Using XML for Efficient and Modular Packet Processing

Original

Availability:
This version is available at: 11583/1494644 since:

Publisher:
IEEE

Published
DOI:

Terms of use:
This article is made available under terms and conditions as specified in the corresponding bibliographic description in the repository

Publisher copyright

(Article begins on next page)
Using XML for Efficient and Modular Packet Processing

M. Baldi and F. Risso
Politecnico di Torino, Dipartimento di Automatica e Informatica
Corso Duca degli Abruzzi 24, 10129 Torino, Italy
{mario.baldi, fulvio.risso}@polito.it

Abstract—XML is a technology that has been widely adopted for data exchange, particularly in web and e-commerce applications. This paper proposes the use of XML also for network packet processing. It presents some XML-based languages for data exchange and it identifies some examples in which XML can enable a new, modular design of network applications while maintaining the required high processing efficiency. These technologies have been implemented in the NetBee library, which provides an excellent way to give an insight of the performance obtainable with the proposed approach.

Keywords: Network Packet Processing, Modular Packet Processing, XML, NetPDL, PDML, PSML.

I. INTRODUCTION

While in the past applications used to work mostly alone, the Internet has changed this paradigm and nowadays applications are day after day more network-centric. In this respect, applications tend to find more convenient focusing on a specific problem, delegating other secondary tasks (which can be seen as “non-mission critical”) to other applications. The increased modularity of the applications brings to high processing efficiency (everyone does what it knows best) and it is possible thanks to the extremely fast and efficient data transfers provided by nowadays networks.

This modular approach currently does not exist in network applications. For instance, we can envision two categories of network applications. The first category includes applications (such as a web client and a web server) that use the network as a simple “pipe” for transferring data. These applications send/receive data through some form of high-level interface (e.g. TCP/IP sockets) and are not interested in the internals of the network itself. The second category (the one we are interested in) includes applications that have to deal directly with network packets and must have a deep knowledge of network mechanisms. As examples, we can cite firewalls, network address translators, intrusion detectors, packet sniffers, network monitors, and more.

Modular processing can bring a valuable advantage to the latter type of applications because it avoids wasting resources in dealing with low-level details instead of concentrating on their “core business”. For instance, a company that creates a firewall should concentrate its efforts (e.g. lines of code and time of its programmers) in checking whether a packet contains malicious code instead of spending resources in locating the TCP payload. This should be delegated to an external (and, hopefully, optimized) component. This currently does not happen and, right now packet processing is still implemented within the application by application-specific code.

A clear example of the benefits of modular processing can be seen in the next figures. Figure 1 shows a fragment of code that checks if an Ethernet packet contains a TCP payload. In this first example, the code checks if the Ethernet frame contains an IPv4 packet, and then if the IPv4 packet contains a TCP payload.

```
if ((packet[12]==0x800) && (packet[23]==6))
   /* TCP packet */
else
   /* Non TCP packet */
```

Figure 1. Filtering TCP packets on Ethernet/IP.

In case the application wants to support also IPv6, the code must be modified as shown in Figure 2 in order to take into account also the additional possible encapsulation.

```
if (((packet[12]==0x86dd) && (packet[20]==6)) ||
    ((packet[12]==0x800) && (packet[23]==6)))
   /* TCP packet */
else
   /* Non TCP packet */
```

Figure 2. Filtering TCP packets on Ethernet/IPv4-IPv6.

Obviously, this code may become a nightmare if the packet can use any possible link layer (Ethernet, etc) or if the IPv4-6 headers have optional parts because of the very large number of controls needed to locate if the packet contains a TCP payload.

This example demonstrates how packet processing can be complicated, prone to errors, and it can be of little interest for programmers that want to perform some high-level processing to the packet. They should be very happy to write a fragment of code like the one shown in Figure 3, in which the low-level packet processing is delegated to another entity, such as an external library.

```
if (Packet.Contains("tcp"))
   /* TCP packet */
else
   /* Non TCP packet */
```

Figure 3. Fragment of code that relies on some external module to filter packets containing a TCP payload.
An example of modularity applied to packet processing can be seen in Figure 4. A very large set of applications can take advantages from a set of optimized components (e.g. a packet decoder or a packet filter), implemented in external modules.

Figure 4. Modular processing in packet-based applications.

