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Toward Standardized Test Methods to Determine the Effectiveness of Filtration Media against Airborne Nanoparticles --Manuscript Draft--

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Abstract:	<p>The filtration of airborne nanoparticles is an important control technique as the environmental, health and safety impacts of nanomaterials grow. A review of the literature shows that significant progress has been made on airborne nanoparticle filtration in the academic field in the recent years. We summarize the filtration mechanisms of fibrous and membrane filters; the air flow resistance and filter media figure of merit are discussed. Our review focuses on the air filtration test methods and instrumentation necessary to implement them; recent experimental studies are summarized accordingly. Two methods using monodisperse and polydisperse challenging aerosols respectively are discussed in detail. Our survey shows that the commercial instruments are already available for generating a large amount of nanoparticles, sizing and quantifying them accurately. The commercial self-contained filter test systems provide the possibility of measurement for particles down to 15 nm. Current international standards dealing with efficiency test for filters and filter media focus on measurement of the minimum efficiency at the most penetrating particle size. The available knowledge and instruments provide a solid base for development of test methods to determine the effectiveness of filtration media against airborne nanoparticles down to single-digit nanometer range.</p>
Additional Information:	
Question	Response
Scientific Justification (Available to Reviewers)	The present review focuses on test methods for airborne nanoparticle filtration and instrumentation necessary to implement them; recent experimental studies are summarized accordingly. Two methods using monodisperse and polydisperse challenging aerosols respectively are discussed in detail, including the test setups, protocols, possible artifacts and errors. Tabulated data of the commercial instruments for particle generation, sizing, detection and other aspects of filtration tests are provided. We believe a comprehensive review focusing on airborne nanoparticle filtration test methods is not yet available in the literature. The provided information on the test methods and commercial instruments is substantial and helpful for researchers and industries working on air filtration. The available knowledge and instruments

summarized in the review provide a solid base for development of standardized test methods to determine effectiveness of filtration media against airborne nanoparticles down to single-digit nanometer range.

Toward Standardized Test Methods to Determine the Effectiveness of Filtration Media against Airborne Nanoparticles

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Abstract

The filtration of airborne nanoparticles is an important control technique as the environmental, health and safety impacts of nanomaterials grow. A review of the literature shows that significant progress has been made on airborne nanoparticle filtration in the academic field in the recent years. We summarize the filtration mechanisms of fibrous and membrane filters; the air flow resistance and filter media figure of merit are discussed. Our review focuses on the air filtration test methods and instrumentation necessary to implement them; recent experimental studies are summarized accordingly. Two methods using monodisperse and polydisperse challenging aerosols respectively are discussed in detail. Our survey shows that the commercial instruments are already available for generating a large amount of nanoparticles, sizing and quantifying them accurately. The commercial self-contained filter test systems provide the possibility of measurement for particles down to 15 nm. Current international standards dealing with efficiency test for filters and filter media focus on measurement of the minimum efficiency at the most penetrating particle size. The available knowledge and instruments provide a solid base for development of test methods to determine the effectiveness of filtration media against airborne nanoparticles down to single-digit nanometer range.

1. Introduction

Aerosol filtration is used in diverse applications, such as air pollution control, emission reduction, respiratory protection for human, and processing of hazardous materials (Hinds 1999). The rising awareness of environmental agencies and the general public for a cleaner environment is forcing many industries to consider a filtration process in their plants. Another driving force is the growing necessity of a clean air environment in many advanced industries, such as electronics, medical, pharmaceuticals, biological research, gas turbine and nuclear energy installations, automotive applications (Tronville and Rivers, 2005a, b) and others.

The filtration of airborne nanoparticles is becoming an important issue as they are produced in large quantities from material synthesis and combustion emission. Nanoparticles, i.e. particles with at least one dimension under 100 nm, have high mobility in airborne form. They may pose a serious health risk because of the high mobility and the increased toxicity due to the large specific surface area. Emitted into the environment, they may potentially lead to new hazards or increased risks to the environment (Oberdörster et al. 2005; Maynard and Pui, 2007; Wang et al. 2011a).

Filtration has been extensively studied experimentally and theoretically; models for clean fibrous filter media are well developed and systematically documented by Brown (1993), Hinds (1999) and Lee and Mukund (2001). Filtration of nanoparticles, due to its emerging importance and impact on environment and health protection, has attracted voluminous research in recent years. Shaffer and Rengasamy (2009) reviewed respiratory protection against airborne nanoparticles and concluded that industrial hygienists and safety professionals should continue to use traditional respirator selection guidance for workers exposed to nanoparticles. Mostofi et al. (2010) reviewed the literature on the filtration performance of mechanical filters and respirators against nanoparticles. The review of Wang and Otani (2013) focused on fibrous filters and their performance against nanoparticles.

The process of air filtration is complicated, and although the general principles are well known there is still a gap between theory and experiments (Wang 2013). Questions exist regarding the filtration of nanoparticles down to single-digit nanometers because of possible thermal rebound; the electrostatic mechanism plays an important role for nanoparticle filtration and its modeling and quantification still need to be improved; the development of new filter media such as nanofiber filters deserves further studies and modeling efforts. Filtration testing for nanoparticles, especially those down to single-digit nanometers, is a challenging task which necessitates generation of a large amount of exceedingly small particles, and accurate sizing and quantification of such particles. Thus state-of-the-art aerosol instruments are usually required and meticulous protocols are implemented to avoid artifacts and errors. Current international standards dealing with efficiency test for filters and filter media focus on measurement of the minimum efficiency at the most penetrating particle size. Further work is needed toward standardization of nanoparticle filtration.

We review the literature on filtration of airborne nanoparticles with a focus on the filtration test methods and instruments. First the filtration mechanisms are introduced. The pressure drop and figure of merit for filters are considered. Experimental studies are summarized with respect to the test methods and instruments, particle size range and material and filter characteristics. The commercial available instruments which can be used in nanoparticle filtration systems are reviewed. Current standards relevant to nanoparticle filtration are summarized.

2. Filtration mechanisms

The aerosols carried by the air stream are removed in the filter due to different mechanisms. The fractional penetration P represents the fraction of aerosols passing through the filter, defined as

$$P = C_{down} / C_{up}, \quad (1)$$

where C_{down} and C_{up} are the aerosol concentrations downstream and upstream of the filter, respectively. The filter efficiency E is the fraction of aerosols removed by the filter,

$$E = 1 - P. \quad (2)$$

The parameters for the filtration conditions, including the face velocity U_0 , air viscosity μ and temperature T , have impact on the filtration efficiency. We consider the filtration mechanisms for both fibrous filters and membrane filters.

2.1 Filtration mechanisms for fibrous filters

Fibrous filter media are mats composed of fibers. In nonwoven fibrous filters, the fibers are bonded together by entangling structures mechanically, thermally or chemically. They are not made by weaving or knitting. The fiber orientations can be rather random, even though the fibers are oriented mainly perpendicular to the aerosol flow. The fiber sizes are often not uniform. Woven fabrics and mesh screens can also be modeled as fibrous filters. The single fiber efficiency E_Σ , defined as the ratio of the number of particles collected by a fiber to the number of particles in the volume of air geometrically swept out by the fiber (Hinds 1999), is related to the filter penetration through

$$P = \exp\left(\frac{-4\alpha E_\Sigma t}{\pi(1-\alpha)d_f}\right), \quad (3)$$

where t is the filter media thickness, d_f is the fiber diameter, and α is the filter solidity or the fraction of the solid material in a filter. The total single-fiber efficiency E_Σ , has contributions from different collection mechanisms and can be written as

$$E_\Sigma \approx E_D + E_R + E_{DR} + E_I + E_G + E_E, \quad (4)$$

where E_D , E_R , E_I , E_G , and E_E represent the collection efficiencies due to diffusion, interception, inertial impaction, gravity and electrostatic effect, respectively; E_{DR} accounts for the enhanced collection due to interception of the diffusing particles.

The diffusion mechanism accounts for the particles undergoing Brownian motion which then hit the fibers and are captured. Diffusion can be the dominating mechanism for nanoparticle filtration. The dimensionless parameter, Peclet number Pe , represents the relative importance of convection and diffusion and is defined as

$$Pe = \frac{d_f U_0}{D}, \quad \text{and} \quad (5)$$

$$D = \frac{kTC_c}{3\pi\mu d_p}, \quad (6)$$

where U_0 is the filtration face velocity, μ is the air dynamic viscosity, D is the diffusion coefficient, k is the Boltzmann constant, T is the absolute temperature, d_p is the particle diameter, and C_c is the slip correction factor. The single fiber efficiency due to diffusion is a function of Pe and different researchers gave somewhat different expressions based on theoretical derivation or empirical data (Stechkina 1966; Kirsch and Fuchs 1968; Cheng and Yeh 1980; Lee and Liu 1982; Wang et al. 2007). The analysis of Lee and Liu (1982) led to

$$E_D = 2.58 \left(\frac{1-\alpha}{Ku} \right)^{1/3} Pe^{-2/3}, \quad (7)$$

which is valid for $0.05 < \alpha < 0.2$, $10^{-3} < U_0 < 2$ m/s, and $0.1 < d_f < 50$ μm . Ku is the Kuwabara hydrodynamic factor which accounts for the effect by neighboring fibers on the flow around a fiber:

$$Ku = -0.5 \ln \alpha - 0.75 - 0.25\alpha^2 + \alpha. \quad (8)$$

The interception effect is due to the finite size of the particles under the assumption that the particles follow the air flow streamlines. Interception occurs when the particle center comes within one particle radius of the fiber surface. The single fiber efficiency due to interception can be determined from the air flow around the fiber and the particle size. With the Kuwabara flow field, the interception efficiency can be expressed as (Lee and Liu 1982)

$$E_R = \frac{1+R}{2Ku} \left[2\ln(1+R) - 1 + \alpha + \left(\frac{1}{1+R} \right)^2 \left(1 - \frac{\alpha}{2} \right) - \frac{\alpha}{2} (1+R)^2 \right], \quad (9)$$

and

$$R = d_p / d_f. \quad (10)$$

Interception may play an important role for nanoparticle filtration, especially when the fiber size is small.

Inertial impaction occurs when the particle inertia keeps it from following the abruptly changing streamlines near the fiber, thus the particle hits the fiber. The Stokes number Stk characterizes the inertia of the particle and is defined as

$$Stk = \frac{\rho_p d_p^2 C_c U_0}{18\mu d_f}, \quad (11)$$

where ρ_p is the particle density. When the Stokes number is high, the particle is moving almost in a straight line with its initial velocity. The drag force on the particle can be approximated by that acting on the particle moving in a straight line, then the movement of

the particle can be obtained. Brown (1993) used this perturbation approach and obtained the following expression for the efficiency due to inertial impaction E_I at high Stokes numbers

$$E_I = 1 - \chi / \text{Stk} , \quad (12)$$

where χ is a constant depending on the flow field. It appears that Brown's equation was obtained by considering the particle as a point mass (Wang and Pui 2009).

When the interception efficiency is finite and the Stokes number is small, the single-fiber efficiency can be computed based on the assumption that the particle trajectory deviates slightly from the gas streamline. Stechkina, Kirsch and Fuchs (1969) gave the following expression for particles with low Stokes numbers

$$E_I = \frac{1}{(2Ku)^2} \left[(29.6 - 28\alpha^{0.62}) R^2 - 27.5 R^{2.8} \right] \text{Stk for } R < 0.4. \quad (13)$$

Brown (1993) summarized results for inertial impaction from calculations and experiments. The values of the efficiency due to inertial impaction spread out in rather wide ranges at small Stokes numbers (Fig. 4.5 – 4.10 in Brown 1993). It appears accurate determination of the inertial impaction efficiency at small Stokes numbers is still difficult, possibly due to the difficulty in indentifying contributions from different filtration mechanism in this range. Hinds (1999) stated that in estimating the overall single fiber collection efficiency near the size of minimum efficiency, it is necessary to include an interaction term to account for enhanced collection due to interception of the diffusing particles:

$$E_{DR} = \frac{1.24R^{2/3}}{(KuPe)^{1/2}} . \quad (14)$$

At the normal filtration velocities (on the order of cm/s and tens of cm/s), inertial impaction is not expected to be an important mechanism for nanoparticles. However, inertial fibrous filters have been developed for sampling and collecting nanoparticles at face velocities up to 50 m/s (Otani et al. 2007; Furuuchi et al. 2010). The results showed that inertial impaction was the dominant capture mechanism at higher velocities.

Gravitational settling may lead particles to deviate from the streamlines and to be collected in the filter, which is typically only important for particles above a few micrometers and at low face velocities. Usually it is negligible for nanoparticles.

The mechanisms due to diffusion, interception, inertial impaction and gravity are known as mechanical capture mechanisms. When the aerosols or the filter possess electrostatic charges, or when the filter is subject to an external electrical field, the electrostatic capture mechanism is at play. Coulombic forces attract charged particles to oppositely charged fibers. A charged fiber can induce a dipole, or charge separation in a neutral particle. The particle is subject to the non-uniform electrical field generated by the fiber, thus the attractive force due to the separated charge on the near side of the particle is greater than the repulsive force on the far side. The result is a dielectrophoretic force which attracts the particle to the fiber. Similarly, a charged particle can induce an equal and opposite charge near the surface of a

neutral fiber at close range. The resultant image force causes attraction between the particle and fiber; though the image forces are weaker than coulombic forces.

