_\kappa}{\partial \mathbf{C}_e^2}(\mathbf{C}_e), \quad (28b)$$

$$J_{\mathbb{B}_p} = \mathbf{F} \otimes \mathbf{F} : \mathbb{B}_p : \mathbf{F}^{-1} \otimes \mathbf{F}^{-1}, \quad \mathbb{B}_p = 2 \frac{\partial \hat{\mathcal{S}}}{\partial \mathbf{B}_p}(\mathbf{C}, \mathbf{B}_p). \quad (28c)$$

322 The fourth-order tensors  $\mathbb{A}$  and  $\mathbb{C}$  are referred to as tensor of the effective elastic moduli  
 323 and spatial elasticity tensor, respectively. Moreover,  $\mathbf{S} = \hat{\mathbf{S}}(\mathbf{C}, \mathbf{B}_p) = J_p \mathbf{F}_p^{-1} \mathbf{S}_\kappa \mathbf{F}_p^{-T}$  is  
 324 the constitutive expression of the material second Piola-Kirchhoff stress tensor. According  
 325 to (27), the multiplier  $\gamma_\tau$  (when it is nonzero) is defined as a function of  $\mathbf{F}$ ,  $\dot{\mathbf{F}}$ ,  $\mathbf{B}_p$  and  $\alpha$ ,  
 326 i.e.  $\gamma_\tau = \hat{\gamma}_\tau(\mathbf{F}, \dot{\mathbf{F}}, \mathbf{B}_p, \alpha)$ .

327 In conclusion, equations (24a) and (24b), largely adopted in von Mises  $J_2$ -theory of  
 328 Elastoplasticity, can be reformulated as evolution laws for the plastic variables  $\mathbf{B}_p$  and  $\alpha$ :

$$\dot{\mathbf{B}}_p = \begin{cases} -\hat{\mathcal{R}}(\mathbf{F}, \dot{\mathbf{F}}, \mathbf{B}_p, \alpha), & \text{if } \gamma_\tau = \hat{\gamma}_\tau(\mathbf{F}, \dot{\mathbf{F}}, \mathbf{B}_p, \alpha) > 0 \quad (f_\tau(\boldsymbol{\tau}_\kappa, q) = 0), \\ \mathbf{0} & \text{if } \gamma_\tau = 0, \quad (f_\tau(\boldsymbol{\tau}_\kappa, q) < 0), \end{cases} \quad (29a)$$

$$\dot{\alpha} = \begin{cases} \sqrt{\frac{2}{3}} \hat{\gamma}_\tau(\mathbf{F}, \dot{\mathbf{F}}, \mathbf{B}_p, \alpha), & \text{if } \gamma_\tau = \hat{\gamma}_\tau(\mathbf{F}, \dot{\mathbf{F}}, \mathbf{B}_p, \alpha) > 0 \quad (f_\tau(\boldsymbol{\tau}_\kappa, q) = 0), \\ 0 & \text{if } \gamma_\tau = 0, \quad (f_\tau(\boldsymbol{\tau}_\kappa, q) < 0), \end{cases} \quad (29b)$$

329 where the negative of the tensor-valued function  $\hat{\mathcal{R}}$  is defined by the right-hand-side of (26).  
 330 Clearly, the definition of  $\hat{\mathcal{R}}$  depends on the choice of the stored energy density function  
 331  $\hat{W}_\kappa$ , and of the hardening potential  $\hat{\mathcal{H}}_\kappa$ .

### 332 3.5 Other Types of Flow Rules

333 In some biomechanical contexts, as those addressing the structural reorganisation of cell  
 334 aggregates, plasticity-like models have been developed in which hardening is usually not  
 335 accounted for, and the anelastic distortions model the reorganisation of the adhesion bonds  
 336 connecting the cells. The onset of this type of anelastic processes is taken into account  
 337 by introducing a yield stress in the constitutive laws. The symmetric part of the rate of  
 338 plastic distortions is driven by stress according to laws of the type [58]

$$\mathbf{D}_p = \zeta_p \boldsymbol{\eta} \text{dev}(\boldsymbol{\Sigma}) \boldsymbol{\eta} = \zeta_p \mathbf{F}_e^T \mathbf{g} \text{dev}(\boldsymbol{\tau}_\kappa) \mathbf{F}_e^{-T} \boldsymbol{\eta}, \quad (30)$$

339 where  $\zeta_p$  is a plastic multiplier. By invoking (3b), the flow rule (30) becomes

$$\dot{\mathbf{B}}_p = -2 (J_p^{-1} \zeta_p) \mathbf{B}_p \mathbf{G} \text{dev}(\boldsymbol{\Sigma}_R). \quad (31)$$

340 In (30) and (31),  $\zeta_p$  is defined by<sup>2</sup>

$$\zeta_p = J_p \lambda \left[ \frac{\varphi(\boldsymbol{\tau}) - \sqrt{(2/3)} \tau_y}{\varphi(\boldsymbol{\tau})} \right]_+, \quad (32)$$

341 where  $\lambda$  is a non-negative phenomenological coefficient (with units  $[\lambda] = (\text{s} \cdot \text{MPa})^{-1}$ ),  
 342  $[\mathbf{f}]_+ = \mathbf{f}$ , if  $\mathbf{f} > 0$ , and  $[\mathbf{f}]_+ = 0$  otherwise, and  $\varphi(\boldsymbol{\tau}) = \|\text{dev}(\boldsymbol{\tau})\|$ . Since the constraint

<sup>2</sup>The definition of  $\gamma_p$  given in [58] is slightly different from that reported here, where the expression of  $\gamma_p$  in (32) has been introduced for consistency with the rest of the paper.

343  $J_p = 1$  applies, it holds that  $\boldsymbol{\tau} = \boldsymbol{\tau}_\kappa$ , and (31) becomes

$$\dot{\mathbf{B}}_p = -2\gamma_p \mathbf{B}_p \mathbf{G} \frac{\text{dev}(\boldsymbol{\Sigma}_R)}{\|\text{dev}(\boldsymbol{\tau})\|}, \quad (33a)$$

$$\gamma_p := \lambda \left[ \|\text{dev}(\boldsymbol{\tau})\| - \sqrt{(2/3)\tau_y} \right]_+, \quad (33b)$$

344 with  $[\gamma_p] = s^{-1}$ . Although  $\gamma_p$  is not a Lagrange multiplier, since it does not have to comply  
 345 with a consistency condition of the type (27), the flow rule (33a) satisfies the dissipation  
 346 inequality. Moreover, comparing (33a) with (26), one can show that the two flow rules are  
 347 identical up to the specification of  $\gamma_\tau$  and  $\gamma_p$ . Thus, the right-hand-side of (33a) can be  
 348 expressed by means of a tensor-valued function  $\hat{\mathbf{R}}(\mathbf{F}, \mathbf{B}_p)$ . The dependence on  $\dot{\mathbf{F}}$  does not  
 349 appear, since  $\gamma_p$  is not restricted by any KKT-consistency condition of the type (27).

## 350 4 Statement and Solution of the Problems ‘Pr1’ and ‘Pr2’

351 For simplicity, the external forces  $\mathbf{b}_R$  and  $\mathbf{f}_R$  are set equal to zero from here on. Thus,  
 352 it holds  $\mathbf{P} \cdot \mathbf{N} = \mathbf{0}$  on  $\partial\mathcal{B}_N$  (cf. (9b)). Consequently, the problem ‘Pr1’ can be stated as  
 353 follows:

### 354 4.1 Problem ‘Pr1’

355 Let  $\hat{W}_\kappa(\mathbf{C}_e)$ ,  $\hat{\mathcal{H}}_\kappa(\alpha)$ ,  $f_\tau$ ,  $\gamma_\tau$ , and  $\mathcal{R}$  be given such that

$$\mathbf{P} = \hat{\mathbf{P}}(\mathbf{F}, \mathbf{B}_p) = J_p \hat{\boldsymbol{\tau}}_\kappa(\mathbf{F}, \mathbf{B}_p) \mathbf{F}^{-\text{T}} = J_p \left[ \mathbf{F}_e \left( 2 \frac{\partial \hat{W}_\kappa}{\partial \mathbf{C}_e}(\mathbf{C}_e) \right) \mathbf{F}_e^{\text{T}} \right] \mathbf{F}^{-\text{T}}, \quad (34a)$$

$$q = -K(\alpha) = -\frac{\partial \hat{\mathcal{H}}_\kappa}{\partial \alpha}(\alpha), \quad (34b)$$

$$\gamma_\tau = \begin{cases} 0, & \text{if } f_\tau(\boldsymbol{\tau}_\kappa, q) < 0, \\ \hat{\gamma}_\tau(\mathbf{F}, \dot{\mathbf{F}}, \mathbf{B}_p, \alpha) > 0, & \text{if } f_\tau(\boldsymbol{\tau}_\kappa, q) = 0, \end{cases} \quad (34c)$$

$$\mathcal{R} = \begin{cases} \mathbf{0}, & \text{if } f_\tau(\boldsymbol{\tau}_\kappa, q) < 0, \\ \hat{\mathbf{R}}(\mathbf{F}, \dot{\mathbf{F}}, \mathbf{B}_p, \alpha), & \text{if } f_\tau(\boldsymbol{\tau}_\kappa, q) = 0, \end{cases} \quad (34d)$$

356 where  $\hat{\gamma}_\tau$  and  $\hat{\mathbf{R}}$  are known functions of their arguments, with  $\hat{\mathbf{R}}$  being specified in (25).

357

Find  $\chi \in \mathcal{H}$ ,  $\mathbf{B}_p \in \mathbf{L}^2(\mathcal{B} \times \mathcal{J}, T\mathcal{B} \otimes T\mathcal{B})$  and  $\alpha \in L^2(\mathcal{B} \times \mathcal{J}, \mathbb{R})$  such that

$$\mathcal{P}(\chi, \mathbf{B}_p, \tilde{\mathbf{u}}) := \int_{\mathcal{B}} \hat{\mathbf{P}}(\mathbf{F}, \mathbf{B}_p) : \mathbf{g} \text{Grad } \tilde{\mathbf{u}} = 0, \quad \forall \tilde{\mathbf{u}} \in \tilde{\mathcal{H}}, \quad (35a)$$

$$\dot{\mathbf{B}}_p = -\mathcal{R}, \quad \mathbf{B}_p(X, 0) = \mathbf{B}_{p0}(X) \text{ in } \mathcal{B}, \quad (35b)$$

$$\dot{\alpha} = \gamma_\tau \sqrt{\frac{2}{3}}, \quad \alpha(X, 0) = \alpha_0(X) \text{ in } \mathcal{B}. \quad (35c)$$

359

360 Here,  $\mathbf{L}^2(\mathcal{B} \times \mathcal{J}, T\mathcal{B} \otimes T\mathcal{B})$  and  $L^2(\mathcal{B} \times \mathcal{J}, \mathbb{R})$  denote, respectively, the spaces of all tensor-  
 361 valued and scalar-valued functions that are (Lebesgue) square-integrable in  $\mathcal{B}$ , while  $\mathcal{H}$  is  
 362 the subset of  $(H^1(\mathcal{B} \times \mathcal{J}, \mathcal{S}))^3$  characterised by the property

$$\mathcal{H} = \left\{ \chi \in (H^1(\mathcal{B} \times \mathcal{J}, \mathcal{S}))^3 : \chi(X, t) = \chi_b(t), \forall (X, t) \in \partial\mathcal{B}_D \times \mathcal{J} \right\}, \quad (36)$$

363 with  $(H^1(\mathcal{B} \times \mathcal{J}, \mathcal{S}))^3$  being the Sobolev space of all functions  $\chi(\cdot, t)$ ,  $t \in \mathcal{J}$ , valued in  
 364 the three-dimensional Euclidean space  $\mathcal{S}$  that are square-integrable in  $\mathcal{B}$  and whose weak

365 derivatives  $D^k \chi(\cdot, t)$ , with  $|k| \leq 1$ , are all square-integrable in  $\mathcal{B}$ , too (here,  $k$  denotes  
366 a multi-index) [59]. Moreover, in (36),  $\chi_b$  is the prescribed value of the motion on the  
367 body's Dirichlet-boundary  $\partial\mathcal{B}_D$ . The space of virtual velocities  $\tilde{\mathcal{H}}$  can now be identified  
368 with the functional space  $(H_0^1(\mathcal{B}, \mathcal{S}))^3$ , i.e.  $\tilde{\mathcal{H}} = (H_0^1(\mathcal{B}, \mathcal{S}))^3$ , which is the Hilbert sub-  
369 space of  $(H^1(\mathcal{B}, \mathcal{S}))^3$  defined as the closure of the space of test-functions in  $(H^1(\mathcal{B}, \mathcal{S}))^3$ ,  
370 and characterised by the property that all functions  $\tilde{\mathbf{u}} \in (H_0^1(\mathcal{B}, \mathcal{S}))^3$  vanish on  $\partial\mathcal{B}_D$  [59].