However, one of the requirements of modular processing is the necessity of well-defined data interfaces between applications in order to permit data exchange. In this respect, the eXtensible Markup Language (XML) is becoming the preferred way for exchanging structured data between different applications and different organizations. Furthermore, several tools, both stand-alone programs and libraries, exist for dealing with XML files and can be leveraged for data handling. In this way, programmers have to deal only with the details of their XML-based language because these standard tools automatically manage a large set of common problems, (e.g. syntactical correctness). Finally, an XML-derived language can be easily extended since an XML document can include new elements (or new attributes of existing elements) being still backward compatible with previous parsers because of their capability to ignore unknown tags.

This paper presents a preliminary realization of this vision and it proposes some new XML-derived languages that help exchanging data between different applications requiring packet processing. The basic block for enabling modular packet processing is a method to uniquely identify each protocol and each field. In other words, what is sometimes called “IP source address field”, sometimes “IP source address”, or “ip source”, or even “IP source”, must be labeled with a unique name (e.g. ip.src). Therefore, the first language is the Network Protocol Description Language (NetPDL), which aims at describing network protocol headers. Other two languages are the Packet Description Markup Language (PDML) and Packet Summary Markup Language (PSML). The first aims at listing protocols and fields that are contained within a network packet and their values (e.g. “this packet contains the IP protocol, which has an ip.src field whose value is 1.2.3.4”) in order to create a detailed view of the packet. The second allows creating a brief summary for each packet. These languages can be used by applications that require a complete decoding of the packet, such as sniffer or packet-based analyzers who need to get access to the fields (and their values) contained within network packets. PDML and PSML can become the preferred output format for NetPDL-based engines aimed at packet decoding for later visualization.

Although NetPDL, PDML and PSML are only the first examples of XML-derived languages deployed in packet processing, they proved to be extremely useful albeit still efficient. These languages can be seen as the first step for creating a set of components that will enable modular packet processing, as shown in Figure 4.

Particularly, NetPDL is a key component for modular packet processing. NetPDL is a language that can be used not only as standard data exchange format, but it can enable the creation of protocol-independent applications. In fact, current applications use a proprietary syntax to describe packet headers; moreover, packet descriptions are often hardwired in their code. Consequently, supporting a new protocol requires the intervention of the developers of the specific application. Some well-known and widely deployed applications, like tcpdump [3] and Ethereal [4], have even two different protocol descriptions hardwired in their code: one used when filtering network packets in real-time, the other one for displaying packets in a user-friendly fashion. The first description is simple (and limited) because it is designed for high-speed operations (filtering). The second one is very comprehensive and the corresponding packet-processing engine is much slower than the one using the first description. NetPDL allows creating applications in a protocol-independent way without losing in efficiency, because application can read a generic protocol description according to the NetPDL language and will automatically be able to process packets containing that protocol.

This paper is structured as follows. Section II presents the NetPDL language for describing protocol headers, while Section III describes the other two XML-based languages for data exchange, PDML and PSML. Section IV briefly presents some of the characteristics of the NetBee library, which is a first example of library for modular packet processing. Particularly, it implements a packet decoder module (i.e. it receives the hexadecimal dump of a network packet and decodes it) using the proposed technologies. Finally, some conclusive remarks are presented in Section V.

II. NETPDL: DESCRIBING THE PACKET FORMAT

The Network Protocol Description Language (NetPDL) [13] is a simple, application-independent packet format description language that is targeted to an effective description of packet header format and protocol encapsulation. For instance, NetPDL is not a protocol specification tool and it does not support the description of a protocol temporal behavior — e.g., a protocol state machine.

Some efforts have been done in the past to create a language that aims at describing protocol headers [6] [7] [8] [9] [10] [11] [12]. However, these approaches are usually very limited in their objectives, often poorly supported, and with poor performances. NetPDL aims at being a very simple language, which can be easily extended thanks to its XML-based structure.

A. The NetPDL Language

Each primitive consists of an element characterized by several attributes. For instance, a header field is an element, the field length being an attribute of the element.
Figure 5 shows an excerpt of the NetPDL description of an Ethernet header. Such header consists of 3 fixed-length fields, whose length is respectively six, six, and two bytes. As shown by this example, NetPDL represents each field as an element containing n bytes. The <nextproto> element contains the protocol encapsulation description, i.e., it specifies how to determine the protocol (as indicated by the value of the <protoref> element) following the current Ethernet header based on the value of the type-length field (as specified by the value of the fieldref attribute). Some predefined protocols (_startproto and _defaultproto) are used in special cases, such as the “first” protocol of the encapsulation sequence and the “last resort” protocol to be used when no suitable protocol description is available for processing the remaining data of a packet.