The charge level on the filter media fibers may change with time and usage, and application of external electrical field may overcome the decaying charge level problem. The external field can polarize a fiber and the resultant uniform field around the fiber can move a charged particle toward the fiber. Polarization of particles by the externally field also can produce dielectrophoretic forces on them.

The electret filters, with intentionally electrically charged fibers, take advantage of the electrostatic attraction to improve the filtration efficiency, without affecting the flow resistance. Brown (1993) discussed the effect of charge amount and configuration on filtration. The greater the amount of charge on the filter media fibers, the greater will be the electrical field, and the higher the filtration efficiency by electrostatic attraction. Charge configuration is also important. Uniform charge distribution is not of great value in filtration, because the field between two fibers carrying the same charge may be low, and the field outside of the filter may cause dielectric breakdown of air, thus limiting the charge that the filter could hold. To be effective in air filtration, the electrical field must extend a significant distance beyond the surface of a charged fiber. Hence, the electrical charge must have a spatial variation not much smaller than fiber or inter-fiber dimensions.

Brown (1993) analyzed the single fiber efficiency for fibers with uniform charge distributions and two-dimensional charge distributions. In the case of a fiber carrying a uniform charge Q per unit length, the non-dimensional parameter governing the capture due to coulombic force is

$$N_{Qq} = \frac{QqC_c}{3\pi^2 \varepsilon_0 \mu d_p d_f U_0}, \quad (15)$$

where q is the electrical charge on the particle and ε_0 is the vacuum permittivity. N_{Qq} represents the ratio between the drift velocity of the particle due to coulombic force and the face velocity. Brown (1993) derived the following expression for single fiber efficiency due to coulombic force by a uniformly charged fiber

$$E_{Qq} = \pi N_{Qq}. \quad (16)$$

Capture of neutral particles due to dielectrophoretic force by a uniformly charged fiber is governed by the parameter

$$N_{Q0} = \frac{Q^2 d_p^2 C_c}{3\pi^2 \varepsilon_0 \mu d_f^3 U_0} \left(\frac{\varepsilon_p - 1}{\varepsilon_p + 2} \right), \quad (17)$$

where ε_p is the dielectric constant of the particle. When the dielectric force field is approximated by a solenoidal field with the same value at the fiber surface, the single fiber efficiency for neutral particles due to dielectrophoretic force is approximately (Natanson 1957; Brown 1993)

$$E_{Q0} = \pi N_{Q0}. \quad (18)$$

Brown (1993) pointed out that Equation (18) is applicable when E_{Q0} is small; when E_{Q0} is large, the efficiency can be approximated as (Kraemer and Johnstone 1955)

$$E_{Q0} = \left(\frac{3\pi N_{Q0}}{2} \right)^{1/3}. \quad (19)$$

Stenhouse (1974) gave power law relationships between E_{Q0} and N_{Q0} by fitting numerical calculation results.

For fiber carrying non-uniform charges, the electrical field can be determined by the Poisson's equation. Brown (1981, 1993) gave solutions for the electrical field of a fiber carrying a line multipole charge. The dimensionless parameters for the capture due to coulombic force and dielectrophoretic force by the multipole charged fiber are respectively

$$N_{\sigma q} = \frac{\sigma q C_c}{3\pi \varepsilon_0 (1 + \varepsilon_f) \mu d_p U_0}, \quad (20)$$

$$N_{\sigma 0} = \frac{2\sigma^2 d_p^2 C_c}{3\varepsilon_0 (1 + \varepsilon_f)^2 \mu d_f U_0} \left(\frac{\varepsilon_p - 1}{\varepsilon_p + 2} \right), \quad (21)$$

where σ represents the surface charge density and ε_f is the dielectric constant of the fiber. The surface density is equal to σ multiplying the cosine of an integral product of the angular coordinate of the fiber surface. The dielectric constant of the fiber ε_f appears due to the internal electrical field in the fiber caused by the non-uniform charge distribution, which is not the case for a fiber carrying a uniform charge.

Brown (1981, 1993) and Pich et al. (1987) calculated capture of charged and neutral particles by multipole charged fibers. The efficiency depends on the orientation of the fiber, i.e. whether the particles approach the attractive or repulsive face of the fiber. If the distribution of the orientations is assumed to be random, an average may be taken. Power law relationships between the dimensionless parameters $N_{\sigma q}$ and $N_{\sigma 0}$ and the corresponding single fiber efficiencies were given. Lathrache and Fissan (1989) also calculated the single fiber efficiencies due to electrostatic attraction in the Kuwabara flow field and fitted them to formulas.

Brown (1981) analyzed the combined effect of electrostatic forces with interception and found that there exists a critical value of interception parameter, R_c , below which interception does not affect the particle capture. Pich et al. (1987) calculated the combined single fiber efficiency due to coulombic forces and interception, however, their expression did not take into consideration the existence of R_c . Otani et al. (1993) compared the results of the above two studies and proposed expressions for the efficiency due to the combined effect of electrostatic forces with interception for a fiber with randomly distributed orientations of line dipole. Their expressions took different forms depending on the ranges of $N_{\sigma q}$ and $N_{\sigma 0}$ and whether the interception parameter is below the critical value.

2.2 Filtration mechanisms for membrane filters

The membrane filters generally possess higher solid fractions than fibrous filters and rely more on the surface filtration than on the depth filtration for particles larger than the rated pore sizes in the membrane (Rubow and Liu 1986; Liu et al. 2011). The filtration mechanisms for conventional solvent-cast membranes are similar to fibrous filters and the fibrous filter model was found to work well (Rubow 1981; Rubow and Liu 1986). Good agreement was found between the effective fiber diameter used in the model and the diameter of the fiber-like structures in solvent-cast membranes (Rubow and Liu 1986; Liu et al. 2011).

Nuclepore filters represent another type of membrane filters, which possess microscopic holes of uniform diameter, approximately perpendicular to the filter surface. The capillary tube model has been shown to accurately predict the particle collection characteristics of Nuclepore filters (Spurny et al. 1969; Manton 1978, 1979; Marre and Palmeri 2001; Cyrs et al. 2010; Chen et al. 2013a, b). Four filtration mechanisms have been considered for the Nuclepore membrane filters: impaction on the filter surface, interception on the pore opening, diffusion on the walls of the filter pore and diffusion on the filter front surface. The four mechanisms are illustrated in Figure 1.

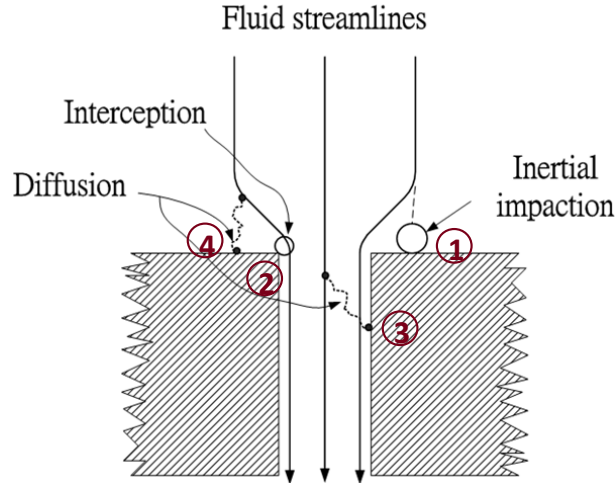


Figure 1. Illustration of the four filtration mechanisms of a Nuclepore filter.

The theoretical efficiency of impaction, ε_i is calculated as (Pich, 1964):

$$\varepsilon_i = \frac{2\varepsilon'_i}{1+\zeta} - \frac{\varepsilon_i'^2}{(1+\zeta)^2} \quad \text{with} \quad \varepsilon'_i = 2\text{Stk}\sqrt{\zeta} + 2\text{Stk}^2\zeta \exp\left[\frac{-1}{\text{Stk}\sqrt{\zeta}}\right] - 2\text{Stk}^2\zeta, \quad (22)$$

$$\text{Stk} = \frac{d_p^2 \rho_p C_c U_0}{18\mu r_0} \quad \text{and} \quad \zeta = \frac{\sqrt{\phi}}{1-\sqrt{\phi}}, \quad (23)$$

where ϕ : filter porosity, Stk: Stokes' number, r_0 : pore radius. The interception efficiency on pore opening, ε_R (Spurny et al., 1969) can be expressed as:

$$\varepsilon_R = N_R (2 - N_R) \quad \text{with} \quad N_R = d_p / (2r_0). \quad (24)$$

The diffusion efficiency in pore walls, ε_D (Spurny et al., 1969; Twomey, 1962) can be calculated as:

$$\begin{aligned} \varepsilon_D &= 2.56N_D^{2/3} - 1.2N_D - 0.177N_D^{4/3} \text{ for } N_D < 0.01 \text{ or} \\ &= 1 - 0.819\exp(-3.657N_D) - 0.098\exp(-22.305N_D) \\ &\quad - 0.032\exp(-56.95N_D) - 0.016\exp(-107.6N_D) \text{ for } N_D > 0.01 \end{aligned} \quad (25)$$

where $N_D = \frac{t\phi D}{r_0^2 U_0}$ represents the ratio between the diffusion displacement and the pore size.

The efficiency of diffusion deposition on the front surface of the filter ε_{DS} may be expressed as (Manton 1979)

$$\varepsilon_{DS} = 1 - \exp \left\{ \frac{-\alpha_1 \Psi^{2/3}}{\left[1 + (\alpha_1/\alpha_2) \Psi^{7/15} \right]} \right\} \quad (26)$$

where $\alpha_1 = 4.57 - 6.46\phi + 4.58\phi^2$, $\alpha_2 = 4.5$, $\Psi = \frac{D\phi^{1/2}}{r_0 U_0}$.

The total filtration efficiency ε_T due to the above four mechanisms is then

$$\varepsilon_T = 1 - (1 - \varepsilon_i)(1 - \varepsilon_R)(1 - \varepsilon_D)(1 - \varepsilon_{DS}). \quad (27)$$

2.3 Most penetrating particle size and minimum efficiency

Different capture mechanisms have different dependences on the particle size. Interception and inertial impaction becomes more effective when the size increases, diffusion becomes more effective when the size decreases, and electrostatic mechanism depends on particle size through mobility and charge distribution. As a consequence, there exists an intermediate particle size range where the particle penetration is maximum and the filtration efficiency is minimum. The corresponding particle size is termed the most penetrating particle size (MPPS). The most penetrating size depends on the filtration parameters such as the face velocity, air viscosity and temperature, filter parameters such as thickness, solidity and fiber diameter, and particle density and electrical charge.

The MPPS is often in the range from 100 nm to 300 nm when only the mechanical capture mechanisms are at play, the fiber size is in micrometer to tens of micrometer range, the face velocity is on the order of a few cm/s or tens of cm/s, and the air is at normal temperature and pressure. This is the basis for using a DOP (dioctyl phthalate) synthetic aerosol having a mass size distribution with the mean size at 0.3 μm for testing high-efficiency particulate air (HEPA) filters (Lee and Mukund 2000). It should be noted that the mean of the same size distribution if expressed in terms of number of particles would be shifted to a smaller size. Lee and Liu (1980) derived Equations (28) and (29) to predict the MPPS $d_{p,\min}$, and the minimum single-fiber efficiency E_{\min} ,

$$d_{p,\min} = 0.885 \left[\left(\frac{Ku}{1-\alpha} \right) \left(\frac{\sqrt{\lambda kT}}{\mu} \right) \left(\frac{d_f^2}{U_0} \right) \right]^{2/9}, \quad (28)$$

$$E_{\min} = 1.44 \left[\left(\frac{1-\alpha}{Ku} \right)^5 \left(\frac{\sqrt{\lambda kT}}{\mu} \right)^4 \left(\frac{1}{U_0^4 d_f^{10}} \right) \right]^{1/9}, \quad (29)$$

where λ is the mean free path of air molecules. The derivation was based on the assumption that the only important mechanisms near the minimum efficiency are interception and diffusion. As the fiber size decreases, the MPPS decreases and the minimum efficiency becomes greater. These trends are illustrated in Figure 2, in which the filter media efficiency is plotted as a function of the particle size for three fiber sizes.

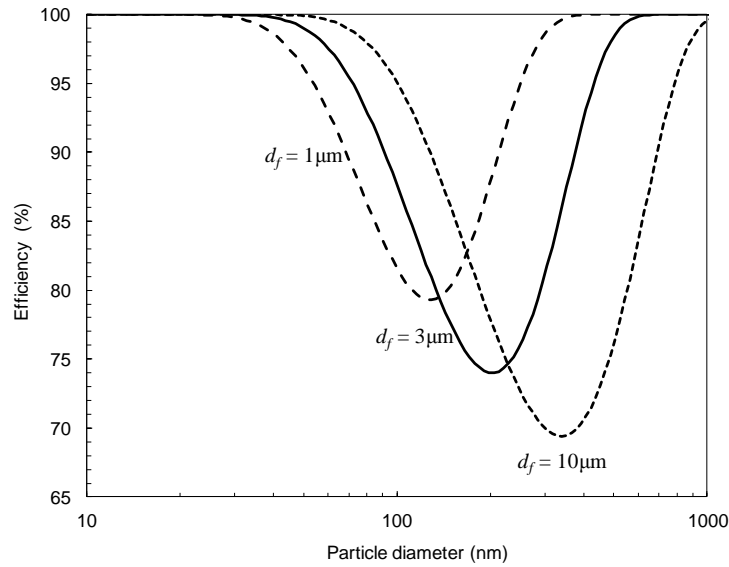


Figure 2. The effect of fiber size (d_f) on filter efficiency as a function of particle size; $\alpha = 0.05$ and $U_0 = 0.2$ m/s. Filter thickness has been adjusted so that all three filters have the same pressure drop, as calculated by Equation (36).