371 The problem 'Pr1' (formulated by (34a)–(35c)) stems from the von Mises  $J_2$  theory of  
372 isochoric and associative plasticity, since the rate of plastic distortions is deviatoric and  
373 proportional to the associated measure of stress. On the other hand, granted isotropy,  
374 and provided that  $\mathcal{R}$  complies with some restrictions related to dissipation (e.g., resid-  
375 ual dissipation inequality [15], or maximisation of plastic work [2]), equation (35b) can  
376 also be generalised to comprehend many other types of flow rules, which might be even  
377 fully phenomenological, and need not be associative in general. For this reason, it is also  
378 useful to consider modified versions of 'Pr1', which do not strictly follow from the KKT-  
379 conditions (24), like, for instance, the problem referred to as 'Pr2' in this paper.

## 380 4.2 Problem 'Pr2'

381 Let  $\hat{W}_\kappa(\mathbf{C}_e)$  and  $\hat{\mathcal{R}}(\mathbf{F}, \mathbf{B}_p)$  be given, and let the first Piola-Kirchhoff stress tensor be defined  
382 by

$$\mathbf{P} = \hat{\mathbf{P}}(\mathbf{F}, \mathbf{B}_p) = J_p \hat{\boldsymbol{\tau}}_\kappa(\mathbf{F}, \mathbf{B}_p) \mathbf{F}^{-T} = J_p \left[ \mathbf{F}_e \left( 2 \frac{\partial \hat{W}_\kappa}{\partial \mathbf{C}_e}(\mathbf{C}_e) \right) \mathbf{F}_e^T \right] \mathbf{F}^{-T}. \quad (37)$$

383

Find  $\chi \in \mathcal{H}$  and  $\mathbf{B}_p \in \mathbf{L}^2(\mathcal{B} \times \mathcal{J}, T\mathcal{B} \otimes T\mathcal{B})$  such that

$$\mathcal{P}(\chi, \mathbf{B}_p, \tilde{\mathbf{u}}) := \int_{\mathcal{B}} \hat{\mathbf{P}}(\mathbf{F}, \mathbf{B}_p) : \mathbf{g} \text{Grad } \tilde{\mathbf{u}} = 0, \quad \forall \tilde{\mathbf{u}} \in \tilde{\mathcal{H}}, \quad (38a)$$

$$\dot{\mathbf{B}}_p = -\hat{\mathcal{R}}(\mathbf{F}, \mathbf{B}_p), \quad \mathbf{B}_p(X, 0) = \mathbf{B}_{p0}(X) \text{ in } \mathcal{B}. \quad (38b)$$

385

386 The tensor-valued function  $\hat{\mathcal{R}}$  of the flow rule (38b) can be given, for example, by the  
387 right-hand-side of (33a), with  $\gamma_p$  defined in (33b) [58], or by more general expressions that  
388 lead to non-associative plasticity [2].

## 389 5 A Review of the Return Mapping Algorithm for 'Pr1'

390 Looking at some literature (see, e.g., [15, 46, 60]), the RMA is usually formulated under  
391 two hypotheses, which add themselves to those discussed in sections 3.1–3.4. The first  
392 hypothesis is that the strain energy density  $\hat{W}_\kappa(\mathbf{C}_e)$  used in 'Pr1', can be decoupled into  
393 a pure volumetric contribution,  $\hat{U}_\kappa(J_e)$ , and a purely isochoric contribution,  $\overline{W}_\kappa(\overline{\mathbf{C}}_e)$ . In  
394 particular, a quasi-incompressible Neo-Hookean material is considered, i.e.

$$\hat{W}_\kappa(\mathbf{C}_e) = \hat{U}_\kappa(J_e) + \overline{W}_\kappa(\overline{\mathbf{C}}_e), \quad (39a)$$

$$\hat{U}_\kappa(J_e) = \frac{1}{2} \kappa \left\{ \frac{1}{2} (J_e^2 - 1) - \ln(J_e) \right\}, \quad (39b)$$

$$\overline{W}_\kappa(\overline{\mathbf{C}}_e) = \frac{1}{2} \mu \left\{ \text{tr}(\boldsymbol{\eta}^{-1} \overline{\mathbf{C}}_e) - 3 \right\}, \quad (39c)$$

395 where  $\kappa$  and  $\mu$  are the bulk and shear moduli, respectively, and  $\mathbf{C}_e = J_e^{2/3} \overline{\mathbf{C}}_e$  [32, 33], with  
396  $\det(\overline{\mathbf{C}}_e) = 1$ . In (39a)–(39c), as well as in all the following calculations, both  $J_e = \sqrt{\det(\mathbf{C}_e)}$   
397 and  $\overline{\mathbf{C}}_e$  are to be regarded as functions of  $\mathbf{C}_e$ . Direct consequences of this hypothesis are the  
398 equalities  $\beta_1 = \frac{\mu}{2} J_e^{-2/3}$  and  $\beta_2 = 0$ , which lead to  $\text{dev}(\boldsymbol{\tau}_\kappa) = \mu \text{dev}(\overline{\mathbf{b}}_e)$ , with  $\overline{\mathbf{b}}_e = J_e^{-2/3} \mathbf{b}_e$ .

399 The second hypothesis is that the right-hand-side of (24a) can be approximated by  
 400  $\frac{1}{3}\text{tr}(\mathbf{g}\mathbf{b}_e)\mathbf{n}$ , so that the flow rule becomes

$$\mathcal{L}_v \mathbf{b}_e = -\frac{2}{3}\gamma_\tau \text{tr}(\mathbf{g}\mathbf{b}_e)\mathbf{n}. \quad (40)$$

401 This is obtained by enforcing the decomposition  $\mathbf{b}_e = \frac{1}{3}\text{tr}(\mathbf{g}\mathbf{b}_e)\mathbf{g}^{-1} + \text{dev}(\mathbf{b}_e)$  in (24a), and  
 402 neglecting the term  $\mathbf{n}\mathbf{g}\text{dev}(\mathbf{b}_e)$  with respect to the right-hand-side of (40). To justify this  
 403 approximation it suffices to notice that, when plastic flow occurs (i.e. when the condition  
 404  $f_\tau(\boldsymbol{\tau}_\kappa, q) = 0$  is satisfied),  $\mathbf{n}\mathbf{g}\text{dev}(\mathbf{b}_e)$  becomes

$$\mathbf{n}\mathbf{g}\text{dev}(\mathbf{b}_e) = J_e^{2/3} \frac{\|\text{dev}(\boldsymbol{\tau}_\kappa)\|}{\mu} \mathbf{n}\mathbf{g}\mathbf{n} = J_e^{2/3} \frac{\sqrt{\frac{2}{3}}(K(\alpha) + \tau_y)}{\mu} \mathbf{n}\mathbf{g}\mathbf{n}. \quad (41)$$

405 This result amounts to say that the term  $\mathbf{n}\mathbf{g}\text{dev}(\mathbf{b}_e)$  can be dropped because it is of the  
 406 same order as the ratio between the yield stress in the presence of hardening,  $\sqrt{\frac{2}{3}}(K(\alpha) +$   
 407  $\tau_y)$ , and the shear modulus, which is usually small for the majority of metals [46]. Even  
 408 though, as stated by Simo [46], this approximation is not essential, it simplifies considerably  
 409 the numerical treatment of the flow rule and the determination of  $\gamma_\tau$ .

410 Although the strain energy density (39) reduces the computational effort (since it is  
 411 independent of  $I_2$ ), it might be unrealistic in some situations. In fact, it applies to elastically  
 412 quasi-incompressible materials (for which  $J_e$  is close to unity), but fails to reproduce the  
 413 correct elastic response of materials for which this assumption cannot be done. Indeed,  
 414 the use of (39) for materials not satisfying quasi-incompressibility suppresses unjustifiably  
 415 some independent elastic parameters from the material's elasticity tensor [61, 62, 63, 64,  
 416 65].

## 417 5.1 Algorithmic Determination of the KKT-Multiplier

418 This Section largely follows the theory reported in [15]. The crux of the RMA is describing  
 419 the time-discrete evolution of  $\mathbf{B}_p$  and  $\alpha$  jointly with the discretised KKT-conditions (24)  
 420 and the weak form of the momentum balance (35a). For this purpose, at each instant of  
 421 time  $t_n \in \mathcal{J}$ ,  $n \in \mathbb{N}$ , the body is assumed to be characterised by two states: The *actual state*  
 422 is that determined by the functions  $\chi_n$ ,  $\mathbf{B}_{pn}$  and  $\alpha_n$ , which represent the actual solution of  
 423 ‘Pr1’ at time  $t_n$ . The *trial state*, instead, is the one in which the body would find itself, if  
 424 no plastic evolution took place within the time step  $\Delta t_n = t_n - t_{n-1}$ ,  $n \geq 1$ . By definition,  
 425 the trial state is determined by the functions  $\chi_n^{\text{trial}}$ ,  $\mathbf{B}_{pn}^{\text{trial}} = \mathbf{B}_{p(n-1)}$  and  $\alpha_n^{\text{trial}} = \alpha_{n-1}$ ,  
 426 where  $\chi_n^{\text{trial}}$  is the solution to (35a) at time  $t_n$ , if  $\mathbf{B}_{pn}$  were substituted in the constitutive  
 427 expression of the first Piola-Kirchhoff stress tensor with the stepwise constant function  
 428  $\mathbf{B}_{p(n-1)}$  [15].

429 The introduction of the trial state, the particularly simple strain energy density specified  
 430 in (39), and the approximated flow rule (40) allow to express the time-discrete form of (40)  
 431 in terms of stress and, above all, to consider the stress at time  $t_n$  as a function of the  
 432 deformation gradient and trial quantities only.

433 By recalling (3a), the Lie-derivative of  $\mathbf{b}_e$  at time  $t_n \in \mathcal{J}$  is approximated by

$$(\mathcal{L}_v \mathbf{b}_e)_n = \mathbf{F}_n \frac{\mathbf{B}_{pn} - \mathbf{B}_{p(n-1)}}{\Delta t_n} \mathbf{F}_n^T, \quad n \in \mathbb{N}, n \geq 1, \quad (42)$$

434 where  $\mathbf{F}_n$  is the tangent map of  $\chi_n$ , and the time derivative  $\dot{\mathbf{B}}_p$  has been replaced by a  
 435 finite difference. Moreover, substituting (42) into the left-hand-side of (40) leads to [15]

$$\bar{\mathbf{b}}_{en} = \bar{\mathbf{b}}_{en}^{\text{trial}} - \frac{2}{3}\gamma_\tau n \Delta t_n \text{tr}(\mathbf{g}\bar{\mathbf{b}}_{en}) \mathbf{n}_n, \quad (43)$$

436 with  $\bar{\mathbf{b}}_{en} = J_{en}^{-2/3} \mathbf{b}_{en}$ ,  $\mathbf{b}_{en} = \mathbf{F}_n \mathbf{B}_{pn} \mathbf{F}_n^T$ ,  $\bar{\mathbf{b}}_{en}^{\text{trial}} = J_{en}^{-2/3} \mathbf{b}_{en}^{\text{trial}}$ , and  $\mathbf{b}_{en}^{\text{trial}} = \mathbf{F}_n \mathbf{B}_{p(n-1)} \mathbf{F}_n^T$ ,  
 437 which implies that  $\text{tr}(\mathbf{g}\bar{\mathbf{b}}_{en}) = \text{tr}(\mathbf{g}\bar{\mathbf{b}}_{en}^{\text{trial}})$ . Hence, taking the deviatoric part of both sides  
 438 of (43), and multiplying the resulting expression by  $\mu$ , one obtains

$$\mathbf{s}_n = \mathbf{s}_n^{\text{trial}} - \frac{2}{3} \mu \gamma_{\tau n} \Delta t_n \text{tr}(\mathbf{g}\bar{\mathbf{b}}_{en}^{\text{trial}}) \mathbf{n}_n, \quad (44)$$