The headers defined by the majority of the protocols currently in use contain a set of fields, which, most often, can be categorized under six different types. The vast majority of header fields has a fixed length and is aligned to a byte boundary, hence the <fixed> element. Less frequently, a field is composed of a few spare bits, hence <masked> (identifying the part of a header that contains bit fields) and <bit> (for a bit field) elements are defined. Other fields, are characterized by the fact that the length can be determined only at packet-processing time. These variable-length fields can be either length-specified (i.e., the length is specified by the value of another field) or sentinel specified (i.e., a given character or string indicates the end of the field). For them, the <variable> element exists.

Due to their widespread presence in packet headers, NetPDL includes two additional pre-defined field types: the line field (<line>) — an ASCII string terminated by a carriage return character — and the padding field (<padding>) — often used to realign the protocol headers to a 16 or 32 bit boundary.

Although a field is completely characterized by specifying its length, the number of occurrences, and its position in the packet, the latter two items are usually not needed because a field B is usually placed after its preceding field A and the number of occurrences is usually one. In order to keep the notation simple, only the length of the field (through the attribute size) must be always specified. The language addresses also the description of fields repeated multiple times, while the position of a field can be specified through the optional attribute offset. A packet trailer is a typical case in which this attribute is deployed.

B. Advanced NetPDL Elements

Elements defined previously are often not sufficient; for example, the header of a protocol as common as IP cannot be described through the already presented elements. NetPDL defines also more sophisticated elements for conditional decoding (e.g. a protocol may have some optional headers, which may be present depending on the value of some fields), field loops (a field may be repeated several times depending on some condition) and storage support (a protocol may need to store some information for later processing). Finally, an element that recalls a custom plug-in can be defined for the cases in which no suitable NetPDL elements are available and the processing must be done though ad-hoc (native) code. For example, a well-known protocol such as the DNS contains a set of structures that aim at saving spaces within the packet by storing a pointer to a name instead of the complete DNS name. Defining a NetPDL element that implements this kind of processing does not seem suitable because no other protocols rely on this mechanism; hence, custom plug-ins provide an easier solution. Plug-ins allow processing the packet without increasing the complexity of the NetPDL language, i.e. avoiding the definition of new elements of little validity.

C. NetPDL extensions

One of the main characteristics of NetPDL is its extensibility, i.e. the possibility to add new keywords (that can be inserted as either attributes of existing NetPDL elements or new elements) that will be used by some applications for their purposes. A NetPDL-based engine is required to parse protocol descriptions based on NetPDL, while it might process only the extensions relevant to the specific application for which the engine was designed (e.g., packet filtering). Therefore, a NetPDL-based engine that does not support new extensions simply ignores extension specific attributes and elements, thus operating on a description like the one in Figure 5.

An example of a possible extension is the information related to the validity of each field; for instance, some fields allow only a limited set of values, while others (e.g. CRC fields) must have a precise value. Currently, the first extension to this language (called NetPDL Visualization Extension [13]) provides information on how a decoded packet should be displayed. For instance, a 32 bit number representing an IP address should be displayed in dotted-decimal form, while a 32 bit number representing a CRC should be displayed as a hexadecimal number.

The NetPDL Visualization Extension allows the definition of two views: a summary view, which includes the most important fields to be shown for each packet, and a detailed view, which includes all the fields of each packet, in full detail. These extensions defines a set of elements and attributes that are used within a visualization template. Each protocol and protocol fields can contain a link to the proper visualization template through the attributes showtemplate and showtemplate, as shown in Figure 6.

The most important attributes contained in the visualization template are showtype, showgrp, and showsep, which
determine respectively the format (hexadecimal, decimal, ascii, or binary) of each byte, how bytes must be grouped, and the separator string between the groups. For example, fields MAC Source and MAC Destination in Figure 6 specify that the field should be shown using the EthMAC template. This template displays a field by splitting its value in two parts (of three bytes each, as specified by the showgrp attribute) of hexadecimal numbers (showtype attribute) separated by a “−” sign (showsep attribute). The final result looks like 000800-AB34F9. Figure 6 show also the visualization template applied to the whole protocol in order to create the summary view. Each Ethernet frame will be summarized with the string “Eth:” followed by the source MAC address, the string “⇒” and the destination MAC address, producing a string looking like:

```
Eth: 0001C7-B75007 ⇒ 000629-393D7E
```

Figure 6. Example of visualization extension for an Ethernet frame.