3. Pressure drop and filter figure of merit

The pressure drop across the filter is an important consideration in filtration applications. For Nuclepore filters, the pressure drop Δp can be computed using different expressions dependent on the pore Knudsen number

$$Kn_p = \lambda / r_0, \quad (30)$$

where λ is the mean free path of air molecules. When $Kn_p \ll 1$, the flow is in the viscous regime and the pressure drop may be calculated by the Hagen-Poiseuille equation in the form (Spurny et al. 1969)

$$\Delta p = p_1 - p_1 \sqrt{1 - 5.093 \frac{\mu t U_0}{p_1 r_0^4 N_p}}, \quad (31)$$

where p_1 is the gas pressure upstream of the filter and N_p is the number of pores per unit surface area. When Kn_p is larger but still $Kn_p < 1$, the slip effect should be considered because the air velocity at the pore wall is at finite values instead of zero. Then the pressure drop can be expressed as (Zaviska 1951; Spurny et al. 1969)

$$\Delta p = p_1 - p_1 \sqrt{1 - 5.093 \frac{\mu t U_0}{p_1 r_0^4 N_p (1 + 5.50 \lambda / r_0)}}. \quad (32)$$

When Kn_p is near unity or > 1 , the following equation can be used (Adzumi 1937; Spurny et al. 1969)

$$\Delta p = p_1 + 3.42 \frac{\Omega \mu}{r_0} \sqrt{\frac{2\pi R' T}{M}} - \sqrt{\left[p_1 + 3.42 \frac{\Omega \mu}{r_0} \sqrt{\frac{2\pi R' T}{M}} \right]^2 - 5.093 \frac{\mu t p_1 U_0}{r_0^4 N_p}}, \quad (33)$$

where R' is the universal gas constant, M is the molecular weight of gas, and Ω is the Adzumi's constant. Spurny et al. (1969) used 0.75 for Ω .

For fibrous filters, the pressure drop Δp is related to the drag force per unit length F_d on individual fibers in fibrous filters by the following expression

$$\Delta p = F_d \frac{4\alpha}{\pi d_f^2} t. \quad (34)$$

The drag force in the Kuwabara flow is $F_d = 4\pi\mu U_0 / Ku$. If we assume that all the fibers have the same size and are distributed perpendicular to the flow and evenly, the pressure drop becomes

$$\Delta p = \frac{16\alpha}{Ku \cdot d_f^2} \mu U_0 t. \quad (35)$$

The linear relationship between the pressure drop and the media face velocity is in accordance with the Darcy's law when the flow is in laminar regime. For actual fibrous filter, the polydisperse fiber sizes, random orientations, and inhomogeneity make the pressure drop deviate from the above theoretical derivation. Davies (1973) gave an empirical expression for the pressure drop Δp

$$\Delta p = \mu U_0 t f(\alpha) / d_f^2 \quad \text{with } f(\alpha) = 64\alpha^{1.5} (1 + 56\alpha^3) \quad \text{for } 0.006 < \alpha < 0.3. \quad (36)$$

It can be seen that the dependence of Δp on μ , U_0 and t is the same in (35) and (36). They

also show that Δp is inversely proportional to d_f^2 . If t , U_0 and α are kept constant, then Δp increases greatly when the fiber diameter changes from micrometers to nanometers. However, the pressure drop increase is lessened by the slip effect when the fiber size decreases, which can be characterized by the fiber Knudsen number

$$Kn = 2 \lambda / d_f. \quad (37)$$

The larger the Knudsen number, the bigger the slip effects. The pressure drop based on the Kuwabara flow with slip effect can be computed as (Brown 1993, Equation 3.65):

$$\Delta p = \frac{16\alpha(1+1.996Kn)}{d_f^2 \left[Ku + 1.996Kn(-0.5 \ln \alpha - 0.25 + \alpha^2 / 4) \right]} \mu U_0 t. \quad (38)$$

To evaluate the overall performance considering both the penetration P and pressure drop Δp , a useful criterion is the figure of merit Q (also known as the quality factor) which can be defined as:

$$Q = -\ln(P) / \Delta p. \quad (39)$$

Since $-\ln(P)$ provides a measure of the filter media efficiency, the figure of merit represents the ratio between the efficiency and the pressure drop Δp . Good filter media provide high efficiency and low pressure drop, thus larger values of Q indicate better filter media. In different applications, the relevant importance of the filter efficiency and pressure drop may be weighed differently. Thus there exist different ways to evaluate the overall filter performance.

4. Nano-filtration experimental studies

In the recent years, significant amount of experimental studies of filtration for nanoparticles have appeared in the literature. Otani et al. (1995) investigated removal of nanoparticles from air by stainless steel wire meshes, and observed thermal rebound for particles < 1 nm. Ichitsubo et al. (1996) measured penetration of nanoparticles and ion clusters through stainless steel wire screens, and observed rebound for particles and ion clusters < 2 nm. Alonso et al. (1997) questioned the sizing accuracy in the above two studies, and argued that a single differential mobility analyzer may not provide accurate enough size measurement around 2 nm and below. Heim et al. (2006) also challenged Ichitsubo et al., attributing their findings to inaccurate particle size measurement of particles below 2–3 nm caused by an artifact of differential mobility analyzer (DMA) diffusional broadening. Kim et al. (2006) measured the efficiency of a glass fiber filter media against particles down to 1 nm with the help of a particle size magnifier for detection; rebound was observed for particles < 2 nm.

In contrast, a number of studies of nanoparticles down to 2 – 3 nm reported no thermal rebound. Alonso et al. (1997) measured penetration of nanoparticles through wire screens and laminar flow tubes. To improve the sizing accuracy, they use a tandem DMA to determine the challenging particle size and no rebound effect was observed for particles down to 2 nm

and for 1.36-nm ions. Heim et al. (2005) measured the filtration efficiency for particles below 20 nm through nickel screens and Heim et al. (2010) obtained the filtration efficiency for particles down to 1.2 nm; no rebound was observed. Japuntich et al. (2007) compared two filter test methodologies for nanoparticles. Kim et al. (2007) and Wang et al. (2007) investigated penetration down to 3 nm through a variety of filter media, including screen filters, standard fiberglass filters and a selection of personal protective equipment filters, and reported no rebound effect. Shin et al. (2008) measured filtration efficiency for silver nanoparticles and did not detect rebound for 3 nm particles at temperatures up to 500 K. Mouret et al. (2011) tested penetration of copper nanoparticles in grids, and found no rebound effect for particles down to 4 nm. Thomas et al. (2013) investigated penetration of charged and neutral aerosols through stainless steel and dielectric meshes. They found the penetration for stainless steel mesh obeyed diffusion theory in the range 4 – 80 nm. Thermal rebound is dependent on the material properties and more information than the particle size is needed to better understand it.

Protection against nanoparticles by respirators has been widely studied. Martin and Moyer (2000) studied the filtration efficiency of electrostatic respirator filter media for particles down to nanometer range. Balazy et al. (2006a, b) investigated the efficiency of respirators and masks against nanoparticles and viruses. They observed MPPS in diameter range of ~ 30–70 nm, due to the electrostatic effect. Some respirators may not provide the certified efficiency at the MPPS because they were certified at larger particle sizes. Rengasamy et al. (2007, 2008, 2009) studied performance of different types of respirators with focus on whether the filters provided expected levels of filtration protection against nanoparticles. They observed MPPS in the range of 30 – 60 nm and found the tested filters provided expected level of protection. Eninger et al. (2008) evaluated performance of respirators against viruses and ultrafine particles. Lee et al. (2008) obtained the protection factors for respirators and masks from human subject evaluation. Eshbaugh et al. (2009) measured respirator filter efficiency under high constant and cyclic flows. Golanski et al. (2009) studied protection against nanoparticles by fibrous filter media, masks, protective clothing, and gloves.

Boskovic et al. (2005, 2007, 2008) measured the filtration efficiency for different nanoparticles and evaluated the particle shape effect. They found the filtration efficiency was lower for cubic particles because of higher bouncing probability. Kim et al. (2009) investigated the structural effect of nanoparticle agglomerates on filtration. They showed that at the same mobility size, agglomerates had lower penetration due to larger interception length. Buha et al. (2013) studied agglomerate filtration and analyzed effect of the agglomerate correction on filtration efficiency. Seto et al. (2010) and Wang et al. (2011a, b) investigated filtration of carbon nanotubes (CNTs). These studies showed that CNT penetration was lower than spheres of the same mobility size due to the longer geometric length. Bahk et al. (2013) used the filtration method to determine the length of CNTs. Vo and Zhang (2013) measured the CNT penetration for facepiece respirators and also reported lower penetration than spheres.

In addition to screen filters, standard fibrous filters, and respirator filters, nanoparticle penetration has been tested on other types of filters. Wang et al. (2008a, b) tested filtration efficiency of nanofiber filter media against nanoparticles and analyzed the figure of merit for different particle sizes. Cyrs et al. (2010) and Chen et al. (2013a) measured nanoparticle collection efficiency by capillary pore membrane filters. These studies demonstrated collection of nanoparticles for electron microscopic and exposure assessment. Chen et al.

(2013b) extended the study to nanoparticle agglomerates. They found that the agglomerate length need to be considered for interception and alignment of agglomerates with the flow was observed at high velocities. Liu et al. (2011) obtained filtration efficiency of membrane coated filters against nanoparticles. Brochot et al. (2011) measured nanoparticle penetration in two fibrous media.

Yang and Lee (2005) studied filtration of a fibrous filter pretreated with anionic surfactants. Huang et al. (2007) studied penetration of 4.5 nm to 10 μm aerosol particles through fibrous filters. Both of the above studies manipulated the filter charge and demonstrated importance of electrostatic effect. Steffens and Coury (2007a, b) measured filtration efficiency against particles generated by an electro-spray. Otani et al. (2007) and Furuuchi et al. (2010) used fibrous filters for inertial classification and sampling of nanoparticles. They showed at high face velocity (~ 50 m/s), the inertial effect should be considered for nanoparticles.

Table 1 provides an overview of the experimental studies for nanoparticle filtration, including brief information of the particle material and size, filter media, face velocity and testing methods.

Table 1. Overview of the experimental studies for nanoparticle filtration. APS: aerodynamic particle sizer; CNC: condensation nuclei counter; CNT: carbon nanotube; CPC: condensation particle counter; DMA: differential mobility analyzer; DOP: dioctyl phthalate; d_s : diameter of the filtration surface area; ELPI: electrical low pressure impactor; FFP: filtering facepiece; FFR: filtering facepiece respirators; HEPA: high efficiency particulate airfilter; IPA: isopropyl alcohol; MPPS: most penetrating particle size; NIOSH: National Institute for Occupational Safety and Health; PSL: polystyrene latex particles; PTFE: polytetrafluoroethylene; RH: relative humidity; SMPS: scanning mobility particle sizer; THAB: tetra-heptyl ammonium bromide; UCPC: ultrafine condensation particle counter; ULPA: ultra low penetration airfilter.

Study	Particle size range	Particle material	Filter media	Face velocity/Flow rate Q	Filtration efficiency	Electrostatic properties	Testing method	Remarks
Alonso et al. 1997	1 – 7 nm	silver, NaCl	stainless steel, t : 0.18 mm, d_f : 75 μ m, α : 0.289	Q: 1, 3, 6 L/min	about 10 – 90%	Particles are charged and uncharged; filter not charged	A tandem DMA system for monodisperse particles, CNC and electrometer	No rebound effect for particles down to 2 nm and for 1.36-nm ions.
Bahk et al. 2013	mobility size 65 – 150 nm	CNTs	stainless steel screens, 20 μ m	5 cm/s	about 40 – 80%	Particles neutralized; filter not charged	Monodisperse particles by DMA, two CPCs for detection	Filtration was used to determine the CNT length.
Balazy et al. 2006a	10 – 600 nm	NaCl	two models of N95 half-facepiece-filtering respirators, composed of 3 polypropylene layers, d_f from 7 to 40 μ m	30 and 85 l/min	about 0.1 – 6%	Particles are neutralized by Kr 85; filter media are electret	Polydisperse particles, size distributions by Widerange Particle Spectrometer	MPPS were observed in diameter range of ~30–70 nm, due to electret filter. Some N95 filters show higher penetration than 5%.
Balazy et al. 2006b	10 – 80 nm	MS2 virus	two models of N95 half-facepiece-filtering respirators, 2 surgical masks	30 and 85 l/min	about 0.5 – 6% for the N95, 2 - 80% for the surgical masks	Particles are neutralized by Kr 85; filter media are electret	Polydisperse particles, size distributions by Widerange Particle Spectrometer	The N95 may not provide the expected protection level against small virions.
Boskovic et al. 2005	50 – 300 nm	PSL (atomizer), iron oxide, MgO (first metal combustion, then atomizer)	polypropylene filter with a fiber diameter of 19 μ m	2 cm/s	about 25 – 80%	Particles are neutralized; filter media are not charged	Monodisperse particles by DMA, CPC for detection	Filtration efficiency is lower for cubic particles because bouncing probability is higher.
Boskovic et al. 2007	50 – 300 nm	PSL, MgO	polypropylene filter with a fiber diameter of 12 μ m coated with oil	10 – 20 cm/s	about 15 – 70%	Particles are neutralized; filter media are not charged	Monodisperse particles by DMA, CPC for detection	Shape effect is no longer important at 5 cm/s because of the oil. Particle size is mobility size.
Boskovic et al.	50 – 300 nm	PSL, MgO,	polypropylene filter	5 – 20 cm/s	about 20 – 70%	Particles are not	Monodisperse	Shape effect is important at