439 where the notation  $\mathbf{s}_n = \text{dev}(\boldsymbol{\tau}_{\kappa n}) = \mu \text{dev}(\bar{\mathbf{b}}_{en})$ , and  $\mathbf{s}_n^{\text{trial}} = \mu \text{dev}(\bar{\mathbf{b}}_{en}^{\text{trial}})$  has been used.  
 440 Finally, setting  $\mathbf{s}_n = \|\mathbf{s}_n\| \mathbf{n}_n$  and  $\mathbf{s}_n^{\text{trial}} = \|\mathbf{s}_n^{\text{trial}}\| \mathbf{n}_n^{\text{trial}}$ , equation (44) can be rewritten as  
 441 [15]

$$\left[ \|\mathbf{s}_n\| + \frac{2}{3} \mu \gamma_{\tau n} \Delta t_n \text{tr}(\mathbf{g}\bar{\mathbf{b}}_{en}^{\text{trial}}) \right] \mathbf{n}_n = \|\mathbf{s}_n^{\text{trial}}\| \mathbf{n}_n^{\text{trial}}. \quad (45)$$

442 Since the sum in brackets on the left-hand-side of (45) is a non-negative scalar, the tensors  
 443  $\mathbf{n}_n$  and  $\mathbf{n}_n^{\text{trial}}$  are parallel to each other, and, since they also have the same norm, it must  
 444 hold that  $\mathbf{n}_n = \mathbf{n}_n^{\text{trial}}$ . Therefore, equation (45) also implies the equalities

$$\mathbf{s}_n = \mathbf{s}_n^{\text{trial}} - \frac{2}{3} \mu \gamma_{\tau n} \Delta t_n \text{tr}(\mathbf{g}\bar{\mathbf{b}}_{en}^{\text{trial}}) \mathbf{n}_n^{\text{trial}}, \quad (46a)$$

$$\|\mathbf{s}_n\| = \|\mathbf{s}_n^{\text{trial}}\| - \frac{2}{3} \mu \gamma_{\tau n} \Delta t_n \text{tr}(\mathbf{g}\bar{\mathbf{b}}_{en}^{\text{trial}}). \quad (46b)$$

445 Equation (46a) is the time-discrete flow rule (43) written in terms of stress, while, through  
 446 the introduction of the yield functions

$$f_{\tau n} := \|\mathbf{s}_n\| - \sqrt{\frac{2}{3}} \left( K(\alpha_n) + \tau_y \right), \quad (47a)$$

$$f_{\tau n}^{\text{trial}} := \|\mathbf{s}_n^{\text{trial}}\| - \sqrt{\frac{2}{3}} \left( K(\alpha_{n-1}) + \tau_y \right), \quad (47b)$$

447 equation (46b) can be rephrased as

$$f_{\tau n} = f_{\tau n}^{\text{trial}} - \frac{2}{3} \mu \gamma_{\tau n} \Delta t_n \text{tr}(\mathbf{g}\bar{\mathbf{b}}_{en}^{\text{trial}}) - \sqrt{\frac{2}{3}} \left( K(\alpha_n) - K(\alpha_{n-1}) \right). \quad (48)$$

448 Consequently, the condition that plastic flow occurs at time  $t_n$ , obtained by setting  $f_{\tau n} = 0$ ,  
 449 is transformed into an equation that defines  $\gamma_{\tau n}$  implicitly [15]:

$$\frac{2}{3} \mu \gamma_{\tau n} \Delta t_n \text{tr}(\mathbf{g}\bar{\mathbf{b}}_{en}^{\text{trial}}) + \sqrt{\frac{2}{3}} \left( K \left( \alpha_{n-1} + \sqrt{\frac{2}{3}} \gamma_{\tau n} \Delta t_n \right) - K(\alpha_{n-1}) \right) = f_{\tau n}^{\text{trial}}. \quad (49)$$

450 In (49),  $f_{\tau n}^{\text{trial}}$  is regarded as known, and the time-discrete version of (35c) has been used to  
 451 express  $\alpha_n$  as a function of  $\alpha_{n-1}$  and  $\gamma_{\tau n}$ . When the condition  $f_{\tau n}^{\text{trial}} \leq 0$  applies,  $\gamma_{\tau n} = 0$ .  
 452 In the case of non-linear hardening, (49) is non-linear too, and is solved numerically (e.g.  
 453 by means of the Newton method). For linear hardening,  $\hat{\mathfrak{H}}_{\kappa}$  is quadratic in  $\alpha$ , and one  
 454 obtains [15]

$$\gamma_{\tau n} \Delta t_n = \begin{cases} \frac{f_{\tau n}^{\text{trial}}}{\frac{2}{3} \mu \text{tr}(\mathbf{g}\bar{\mathbf{b}}_{en}^{\text{trial}}) + \frac{2}{3} H}, & \text{if } f_{\tau n}^{\text{trial}} > 0, \\ 0, & \text{if } f_{\tau n}^{\text{trial}} \leq 0, \end{cases} \quad (50)$$

455 where  $H$  is a constant material parameter having the same units as  $\mu$  and defined by

$$H = \frac{\partial K}{\partial \alpha}(\alpha) = \frac{\partial^2 \hat{\mathfrak{H}}_{\kappa}}{\partial \alpha^2}(\alpha). \quad (51)$$

456 Both (49) and (50) determine  $\gamma_{\tau n}$  as a function of  $\mathbf{F}_n$  (or, equivalently, as a functional  
 457 of  $\chi_n$ ). Moreover, once  $\gamma_{\tau n}$  is computed,  $\alpha_n$  is obtained by  $\alpha_n = \alpha_{n-1} + \sqrt{\frac{2}{3}} \gamma_{\tau n} \Delta t_n$ , which  
 458 is the time-discrete version of (35c). This decouples (35c) from (35a) and (35b).

459 The most important consequence of the assumptions discussed in this section is that,  
 460 since  $\mathbf{n}_n = \mathbf{n}_n^{\text{trial}}$  and  $\text{tr}(\mathbf{g}\bar{\mathbf{b}}_{en}) = \text{tr}(\mathbf{g}\bar{\mathbf{b}}_{en}^{\text{trial}}) = \text{tr}(\mathbf{B}_{p(n-1)}\mathbf{C}_n)$ , and none of these quantities  
 461 depends on  $\mathbf{B}_{pn}$ , the flow rule (43) allows to express  $\mathbf{B}_{pn}$  as a non-linear function of  $\chi_n$ :

$$\mathbf{B}_{pn} = \hat{\mathbf{B}}_{pn}(\chi_n) := \mathbf{B}_{p(n-1)} - \frac{2}{3}\Delta t_n \gamma_{\tau n}(\chi_n) \text{tr}(\mathbf{B}_{p(n-1)}\mathbf{C}_n) \mathbf{F}_n^{-1} \mathbf{n}_n^{\text{trial}} \mathbf{F}_n^{-\text{T}}, \quad (52)$$

462 with  $\gamma_{\tau n}(\chi_n) > 0$ . Here, it holds that  $\mathbf{C}_n = \mathbf{F}_n^{\text{T}} \mathbf{g} \mathbf{F}_n$ .

## 463 5.2 Time-Discrete Setting

464 By performing a backward Euler method in time, the results obtained in section 5.1 allow  
 465 to reformulate the problem ‘Pr1’ as follows:

466

467 Given the initial data  $\mathbf{B}_{p0}(X)$  and  $\alpha_0(X)$  for all  $X \in \mathcal{B}$ , and the Dirichlet-boundary  
 468 condition  $\chi_{bn}(X)$  for all  $X \in \partial\mathcal{B}_D$ , find  $\chi_n \in (H^1(\mathcal{B}, \mathcal{S}))^3$ ,  $\mathbf{B}_{pn} \in \mathbf{L}^2(\mathcal{B}, T\mathcal{B} \otimes T\mathcal{B})$  and  
 469  $\alpha_n \in L^2(\mathcal{B}, \mathbb{R})$  such that  $\chi_n = \chi_{bn}$ , for all  $n \geq 0$  and  $X \in \partial\mathcal{B}_D$  and, for all  $n \geq 1$ ,

$$\mathbf{B}_{pn} = \begin{cases} \mathbf{B}_{p(n-1)}, & \text{if } \gamma_{\tau n} = 0, \\ \hat{\mathbf{B}}_{pn}(\chi_n) = \mathbf{B}_{p(n-1)} - \hat{\mathcal{R}}_n(\chi_n), & \text{if } \gamma_{\tau n} > 0, \end{cases} \quad (53a)$$

$$\alpha_n = \begin{cases} \alpha_{n-1}, & \text{if } \gamma_{\tau n} = 0, \\ \alpha_{n-1} + \sqrt{\frac{2}{3}} \gamma_{\tau n}(\chi_n) \Delta t_n, & \text{if } \gamma_{\tau n} > 0, \end{cases} \quad (53b)$$

$$\mathcal{P}'(\chi_n, \tilde{\mathbf{u}}) = \begin{cases} \int_{\mathcal{B}} \hat{\mathbf{P}}(\chi_n, \mathbf{B}_{p(n-1)}) : \mathbf{g} \text{Grad} \tilde{\mathbf{u}} = 0, & \text{if } \gamma_{\tau n} = 0, \\ \int_{\mathcal{B}} \hat{\mathbf{P}}(\chi_n, \hat{\mathbf{B}}_{pn}(\chi_n)) : \mathbf{g} \text{Grad} \tilde{\mathbf{u}} = 0, & \text{if } \gamma_{\tau n} > 0, \end{cases} \quad (53c)$$

470 where (53c) has to hold for all  $\tilde{\mathbf{u}} \in \tilde{\mathcal{H}}$ ,  $\gamma_{\tau n}(\chi_n)$  is determined either by (49) or by (50),  
 471 and  $\hat{\mathcal{R}}_n(\chi_n)$  is defined as

$$\hat{\mathcal{R}}_n(\chi_n) = \frac{2}{3} \Delta t_n \gamma_{\tau n}(\chi_n) \text{tr}(\mathbf{B}_{p(n-1)}\mathbf{C}_n) \mathbf{F}_n^{-1} \mathbf{n}_n^{\text{trial}} \mathbf{F}_n^{-\text{T}}. \quad (54)$$

472 The functional  $\mathcal{P}'(\chi_n, \tilde{\mathbf{u}})$  is non-linear in  $\chi_n$  regardless of whether  $\gamma_{\tau n}$  is zero or positive.  
 473 This is because the first Piola-Kirchhoff stress tensor is a non-linear constitutive functional  
 474 of  $\chi_n$  within the framework of finite deformations. Thus, iterative procedures (e.g. Newton  
 475 method) are required to solve (53c). Note that the formulation of the RMA summarised  
 476 above, which leads to (52) and (54), is such that  $\mathbf{B}_{pn}$  can be expressed as an explicit  
 477 function of  $\chi_n$ . In other words, the time-discrete flow rule (52) can be rewritten as

$$\mathcal{G}_n(\chi_n, \mathbf{B}_{pn}) = \mathbf{B}_{pn} - \mathbf{B}_{p(n-1)} + \hat{\mathcal{R}}_n(\chi_n) = \mathbf{0}, \quad (55)$$

478 with  $\mathcal{G}_n$  being non-linear in  $\chi_n$  and affine in  $\mathbf{B}_{pn}$ . Consequently, no linearisation of the  
 479 flow rule with respect to  $\mathbf{B}_{pn}$  is necessary. However, this simplification cannot be done if  
 480 the assumptions discussed in Section 5 (decoupling of the strain energy density function as  
 481 in (39), and approximation of the flow rule as in (40)) cannot be invoked. For example, this  
 482 can be the case described in ‘Pr2’, where no hypotheses are done on the right-hand-side  
 483 of (38b). This motivates the study of problems of the same type as ‘Pr2’ by means of the  
 484 Generalised Plasticity Algorithm (GPA) proposed in this paper.

485 By using numerical quadrature rules within Finite Element Methods, the equations (49),  
 486 (53a), (53b) and (54), are evaluated at the integration points of every finite element of the  
 487 spatial discretisation of the problem.

488 Although this work does use the assumption of isotropy, the proposed algorithm does  
 489 not invoke an approximation of the flow rule. This has the repercussions that the plastic

490 variable  $\mathbf{B}_{pn}$  cannot be rewritten as a function of the deformation  $\chi_n$ , and, consequently,  
 491 the flow rule cannot be decoupled from the balance of momentum. Rather,  $\mathbf{B}_{pn}$  has to  
 492 be regarded as an unknown having, at least in principle, the same ‘dignity’ as  $\chi_n$ . If, on  
 493 the one hand, this complicates the numerical treatment of the elastoplastic problem, on  
 494 the other hand, it makes the computational algorithm more flexible and applicable also  
 495 to those cases, which do not require that  $\mathbf{n}_n^{\text{trial}}$  is equal to  $\mathbf{n}_n$ . The proposed method is  
 496 presented in detail in section 6.