D. Performance evaluation

Most of the critics to the NetPDL language focus on its supposed performance penalty against a tool that contains the protocol definition hardwired in its code. Therefore, we ran some test and we compared the Packet Decoder module of the NetBee library [2], which is a first implementation of a packet decoder entirely based on the NetPDL language, against the Tethereal [4] packet sniffer, which is the no-GUI version of the well-known Ethereal. Tests, executed on a P4 - 2.4 GHz PC, are based on the analysis of several packet dumps, and the average processing time per packet is shown in TABLE I.

Results show that the performance obtained by NetBee and Tethereal are very similar, respectively 75 µs and 66 µs of processing time per packet. In case only the most important information about the whole packet (ordinal position in a packet sequence, length of the packet, number of bytes actually captured, timestamp).

<table>
<thead>
<tr>
<th>Tool name</th>
<th>Results</th>
</tr>
</thead>
<tbody>
<tr>
<td>Complete packet decoding</td>
<td>Tethereal (native code) 66 µs/pkt</td>
</tr>
<tr>
<td></td>
<td>NetBee 75 µs/pkt</td>
</tr>
<tr>
<td>Partial packet decoding</td>
<td>NetBee 39 µs/pkt</td>
</tr>
</tbody>
</table>

III. STANDARD DATA EXCHANGE FORMATS FOR DECODED PACKETS

Even though NetPDL-based engines can be implemented for performing any kind of packet-based processing, a major application field is packet decoding. As a matter of fact, the first implementation of a NetPDL-based engine (available in the NetBee library) has been created for this task. Consequently, an interchange format has been specified for the output of a packet-decoding engine, which is based on PDML and PSML. In principle these languages are not related to NetPDL (besides all being based on XML); however, a NetPDL-based engine easily creates these documents because of the similarity of some elements and attributes in PDML/PSML and NetPDL visualization templates.

PDML and PSML files can be integrated with an XSL (eXtensible Stylesheet Language) file to provide a customized view of the packets. For instance, Analyzer 3.0 [1] (an open-source sniffer created by the Authors) uses a simple set of HTML/JavaScipt and XSL files to display a network trace into a web browser, with the same look and feel of a native, custom developed interface.

A. Packet Details Markup Language

The Packet Details Markup Language (PDML) [13] is a simple language to express information related to decoded packets (e.g. the protocols, all the field names and their values, etc.).

```
<pdml>
  <packet>
    <proto name="geminfo" pos="1" size="60" />
    <field name="trans" pos="1" size="60" value="11" />
    <field name="len" pos="1" size="60" value="60" />
    <field name="clear" pos="1" size="60" value="60" />
    <field name="timestamp" pos="1" size="60" value="982071507.115641" />
  </packet>
</pdml>
```

Figure 7. Example of a PDML document.

The Ethernet frame description in Figure 7 provides an example. A root <pdml> tag delimits the PDML document, which is a collection of packets (delimited by the <packet> tag), which includes a set of protocols (<proto> tag). Each protocol contains the list of fields (<field> tag) that have been identified in its header; the most important information for each field (name, position, size and value) are stored as attributes. A dummy protocol, geminfo, is used for information about the whole packet (ordinal position in a packet sequence, length of the packet, number of bytes actually captured, timestamp).
PDML defines also several attributes aimed at improving the visualization of each field. As an example, Figure 8 presents the same fragment of Figure 7, enriched with visualization attributes. The attribute show holds the field value in a “printable form” (e.g. 000629-393D7E), because the “hex form” contained in the value attribute (e.g. 000629393D7E) may be difficult to understand, particularly in case of fields, such as IP addresses, that are always shown in a different format. The attribute showname holds the field/protocol name in a readable, user-friendly form (e.g. “MAC Source” rather than “src”). The attribute showmap contains a string that has been inferred (mapped) from the field value. This attribute can be used for example in case of the MAC address to store the Network Interface Card address. The content of this attribute depends on the result of the evaluation of the visualization, its type (i.e., unicast, multicast or broadcast), and vendor information. As the previous attribute, its content depends on the result of the evaluation of the showmap element of a NetPDL visualization template.

The NetBee library provides a first implementation of a set of modules for modular packet processing and it is currently used by the Analyzer 3.0 sniffer. It implements the three languages presented in this paper and it includes a first module for packet decoding, a second for field formatting (i.e. transforming an hex dump into a printable IP address and vice versa) and a third experimental module for packet filtering. This library exports a very clean interface that allows programmers to forget low-level packet processing details. For instance, a PDML file can be more than 50 times larger than the corresponding binary packet dump (which does not have any information referring to the semantic of protocol fields), while a PSML file containing only the packet summary can be approximately two times larger. For comparison, a plain text file containing the packet summary can be as large as the original packet dump, which brings to the conclusion that the XML format, in itself, can double the space required to contain the result.