2008		NaCl	with a fiber diameter of 12 μm			neutralized; filter media are not charged	particles by DMA, CPC for detection	5 cm/s, because of possible re-entrainment.
Brochot et al. 2011	5 – 400 nm	carbon, copper by Palas spark and NaCl by atomizer	fiberglass filters with thickness 552 and 427 μm , fiber diameter about 4.23 and 3.25 μm	5.3 cm/s and 5 cm/s	about 20 – 99.999%	Particles are neutralized; filter media are not charged	One setup uses polydisperse particles and SMPS up and downstream; the other setup uses monodisperse particles by DMA	In general results as expected from theory.
Buha et al. 2013	mobility size 14 – 400 nm	NaCl, Ag particles and agglomerates	nanofiber 150 nm on a substrate 20 μm	5 cm/s	about 30 – 90%	Particles neutralized; filter not charged	Polydisperse particles, upstream and downstream SMPS scans	The correction for agglomerates in filtration data is analyzed.
Chen et al. 2013a	20 – 500 nm	NaCl and Ag spherical particles	Nuclepore filters with 1 and 3 μm pores	2 – 15 cm/s	about 20 – 99%	Particles neutralized; filter not charged	Monodisperse particles by DMA, CPC for detection	Model for Nuclepore filter agreed with experiment very well.
Chen et al. 2013b	mobility size 20 – 500 nm	Ag and soot agglomerates	Nuclepore filters with 1 μm pores	2 – 15 cm/s	about 50 – 99%	Particles neutralized; filter not charged	Monodisperse particles by DMA, CPC for detection	Agglomerate length need to be considered; alignment effect observed at high velocities.
Cyrs et al. 2010	9 – 528 nm	KCl particles	Nuclepore filters with 0.4 and 0.8 μm pores, thickness 11 μm	3.7 and 18.4 cm/s; 1 and 5 L/min	about 20 – 99%	Particles neutralized; filter not charged	Polydisperse particles, upstream and downstream SMPS scans	Collection of nanoparticles for SEM analysis.
Eninger et al. 2008	20 – 500 nm NaCl; ~28 nm for MS2; various sizes for other virions.	NaCl, three virus aerosols (MS2, T4 and Bacillus subtilis phage)	two N99 FFRs and one N95 FFR	30, 85 and 150 l/min	about 0.1 – 10 %	Particles are neutralized by Kr 85; filter media are electret	Polydisperse particles, size distributions by Widerange Particle Spectrometer	At high flow rate 150 l/min, the penetration can increase significantly. The MPPS was <0.1 μm for all aerosol challenges.
Eshbaugh et al. 2009	0.02 – 2.9 μm	NaCl, DOP, PS�	Two models each of N95 FFR and cartridges, P100 FFR and cartridges	including 85, 270, 360 L/min	N95: 99.3 – 91.2 % at MPPS (50 nm); P100: 99.9996 – 99.95% at MPPS (50 – 200 nm)	Particles neutralized.	Polydisperse particles by APS detection	High flow rates studied.
Furuuchi et al. 2010	down to 20 nm	ZnCl ₂ from a furnace by evaporation and condensation	stainless steel fibers (SUS 304) with fiber diameter 9.8 μm	~25.5 m/s	about 10 – 100%	Particles are neutralized; filter media are not charged	Monodisperse ZnCl ₂ particles by DMA, electrometer for detection	Designed for inertial collection with cut-off size 65 nm.
Golanski et al. 2009	10 – 100 nm	graphite particles by Palas spark	Cellulose HEPA, glass HEPA and ULPA, electrets,	5.3 to 9.6 cm/s for filter test; 0 for diffusion test	about 98 – 99.999% for filters, 99.4% - 73% for clothing	Particles are neutralized; filter media include not charged and electret	Polydisperse graphite particles measured by SMPS	Air-tight fabrics made of nonwovens are more efficient than woven cotton;

		generator	clothing and gloves					for the clothing, the most penetrating particles 30 – 60 nm; no penetration through gloves by diffusion.
Heim et al. 2005	2.5 – 20 nm	NaCl, charged and un-charged	grounded nickel, d_s : 2.2 cm, d_f : 54.6 ± 0.9 μm, α : 0.34	Q: 1.5 and 6.8 L/min	about 10 – 90%	Particles neutralized by Kr-85; filter not charged	Monodisperse particles by DMA, CPC for detection	No rebound effect for particles down to 2.5 nm.
Heim et al. 2010	1.2 – 8 nm	singly charged WO _x , THAB ions	nickel and stainless steel, d_s : 5.3 cm, d_f : 50.1 – 101.2 μm, α : 0.297–0.335	0.113–0.165 m/s	about 10 – 100%	Particles neutralized by Kr-85; filter media not charged	High flow DMA, electrometer	No rebound effect for particles down to 1.2 nm.
Huang et al. 2007	4.5 nm to 10 μm	NaCl from atomizer	N95 and FFP1 respirators, d_s : 10.8 cm, d_f : 13 μm, α : 0.035	Q: 30, 60, 85 L/min; 8.6 cm/s for 85 L/min	about 81 – 100%	Particles are neutralized; respirators dipped in IPA 5 min to remove charges	Polydisperse challenging particles; long-DMA, nano-DMA, CPC, and APS together measuring size distribution	No rebound effect for particles down to 4.5 nm; penetration in 10 nm–5 μm increased markedly with reducing electrostatic charge on the fibers; error in SMPS due to 0 break time.
Ichitsubo et al. 1996	1 – 2 nm	silver, NaCl, C ₆ H ₆ ⁻ , H ₂ O, ion clusters	stainless steel, d_s : 3.2 cm, d_f : 75 μm, α : 0.289	Q: 6 L/min	about 30 – 90%	Particles pass through charging chamber; filter media not charged	monodisperse particles by DMA, electrometer for detection	Rebound observed for particles and ion clusters < 2 nm, size questionable.
Japuntich et al. 2007	10 – 400 nm	NaCl and DOP	standard fiberglass filters	5.3 cm/s	about 0.005%– 95%	Particles are neutralized; filter media are not charged	Monodisperse TSI 8160 (3160) or polydisperse SMPS scans	Possible test errors discussed; no thermal rebound.
Kim et al. 2006	1 – 100 nm	NaCl, charged and un-charged	glass fibers, thickness: 0.4 mm, d_f : 9.1, 11.8 μm	2.5 cm/s	about 15 – 99.99%	Neutralized particles and uncharged particles, filter media are not charged	Nano-DMA, particle size magnifier-CNC	Rebound observed for particles <2 nm. Filtration efficiencies were independent of humidity and affected by charge for particles < 100 nm.
Kim et al. 2007	3 – 20 nm	Ag	standard fiberglass filters, personal protection filters	5.3 – 15 cm/s	about 70 – 99.995%	Particles are neutralized; filter media are not charged	Monodisperse Ag particles by DMA, CPC for detection	No thermal rebound down to 3 nm.
Kim et al. 2009	mobility size 30 – 300 nm	Ag spheres, aggregates and agglomerates	fiberglass filter, d_f : 1.9 μm, α : 0.050, thickness: 0.53 mm	5.3 cm/s	about 80 – 99 %	Particles are neutralized; filter media are not charged	Monodisperse Ag particles by DMA, CPC for detection	Interception effect important; agglomerates have lower penetration than spheres of the same mobility size.
Lee et al. 2008	aerodynamic size 0.04 – 1.3 μm	NaCl	N95 FFR (4 models) and surgical masks (3 models)	various human breathing rates	The geometric mean of protection factors for all four models	Particles are neutralized; filter media are electret	ELPI measurement of the ambient and in-facepiece worn by a	Protection factor instead of efficiency was measured, so the leakage is included. The

					across all particle sizes tested was 21.5		human concentrations	minimum protection factors were in 0.04–0.2 μm .
Liu et al. 2011	10 – 300 nm	NaCl	nylon/PTFE membrane and polyester membrane	0.3, 1, 5.3, 10, and 15 cm/s.	about 99 – 99.999%	Particles are neutralized; filter media not charged	Monodisperse particles by nano-DMA, two CPCs for detection	Models for membrane and fibrous filters are compared with experiments.
Lore et al. 2011	10 – 300 nm	NaCl and PSL	Fiberglass filter and melt blown microfibers used in respirators	5.3 and 10.9 cm/s	about 50 – 99.5%	Particles are neutralized; filter media are charged electret and uncharged	Polydisperse NaCl and monodisperse PSL particles by DMA, CPC for detection	NaCl and PSL have similar results for uncharged filter; PSL are more variable and of higher penetration than NaCl for charged filter.
Martin and Moyer 2000	0.03 – 0.4 μm	NaCl and DOP	Three models of N95 FFRs	85 L/min	about 95 – 90 % at MPPS (50 – 100 nm)	Particles are neutralized; filter media are electret	Monodisperse particles by DMA, CNC for detection	Tested N99, R95, and P100 filter media with NaCl and DOP.
Mouret et al. 2011	1.3 – 30 nm	Copper	stainless steel, d_f : 25 μm , α : 0.39	0.05 m/s	About 90 – 100%	Particles are neutralized; filter media not charged	Nano-DMA, CPC	No rebound effect for particles down to 4 nm.
Otani et al. 1995	1 – 10 nm	Ag	stainless steel, d_s : 4.2 cm, d_f : 52, 300 μm , α : 0.293, 0.31	0.012–0.036 m/s	about 25 – 99.98 %	Particles are charged; filter media not charged	monodisperse particles by DMA, electrometer for detection	Rebound observed for particles <1 nm, size questionable.
Otani et al. 2007	6 – 200 nm	ZnCl ₂	stainless steel, thickness: 8 mm, d_f : 8 μm , α : 0.0065	0.05 – 50 cm/s; 3 – 40 L/min	about 0.01 – 100%	Particles are charged; filter media not charged	Polydisperse particles by SMPS scan	Inertial classification of nanoparticles with fibrous filters.
Rengasamy et al. 2007	20 – 400 nm	NaCl	five models of N95 FFR	85 L/min	98.6 – 94.8% at MPPS (~40 nm)	Particles are neutralized; filter media electret	Monodisperse particles by DMA, CPC for detection	Compared results with test method similar to NIOSH certification.
Rengasamy et al. 2008	4 – 30 nm (Ag) 20 – 400 nm (NaCl)	Ag and NaCl	N95 and P100 respirators	85 L/min	about 95 – 99.999%	Particles are neutralized; filter media electret	NanoDMA and UCPC for monodisperse Ag and NaCl, TSI 3160 for monodisperse NaCl	MPPS around 40 – 50 nm, respirators provide expected protection.
Rengasamy et al. 2009	4 – 30 nm (Ag) 20 – 400 nm (NaCl)	Ag and NaCl	Facepiece respirators	85 L/min	about 95 – 99.99%	Particles are neutralized; filter media electret	Polydisperse NaCl aerosols or monodisperse Ag and NaCl	MPPS in the 30–60 nm range.
Richardson et al. 2006	0.02 – 3.02 μm	inert particle and bacterial B. globigii spores and the virus MS2 phage	N95 and P100 filtering facepieces and cartridges	cyclic flow from 40 l/min to 430 l/min	about 95 – 99.995%	Particles are neutralized; filter media are charged and uncharged	Various methods	MPPS was between 0.1 and 0.2 μm for the P100 filters and 0.05 and 0.10 μm for the N95 filters. Reaerosolization was

								dependent on particle type, size, and loading level.
Seto et al. 2010	mobility size 30 – 200 nm	CNTs and PSL	glass fiber filter d_f : 2.8 μ m	5 – 50 cm/s	about 40 – 90%	CNTs uncharged, filter not charged	Monodisperse CNTs by DMA, two CPCs for detection	CNTs had lower penetration compared to spheres of the same mobility size.
Shin et al. 2008	3 – 20 nm	Ag	stainless steel mesh with wire diameter about 90 μ m	4 – 9.5 cm/s	about 15 – 90%	Particles are neutralized; filter media are not charged	Monodisperse Ag particles by DMA, CPC for detection	Thermal rebound not detected for 3 nm up to 500 K.
Steffens & Coury 2007a, b	8.5 – 94.8 nm	NaCl	polyester filter with diameter 16 μ m and cellulose filter with diameter 0.45 μ m	0.03 – 0.25 m/s	about 35% – 100%	Particles not neutralized.	NaCl particles with narrow distributions generated by electro-spray, CPC for detection	Experimental results compared to modeling results.
Thomas et al. 2013	4 – 80 nm	Copper and carbon particles by Palas spark generator	stainless steel and dielectric polymer fiber meshes	5, 15 cm/s	about 90% - 1% for stainless steel mesh, up to 99.99% for polymer mesh against charged particles	Neutralized, charged and uncharged particles; filters are not charged	Monodisperse particles by nanoDMA, CPC for detection	Stainless steel mesh penetration obey diffusion theory; penetrations for polymer meshes needs to be explained by both diffusion and electrostatic effects.
Vo and Zhang 2013	25 – 2840 nm	CNTs	FFRs N95, N99, N100	35 and 85 L/min	Different for various filters from 97.96 to 99.995%	Particles are neutralized by Kr-85; filter media are not charged	Monodisperse particles by CPC, APS for detection	The penetration of CNTs at 85 L/min is greater than that at 30 L/min.
Wang et al. 2008a, b	3 – 780 nm	Ag, NaCl, and PSL	nanofiber 150 nm on a substrate 20 μ m	10 cm/s	about 0.1 – 85%	Particles are neutralized; filter media are not charged	Monodisperse Ag and NaCl particles by DMA, CPC for detection	Nanofiber media figure of merit analyzed.
Wang et al. 2011a, b	mobility size 50 – 400 nm	PSL and CNTs	20 layers steel screen 20 μ m	5 cm/s	about 20 – 85%	Particles are neutralized; filter media are not charged	Monodisperse CNTs by DMA, CPC for detection	CNT penetration lower than spheres of the same mobility size.
Yang and Lee 2005	50 – 500 nm	NaCl and Al ₂ O ₃	polypropylene fibrous filters and anionic surfactants treated filters	0.1, 0.3, 0.5 and 1.0 m/s	about 20 – 97%	Boltzmann-equilibrium charge, neutral and singly charged particles, neutral, negative and positive charged filters	Monodisperse particles by DMA, CPCs to count, electrometer to monitor the aerosol charges, RH controlled.	Anionic surfactants treatment makes the filter negatively charged, thus higher efficiency. RH has no effect on the aerosol penetration.