## 497 6 Discretisation and Linearisation of the Problem ‘Pr2’

498 The discrete, linearised version of the problem ‘Pr2’ (cf. (37)–(38b)) is constructed in  
 499 three steps. Firstly, a backward Euler method is used for discretising the flow rule (38b).  
 500 Secondly, the time-discrete version of (38) is put in a form suitable for Finite Element  
 501 analysis. Thirdly, the Finite Element Method is employed for the discretisation in space.

### 502 6.1 The Generalised Plasticity Algorithm (GPA)

503 The time-discrete version of the problem ‘Pr2’ can be formulated as follows:

504 Find  $\chi_n \in (H^1(\mathcal{B}, \mathcal{S}))^3$  and  $\mathbf{B}_{pn} \in \mathbf{L}^2(\mathcal{B}, T\mathcal{B} \otimes T\mathcal{B})$  such that  $\chi_n = \chi_{bn}$ , for all  $n \geq 0$  and  
 $X \in \partial\mathcal{B}_D$  and, for all  $n \geq 1$ ,

$$505 \quad \mathcal{P}(\chi_n, \mathbf{B}_{pn}, \tilde{\mathbf{u}}) := \int_{\mathcal{B}} \hat{\mathbf{P}}(\chi_n, \mathbf{B}_{pn}) : \mathbf{g}\text{Grad } \tilde{\mathbf{u}} = 0, \quad \forall \tilde{\mathbf{u}} \in \tilde{\mathcal{H}}, \quad (56a)$$

$$506 \quad \mathcal{G}(\chi_n, \mathbf{B}_{pn}) = \mathbf{B}_{pn} - \mathbf{B}_{p(n-1)} + \hat{\mathcal{R}}_n(\chi_n, \mathbf{B}_{pn}) = \mathbf{0}, \quad \mathbf{B}_p(X, 0) = \mathbf{B}_{p0}(X) \text{ in } \mathcal{B}. \quad (56b)$$

507 Equations (56) are generally highly non-linear and coupled with each other. To search for  
 508 solutions, (56a) and (56b) are linearised at each time step in a two-stage fashion according  
 509 to Newton’s method. At the  $k$ th and  $l$ th iteration,  $\chi_{n,k}$  and  $\mathbf{B}_{pn,l}$  are written as

$$\chi_{n,k} = \chi_{n,k-1} + \mathbf{h}_{n,k}, \quad \mathbf{B}_{pn,l} = \mathbf{B}_{pn,l-1} + \Phi_{n,l}, \quad k, l \geq 1, \quad (57)$$

510 where  $\mathbf{h}_{n,k}$  and  $\Phi_{n,l}$  are the increments associated with  $\chi_n$  and  $\mathbf{B}_{pn}$ , respectively. Thus, one  
 511 can regard the deformation gradient tensor as a functional of the motion and write  $\mathbf{F}_{n,k} =$   
 512  $\mathbf{F}(\chi_{n,k})$  and  $\mathbf{F}_{n,k-1} = \mathbf{F}(\chi_{n,k-1})$  as well as  $\mathbf{H}_{n,k} = D_\chi \mathbf{F}_{n,k-1}[\mathbf{h}_{n,k}]$ , the latter being the  
 513 Gâteaux-derivative of the functional  $\mathbf{F}$  with respect to the motion, evaluated at  $\chi_{n,k-1}$ ,  
 514 and computed along the increment  $\mathbf{h}_{n,k}$ . It follows that  $D_\chi \mathbf{F}_{n,k-1}[\mathbf{h}_{n,k}] = \text{Grad } \mathbf{h}_{n,k}$ .

515 To describe the linearisation procedure in detail, it is useful to introduce the notation

$$D_\chi \mathcal{P}(\chi_{n,k-1}, \mathbf{B}_{pn}, \tilde{\mathbf{u}})[\mathbf{h}_{n,k}] = \int_{\mathcal{B}} \mathbf{g}\text{Grad } \tilde{\mathbf{u}} : \mathbb{A}(\chi_{n,k-1}, \mathbf{B}_{pn}) : \mathbf{H}_{n,k}, \quad (58a)$$

$$D_{\mathbf{B}_p} \mathcal{P}(\chi_n, \mathbf{B}_{pn,l-1}, \tilde{\mathbf{u}})[\Phi_{n,l}] = \int_{\mathcal{B}} \mathbf{g}\text{Grad } \tilde{\mathbf{u}} : \mathbb{B}(\chi_n, \mathbf{B}_{pn,l-1}) : \Phi_{n,l}, \quad (58b)$$

$$D_{\mathbf{B}_p} \mathcal{G}(\chi_n, \mathbf{B}_{pn,l-1})[\Phi_{n,l}] = \mathbb{Y}(\chi_n, \mathbf{B}_{pn,l-1}) : \Phi_{n,l}, \quad (58c)$$

516 with

$$\mathbb{A}(\chi_{n,k-1}, \mathbf{B}_{pn}) : \mathbf{H}_{n,k} = D_\chi \hat{\mathbf{P}}(\chi_{n,k-1}, \mathbf{B}_{pn})[\mathbf{h}_{n,k}], \quad (59a)$$

$$\mathbb{B}(\chi_n, \mathbf{B}_{pn,l-1}) : \Phi_{n,l} = D_{\mathbf{B}_p} \hat{\mathbf{P}}(\chi_n, \mathbf{B}_{pn,l-1})[\Phi_{n,l}]. \quad (59b)$$

517 The fourth-order tensor  $\mathbb{A}$  is the algorithmic acoustic tensor. The expressions defining  
 518 explicitly  $\mathbb{A}$ ,  $\mathbb{B}$  and  $\mathbb{Y}$  depend strongly on the constitutive model and on the flow rule.

519 The first stage of the GPA consists of linearising (56a) and (56b) with respect to  $\mathbf{B}_p$   
 520 only. This defines two approximated expressions of  $\mathcal{P}$  and  $\mathcal{G}$  that read at the  $l$ th iteration

$$\Delta_{\mathcal{P}} := \mathcal{P}(\chi_n, \mathbf{B}_{pn,l-1}, \tilde{\mathbf{u}}) + D_{\mathbf{B}_p} \mathcal{P}(\chi_n, \mathbf{B}_{pn,l-1}, \tilde{\mathbf{u}})[\Phi_{n,l}], \quad (60a)$$

$$\Delta_{\mathcal{G}} := \mathcal{G}(\chi_n, \mathbf{B}_{pn,l-1}) + \mathbb{Y}(\chi_n, \mathbf{B}_{pn,l-1}) : \Phi_{n,l}. \quad (60b)$$

521 Note that  $\Delta_{\mathcal{P}}$  and  $\Delta_{\mathcal{G}}$  are, respectively, a scalar and a second-order tensor since they are  
 522 obtained by linearising the internal virtual power and the flow rule.

523 The dependence of  $\mathcal{G}$  on  $\mathbf{B}_{pn}$  (cf. (56b)) is such that  $\mathbb{Y}$  is invertible. Therefore, the  
 524 increment  $\Phi_{n,l}$  can be expressed as a function of  $\chi_n$  by setting (60b) equal to zero, i.e.

$$\Phi_{n,l} = -[\mathbb{Y}(\chi_n, \mathbf{B}_{pn,l-1})]^{-1} : \mathcal{G}(\chi_n, \mathbf{B}_{pn,l-1}). \quad (61)$$

525 By substituting the right-hand-side of (61) into (60a),  $\Phi_{n,l}$  is eliminated statically from  
 526  $\Delta_{\mathcal{P}}$  (this is similar to an algorithm of Gauß-Seidel type), which becomes

$$\Delta_{\mathcal{P}} = \mathcal{P}(\chi_n, \mathbf{B}_{pn,l-1}, \tilde{\mathbf{u}}) - D_{\mathbf{B}_p} \mathcal{P}(\chi_n, \mathbf{B}_{pn,l-1}, \tilde{\mathbf{u}}) \left[ [\mathbb{Y}(\chi_n, \mathbf{B}_{pn,l-1})]^{-1} : \mathcal{G}(\chi_n, \mathbf{B}_{pn,l-1}) \right]. \quad (62)$$

527 At each time step, the motion  $\chi_n$  is required to solve the equation  $\Delta_{\mathcal{P}} = 0$ . However,  
 528  $\Delta_{\mathcal{P}}$  is defined in (62) as a highly non-linear functional of  $\chi_n$ ,  $\Delta_{\mathcal{P}} \equiv \Delta_{\mathcal{P}}(\chi_n, \mathbf{B}_{pn,l-1}, \tilde{\mathbf{u}})$ .  
 529 The second stage of the GPA consists, thus, of linearising  $\Delta_{\mathcal{P}}$  with respect to  $\chi_n$ , and  
 530 setting equal to zero its linearised expression. At the  $k$ th iteration of this linearisation  
 531 sub-procedure, one has to solve

$$\Delta_{\mathcal{P}}(\chi_{n,k-1}, \mathbf{B}_{pn,l-1}, \tilde{\mathbf{u}}) + D_{\chi} \Delta_{\mathcal{P}}(\chi_{n,k-1}, \mathbf{B}_{pn,l-1}, \tilde{\mathbf{u}})[\mathbf{h}_{n,k}] = 0. \quad (63)$$

532 By introducing the auxiliary functional

$$g(\chi_n, \mathbf{B}_{pn,l-1}, \tilde{\mathbf{u}}) := D_{\mathbf{B}_p} \mathcal{P}(\chi_n, \mathbf{B}_{pn,l-1}, \tilde{\mathbf{u}}) \left[ [\mathbb{Y}(\chi_n, \mathbf{B}_{pn,l-1})]^{-1} : \mathcal{G}(\chi_n, \mathbf{B}_{pn,l-1}) \right], \quad (64)$$

533  $\Delta_{\mathcal{P}}$  becomes

$$\Delta_{\mathcal{P}}(\chi_n, \mathbf{B}_{pn,l-1}, \tilde{\mathbf{u}}) = \mathcal{P}(\chi_n, \mathbf{B}_{pn,l-1}, \tilde{\mathbf{u}}) - g(\chi_n, \mathbf{B}_{pn,l-1}, \tilde{\mathbf{u}}), \quad (65)$$

534 and (63) can be rewritten as

$$\begin{aligned} & \Delta_{\mathcal{P}}(\chi_{n,k-1}, \mathbf{B}_{pn,l-1}, \tilde{\mathbf{u}}) \\ & + D_{\chi} \mathcal{P}(\chi_{n,k-1}, \mathbf{B}_{pn,l-1}, \tilde{\mathbf{u}})[\mathbf{h}_{n,k}] - D_{\chi} g(\chi_{n,k-1}, \mathbf{B}_{pn,l-1}, \tilde{\mathbf{u}})[\mathbf{h}_{n,k}] = 0. \end{aligned} \quad (66)$$

535 The Gâteaux-derivative of  $g$  can be expressed by means of a fourth-order tensor  $\mathbb{A}'$  such  
 536 that

$$D_{\chi} g(\chi_{n,k-1}, \mathbf{B}_{pn,l-1}, \tilde{\mathbf{u}})[\mathbf{h}_{n,k}] = \int_{\mathcal{B}} \mathbf{g} \text{Grad} \tilde{\mathbf{u}} : \mathbb{A}'(\chi_{n,k-1}, \mathbf{B}_{pn,l-1}) : \mathbf{H}_{n,k}, \quad (67)$$

537 which, by using (58a), allows to reformulate (66) as follows

538 Find  $\mathbf{h}_{n,k} \in (H_0^1(\mathcal{B}, TS))^3$  such that, for all  $n \geq 1$  and  $k \geq 1$ ,

539 
$$\bar{c}(\mathbf{h}_{n,k}, \tilde{\mathbf{u}}) = \bar{g}(\tilde{\mathbf{u}}), \quad \forall \tilde{\mathbf{u}} \in (H_0^1(\mathcal{B}, TS))^3, \quad (68)$$

540

541 where

$$\bar{c}(\mathbf{h}_{n,k}, \tilde{\mathbf{u}}) := \int_{\mathcal{B}} \mathbf{g} \text{Grad} \tilde{\mathbf{u}} : \bar{\mathbb{A}}_{n,k-1,l-1} : \text{Grad} \mathbf{h}_{n,k}, \quad (69a)$$

$$\bar{\mathbb{A}}_{n,k-1,l-1} := \mathbb{A}_{n,k-1,l-1} - \mathbb{A}'_{n,k-1,l-1}, \quad (69b)$$

$$\begin{aligned} \bar{g}(\tilde{\mathbf{u}}) &:= -\mathcal{P}(\chi_{n,k-1}, \mathbf{B}_{pn,l-1}, \tilde{\mathbf{u}}) \\ &+ \int_{\mathcal{B}} \mathbf{g} \text{Grad} \tilde{\mathbf{u}} : \left( \mathbb{B}_{n,k-1,l-1} : \mathbb{Y}_{n,k-1,l-1}^{-1} \right) : \mathcal{G}_{n,k-1,l-1}, \end{aligned} \quad (69c)$$

542 and the notation  $\mathbb{A}_{n,k-1,l-1} = \mathbb{A}(\chi_{n,k-1}, \mathbf{B}_{pn,l-1})$ ,  $\mathbb{B}_{n,k-1,l-1} = \mathbb{B}(\chi_{n,k-1}, \mathbf{B}_{pn,l-1})$ , and  
 543  $\mathbb{Y}_{n,k-1,l-1} = \mathbb{Y}(\chi_{n,k-1}, \mathbf{B}_{pn,l-1})$  has been used. The increments  $\mathbf{h}_{n,k}$  belong, for all  $n$  and  
 544 for all  $k$ , to the same functional space as the test velocities, i.e.  $\mathbf{h}_{n,k}$  must vanish on  $\partial\mathcal{B}_D$   
 545 since, at each iteration and each time, the motion must comply with  $\chi_b$ .