From the performance evaluation standpoint, the NetBee library has been proved faster than the Tethereal code in generating PSML/PDML files, as shown in Table II, and the packet can be completely decoded and dumped on disk in approximately 0.6 ms.

<table>
<thead>
<tr>
<th>Tool name</th>
<th>Results</th>
</tr>
</thead>
<tbody>
<tr>
<td>Packet decoding and PDML/PSML creation</td>
<td>Tethereal 1077 µs/pkt</td>
</tr>
<tr>
<td></td>
<td>NetBee 648 µs/pkt</td>
</tr>
</tbody>
</table>

IV. TOWARD MODULAR PACKET PROCESSING

The NetBee library provides a first implementation of a set of modules for modular packet processing and it is currently used by the Analyzer 3.0 sniffer. It implements the three languages presented in this paper and it includes a first module for packet decoding, a second for field formatting (i.e. transforming an hex dump into a printable IP address and vice versa) and a third experimental module for packet filtering. This library exports a very clean interface that allows programmers to forget low-level packet processing details. For instance, Figure 10 shows the few lines of code required for decoding and printing a portion of its content.

Although this library is still in the first stage, it includes a protocol database of 64 protocols, mostly related to the TCP/IP suite, including Ethernet, Token Ring, VLAN, IP, IPv6, TCP, UDP, DHCP, DNS, RIP, OSPF, BGP, PIM. This library is
implemented as a 500 Kbyte Dynamic Link Library (DLL) for Windows and it has been released under a BSD-style license.

This library demonstrates the feasibility, the efficiency, and the simplicity (from the high-level programs perspective) of the proposed modular approach for network packet processing.

```c
while (1) {
    struct _nbPDMLPacket *PDMLPacket;
    struct _nbPDMLProto *ProtocolItem;

    // Read packet from file or network
    Res = PacketSource->Read(&PacketHeader, &PacketData);
    if (Res == nbFAILURE) break;

    // Decode packet
    Decoder->DecodePacket(DataLinkCode, PacketCounter,
        PacketHeader, &PacketData);

    // Get the current decoded packet
    PDMLReader->GetCurrentPacket(&PDMLPacket);

    // Print some global information about the packet
    printf("Packet number %d
", PDMLPacket->Number);
    printf("Protocol %s: size %d, offset %d
",
        ProtocolItem->LongName, ProtocolItem->Size,
        ProtocolItem->Position);
    ProtocolItem= ProtocolItem->NextProto;
}
```

Figure 10. Sample code using the NetBee library: decoding and printing the details of a packet.

V. CONCLUSIONS

This paper contains three important contributions. First, it proposes some a new form of modularity applied to packet processing. Second, it defines some new languages that can be used as standard data exchange formats between the applications that are based on packet processing. Third, it demonstrates, through the creation of the NetBee library, that the proposed approach is feasible, efficient, and it can potentially bring tremendous advantages to a large set of network applications. In fact, even though packet processing is common to a large number of applications, at present no solution exists for delegating this function to a set of optimized components unless some limited examples (such as packet filtering through WinPcap / libpcap).

NetPDL can lead to the creation of very efficient programs that are not limited to a small set of network protocols. For instance, if the user wants to support a new network protocol (e.g. IPv6) or include new protocol features, it can add its description to the NetPDL database. Since NetPDL files can be parsed at run-time, there is not even the necessity to restart the application. For instance, this is the preferred behavior of the NetBee library (and Analyzer 3.0, which uses this library), although some other tools do exist that use NetPDL to create C code, which has to be recompiled. In addition, instead of extending the NetPDL database, the user could even think about creating a centralized repository on the Internet where users can download the newest protocol database and enable immediate support of new protocols.

PDML and PSML languages can be very well used as a standard formats for packet-related data exchange. Albeit simple, they have been proved extremely useful for packet processing and they have been implemented in several tools outside our research group.

Finally, the NetBee library is a modular, efficient library that provides an insight of the possibility of modular packet processing. This library has been implemented in order to demonstrate the feasibility (and the efficiency) of the proposed solutions and it supports packet decoding and an experimental form of packet filtering.

Future work will focus on improving and extending the characteristics of the NetPDL language, defining new exchange data format, and implementing new processing modules in the NetBee library. Comments and contributors are welcome.

REFERENCES

[8] Laurent Riesterer, Generator and Analyzer System for Protocols (GASP), March 2000, Available at http://laurent.riesterer.free.fr/gasp/