5. Testing methods and instruments

The basic method to test the efficiency of a filter is to challenge the filter with testing aerosol particles, quantify the particle concentrations upstream and downstream of the filter, then calculate the efficiency using Equations (1) and (2). The filtration testing system usually consists of an aerosol generation part, particle measurement part, filter holder system and other parts for pressure measurement, flow control, etc.

5.1. Generators for testing aerosols

The atomization method, evaporation/condensation method and spark generation method have all been used for generation of nanometer aerosols in filtration tests. The atomization method produces aerosol particles from liquid solutions or suspensions by breaking the liquid into airborne droplets. The operating pressure of compressive-air type atomizers is usually in the range of 100 to several hundred kPa. The droplet size distributions usually have the mass median diameter around several micrometers and the geometric standard deviation around 1.5 to 3 (see Table 2). When the atomizer is used with liquids of low volatility, such as di(2-ethylhexyl) phthalate (DOP, vapor pressure 3.5×10^{-6} Pa at 293 K), di(2-ethylhexyl) sebacate (DEHS or DOS), oleic acid (vapor pressure 0.012 Pa at 293 K), mineral oil, silicone oil, droplet aerosols can be produced whose size is stable for hundreds of seconds (Hinds 1999). When the atomizer is used with a volatile solvent containing dissolved solid material, e.g. salt, the solvent evaporates quickly in micron-sized droplets and solid aerosol particles are formed. Similarly, when the atomizer is used with a suspension containing solid particles, e.g. PSL, the liquid in the droplets evaporates and solid aerosol particles are produced.

Table 2 lists parameters of some commercial atomizer aerosol generators. These atomizers provide defined polydisperse aerosols under normal operation conditions. The total aerosol concentration ranges from 10^6 #/cm³ to over 10^8 #/cm³. The number mean or median diameter of the produced aerosol is usually in the range of 100 to 500 nm when DOP or DOS is used. There are fractions of these particle size distributions which are below 100 nm. Japuntich et al. (2007) used atomizer-generated DOP particles to test fiberglass filters. When solution of dissolved solid is used, the size of dried solid particles depends on both on the droplet size and solid concentration. The size of the solid particle d_p , can be calculated from the droplet size d_d and the volume fraction of the solid material F_v (Hinds 1999),

$$d_p = d_d (F_v)^{1/3}. \quad (40)$$

Table 3 gives the calculated size of solid particles based on the droplet size and solid volume fraction. It is clear that the solid particle size can be adjusted by changing the solid concentration in the solution, so that the particles of desired size can be produced for filtration tests. The TSI 3160 automated filter tested uses this strategy to generate salt particles of different sizes. Many of the studies listed in Table 1 used salt particles generated from atomizers for filtration tests. The size range of these particles is usually above 15 nm, because the particle concentration below 15 nm is low which causes difficulty for tests of high efficiency filters.

Table 2. Parameters of some commercial atomizer aerosol generators. The data for the Collison atomizer are from Cheng and Chen (1995) and Hinds (1999). The data for the TSI instruments are from TSI manuals. The data for the Topas instruments are from the Topas website <http://www.topas-gmbh.de/>, retrieved on Aug, 16, 2013. The data for the Palas

instruments are from the Palas website <http://www.palas.de/>, retrieved on Aug, 20, 2013. MMD: mass median diameter; NMD: number median diameter; GSD: geometric standard deviation.

Atomizer	Operating pressure (kPa)	External compressed air	Air flow rate (L/min)	Output concentration	Droplet size distribution	
					mean diameter (μm)	GSD
Collison (BGI, USA)	100	Yes	2.0	8.8 (g/m^3)	MMD \approx 2.5–3	
	140		12		MMD \approx 2.1–3	2.7 – 3.4
	170		2.7	7.7 (g/m^3)	MMD \approx 1.9–2	
Constant output atomizer 3076 (TSI, USA)	240	Yes	3.0–3.5	2×10^6 $\#/\text{cm}^3$	NMD \approx 0.30 (DOP), 0.35 (water)	1.6 – 2.0
Portable atomizer 3079 (TSI, USA)	10	No	max. 4.2	$> 10^8$ $\#/\text{cm}^3$ (DOS)	mode diameter \approx 0.25 (DOS)	
Six-jet atomizer 9306 (TSI, USA)	170	Yes	6.5 per jet	$> 10^6$ $\#/\text{cm}^3$	NMD \approx 0.30 (DOP), 0.35 (water)	< 2
	380		12 per jet			
Atomizer ATM 220 (Topas, DE)	max. 800	Yes	max. 4.2	$> 10^8$ $\#/\text{cm}^3$ (DOS)	median diameter \approx 0.1 – 0.5 (DOS)	
Atomizer ATM 226 (Topas, DE)	max. 20	No	max. 5	$> 10^8$ $\#/\text{cm}^3$ (DOS)	median diameter \approx 0.1 – 0.5 (DOS)	
Atomizer ATM 230 (Topas, DE)	max. 800	Yes	8.3 – 41.6	$> 10^8$ $\#/\text{cm}^3$ (DOS)	median diameter \approx 0.1 – 0.5 (DOS)	
Aerosol Generator AGF 2.0 (Palas, DE)		Yes	6 – 17	4 (g/h)	mean diameter \approx 0.25 (DOS)	
Aerosol Generator AGF 2.0 iP (Palas, DE)		No	16 – 18	2 (g/h)	mean diameter \approx 0.25 (DOS)	
Aerosol Generator AGF 10.0 (Palas, DE)		Yes	12 – 45	20 (g/h)	mean diameter \approx 0.5 (DOS)	

Table 3. The calculated size of solid particles based on the droplet size and solid volume fraction.

Solid volume fraction	Dried solid particle size (nm)			
	Droplet 300 nm	Droplet 500 nm	Droplet 1000 nm	Droplet 3000 nm
5%	110.5	184.2	368.4	1105.2
1%	64.6	107.7	215.4	646.3
0.5%	51.3	85.5	171.0	513.0
0.1%	30.0	50.0	100.0	300.0
0.05%	23.8	39.7	79.4	238.1
0.01%	13.9	23.2	46.4	139.2

The evaporation and condensation method with a furnace has been used to generate metal nanoparticles for filtration studies, especially in the range below 30 nm (Kim et al. 2007, Shin et al. 2008, Rengasamy et al. 2008, 2009). Silver is often used in such a method due to its stability in air and relatively low melting point. For example, silver slugs (99.99% metal based) with suitable dimensions can be placed in a ceramic boat and positioned in the

middle of an electrical tube furnace, where the silver is heated to 850 - 1400 °C (dependent on the furnace). A carrier gas flow, e.g. nitrogen, can be passed through the tube furnace and carry the silver vapor out. As the temperature decreases, condensation of the silver vapor leads to nanoparticles. The particle size distribution depends on the temperature and carrier flow rate. An example of the size distributions of silver particles generated by this method is shown in Figure 3. It shows that the particle concentration and mode size increases with the furnace temperature, due to the higher evaporation rate. The total aerosol concentration ranges from 10^6 #/cm³ to over 10^8 #/cm³. Relatively low temperatures (< 1150 °C) may be better suited for generation of particles below 30 nm. Buha et al. (2013) reported that the particle generation system was stable during their filtration experiments. Particle concentration at the peak and the standard deviation of the size distribution varied within 20%. The peak location varied by a few nanometers. Information of some commercial tube furnaces is given in Table 4.

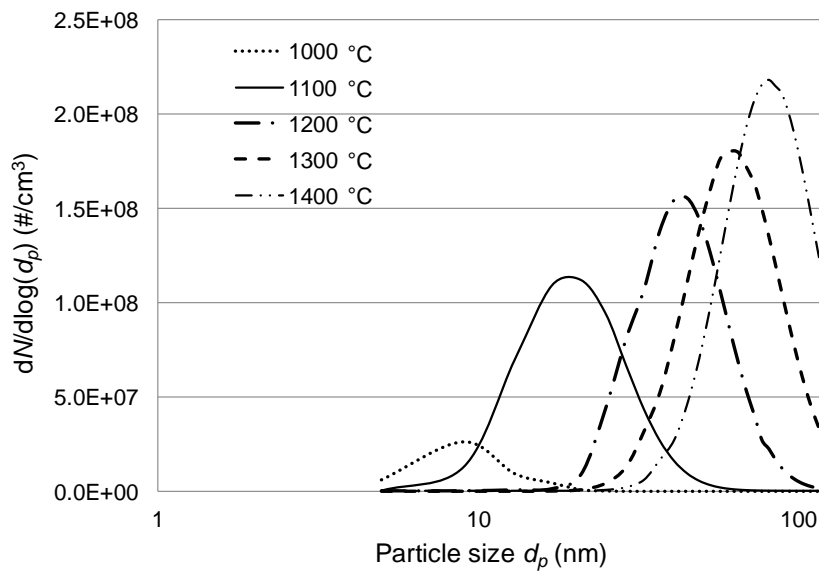


Figure 3. Size distribution of the silver nanoparticles generated by a tube furnace at different temperatures.

Table 4. Information of some commercial tube furnaces.

Furnace model	Temperature range	Power
Lindberg/BlueM model: STF55433C-1	max. 1500 °C	6.0 kW
Lindberg/BlueM model: CC58114A	max. 1200 °C	3.6 kW
Carbolite model: STF 16/180	max. 1600 °C	2.5 kW

The mode of the particle size distribution generated by the atomization method is generally larger than that by the furnace method; both methods can generate enough particles in the range from 15 nm to 30 nm for filtration tests. The overlapping range can be used to check consistency of the two methods. Since diffusion is the dominant filtration mechanism for particles well below 100 nm, the particle material almost does not affect the efficiency. The filtration efficiencies for silver and salt particles are expected to be almost the same in the overlapping range.

Nanoparticles generated by spark-discharge have been used in a number of filtration studies (Golanski et al. 2009; Brochot et al. 2011; Mouret et al. 2011; Thomas et al. 2013),

including carbon and copper particles. This technique employs periodic spark discharge to vaporize electrode materials and subsequent nucleation/condensation to form nanoparticles (Schwyn et al. 1988). Liu et al. (2012) pointed out that the temperature generated at the instant of spark discharge is much higher than the typical upper limit of a tube furnace. Thus, even materials with very high melting point can be used in a spark generator if they are conductive. Liu et al. (2012) showed that the spark generator was capable of generating nanoparticles at high mass output with stable characteristics over many hours. Commercial spark generators are available on the market and information is provided in Table 5. The primary particles from the spark generate are very small, in the 3 – 5 nm range for carbon, and 6 – 12 nm range for metals including silver, gold and nickel (Liu et al. 2012). The larger particles from 20 – 150 nm are agglomerates.

Table 5. Information for two models of the Palas spark generators. Data except GSD are from the Palas website <http://www.palas.de/>, retrieved on Aug, 16, 2013. The GSD is from Liu et al. (2012).

Model	Carrier gas	Carrier gas flow rate (L/min)	Volume flow rate (L/min)	Output concentration	Primary particle size (nm)	Agglomerate size (nm)	GSD
GFG-1000	Argon	4 – 6	0 – 40	$> 10^7$ #/cm ³	3 – 5	0 – 150	~ 1.6
DNP-2000	Nitrogen	4 – 6	0 – 40	$> 10^7$ #/cm ³	3 – 5	20 – 150	–

5.2. Aerosol detection instruments

To quantify the particle concentrations upstream and downstream of the filter, instruments for aerosol detection are needed. The particle concentration may be based on mass, surface area or number.

TSI 8130 Automated Filter Tester uses polydisperse NaCl or oil particles and two photometers to measure total mass concentrations up- and down-stream of the filter. The photometers rely on light scattering from multiple particles to obtain a relative concentration measurement. The signal voltage is proportional to the mass of aerosol sampled by the photometer. The dynamic range of the TSI photometers is 1.0 µg/m³ to >200 mg/m³ (TSI 2008). It should be noted that light scattering is heavily dependent on the particle size. In the Rayleigh regime (particles much smaller than the wavelength of light), the scattered intensity is proportional to the sixth power of the particle diameter. Therefore the photometer favors detection of large particles, and is not sensitive for exceedingly small nanoparticles.