546 The tangent operator  $\bar{\mathbb{A}}_{n,k-1,l-1}$  has been calculated by determining the numerical  
 547 derivative of the right-hand-side of the functional  $\Delta_{\mathcal{P}}$  (cf. (65)) with respect to the motion  
 548  $\chi_n$ . This is because the explicit expression of  $g$  in (64) is very cumbersome.

## 549 6.2 Fully Discrete Linearised Setting

550 Let then  $\mathcal{T}$  be a regular triangularisation of  $\text{Cl}(\mathcal{B}) = \mathcal{B} \cup \partial\mathcal{B}$  —the closure of  $\mathcal{B}$ — in  $N^h$   
 551 non-overlapping elements  $\{T_i\}_{i=1}^{N^h}$ , where  $h > 0$  is the grid characteristic length. Moreover,  
 552 let  $\mathbb{P}_m(T_i)$  be the space of polynomials of order  $m$  over  $T_i$ , for all  $i = 1, \dots, N^h$ . Hence,  
 553 setting for ease of notation  $\mathcal{V} \equiv (H_0^1(\mathcal{B}, \mathcal{S}))^3$ , the following linear finite element space is  
 554 introduced

$$\mathcal{V}_m^h := \{ \tilde{\mathbf{u}}^h \in \mathcal{V} : \tilde{\mathbf{u}}_{|T_i}^h \in (\mathbb{P}_m(T_i))^3, \forall T_i \in \mathcal{T}, \tilde{\mathbf{u}}_{|\partial\mathcal{B}_D}^h = \mathbf{0} \}, \quad (70)$$

555 where the notation  $(\mathbb{P}_m(T_i))^3$  means that each component of the vector-valued function  
 556  $\tilde{\mathbf{u}}_{|T_i}^h$ , restriction of  $\tilde{\mathbf{u}}^h$  to the element  $T_i$ , is a polynomial of degree  $m$  (in the following,  $m$   
 557 will be either 1 or 2). The space  $\mathcal{V}_m^h$  is spanned by the Lagrangian basis functions  $\{\boldsymbol{\varphi}^q\}_{q=1}^M$ ,  
 558 with  $M = \dim(\mathcal{V}_m^h)$ , so that the approximations of the test velocity  $\tilde{\mathbf{u}}$  and of the increment  
 559  $\mathbf{h}_{n,k}$  can be written, at each time  $t_n$  and at each Newton iteration step  $k$ , as

$$\tilde{\mathbf{u}}^h = \sum_{q=1}^M \tilde{u}^q \boldsymbol{\varphi}^q, \quad \mathbf{h}_{n,k}^h = \sum_{q=1}^M h_{n,k}^q \boldsymbol{\varphi}^q \in \mathcal{V}_m^h. \quad (71)$$

560 The approximation of  $\chi_{n,k} \in \mathcal{H}$  is constructed as in (57). At each time  $t_n$ , the sequence  
 561  $\{\chi_{n,k}^h\}_{k \in \mathbb{N}}$  is contained in the set  $\mathcal{H}^h \subset \mathcal{H}$  defined by

$$\mathcal{H}^h := \{ \chi_n^h \in \mathcal{H} : \chi_n^h|_{\partial\mathcal{B}_D} = \chi_{bn}^h \}, \quad (72)$$

562 where  $\chi_{bn}^h$  is the approximation of the boundary data  $\chi_b$  at time  $t_n$ . The approximated  
 563 motion  $\chi_{n,k-1}^h$ , used to determine the right-hand-side of (57), is written as

$$\chi_{n,k-1}^h = y_n^h + \mathbf{h}_{n,k-1}^h \quad (73)$$

564 with  $\mathbf{h}_{n,k-1}^h \in \mathcal{V}_m^h$  and  $y_n^h|_{\partial\mathcal{B}_D} = \chi_{bn}^h$ . Finally, the finite element version of (68) becomes:

565 Find  $\mathbf{h}_{n,k}^h \in \mathcal{V}_m^h$  such that, for all  $n \geq 1$  and  $k \geq 1$ ,

$$\bar{c}(\mathbf{h}_{n,k}^h, \boldsymbol{\varphi}^q) = \bar{g}(\boldsymbol{\varphi}^q), \quad \forall q = 1, \dots, M. \quad (74)$$

567 The integrals featuring in  $\bar{c}(\cdot, \cdot)$  and  $\bar{g}(\cdot)$  are approximated by numerical quadrature.

## 568 7 Numerical Tests and Results

569 Due to the high non-linearity of the considered problems, the load attributed via the  
 570 Dirichlet boundary conditions is applied incrementally. This leads to better starting values  
 571 for the Newton method in every incremental step. Moreover, a line search method is applied  
 572 to ensure global convergence of the non-linear iterations.

### 573 7.1 Comparison with the RMA for a Shear-Compression Test

574 As a first benchmark for evaluating the implementation of the GPA, and comparing it  
 575 with the RMA, the shear-compression test of a unit cube presented in [56] is investigated.  
 576 The unit cube is made of a material that is assumed to exhibit perfect plastic behaviour,  
 577 i.e. no hardening is considered. Thus, the energy densities  $\hat{\psi}_\kappa$  and  $\hat{W}_\kappa$  differ from each  
 578 other additively by a constant (cf. (14)),  $q$  vanishes identically (cf. (15c)), and the model  
 579 is described by  $\hat{W}_\kappa$  (cf. (39)) and the yield function  $f_\tau(\boldsymbol{\tau}_\kappa) = \|\text{dev}(\boldsymbol{\tau}_\kappa)\| - \sqrt{(2/3)}\tau_y$ .  
 580 Moreover, since  $q = -K(\alpha) = 0$ , equation (49) delivers

$$\gamma_{\tau n} \Delta t_n = \begin{cases} \frac{f_{\tau n}^{\text{trial}}}{\frac{2}{3}\mu_{\text{tr}}(\mathbf{g}\mathbf{b}_{\text{en}}^{\text{trial}})}, & \text{if } f_{\tau n}^{\text{trial}} > 0, \\ 0, & \text{if } f_{\tau n}^{\text{trial}} \leq 0. \end{cases} \quad (75)$$

581 In an orthonormal Cartesian reference frame, the Dirichlet boundary conditions can be  
 582 written as follows: For all  $t \in \mathcal{J} \equiv [0, T]$ ,

$$\chi_b^1(X, t) = X^1 + 0.3\frac{t}{T}, \quad \chi_b^2(X, t) = X^2 - 0.3\frac{t}{T}, \quad \chi_b^3(X, t) = X^3, \quad \text{on } [X^1, 1, X^3], \quad (76a)$$

$$\chi_b^1(X, t) = X^1, \quad \chi_b^2(X, t) = X^2, \quad \chi_b^3(X, t) = X^3, \quad \text{on } [X^1, 0, X^3], \quad (76b)$$

583 with  $[X^1, 1, X^3] = [0, 1] \times \{1\} \times [0, 1]$ ,  $[X^1, 0, X^3] = [0, 1] \times \{0\} \times [0, 1]$ . The conditions (76)  
 584 describe a cube clamped at the bottom surface,  $X^2 = 0$ , and undergoing shear and com-  
 585 pression at the top surface  $X^2 = 1$  with a deformation up to 30%. The material parameters  
 586 used for this test are reported in Table 1 (even though hardening is not considered in this  
 587 example, the material parameters  $H_\infty$ ,  $H$ , and  $\omega$  are reported in Table 1, since they shall  
 588 be used in next benchmarks). Note that the parameters reported in Table 1 are taken from  
 589 [15], and model the material behaviour of steel (cf. [6]).

Table 1: Material parameters

bulk modulus	$\kappa$	164206.00 N/mm <sup>2</sup>
shear modulus	$\mu$	80193.80 N/mm <sup>2</sup>
initial yield stress	$\tau_y$	450.00 N/mm <sup>2</sup>
saturation stress	$H_\infty$	715.00 N/mm <sup>2</sup>
linear hardening modulus	$H$	129.24 N/mm <sup>2</sup>
hardening exponent	$\omega$	16.93

590 To check whether the GPA (cf. Section 6.1) produces results comparable with the RMA,  
 591 the maximal eigenvalue of the Kirchhoff stress tensor  $\boldsymbol{\tau}_\kappa$  at the midpoint of the unit cube  
 592 is computed (see Fig. 1). Both the RMA and the GPA determine the same results. In  
 593 Figure 1, the deformation of the cube in the shear-compression test is shown at time  
 594  $t = T = 300$  s. Moreover, in Table 2, the computed values of the invariants of the Mandel  
 595 stress tensor  $\boldsymbol{\Sigma}$  are reported for different deformations.

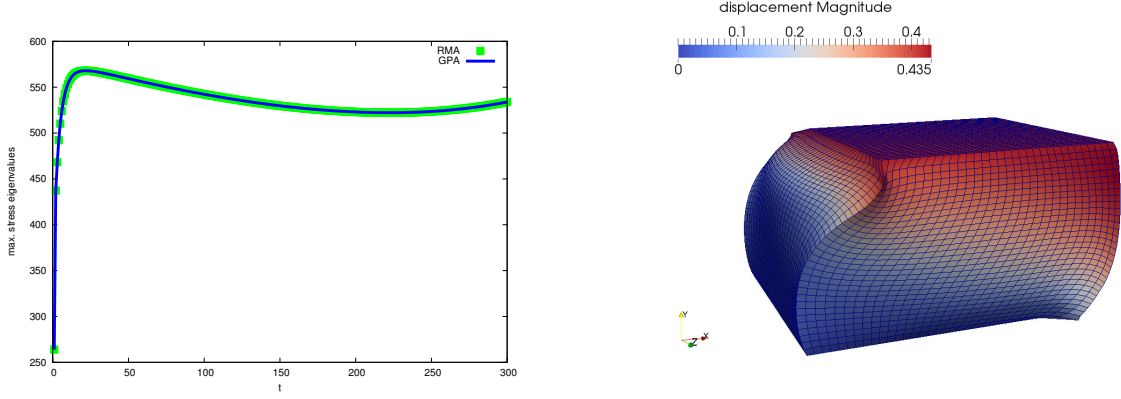


Figure 1: (Left) Maximal eigenvalue of  $\tau_n$  at  $X = (0.5, 0.5, 0.5)$  using the ‘RMA’ (green) and the ‘GPA’ (blue) with  $T = 300$  s. (Right) Deformation of the unit cube in a shear compression test at  $t = T = 300$  s.

Table 2: Comparison of the invariants of the Mandel stress tensor at  $t = T/300$ ,  $t = T/3$  and  $t = T$ , which correspond to deformations of 0.1%, 10% and 30%, respectively. The models M1, M2, M3, M4, M5 can be found in [56]. In the present paper, computations have been run with a modified version of model M4, which is referred to  $\widetilde{M4}$  hereafter, while the results shown in [56] are taken as reference for comparisons.  $\widetilde{M4}$  combines the energy potential of the model M4 [56], with the flow rule (40). The deformation at  $t = T/300$  serves to check for non-linear elasticity, since no plastic strains occur.