Stanley et al. (2010) used the nanoparticle surface area monitor (NSAM, TSI 3550, USA) to measure up- and down-stream surface area concentrations to evaluate filters. Comparing to the mass or volume, surface area is more sensitive for nanoparticles. In addition, some toxicological studies suggested the total surface area of airborne nanoparticles as a more relevant measure of health-relevant effects (Oberdörster et al. 1995; Donaldson et al. 1998). NSAM measures the nanoparticle surface area deposited in two regions, tracheobronchial and alveolar of the human lung. The applicable size range is about 20 – 400 nm.

The number concentration is the most sensitive parameter for nanoparticles and is commonly used in filtration tests of nanoparticles. The condensation particle counters (CPCs), sometimes called condensation nuclei counters (CNCs), are by far the most used instruments for measurement of the number concentration of nanoparticles (see Table 1). CPCs saturate

an aerosol by vapor of certain working liquids, then create supersaturation by adiabatic expansion or flow through a cold tube, thus condense the vapor on the aerosol. Therefore the aerosol particles grow to a size which can be readily detected by optical counters. The number concentration of the aerosol is then determined by single-particle counting or by calibrated light-scattering measurement (photometric mode). Commonly used working liquids include n-butyl alcohol, isopropyl alcohol and water (see Table 6). Compared to water, alcohol working liquids provide better detection of hydrophobic particles near the detection limit, such as oily or combustion generated particles covered by organics. However, alcohol vapors can be emitted from the CPC, causing concerns for human exposure, or problems for nearby gas analyzers, or airborne contaminants, e.g. in semiconductor industry. Water is more environmentally friendly and easier to acquire and dispose. High purity water is usually required in the CPCs. A CPC can be combined with a particle size classifier, so that particles of different sizes can be counted separately, which leads to the particle size distribution. The differential mobility analyzer (DMA) usually serves as the particle classifier, and the combination of DMA and CPC with controlling software gives rise to the scanning mobility particle sizer (SMPS). More discussion on DMA and SMPS follows in the next section. Some CPCs are equipped with built-in SMPS compatibility, which is indicated in Table 6.

Table 6. Information of some commercial condensation particle counters. The data for the TSI instruments are from the TSI website, www.tsi.com/, retrieved on Aug 23, 2013. The data for the Palas instruments are from the Palas website <http://www.palas.de/>, retrieved on Aug 23, 2013. The data for the Grimm instruments are from the Grimm website <http://www.grimm-aerosol.com/>, retrieved on Aug 23, 2013. The data for the HCT instruments are from the website <http://www.ioner.eu/>, retrieved on Aug 23, 2013. The data for the MSP instruments are from the MSP website <http://www.mspscorp.com/>, retrieved on Aug 23, 2013. The data for the Kanomax instruments are from the Kanomax-USA website <http://www.kanomax-usa.com/>, retrieved on Aug 23, 2013.

Model	Min. detectable size (d_{50})	Max. detectable size	Single counting		Photometric		Sample flow rate (L/min)	Working liquid	SMPS compatible
			Concentration	Error	Concentration	Error			
UF-CPC 50 (Palas, DE)	4 nm	10 μ m	< 2,000 #/cm ³	5%	< 10 ⁷ #/cm ³	10%	0.3 – 0.6	Butanol, isopropanol, water or other liquid	Yes
UF-CPC 100 (Palas, DE)			< 5×10 ⁴ #/cm ³						Yes
UF-CPC 200 (Palas, DE)			< 10 ⁶ #/cm ³						Yes
Mobile CPC 5.403 (Grimm, DE)	4.5 nm	> 3 μ m	0 – 14,000 #/cm ³	5%	< 10 ⁷ #/cm ³	> 10%	0.3/1.5	1-Butanol	Yes
CPC 5.410 (Grimm, DE)	4 nm		< 10 ⁵ #/cm ³						No
CPC 5.414 (Grimm, DE)	4 nm		< 1.5×10 ⁵ #/cm ³						Yes
CPC 5.416 (Grimm, DE)	4 nm		< 1.5×10 ⁵ #/cm ³						Yes
CPC 0701 (HCT, KR)	7 nm		0 – 10 ⁴ #/cm ³	±10%	10 ⁴ – 10 ⁵ #/cm ³	±20%	1	n-butyl alcohol	Yes
PCPC 2301	23 nm		0 – 10 ⁴	±10%			1	n-butyl	

(HCT, KR)			#/cm ³					alcohol	
CPC 3776 (TSI, USA)	2.5 nm	> 3 μm	0 – 3×10 ⁵ #/cm ³	±10%			0.3/1.5	n-butyl alcohol	Yes
CPC 3788 (TSI, USA)	2.5 nm		0 – 4×10 ⁵ #/cm ³	±10%			0.6/1.5	water	Yes
CPC 3775 (TSI, USA)	4 nm		0 – 5×10 ⁴ #/cm ³	±10%	5×10 ⁴ – 10 ⁷ #/cm ³	±20%	0.3/1.5	n-butyl alcohol	Yes
CPC 3787 (TSI, USA)	5 nm		0 – 2.5×10 ⁵ #/cm ³	±10%			0.6/1.5	water	Yes
CPC 3783 (TSI, USA)	7 nm		0 – 10 ⁶ #/cm ³	±10%			0.6/3	water	No
CPC 3772 (TSI, USA)	10 nm		0 – 10 ⁴ #/cm ³	±10%			1	n-butyl alcohol	Yes
Handheld CPC 3007 (TSI, USA)	10 nm		> 1 μm			0 – 10 ⁵ #/cm ³	±20%	0.7	isopropyl alcohol
CPC 3790A (TSI, USA)	23 nm	> 3 μm	0 – 10 ⁴ #/cm ³	±10%			1	n-butyl alcohol	No
CPC M1120 (MSP, USA)	8 nm		0 – 6×10 ⁴ #/cm ³	±10%			1	water	
CPC M1110 (MSP, USA)	12 nm		0 – 2×10 ⁴ #/cm ³	±10%			3	water	
Handheld CPC 3800 (Kanomax, JP)	15 nm	> 1 μm			0 – 10 ⁵ #/cm ³		0.7	isopropyl alcohol	No

The information in Table 6 shows that state-of-the-art commercial CPCs can detect particles down to 2.5 nm. Detection of particles below 2 nm has been achieved (Sgro and de la Mora, 2004; Iida et al. 2009; Vanhanen et al. 2011). However, the instruments and working liquids in these studies are for specific research purposes. Kim et al. (2006) used a system composed of a particle size magnifier (PSM) and a condensation nuclei counter to measure the filtration efficiency of NaCl particles down to 1 nm. The PSM is similar to a CPC but without the optical counter. In the PSM, particles can grow in a supersaturated atmosphere created by the mixing of a hot saturated stream with a cold aerosol flow. The particles grow to a certain size and then are sent to a regular CPC to be detected.

Some researchers used an electrometer to measure the current carried by the aerosols and obtained the number concentration indirectly (Otani et al. 1995, Ichitsubo et al. 1996, Furuuchi et al. 2010, Heim et al. 2010). The particles classified by the DMA mostly carry a single electrical charge, thus the number concentration can be computed based on the current carried by the aerosol and the flow rate. This method can be applied to very small particles below 2 nm. Detection by the electrometer dictates that the challenging particles in the filtration experiments must be charged. Therefore, the electrostatic effect plays a role in the filtration experiments. The charging status in practical filters is usually complicated and difficult to determine accurately, therefore modeling the data obtained by this method is challenging.

5.3. Particle size measurement instruments

The particle size plays an essential role in filtration. Therefore accurate measurement of the particles size is critical for reliable filtration data. For airborne nanoparticles, electrical mobility measurement represents the most accurate and widely used method to determine the particle size. Other methods, such as the laser optical sizer and electrical low pressure impactor, have also been used in filtration studies.

The electrical mobility characterizes the readiness of a particle moving in an electrical field. The electrical mobility is higher if the particle has more charges or if the particle size is smaller. When the particle charge is known, measurement of the electrical mobility gives the particle size. The differential mobility analyzer (DMA) separates particles according to their mobility, and can be used to select monodisperse particles from a polydisperse aerosol population. Thus it can measure the particle size or provide monodisperse aerosols as the challenging particles for a filtration test. Many types of DMAs have been developed (Liu and Pui 1974; Winkelmayr et al. 1991; Pourprix and Daval 1990; Zhang et al. 1995; Chen et al. 1998; Rosser and Fernandez de la Mora 2003, among others). Information of some commercial DMAs are listed in the Table 7.

Table 7. Information of some commercial DMAs. The dimension represents the height \times the outer diameter. The data for the TSI instruments are from the TSI website, www.tsi.com/, retrieved on Aug 23, 2013. The data for the Palas instruments are from the Palas website <http://www.palas.de/>, retrieved on Aug 23, 2013. The data for the Grimm instruments are from the Grimm website <http://www.grimm-aerosol.com/>, retrieved on Aug 23, 2013. The data for the HCT instruments are from the website <http://www.ioner.eu/>, retrieved on Aug 23, 2013.

Model	Particle size range	Aerosol flow rate (L/min)	Sheath air flow rate (L/min)	Max input concentration	Voltage	Dimension
L-DMA 55-900 (Grimm, DE)	4.2 – 1110 nm	0.3	3 – 20	10^8 \#/cm^3	5 – 10000 V	492 mm (H)
M-DMA 55-340 (Grimm, DE)	2.1 – 358 nm	0.3				230 mm (H)
S-DMA 55-100 (Grimm, DE)	0.9 – 112 nm	1 – 5				157 mm (H)
DMA-20 (HCT, KR)	7 – 830 nm	0.1 – 1.5	1 – 15	10^7 \#/cm^3 at 10 nm	10 – 10000 VDC	420 \times 76 mm
DMA-40 (HCT, KR)	10 – 700 nm					650 \times 44 mm
DMA-05 (HCT, KR)	2 – 160 nm					210 \times 36 mm
DEMC 2000 (Palas, DE)	8 – 1200 nm	0 – 4	0 – 10			570 \times 150 mm
DEMC 1000 (Palas, DE)	4 – 600 nm	0 – 4	0 – 10			
Long DMA 3081 (TSI USA)	10 – 1000 nm	0.2 – 2	2 – 15	10^8 \#/cm^3 at 10 nm	10 – 10000 VDC	610 \times 76 mm
nano-DMA 3085 (TSI USA)	2 – 150 nm	0.3 – 3	3 – 20			203 \times 79 mm

The DMA resolution, or the degree of monodispersity of the exiting particles, is critical for accurate measurement. The DMA resolution depends on the DMA geometry and the flow rates. At the same time, high transmission efficiency is desired for the DMA so that excessive

particle loss can be avoided. The strong diffusion of nanoparticles poses difficulties for the DMA to achieve these goals. Chen et al. (1998) pointed out that the diffusion broadening effect in the transfer function becomes very pronounced below 10 nm, resulting in the deterioration of sizing resolution. In addition, the diffusion loss in the aerosol transport passages is significant for particle size below 10 nm, resulting in the deterioration of detection sensitivity. To alleviate these problems, the aerosol passage is shortened to a minimum while maintaining a laminar and steady flow at the entrance slit of the TSI nano-DMA (Chen et al. 1998). It can be seen from Table 7 that the DMAs designed for the nanoparticle range have shorter height. This feature reduces the residence time of the particles in the DMA column, thus lowers the diffusion loss and lessens the deviation of the particles from the designed trajectory due to diffusion, improving the transfer function. Increasing both the sheath flow and aerosol flow rates can also reduce the residence time and achieve similar effects. High flow DMAs have been developed (Rosser and Fernandez de la Mora 2003; Fernandez de la Mora et al. 2004) and used in filtration test (Heim et al. 2010). The flow rates in practical filtration tests may be limited by the available air supplies and aerosol generators. The monodispersity of the particles can be improved by increasing the sheath flow to aerosol flow ratio. However, the higher this ratio, the more diluted the aerosol flow exiting the DMA, which cause problem for testing of high efficiency filters. The sheath flow to aerosol flow ratio 10:1 leads to a good sizing resolution and is the most commonly used flow ratio operated by DMA users (Chen et al. 1998). The recommended TSI nano-DMA normal flow rates are 1.5 L/min aerosol flow and 15 L/min sheath flow. The resolution deteriorates when the particle size is exceedingly small. The TSI nano-DMA at its maximum recommended sheath air flow rate of 20 L/min is affected by broadening to more than twice its ideal resolution for particles with an electrical mobility of $1 \text{ cm}^2/\text{V s}$ (approx. 1.44 nm equivalent electrical mobility diameter). Usage of two DMAs in series, i.e. a tandem DMA system, can improve the sizing accuracy (Alonso et al. 1997; Yook et al. 2008). However, this approach aggravates the problem of low concentration of challenging aerosols.

The DMA and CPC can be combined to measure particle size distribution. Measuring the size distribution can be done by scanning through the DMA voltage in discrete steps. This method is slow. The particles can alternatively be classified in a time-varying electrical field, but for an exponential ramp in the field strength, there remains a one-to-one correspondence between the time a particle enters the DMA column and the time it leaves. Thus a relation between the time-varying CPC counts and the changing DMA voltage can be established, and the correspondence between the CPC counts and the particle size can be established (Wang and Flagan 1990). This method is fast and is implemented in commercial scanning mobility particle sizers (SMPS). Information of some commercial SMPS systems is given in Table 8. Most of the systems are composed of DMA and CPC, and the information of the individual components can be found in Tables 6 and 7. The SMPS+E system by Grimm consists of a DMA and an electrometer, thus the particle count is determined indirectly from the current instead of directly by CPC. Usage of CPC with internal pump in the SMPS limits the pressure of the measured aerosol, since the CPC pump needs to overcome the aerosol pressure to draw in the sample. Too much overpressure may damage the internal valves. The scan time plays a role in data inversion to map particle counts to a corresponding size. The detector response time poses limitation for how fast the scan can be performed, which is known as the smearing effect (Russell et al. 1995; Flagan 2008). The residence time of the particles in the plumbing between the DMA and the CPC should be much shorter than the counting time interval to avoid the smearing effect. With the development of CPC technology, the detector response time is getting shorter, which allows faster scans. The TSI 3936 SMPS systems have default scan time of 120-second up scan and 15-second down scan. However recent update by TSI

shows that fast scans by 3936 SMPS can be performed in 16 seconds. A number of studies used SMPS to measure the particle number-size distributions up- and downstream of the filter, and used the ratio to determine the penetration values (Japuntich et al. 2007; Otani et al. 2007; Golanski et al. 2009; Cyrs et al. 2010; Lore et al. 2011; Brochot et al. 2011; Buha et al. 2013).

Table 8. Information of some commercial SMPS systems. The data for the TSI instruments are from the TSI website, www.tsi.com/, retrieved on Aug 23, 2013. The data for the Palas instruments are from the Palas website <http://www.palas.de/>, retrieved on Aug 23, 2013. The data for the Grimm instruments are from the Grimm website <http://www.grimm-aerosol.com/>, retrieved on Aug 23, 2013. The data for the HCT instruments are from the website <http://www.ioner.eu/>, retrieved on Aug 23, 2013.

Model	Components		Possible scanning time	Aerosol pressure
	Classifier	Detector		
SMPS+C (Grimm, DE)	L-DMA/ M-DMA	CPC5.414/ CPC5.403	A “fast scan” from 5 to 350 nm can be made in < 110 sec.	1 atm ± 50 mbar
SMPS+E (Grimm, DE)	L-DMA/ M-DMA/ S-DMA	Faraday cup electrometer		400 – 1100 mbar
SNPS 05W (HCT, KR)	DMA-05	WCPC	75 sec	1 ± 0.1 atm
SNPS 20N (HCT, KR)	DMA-20	CPC		
SMPS 40NW (HCT, KR)	DMA-40	WCPC		
U-SMPS 1050/1100/1200 (Palas, DE)	DEMC 1000	UF-CPC 50/100/200	A scan can be performed in as few as 30 sec.	
U-SMPS 2050/2100/2200 (Palas, DE)	DEMC 2000	UF-CPC 50/100/200		
3936L (TSI, USA)	DMA 3081	CPC 3775/3776/ 3787/3788/3772	Fast scans can be performed in as few as 16 sec.	1 ± 0.2 atm
3936N (TSI, USA)	DMA 3085	CPC 3775/3776/ 3787/3788/3772		

In additions to SMPS, other instruments have been used by researchers to obtain particle size distributions up- and down-stream of the filter in filtration tests. Balazy et al. (2006a, b), and Eninger et al. (2008) used the wide-range particle spectrometer (WPS, model M1000XP, MSP, USA). The WPS includes a scanning mobility spectrometer comprised of a DMA and a CPC for particle measurement from 0.01 to 0.5 µm and a laser particle spectrometer (LPS) for measurement in the ~0.4 to 10 µm range (Liu et al. 2010). Huang et al. (2007) combined two TSI 3936 SMPS systems with the long-DMA and nano-DMA with a CPC detector and a TSI 3321 aerodynamic particle sizer (APS) to measure the penetration of 4.5 nm to 10 µm aerosol particles through fibrous filters. The APS, which uses the time-of-flight particle sizing technology, can count and size particles ranging from 0.5–20 µm. It should be noted that the LPS and APS measure the optical equivalent particle size and aerodynamic particle size, respectively. They are different from the electrical mobility size measured by the SMPS, thus proper conversion is needed to piece together the size distribution from the nanometer range to the micrometer range. Lee et al. (2008) used an electrical low pressure impactor (ELPI 3935, Dekati, Finland) to measure the particle distributions of the ambient and in-facepiece respirator worn by a human. The ELPI size selectively measures the number

concentration of particles in an aerodynamic size, ranging from 0.03 to 10 μm , in 12 classes. The sizing resolution of ELPI is lower compared to SMPS.

5.4. Filtration experimental setup

We discuss possible filtration experimental setups. The setups in the literature can be classified in two general types: test with monodisperse aerosols and test with polydisperse aerosols.

An example of the test setup using monodisperse silver nanoparticles is shown in Figure 4 (adapted from Kim et al. 2007). The setup consists of the particle generation part, size classification part and filter testing part. The filtered air is used as the carrier gas, flowing through the tube furnace, and carrying the silver particles generated by the evaporation-condensation method. The test aerosol from the generator may need to be conditioned, for example, going through a diffusion dryer for evaporation of solvent when the particles are from an atomizer. The test aerosol is then neutralized in a Po-210 bi-polar charger, which gives the particles the Boltzmann equilibrium charge distribution. The bi-polar charger may be based on a radioactive source (Kr-85, Po-210 or Am-241), soft X-ray or corona discharge. A commercial DMA is usually equipped with a bi-polar charger, thus the particle charge distribution is known, and the particle size can be calculated from the electrical mobility. The flow rate of the aerosol entering the DMA can be adjusted by using the valve on the excess flow route, and is measured by a laminar flow meter. The laminar flow meter measures the flow rate by the pressure drop caused by the flow through a tube with known length and diameter. The test aerosol is then classified by the DMA with a certain sheath flow rate. Knowing the aerosol flow rate into the DMA and the sheath flow rate allows monitoring of the ratio, which is indicative of the DMA sizing resolution.

The monodisperse particles exiting the DMA mostly carry one electrical charge and could be neutralized again by a Po-210 bi-polar charger. This approach reduces the electrostatic effect in filtration and the associated uncertainties. If it is desirable to completely remove charged particles, an electrical static precipitator can be added following the bi-polar charger. Before the filter, another flow path is provided for by-pass flow when the aerosol flow rate is higher than that needed through the filter holder, or for make-up air when the aerosol flow rate is lower. The flow rate through the filter holder can be calculated by the filtration surface area and the face velocity. In the case when make-up air is needed, good mixture should be obtained so that the particles are uniformly distributed in the air entering the filter holder. This is usually readily achieved for nanoparticles due to their low inertia and high diffusivity. Specimens of the sheet filter medium are fixed in the test filter holder and subjected to the test air flow corresponding to the prescribed filtration face velocity. The filter holder normally has a top part and a bottom part, and may be designed to hold standard 47 mm filter discs (Millipore Aerosol Filter Holder), filter media with a filtration surface area of 100 cm^2 (TSI 8130 default holder), or filters of other sizes. The filter holder could be closed by pneumatic chucks or by screws through the top and bottom parts. Partial flows of the test aerosol are sampled up- and down-stream of the filter into a CPC, and the fractional penetration is determined from the up- and down-stream number concentrations. Furthermore the measurement of the pressure drop across the filter medium is made at the prescribed face velocity. Additional equipment is required to measure and control the test volume flow rate. Finally the air stream goes through a final filter and into the vacuum pump.

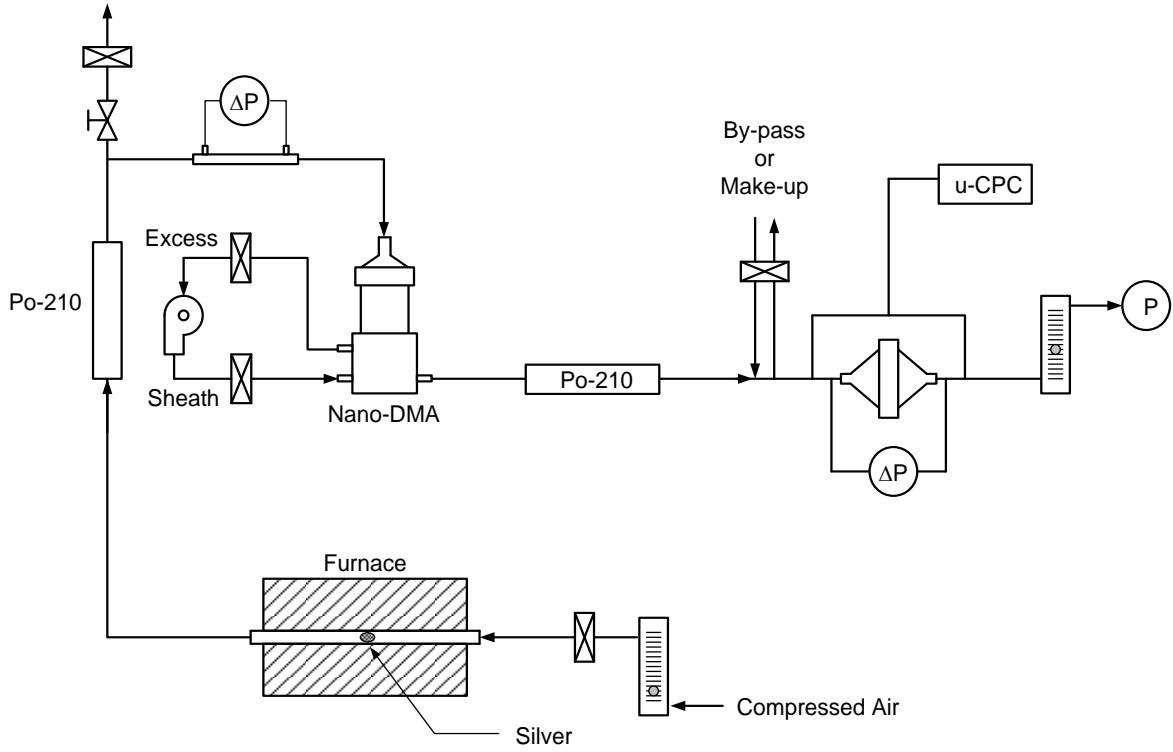


Figure 4. Experimental setup for test using monodisperse silver nanoparticles (adapted from Kim et al. 2007).

The particle concentrations can be measured by one CPC, which takes the samples up- and down-stream of the filter sequentially. However, the line losses for the up- and down-stream sampling may be different. The difference can be significant when the particle size is very small and diffusion loss is important. In addition, some particles may be deposited at the inlet, outlet or walls of the filter holder. Therefore it is important to establish correction factors by performing the measurement without any filter medium in the filter holder. In this configuration, the penetration P_c is obtained. If the measured penetration when a filter is tested is P_m , the corrected penetration P takes the following form:

$$P = P_m / P_c . \quad (41)$$

The correction factor is dependent on the particle size, and should be obtained at the same particle sizes as those in the measurement for the test filter. The aerosol sample needs some time to travel through the tubing and reach the CPC. When the CPC sampling is switched from upstream to downstream, enough time interval should be allowed to ensure that the CPC is counting the intended sample. Usually the CPC reading changes dramatically when the sampling position is changed, and stabilization of the CPC reading at a new value is an indication that the CPC is ready to record the new concentration.

It is also possible to utilize a dummy filter holder, which holds no filter and is placed in parallel as the filter holder with the test filter. The penetration is then obtained as ratio between the concentration downstream of the real filter holder and the concentration downstream of the dummy filter holder. The configuration requires that the two filter holders and connection lines are identical. In addition, the testing aerosol need to be split into two

streams, which may cause problem in tests of high-efficiency filters because large number of challenging particles are needed.

Two CPCs can be used to measure the up- and down-stream concentrations simultaneously. This method avoids switching the sampling location and the associated disturbance of the flow. The measurement time can be significantly reduced when many particle sizes are to be tested. However, different CPC units usually give somewhat different readings when sampling the same aerosol. Therefore correction factors similar to that in Equation (41) between the two CPCs should be obtained and used to correct the results.

A number of the CPC models listed in Table 6 have both single-particle counting mode and photometric mode. The single-particle counting mode features lower concentration range and smaller counting error compared to the photometric model. Therefore, to obtain the most accurate results, it is advisable to keep the particle concentrations both upstream and downstream of the filter in the range of the single-particle counting mode. This may be difficult to achieve when high-efficiency filters are tested. The up- and down-stream concentrations may be different by more than five or six decades. A possible solution is to dilute the upstream sample which is taken into the CPC. In the TSI filter tester 3160, the upstream sample is diluted by a factor of 100 for measurement.

The CPC reading for particle concentration is based on the total particle counts over regular preset time intervals and the flow rate. When the particle concentration is low, the CPC reading oscillates with time due to its statistical nature even with a stable aerosol sample. Using a long sampling time and taking the average value improve the accuracy of the measurement. For testing of high-efficiency filters, the downstream concentration can be very low and the CPC concentration reading fluctuates close to zero. In this case, it is beneficial to operate the CPC in the mode counting the total particles for a user defined time interval (e.g. the Totalizer mode in TSI 3775, 3776). The particle count upstream of the filter in the same time interval can be obtained. Then the penetration is the ratio between the downstream count and upstream count. To obtain statistically reliable results, reasonably large particle counts should be obtained. For example, Kim et al. (2009) sampled long enough to detect at least 100 counts downstream of the test filter.

An example of the test setup using polydisperse silver nanoparticles is shown in Figure 5 (Buha et al. 2013). The nitrogen gas carrying silver nanoparticles from the tube furnace is mixed with the make-up air. Then the particles go through a Kr-85 bi-polar charger, which reduces the electrostatic effects in filtration. The flow then goes through the filter holder, with the flow rate corresponding to the prescribed face velocity. Partial flows of the test aerosol are sampled upstream and downstream of the filter into two SMPS systems, which measures the particle number-size distributions. The penetration and filter efficiency as functions of particle size are then calculated. It is also possible to use one SMPS to sample up- and down-stream flows alternatively. After the filter holder, the flow goes through a final filter and into the vacuum pump. The flow rate is controlled by a valve and measured by a flow meter.