	M1(10%)	M1(30%)	M2(10%)	M2(30%)	$\widetilde{M4}$ (10%)	$\widetilde{M4}$ (30%)
$\bar{I}_1(\Sigma)$	-9.485+02	-9.977+02	-9.251+02	-9.190+02	-9.218+02	-9.141+02
$\bar{I}_2(\Sigma)$	1.984+05	2.257+05	1.840+05	1.803+05	1.826+05	1.786+05
$\bar{I}_3(\Sigma)$	-1.161+07	-1.473+07	-1.013+07	-9.936+06	-1.002+07	-9.944+06
	M2(0.1%)	M3(0.1%)	M4(0.1%)	M5(0.1%)	$\widetilde{M4}$ (0.1%)	
$\bar{I}_1(\Sigma)$	-2.557+02	-2.550+02	-2.560+02	-2.563+02	-2.560+02	
$\bar{I}_2(\Sigma)$	-2.205+03	-2.253+03	-2.207+03	-2.210+03	-2.213+03	
$\bar{I}_3(\Sigma)$	3.228+04	3.201+04	3.232+04	3.235+04	3.232+04	

### 596 7.1.1 Structural Set-Up

597 *RMA*: Let  $\mathbf{P}_n = \hat{\mathbf{P}}(\chi_n, \mathbf{B}_{pn})$  be the stress response defined by computing  $\mathbf{B}_{pn}$  as prescribed  
598 by (52) and substituting the result into the time-discrete version of the constitutive expres-  
599 sion of  $\mathbf{P}$  (37). As stated in Section 5.2,  $\mathcal{P}'(\chi_n, \tilde{\mathbf{u}})$  is non-linear in  $\chi_n$ . Therefore, an iterative  
600 scheme has to be applied to determine  $\chi_n$  at each time step. Let then  $\chi_{n,k} = \chi_{n,k-1} + \mathbf{h}_{n,k}$ ,  
601  $k \geq 1$ , be the motion at the  $k$ th Newton iteration, where the increment  $\mathbf{h}_{n,k}$  solves the  
602 linearised equation

$$\mathcal{P}'(\chi_{n,k-1}, \tilde{\mathbf{u}}) + D_\chi \mathcal{P}'(\chi_{n,k-1}, \tilde{\mathbf{u}})[\mathbf{h}_{n,k}] = 0. \quad (77)$$

603 In the computations performed in this paper, the Gâteaux-derivative  $D_\chi \mathcal{P}'(\chi_{n,k-1}, \tilde{\mathbf{u}})[\mathbf{h}_{n,k}]$   
604 is approximated numerically. Then, the RMA is performed according to the scheme in  
605 Algorithm 1.

606 *GPA*: The functionality of the GPA is outlined in Algorithm 2, where the notation

$$\mathcal{P}_{n,k,l} = \mathcal{P}(\chi_{n,k}, \mathbf{B}_{pn,l}, \tilde{\mathbf{u}}), \quad \mathcal{G}_{n,k,l} = \mathcal{G}(\chi_{n,k}, \mathbf{B}_{pn,l}), \quad (78a)$$

$$\mathbb{B}_{n,k,l} = \frac{\partial \hat{\mathbf{P}}}{\partial \mathbf{B}_p}(\chi_{n,k}, \mathbf{B}_{pn,l}), \quad \mathbb{Y}_{n,k,l} = \frac{\partial \mathcal{G}}{\partial \mathbf{B}_p}(\chi_{n,k}, \mathbf{B}_{pn,l}), \quad (78b)$$

607 has been used. As explained in Section 6.1, the index  $l$  enumerates, at each time step,  
608 the iterations performed to linearise the equations with respect to  $\mathbf{B}_{pn}$ . At the  $l$ th iteration,  
609  $\mathbf{B}_{pn,l}$  is computed as shown in (57), and the increment  $\Phi_{n,l}$  is determined by (61).  
610 To control the linearisation error introduced by this procedure, line 15 of Algorithm 2  
611 is mandatory. As for the RMA, the Gâteaux-derivative in line 22 of Algorithm 2 is  
612 approximated by computing the numerical derivative of the defect equation in line 8.  
613

---

**Algorithm 1** Solving the balance equation using the 'RMA'

---

```

1: if  $X \in \partial\mathcal{B}_D$  then
2:    $\mathbf{F}_{n,0} = T\chi_{bn}$ ;
3: else
4:    $\mathbf{F}_{n,0} = \mathbf{F}(\chi_{n-1}(X))$ ;
5: end if
6:  $k = 0$ ;
7:
8:  $(\mathbf{P}_{n,k}, \mathbf{B}_{pn}) = \text{RMA}(\mathbf{F}_{n,k}, \mathbf{B}_{p(n-1)})$ ;
9:
10:  $r_{n,k} := -\mathcal{P}'_{n,k} = -\int_{\mathcal{B}} \mathbf{P}_{n,k} : \mathbf{g}\text{Grad}\tilde{\mathbf{u}}$ ;
11:
12: if  $\|r_{n,k}\| \leq \epsilon_F$  then
13:    $(\mathbf{F}_n, \mathbf{B}_{pn}) = (\mathbf{F}_{n,k}, \mathbf{B}_{pn})$ ;
14: else
15:   determine  $\mathbf{h}_{n,k+1}$  by solving:
16:    $D_\chi \mathcal{P}'_{n,k}[\mathbf{h}_{n,k+1}] = r_{n,k}$ ;
17:
18:    $\mathbf{F}_{n,k+1} = \mathbf{F}_{n,k} + D_\chi \mathbf{F}_{n,k}[\mathbf{h}_{n,k+1}]$ ;
19:    $k = k + 1$ ;
20:   go to 8;
21: end if

```

---



---

**Algorithm 2** Solving the balance equation using the 'GPA'

---

```

1: if  $X \in \partial\mathcal{B}_D$  then
2:    $\mathbf{F}_{n,0} = T\chi_{bn}$ ;
3: else
4:    $\mathbf{F}_{n,0} = \mathbf{F}(\chi_{n-1}(X))$ ;
5: end if
6:  $l = 0$ ;  $\mathbf{B}_{pn,0} = \mathbf{B}_{p(n-1)}$ ;
7:  $k = 0$ ;
8:  $r_{n,k,l} := -\mathcal{P}_{n,k,l} + \int_{\mathcal{B}} \mathbf{g}\text{Grad}\tilde{\mathbf{u}} : \mathbb{B}_{n,k,l}(\mathbb{Y}_{n,k,l})^{-1} : \mathcal{G}_{n,k,l}$ ;
9:
10: if  $\|r_{n,k,l}\| \leq \epsilon_F$  then
11:   compute  $\Phi_{n,l+1}$ :
12:    $\Phi_{n,l+1} = -(\mathbb{Y}_{n,k,l})^{-1} : \mathcal{G}_{n,k,l}$ ;
13:    $\mathbf{B}_{pn,l+1} = \mathbf{B}_{pn,l} + \Phi_{n,l+1}$ ;
14:
15:   if  $\|\mathcal{G}(\mathbf{F}_{n,k}, \mathbf{B}_{pn,l+1})\| \leq \epsilon_{B_p}$  then
16:      $(\mathbf{F}_n, \mathbf{B}_{pn}) = (\mathbf{F}_{n,k}, \mathbf{B}_{pn,l+1})$ ;
17:   else
18:      $l = l + 1$ ; go to 8;
19:   end if
20: else
21:   determine  $\mathbf{h}_{n,k+1}$  by solving:
22:    $D_\chi r_{n,k,l}[\mathbf{h}_{n,k+1}] = -r_{n,k,l}$ .
23:
24:    $\mathbf{F}_{n,k+1} = \mathbf{F}_{n,k} + D_\chi \mathbf{F}_{n,k}[\mathbf{h}_{n,k+1}]$ ;
25:    $k = k + 1$ ; go to 8;
26: end if

```

---

614 **7.1.2 Computational Effort**

615 Even for the simple case of a unit cube, a good mesh resolution is required to obtain  
616 reliable results [56]. To this end, 32768 trilinear hexahedral elements have been used, which  
617 lead to 262144 non-linear problems in  $\mathbb{R}^7$  (indeed, the unknowns of the problems are six  
618 independent components of  $\mathbf{B}_p$  and the Lagrange multiplier  $\gamma_\tau$ , the latter being computed  
619 with an 8-point Gauß quadrature rule) at every integration point for the defect evaluation  
620 and the computation of the consistent tangent. 'Level 4' denotes the finest grid, which  
621 consists of 32768 hexahedral elements, and is found by a threefold, uniform refinement of  
622 the coarsest grid, 'Level 1', consisting of 64 hexahedral elements. The solving strategies  
623 adopted in this paper are similar to those reported in [56]. The non-linear variational  
624 problem in  $\chi_n$  (which involves 107811 unknowns) is solved by applying the Newton method  
625 and having recourse to numerical differentiation to approximate the tangent operators. The  
626 linear sub-problems occurring within the Newton-iterations are solved by a preconditioned  
627 Bi-CGSTAB method, in which the preconditioner is determined by means of a multigrid  
628 cycle with a multigrid method. A Gauß-Seidel method served as smoother in the geometric  
629 multigrid cycle. The non-linear convergence is ensured by means of a line-search method.

630 It is important to remark that, for the GPA, additional effort has to be taken into

631 account to compute the increments  $\Phi_{n,l}$ , which require the inversion of a fourth-order  
632 tensor at every integration point. Therefore, the generalised algorithm developed in this  
633 paper needs more computing time than the classical RMA (see Table 3). On the other  
634 hand, this increase of computational time can be viewed as a measure of the “weight” of  
635 the simplifying hypotheses (39) and (40) discussed in Section 5, right after equation (41).

Table 3: Computing time (in CPU-h) for using the RMA resp. the GPA in the shear-compression test.

	Level 1	Level 2	Level 3	Level 4
RMA	0.010	0.111	0.950	9.042
GPA	0.040	0.429	3.281	33.172

636 For the von Mises  $J_2$  plasticity model presented in problem ‘Pr1’, only one iteration  
637 step in  $l$ , cf. Algorithm 2, was necessary to achieve a prescribed tolerance of  $\epsilon_{B_p} = 1 \cdot 10^{-8}$   
638 in the computations performed in this paper.

## 639 7.2 Comparison with the RMA for the Necking of a Circular Bar

640 The sample has initial length  $L_0 = 26.667$  mm and initial radius  $R_0 = 6.413$  mm. In  
641 cylindrical coordinates,  $X = (R, \Theta, Z)$ ,  $R \in [0, R_0]$ ,  $\Theta \in [0, 2\pi)$ ,  $Z \in [-L_0/2, L_0/2]$  denote,  
642 respectively, the radial coordinate, the angle about the symmetry axis, and the axial  
643 coordinate of the original geometry (initial configuration) of the specimen. The material  
644 parameters are listed in Table 1. A description of this very well-documented problem can  
645 be found, for example, in [15, 56, 66].

646 By exploiting the cylindrical symmetry of the bar, and assigning appropriate boundary  
647 conditions, the computations can be performed on one eighth of the original geometry.  
648 However, the computational grid in the necking region is refined to a greater degree than  
649 in the rest of the specimen.

650 As suggested in [15], a non-linear hardening law is chosen. In particular, the hardening  
651 potential is taken to be

$$\hat{\mathfrak{H}}_\kappa(\alpha) = \frac{1}{2}H\alpha^2 + (H_\infty - \tau_y)\alpha + (H_\infty - \tau_y)\frac{1}{\omega} [\exp(-\omega\alpha) - 1], \quad (79a)$$

$$q = -K(\alpha) = -\frac{\partial \hat{\mathfrak{H}}_\kappa}{\partial \alpha}(\alpha) = -[H\alpha + (H_\infty - \tau_y)(1 - \exp(-\omega\alpha))]. \quad (79b)$$

652 It should thus be necessary to apply a local Newton method to determine the plastic  
653 multiplier  $\gamma_{\tau n}$  in (49) in every global Newton iteration for  $\chi_n$ . However, in order to reduce  
654 the computational effort, and since  $\gamma_{\tau n}$  can be viewed as a functional of  $\chi_n$  through  $\mathbf{F}_n$   
655 (cf. (49)),  $\gamma_{\tau n}$  is computed explicitly with respect to  $\chi_n$  in every global Newton step.