Japuntich et al. (2007) used polydisperse aerosols and SMPS method to test fibrous filters. The authors noted that the SMPS sampled aerosol volume takes time to travel through the inlet, the impactor, the bi-polar charger, the DMA and finally through the CPC. For consecutive samplings of aerosol, if there is not a “purge-time” pause or time interval between samples, the large classified particles left over in the system from the last sample may be erroneously counted as the smallest particles in the next sample. This error is

especially serious for filter penetration if a downstream sample is taken quickly after an upstream sample. In that case, the large particles from the upstream sample are counted as small particles which may not exist at all in the penetrating downstream sample distribution, giving penetration results as much as two decades greater than reality. To avoid this error, Japuntich et al. (2007) developed a purging procedure using 16 L/min clean air to purge the SMPS between consecutive samplings, which can be performed in less than 90 seconds.

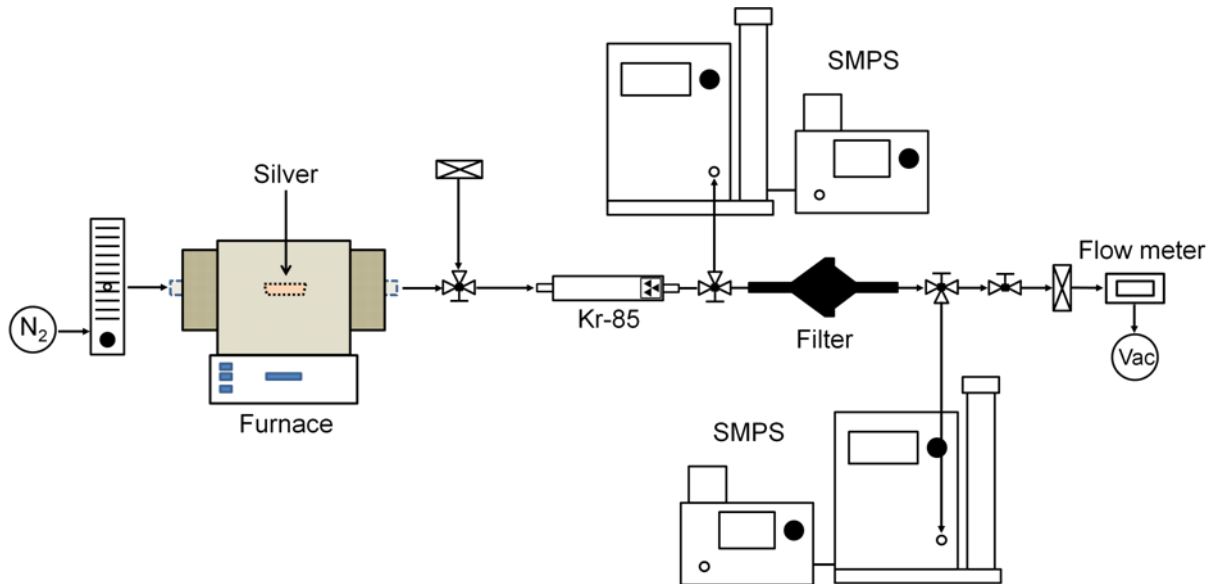


Figure 5. Experimental setup for test using polydisperse silver nanoparticles.

The accuracy of commercial SMPS systems, especially when the scan time is short, may be questionable (Flagan 2008). Buha et al. (2013) used 300-second scans to improve the SMPS accuracy. Japuntich et al. (2007) developed correction factor similar to those in the monodisperse test (Equation 41) for each particle diameter channel or bin to compensate for sampling line loss and for possible SMPS software particle distribution calculation variability due to factors such as a loss in resolution at the upper and lower limits of the measured particle size ranges or low raw score counts at the upper or lower limits of the challenge particle size distribution. The variability of the correction factor increased greatly as the lower or higher range of the SMPS system was reached.

Both the monodisperse and polydisperse test particle methods can be used for nanoparticle filtration tests. With adequate calibration, the two testing methods gave almost identical filtration efficiencies in the range of 20 – 200 nm for several commercial filter media (Japuntich et al. 2007). The two methods have different features. The advantages of the monodisperse test particle method include better accuracy and the ability to test high-efficiency filters by using long sampling time. In contrast, the polydisperse test particle method is limited by the SMPS scan accuracy, especially at the upper or lower limits of the challenge particle size distribution. When the downstream concentration is too low, SMPS scan may not give any meaningful size distribution, thus the capability to test high-efficiency filter is limited. The disadvantages of the monodisperse test particle method include more complex flow control and longer measurement time when many particle sizes are tested. In contrast, the polydisperse test particle method has less complex setup and can deliver the filtration efficiencies for many particle sizes in shorter time.

5.5. Commercial filter testing systems

Self-contained commercial filter testing systems which could measure nanoparticles are available on the market. An overview of the systems is shown in Table 9. Grimm 7.100 Respirator Filter Testing System is a full-fledged mass testing installation for the testing of respirator filters in accordance with European Standard EN 143. The internal generator creates aerosols from a 1% NaCl solution. One or two flame photometers are used to measure the aerosol mass concentrations upstream and downstream from a filter. The connectors for filter tester and/or mask holder are standardized. The detection sensitivity is better than 10 ng/m³. The system measures efficiencies up to 99.9995% (penetration as low as 0.0005%) with a test aerosol of 13 mg/m³.

The Palas MFP test rig is a modularly built filter test system for flat filter media and small filter elements. It can measure the fractional filtration efficiency for testing aerosols include NaCl, KCl, DEHS, etc. The filter test surface area is 100 cm². In the model MFP 1000 HEPA, the particle measurement instrument is a light-scattering spectrometer, which can be Welas 1000 (Palas, DE) with the size range 120 – 2000 nm, or model 3340 (TSI, USA) with the size range 90 – 7500 nm, or HSLAS II (PMS, USA) with the size range 60 – 1000 nm. In the model MFP Nano plus, the particle measurement instrument is U-SMPS 2050 (Table 8) with the size range 5 – 1000 nm. However, determination of the fractional filtration efficiency for filter media is in the range approximately 20 to 1000 nm. The instrument measures the particle concentration range 0 – 2,000 #/cm³ in the single-particle mode, and the concentration range 2,000 – 10⁵ #/cm³ in the photometric model. The dilution cascades enable dilution of the test aerosols by the factors 10, 100, 1,000 and 10,000.

The filter tester models 8127 and 8130 by TSI offers testing capability for facepiece respirators and other types of filters. They are compliant with USA commercial respirator regulation 42 CFR part 84 (NIOSH 1995), European EN 143 and related respirator standards and Japanese respirator standard. TSI 8130 uses polydisperse NaCl or oil particles and two photometers to measure total mass concentrations up- and down-stream of the filter. The Model 8130 measures efficiencies up to 99.999% (penetrations as low as 0.001%). The efficiency is based on total mass concentration and is heavily affected by the large ones in the challenge particle distribution.

The TSI 3160 Automated Filter Tester is a fully self-contained testing apparatus for conducting initial filter penetration tests with up to 20 different monodisperse particle sizes within a range between 15 and 800 nm diameter. It can be used to test both low- and high-efficiency filters and filter media, with efficiencies up to 99.999999%, or penetrations down to 0.000001%. The 3160 uses a bank of atomizers with solutions of different concentrations and a DMA to generate challenge DOP and NaCl aerosols of with known sizes. Two CPCs simultaneously count the upstream and downstream particles and computer software calculates the penetration value. The output is a curve of penetration vs. particle size and produces a summary of test results, including the MPPS. TSI 3160 complies with EN 1822 parts 3 and 5. Japuntich et al. (2007) evaluated the TSI 8160 (an earlier version of the 3160 model) and noted that the manufacturer dictated a 2:1 ratio of the DMA sheath air flow rate to the aerosol flow rate, in order to give greater DMA output concentrations for the testing of very high efficiency filters. As a result, the DMA resolution is not high. The specification of the TSI 3160 states that challenge aerosols have GSD values less than 1.3. As discussed in the DMA section, higher sizing resolution is advisable for testing with particles below 10 nm.

Table 9. Information of some commercial filter test systems. The data for the TSI instruments are from the TSI website, www.tsi.com/, retrieved on Aug 29, 2013. The data for the Palas instruments are from the Palas website <http://www.palas.de/>, retrieved on Aug 29, 2013. The data for the Grimm instruments are from the Grimm website <http://www.grimm-aerosol.com/>, retrieved on Aug 29, 2013. CMD: count mean diameter; GSD: geometric standard deviation.

Manufacturer and model	Particle material	Particle size	Particle generation	Flow rate	Filtration efficiency	Particle detector
Grimm 7.1000	NaCl		particles from 1% NaCl solution		up to 99.9995%	one or two photometers
Palas MFP 1000 HEPA	NaCl, KCl, DEHS	detector size range down to 60 nm	particles from atomizers	0.54 – 16 m ³ /h		light-scattering spectrometers
Palas MFP Nano plus	NaCl, KCl, DEHS	efficiency size range 20 – 1000 nm				U-SMPS
TSI 3160 (8160)	NaCl and DOP	Single sizes in 15 – 800 nm, GSD < 1.3	particles from atomizers and classified by DMA	0.3 – 6 m ³ /h	up to 99.999999%	two CPCs
TSI 8127 and 8130	DOP, DEHS, other oils	CMD: 0.2 µm, GSD < 1.6 ^a	particles from atomizer	0.9 – 6 m ³ /h	up to 99.9999%	two photometers
	NaCl	CMD: 0.075 µm, GSD < 1.86 ^a				

^aThe European version has different CMD and GSD.

The commercial filter test systems provide the possibility of measurement for particles down to 15 nm range using polydisperse or monodisperse test particles. At the lower limit of size range, there is room for improvement of the techniques and development for particles below 10 nm is needed.

6. Existing standardized test methods of interest to nanoparticle filtration

A large number of standards for testing air filters exist, covering applications in the fields of building ventilation (Tronville and Rivers, 2006), gas turbine air intake, automotive cabin air, automotive engine intake, vacuum cleaner, HEPA-ULPA filter testing, respirators, etc. ISO 29463:2011 series deals with high efficiency filters and filter media for removing particles from air. The test particle range in ISO 29463 is between 0.04 µm to 1.0 µm, and the focus is on measurement of the minimum efficiency at the most penetrating particle size. The standard focusing on filtration efficiency of airborne nanoparticles, especially for particle size down to single digit nanometers, is still not available.

Table 10. Summary of selected air filtration standards. EPA: efficient particulate air filters; HEPA: high efficiency particulate air filter; OPS: optical particle sizer; ULPA: ultra low penetration air filter; PAO: polyalphaolefin oil.

Designation	Title	Test particle	Remark
ANSI/ASHRAE Standard 52.2 (2012)	Method of testing general ventilation air-cleaning	KCl particles in the range of 0.3 µm to 10	Wind tunnel test using optical or aerodynamic

	devices for removal efficiency by particle size	μm	particle sizers
EN 779 (2012)	Particulate air filters for general ventilation – Determination of the filtration performance	DEHS particles in the range of 0.2 μm to 3.0 μm	Wind tunnel test using optical particle sizers
ISO 29463 series (2011)	High efficiency filter and filter media for removing particles in air	DEHS, PAO, Paraffin Oil in the range 0.04 μm to 1.0 μm (0.1-2.0 μm with OPS)	Focus on the minimum efficiency at the MPPS and local efficiencies
NIOSH 42 CFR 84.181 (1995)	Non-powered air-purifying particulate filter efficiency level determination	A mass median aerodynamic diameter of $\sim 0.3 \mu\text{m}$, NaCl or DOP polydisperse particles	For respirator certification
EN 1822 series (2009)	High efficiency air filters (EPA, HEPA and ULPA)	DEHS, PAO, Paraffin Oil in the range 0.05 μm to 0.8 μm (0.1-2.0 with OPS)	Focus on the minimum efficiency at the MPPS and local efficiencies
EN 143:2000	Respiratory protective devices - Particle filters - Requirements, testing, marking	Various aerosol allowed including sodium chloride and paraffin oil	For respirator air filter certification
ISO 29461-1:2013	Air intake filter systems for rotary machinery - Test methods -Part 1: Static filter elements	DEHS particles in the range of 0.3 μm to 3.0 μm	Wind tunnel test using optical particle sizers

7. Conclusion

The applications of nanoparticle filtration increase with the development of nanotechnology and growing concerns of the environmental and health impact of nanoparticles. A review of the literature shows that significant progress has been made on nanoparticle filtration in the academic field in the recent years. Commercial instruments are already available for generation of a large amount of nanoparticles, and accurate sizing and quantification of such particles. The commercial self-contained filter test systems provide the possibility of measurement for particles down to 15 nm range. If state-of-the-art instruments are used as components in a filtration system, the technique can be improved at the lower limit of the size range, and filtration efficiency for particles of single-digit nanometers can be reliably tested. Current international standards dealing with efficiency test for filters and filter media focus on measurement of the minimum efficiency at the most penetrating particle size. The available knowledge and instruments provide a solid base for development of standardized test methods to determine effectiveness of filtration media against airborne nanoparticles down to single-digit nanometer range.

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