656 The necking test is performed by applying to the specimen an axial displacement up to  
657  $\chi_b^z(X, T) - Z = 7.0$  mm (which corresponds to an elongation of about 26% of the original  
658 length), for all  $X \in [0, R_0] \times [0, 2\pi) \times \{L_0/2\} \cup [0, R_0] \times [0, 2\pi) \times \{-L_0/2\}$ , which constitutes  
659 the Dirichlet-boundary. The final load is reached by several incremental loading steps.

### 660 7.2.1 Grid Refinement

661 The base-level, termed ‘Level 1’, consists of 120 hexahedral elements and the finer levels  
662 are generated by regular refinement of the grid. For instance, Level 2 is similar to the grid  
663 presented in [67].

664 To obtain results in good agreement with those reported in [15], a fine computational  
665 grid with 61440 hexahedral elements was needed for the computations performed in this

666 paper. Such a fine grid was necessary to approximate adequately the physical behaviour  
667 and the change of geometry of the specimen (cf. Figures 2(a) and 2(b)). One reason for  
668 the necessity of such a refinement lies in the fact that volumetric locking effects, which  
669 might arise as a consequence of the hypothesis of isochoric plastic flow, need to be avoided.  
670 Another common approach to eliminate volumetric locking is to increase the polynomial  
671 order of the finite element spaces [68, 69] instead of decreasing the mesh size. Figure 2(d)  
672 shows that a good accuracy of the experimental data can already be obtained on grid level  
673 2 by using quadratic finite element *ansatz* functions.

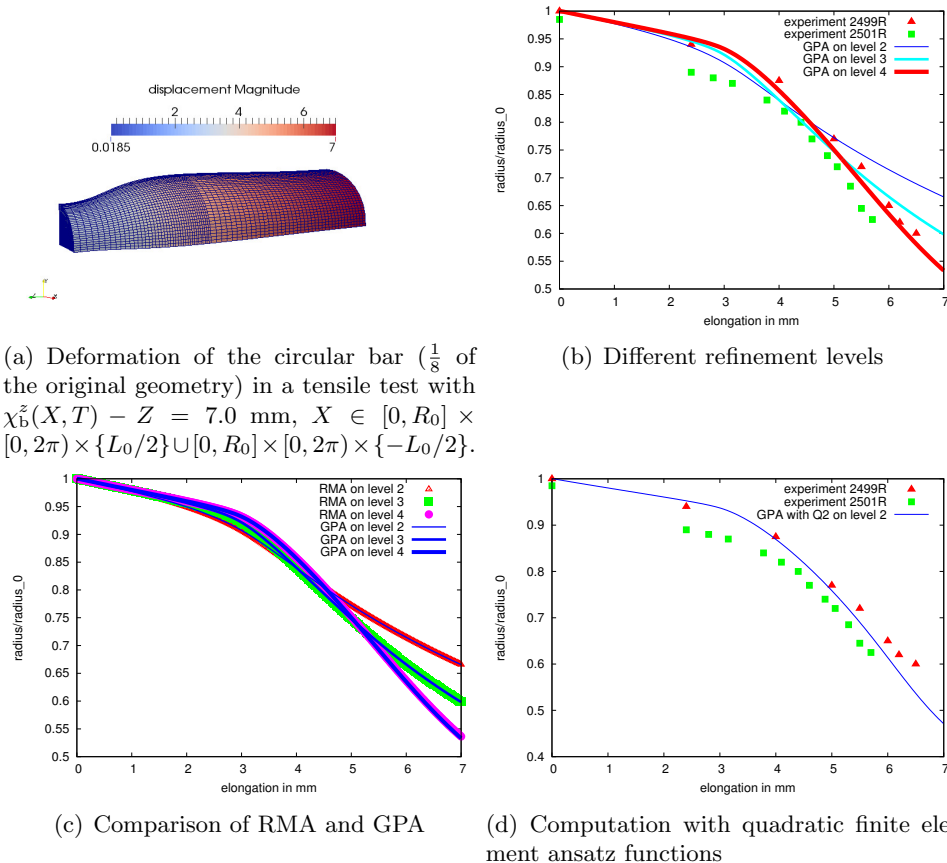


Figure 2: Comparison of the numerical results obtained in this work for the necking test to the experimental data reported in [70]. The experiments 2499R (21 °C) and 2501R (71 °C) differ from each other in the temperature of the specimen. The change of the sectional area where necking occurs is plotted against the elongation in mm.

## 674 7.2.2 Convergence

675 In Table 4, pointwise changes in the components of the displacement and in the normal  
676 components of the Cauchy stress tensor  $\sigma = J^{-1}PF^T$  are shown under uniform grid re-  
677 finement. Although almost 200000 degrees of freedom are assigned on Level 4, the region  
678 in which plastic evolution takes place is still not captured correctly (cf. Table 4). Never-  
679 theless, linear convergence behaviour for the displacements and the normal stresses can  
680 be observed at some representative sample points.

681 To discuss the convergence properties of the RMA and the GPA, it is necessary to look  
682 at the Algorithms 1 and 2, and to recall that, in both cases, a non-linear problem in the  
683 motion  $\chi_n$  has to be solved at each time step. In particular, the RMA solves (53c), while  
684 the GPA solves  $\Delta_{\mathcal{P}} = 0$ , where  $\Delta_{\mathcal{P}}$  is given in (62). Due to the high non-linearity of the

Table 4: Let  $P_1 = (6.413, 0, 13.334)$ ;  $P_2 = (6.413, 0, 10)$ ;  $P_3 = (6.406, 0.785, 12)$  be three sample points of the specimen expressed in cylindrical coordinates;  $w^r := \chi^r(P, t) - \chi^r(P, 0)$  is the radial displacement and  $w^z := \chi^z(P, t) - \chi^z(P, 0)$  is the longitudinal displacement at  $P \in \{P_1, P_2, P_3\}$  and  $t = 280$  s.

	elements	DoFs	plastic IPs	$w^r(P_1, t)$	diff.	$w^r(P_2, t)$	diff.	$w^z(P_3, t)$	diff.
Level 1	120	627	960	-1.548	0.590	-0.794	0.194	-1.815	0.997
Level 2	960	3843	4709	-2.138	0.423	-0.600	0.170	-2.812	0.469
Level 3	7680	26691	25539	-2.561	0.412	-0.530	0.009	-3.281	0.100
Level 4	70080	198531	110908	-2.973		-0.541		-3.381	
	$\sigma^{rr}(P_1, t)$	diff.	$\sigma^r(P_2, t)$	diff.	$\sigma^{zz}(P_3, t)$	diff.			
Level 1	3.646+03	1.099+03	5.734+03	2.297+03	3.860+03	8.277+03			
Level 2	2.547+03	0.489+03	8.031+03	4.558+03	12.137+03	3.475+03			
Level 3	2.058+03	0.316+03	3.473+03	0.687+03	8.662+03	0.710+03			
Level 4	1.742+03		2.786+03		7.952+03				

685 equations, iterative linearisation schemes are employed. These introduce *residuals* at each  
686 iteration. For the RMA, the residual introduced at the  $k$ th iteration is denoted by  $r_{n,k}$   
687 (see line 10 of the Algorithm 1). For the GPA, the residual at the iterations  $k$  and  $l$  is  
688 denoted by  $r_{n,k,l}$  (see line 8 of the Algorithm 2). Both the iterative schemes used in this  
689 paper converge, since the norm of the residual is smaller than, or equal to, a prescribed  
690 tolerance (cf. line 12 of Algorithm 1 for the RMA, and line 10 of Algorithm 2 for the  
691 GPA). It is also important, however, to establish how fast the iterative methods converge.  
692 This can be done by counting the number of iteration steps required for satisfying the  
693 conditions  $\|r_{n,k}\| \leq \epsilon_F$  (line 12 of Algorithm 1) and  $\|r_{n,k,l}\| \leq \epsilon_F$  (line 10 of Algorithm 2).  
694 Looking at Table 5, it can be observed that the non-linear convergence rates of the RMA  
695 and the GPA are comparable. For both algorithms, a line-search method is evident in the  
696 first iteration steps for achieving convergence. Moreover, in both cases the convergence is  
697 quadratic.

Table 5: Comparison of the non-linear reduction of the norm (absolute value, in the present context) of the residual as computed by the RMA and the GPA for the necking test on Level 4. The residual is the right-hand-side of line 10 of the Algorithm 1 for the RMA, and of line 8 of the Algorithm 2 for the GPA. The load applied at the Dirichlet boundary of the cylinder is  $\chi_b^z(X, t) - Z = 7 \frac{t}{T}$  mm, with  $T = 280$  s.

	RMA	$t = 1$ s	$t = 280$ s		GPA	$t = 1$ s	$t = 280$ s
nonlinear iteration step:	1	1.08+04	1.05+04	nonlinear iteration step:	1	1.07+04	1.05+04
	2	6.81+02	6.34+02		2	1.07+03	8.58+02
	3	5.51+02	5.48+02		3	3.20+02	8.24+02
	4	5.50+00	4.37+02		4	7.72+01	3.92+02
	5	5.26-02	2.70+02		5	7.63-01	6.44+01
	6	4.63-04	2.15+01		6	5.42-03	4.65+00
	7	2.86-06	1.01+01		7	3.91-05	1.48-01
	8	2.54-08	7.64-01		8	3.53-07	1.96-02
	9	3.53-10	5.99-02		9	2.39-09	6.04-04
	10		3.04-03		10		9.79-06
	11		4.11-05		11		8.67-08
	12		1.40-06		12		7.12-09
	13		1.55-08				
	14		6.58-09				

### 698 7.3 Shear-compression Test for a biomechanical example

699 To outline the wider field of application of the GPA in comparison to the classical RMA,  
700 a biological flow rule of the form of (33) is chosen, i.e.

$$\dot{B}_p = -2\gamma_p B_p G \frac{\text{dev}(\Sigma_R)}{\|\text{dev}(\tau)\|}, \quad (80a)$$

$$\gamma_p := \lambda \left[ \|\text{dev}(\tau)\| - \sqrt{(2/3)\tau_y} \right]_+. \quad (80b)$$

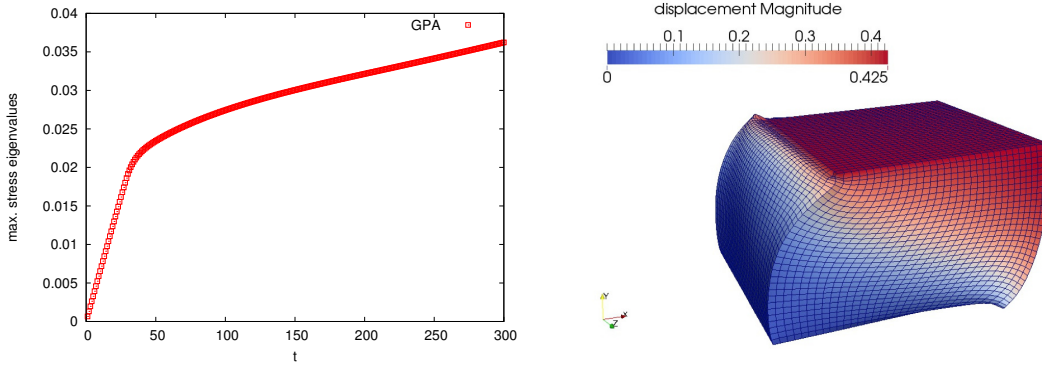


Figure 3: (Left) Maximal eigenvalue of  $\boldsymbol{\tau}_\kappa$  at  $X = (0.5, 0.5, 0.5)$  using the ‘GPA’ with  $T = 300$  s. (Right) Deformation of the unit cube in a shear compression test for the biomechanical model at  $t = T = 300$  s.

701 The mechanical response of the considered soft tissue, which is assumed to be hyperelastic,  
 702 is modelled by means of the Holmes-Mow strain energy density function [71, 72, 73, 74]

$$\hat{W}_\kappa(\mathbf{C}_e) = \alpha_0 \left( [\hat{I}_3(\mathbf{C}_e)]^{-\beta} \exp \left\{ \alpha_1 [\hat{I}_1(\mathbf{C}_e) - 3] + \alpha_2 [\hat{I}_2(\mathbf{C}_e) - 3] \right\} - 1 \right). \quad (81)$$

703 In (81),  $\alpha_0$  is a referential value of the strain energy density function,  $\alpha_1$ ,  $\alpha_2$  and  $\beta$  are  
 704 model parameters, while  $\hat{I}_1$ ,  $\hat{I}_2$  and  $\hat{I}_3$  are defined in (16a)–(16c). Clearly,  $\hat{W}_\kappa$  describes a  
 705 material exhibiting isotropic elastic properties with respect to the natural state. As done  
 706 in problem ‘Pr2’,  $\hat{W}_\kappa$  is a function of  $\mathbf{C}_e$  only. Moreover, hardening is disregarded here.

707 Since this model is based on the problem formulation ‘Pr2’, the application of the  
 708 RMA in its classical form is not possible. Consequently, the GPA is validated for this  
 709 biomechanical problem using the shear-compression test of the unit cube of section 7.1.  
 710 The incremental load at the boundary is described by the Dirichlet boundary conditions  
 711 (76). The material parameters used for this test are reported in Table 6. The elastic  
 712 parameters  $\alpha_0$ ,  $\alpha_1$ , and  $\alpha_2$  comply with the work of García and Cortés [72], who studied a  
 713 model of articular cartilage. We selected  $\beta$  in such a way that  $\beta = \alpha_1 + 2\alpha_2$  (cf. [71]). The  
 714 material parameters incorporated in the phenomenological flow rule (80), which is suitable  
 715 for biomechanical problems, are chosen in consistency with [58]. The computational grid  
 716 consists of 32768 hexahedral elements.

Table 6: Material parameters

$\alpha_0$ (N/mm <sup>2</sup> )	$\alpha_1$	$\alpha_2$	$\beta$	$\lambda$	$\tau_y$ (N/mm <sup>2</sup> )
0.722	0.150	0.024	0.198	0.500	0.020

717 The deformation of the cube in the shear-compression test is similar to that from  
 718 Section 7.1 at time  $t = T = 300$  s (cf. Figure 1 and Figure 3). However, the maximal  
 719 eigenvalue of the Kirchhoff stress tensor  $\boldsymbol{\tau}_\kappa$  at the midpoint of the unit cube differs from  
 720 that found by the Neo-Hookean model (cf. Figure 1), and is plotted in Figure 3.

## 721 7.4 Software Framework UG4

722 The numerical methods presented in this work have been implemented in UG4, a novel  
 723 version of the software framework UG (‘Unstructured Grids’) [75]. This toolbox provides  
 724 fast, massive-parallel solvers for coupled partial differential equations like, e.g. geometric  
 725 and algebraic multigrid methods. Its new tools for parallel communication (PCL) allow  
 726 for an efficient scaling of the code on large numbers of processors [76].

## 727 8 Discussion and Outlook

728 As stated at the end of section 5.2, the algorithm proposed in this work treats  $\mathbf{B}_p$  and  $\chi$   
 729 as equally ranked variables, even though technical reasons lead to a ‘hierarchical’ solution  
 730 strategy, which suggests to compute first the plastic increment,  $\Phi_{n,l}$ , by solving (60b), and  
 731 then to determine the increment of deformation,  $\mathbf{h}_{n,k}$ , by solving the problem (68). These  
 732 reasons are also related to the fact that the weak form of the momentum balance law is  
 733 solved by a Finite Element method, whereas the flow rule is defined pointwise and, as such,  
 734 requires no spatial discretisation (rather,  $\mathbf{B}_p$  is evaluated only at the integration points of  
 735 the finite elements). The philosophy of the algorithm has been inspired by the observation  
 736 that the modelling choices proposed in [16, 17] comply with the development of some  
 737 generalised numerical procedures (cf., e.g., [77]) that tend to improve the efficiency of the  
 738 ‘standard’ algorithms of Computational Plasticity. In the authors’ opinion, this conceptual  
 739 framework is suitable for a unified approach to the analysis of anelastic processes.

740 The theory reported in [16, 17] is based on the fundamental concept according to which  
 741 a body that deforms and changes its internal structure is characterised by a “multi-layer  
 742 kinematics” [17]. The kinematic descriptor associated with the “visible” motion of the  
 743 body is the “standard velocity”  $\mathbf{v}$  (or  $\mathbf{u}$ ), while the kinematic descriptor accounting for  
 744 the variation of the body’s internal structure is the generalised velocity  $\mathbf{L}_p = \dot{\mathbf{F}}_p \mathbf{F}_p^{-1}$  (or  
 745  $\dot{\mathbf{B}}_p$ ). Consistently with the concept of “multi-layer kinematics”, the space of generalised  
 746 virtual velocities is generally a subset of

$$\tilde{\mathcal{H}}_a := \{(\tilde{\mathbf{u}}, \tilde{\mathbf{L}}_p) \in TS \times (T\mathcal{C}_\kappa \otimes T^*\mathcal{C}_\kappa) \mid \tilde{\mathbf{u}}|_{\partial\mathcal{B}_D} = \mathbf{0}\}, \quad (82)$$

747 where the subscript ‘a’ indicates that  $\tilde{\mathcal{H}}_a$  is obtained by augmenting  $\tilde{\mathcal{H}}$  with  $\tilde{\mathbf{L}}_p$  (cf. (6)).  
 748 It is important to remark that, in this framework,  $\mathbf{F}_p$  is not an internal variable. This  
 749 strong difference with the standard theory requires to reformulate the Principle of Virtual  
 750 Powers. Indeed, a logical consequence of viewing  $\tilde{\mathbf{L}}_p$  as a virtual velocity is that one has  
 751 to introduce the external and internal forces,  $\mathbf{M}_{\text{ext}}$  and  $\mathbf{M}_{\text{int}}$ , power-conjugate with  $\tilde{\mathbf{L}}_p$ .  
 752 Thus, if the material constitutive behaviour is of grade zero with respect to  $\mathbf{F}_p$  and of  
 753 grade one in  $\chi$ , one obtains

$$\mathcal{P}_{\text{ext}}(\tilde{\mathbf{u}}, \tilde{\mathbf{L}}_p) := \int_{\mathcal{B}} \mathbf{b}_R \cdot \tilde{\mathbf{u}} + \int_{\partial\mathcal{B}_N} \mathbf{f}_R \cdot \tilde{\mathbf{u}} + \int_{\mathcal{B}} \mathbf{M}_{\text{ext}} : \eta \tilde{\mathbf{L}}_p, \quad (83a)$$

$$\mathcal{P}_{\text{int}}(\tilde{\mathbf{u}}, \tilde{\mathbf{L}}_p) := \int_{\mathcal{B}} \mathbf{P} : \mathbf{g} \text{Grad} \tilde{\mathbf{u}} + \int_{\mathcal{B}} \mathbf{M}_{\text{int}} : \eta \tilde{\mathbf{L}}_p. \quad (83b)$$

754 By enforcing the PVP, i.e. setting  $\tilde{\mathcal{P}}_{\text{int}}(\tilde{\mathbf{u}}, \tilde{\mathbf{L}}_p) = \tilde{\mathcal{P}}_{\text{ext}}(\tilde{\mathbf{u}}, \tilde{\mathbf{L}}_p)$  for all  $(\tilde{\mathbf{u}}, \tilde{\mathbf{L}}_p) \in \tilde{\mathcal{H}}_a$ , the  
 755 local force balance  $\mathbf{M}_{\text{int}} = \mathbf{M}_{\text{ext}}$  is obtained, in conjunction with the standard one given  
 756 in (9a)–(9c). Moreover, in the case of isochoric plastic distortions, and in the absence of  
 757 hardening, the plastic dissipation reads  $(\mathbf{M}_{\text{int}} + \Sigma) : \eta \mathbf{L}_p \geq 0$ , which suggests to express  
 758  $\mathbf{M}_{\text{int}}$  as the sum of a dissipative stress  $\mathbf{Y}$  and the negative of the Mandel stress tensor  $\Sigma$ ,  
 759 so that  $\mathbf{M}_{\text{int}} = \mathbf{Y} - \Sigma$ . This result, together with the force balance  $\mathbf{M}_{\text{int}} = \mathbf{M}_{\text{ext}}$ , leads  
 760 to  $\mathbf{Y} = \mathbf{M}_{\text{ext}} + \Sigma$  [16, 17]. If, for simplicity,  $\mathbf{M}_{\text{ext}}$  is assumed to vanish, then the more  
 761 stringent equality  $\mathbf{Y} = \Sigma$  is obtained. The latter equality is consistent with the standard  
 762 theory, where the plastic dissipation is identified with  $\Sigma : \eta \mathbf{L}_p$ .

763 In the case of vanishing external forces, the PVP can be rewritten as

$$\int_{\mathcal{B}} \mathbf{P} : \mathbf{g} \text{Grad} \tilde{\mathbf{u}} + \int_{\mathcal{B}} (\mathbf{Y} - \Sigma) : \eta \tilde{\mathbf{L}}_p = 0 \quad \forall (\tilde{\mathbf{u}}, \tilde{\mathbf{L}}_p) \in \tilde{\mathcal{H}}_a. \quad (84)$$

764 When  $\mathbf{Y}$  can be determined constitutively as a function  $\mathbf{L}_p$  [20, 36, 42, 43], the PVP (84)  
 765 produces a system of coupled equations in the unknowns  $\chi$  and  $\mathbf{F}_p$ . Since the equation

766 determining  $\mathbf{F}_p$  stems from the second summand of (84), which is not local, suitable  
767 finite element basis functions for  $\mathbf{F}_p$  and  $\tilde{\mathbf{L}}_p$  should be introduced, as it is done for  $\chi$   
768 and  $\tilde{\mathbf{u}}$ . In particular, the algebraic form of the mixed problem (84), obtained after the  
769 finite element discretisation and linearisation of (84), leads to a block matrix, in which the  
770 extra-diagonal blocks couple the degree of freedom related to the standard deformation  
771 with those related to the plastic distortions. The same conclusions could be drawn also  
772 in the case of rate-independent plastic behaviour, by substituting the second term of (84)  
773 with the weak form of some flow rule [77]. As a consequence of this approach,  $\mathbf{F}_p$  need not  
774 be evaluated only at the integration points, as it happens in the standard theory.

775 If, on the one hand, the formulation (84) can be viewed as a reinterpretation of the  
776 standard theory of Elastoplasticity, on the other hand, spatial discretisations for  $\mathbf{F}_p$  become  
777 mandatory for those constitutive theories whose grade in  $\mathbf{F}_p$  is higher than the zeroth.  
778 This could happen, for instance, within the theory of defects in elasto-plastic materials (cf.,  
779 e.g., [78]). In this case, indeed, the Differential Geometry tools required by the theory, like  
780 the Bilby-type connection  $\left(\mathbf{A}^{(p)}\right)_{BD}^A = (\mathbf{F}_p^{-1})_{B\beta}^A \partial_{XD}(\mathbf{F}_p)_{\beta B}^B$ , involve the differentiation  
781 of  $\mathbf{F}_p$  with respect to material coordinates. In such situations, or even in those in which  
782 the evolution law for  $\mathbf{F}_p$  is given by [79]

$$\dot{\mathbf{F}}_p = \mathbf{Z}(\mathbf{F}_p, \mathcal{R}_p, \text{Grad}\mathcal{R}_p, X), \quad (85)$$

783 where  $\mathcal{R}_p$  is the fourth-order curvature tensor associated with the plastic metric tensor  
784  $\mathbf{C}_p = \mathbf{F}_p^T \boldsymbol{\eta} \mathbf{F}_p$ , spatial discretisations for  $\mathbf{F}_p$  and  $\tilde{\mathbf{L}}_p$  become necessary. In this respect, it  
785 might be useful to consider computational algorithms like the one proposed in this paper.

786 For the reasons outlined so far, the GPA seems to be a promising algorithm for those  
787 theories in which  $\mathbf{F}_p$  represents a structural degree of freedom, rather than an internal  
788 variable. As it currently stands, the GPA is actually a step forward in this direction. In a  
789 future work, the possibility of applying the GPA to such a two-field formulation of finite  
790 strain Plasticity shall be investigated in the framework of Poroplasticity, and together with  
791 the possibility of establishing robust solvers, whose efficiency has been already shown for  
792 optimisation problems and for the Navier-Stokes equations by means of a simultaneous  
793 solving process [80][81]. This could be an interesting approach for a further development  
794 of efficient solvers for structural mechanical problems.

795 Finally, the GPA could be a useful computational tool for problems in which plasticity  
796 is coupled with damage [82] as well as for biomechanical models of growth and tissue  
797 adaptation involving higher order gradients of the deformation (see, e.g., [83, 84, 85, 86]),  
798 for problems of remodelling of bone [87] and fibre-reinforced biological materials [88], and  
799 also for studying problems involving the mechanical interaction between fluid and porous  
800 matrix in compacting fluid-saturated grounds [89].

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